

## 1 Strategy

1. Compute the matrix  $U$  that diagonalizes  $S$ .
2. Compute the matrix  $U_n = \text{Diag}(U, \dots, U)$  and  $U_n^{-1} = \text{Diag}(U^{-1}, \dots, U^{-1})$  as change-of-basis matrices.
3. Generate SNOVA public alternating forms  $Q_1, \dots, Q_{ml^2}$ .
4. Change the basis.
5.  $\implies \mathcal{V}$  has block-diagonal form.
6. Build  $V$  as a matrix of variable with block-diagonal form.
7. Wedge  $V$  with all  $Q_1, \dots, Q_{ml^2}$ .
8. Populate all linear equations obtained into a matrix  $M$ .
9. Compute rank of  $M$  and compare with conjectures.

## 2 Code

### 2.1 Generate a random symmetric matrix $S$ with an irreducible characteristic polynomial

```
function RandomSymmetricIrredCharpolyMatrix(F, l : max_attempts := 10^5)
  for attempt in [1..max_attempts] do
    M := ZeroMatrix(F, l, l);
    for i in [1..l] do
      for j in [i..l] do
        a := Random(F);
        M[i][j] := a;
        M[j][i] := a;
      end for;
    end for;
    if Determinant(M) eq 0 then continue; end if;
    if IsIrreducible(CharacteristicPolynomial(M)) then
      return M;
    end if;
  end for;
  // error "No symmetric irreducible-charpoly matrix found";
end function;

S := RandomSymmetricIrredCharpolyMatrix(F, l);
```

## 2.2 Compute the change-of-basis matrix $U$ such that $USU^{-1}$ is a diagonal matrix

```

// The splitting field  $K = F[]/(f)$  of  $S$ 
f      := CharacteristicPolynomial(S);
K<a>    := ext<F | f>;                //  $K = GF(2^l)$ 
SK      := ChangeRing(S, K);         // view  $S$  in  $K[x]$ 

// Change-of-basis matrices that diagonalise the symmetric  $S$ -matrix

function DiagonalisingBasisMatrices(S)
  l := Nrows(S);
  assert Ncols(S) eq l;

  // 1. The conjugate roots of  $f$  in  $K$  are  $a, a^2, \dots, a^{2^{l-1}}$ 
  q      := #F;
  lambdas := [ a^(q^i) : i in [0..l-1] ]; // distinct

  // 2. Build  $U$  column-wise from eigen-vectors
  U := ZeroMatrix(K, l, l);
  for i in [1..l] do
    z := lambdas[i];
    V := Nullspace(SK - z*IdentityMatrix(K, l));
    v := Basis(V)[1]; // one non-zero eigenvector

    vmat := Matrix(K, l, 1, Eltseq(v)); // make an  $l \times 1$  matrix
    InsertBlock(~U, vmat, 1, i); // insert at column  $i$ 
  end for;

  return U^-1, U;
end function;

U, UInversed := DiagonalisingBasisMatrices(S);

```

## 2.3 Build $U_n = \text{Diag}(U, \dots, U)$

```

// Build the change-of-basis matrix  $U_n = \text{Diag}(U, \dots, U)$ 
Un := U;
for k in [2..n] do
  Un := DiagonalJoin(Un, U);
end for;
UnInversed := UInversed;
for k in [2..n] do
  UnInversed := DiagonalJoin(UnInversed, UInversed);
end for;

```

## 2.4 Build SNOVA public alternating forms

```

function SnovaPublicMatrices(n, v, m, l, S)
  o := n - v;
  //----- F_q[S] -----
  RandFqS := func< |
    &+[ Random(F) * S^(i-1) : i in [1..l] ] >;

  //----- SNOVA permutation matrices z(S^j) -----
  Lambda := function(Q)
    // Build block-diagonal matrix with n copies of Q
    M := Q;
    for i in [2..n] do
      M := DiagonalJoin(M, Q);
    end for;
    return M;
  end function;
  LambdaS := [ Lambda(S^(i-1)) : i in [1..l] ];

  //----- 1. central matrix F with zero oil/oil block -----
  RandF := function()
    F11 := RandomMatrix(F, v*l, v*l);
    F12 := RandomMatrix(F, v*l, o*l);
    F21 := RandomMatrix(F, o*l, v*l);
    ZZ := ZeroMatrix(F, o*l, o*l);

    Row1 := HorizontalJoin(F11, F12);
    Row2 := HorizontalJoin(F21, ZZ);
    return VerticalJoin(Row1, Row2);
  end function;

  Flist := [ RandF() : k in [1..m] ];

  //----- 2. upper-triangular hiding matrix T -----
  Tvo := BlockMatrix(v, o, [ RandFqS() : k in [1..v*o] ]);
  Iv := IdentityMatrix(F, v*l);
  Io := IdentityMatrix(F, o*l);
  Zvo := ZeroMatrix(F, o*l, v*l);

  Row1 := HorizontalJoin(Iv, Tvo);
  Row2 := HorizontalJoin(Zvo, Io);
  T := VerticalJoin(Row1, Row2);

  //----- 3. final public skew-sym. forms -----
  Plist := [ Transpose(T) * Fmat * T : Fmat in Flist ];
  Qlist := [];

```

```

    for P in Plist do
      SymP := P + Transpose(P);
      for Lj in LambdaS do
        for Lk in LambdaS do
          Append(~Qlist, Lj * SymP * Lk);
        end for;
      end for;
    end for;

    return Qlist;
end function;

Q_original := SnovaPublicMatrices(n,v,m,l,S);

```

## 2.5 Build variable matrix V

```

////////////////////////////////////
//  RANDOM ELEMENT  F_q[S]  (* matrix over F)
////////////////////////////////////
RandFqS := function()
  return &+[ Random(F) * S^(i-1) : i in [1..l] ];
end function;

////////////////////////////////////
//  POLYNOMIAL RING  R  F[x_{r,i,j}]
////////////////////////////////////
// ----- build R = F[x_0, ..., x_{Nvars-1}] ----- //
Nvars := v*n*l;
R      := PolynomialRing(K, Nvars);
names := [ Sprintf("x_%o%o%o", r,i,j)
           : r in [0..v-1], i in [0..n-1], j in [0..l-1] ];
AssignNames(~R, names);

// helper to map (r,i,j) to generator index
Idx := function(r,i,j) return r*n*l + i*l + j + 1; end function;
Var  := function(r,i,j) return R.(Idx(r,i,j)); end function;

////////////////////////////////////
// //  BUILD THE BIG VARIABLE MATRIX  X (i.e. V) (v * n blocks)
////////////////////////////////////

DiagonalBlock := function(r, i)
  M := ZeroMatrix(R, l, l);
  for a in [1..l] do
    M[a][a] := Var(r, i, a-1);
  end for;

```

```

    return M;
end function;

blockrows := [ [ DiagonalBlock(r-1,i-1) : i in [1..n] ] : r in [1..v] ];
rowcat    := [ HorizontalJoin(seq) : seq in blockrows ];
X         := VerticalJoin(rowcat);

```

## 2.6 Compute minors of $X$ which are not zero

```

////////////////////////////////////
//      vl*vl MINORS OF X
////////////////////////////////////
vl      := v*1;
rowsC   := [1..vl];

function Minor(I)                                // I = *sequence* of col-indices
    cols := [ i+1 : i in I ];
    return Determinant( Submatrix(X, rowsC, cols) );
end function;

Minors := AssociativeArray();
for I in Subsets({0..Ln-1}, vl) do                // I = *set* of integers
    seqI      := SetToSequence(I);                // keep order
    Minors[I] := Minor(seqI);
end for;

mvals := [ Minors[K] : K in Keys(Minors) ];
nonzero := #[ v : v in mvals | v ne 0 ];
printf "%o / %o minors are non-zero\n", nonzero, #Minors;

```

## 2.7 Build the equations in $V \wedge Q$

```

////////////////////////////////////
//      BUILD THE EQUATIONS (wedging with each Q)
////////////////////////////////////
eqns := [];
for QQ in Qlist do
    for J in Subsets({0..Ln-1}, vl+2) do
        coeff := R!0;
        seqJ   := SetToSequence(J);                // ascending order

        for aidx in [1..#seqJ-1] do
            for bidx in [aidx+1..#seqJ] do
                a := seqJ[aidx];
                b := seqJ[bidx];
            end for;
        end for;
    end for;
end for;

```

```

    sign := (-1)^(bidx - aidx - 1);

    // columns left after removing a and b
    Iseq := [ seqJ[k] : k in [1..#seqJ] | k ne aidx and k ne bidx ];
    Iset := SequenceToSet(Iseq);

    minor := Minors[Iset];
    coeff += sign * QQ[a+1][b+1] * minor;
  end for;
end for;

Append(~eqns, coeff);
end for;
printf "Generated %o equations\n", #eqns;

```

## 2.8 Build the Macaulay matrix

```

/////////////////////////////////////////////////////////////////
//      MACAULAY MATRIX (target degree = v*l)
/////////////////////////////////////////////////////////////////

// 1. Prepare an associative array to give each monomial a tiny \column index"
mon2col := AssociativeArray();
nextCol := 1;

// 2. Start with an empty sparse matrix over F
M := SparseMatrix(K);    // 0x0 to start

// 3. Walk through the equations, assigning columns on the fly
interval := Max(1, Floor(#eqns/100));
startCPU := Cputime();

for r in [1..#eqns] do
  poly := eqns[r];
  cfs := Coefficients(poly);
  ms := Monomials(poly);
  for k in [1..#ms] do
    E := Exponents(ms[k]);    // exponent sequence of length Nvars

    // if we haven't yet seen this exact monomial, give it the next column
    if not IsDefined(mon2col, E) then
      mon2col[E] := nextCol;
      nextCol += 1;
    end if;
  end for;
end for;

```

```

        // insert the coefficient into row r, that (new) column
        SetEntry(~M, r, mon2col[E], cfs[k]);
    end for;
    // | report progress every `interval` iterations, and at the end |
    if r mod interval eq 0 or r eq #eqns then
        totalSec := Cputime() - startCPU;           // Real
        pct      := Floor(100 * r / #eqns);         // integer %
        elapsed  := Floor(totalSec);                // integer seconds
        eta      := r gt 0
            select Floor((#eqns - r) * totalSec / r)
            else 0;                                // integer seconds

        printf
            "Processed %o/%o (%o%%) elapsed: %o s ETA: %o s\n",
            r, #eqns, pct, elapsed, eta;
    end if;
end for;

```

## 2.9 Compute rank of $M$ and compare with hypotheses

```

//////////////////////////////////////
//      Hypotheses
//////////////////////////////////////

////////////////////////////////////// Hyp1
function Hyp1(m,v,o,l)
    sum := 0;
    out  := [];
    up   := Floor(o*l/2) + 1;
    for i in [1..up] do
        coeff := (-1)^i * Bin(m*l + i - 1, i);
        inner := 0;
        for vec in NonNegVectors(2*i, l) do
            inner += Prod([ Bin(v+o, v+aj) : aj in vec ]);
        end for;
        sum -= coeff*inner;
        Append(~out, <i, sum>);
    end for;
    return out;
end function;

////////////////////////////////////// Hyp2
function Hyp2(m,v,o,l)
    sum := 0;
    out  := [];
    up   := Floor(o*l/2) + 1;

```

```

    for i in [1..up] do
      coeff := (-1)^i * Bin(m + i - 1, i);
      inner := 0;
      for vec in NonNegVectors(2*i, l) do
        inner += Prod([ Bin(v+o, v+aj) : aj in vec ]);
      end for;
      sum -= coeff*inner;
      Append(~out, <i, sum>);
    end for;
    return out;
end function;

////////////////////////////////////// Hyp3
function Hyp3(m,v,o,l)
  return [ Binomial(l*n, v) -
    &+ [ (-1)^i * Bin(m*l*1 + i - 1, i) * Bin(v+o, v+2*i)
      : i in [0..t] ]
    : t in [0..Floor(o/2)] ];
end function;

printf "Hypothesis 1 (Ray's l-scaled formula): %o\n", Hyp1(m,v,o,l);

printf "Hypothesis 2 (Ray's no-l formula): %o\n", Hyp2(m,v,o,l);

printf "Hypothesis 3 (plain UOV formula): %o\n", Hyp3(m, l*v, l*o, l) [#Hyp3(m, l*v, l*o, l);

```

### 3 Check $l = 1$

In theory,  $\text{Rank}M = \text{Min}(1,$