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# Theory of Computation

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# Outline

1

Deterministic Finite Accepters (DFA)

2

Nondeterministic Finite Accepters (NFA)

3

Equivalence of DFA and NFA

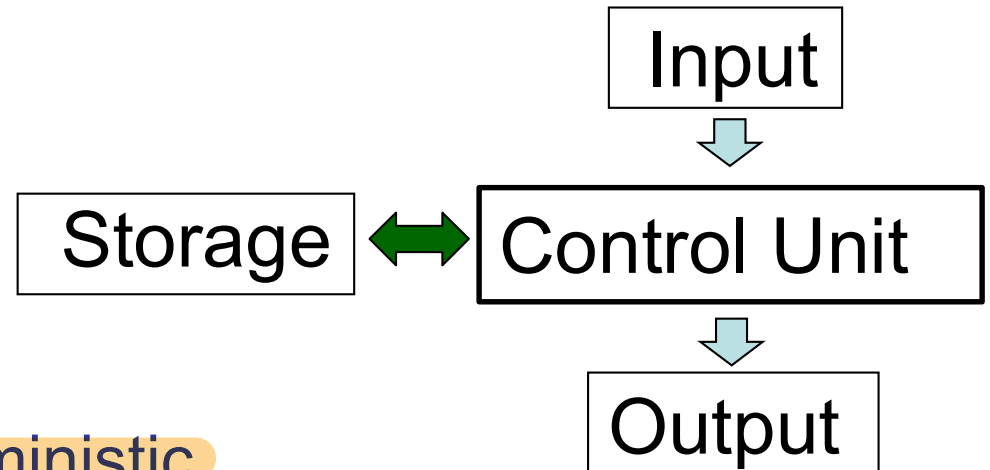
4

Reduction of the Number of States in FA\*

# Automata

## Automaton:

An abstract model of a digital computer



- Deterministic V.S. Nondeterministic

↳ 明確只有一種走法

- An automaton whose output is YES or NO

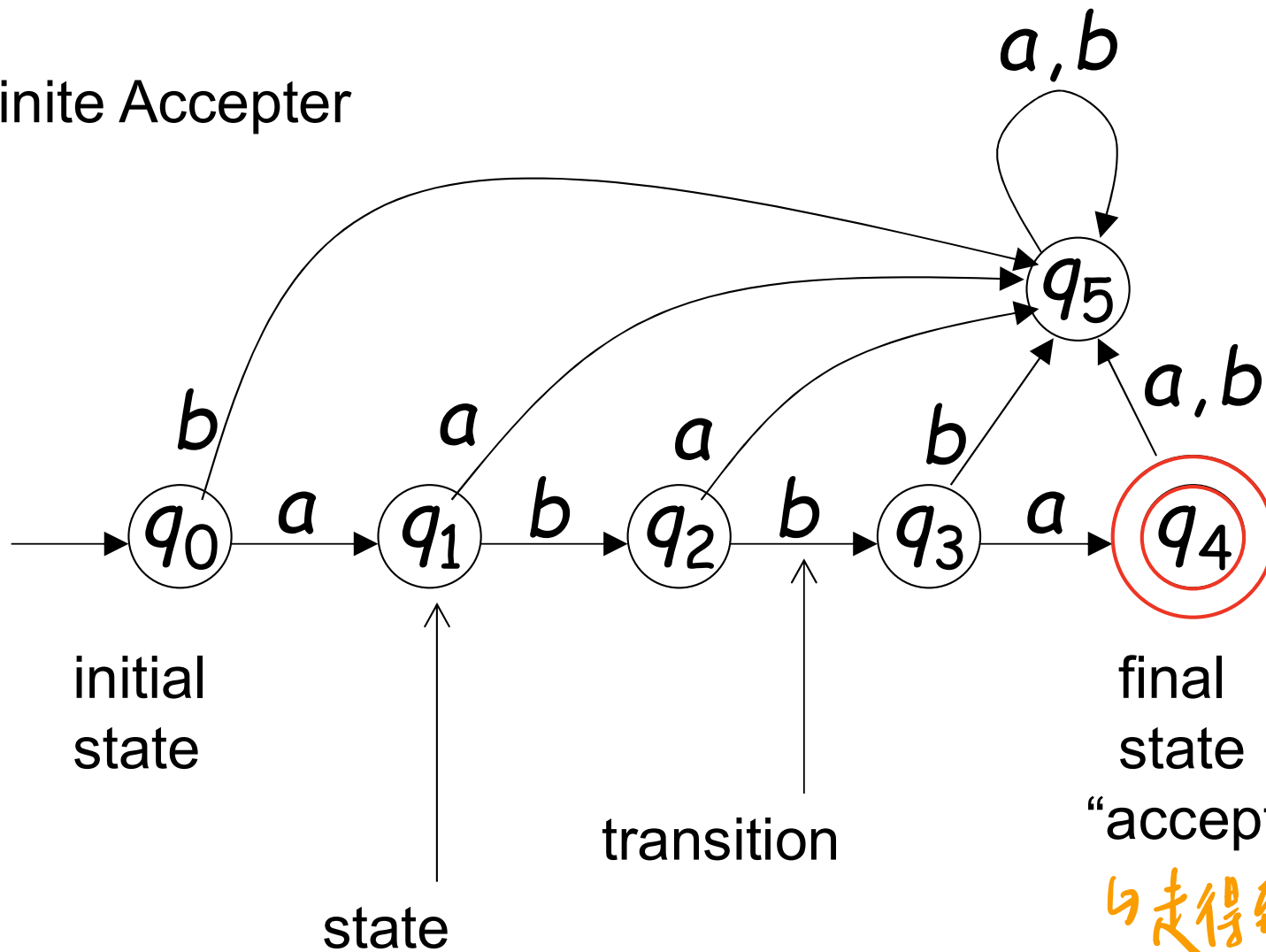
Acceptor

- An automaton whose output are strings of symbols

Transducer

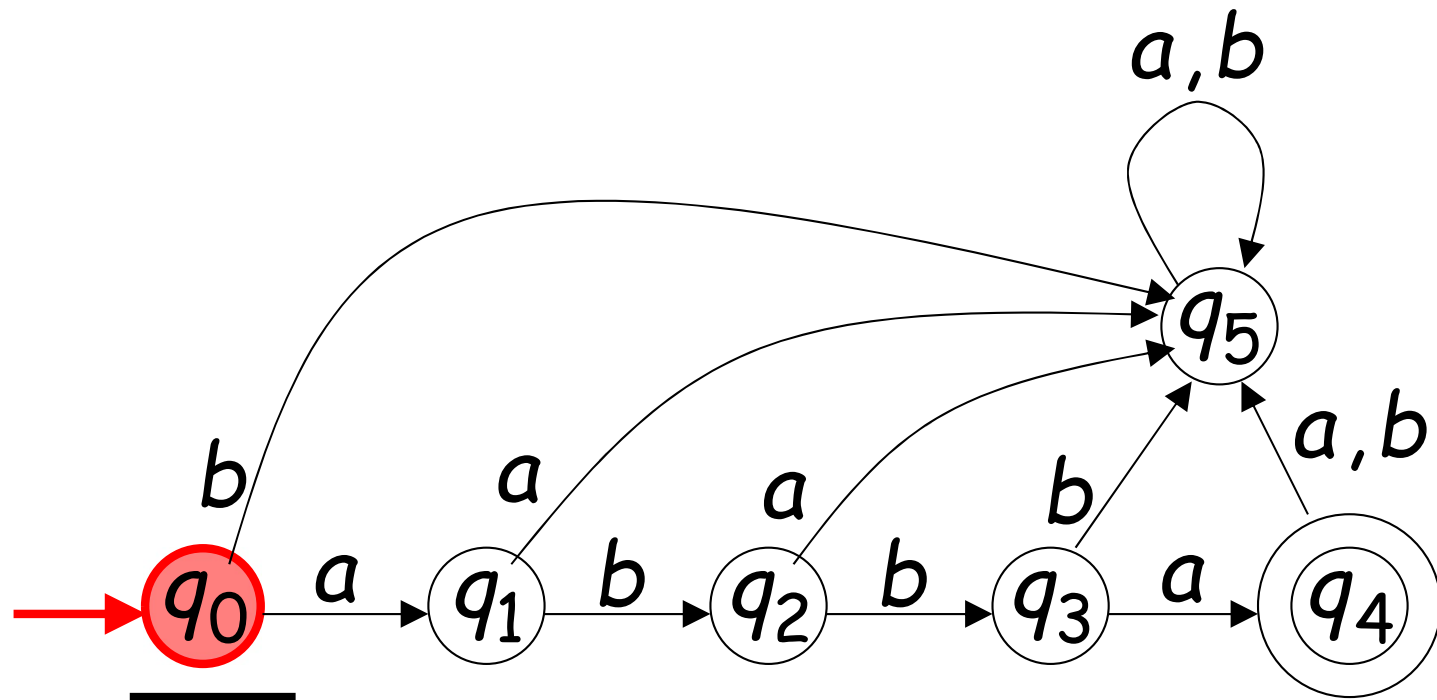
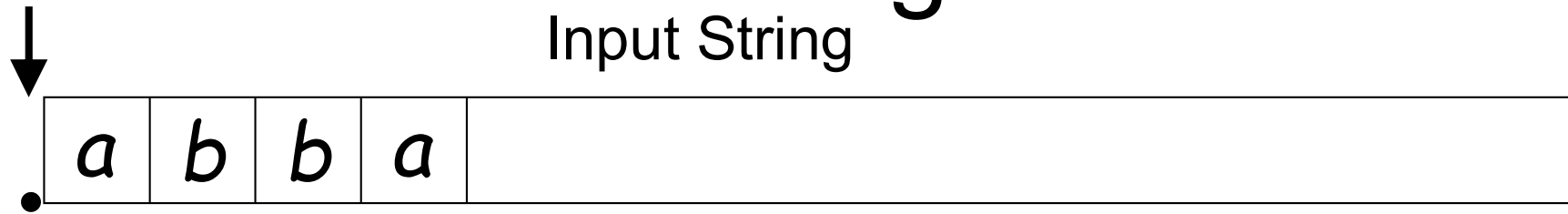
# Transition Graph

Finite Acceptor

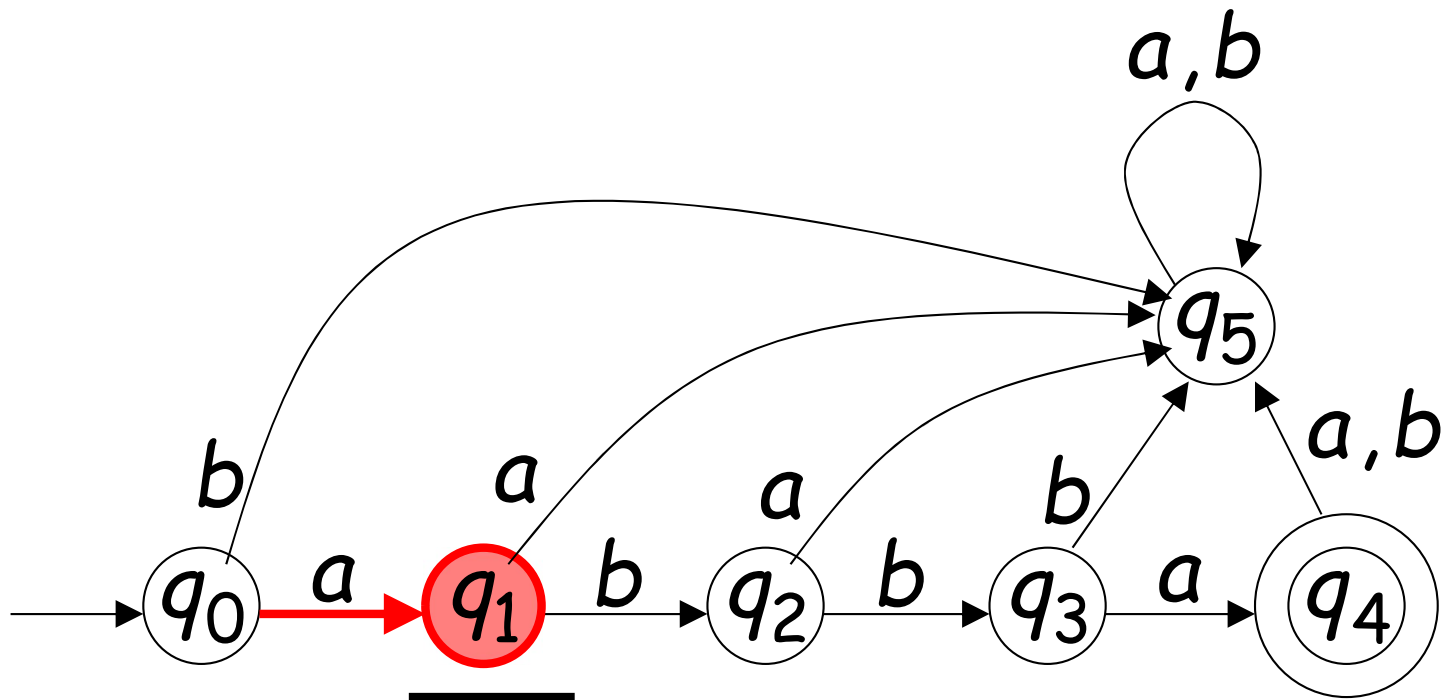


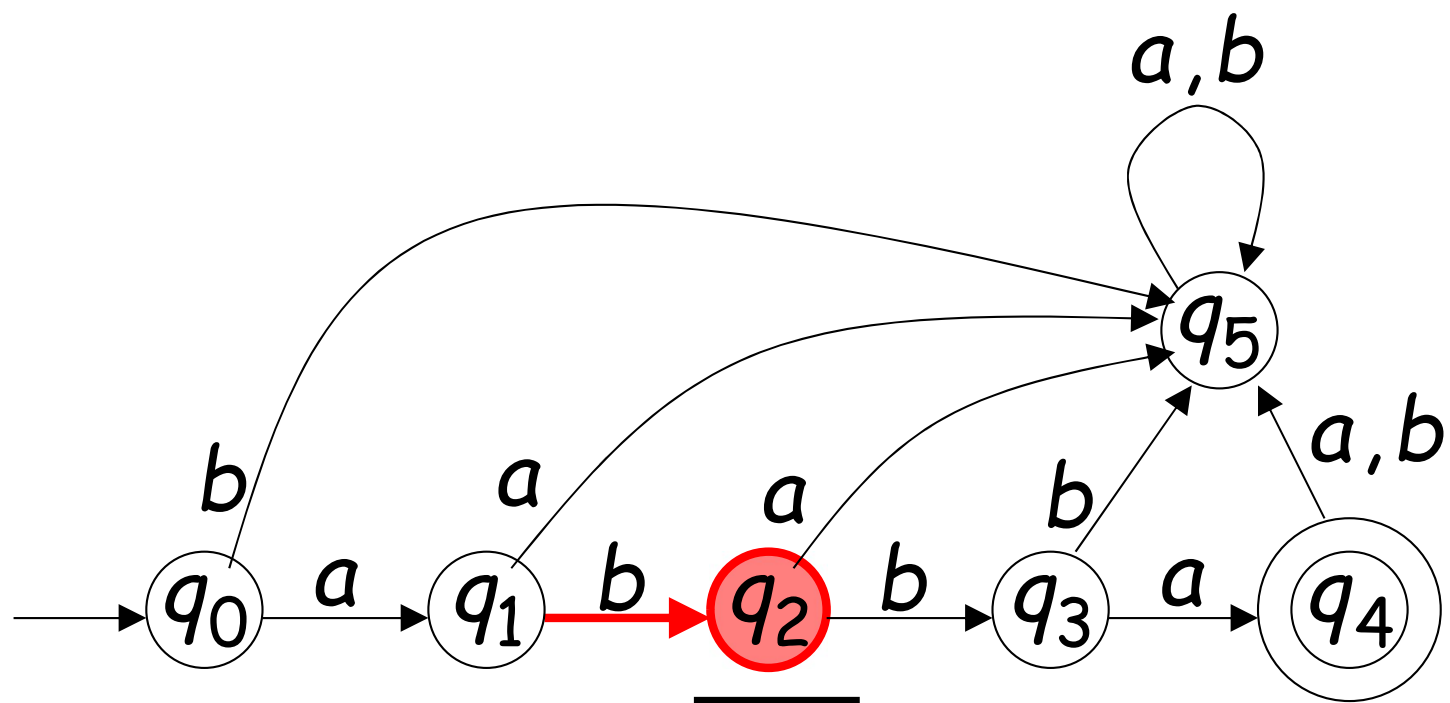
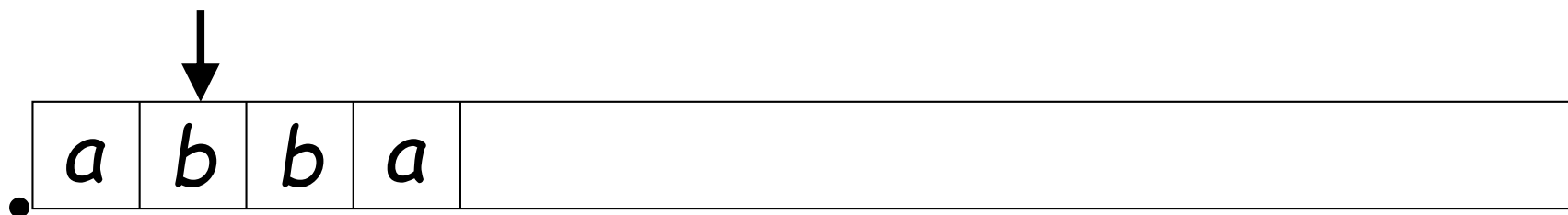
走到 final  $\Rightarrow$  accept  
走不到 final  $\Rightarrow$  reject

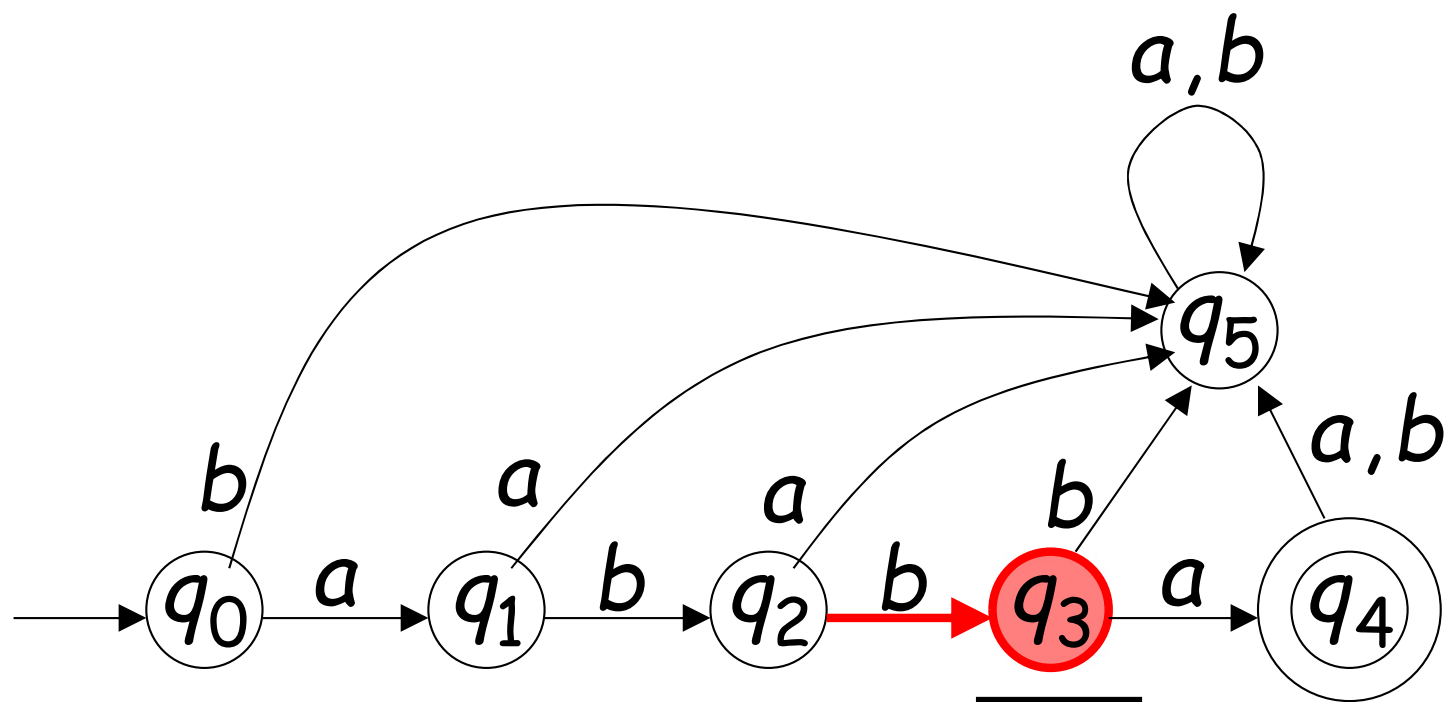
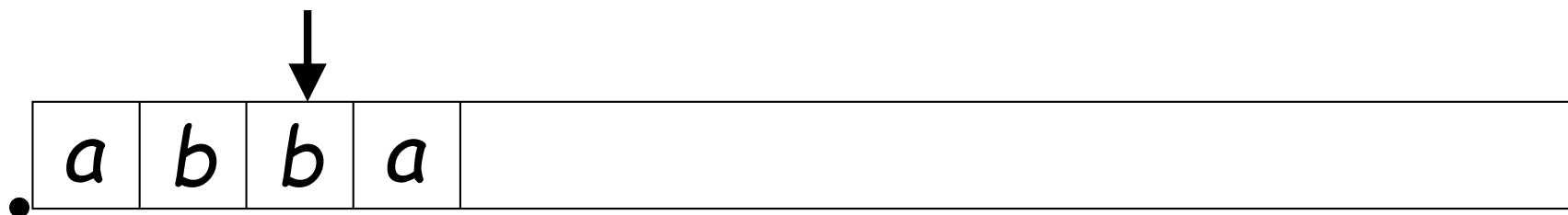
# Initial Configuration



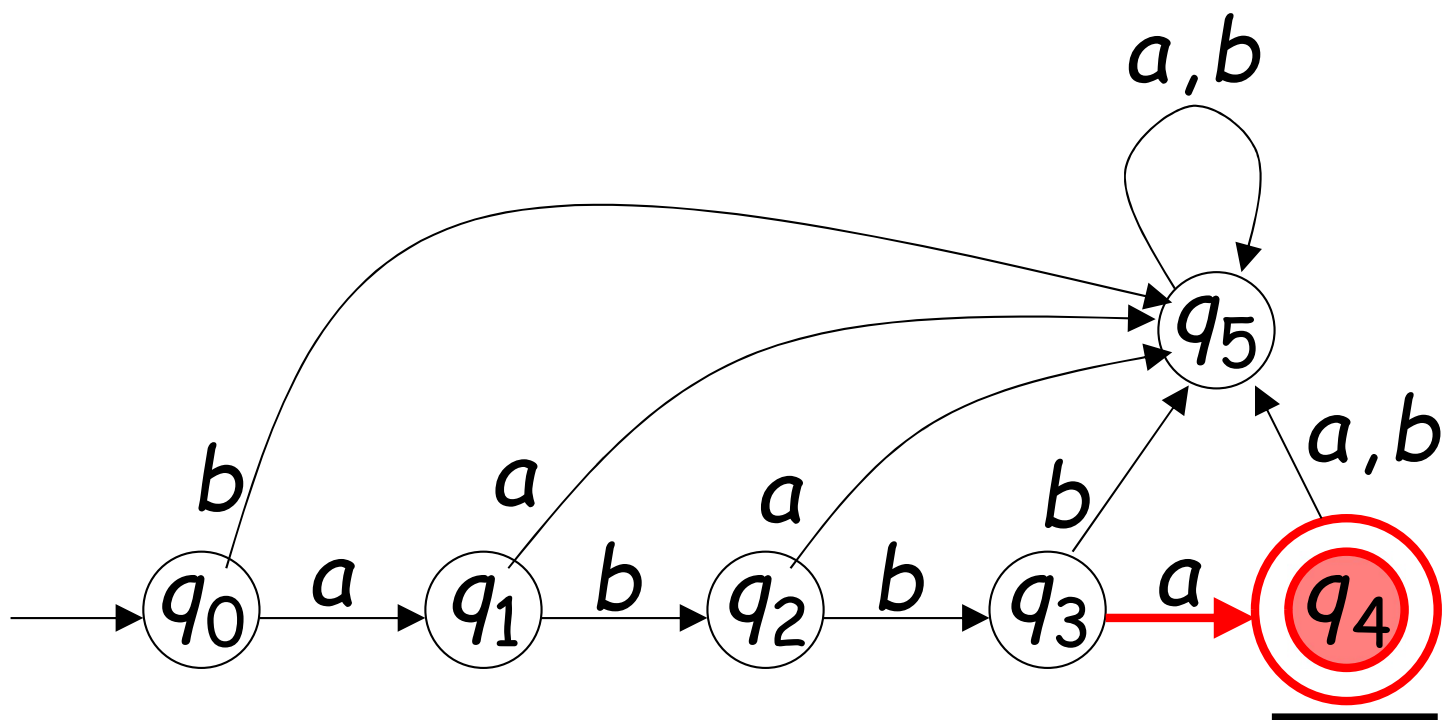
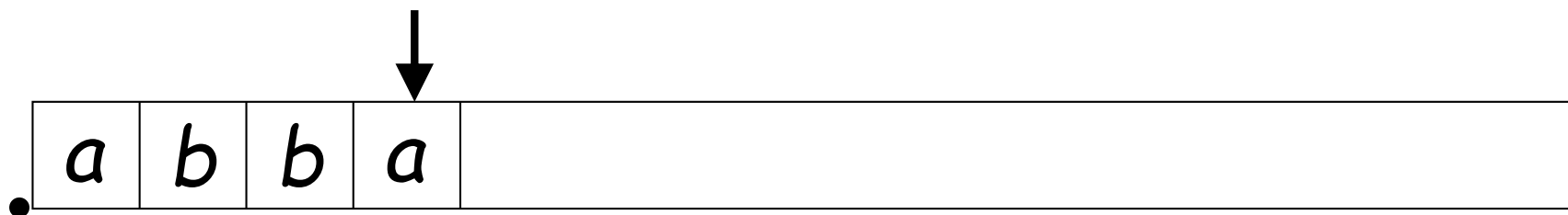
# Reading the Input



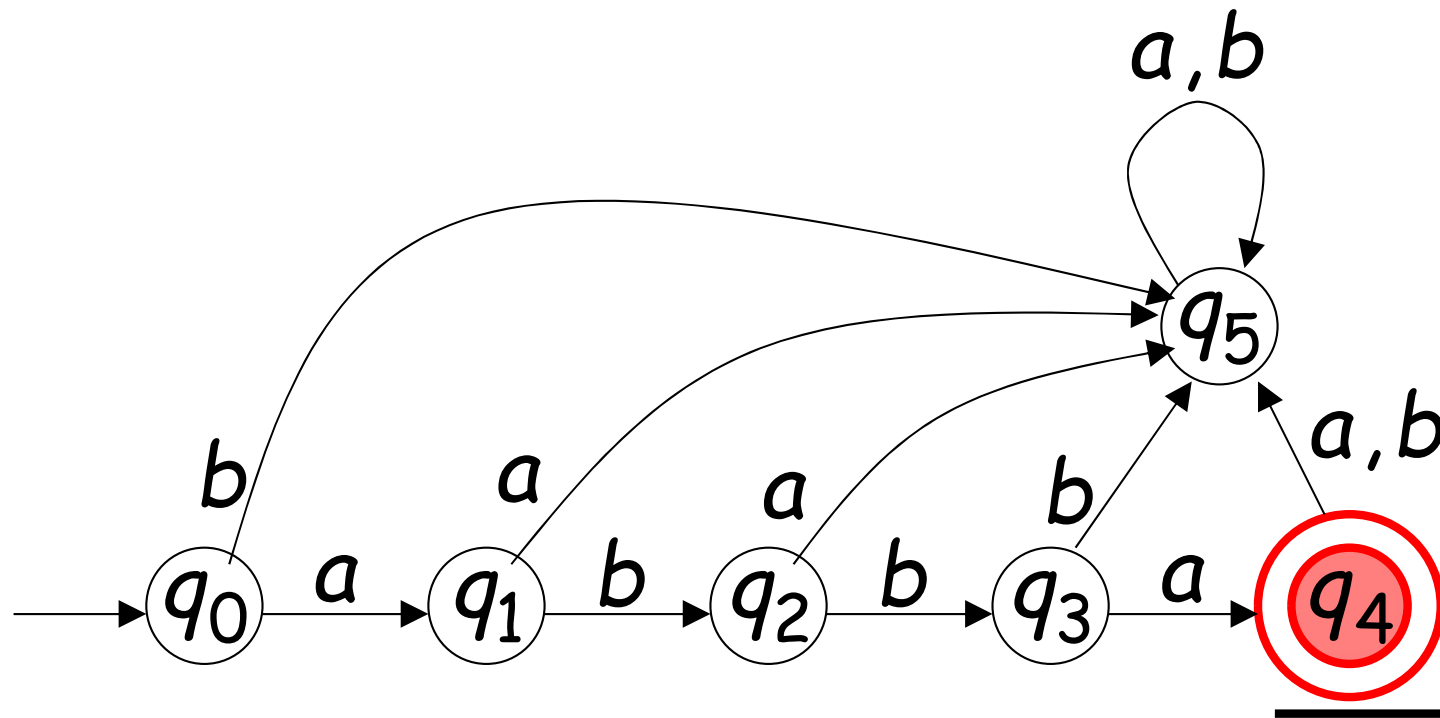
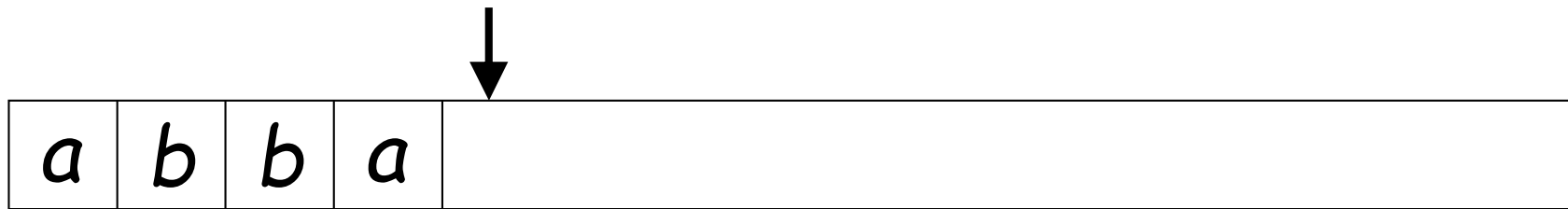






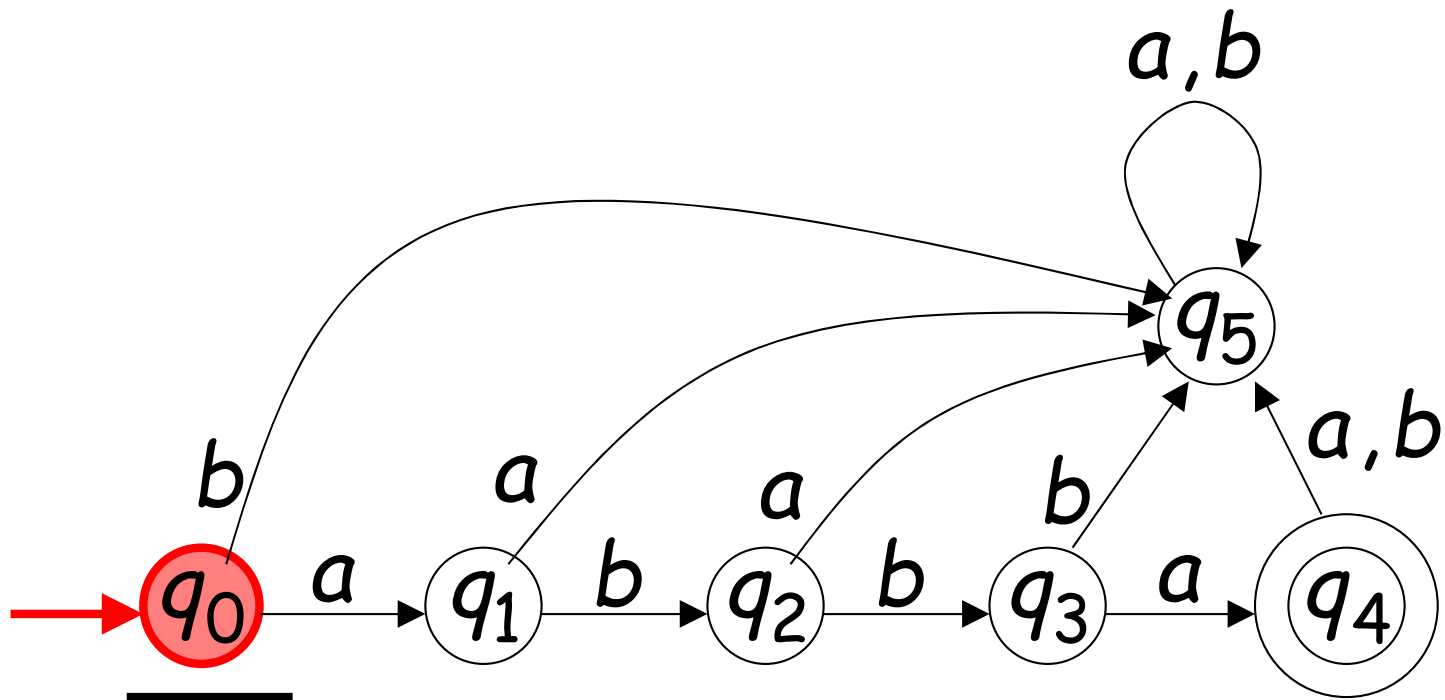


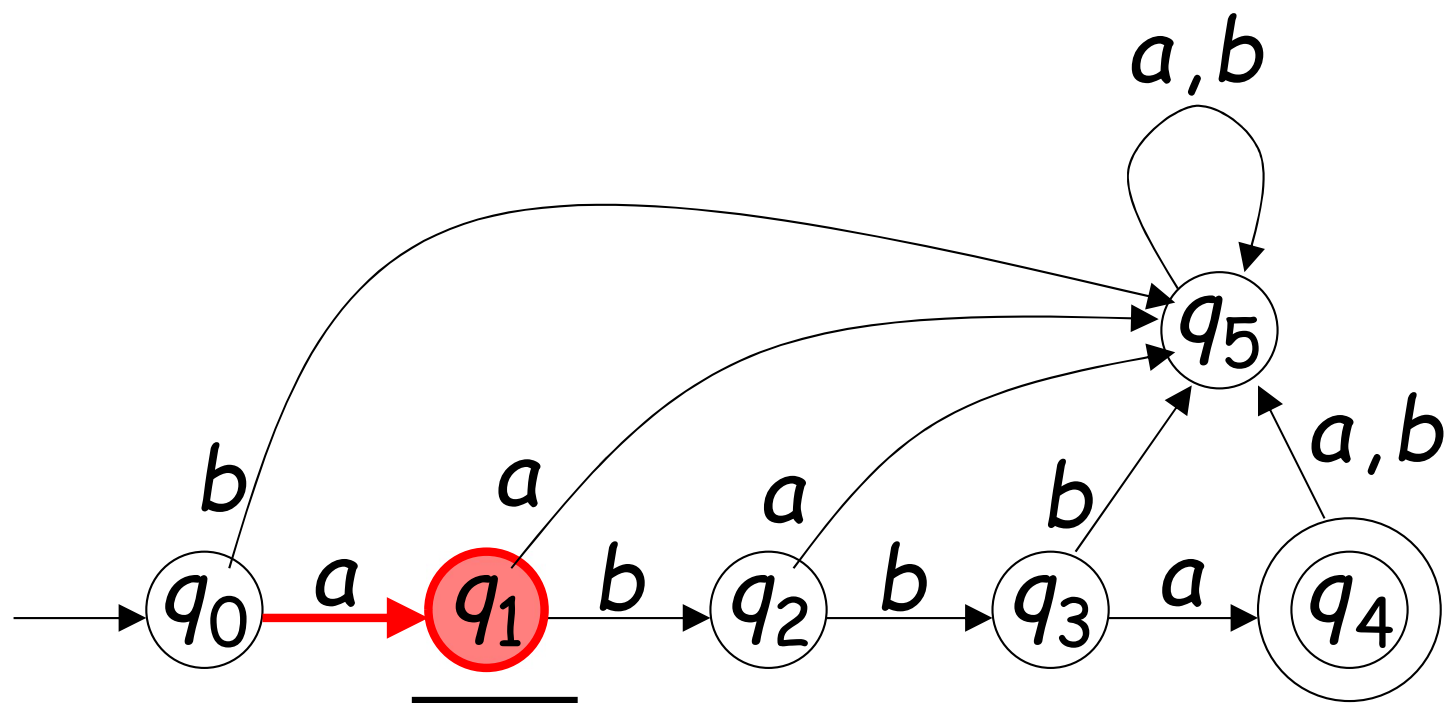
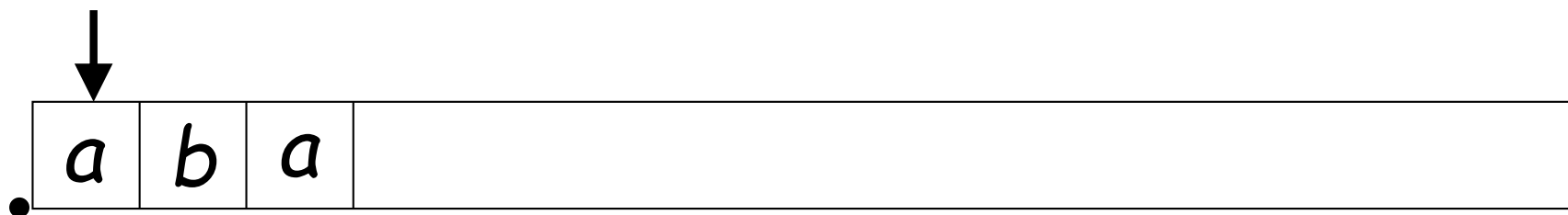
Input finished

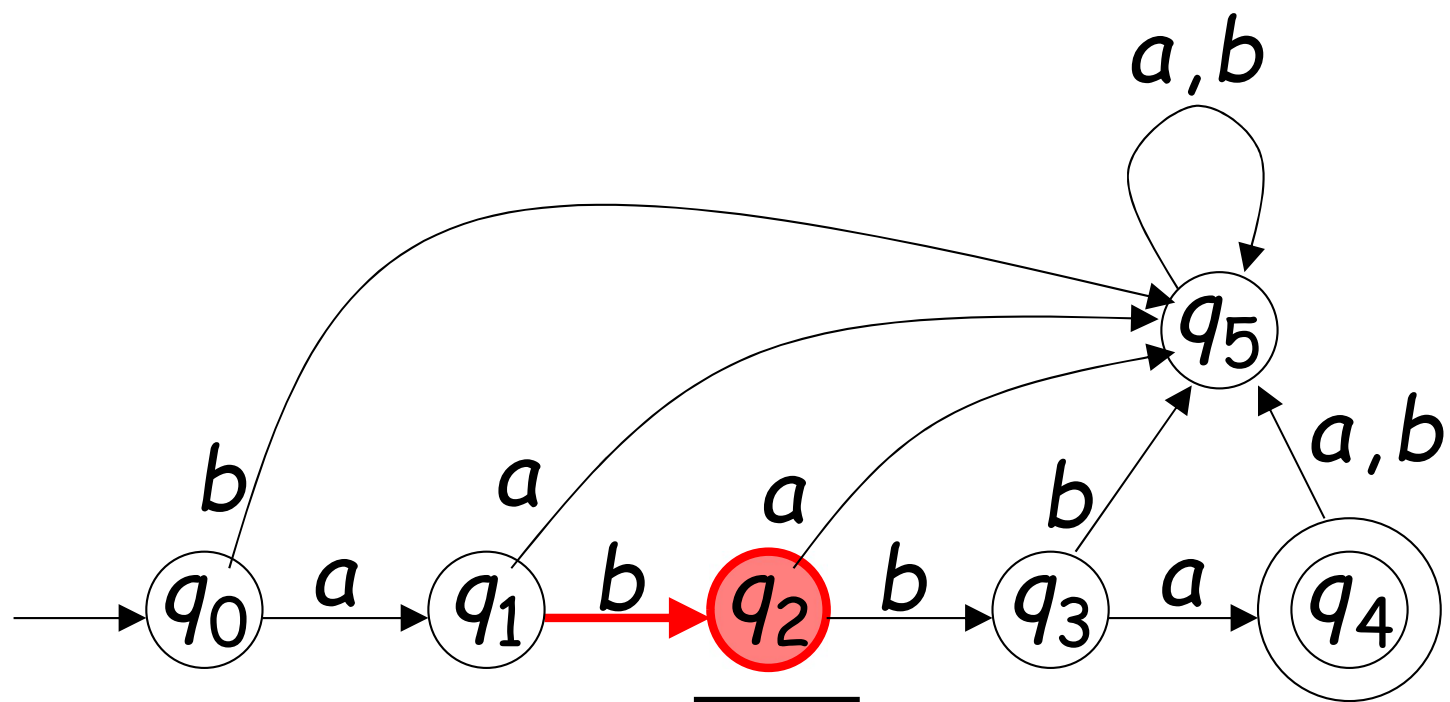
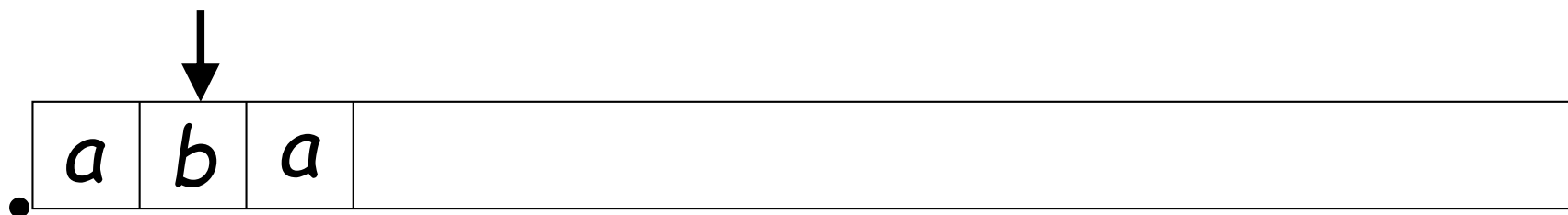


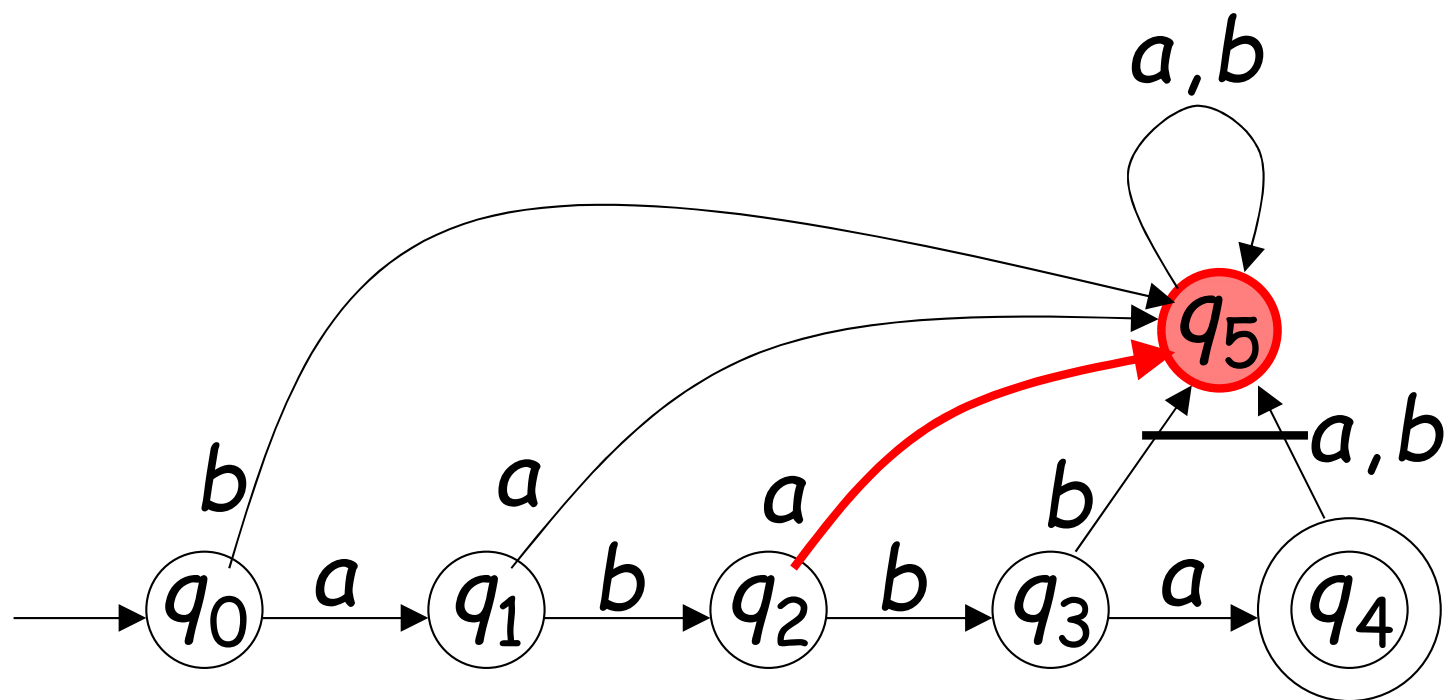
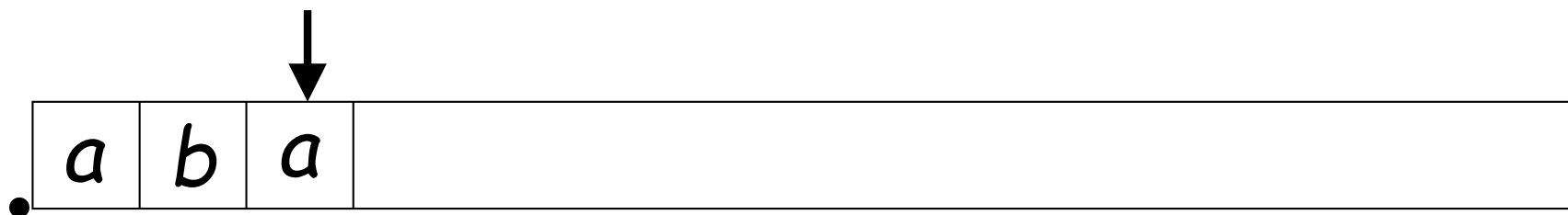
Output: "accept"

# Rejection

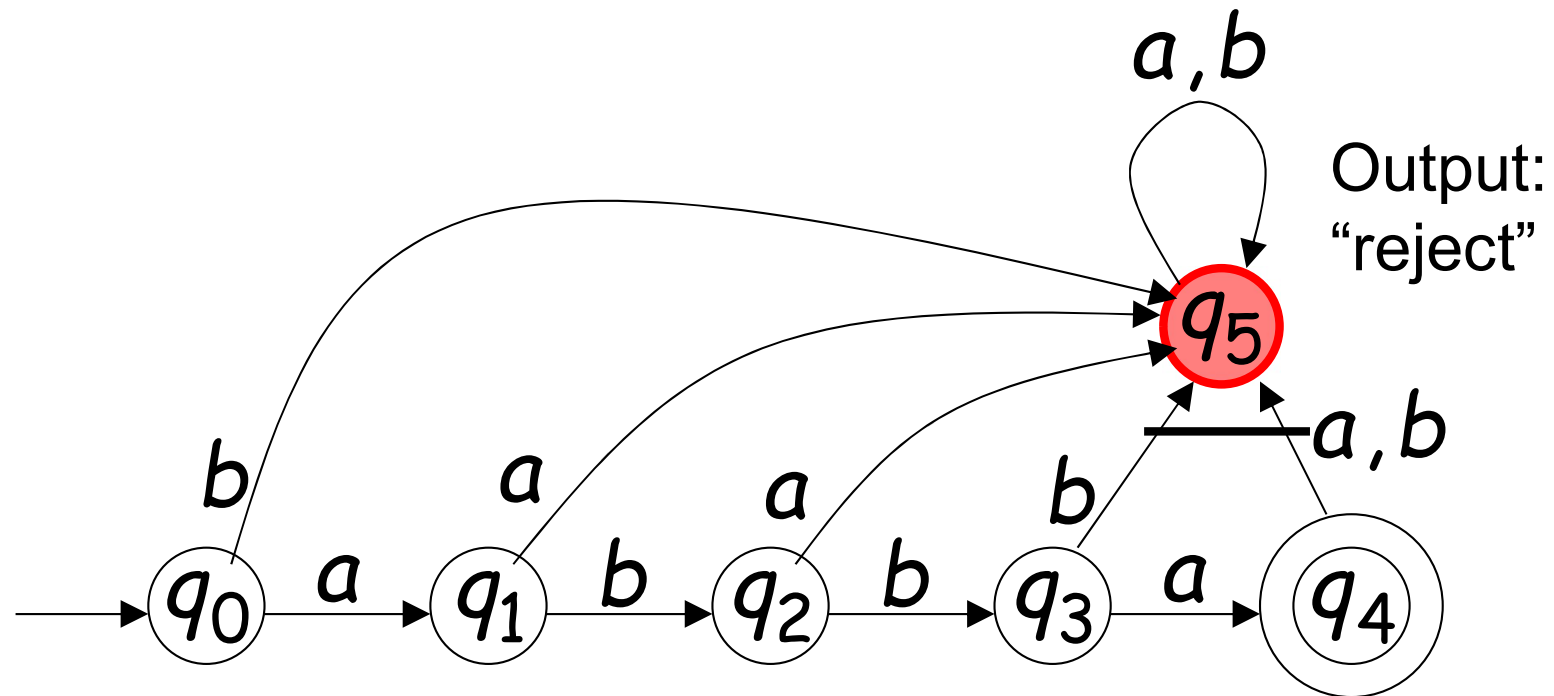
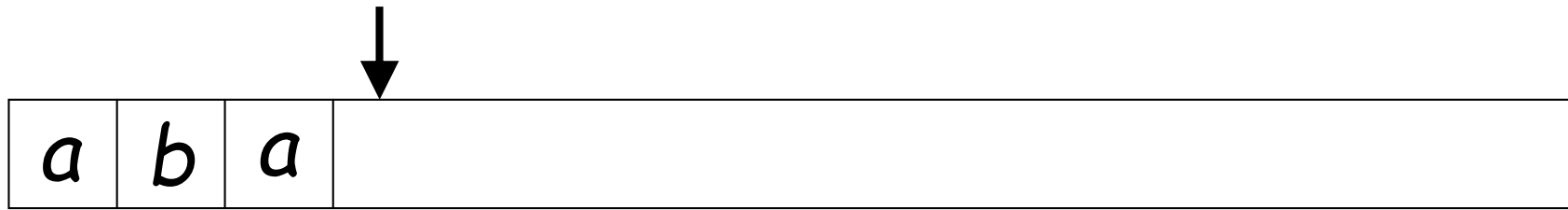




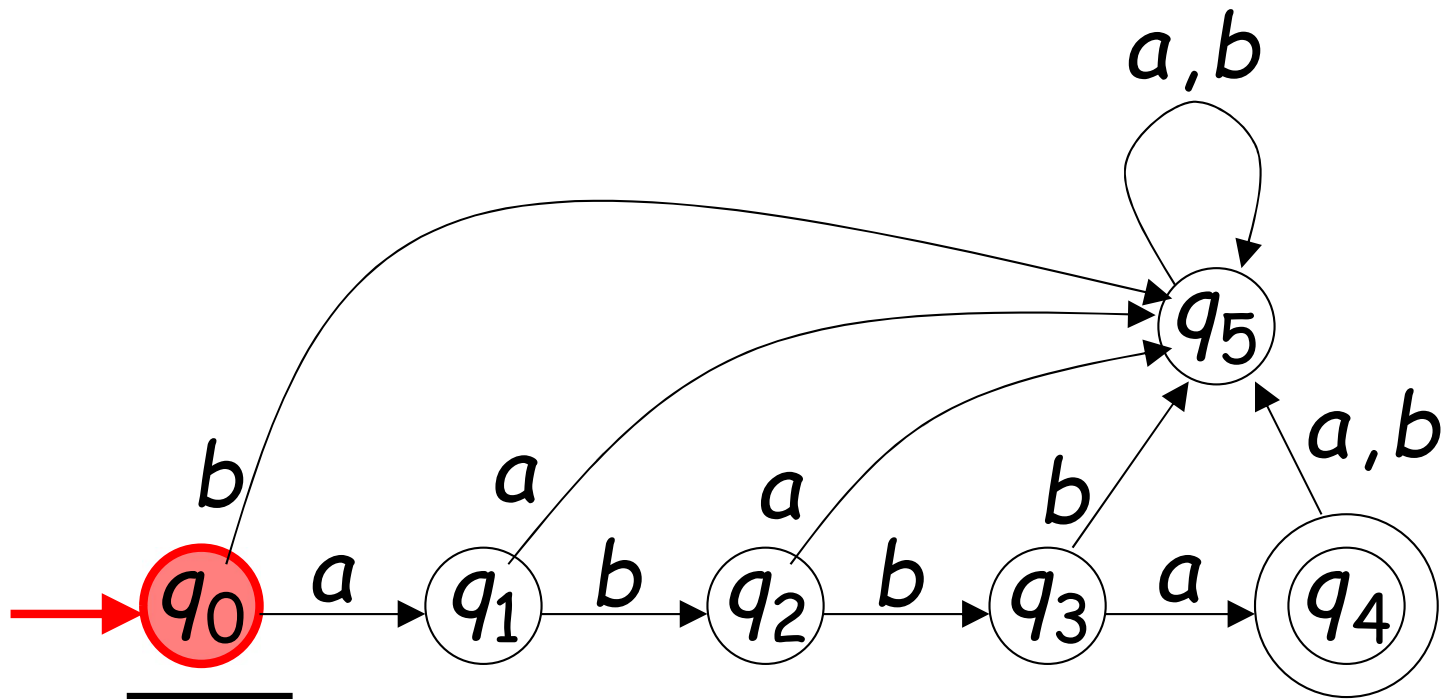
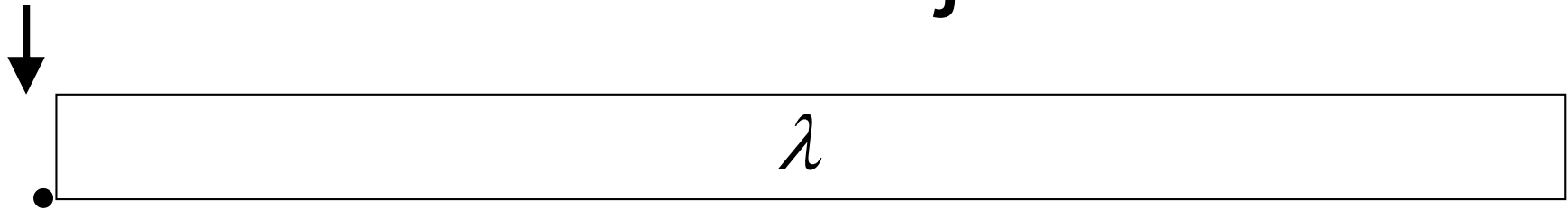




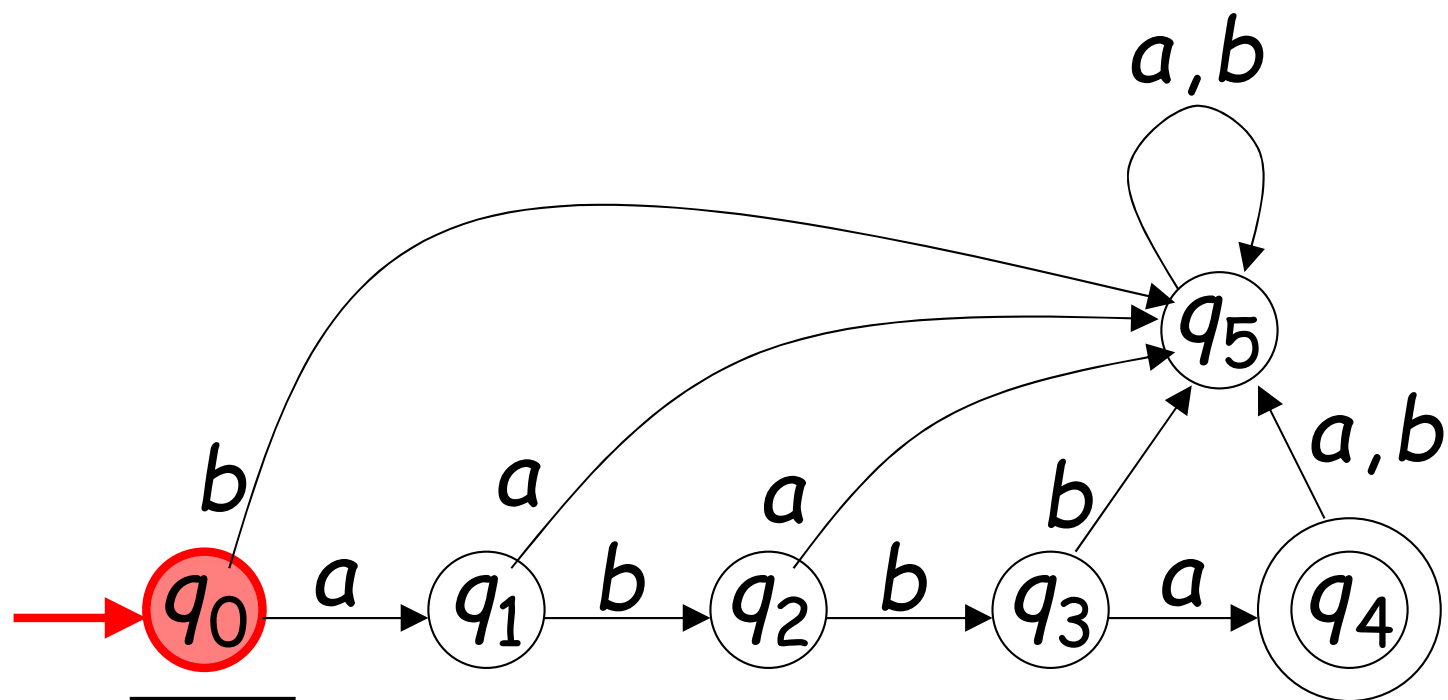
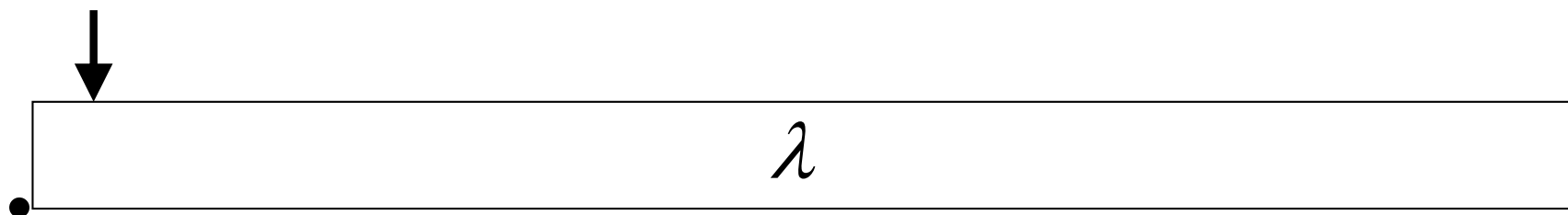
Input finished



# Another Rejection



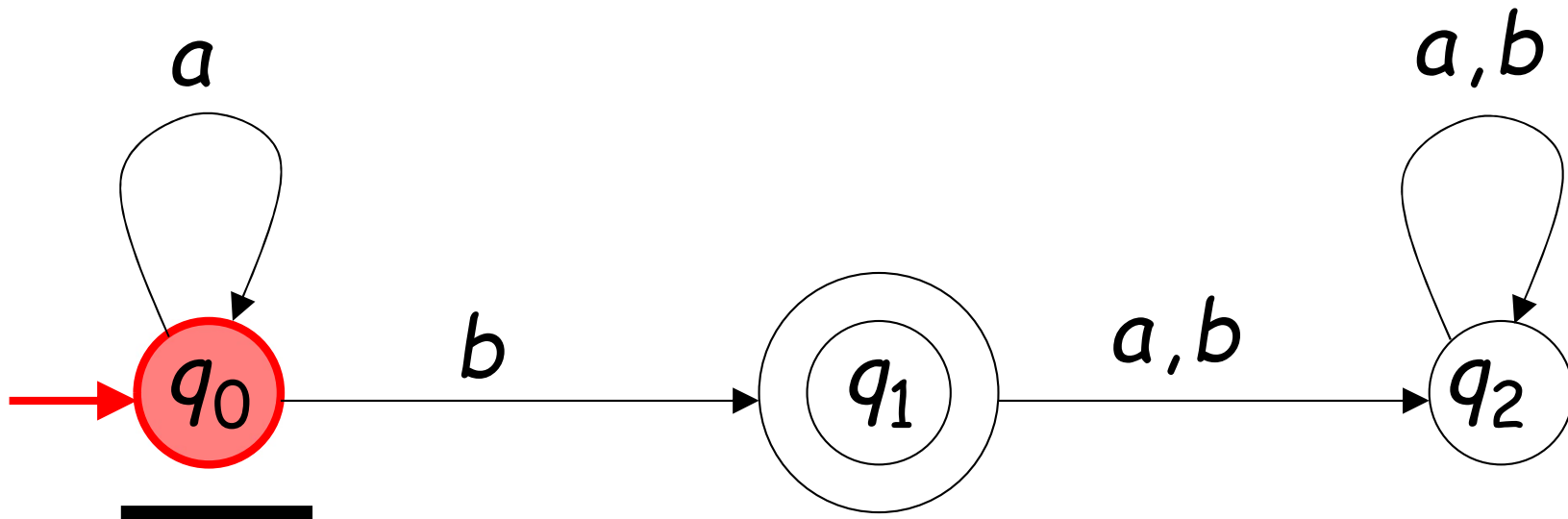
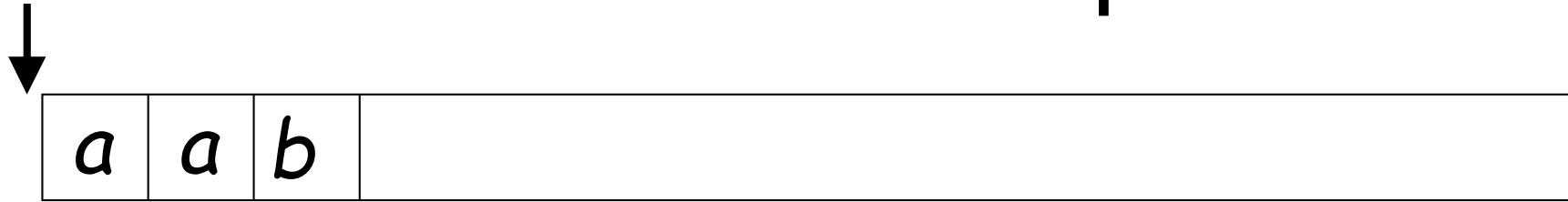


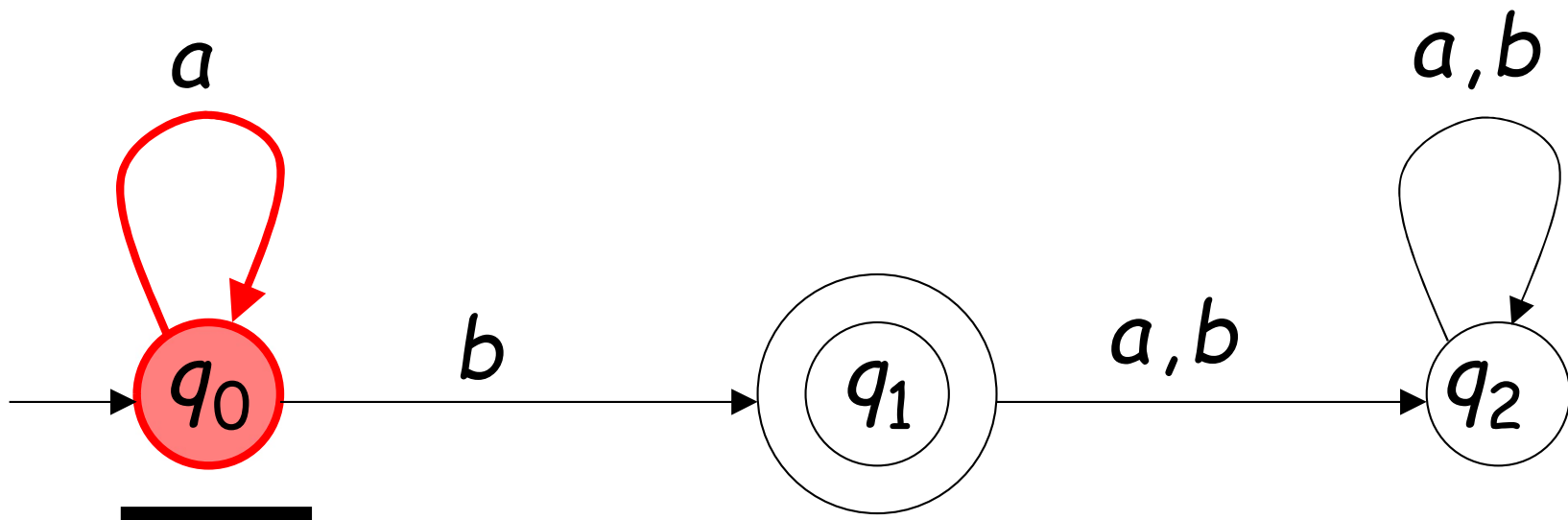
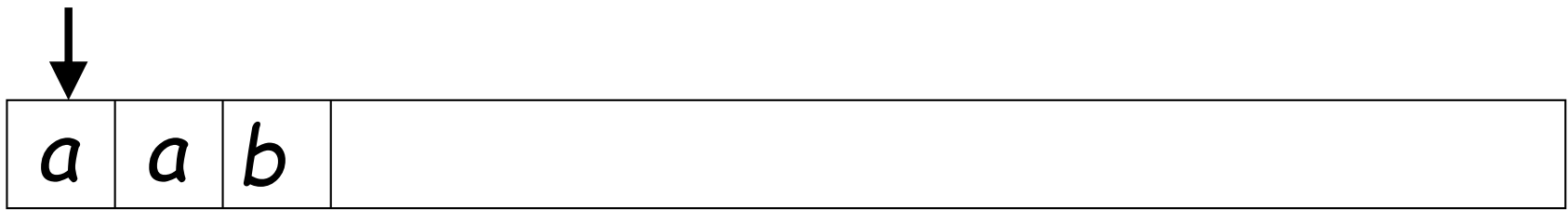


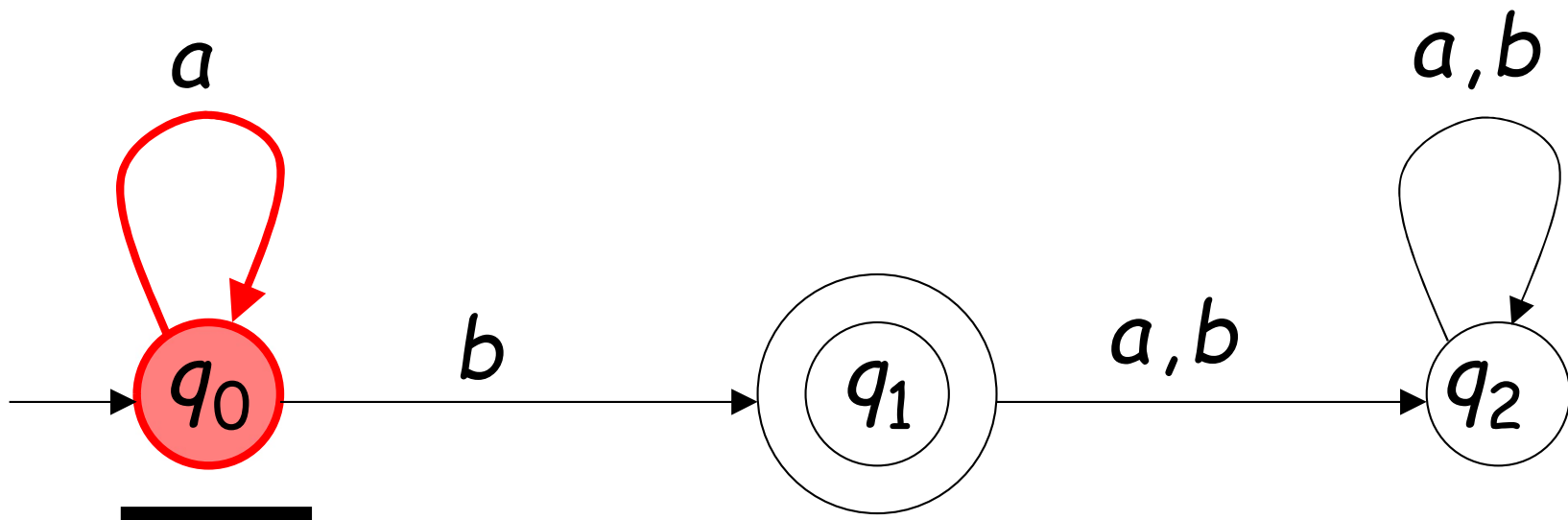
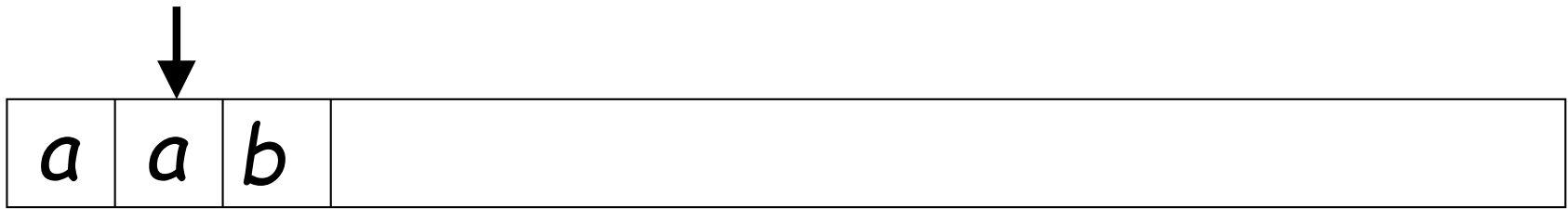
Output:

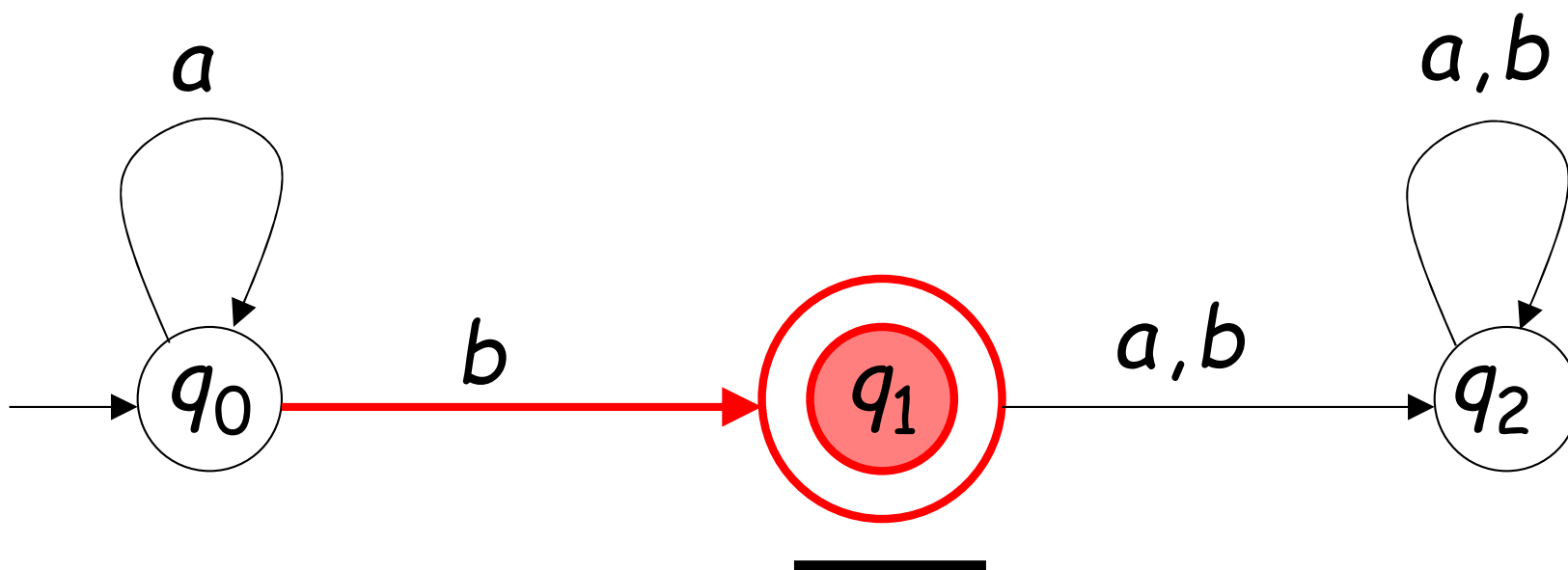
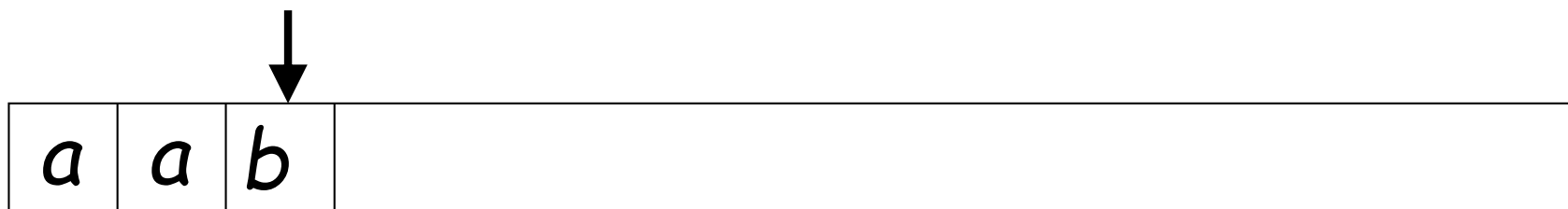
“reject”  $\Rightarrow \lambda$  : 一開始就停

# Another Example

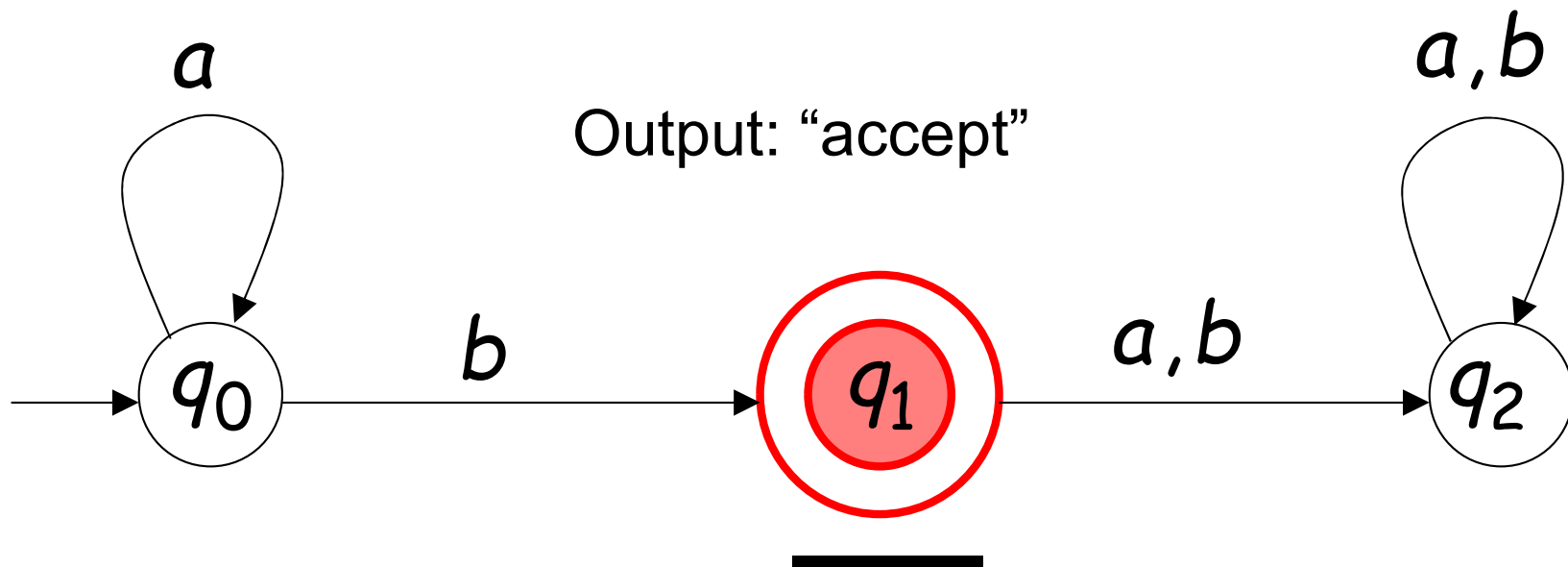
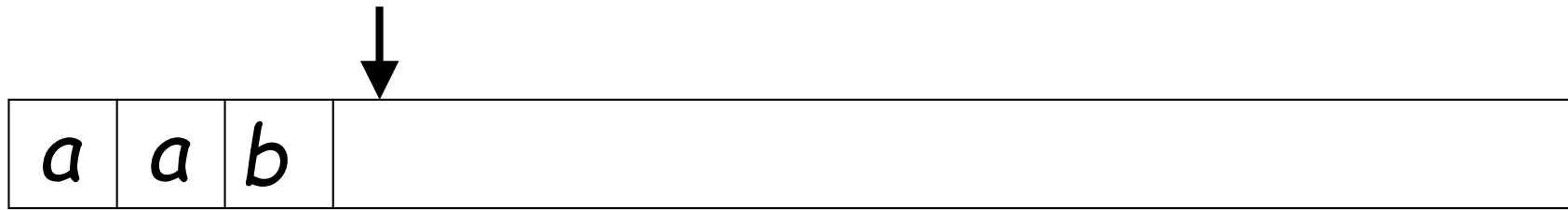




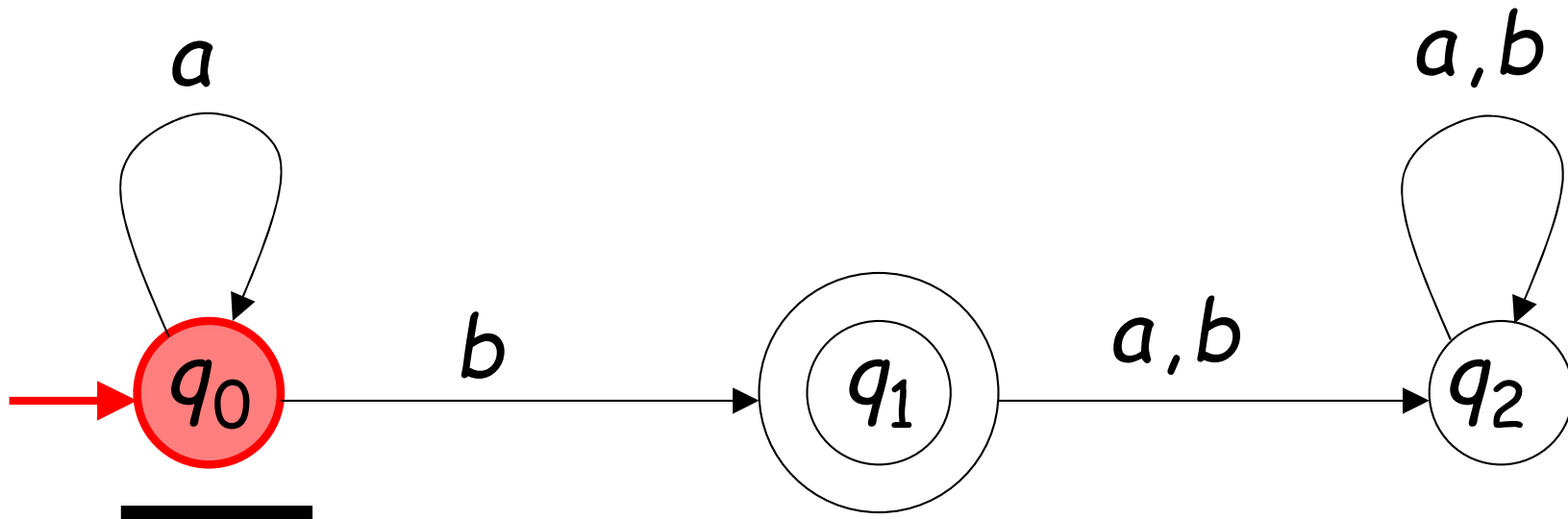
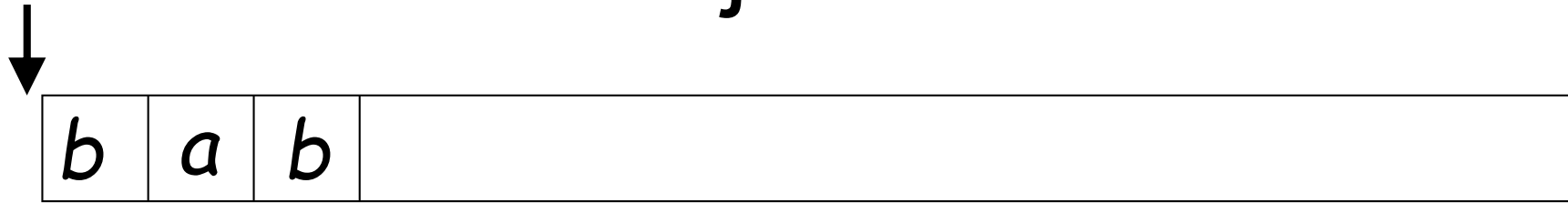


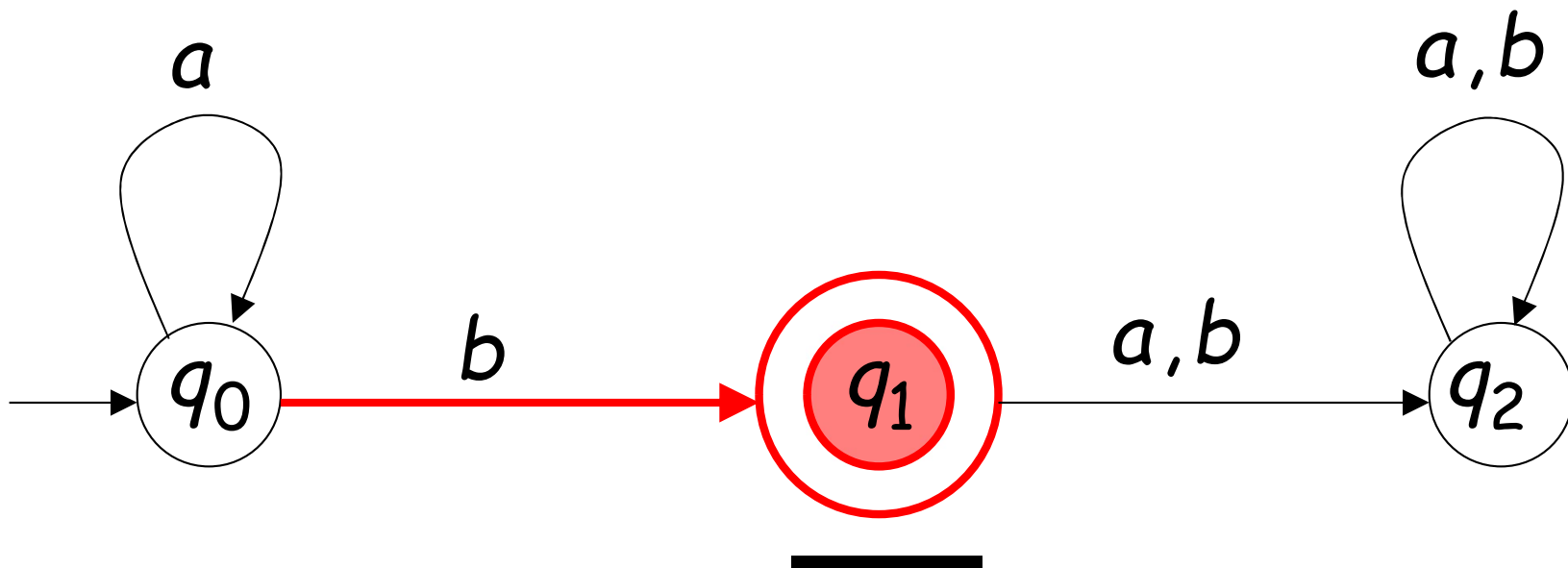
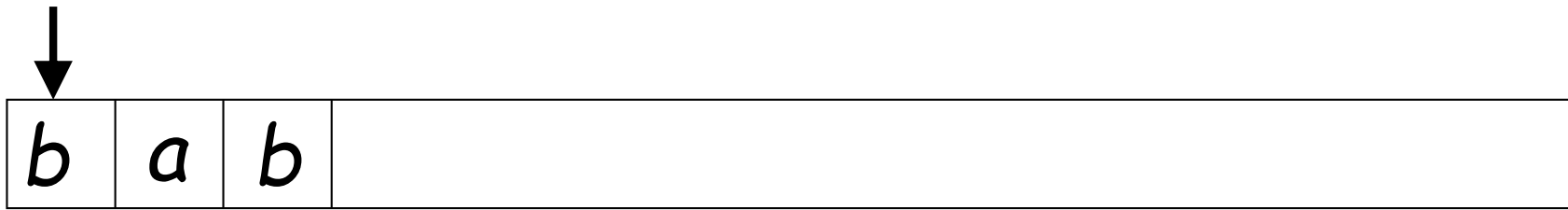


Input finished

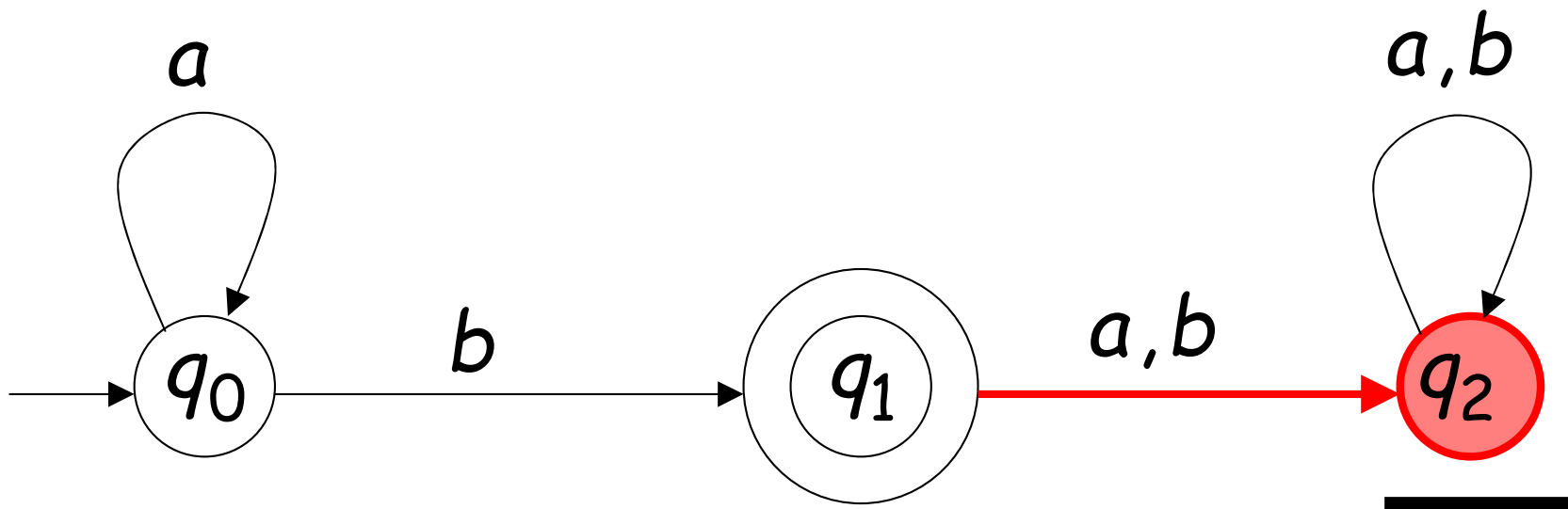
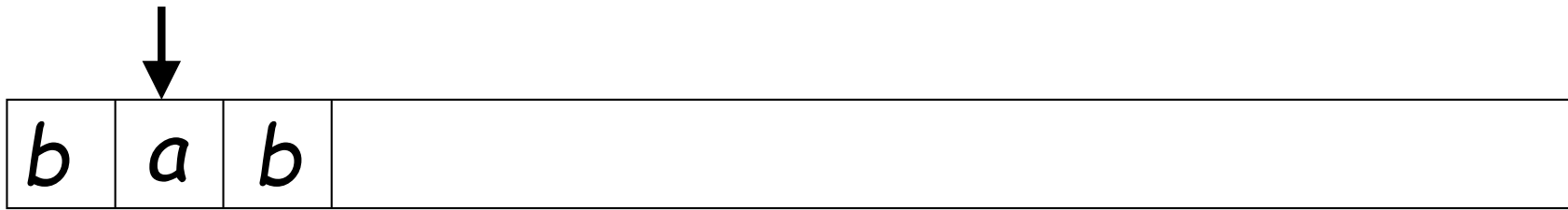


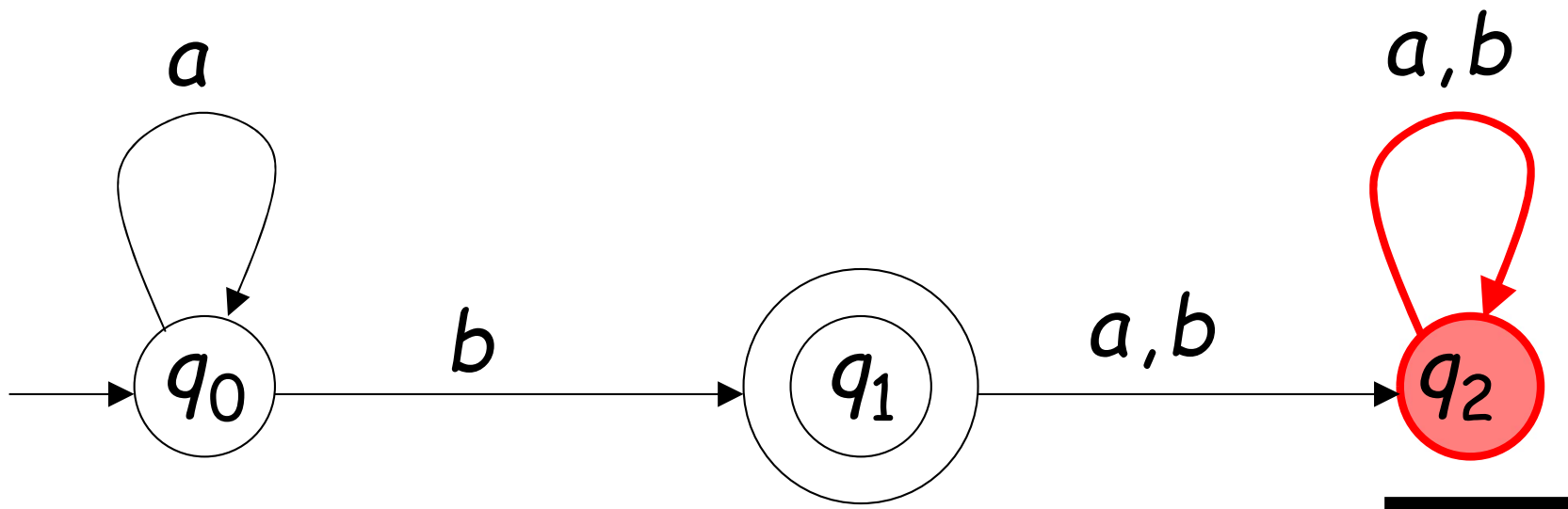
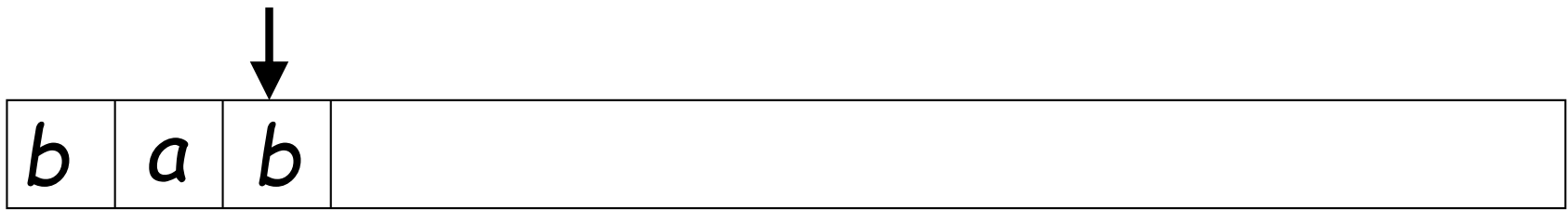
# Rejection



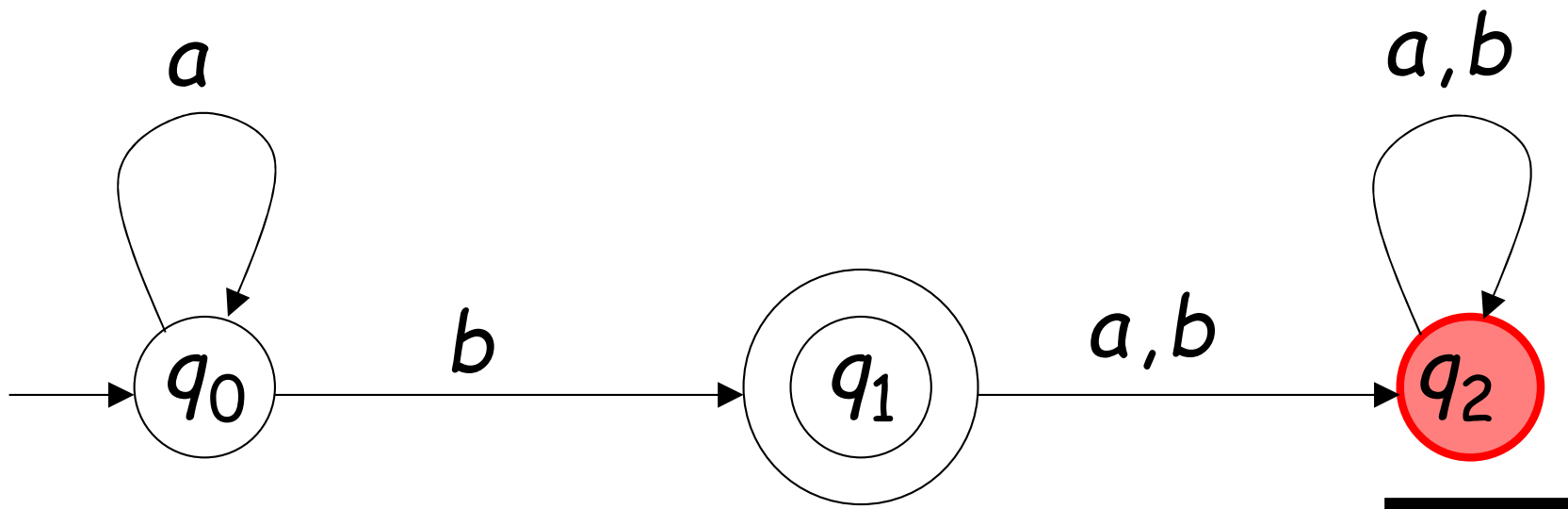
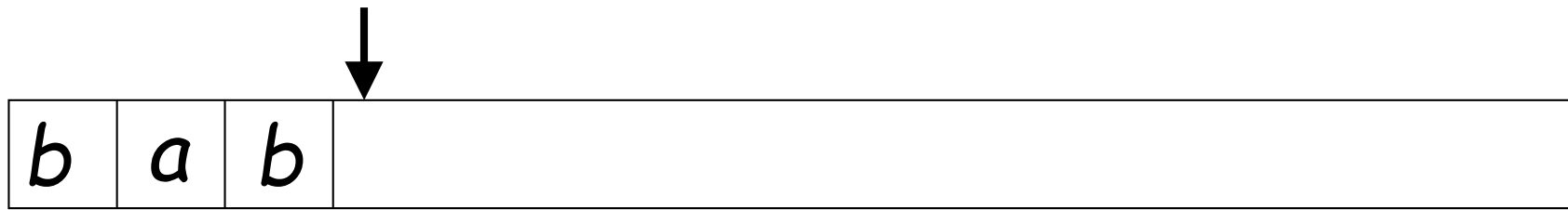








Input finished



Output: "reject"

Trap state

死路

# Definition 2.1

Deterministic Finite Acceptor (DFA) is define by the 5-tuple

$$M = (Q, \Sigma, \delta, q_0, F)$$

$Q$  : a finite set of **internal states** *ex:  $q_0, q_1, \dots$*

$\Sigma$  : a finite set of symbols called **input alphabet**

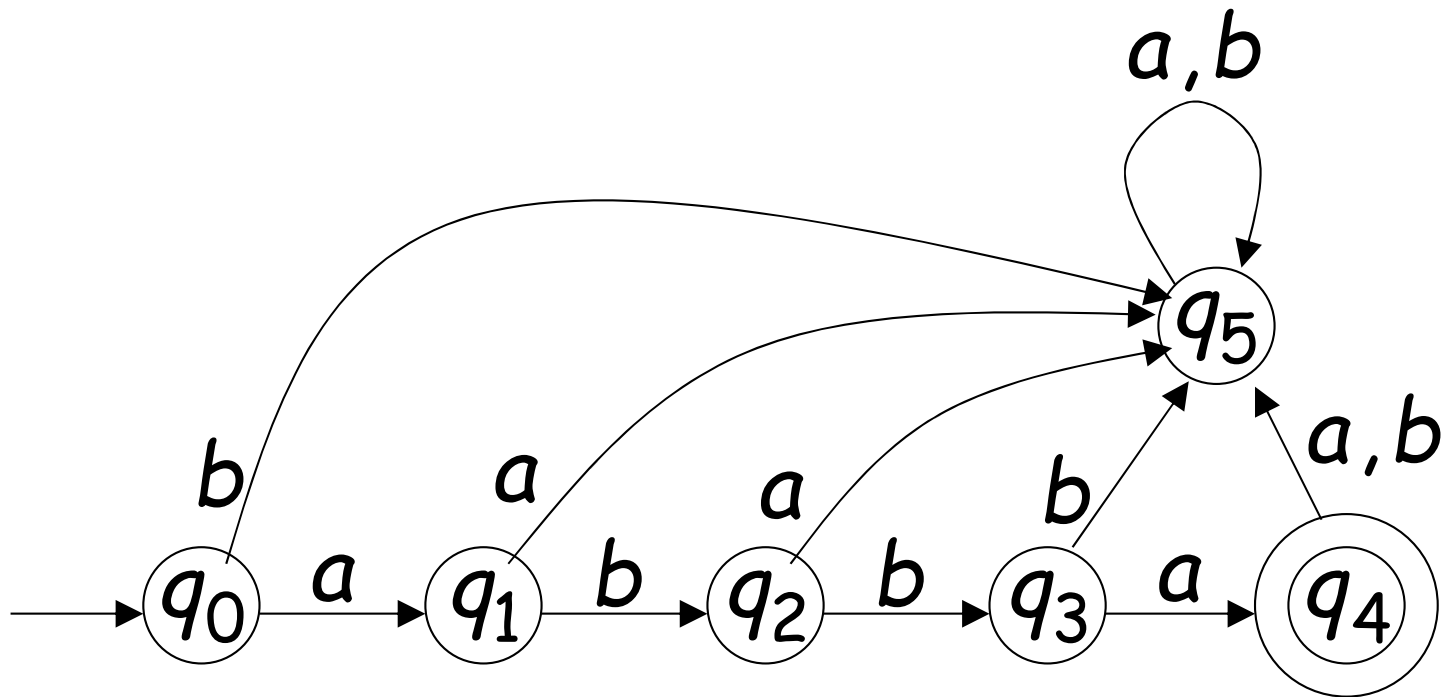
$\delta$  :  $Q \times \Sigma \rightarrow Q$  called **transition function** (Total function)  
*state* *new state*  
*alphabet*

$q_0$  :  $q_0 \in Q$  is the **initial state**  *$\in Q$  (只有一個)*

$F$  :  $F \subseteq Q$  is a set of **final states**  *$\Rightarrow$  集合 (可有多個)*

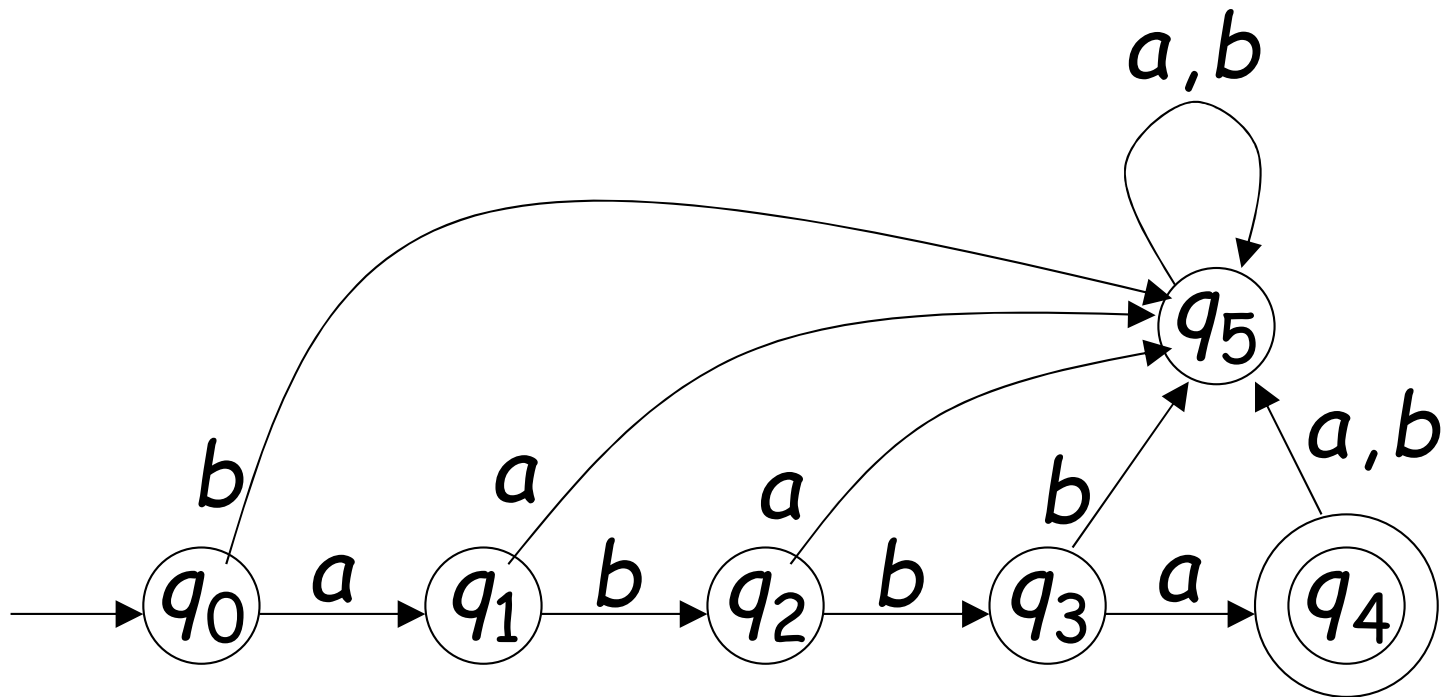
# Input Alphabet $\Sigma$

$$\Sigma = \{a, b\}$$

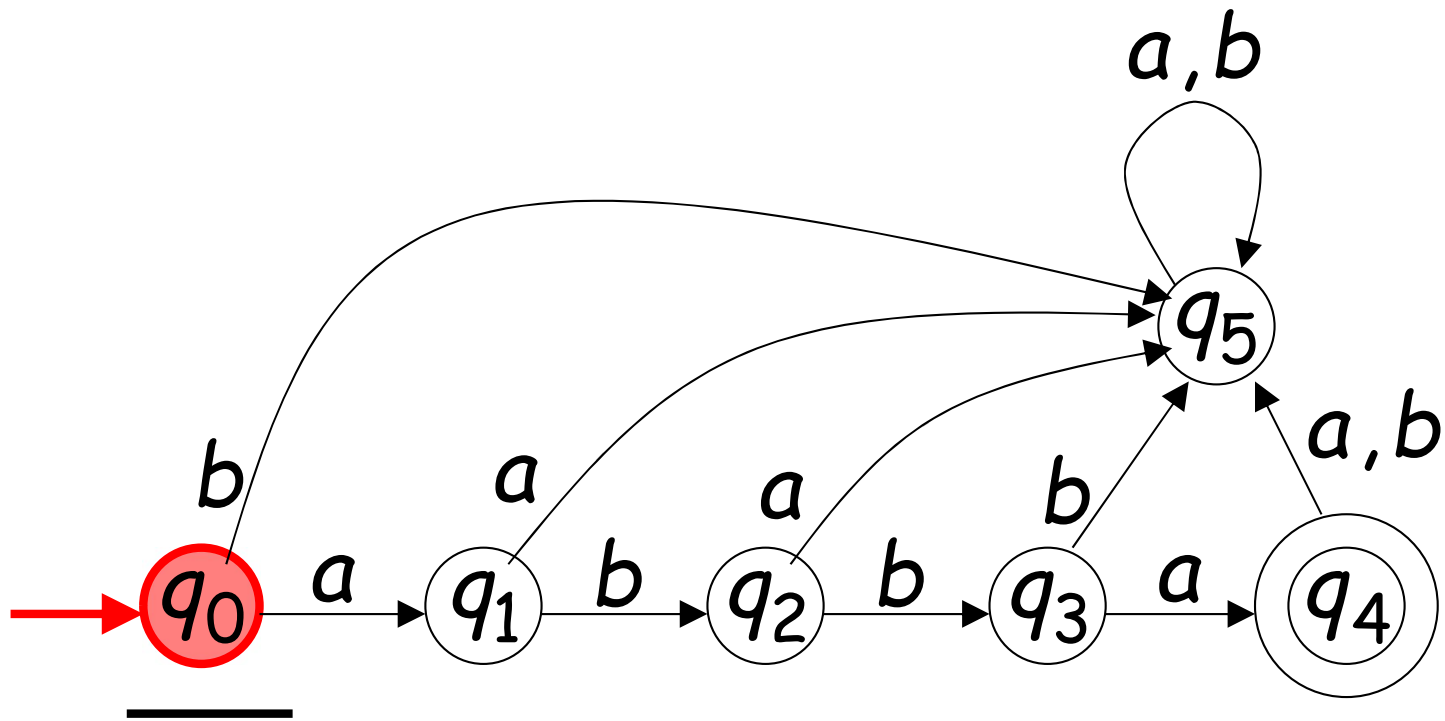


# Set of States $Q$

$$Q = \{q_0, q_1, q_2, q_3, q_4, q_5\}$$

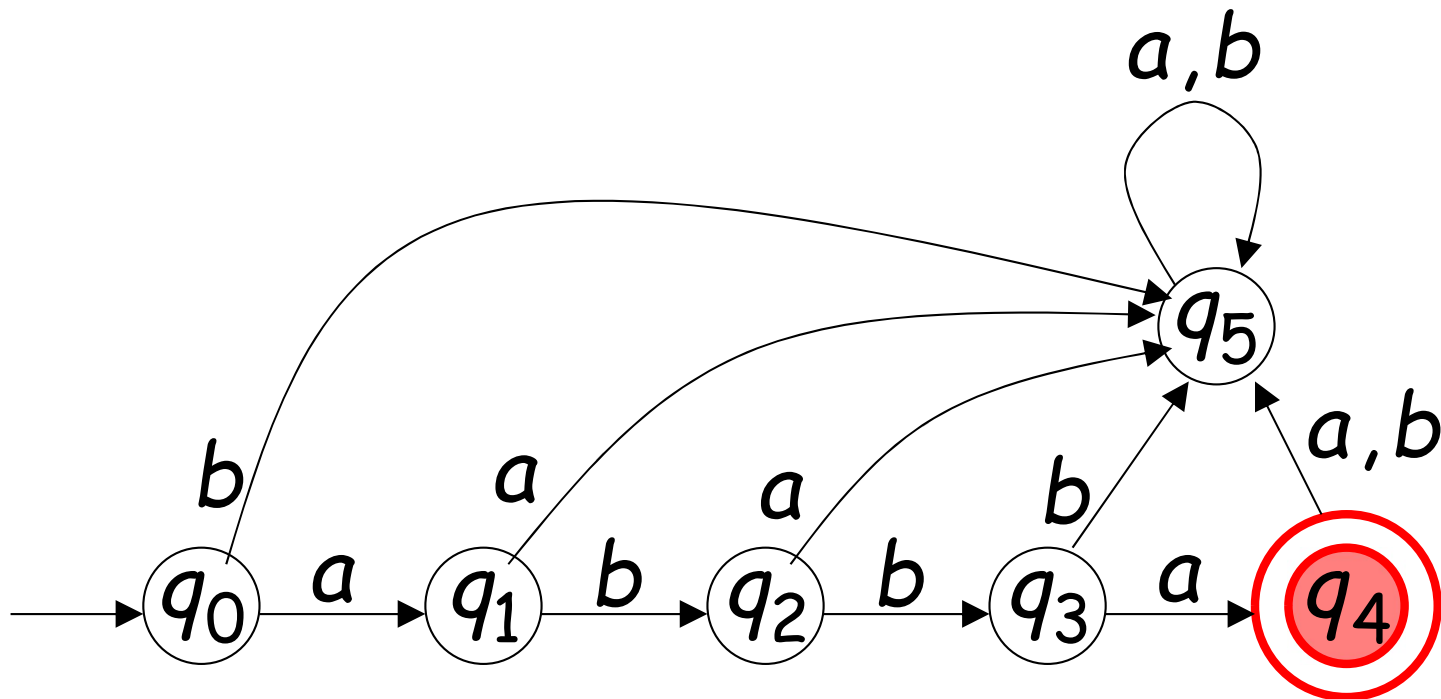


# Initial State $q_0$



# Set of Final States $F$

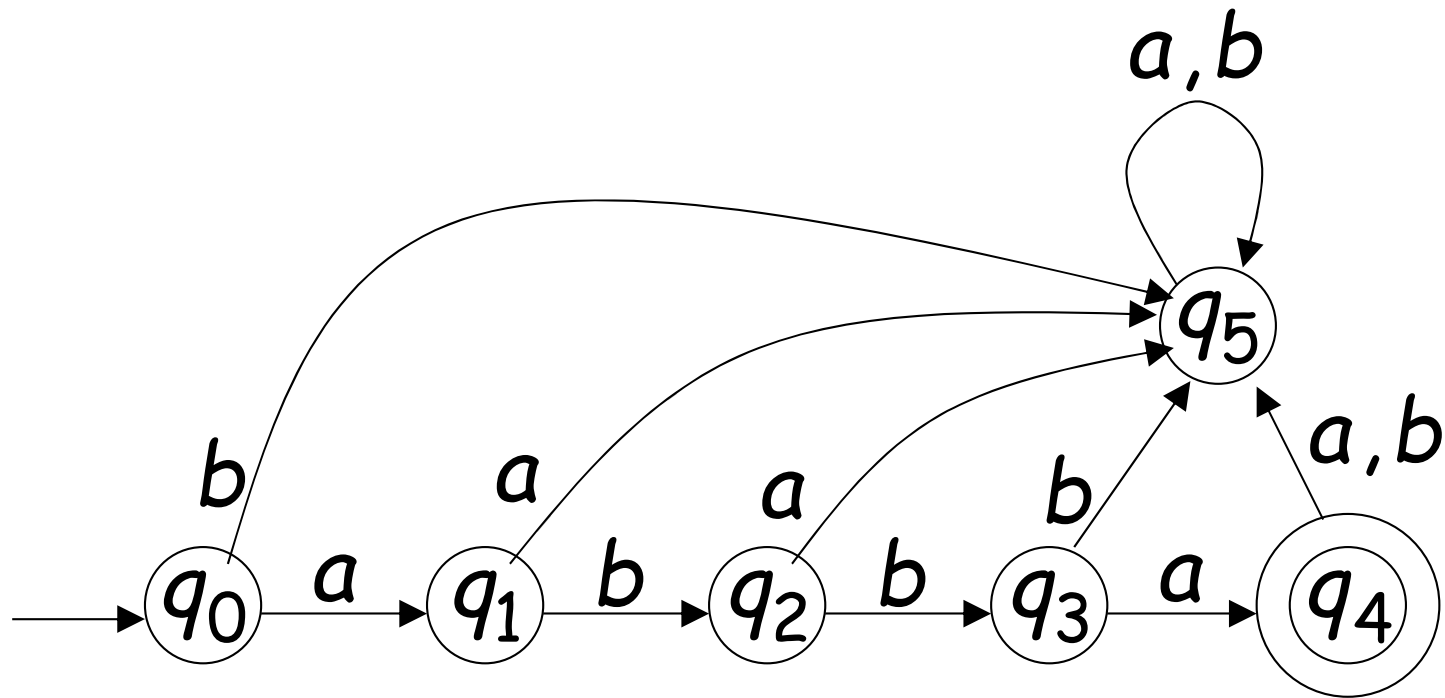
$$F = \{q_4\}$$



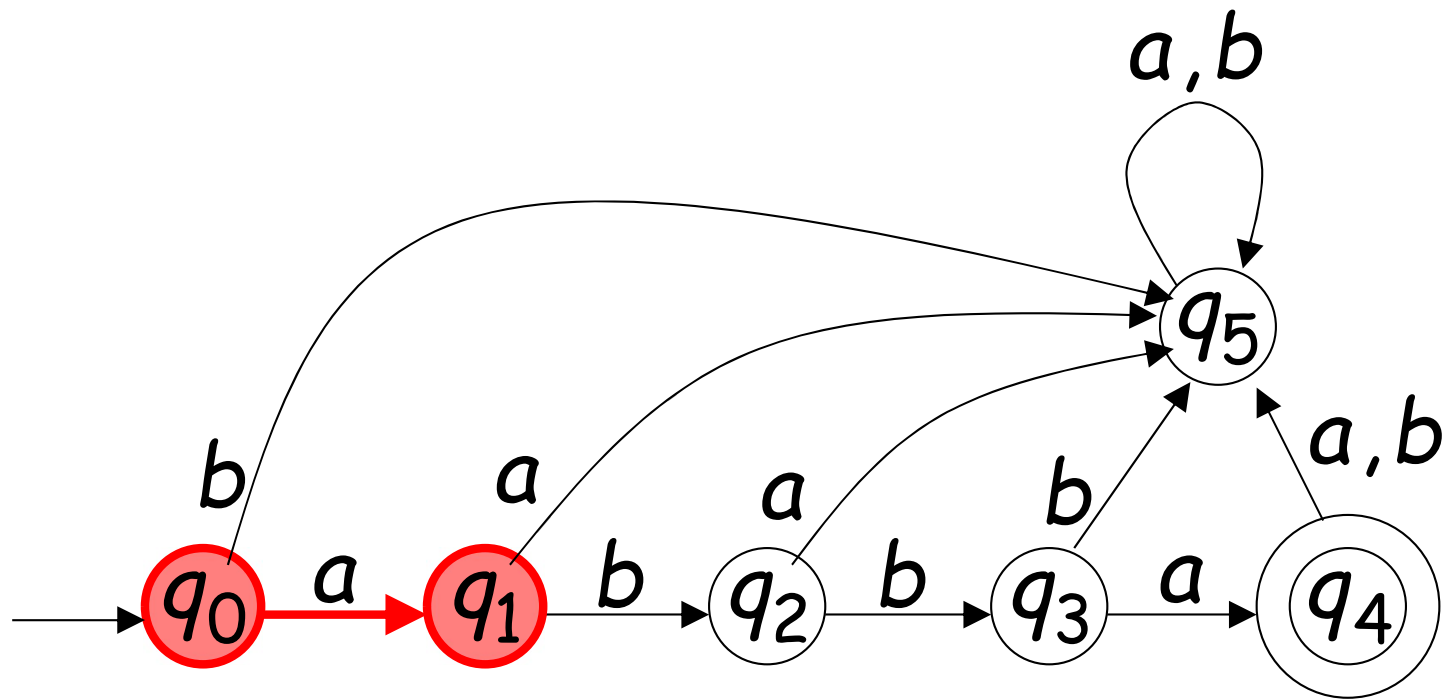


# Transition Function $\delta$

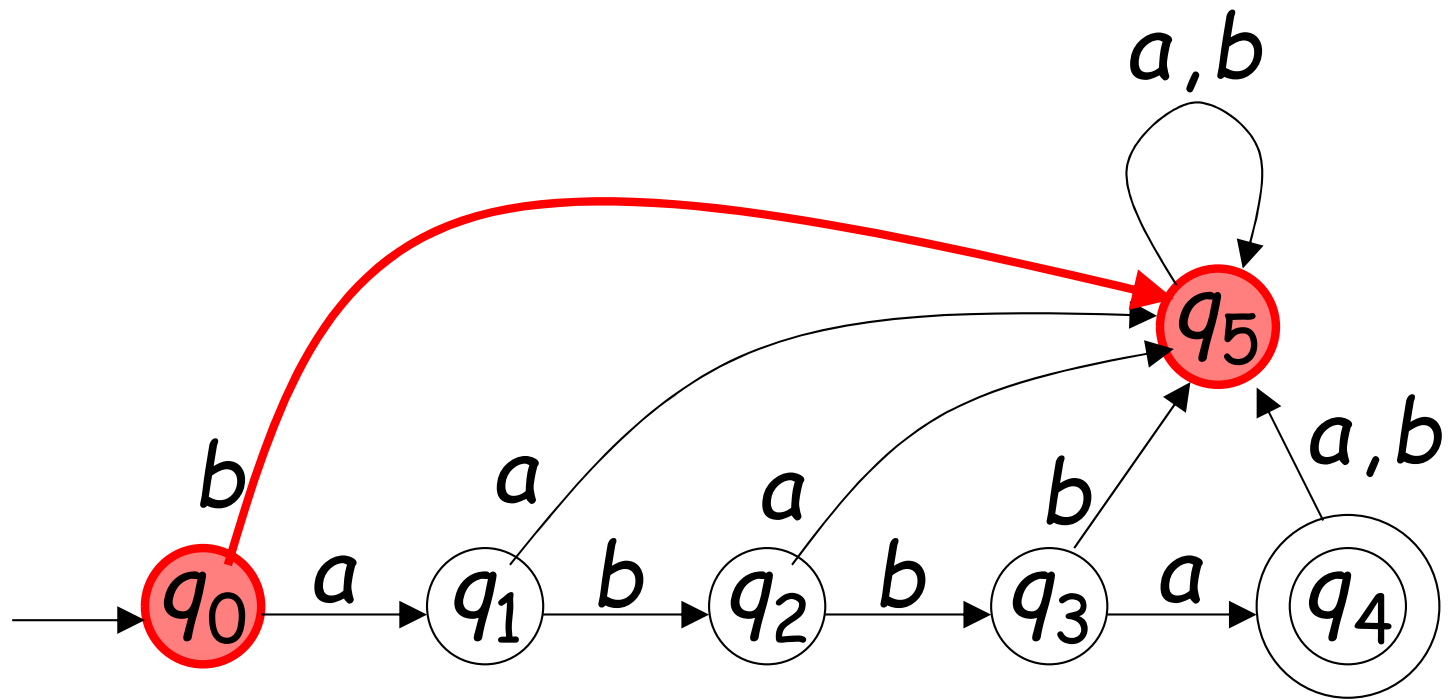
$$\delta : Q \times \Sigma \rightarrow Q$$



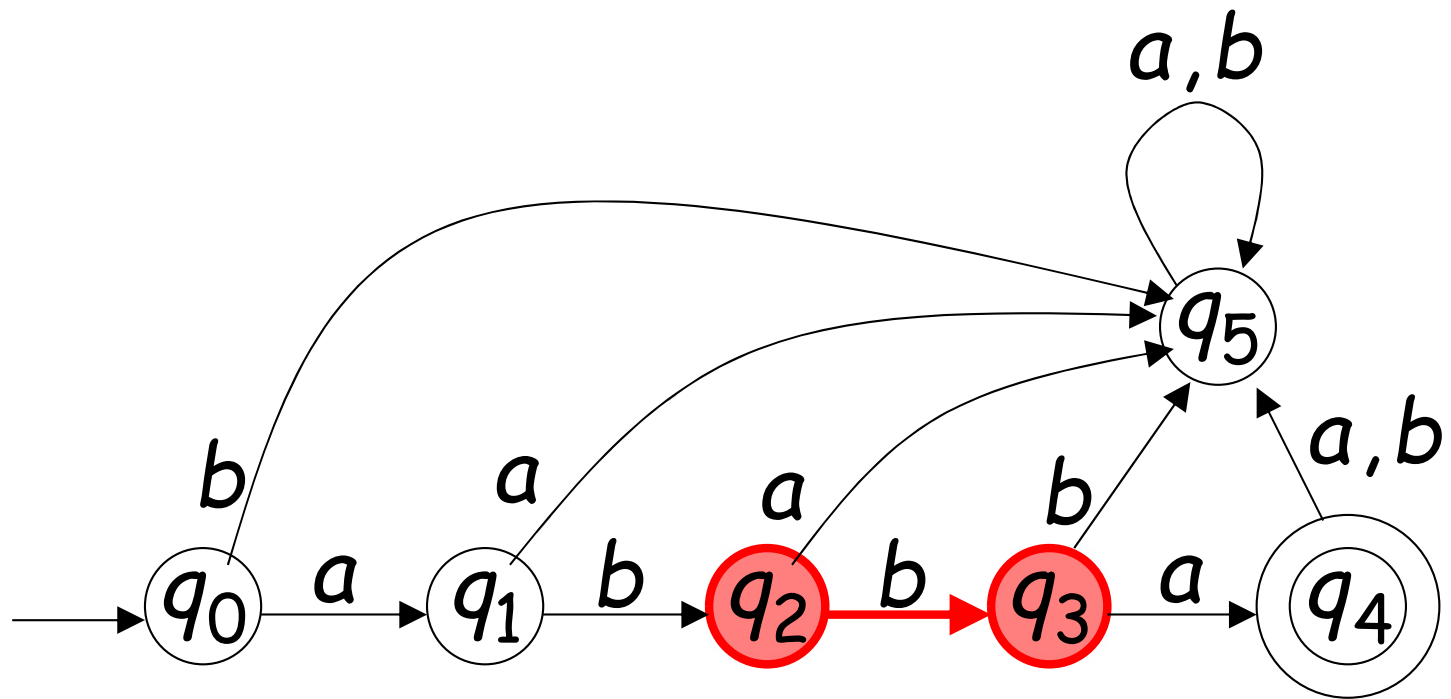
$$\delta(q_0, a) = q_1$$



$$\delta(q_0, b) = q_5$$



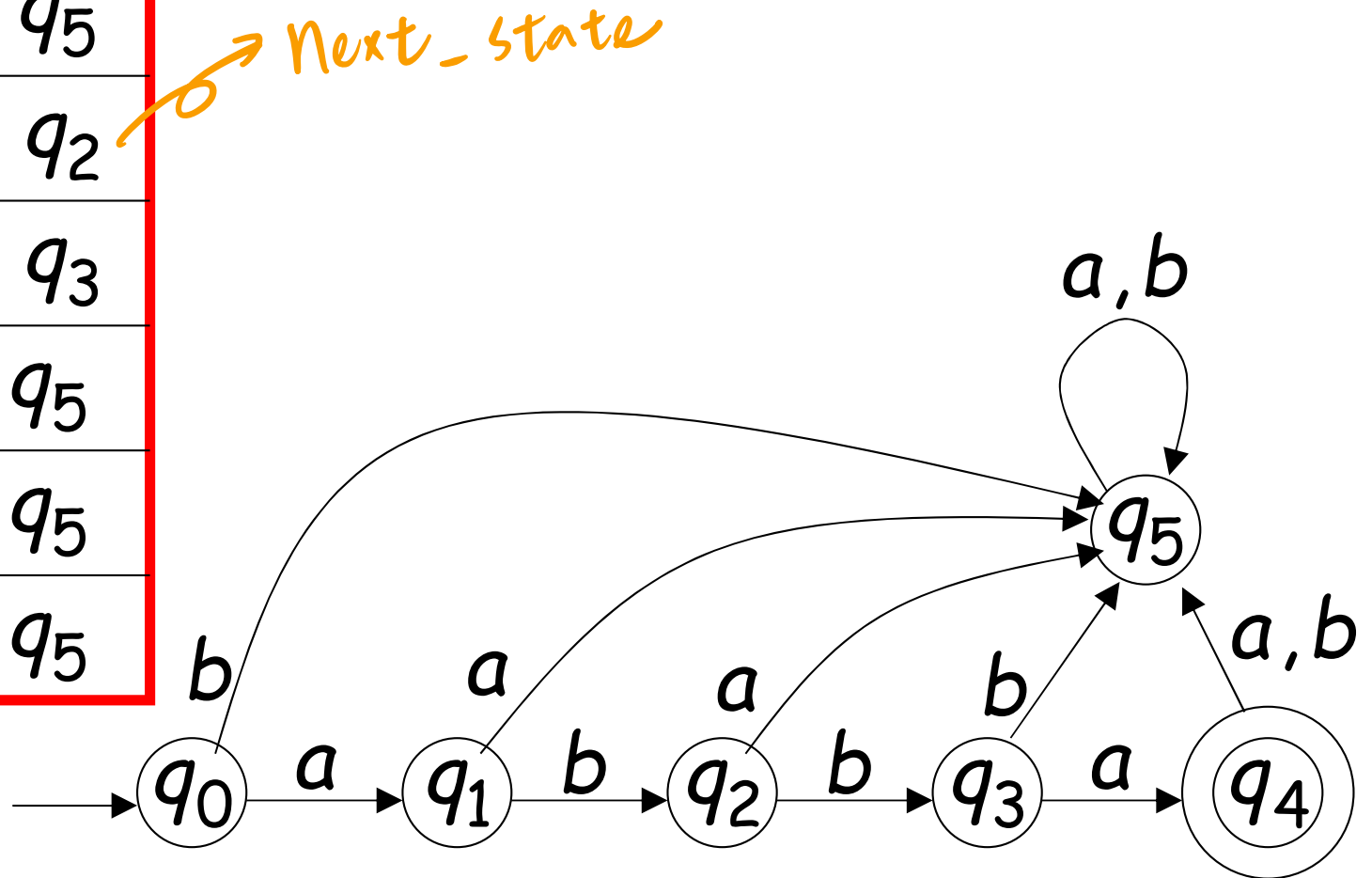
$$\delta(q_2, b) = q_3$$



# Transition Function $\delta$

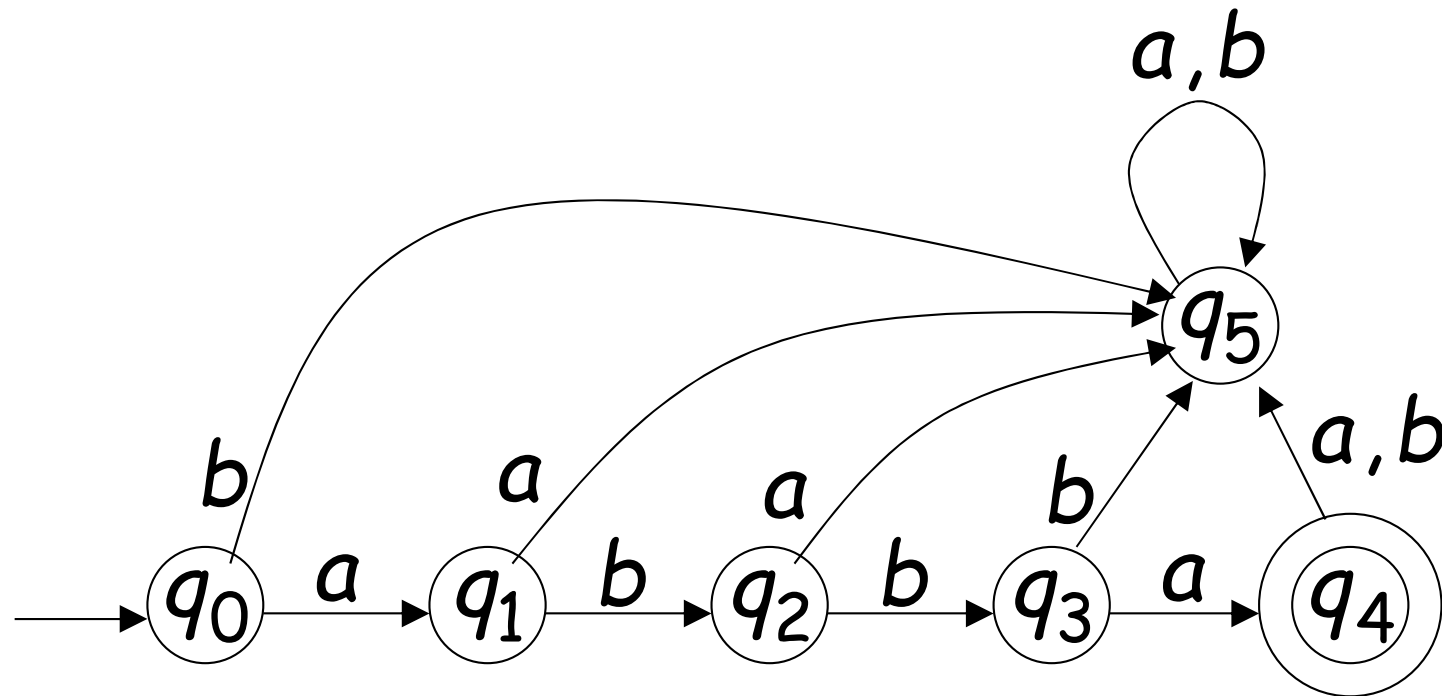
$\delta$	$a$	$b$
$q_0$	$q_1$	$q_5$
$q_1$	$q_5$	$q_2$
$q_2$	$q_5$	$q_3$
$q_3$	$q_4$	$q_5$
$q_4$	$q_5$	$q_5$
$q_5$	$q_5$	$q_5$

Transition Table

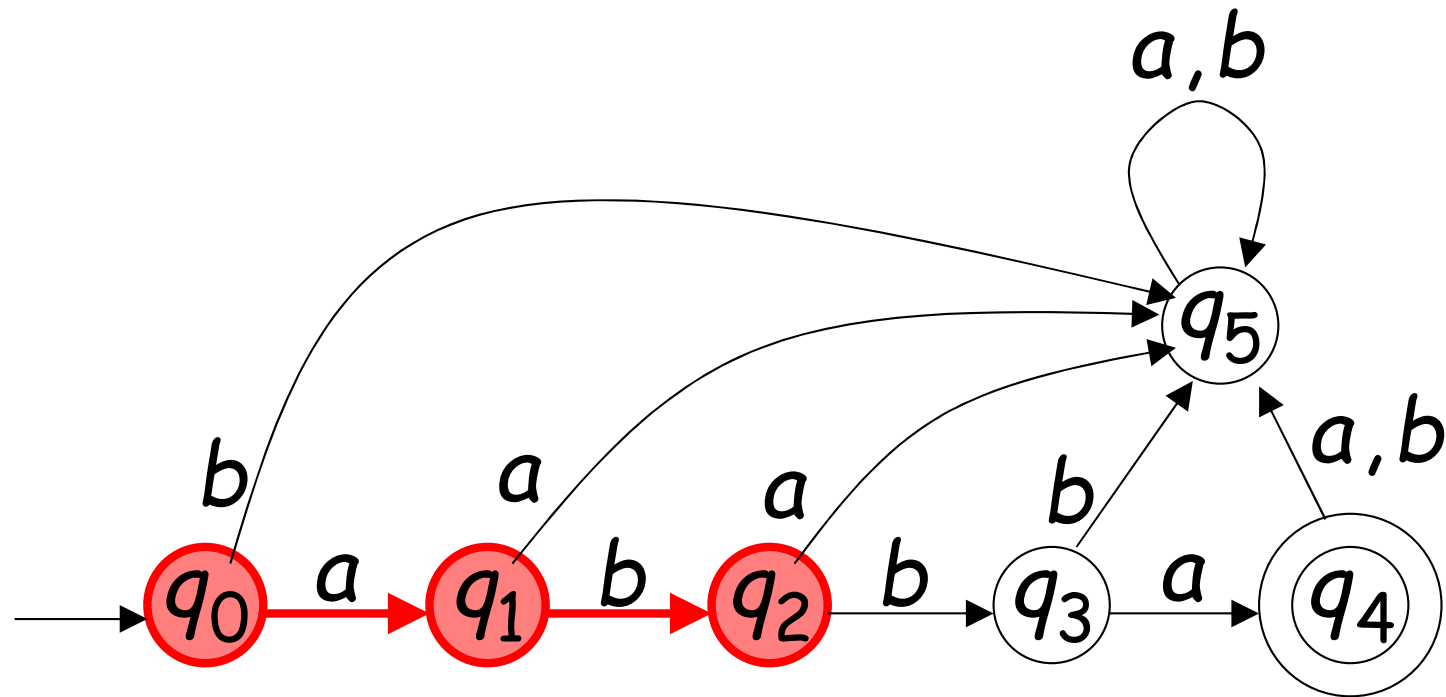


# Extended Transition Function $\delta^*$

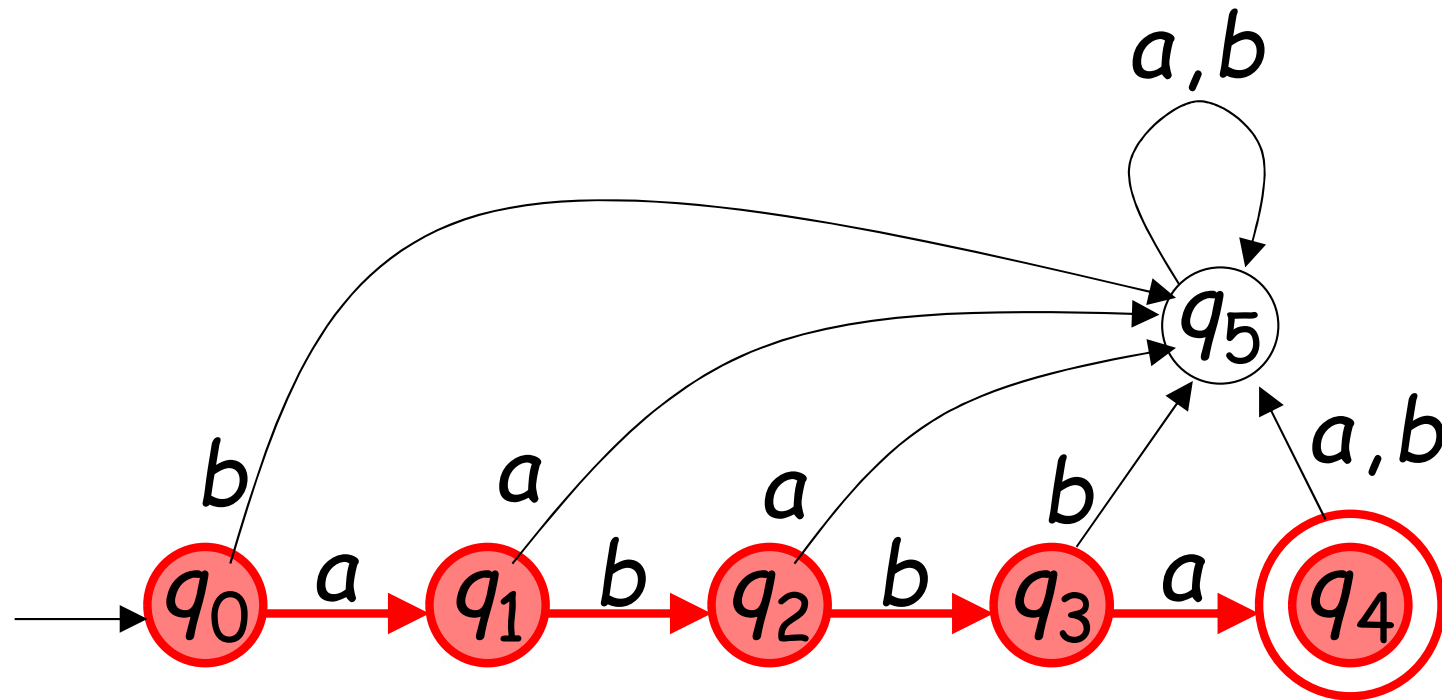
$$\delta^*: Q \times \Sigma^* \rightarrow Q$$



$$\delta^*(q_0, \underline{a}b) = q_2$$

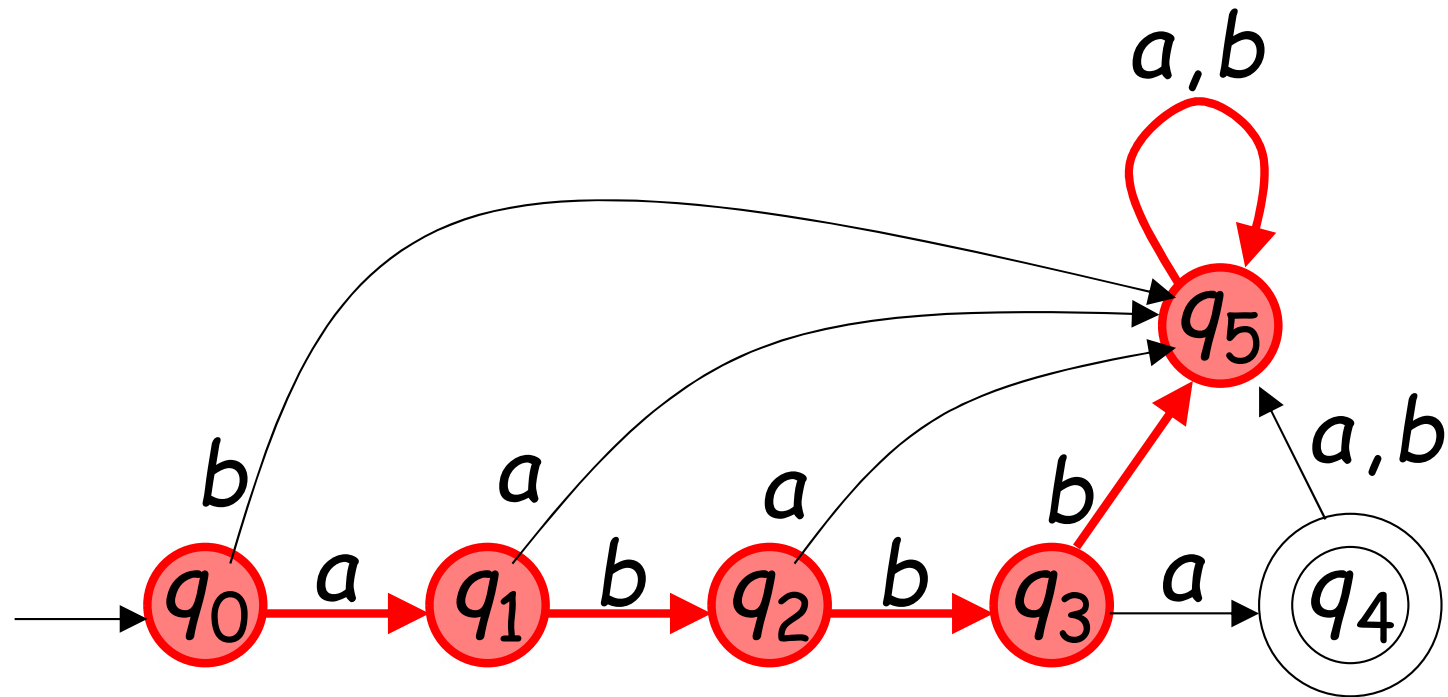


$$\delta^*(q_0, abba) = q_4$$





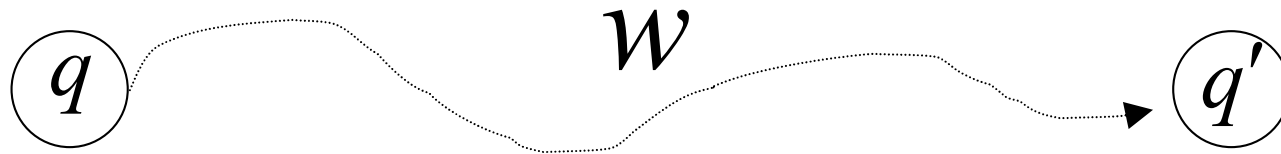
$$\delta^*(q_0, abbbaa) = q_5$$



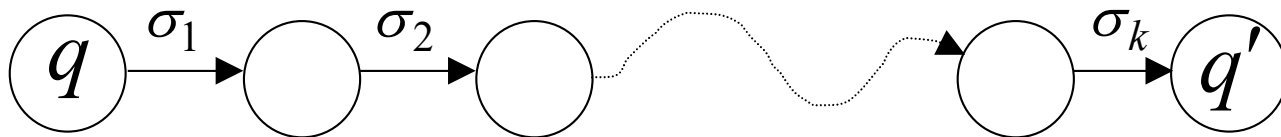
**Observation:** If there is a walk from  $q$  to  $q'$  with label  $w$

■ Theorem 2.1

$$\text{iff } \delta^*(q, w) = q'$$

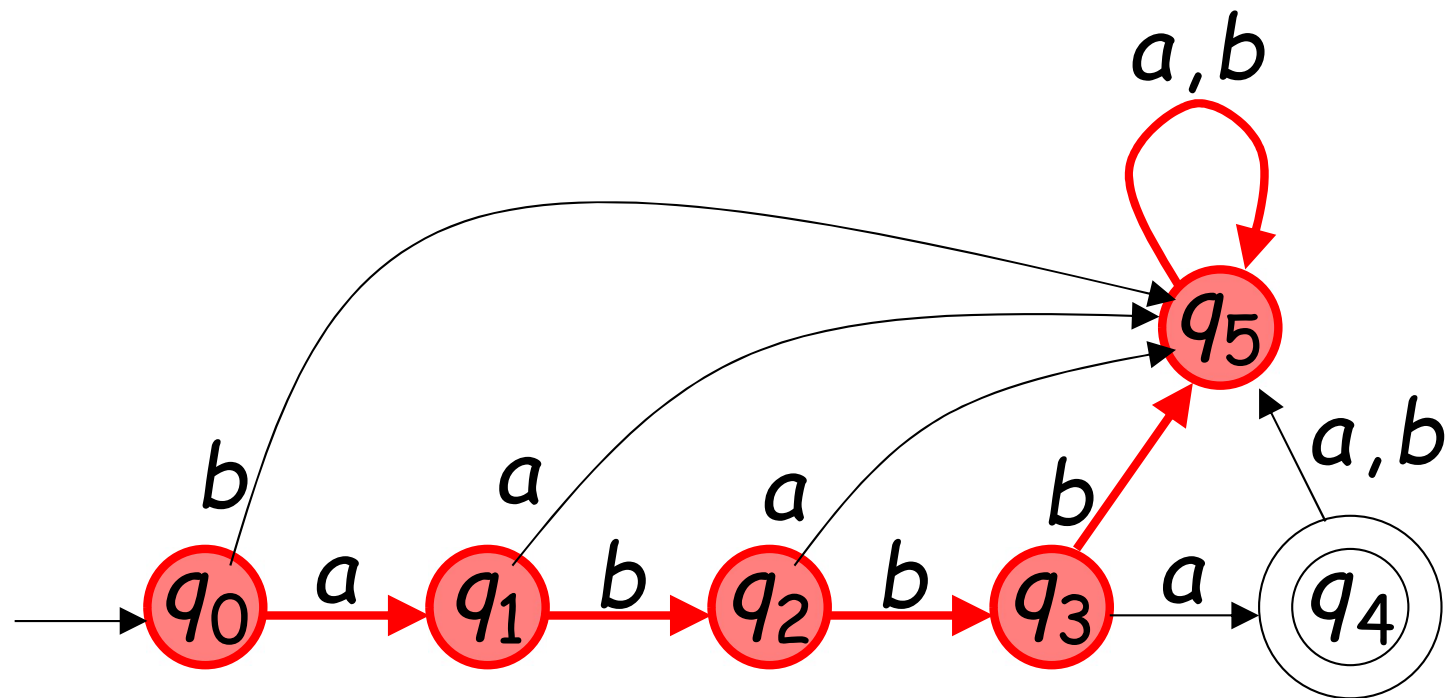


$$w = \sigma_1 \sigma_2 \cdots \sigma_k$$



**Example:** There is a walk from  $q_0$  to  $q_5$   
with label  $abbbaa$

$$\delta^*(q_0, abbbaa) = q_5$$



# Recursive Definition

$$\delta^*(q, \lambda) = q$$

$$\delta^*(q, w\sigma) = \delta(\delta^*(q, w), \sigma)$$



$$\left. \begin{array}{l} \delta^*(q, w\sigma) = q' \\ \delta(q_1, \sigma) = q' \end{array} \right\} \Rightarrow \left. \begin{array}{l} \delta^*(q, w\sigma) = \delta(q_1, \sigma) \\ \delta^*(q, w) = q_1 \end{array} \right\} \Rightarrow \delta^*(q, w\sigma) = \delta(\delta^*(q, w), \sigma)$$

$$\delta^*(q_0, ab) =$$

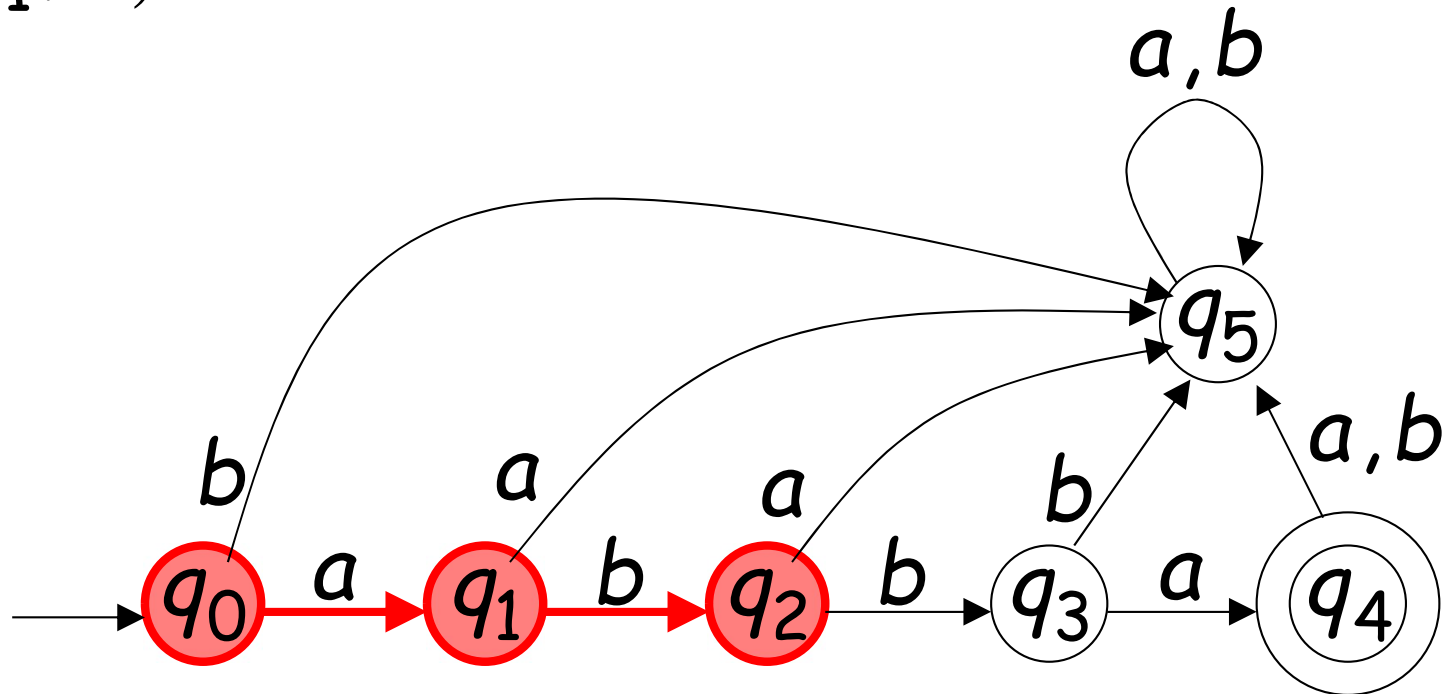
$$\delta(\delta^*(q_0, a), b) =$$

$$\delta(\delta(\delta^*(q_0, \lambda), a), b) =$$

$$\delta(\delta(q_0, a), b) =$$

$$\delta(q_1, b) =$$

$$q_2$$



# Languages Accepted by DFAs

Take DFA  $M$

## Definition:

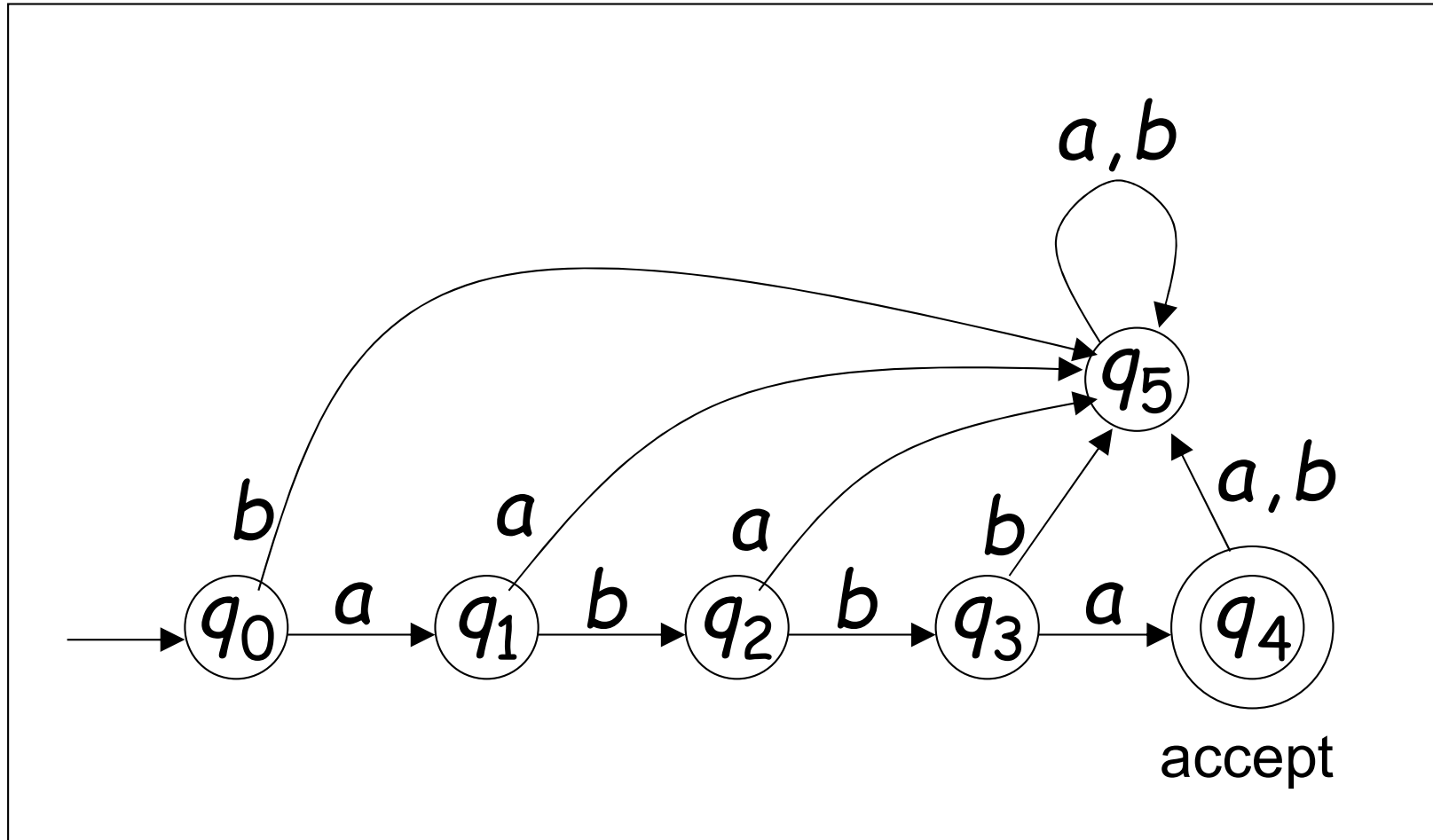
- The language  $L(M)$  contains all input strings accepted by  $M$

- $L(M) = \{ \text{strings that drive } M \text{ to a final state} \}$   
*↳ accept case*

# Example

$$L(M) = \{abba\}$$

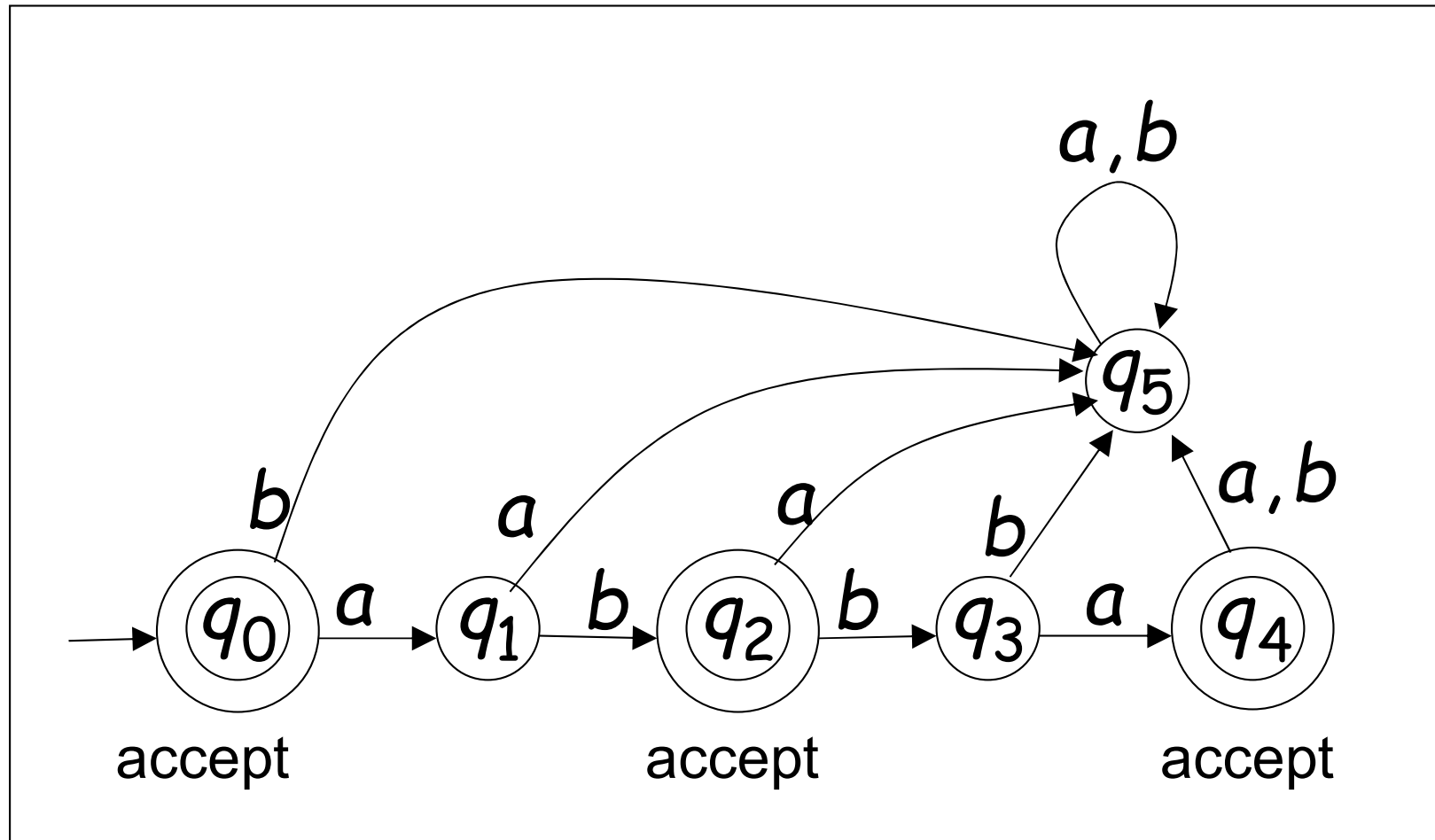
$M$



# Another Example

$$L(M) = \{\lambda, ab, abba\}$$

$M$





# Formally

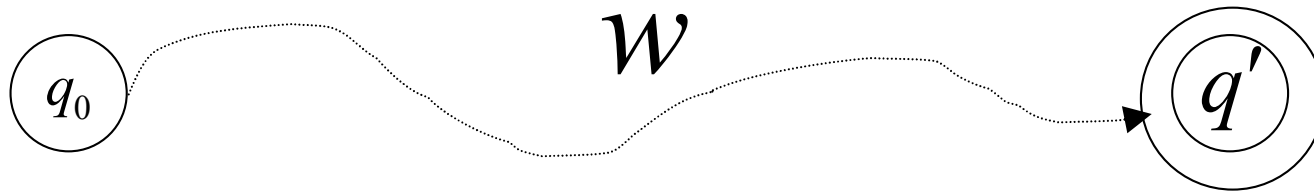
For a DFA  $M = (Q, \Sigma, \delta, q_0, F)$

Language accepted by  $M$  :

$$L(M) = \{w \in \Sigma^* : \delta^*(q_0, w) \in F\}$$

↳ 所有可能 string

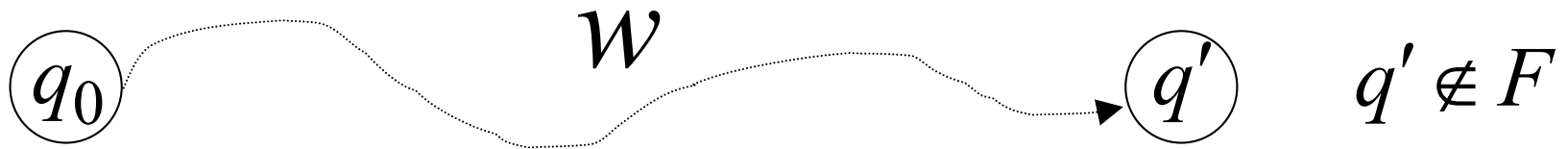
$q' \in F$



# Observation

- Language rejected by  $M$  :

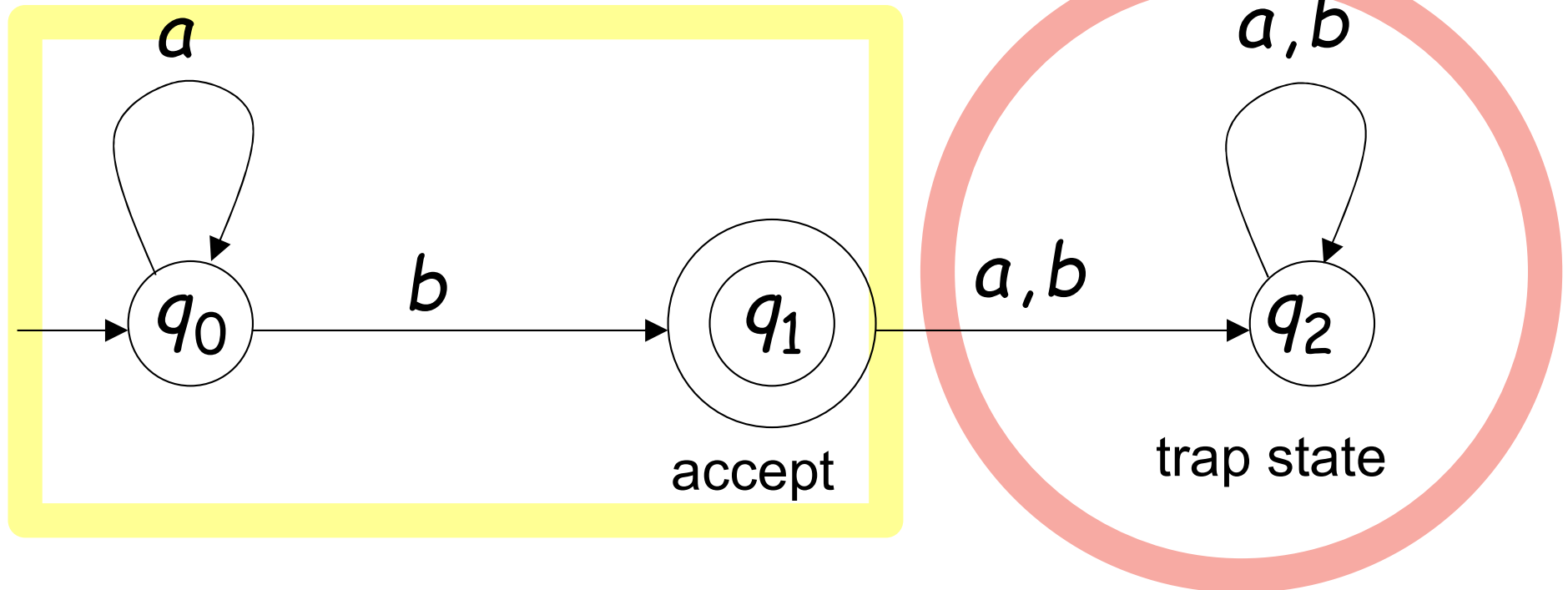
$$\overline{L(M)} = \{w \in \Sigma^* : \delta^*(q_0, w) \notin F\}$$



# Example 2.2 $M = (Q, \Sigma, \delta, q_0, F)$

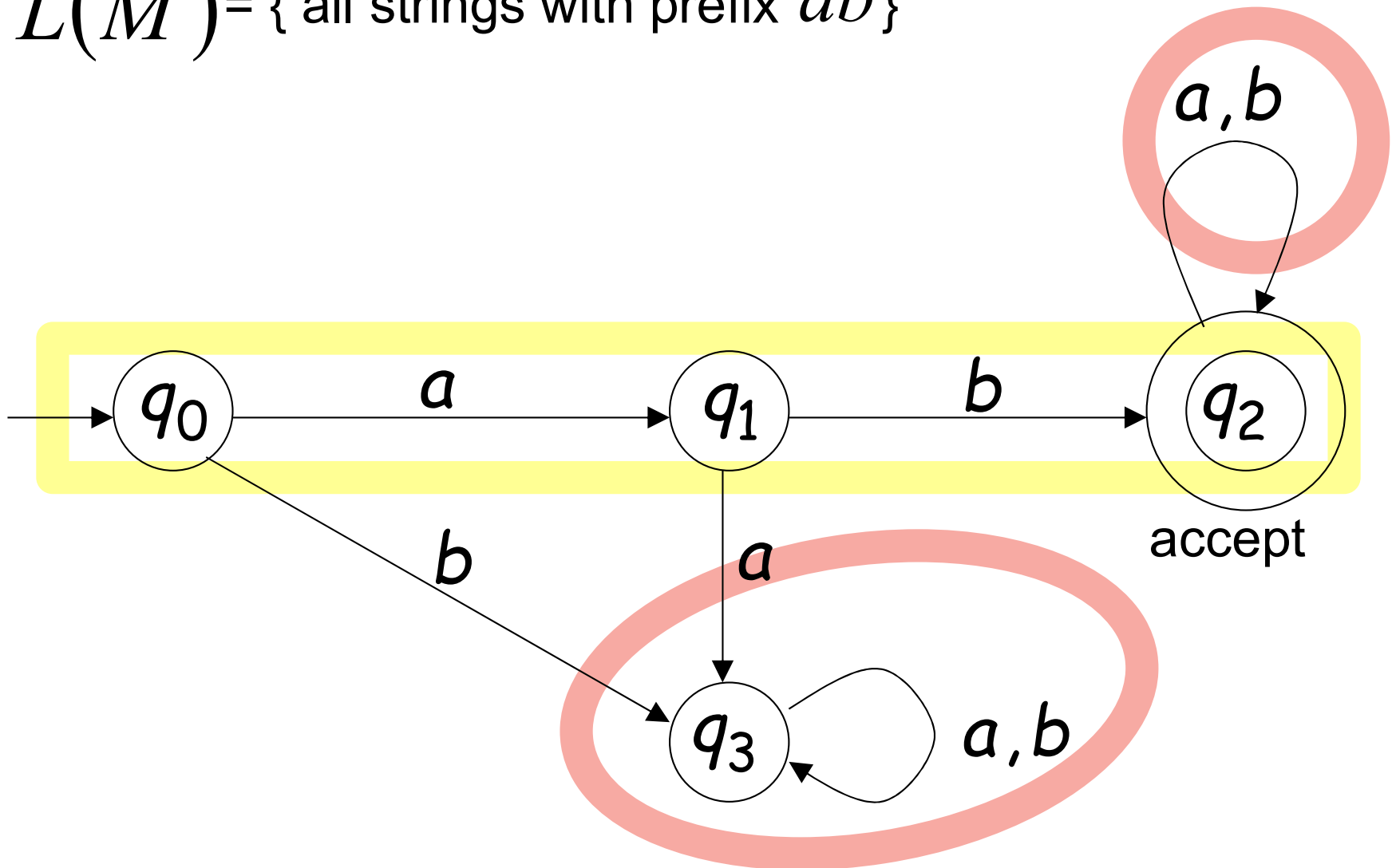
$$L(M) = \{a^n b : n \geq 0\}$$

✱ 所有狀態都要吃參數到下一狀態



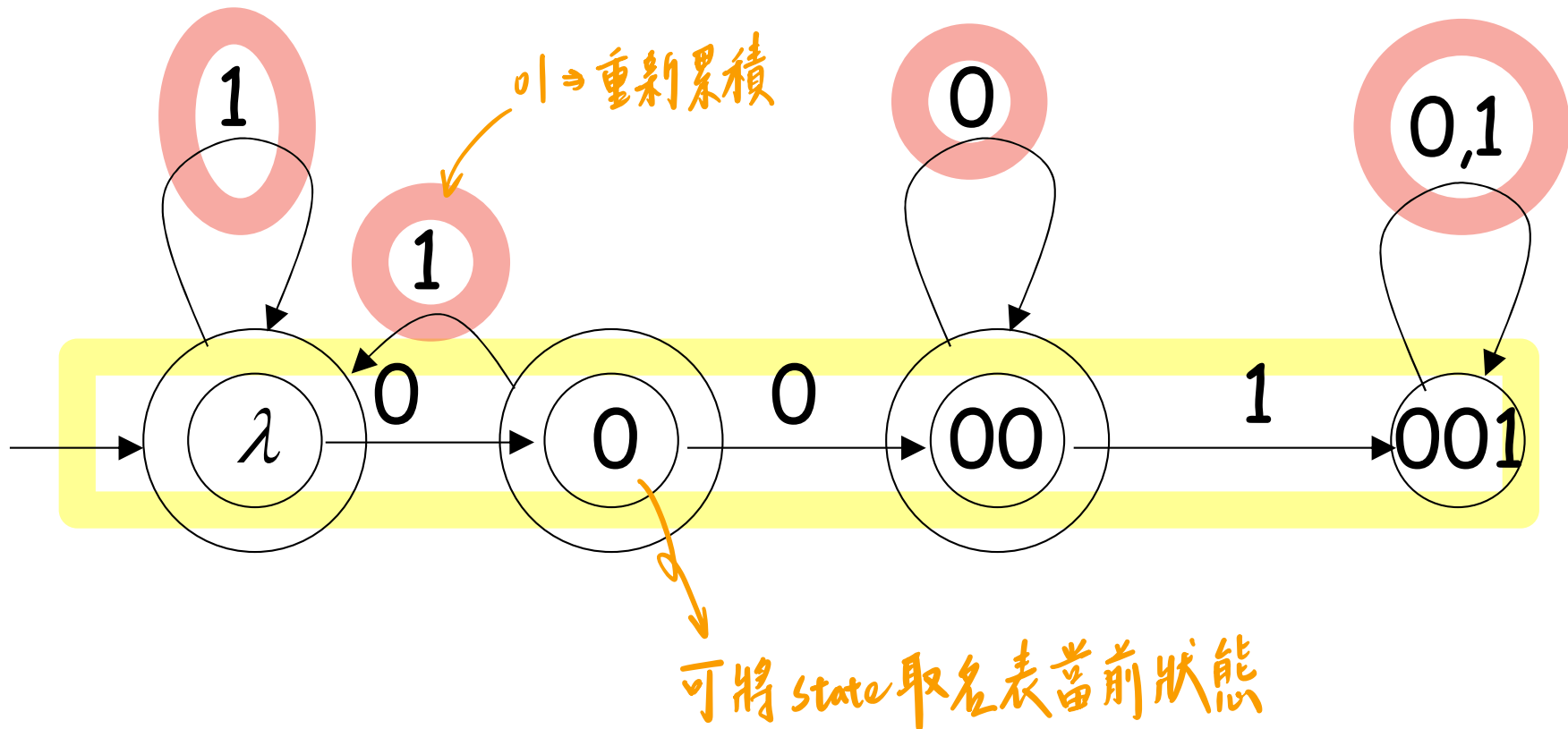
# Example 2.3

$L(M) = \{ \text{all strings with prefix } ab \}$



# Example 2.4

$L(M) = \{ \text{all strings without substring } 001 \}$



# Regular Languages

A language  $L$  is **regular** iff there exists some DFA  $M$  such that  $L = L(M)$

All regular languages form a language family

↳ 無窮個

## Examples of regular languages:

$$\{abba\} \quad \{\lambda, ab, abba\} \quad \{a^n b : n \geq 0\}$$

{ all strings with prefix  $ab$  }

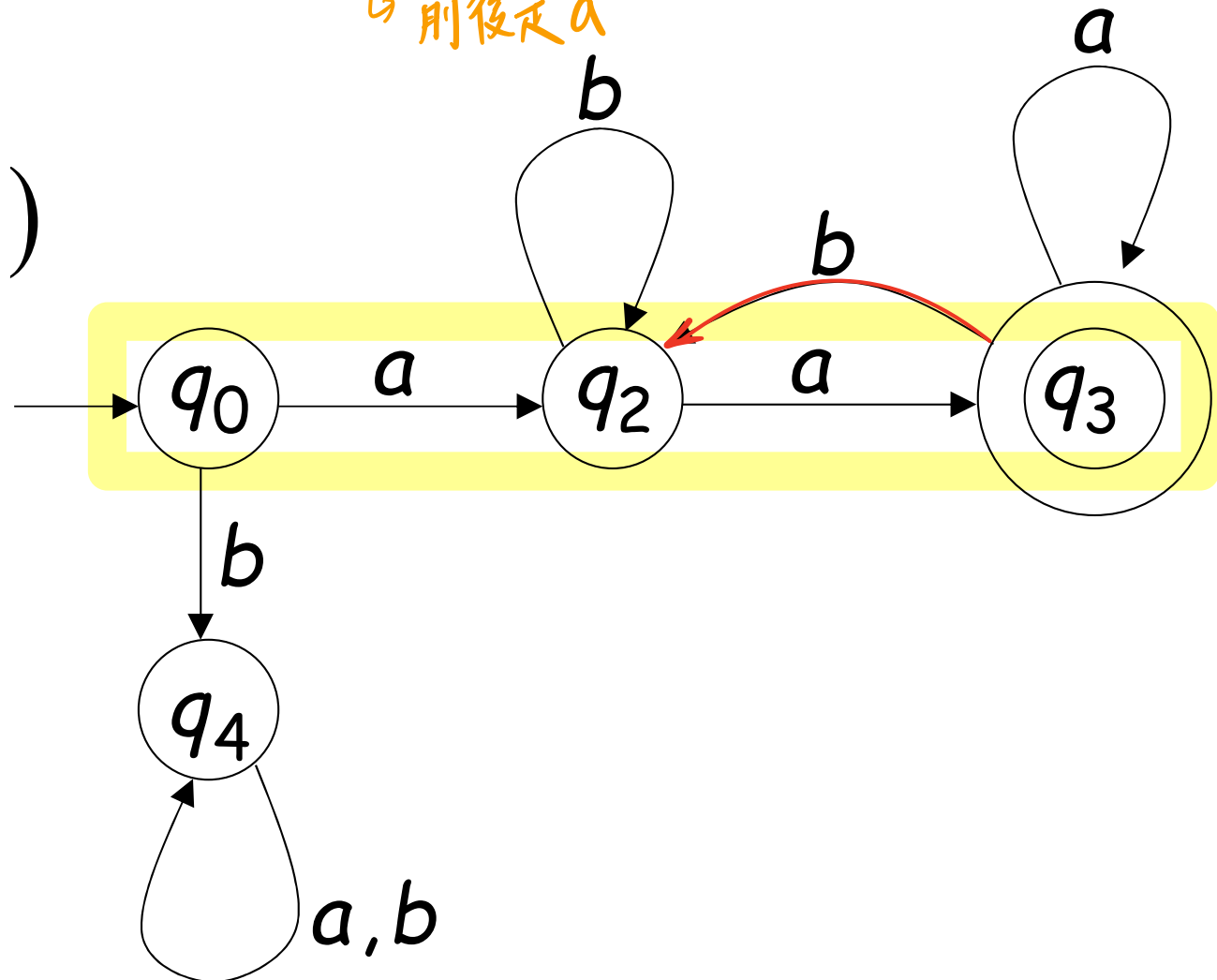
{ all strings without substring **001** }

There exists DFA that accept these Languages

# Example 2.5

The language  $L = \{awa : w \in \{a,b\}^*\}$   
 is regular:

$$L = L(M)$$

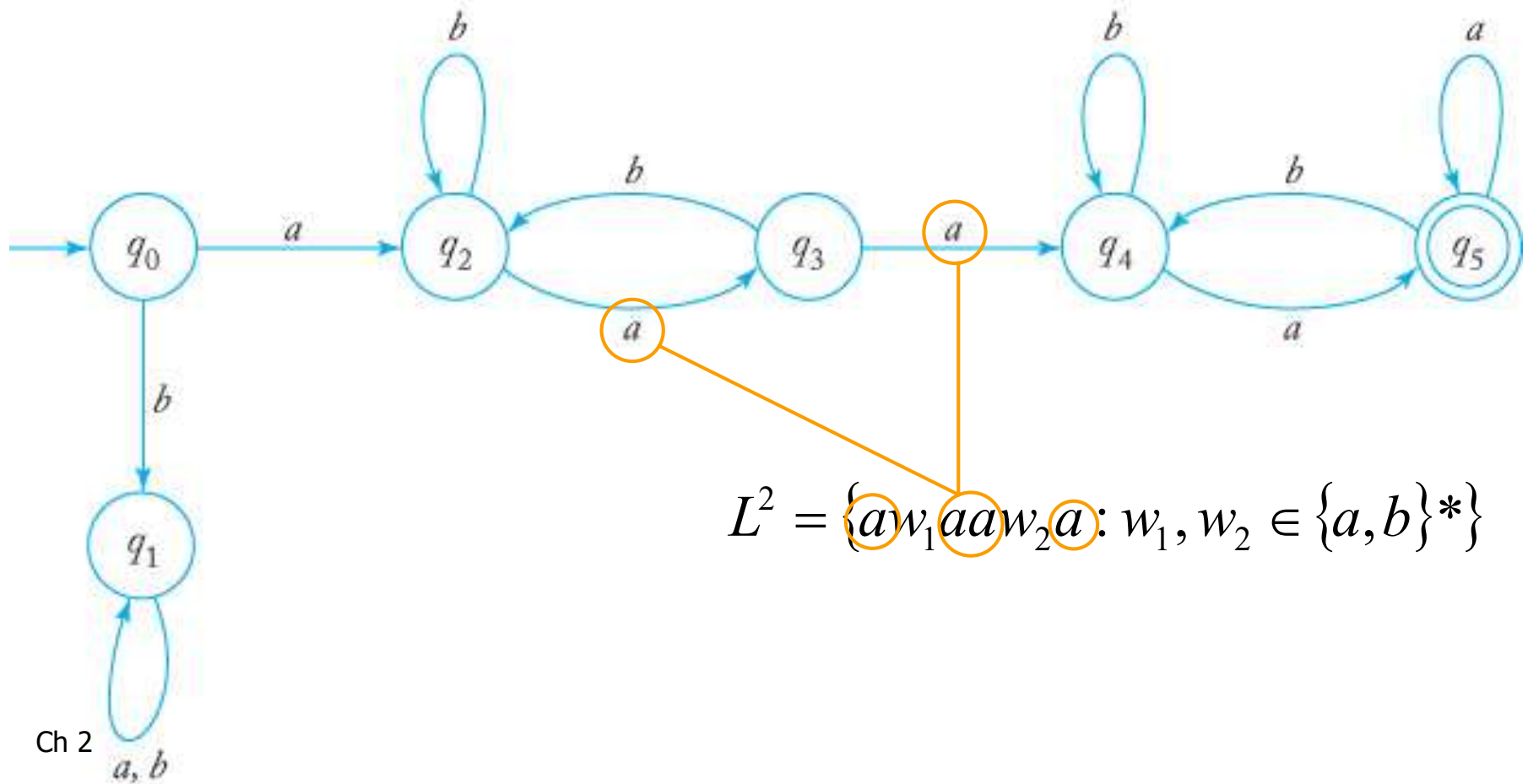




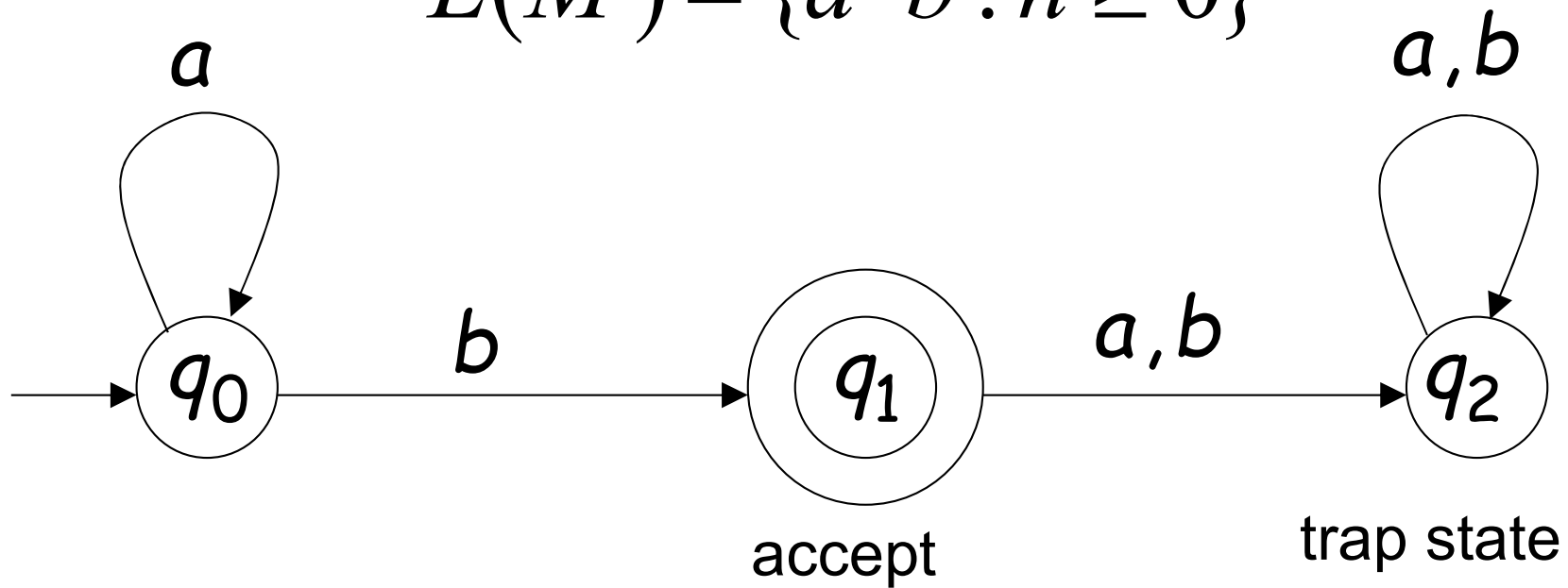
9/27

## Example 2.6

The language  $L = \{awa : w \in \{a,b\}^*\}$  is regular, how about  $L^2$ ?



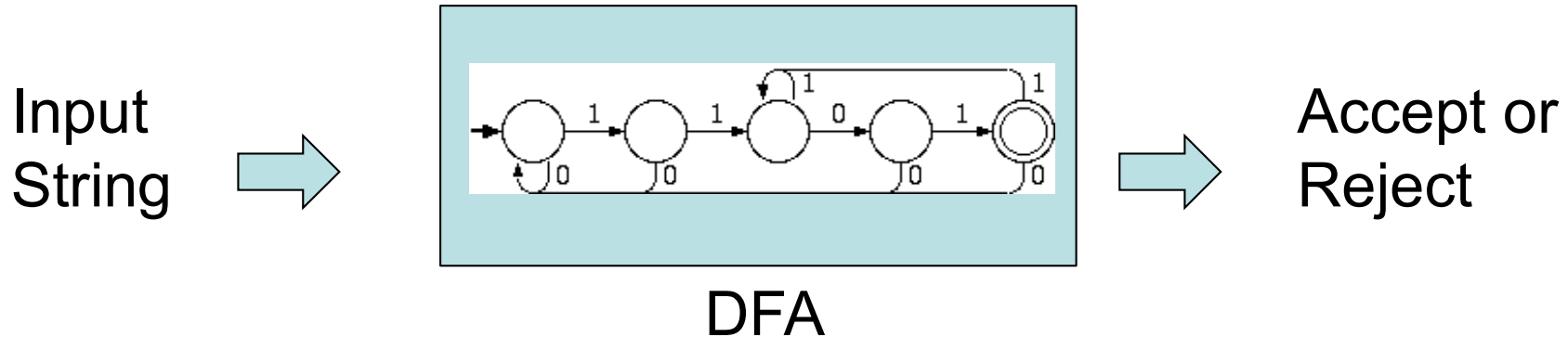
$$L(M) = \{a^n b : n \geq 0\}$$



$$L = \{a^n b^n : n \geq 0\} \quad ? \quad \text{凡有無窮個情況} \Rightarrow \text{DFA做不到}$$

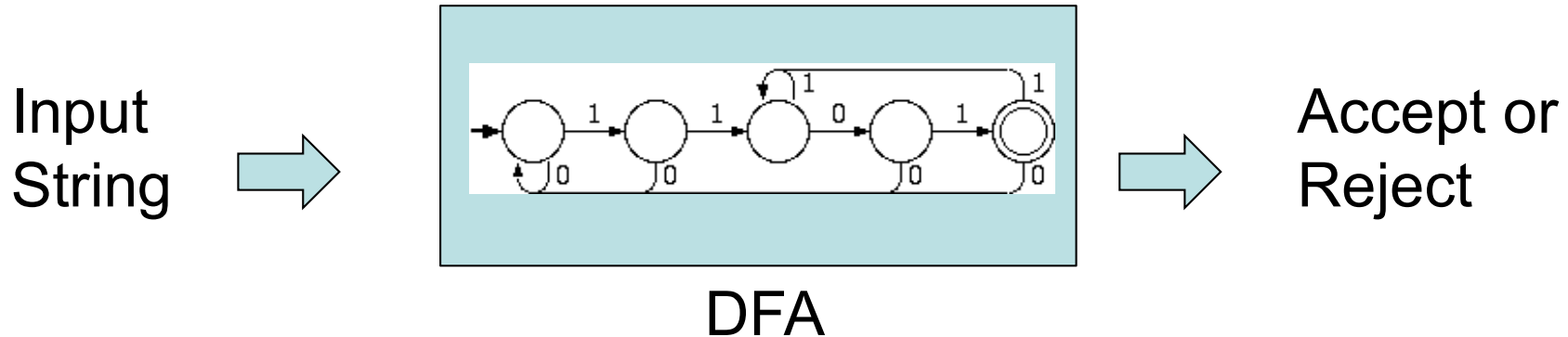
There exist languages which are not Regular:  
 There is no DFA that accepts such a language  
 (we will prove this later in the class)

# DFA Recap



- A machine with finite number of **states**, some states are **accepting** states, others are **rejecting** states
- At any time, it is in one of the states *在任意時間，必在某state內*
- It reads an input string, one character at a time

# DFA Recap



- After reading each character, it moves to another state depending on what is read and what is the current state
- If reading all characters, the DFA is in an accepting state, the input string is accepted.
- Otherwise, the input string is rejected. *以讀完在 final state 才為 accept*

# Definition 2.1

Deterministic Finite Acceptor (DFA) is define by the 5-tuple

$$M = (Q, \Sigma, \delta, q_0, F)$$

$Q$  : a finite set of **internal states**

$\Sigma$  : a finite set of symbols called **input alphabet**

$\delta$  :  $Q \times \Sigma \rightarrow Q$  called **transition function**

$q_0$  :  $q_0 \in Q$  is the **initial state**

$F$  :  $F \subseteq Q$  is a set of **final states**

# Regular Languages

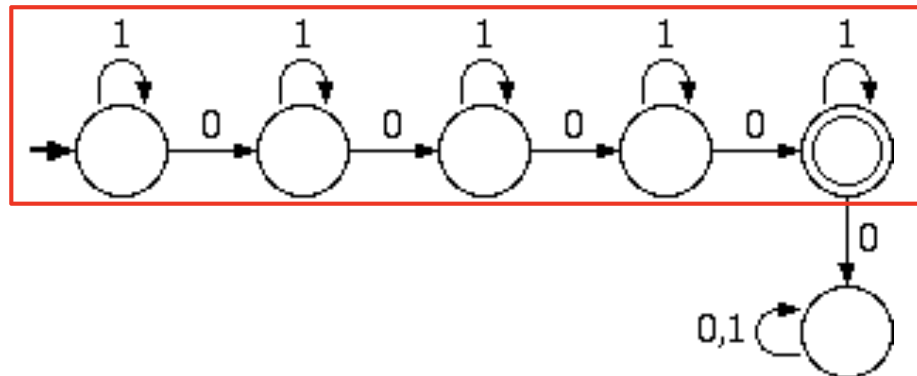
A language  $L$  is **regular** iff there exists some DFA  $M$  such that  $L = L(M)$

## Definition:

- The language  $L(M)$  contains all input strings accepted by a DFA  $M$
- $L(M) = \{ \text{strings that drive } M \text{ to a final state} \}$

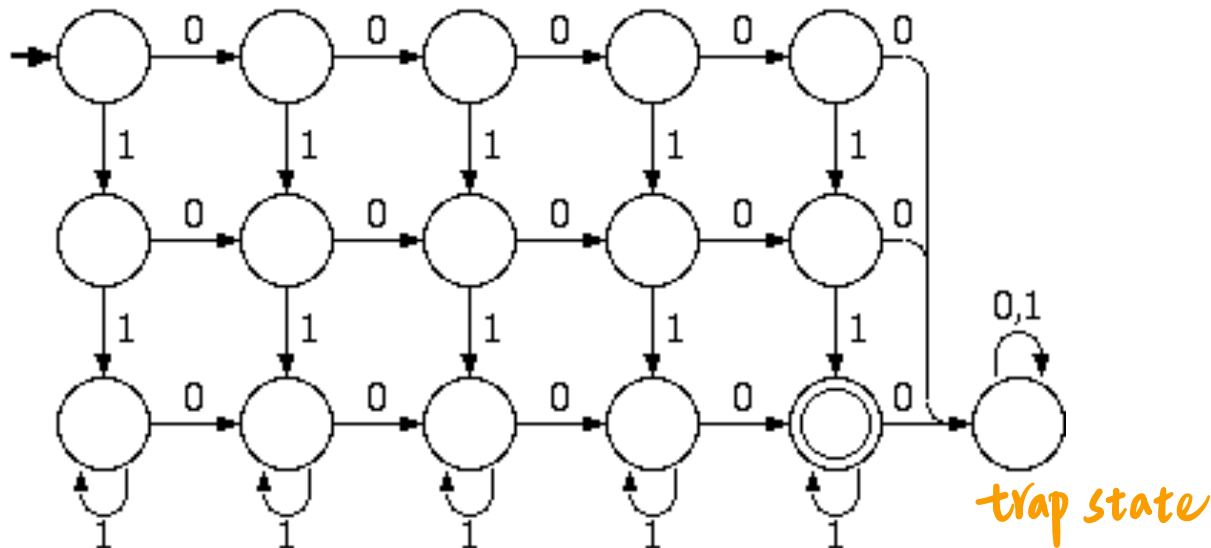
# More Examples

- All strings that contain exactly 4 "0"s.
- All strings containing exactly 4 "0" s and at least 2 "1" s.
- All strings of length at most five.
- All strings ending in "1101".
- All strings whose binary interpretation is divisible by 5.
- All strings that contain the substring 0101.
- All strings that don't contain the substring 110.



# More Examples

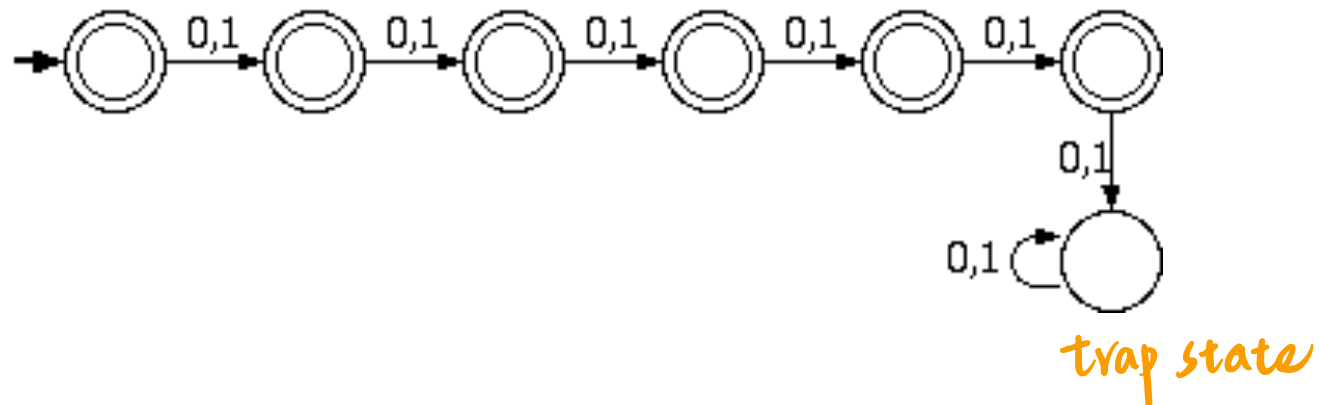
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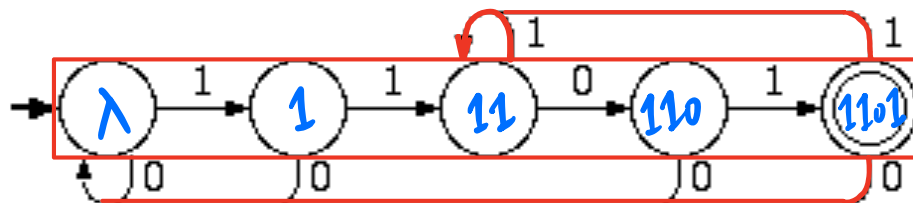
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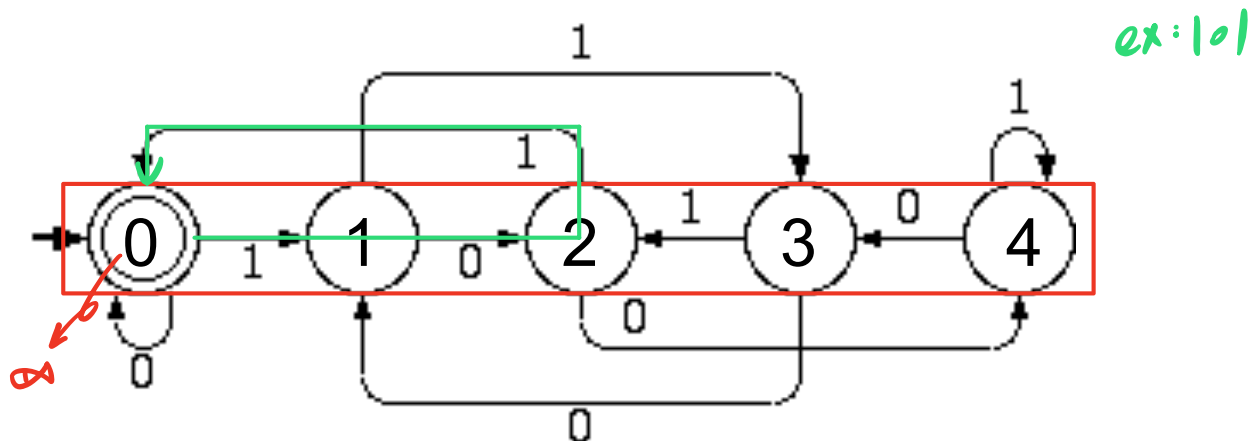
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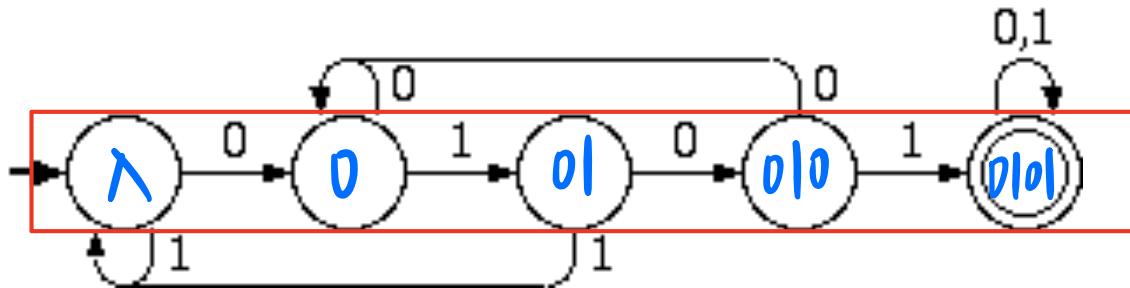
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- All strings ending in "1101".
- ★ All strings whose binary interpretation is divisible by 5. → base 2 表示式可被 5 整除
- All strings that contain the substring 0101.
- All strings that don't contain the substring 110.



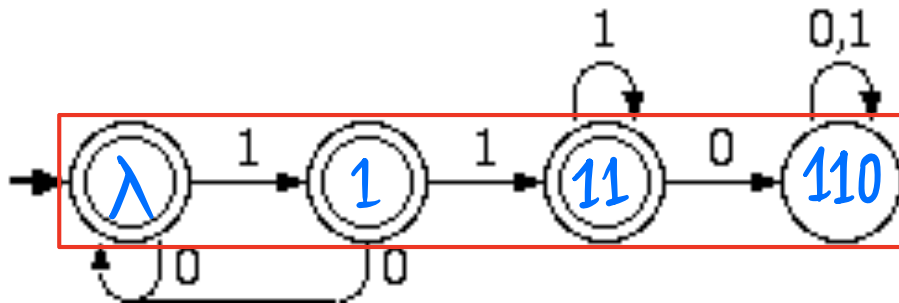
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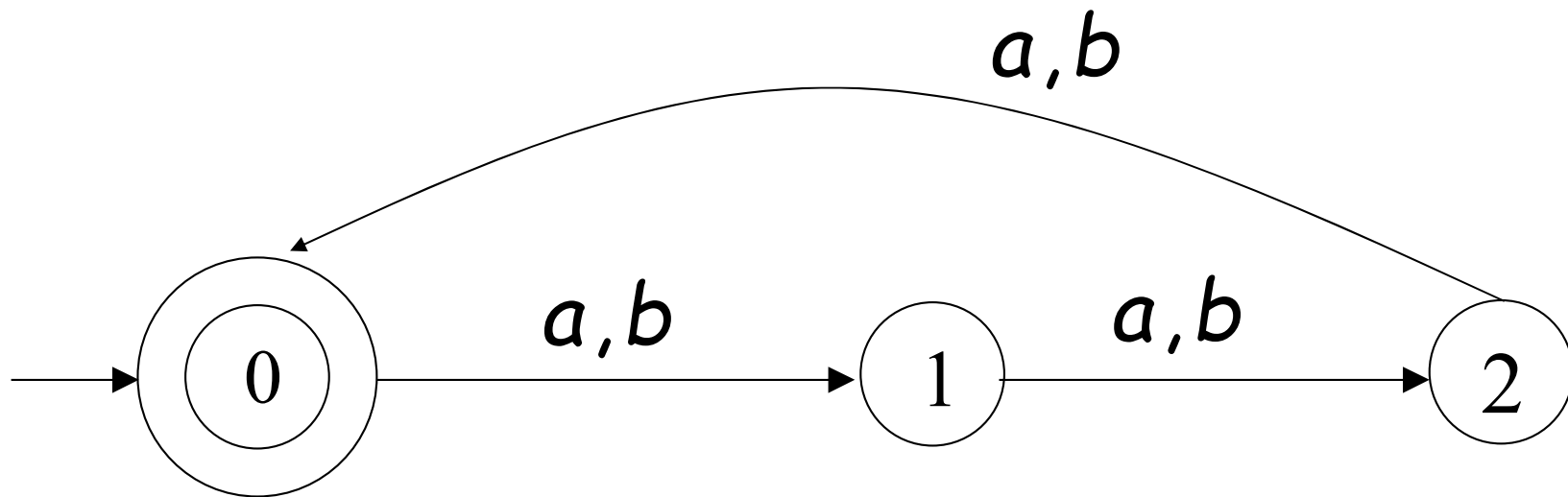


# Exercise 2.1.7

Find DFAs for the following languages on  $\Sigma=\{a,b\}$

(a)  $L = \{w: |w| \bmod 3 = 0\}$   $\rightarrow$   $w$  的長度  $\% 3 = 0$

(b)  $L = \{w: n_a(w) \bmod 3 > n_b(w) \bmod 3\}$



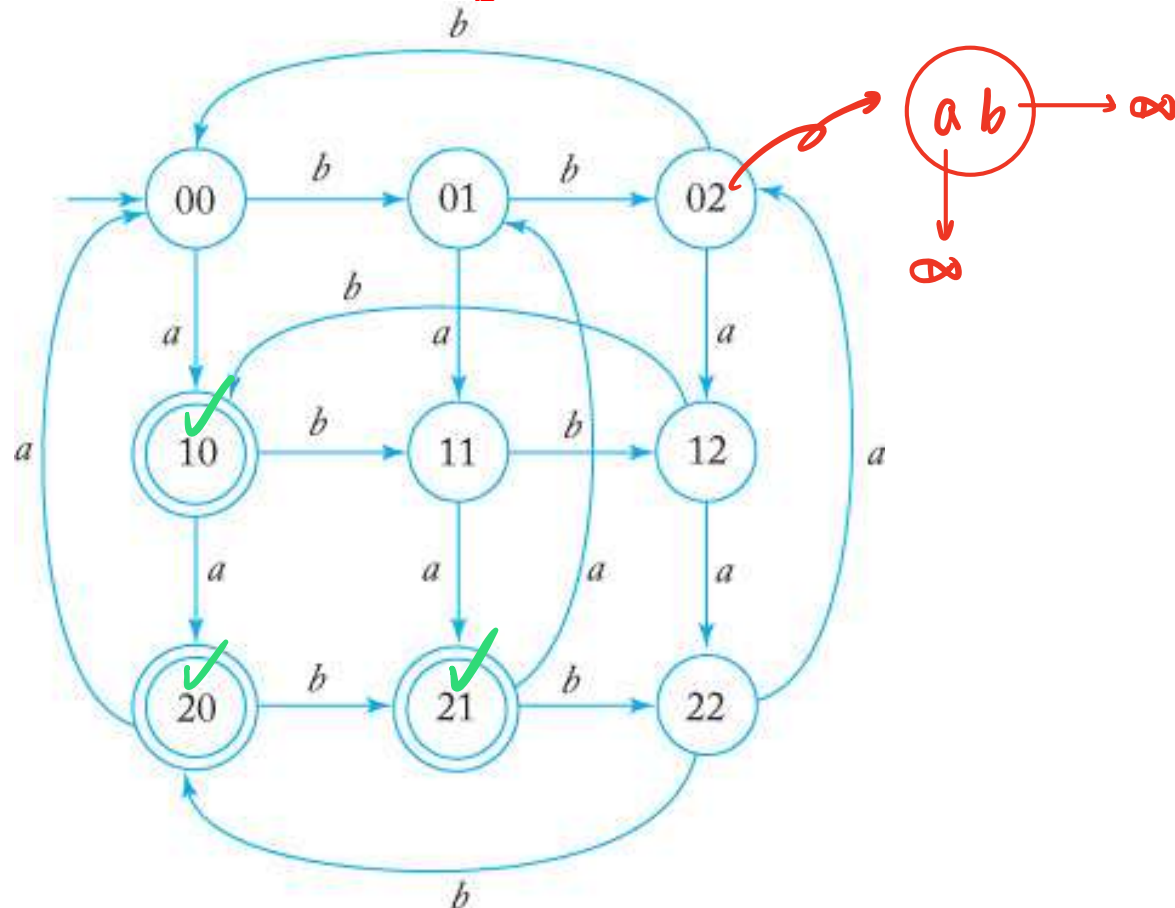
\* DFA 無記憶性

# Exercise 2.1.7

Find DFAs for the following languages on  $\Sigma=\{a,b\}$

(a)  $L = \{w: |w| \bmod 3 = 0\}$

(b)  $L = \{w: n_a(w) \bmod 3 \geq n_b(w) \bmod 3\}$



# Exercise 2.1.9

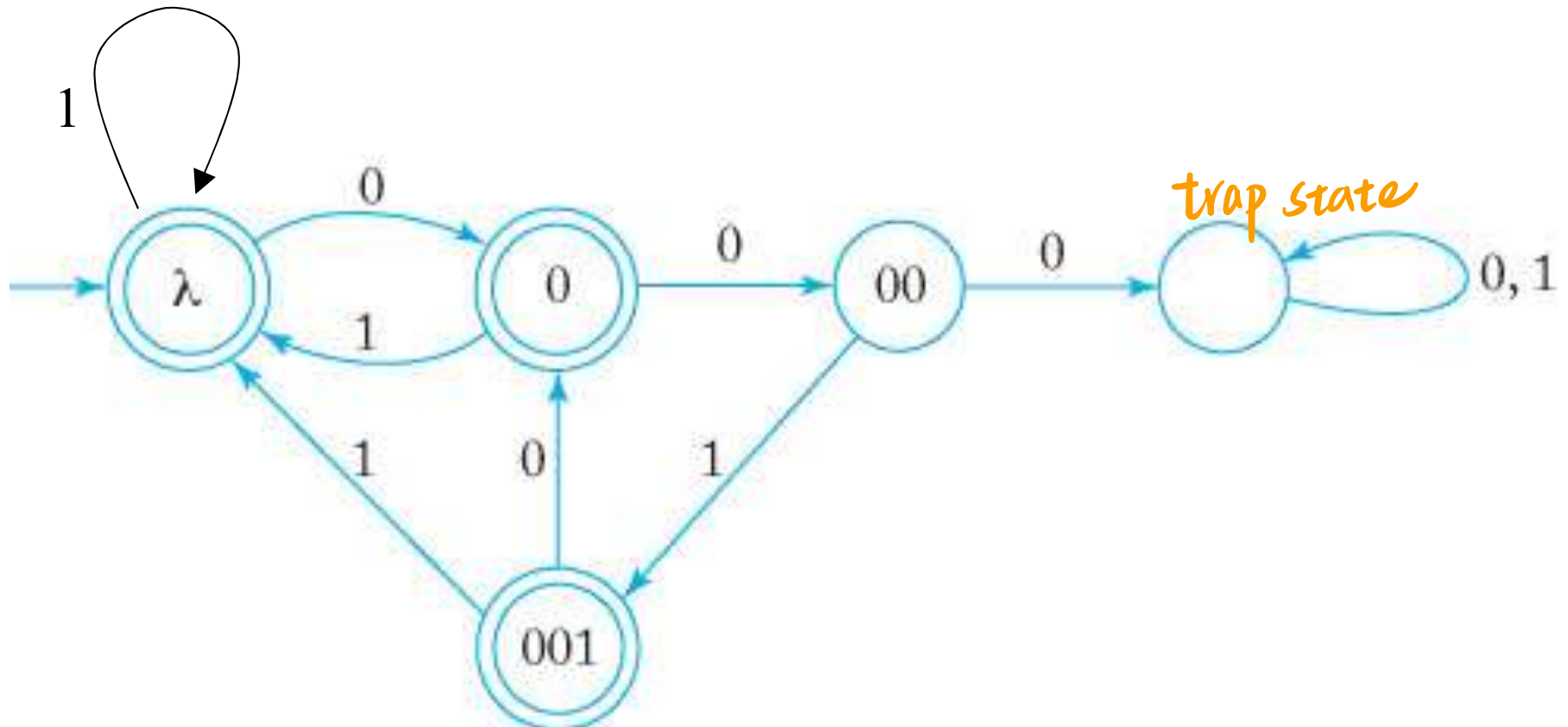


(a) Every 00 is followed immediately by a 1.

Ex: 101, 0010, 0010011001  $\in L$  *只要 00 一定要接 1*

0001 and 00100  $\notin L$

$\Sigma = \{0,1\}$

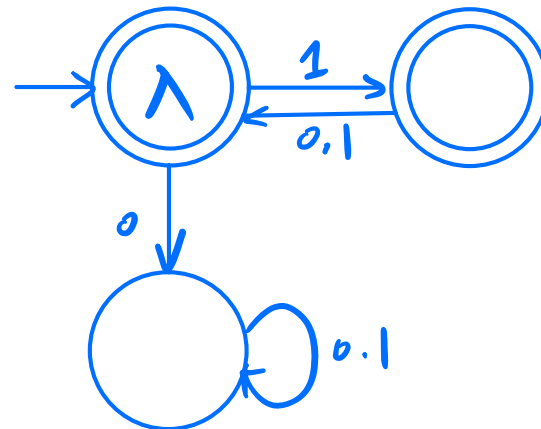
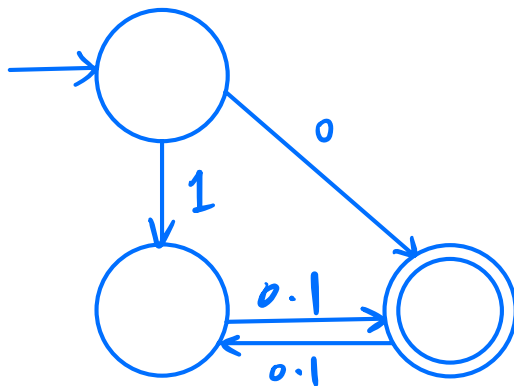




Questions?

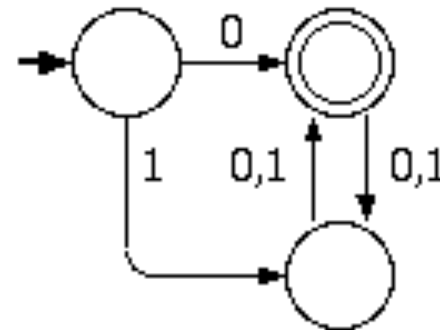
# Short Quiz

- All strings that start with 0 and have odd length or start with 1 and have even length.
- All strings where every odd position is a 1.

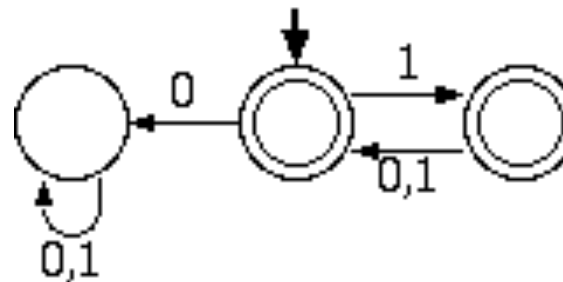


# Short Quiz

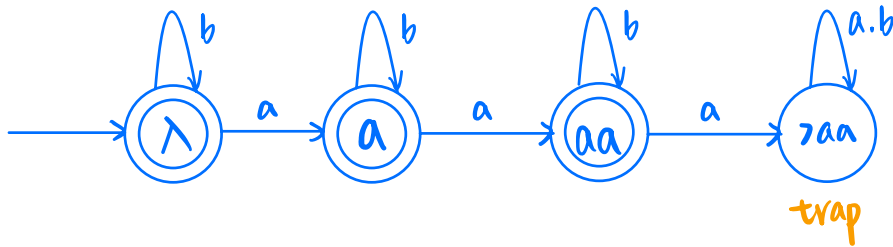
- All strings that start with 0 and have odd length or start with 1 and have even length.



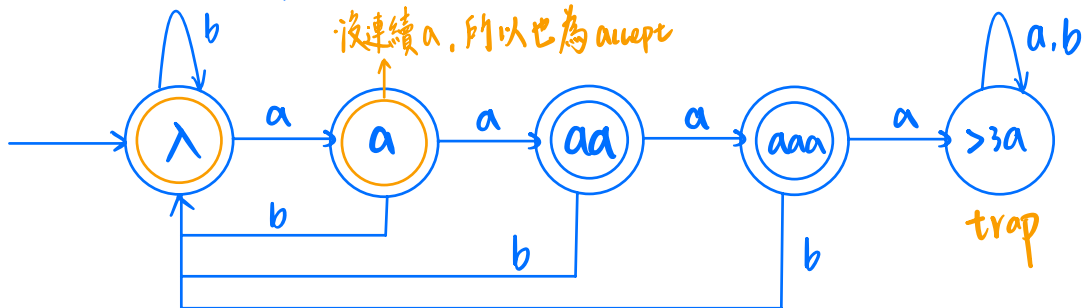
- All strings where every odd position is a 1.



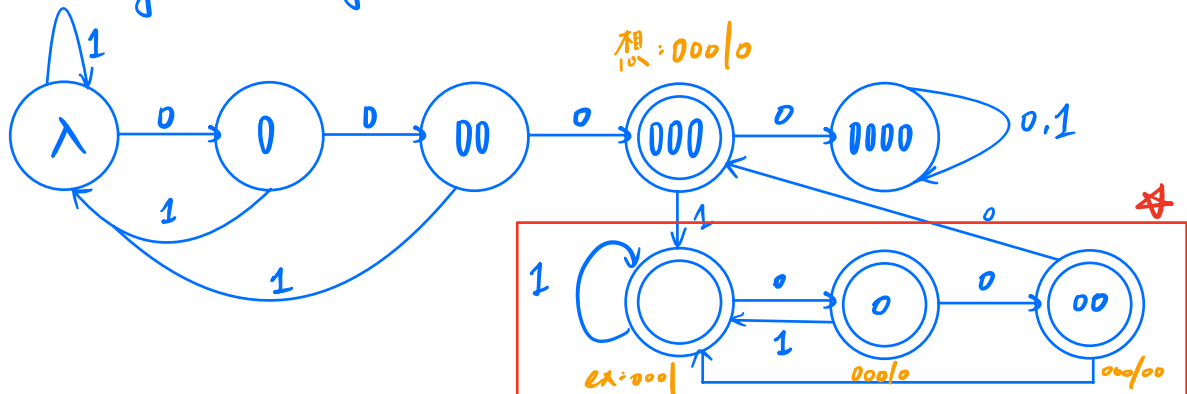
Q: all string with no more than two a's.  $\Sigma\{a,b\}$



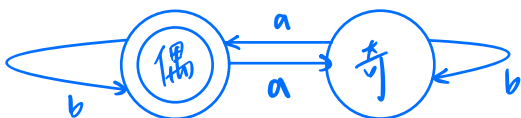
★ Q:  $L = \{w : \text{every consecutive a's has length either two or three. } \Sigma\{a,b\}$



★ Q: all strings containing 000 but not 0000.  $\Sigma\{0,1\}$



Q: all strings with an even number of a's



Q:  $L = \{w \in \Sigma^* : |w| \geq 2, \text{ second-to-last symbol of } w \text{ is } b\}$ .  $\Sigma\{a, b\}$   
 ↳ 倒數第 2 個是 b

