# 7. Introduction to Homotopy Theory

Math 4341 (Topology)

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- If  $f \sim g$  where g is a constant map, we say that f is *null-homotopic*.



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  - For symmetry, suppose  $f \sim g$ . Then there is a homotopy  $F: X \times [0,1] \to Y$  from f to g. Define G(x,t) = F(x,1-t). Then G is a homotopy from g to f, so  $g \sim f$ . If f and g are paths, then G is a path homotopy, so  $f \sim_p g$  implies  $g \sim_p f$ .

- **Lemma 7.1.**  $\sim$  and  $\sim_p$  are equivalence relations.
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  - Finally, for transitivity, if  $f \sim g$  and  $g \sim h$ , let F be a homotopy from f to g, and let G be a homotopy from g to h. Define a function  $H: X \times [0,1] \to Y$  by

$$H(x,t) = \begin{cases} F(x,2t), & \text{if } t \in [0,1/2], \\ G(x,2t-1), & \text{if } t \in [1/2,1]. \end{cases}$$

Then H is a homotopy from f to h, so  $f \sim h$ . If F and G are path homotopies, then so is H.

**Example.** Let  $f, g: X \to \mathbb{R}^n$  be two continuous functions. Then the map  $F: X \times [0,1] \to \mathbb{R}^n$  given by

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- That is, all functions into  $\mathbb{R}^n$  are homotopic. In other words, there is only one homotopy equivalence class.
- Likewise, if  $\gamma$  and  $\gamma'$  are paths from p to q in  $\mathbb{R}^n$ , then  $\gamma$  and  $\gamma'$  are homotopic: there is only a single equivalence class of path homotopy. Indeed, the path homotopy is obtained in exactly this way. In the special case where p=q, this means that all paths are null-homotopic.

**Example.** Let  $\gamma$  and  $\gamma'$  be the paths from (1,0) to (-1,0) given by

$$\gamma(x) = (\cos(\pi x), \sin(\pi x)), \quad \gamma'(x) = (\cos(\pi x), -\sin(\pi x)).$$

Then  $\gamma$  and  $\gamma'$  are path homotopic as paths in  $\mathbb{R}^2$  by the previous example, but they are *not* path homotopic as paths in  $\mathbb{R}^2 \setminus \{(0,0)\}$ .

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► This is a non-trivial fact though, but for instance, the homotopy from the previous example does not work since

$$F(\frac{1}{2},\frac{1}{2}) = \frac{1}{2}(\gamma(\frac{1}{2}) + \gamma'(\frac{1}{2})) = (0,0).$$



#### Concatenation and reverse

▶ **Definition.** For any path  $\gamma:[0,1]\to X$ , define the *reverse* of  $\gamma$ , denoted  $\overline{\gamma}$ , by  $\overline{\gamma}(x)=\gamma(1-x)$ . Then  $\overline{\gamma}$  is continuous, and if  $\gamma$  is a path from p to q, then  $\overline{\gamma}$  is a path from q to p.

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- ▶ **Definition.** Let  $\gamma, \gamma' : [0,1] \to X$  be two paths so that  $\gamma(1) = \gamma'(0)$ , so that  $\gamma$  is a path from p to q, and  $\gamma'$  is a path from q to r. We then form a path from p to r as follows: define the *concatenation*  $\gamma \star \gamma' : [0,1] \to X$  by

$$\gamma \star \gamma'(x) = \begin{cases} \gamma(2x), & x \in [0, 1/2], \\ \gamma'(2x - 1), & x \in [1/2, 1]. \end{cases}$$

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▶ Proof. Suppose that F is a path homotopy from  $\gamma$  to some other curve  $\widetilde{\gamma}$  and that G is a path homotopy from  $\gamma'$  to  $\widetilde{\gamma'}$ . The claim that the operation is well-defined is then the claim that  $\gamma \star \gamma' \sim_p \widetilde{\gamma} \star \widetilde{\gamma'}$ .

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  - ▶ Define  $H: [0,1] \times [0,1] \rightarrow X$  by

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▶ For a point  $p \in X$  in a topological space, let  $e_p : [0,1] \to X$  denote the constant path  $e_p(x) = p$ , for  $x \in [0,1]$ .

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- ➤ To make sense of the terminology, let us recall a few basic notions from abstract algebra.

### Groups

**Definition.** A *group* is a set G with an operation  $G \times G \to G$ , denoted  $(g,h) \mapsto g \cdot h$ , an element  $e \in G$  called a unit, and a bijection  $G \to G$  denoted  $x \mapsto x^{-1}$  called the inverse, so that

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  - $e \cdot g = g = g \cdot e$  for all  $g \in G$ , and
- ▶ If G and H are groups, then a map  $\phi : G \to H$  is called a homomorphism if  $\phi(g \cdot h) = \phi(g) \cdot \phi(h)$  for all  $g, h \in G$ . A bijective group homomorphism is called an isomorphism.

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- **Example.** The set  $\mathbb{R} \setminus \{0\}$  is a group with operation  $(g,h) \mapsto gh$ . The unit is 1, and the inverse of  $x \in \mathbb{R} \setminus \{0\}$  is 1/x.

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- **Example.** The set  $GL(n, \mathbb{R})$  of invertible  $(n \times n)$ -matrices with entries in  $\mathbb{R}$  is a group under matrix multiplication. The unit is the unit matrix.

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- Proof. This follows immediately from Theorem 8.3.
- **Example.** In a previous example we saw that any two given paths in  $\mathbb{R}^n$  between the same points were homotopic. This, in particular, implies that any loop based at a point  $p \in \mathbb{R}^n$  is null-homotopic; that is, homotopic to  $e_p$ . In other words,

$$\pi_1(\mathbb{R}^n,p)=\{[e_p]\},$$

the trivial group, for all  $p \in \mathbb{R}^n$ .



▶ Theorem 7.5. Let X be a topological space, and let  $\alpha$  be a path from x to y in X. Define a map  $\hat{\alpha}: \pi_1(X,x) \to \pi_1(X,y)$  by

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Then  $\hat{\alpha}$  is well-defined and an isomorphism.

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▶ *Proof.* That  $\hat{\alpha}$  is well-defined means that  $\hat{\alpha}([\gamma]) = \hat{\alpha}([\gamma'])$  whenever  $[\gamma] = [\gamma']$ , i.e. whenever  $\gamma \sim_p \gamma'$ .

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  - ▶ Indeed, if  $F:[0,1]\times[0,1]\to X$  is a path homotopy from  $\gamma$  to  $\gamma'$ , then  $G:[0,1]\times[0,1]\to X$ , defined by

$$G(s,t) = (\overline{\alpha} \star F(\cdot,t) \star \alpha)(s)$$

is a path homotopy from  $\overline{\alpha}\star\gamma\star\alpha$  to  $\overline{\alpha}\star\gamma'\star\alpha$ , so  $\hat{\alpha}$  is well-defined.



▶ To see that  $\hat{\alpha}$  is an homomorphism, notice that for any  $[\gamma], [\gamma'] \in \pi_1(X, x)$ , we have

$$\hat{\alpha}([\gamma]) \star \hat{\alpha}([\gamma']) = [\overline{\alpha}] \star [\gamma] \star [\alpha] \star [\overline{\alpha}] \star [\gamma'] \star [\alpha]$$
$$= [\overline{\alpha}] \star ([\gamma] \star [\gamma']) \star [\alpha] = \hat{\alpha}([\gamma] \star [\gamma']).$$

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► To see that  $\hat{\alpha}$  is a bijection, notice that  $\widehat{\overline{\alpha}} \circ \hat{\alpha}$  is the identity on  $\pi_1(X,x)$  since for any  $[\gamma] \in \pi_1(X,x)$ , we have

$$(\widehat{\overline{\alpha}} \circ \widehat{\alpha})[\gamma] = \widehat{\overline{\alpha}}([\overline{\alpha}] \star [\gamma] \star [\alpha])$$
$$= [\alpha] \star [\overline{\alpha}] \star [\gamma] \star [\alpha] \star [\overline{\alpha}] = [\gamma],$$

and  $\hat{\alpha} \circ \widehat{\alpha}$  is the identity on  $\pi_1(X, y)$  by the same reason, so  $\hat{\alpha}$  is a bijection and thus a group isomorphism.



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- **Definition.** A topological space X is called *simply-connected* if it is path-connected and  $\pi_1(X)$  consists of a single element.
- **Example.**  $\mathbb{R}^n$  is simply-connected.

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  - ightharpoonup (iii) Finally, if f is a homeomorphism, then  $f_*$  is an isomorphism.



▶ That  $f_*$  is well-defined means that  $f \circ \gamma \sim_p f \circ \gamma'$  whenever  $\gamma \sim_p \gamma'$ . This is the case since if F is a homotopy from  $\gamma$  to  $\gamma'$ , then  $f \circ F$  is a homotopy from  $f \circ \gamma$  to  $f \circ \gamma'$ .

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- ▶ To see that  $f_*$  is a homomorphism, let  $[\gamma], [\gamma'] \in \pi_1(X, x)$  be arbitrary homotopy classes. We first notice that by definition of concatenation, we have

$$f \circ (\gamma \star \gamma') = (f \circ \gamma) \star (f \circ \gamma'),$$

from which it follows that

$$f_*([\gamma] \star [\gamma']) = f_*([\gamma \star \gamma']) = [f \circ (\gamma \star \gamma')] = [(f \circ \gamma) \star (f \circ \gamma')]$$
$$= [f \circ \gamma] \star [f \circ \gamma'] = f_*([\gamma]) \star f_*([\gamma']),$$

so  $f_*$  is a homomorphism, which shows (i).



Similarly,

$$(g_* \circ f_*)([\gamma]) = g_*([f \circ \gamma]) = [g \circ f \circ \gamma] = (g \circ f)_*([\gamma]),$$

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▶ Finally, (iii) follows from (ii) as it follows that  $(f^{-1})_*$  satisfies that both  $f_* \circ (f^{-1})_*$  and  $(f^{-1})_* \circ f_*$  are the identity homomorphisms. Thus  $f_*$  is a bijection and therefore an isomorphism.

$$(g,h)\cdot(g',h')=(g\cdot g',h\cdot h').$$

▶ If G and H are two groups, then their Cartesian product  $G \times H$  is a group with the group operation

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▶ **Proposition 7.8.** Let X and Y be topological spaces, and let  $x \in X$ ,  $y \in Y$ . Then  $\pi_1(X \times Y, (x, y))$  is isomorphic to  $\pi_1(X, x) \times \pi_1(Y, y)$ .

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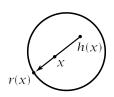
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#### Application: Brouwer fixed point theorem

▶ **Theorem 7.11.** Every continuous map  $h: D^2 \to D^2$  has a fixed point, that is, a point  $x \in D^2$  with h(x) = x.

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- ▶ *Proof.* Suppose  $h(x) \neq x$  for all  $x \in D^2$ . Then we can define a map  $r : D^2 \to S^1$  by letting r(x) be the point of  $S^1$  where the ray in  $\mathbb{R}^2$  starting at h(x) and passing through x leaves  $D^2$ .



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Since  $\iota_*[\gamma] = 1$  in  $\pi_1(D^2) = \{1\}$ , we obtain  $[\gamma] = r_*(1) = 1$ . Hence  $\pi_1(S^1) = \{1\}$ , which contradicts  $\pi_1(S^1) \cong \mathbb{Z}$ .



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- ▶ Remark:  $\mathbb{R}^k \setminus \{x\} \simeq S^{k-1} \times (0, \infty)$  via the map

$$y \mapsto \left(\frac{y-x}{||y-x||}, ||y-x||\right).$$



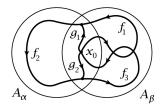
▶ **Lemma 7.13.** Suppose X is the union of a collection of path-connected open sets  $A_{\alpha}$  each containing the base point  $x_0 \in X$  and each intersection  $A_{\alpha} \cap A_{\beta}$  is path-connected. Then every loop in X based at  $x_0$  is homotopic to a product of loops each of which is contained in a single  $A_{\alpha}$ .

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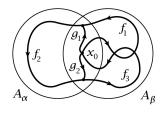
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- ➤ Compactness of [0,1] implies that a finite number of these intervals cover [0,1]. The endpoints of this finite set of intervals then define the desired partition of [0,1].

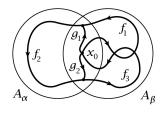




▶ Denote the  $A_{\alpha}$  containing  $f([s_{i-1}, s_i])$  by  $B_i$ , and let  $f_i$  be the path obtained by restricting f to  $[s_{i-1}, s_i]$ . Then  $f = f_1 \star f_2 \star \cdots \star f_m$  with  $f_i$  a path in  $B_i$ .



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- Then the loop

$$(f_1 \star \overline{g_1}) \star (g_1 \star f_2 \star \overline{g_2}) \star (g_2 \star f_3 \star \overline{g_3}) \star \cdots \star (g_{m-1} \star f_m)$$

is homotopic to f. This loop is the product of loops each lying in a single  $B_i$ .



▶ Proof. We can express  $S^n$  as the union of two open sets  $A_1$  and  $A_2$  each homeomorphic to  $\mathbb{R}^n$  such that  $A_1 \cap A_2$  is homeomorphic to  $S^{n-1} \times \mathbb{R}$ , for example by taking  $A_1$  and  $A_2$  to be the complements of two antipodal points in  $S^n$ .

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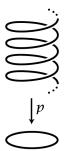
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► This map can be visualized geometrically by embedding  $\mathbb{R}$  in  $\mathbb{R}^3$  as the helix parametrized by  $s \mapsto (\cos 2\pi s, \sin 2\pi s, s)$ , and then p is the restriction to the helix of the projection of  $\mathbb{R}^3$  onto  $\mathbb{R}^2$ ,  $(x,y,z)\mapsto (x,y)$ .



Given a space X, a covering space of X consists of a space X and a map p: X → X satisfying the following condition: (\*) For each point x ∈ X there is an open neighborhood U of x in X such that p<sup>-1</sup>(U) is a union of disjoint open sets each of which is mapped homeomorphically onto U by p.

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  - (a) For each path  $f:[0,1] \to X$  starting at a point  $x_0 \in X$  and each  $\widetilde{x_0} \in p^{-1}(x_0)$  there is a unique lift  $\widetilde{f}:[0,1] \to \widetilde{X}$  starting at  $\widetilde{x_0}$ .

- Given a space X, a covering space of X consists of a space X and a map p: X → X satisfying the following condition: (\*) For each point x ∈ X there is an open neighborhood U of x in X such that p<sup>-1</sup>(U) is a union of disjoint open sets each of which is mapped homeomorphically onto U by p.
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  - ▶ (b) For each homotopy  $f_t : [0,1] \to X$  of paths starting at  $x_0$  and each  $\widetilde{x_0} \in p^{-1}(x_0)$  there is a unique lifted homotopy  $\widetilde{f_t} : [0,1] \to \widetilde{X}$  of paths starting at  $\widetilde{x_0}$ .

Let  $x_0 = (1,0)$ . We will show that  $\pi(S^1, x_0)$  is an infinite cyclic group generated by the homotopy class of the loop  $\omega(s) = (\cos 2\pi s, \sin 2\pi s)$ .

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- Let  $f:[0,1] \to S^1$  be a loop at the basepoint  $x_0=(1,0)$ , representing a given element of  $\pi_1(S^1,x_0)$ . By (a) there is a lift  $\widetilde{f}$  starting at 0. This path  $\widetilde{f}$  ends at some integer n since  $p\widetilde{f}(1)=f(1)=x_0$  and  $p^{-1}(x_0)=\mathbb{Z}\subset\mathbb{R}$ .

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- ▶ Another path in  $\mathbb{R}$  from 0 to n is  $\widetilde{\omega}_n$ , and  $\widetilde{f} \simeq \widetilde{\omega}_n$  via the linear homotopy  $(1-t)\widetilde{f} + t\widetilde{\omega}_n$ . Composing this homotopy with p gives a homotopy  $f \simeq \omega_n$  so  $[f] = [\omega_n]$ .



▶ To show that n is uniquely determined by [f], suppose that  $f \simeq \omega_n$  and  $f \simeq \omega_m$ , so  $\omega_m \simeq \omega_n$ . Let  $g_t$  be a homotopy from  $\omega_m = g_0$  to  $\omega_n = g_1$ . By (b) this homotopy lifts to a homotopy  $\widetilde{g}_t$  of paths starting at 0.

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- The uniqueness part of (a) implies that  $\widetilde{g}_0 = \widetilde{\omega}_m$  and  $\widetilde{g}_1 = \widetilde{\omega}_n$ . Since  $\widetilde{g}_t$  is a homotopy of paths, the endpoint  $\widetilde{g}_t(1)$  is independent of t. For t=0 this endpoint is m and for t=1 it is n, so m=n.