

Resonance Theory of Vibrational Strong Coupling Enhanced Polariton Chemistry

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We present a complete theory of vibrational strong coupling (VSC) modified rate constants in polariton chemistry when coupling a single molecule to an optical cavity. The analytic expression exhibits a sharp resonance behavior, where the maximum rate constant is reached when the cavity frequency matches the vibration frequency. The theory also explains why VSC rate constant modification closely resembles the optical spectra of the vibration outside the cavity. This analytic expression, together with our previous analytic rate expression under the lossy regime, provides a complete mechanistic picture for the VSC-enhanced rate constant in a simple double well reactive model system. Our analytic theory suggests that there will be a turnover of the rate constant as one changes the cavity lifetime, and the rate constant will first scale quadratically with respect to the light-matter coupling strength and then saturate. The analytic rate constants agree well with the numerically exact hierarchical equations of motion (HEOM) simulations for all explored regimes. Further, we discussed the temperature dependence of the VSC-modified rate constants, where the analytic theory also agrees well with the numerical exact simulations. Finally, we discussed the resonance condition at the normal incidence when considering in-plane momentum inside a Fabry-Pérot cavity.

A series of recent experiments [1–14] have demonstrated that chemical reaction rate constants can be enhanced [11–14] or suppressed [1–6, 9, 10] by coupling molecular vibrations to quantized radiation modes inside an optical microcavity. These surprising modifications happened under a “dark” condition without any external laser pumping, and the change in the chemical kinetics is attributed to the formation of vibrational polaritons (quasiparticles from the hybridization of the photonic and vibrational excitation) [2, 3]. This phenomenon is referred to as the vibrational strong coupling (VSC) modified chemical reactivities, whose central feature is that when the cavity frequency ω_c is in resonance with the bond vibration frequency ω_0 , the reaction rate constant can be enhanced or suppressed, usually up to 4–5 times compared to outside cavity rate constant [10, 11, 14]. This new strategy of VSC provides a novel avenue for synthetic chemistry through cavity-enabled bond-selective chemical transformations [2, 7, 8, 15–17] as one can selectively slow down one competing reaction over the target reactions by using cavities [2, 15].

Despite the encouraging progress in VSC experiments, we do want to point out that there are experimental efforts that try to reproduce the published VSC results but can not find any apparent VSC modifications. One of them is an early attempt in Ref. [18] to produce the enhancement of the hydrolysis reaction [13] but not being successful. The second one was an attempt [18] to reproduce the VSC enhancement on a hydrolysis reaction [11] coupled inside an FP cavity, but cannot reproduce the effect. However, there is a new attempt at reproducing the

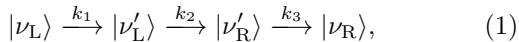
same hydrolysis reaction coupled to a plasmonic cavity and did find an enhancement of the rate constant [19]. In that same work [19] also tried to conduct this reaction inside the FP cavity and claimed to reproduce the VSC enhancement effect. As such, the reproducibility of these observed VSC effects remains an open experimental challenge and needs to be addressed in the future. Nevertheless, a good overview of technical concerns with the VSC flow cell experiment is given in Ref. [20]. In a different direction, recent experimental investigations [21, 22] on CN radical-hydrogen atom abstraction reaction do not reveal any noticeable change in the rate constant, even though the molecular system is under the strong coupling condition. However, these seemingly null results on the VSC effect have the potential to indirectly inform the fundamental mechanism and limitations of the VSC-induced rate constant modifications, and provide insights into when VSC will not be able to change rate constants.

From the theoretical side, a clear mechanistic understanding of VSC-modified ground-state chemical reactivity remains elusive, despite the recent theoretical developments [23–30]. In particular, there is no well-accepted mechanism or analytic rate theory [30]. There are many previous attempts to apply the existing rate theories (such as transition state theory (TST), Grote-Hynes theory [26, 31], quantum TST [32], Pollak-Grabert-Hänggi theory [27, 33], and molecular dynamics simulations [34], etc.), with the conceptual hypothesis that the cavity mode can be viewed and treated as regular nuclear vibrations [26]. However, none of them have successfully predicted the correct resonance condition or the sharp resonance peak of the rate constant distribution [26, 27, 32, 34]. The fact that the VSC-influenced dynamics is sensitive to the quantum frequency ω_0 also explains why the GH theory [26, 31], the PGH the-

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ory [27], or q-TST rate theory [32] can not correctly predict the resonance condition because these theories are often based on a partition function expression that effectively sums over all possible vibrational frequencies, and does not explicitly contain the information of ω_0 , or they are more sensitive to the curvature of the potential which is not directly related to the quantum optical frequency. This suggests that the analytic rate theory of VSC Chemistry if that exists, might have a completely new analytic form [30] that one has not encountered before in the Theoretical Chemistry literature.

Recent theoretical studies using a full quantum description of the vibrational degrees of freedom (DOF) and photonic DOF have successfully captured the resonance behavior under the single-molecule strong light-matter coupling regime [35, 36]. We have used quantum dynamics simulations to reveal how cavity modes enhance the ground state reaction rate constant [36, 37]. Specifically, we considered a double well potential coupled to a dissipative phonon bath [35, 36] as a generic model for chemical reaction, depicted in Fig. 1a. A simplified mechanism for the barrier crossing is described as follows



where k_1 is the rate constant for the vibrational excitation of the reactant (left well), k_2 is the rate constant of transition between the vibrational excited states of the left and right well, and k_3 corresponding to the vibrational relaxation process in the right well. Through exact quantum dynamics simulation, we observed that [36] $k_1 \ll k_2, k_3$, making $|\nu_L\rangle \rightarrow |\nu'_L\rangle$ rate-limiting. Further, we found that the role of the cavity mode \hat{q}_c is to promote the vibrational excitation and enhance k_1 . Using the steady-state approximation and Fermi's Golden Rule (FGR) rate theory, the overall rate constant is approximated as $k \approx k_1 = k_0 + k_{\text{VSC}}$, where k_0 is the outside cavity rate constant (which means in the absence of cavity modes throughout this paper), and k_{VSC} is the cavity-enhanced rate constant. Including the cavity mode and its loss environment in an effective spectral density [36], k_{VSC} can be evaluated using FGR, expressed as

$$k_{\text{VSC}} = \Omega_R^2 \cdot \frac{\tau_c^{-1} \omega_c \omega_0}{(\omega_c^2 - \omega_0^2)^2 + \tau_c^{-2} \omega_0^2} \cdot n(\omega_0), \quad (2)$$

where τ_c is the cavity lifetime, Ω_R is the Rabi splitting (for a single molecule coupled to the cavity, see Eq. 8), ω_0 is the vibrational frequency, and

$$n(\omega) = 1/(e^{\beta\hbar\omega} - 1) \approx e^{-\beta\hbar\omega} \quad (3)$$

is the Bose-Einstein distribution function, where $\beta \equiv 1/(k_B T)$ is the inverse of temperature T , k_B is the Boltzmann constant. In typical VSC experiments [1, 10], $\omega_0 \approx 1200 \text{ cm}^{-1}$ and room temperature $1/\beta = k_B T \approx 200 \text{ cm}^{-1}$, such that $\beta\hbar\omega_0 \gg 1$ and $n(\omega)$ can be approximate as Boltzmann distribution. Under the lossy regime ($\tau_c \ll \Omega_R^{-1}$), Eq. 2 agrees well with the numerically exact

HEOM results, and has a sharp peak at

$$\omega_c = \omega_0. \quad (4)$$

However, k_{VSC} in Eq. 2 breaks down when $\tau_c \gg \Omega_R^{-1}$ (the lossless regime) as it disagrees with the HEOM results (see Fig. 5 in Ref. [36]). This suggests that there will be a different mechanism for the VSC-modified rate constant under the lossless regime.

In this work, we present a complete mechanistic picture to understand a single molecule strongly coupled to a cavity and how VSC enhances the rate constant. In particular, we investigate how cavity lifetime τ_c influences the rate constants and derive a new analytic expression of the VSC rate constant under the lossless regime, based on a mechanistic observation that the rate-limiting step is the photonic excitation and the subsequent excitation transfer between photonic and vibrational DOFs. The resulting analytic rate theory, denoted as \tilde{k}_{VSC} (see Eq. 17), successfully described the VSC rate constant in the lossless regime and is in excellent agreement with the numerically exact results. Not only it predicts the correct resonance behavior at $\omega_c = \omega_0$, but also gives a clear explanation for the intimate connection between the VSC-modified rate constant and the optical lineshape $A_\nu(\omega - \omega_0)$ (Eq. 14). To the best of our knowledge, this is the first analytic theory that explains the close connection between rate constant changes and lineshape of the vibrations.

Under the resonance condition (Eq. 4), \tilde{k}_{VSC} is proportional to τ_c^{-1} in the lossless regime ($\tau_c \gg \Omega_R^{-1}$), whereas k_{VSC} (Eq. 2) is proportional to τ_c in the lossy regime ($\tau_c \ll \Omega_R^{-1}$). Moreover, we proposed an interpolated rate expression between k_{VSC} and \tilde{k}_{VSC} to describe the crossover phenomenon for intermediate τ_c , and predicted that the maximal enhancement will be reached at $\tau_c = \Omega_R^{-1}$. These analytic expressions provide a complete description for the τ_c turnover behavior of the VSC rate constant. Particularly, it provides a novel understanding of the physical role of cavity lifetime in VSC-modified chemical dynamics, that τ_c^{-1} can be viewed as a friction parameter based on the Kramers theory [38, 39]. Under the low friction regime ($\tau_c^{-1} \ll \Omega_R$), the reaction rate is limited by photonic excitation (which resembles energy diffusion) and $\tilde{k}_{\text{VSC}} \propto \tau_c^{-1}$, while under the high friction regime ($\tau_c^{-1} \gg \Omega_R$), the reaction rate is limited by light-matter conversion (which resembles spatial diffusion), and $k_{\text{VSC}} \propto 1/(\tau_c^{-1})$. Further, we discussed the temperature dependence of the VSC-modified rate constants and derived expressions of the effective change in activation enthalpy and entropy [4], which also agree well with the numerical exact simulations. Finally, we discussed the resonance condition at the normal incidence for a Fabry-Pérot (FP) cavity with one or two-dimensional in-plane momenta [30].

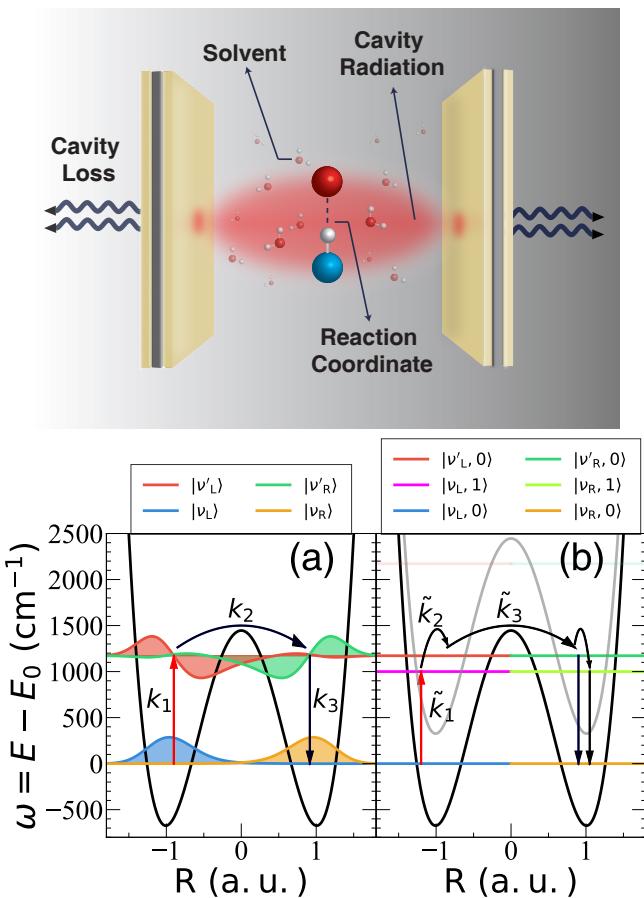


FIG. 1. Schematic illustration of the VSC-modified reactions and the possible mechanisms. Top: Schematic illustration of molecules coupled to the radiation field inside a FP optical cavity. Bottom: Schematics of the VSC enhanced reaction mechanism, which we consider four vibrational *diabatic* states $\{|\nu_L\rangle, |\nu_R\rangle, |\nu'_L\rangle, |\nu'_R\rangle\}$ (see Method, Eqs. 38-39). (a) Cavity mode promotes the transition $|\nu_L\rangle \rightarrow |\nu'_L\rangle$, leading to the rate constant enhancement [36]. (b) Considering the photon-dressed vibrational states $\{|\nu_L, 0\rangle, |\nu_L, 1\rangle, |\nu'_L, 0\rangle\}$ (as well as the corresponding states for the right well), the cavity-loss environment promotes the photonic excitation $|\nu_L, 0\rangle \rightarrow |\nu_L, 1\rangle$, and then the photonic excitation is converted into vibrational excitation through $|\nu_L, 1\rangle \rightarrow |\nu'_L, 0\rangle$, being an additional channel provided by coupling to the cavity. The phonon bath still enables the mechanism under panel (a).

RESULTS AND DISCUSSIONS

Theoretical model. The molecule-cavity Hamiltonian is expressed as

$$\hat{H} = \hat{H}_M + \hat{H}_\nu + \hat{H}_{LM} + \hat{H}_c, \quad (5)$$

where \hat{H}_M is the molecular Hamiltonian, \hat{H}_ν describes the phonon coupling to the molecular reaction coordinate, \hat{H}_{LM} describes the light-matter coupling (cavity-molecule interactions), and \hat{H}_c describes the cavity loss bath. In particular, $\hat{H}_M = \frac{\hat{P}^2}{2M} + V(\hat{R})$, where M is

the effective mass of the nuclear vibration, $V(\hat{R})$ is the ground electronic state potential energy surface modeled as a double-well potential (see Methods, Eq. 37 for details), and \hat{R} is the reaction coordinate. The light-matter interaction term is expressed as [16, 35, 36, 40]

$$\hat{H}_{LM} = \frac{1}{2} \left[\hat{p}_c^2 + \omega_c^2 \left(\hat{q}_c + \sqrt{\frac{2}{\omega_c}} \eta_c \hat{R} \right)^2 \right], \quad (6)$$

where $\hat{q}_c = \sqrt{\hbar/(2\omega_c)}(\hat{a} + \hat{a}^\dagger)$ and $\hat{p}_c = i\sqrt{\hbar\omega_c/2}(\hat{a}^\dagger - \hat{a})$ are the photon mode coordinate and momentum operators, respectively, where \hat{a}^\dagger and \hat{a} are the creation and annihilation operators for a cavity mode, and ω_c is the cavity mode frequency. Further, the single molecule, single mode light-matter coupling strength is [35, 36]

$$\eta_c = \sqrt{1/(2\hbar\omega_c\epsilon_0\mathcal{V})}, \quad (7)$$

where ϵ_0 is the permittivity inside the cavity, and \mathcal{V} is the effective quantization volume of that mode. In Eq. 6, we had explicitly assumed that the ground state dipole moment $\mu(\hat{R})$ is linear and always aligned with the cavity polarization direction [26, 35], such that $\mu(\hat{R}) = \hat{R}$. Based on the two diabatic states $|\nu_L\rangle$ and $|\nu'_L\rangle$ in the left well (see Eqs. 38-39 in Method), we define the quantum vibration frequency of the reactant as $\omega_0 \equiv \mathcal{E}' - \mathcal{E} = 1172.2 \text{ cm}^{-1}$, which is directly related to the quantum transition of $|\nu_L\rangle \rightarrow |\nu'_L\rangle$ and can be determined by spectroscopy measurements (IR or transmission spectra). The Rabi splitting from the spectral measurements is related to the light-matter coupling strength as follows

$$\Omega_R = 2\eta_c\omega_c\mu_{LL'}, \quad (8)$$

where the transition dipole matrix element is defined as $\mu_{LL'} = \langle \nu_L | \hat{R} | \nu'_L \rangle$. See Methods, Eqs. 41-42 for a detailed description of the other terms in the VSC Hamiltonian. In this work, we will use η_c in Eq. 7 and Ω_R in Eq. 8 as interchangeable phrases.

A schematic illustration of the model system is provided in the top panel of Fig. 1. Fig. 1a-b presents the potential $V(R)$ for the ground state along the reaction coordinate R , as well as key quantum states associated with the two different mechanisms of the VSC-modified kinetics. Specifically, Fig. 1a shows the four diabatic matter states $|\nu_L\rangle$ (blue), $|\nu_R\rangle$ (orange), $|\nu'_L\rangle$ (red), $|\nu'_R\rangle$ (green), in which the cavity is included in the bath and described by an effective spectral density $J_{\text{eff}}(\omega)$ (see Supplementary Note 2, section A). The major VSC enhanced reaction channel is shown in Eq. 1, in which k_1 is the rate-limiting step. This mechanism is confirmed for the lossy regime using the exact quantum dynamics simulations in our previous work [36, 37]. Fig. 1b shows several key photon-dressed vibration states. These states include $|\nu_L, 0\rangle$ (blue), $|\nu_R, 0\rangle$ (orange), $|\nu_L, 1\rangle$ (magenta), $|\nu_R, 1\rangle$ (green-yellow), $|\nu'_L, 0\rangle$ (red), $|\nu'_R, 0\rangle$ (green) for both the reaction coordinate \hat{R} and the cavity mode \hat{q}_c , in which the cavity is included in the system and

coupled to the photon-loss environment characterized by the spectral density $J_c(\omega)$ (see Supplementary Note 2, section B). The VSC-enhanced reaction channel is shown later in Eq. 11, in which the photonic excitation $|\nu_L, 0\rangle \rightarrow |\nu_L, 1\rangle$ and the conversion to vibrational excitation $|\nu_L, 1\rangle \rightarrow |\nu'_L, 0\rangle$ are sequential steps which together act as the rate-limiting steps. Later, we will see that the FGR rate theory constructed using Eq. 1 works for the lossy regime while using Eq. 11 works for the lossless regime.

FGR rate theory in the lossy regime. For the lossy regime ($\tau_c^{-1} \gg \Omega_R$), the VSC modified rate constant is expressed in Eq. 2 based on our recent work [36], which sharply peaks at $\omega_c = \omega_0$. Under the resonance condition ($\omega_c = \omega_0$), Eq. 2 reduces to

$$k_{\text{VSC}} = \Omega_R^2 \tau_c n(\omega_0) \propto \tau_c, \quad (9)$$

suggesting that a larger enhancement of the rate constant will be reached with a longer τ_c . Eq. 2 provides an excellent agreement with HEOM under this lossy regime, as is verified in the previous work [36]. When τ_c further increases, Eq. 2 needs to include phonon broadening effect [36] to avoid divergence when $\tau_c \rightarrow \infty$, resulting in

$$k_{\text{VSC}} = \int_0^\infty d\omega \frac{\Omega_R^2 \tau_c^{-1} \omega_c \omega \cdot n(\omega)}{(\omega_c^2 - \omega^2)^2 + \tau_c^{-2} \omega^2} \mathcal{A}_\nu(\omega - \omega_0), \quad (10)$$

which is a convolution between Eq. 2 and the broadening function $\mathcal{A}_\nu(\omega - \omega_0)$ (see Eq. 14), and the fundamental scaling suggested in Eq. 9 is preserved.

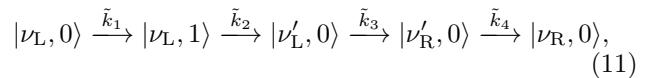
Fig. 2a presents the results of k/k_0 using both the numerically exact HEOM simulations (open circles with thin guiding lines) and the analytic FGR rate theory (thick solid curves), with the light-matter coupling strength $\eta_c = 0.05$ a.u. For the analytic FGR rate theory, we present the results $k/k_0 = 1 + 0.5 k_{\text{VSC}}/k_0$, where k_{VSC} is evaluated using Eq. 10 and k_0 is directly obtained from HEOM simulations, and an empirical re-scaling factor 0.5 is applied (see Method, Rate Constant Calculations). One can see that Eq. 10 provides an excellent agreement with the HEOM results when $\tau_c < 100$ fs. Both the resonance peak position and the width of the rate constant modifications are well captured.

Fig. 2b presents the τ_c -dependence of k/k_0 under the resonance condition ($\omega_c = \omega_0$), with $\eta_c = 0.05$ a.u., corresponding to a Rabi splitting of $\Omega_R \approx 25.09$ cm⁻¹ (based on Eq. 8) or equivalently, the time scale of Rabi oscillation $\Omega_R^{-1} \approx 211.6$ fs. The numerically exact HEOM results (blue open circles) show a turnover behavior on k/k_0 when increasing τ_c from the lossy limit to the lossless limit. One can observe that the FGR curve using k_{VSC} (Eq. 10, red) agrees well with the left side of the HEOM turnover curve, corresponding to the lossy regime where $\tau_c < 100$ fs. This is because when the cavity is lossy (with a small τ_c), the cavity mode thermalizes fast with the photon-loss bath, and τ_c serves as a broadening parameter in the effective spectral density [36]. The fundamental mechanism of the rate constant enhancement is

the vibrational excitation $|\nu_L\rangle \rightarrow |\nu'_L\rangle$ under the influence of the effective bath (see schematic in Fig. 1a).

However, Eq. 9 can not describe the VSC kinetics when further increasing τ_c so that the lossy regime $\tau_c \ll \Omega_R^{-1}$ is no longer satisfied. This is because as τ_c increases, the photon-loss bath \hat{H}_c no longer plays the simple role of (homogeneous) broadening, breaking the fundamental mechanistic assumption in Eq. 1. A new analytic theory for this lossless regime is needed.

FGR rate theory in the lossless regime. When the cavity is under the lossless regime ($\tau_c \gg \Omega_R^{-1}$), the rate-limiting step of the reaction becomes the photonic excitation $|0\rangle \rightarrow |1\rangle$ and the subsequent excitation energy transfer (see Fig. 1b). The VSC enhancement thus originates from the enhancement of the photonic excitation caused by the photon-loss bath \hat{H}_c , as proposed in Ref. [35]. Under this regime, the numerically exact HEOM simulations suggest the following reaction mechanism (schematically depicted in Fig. 1b)



and $\tilde{k}_1, \tilde{k}_2 \ll \tilde{k}_3, \tilde{k}_4$. Note that the phonon bath \hat{H}_ν can still promote the transition $|\nu_L\rangle \rightarrow |\nu'_L\rangle$, and Eq. 1 is still one of the main mechanism for the reaction, either outside or inside the cavity.

According to FGR (with the system-bath partition described in Supplementary Note 2, section B), the photonic excitation $|\nu_L, 0\rangle \rightarrow |\nu_L, 1\rangle$ rate constant \tilde{k}_1 can be evaluated using FGR, resulting in

$$\tilde{k}_1 = \frac{n(\omega_c)}{\tau_c}, \quad (12)$$

where $n(\omega)$ is the Bose-Einstein distribution in Eq. 3. Details of the derivation are provided in Supplementary Note 5, section A. Note that there is no resonance behavior in \tilde{k}_1 , and it becomes unbounded when $\tau_c \rightarrow 0$. The resonance behavior and boundedness of the rate constant will be ensured by \tilde{k}_2 associated with the $|\nu_L, 1\rangle \rightarrow |\nu'_L, 0\rangle$ transition, which can be evaluated as

$$\tilde{k}_2 \approx \frac{\pi}{2} \Omega_R^2 \delta(\omega_c - \omega_0) n(\omega_c), \quad (13)$$

Details of the derivation are provided in Supplementary Note 5, section B. Due to the molecular phonon bath \hat{H}_ν , one needs to further consider the broadening effect in the vibration frequency ω_0 , described by a lineshape function $\mathcal{A}_\nu(\omega_c - \omega_0)$. Under the homogeneous limit, $\mathcal{A}_\nu(\omega_c - \omega_0)$ has a Lorentzian form as follows [41]

$$\mathcal{A}_\nu(\omega - \omega_0) = \frac{1}{\pi} \frac{\Gamma_\nu}{(\omega - \omega_0)^2 + \Gamma_\nu^2}, \quad (14)$$

with the broadening parameter [36, 42]

$$\Gamma_\nu^2 = (\epsilon_z^2/\pi) \int_0^\infty d\omega J_\nu(\omega) \coth(\beta\omega/2), \quad (15)$$

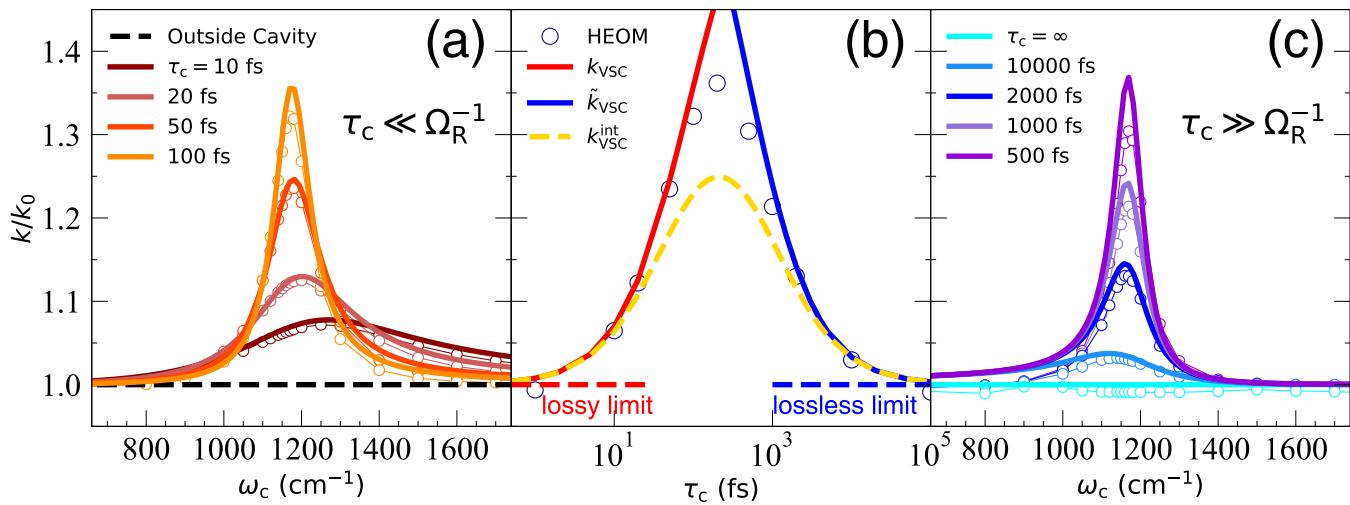


FIG. 2. Effect of cavity lifetime τ_c on the VSC-modified rate constant. Comparisons are made between the numerically exact HEOM results (open circles with thin guiding lines) and the FGR rate constants (both k_{VSC} and \tilde{k}_{VSC}) which are re-scaled by a factor of 0.5 (solid lines). The light-matter coupling strength is fixed at $\eta_c = 0.05$ a.u. (a) Resonance peaks of k/k_0 for VSC effect under the lossy regime ($\tau_c \ll \Omega_R^{-1}$). The FGR rates using k_{VSC} in Eq. 10 (thick solid lines) are compared to the HEOM results (open circles with thin guiding lines) under a variety of τ_c values. (b) The values of k/k_0 under the resonance condition $\omega_c = \omega_0$ as a function of τ_c . The results of HEOM (blue open circles), FGR rates using k_{VSC} in Eq. 10 (red solid line), FGR rates using \tilde{k}_{VSC} in Eq. 17 (blue solid line), and FGR rates using k_{VSC}^{int} in Eq. 20 (gold dashed line) are presented. (c) Resonance peaks of k/k_0 for VSC effect under the lossless regime ($\tau_c \gg \Omega_R^{-1}$). The FGR rates using \tilde{k}_{VSC} in Eq. 17 (thick solid lines) are compared to the HEOM results (open circles with thin guiding lines) under a variety of τ_c values.

where $\epsilon_z \equiv \langle \nu'_L | \hat{R} | \nu'_L \rangle - \langle \nu_L | \hat{R} | \nu_L \rangle$. Note that $\mathcal{A}_\nu(\omega - \omega_0)$ in Eq. 14 is also an approximate IR spectra function under the homogeneous broadening limit (see Ref. [41], Eq. (6.67)), with the width Γ_ν . The parameters used in this study give $\Gamma_\nu \approx 30.83 \text{ cm}^{-1}$, which is in line with the typical values of the molecular systems investigated in the recent VSC experiments [1, 10]. As such, the rate constant \tilde{k}_2 can be evaluated as convolution between $\tilde{\kappa}_2$ (Eq. 13) and $\mathcal{A}_\nu(\omega - \omega_0)$ (Eq. 14) as

$$\begin{aligned} \tilde{k}_2 &= \int_0^\infty d\omega \frac{\pi}{2} \Omega_R^2 \delta(\omega_c - \omega) n(\omega_c) \cdot \mathcal{A}_\nu(\omega - \omega_0) \\ &= \frac{\pi}{2} \Omega_R^2 \mathcal{A}_\nu(\omega_c - \omega_0) n(\omega_c). \end{aligned} \quad (16)$$

Further, the population dynamics from HEOM (see Fig. S2 in Supplementary Note 4) suggests that \tilde{k}_1 and \tilde{k}_2 steps can be regarded as sequential kinetic steps, and the populations of $|\nu_L, 1\rangle$ and $|\nu'_L, 0\rangle$ both reach to a steady state behavior (plateau in time). Making the *steady-state* approximation for the mediating state, the overall rate constant for the $|\nu_L, 0\rangle \rightarrow |\nu_L, 1\rangle \rightarrow |\nu'_L, 0\rangle$ steps is expressed as follows

$$\tilde{k}_{VSC} = \frac{\tilde{k}_1 \cdot \tilde{k}_2}{\tilde{k}_1 + \tilde{k}_2} = \frac{\frac{\pi}{2} \Omega_R^2 \mathcal{A}_\nu(\omega_c - \omega_0) \cdot n(\omega_c)}{1 + \frac{\pi}{2} \Omega_R^2 \mathcal{A}_\nu(\omega_c - \omega_0) \tau_c}, \quad (17)$$

which contains both the resonance structure (due to the line shape function $\mathcal{A}_\nu(\omega_c - \omega_0)$) and the boundedness with respect to τ_c . Because that $|\nu_L, 0\rangle \rightarrow |\nu_L, 1\rangle \rightarrow |\nu'_L, 0\rangle$ is rate-limiting for the entire reaction process in

Eq. 11, the VSC-modified rate constant can also be evaluated as $k = k_0 + \tilde{k}_{VSC}$ under the lossless regime ($\tau_c^{-1} \ll \Omega_R$), being valid under the FGR limit [43, 44]. Similar to the lossy regime, we report

$$k/k_0 = 1 + \alpha \cdot \tilde{k}_{VSC}/k_0, \quad (18)$$

where k_0 is the outside cavity rate constant, and α is an ad hoc rescaling factor due to the inaccuracy of the FGR level of theory. Practically, we found $\alpha = 0.5$ will match the numerically exact results from HEOM [45].

Eq. 17 is the *first main theoretical result* in this work. This analytic theory \tilde{k}_{VSC} (as well as in Eq. 10 for k_{VSC}) implies that the optical lineshape of the molecule described by $\mathcal{A}_\nu(\omega - \omega_0)$ is intimately connected to the VSC kinetics modifications, due to the fact that both are sensitive to the vibrational quantum transition. The current theory in Eq. 17 provides an analytic answer to the early numerical observations [35, 36] from HEOM simulations. Under the resonance condition ($\omega_c = \omega_0$), Eq. 17 becomes

$$\tilde{k}_{VSC} = \frac{\Omega_R^2 \Gamma_\nu^{-1}}{2 + \Omega_R^2 \Gamma_\nu^{-1} \tau_c} \cdot n(\omega_0) \propto \tau_c^{-1}, \quad (19)$$

which implies \tilde{k}_{VSC} increases as τ_c decreases, being opposed to Eq. 9 (under the lossy regime). When the cavity approaches the lossless regime ($\tau_c \rightarrow \infty$), $\tilde{k}_{VSC} \rightarrow 0$ so that there will be no cavity modifications.

One can observe in Fig. 2b that the \tilde{k}_{VSC} curve (Eq. 19, blue) agrees well with the right-hand side of the HEOM turnover curve, corresponding to the lossless

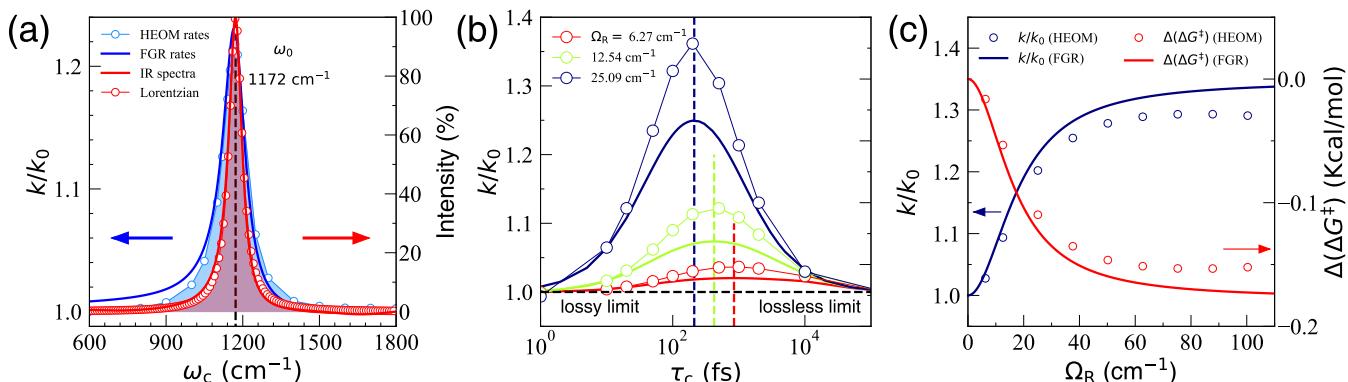


FIG. 3. Influence of cavity frequency, cavity lifetime, and light-matter coupling strength on \tilde{k}_{VSC} . (a) The rate profile k/k_0 obtained from HEOM simulations (blue open circles) and the FGR expression using Eq. 17 (blue thick line), as well as the IR spectra of the bare molecule system from HEOM (thick solid line) and using Eq. 14 (open circles). The rate profile is the same as the violet curve in Fig. 2c. (b) Cavity lifetime τ_c -dependence of the VSC rate constant k/k_0 under various Ω_R obtained from HEOM simulations (open circles with thin guiding line) as well as the interpolated expression in Eq. 20 (solid lines), and the cavity frequency is fixed at the resonance condition $\omega_c = \omega_0$. The dashed vertical lines denote the position where $\tau_c = \Omega_R^{-1}$. (c) Relation between k/k_0 at resonance ($\omega_c = \omega_0$) and the Rabi splitting Ω_R , with results obtained from HEOM (red circles) and FGR (red solid line) using \tilde{k}_{VSC} in Eq. 19. The change of the effective free energy barrier height $\Delta(\Delta G^\ddagger)$ is also presented, with HEOM (blue circles) and the FGR (blue solid line) using Eq. 22.

regime where $\tau_c > 500$ fs, although a re-scaling factor of 0.5 is multiplied to \tilde{k}_{VSC} . The $\tau_c \rightarrow \infty$ limit has been numerically investigated in Ref. [35], suggesting that k/k_0 increases as τ_c decreases. The $\tau_c \rightarrow 0$ limit has been numerically checked in Ref. [36], suggesting that k/k_0 increases as τ_c increases. Combining the knowledge of Eq. 9 and Eq. 19, we can predict that there will be a turnover behavior for the VSC-modified rate constant. Equivalently speaking, when $\tau_c^{-1} \rightarrow 0$ (small friction limit), $\tilde{k}_{\text{VSC}} \propto \tau_c^{-1}$, and when $\tau_c^{-1} \rightarrow \infty$ (large friction limit) $\tilde{k}_{\text{VSC}} \propto \tau_c$. The scaling of the VSC rate constant as a function of τ_c^{-1} coincides with the well-known Kramers turnover [38, 39]. As such, one can regard τ_c^{-1} as the friction parameter for the photon-loss environment. A similar crossover phenomenon has also been discovered in spin relaxation kinetics in semiconductors, *e.g.*, the D'yakonov-Perel' mechanism under different momentum scattering rates [46–51].

Fig. 2c presents the ω_c -dependence of k/k_0 from the numerically exact HEOM results (open circles with thin guiding lines), and the FGR rate constant using \tilde{k}_{VSC} in Eq. 17 (thick solid lines). One can see that \tilde{k}_{VSC} agrees well with the exact results for $\tau_c > 500$ fs, and the resonance peak positions are well captured by FGR (with a re-scaling factor of 0.5 applied). In addition, the widths given by \tilde{k}_{VSC} are in agreement with the HEOM results for a wide range of τ_c . We also note that the long tails towards lower cavity frequencies in the FGR results disagree with the HEOM results, due to the Lorentzian lineshape function decays slowly while $n(\omega_c)$ increases fast when decreasing ω_c .

VSC-modified rate constant and the optical lineshape.

Apart from predicting the correct resonance

condition ($\omega_c = \omega_0$), \tilde{k}_{VSC} in Eq. 17 also predicts that the width of the rate constant profile is determined by the lineshape function of the molecular vibration spectra $\mathcal{A}_v(\omega_c - \omega_0)$, with width Γ_v (see Eq. 15). Note that \tilde{k}_{VSC} is slightly broader than $\mathcal{A}_v(\omega - \omega_0)$ due to the Bose-Einstein distribution function $n(\omega_c)$ (see Eq. 3). Fig. 3a presents k/k_0 obtained by HEOM simulations (light blue open circle and shaded area) and FGR from Eq. 17 (dark blue solid line), respectively, as well as the IR spectra of the bare molecule system obtained from HEOM simulation (red solid line with shaded area). The rate profile is the same as the magenta curve in Fig. 2c, where $\eta_c = 0.05$ a.u. and $\tau_c = 1000$ fs. The IR spectra are simulated by HEOM, with details presented in Supplementary Note 6. The optical spectra can be well approximated as $\mathcal{A}_v(\omega - \omega_0)$ in Eq. 14 (red open circles), which is visually identical to the HEOM results. The similar trend of the vibration spectra for the molecular system outside the cavity and the VSC-modified rate constant profile are a ubiquitous feature for most of the VSC experiments so far [1, 5, 10, 14], with the peaks both located at $\omega_c = \omega_0$ and the widths roughly at Γ_v (although there are counter-examples, such as Fig. 3a of Ref. 2). This feature is observed in current numerical simulations, as well as in the previous work [35], which can be explained by the \tilde{k}_{VSC} expression in Eq. 17.

FGR rate theory in the intermediate regime. Under the intermediate regime ($\tau_c \sim \Omega_R^{-1}$), it is difficult to have a simple reaction mechanism and derive an analytical rate constant expression. This is indeed the case for Kramers turnover when the friction parameter is in between the energy and spatial diffusion limits [38]. A similar situation also occurs for the theory of electron

transfer under the non-adiabatic limit (golden rule, Marcus Theory) or adiabatic limit (Born-Oppenheimer, Hush Theory), where well-defined rate theories are available in both regimes [52–55], but there is no analytic theory for the entire crossover region. Nevertheless, one can apply an *ad hoc* approach by interpolating the two FGR expressions in Eqs. 2 and 17 as follows [53, 55]

$$k_{\text{VSC}}^{\text{int}}(\tau_c) = \frac{k_{\text{VSC}}(\tau_c) \cdot \tilde{k}_{\text{VSC}}(\tau_c)}{k_{\text{VSC}}(\tau_c) + \tilde{k}_{\text{VSC}}(\tau_c)}, \quad (20)$$

which is the *second main theoretical result* in this work. The numerical result of FGR rates using $k_{\text{VSC}}^{\text{int}}$ in Eq. 20 is presented in Fig. 2b (golden dashed line), with a re-scaling factor of 0.5 applied to both k_{VSC} (Eq. 10) and \tilde{k}_{VSC} (Eq. 17). One can see that Eq. 20 correctly captured the turnover behavior in the τ_c -dependence of VSC rate constant, which maximizes at around $\tau_c = 200$ fs and agrees with the HEOM simulations, although being less accurate than either Eq. 2 in the lossy regime or Eq. 17 in the lossless regime. As a corollary of $k_{\text{VSC}}^{\text{int}}$, the maximum enhancement of the VSC rate constant can be reached when $\tau_c = \Omega_R^{-1}$. This is because under the resonance condition $\omega_c = \omega_0$, Eq. 20 becomes (c.f. Eqs. 9 and 19)

$$k_{\text{VSC}}^{\text{int}}(\tau_c) = \frac{\Omega_R^2 n(\omega_0)}{\Omega_R^2 \tau_c + \tau_c^{-1}} \leq \frac{1}{2} \Omega_R n(\omega_0), \quad (21)$$

where the equal sign is satisfied under $\tau_c = \Omega_R^{-1}$.

Fig. 3b presents the τ_c -dependence of VSC rate constants under different Rabi splittings Ω_R , obtained from the numerically exact HEOM simulations (open circles with thin guiding line) as well as the interpolated expression in Eq. 20 (solid lines). All pairs of curves show a similar turnover behavior along τ_c but differ in the peak positions. The dashed vertical lines denote the position where $\tau_c = \Omega_R^{-1}$ at the corresponding Ω_R value, which coincides with the peak positions of the turnover curves. As a result, the expression of $k_{\text{VSC}}^{\text{int}}$ predicts that the maximum enhancement of VSC rate constants is reached when $\tau_c = \Omega_R^{-1}$, in agreement with the numerically exact simulations.

Effect of the Rabi splitting. We further explore the effect of the light-matter coupling strength on the VSC rate constant and the accuracy of the FGR expression in Eq. 19 (Eq. 17 under the resonance condition) in the lossless regime. By doing so, we fix the cavity lifetime as $\tau_c = 1000$ fs. Fig. 3c presents the relation between k/k_0 at resonance ($\omega_c = \omega_0$) under various Rabi splitting Ω_R , obtained from the HEOM simulations (red circles) and the FGR expression (red solid line) using \tilde{k}_{VSC} in Eq. 19 with a re-scaling factor of 0.5 on \tilde{k}_{VSC} . Over up to 100 cm^{-1} Rabi splitting, the FGR expression (Eq. 19) correctly captures the Ω_R -dependence that first scales as $\tilde{k}_{\text{VSC}} \propto \Omega_R^2$, then plateau (saturated). This is because when Ω_R becomes large, only \tilde{k}_1 (Eq. 12) is rate limiting, which is Ω_R -independent.

Fig. 3c further presents the change of the effective free energy barrier $\Delta(\Delta G^\ddagger)$, directly calculated from the rate constant ratio k/k_0 obtained from HEOM simulations. To account for the “effective change” of the Gibbs free energy barrier $\Delta(\Delta G^\ddagger)$ as follows [4, 11, 36]

$$\Delta(\Delta G^\ddagger) = \Delta G^\ddagger - \Delta G_0^\ddagger = -k_B T \ln(k/k_0). \quad (22)$$

Note that this is not an actual change in the free-energy barrier, but rather an effective measure of the purely kinetic effect. Here, one can see a non-linear relation of $\Delta(\Delta G^\ddagger)$ with Ω_R that has been observed experimentally [6], and the theory in Eq. 19 provides a semi-quantitative agreement with the numerically exact results from HEOM simulations.

Temperature dependence of the VSC rate constant. Experimentally, it was found that VSC induces changes in both effective activation enthalpy and activation entropy when using the Eyring equation to interpret the change of the rate constant [1, 10, 11], which remains to be theoretically explained. We emphasize that based on our current theory, the VSC modification mechanism is not due to the direct modification of the Entropy or Enthalpy, but rather through the mechanisms summarized in Eq. 11 (for lossless regime) and Eq. 1 (for lossy regime). However, if one chooses to interpret the change of the rate constant through these enthalpy and entropy changes, then the current theory in Eq. 17 can indeed explain both changes. Using the Eyring equation, the temperature dependence of the reaction rate constant is

$$k = \frac{k_B T}{2\pi\hbar} \exp\left(-\frac{\Delta H^\ddagger}{k_B T} + \frac{\Delta S^\ddagger}{k_B}\right), \quad (23)$$

where ΔH^\ddagger and ΔS^\ddagger are the *effective* activation enthalpy and entropy, respectively, which can be extracted by plotting $\ln(k/T)$ as a function of $1/T$. We further denote the effective activation enthalpy and entropy inside the cavity as ΔH_c^\ddagger and ΔS_c^\ddagger , respectively, and the corresponding values outside the cavity as ΔH_0^\ddagger , ΔS_0^\ddagger , respectively. One can further define their difference as $\Delta\Delta H^\ddagger \equiv \Delta H_c^\ddagger - \Delta H_0^\ddagger$, and $\Delta\Delta S^\ddagger \equiv \Delta S_c^\ddagger - \Delta S_0^\ddagger$, which characterizes the pure cavity induced effects. According to the assumption that $k = k_0 + k_{\text{VSC}}$, they can be evaluated analytically as follows

$$\Delta\Delta H^\ddagger = -\frac{k_{\text{VSC}}}{k_0 + k_{\text{VSC}}} \left[\Delta H_0^\ddagger + \left(1 - \frac{\hbar\omega_0}{k_B T}\right) k_B T \right], \quad (24a)$$

$$\Delta\Delta S^\ddagger = \frac{\Delta\Delta H^\ddagger}{T} + k_B \ln\left(1 + \frac{k_{\text{VSC}}}{k_0}\right), \quad (24b)$$

where the detailed derivations are provided in Supplementary Note 7. Eq. 24 can be evaluated by using HEOM results, or the FGR expressions, either k_{VSC} in Eq. 2 (or Eq. 10 to be more accurate) for the lossy case or \tilde{k}_{VSC} in Eq. 17 for the lossless case. The previous work based

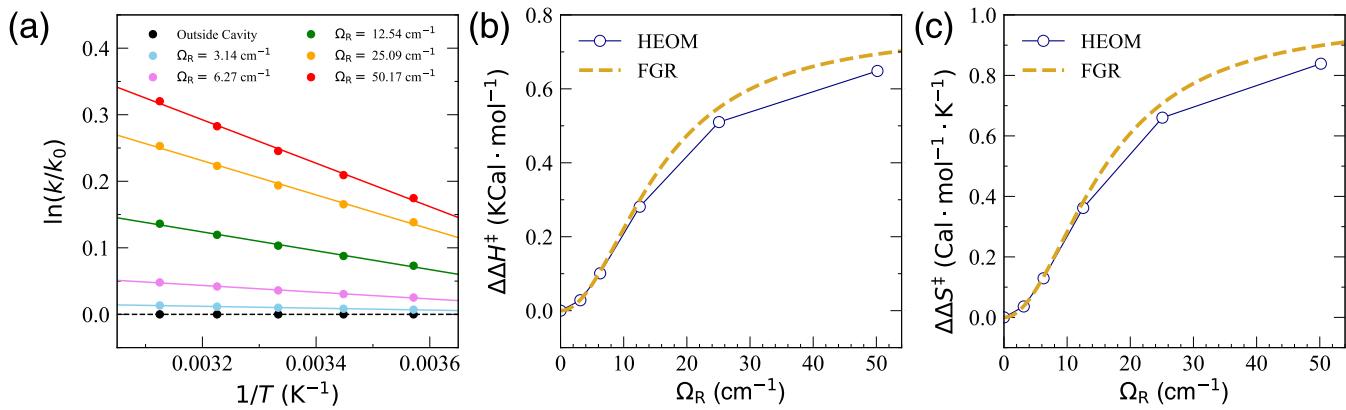


FIG. 4. Temperature dependence of the VSC rate constant. The cavity lifetime τ_c is fixed at 1000 fs, and the cavity frequency is kept at the resonance condition $\omega_c = \omega_0$. (a) Eyring-type plots for $\ln(k/k_0)$ as a function of $1/T$, for reaction outside the cavity (black points) and inside the resonant cavity under various light-matter coupling strengths. (b) Effective activation enthalpy under different Ω_R values, with the results obtained from the exact HEOM simulations (blue open circles) and the FGR results (gold dashed line) using Eq. 17 (where the value of \tilde{k}_{VSC} in Eq. 17 is re-scaled by a factor of 0.5). (c) Effective activation entropy under different Ω_R values obtained from the exact HEOM simulations (blue open circles) and the FGR results (gold dashed line) using Eq. 17 (where the value of \tilde{k}_{VSC} in Eq. 17 is re-scaled by a factor of 0.5).

on the classical Grote-Hynes rate theory [15] can only explain the change in $\Delta\Delta S^\ddagger$. The current FGR-based theory can explain changes in both $\Delta\Delta H^\ddagger$ and $\Delta\Delta S^\ddagger$, which has been observed in experiments [4, 6].

Fig. 4a presents the temperature dependence of the VSC rate constant, plotting as $\ln(k/k_0)$ as a function of $1/T$. The cavity lifetime is fixed as $\tau_c = 1000$ fs, and the cavity frequency is $\omega_c = \omega_0$. Fig. 4a shows the Eyring-type plots for reactions outside the cavity (black points) and inside a resonant cavity under various light-matter coupling strengths. The rate constants were obtained from HEOM simulations (dots), and fitted by the least square to obtain linearity (thin lines). One can see that as Ω_R increases, the slope of the Eyring plots becomes more negative (an increasing the effective activation enthalpy). Meanwhile, the effective entropy also increased significantly as one increase Ω_R . Fig. 4b presents the change of the effective activation enthalpy $\Delta\Delta H^\ddagger$ as increasing Ω_R . The HEOM results for $\Delta\Delta H^\ddagger$ (blue open circles with thin guiding lines) are extracted from the slopes of the fitted lines in Fig. 4a. Further, the FGR results (gold dashed line) are presented, in which k_{VSC} is calculated using Eq. 17 (re-scale by a factor of 0.5) and plug-in Eq. 24a to obtain the cavity induced change $\Delta\Delta H^\ddagger$. When k_{VSC} is small, Eq. 24a is proportional to k_{VSC} , *i.e.*, proportional to Ω_R^2 according to the analytic FGR rate theory (see Eq. 17). One can see that from Fig. 4b when $\Omega_R < 15 \text{ cm}^{-1}$, ΔH_c^\ddagger increases quadratically with Ω_R , and the FGR results agree with the HEOM results. When $\Omega_R > 15 \text{ cm}^{-1}$, the behavior deviates from quadratic scaling, and FGR results still closely match the trend of HEOM results. Fig. 4c presents the change of the effective activation entropy $\Delta\Delta S^\ddagger$, with results obtained from HEOM (blue open circles with thin line) and FGR (golden dashed

line), where the FGR also provides a good agreement with the exact results. Note that Eq. 24 also works well in the lossy regime, where the results with $\tau_c = 100$ fs and k/k_0 evaluated using Eq. 10 are presented in Fig. S3 of Supplementary Note 7.

Resonance condition at the normal incidence. The dispersion relation of a Fabry-Pérot (FP) microcavity [6, 16, 56] is

$$\omega_{\mathbf{k}}(k_{\parallel}) = \frac{c}{n_c} \sqrt{k_{\perp}^2 + k_{\parallel}^2} = \frac{ck_{\perp}}{n_c} \sqrt{1 + \tan^2 \theta}, \quad (25)$$

where c is the speed of light in vacuum, n_c is the refractive index inside the cavity, c/n_c is the speed of the light inside the cavity, and θ is the incident angle, which is the angle of the photonic mode wavevector \mathbf{k} relative to the norm direction of the mirrors. For simplicity, we explicitly drop n_c throughout this paper (because of the experimental value $n_c \approx 1$). The many-mode Hamiltonian is provided in Supplementary Note 8. When $k_{\parallel} = 0$ (or $\theta = 0$), the photon frequency is

$$\omega_c \equiv \omega_{\mathbf{k}}(k_{\parallel} = 0) = ck_{\perp}, \quad (26)$$

which is the cavity frequency we introduced in the previous discussions (Eq. 4). Experimentally, it is observed that only when $\omega_c = \omega_0$ (known as the normal incidence condition) will there be VSC effects [2, 4, 6, 10, 30]. For a red-detuned cavity ($\omega_c < \omega_0$), there are still a finite number of modes (with a finite value of k_{\parallel}), such that $\omega_{\mathbf{k}} = \omega_0$. This is referred to as the oblique incidence, but there is no observed VSC effect even though polariton states are formed [1, 6, 30]. As mentioned in Ref. [2], “Tuning the cavity so that VSC occurs at normal incidence is essential to observe the modification of chemical

properties. In this condition, the system is at the minimum energy in the polaritonic state [2]". Despite recent theoretical progress [57, 58], there is no accepted theoretical explanation for VSC effect only observed at the normal incidence, although intuitively, the group velocity $\partial\omega_{\mathbf{k}}/\partial k_{\parallel} = 0$ at $k_{\parallel} = 0$ and make that point special, as hinted by Ebbesen and co-workers [2]. Our recent work suggests that for the analytic expression k_{VSC} (Eq. 2), it is possible to explain such a normal incidence effect when considering many cavity modes [45]. In this work, we theoretically explore such normal incidence conditions for the new analytic expression \tilde{k}_{VSC} (Eq. 17) under the lossless regime.

For $k_{\parallel} > 0$, the mode has a finite momentum in the in-plane direction. Because of this in-plane propagation, the photon leaving the effective mode area is characterized by the following effective lifetime [45]

$$\tau_{\parallel}(k_{\parallel}) = \frac{\mathcal{D}}{c \cdot \sin \theta} = \frac{\mathcal{D}}{c} \cdot \frac{\omega_{\mathbf{k}}}{\sqrt{\omega_{\mathbf{k}}^2 - \omega_c^2}}, \quad (27)$$

where \mathcal{D} characterizes the spatial extent of a given mode (along the k_{\parallel} direction). Using the experimental molecular density and the effective number of molecules coupled to a given mode, one can estimate $\mathcal{D} \approx 10^{-1} \sim 100 \mu\text{m}$, with details provided in Supplementary Note 10, section A. We want to emphasize that τ_{\parallel} differs from the cavity lifetime τ_c which considers the photon loss in the k_{\perp} direction due to leaking outside the cavity. As a result of τ_{\parallel} , the thermal photon number should be modified as [45] $n(\omega_{\mathbf{k}}) \rightarrow n_{\text{eff}}(\omega_{\mathbf{k}})$ with the following expression

$$n_{\text{eff}}(\omega_{\mathbf{k}}) \equiv \tau_c^{-1} n(\omega_{\mathbf{k}}) / (\tau_c^{-1} + \tau_{\parallel}^{-1}), \quad (28)$$

due to the detailed balance relation.

Using the same procedure of the FGR derivation (as used for Eq. 17), the VSC enhanced rate constant under the lossless regime is

$$\tilde{k}_{\text{VSC}}^{\text{D}} = \sum_{\mathbf{k}} \frac{2\pi g_c^2 \cos^2 \phi_{\mathbf{k}} \omega_{\mathbf{k}} \mathcal{A}_{\nu}(\omega_{\mathbf{k}} - \omega_0) \cdot n_{\text{eff}}(\omega_{\mathbf{k}})}{1 + 2\pi g_c^2 \cos^2 \phi_{\mathbf{k}} \omega_{\mathbf{k}} \mathcal{A}_{\nu}(\omega_{\mathbf{k}} - \omega_0) \tau_c}, \quad (29)$$

where $g_c = \mu_{LL'} \sqrt{1/(2\hbar\epsilon_0\mathcal{V})}$ is the Jaynes-Cummings [59] type light-matter coupling strength that does not depend on $\omega_{\mathbf{k}}$. Note that in the literature, $\Omega_R = 4g_c^2\omega_c$ for the resonance condition. When there is only one mode, Eq. 29 reduces back to Eq. 17. Further, $\phi_{\mathbf{k}}$ describes the angle between the molecular dipole and the k_{th} cavity mode. For the 1D FP cavity (one dimensional for the k_{\parallel} direction), $\cos \phi_{\mathbf{k}} = 1$. For the 2D FP cavity (two dimensional for the k_{\parallel} direction), we assume an isotropic average $\cos^2 \phi_{\mathbf{k}} \rightarrow \langle \cos^2 \phi_{\mathbf{k}} \rangle = 1/2$. As such, the rate constant in Eq. 29 can be evaluated by replacing the summation with an integral as follows

$$\sum_{\mathbf{k}} f(\mathbf{k}) \rightarrow \int d\omega g_{\text{D}}(\omega) f(\omega), \quad (30)$$

where $g_{\text{D}}(\omega)$ is the DOS for the cavity modes. Using the cavity dispersion relation in Eq. 25, the photonic density of states (DOS) for a 1D FP cavity is expressed as follows [45],

$$g_{1\text{D}}(\omega) = \frac{2}{c\Delta k_{\parallel}} \cdot \frac{\omega}{\sqrt{\omega^2 - \omega_c^2}} \cdot \Theta(\omega - \omega_c), \quad (31)$$

where $\Theta(\omega - \omega_c)$ is the Heaviside step function, Δk_{\parallel} is the spacing of the in-plane wavevector k_{\parallel} (or the k -space lattice constant). Note that $g_{1\text{D}}(\omega)$ has a singularity at $\omega = \omega_c$, which is known as (the first type of) the van-Hove-type singularity [60]. The DOS for a 2D FP cavity is expressed as [45]

$$g_{2\text{D}}(\omega) = \frac{2\pi}{(c\Delta k_{\parallel})^2} \cdot \omega \cdot \Theta(\omega - \omega_c), \quad (32)$$

which does not have any singularity.

For a 1D FP cavity, using $g_{1\text{D}}(\omega)$ in Eq. 31 and evaluating the integral in Eq. 30 (see details in Supplementary Note 9) results in

$$\tilde{k}_{\text{VSC}}^{\text{1D}} \approx \mathcal{M} \cdot \frac{2\pi g_c^2 \omega_c \mathcal{A}_{\nu}(\omega_c - \omega_0) \cdot n(\omega_c)}{1 + 2\pi g_c^2 \omega_c \mathcal{A}_{\nu}(\omega_c - \omega_0) \tau_c}, \quad (33)$$

which is identical to Eq. 17 (with $\Omega_R = 4g_c^2\omega_c$), with an additional $\mathcal{M} = \int g_{1\text{D}}(\omega) d\omega$ which is the number of cavity modes. Thus, for a 1D FP cavity, the peak of the expression in Eq. 33 is located at $\omega_c = \omega_0$ where $k_{\parallel} = 0$, due to the presence of the van-Hove singularity. This means that VSC modification occurs only when $\omega_c = \omega_0$ for a 1D FP cavity. We have also numerically evaluated Eq. 30 and compared it with Eq. 33 for the VSC-modified rate constant, presented in Fig. S4 of Supplementary Note 9, which shows a nearly identical behavior.

On the other hand, all of the known VSC experiments [1, 6, 10, 30] have been performed in 2D FP cavities. For a 2D FP cavity, using $g_{2\text{D}}(\omega)$ in Eq. 32 to evaluate Eq. 29, the VSC rate constant becomes

$$\tilde{k}_{\text{VSC}}^{\text{2D}} = \mathcal{C} \int_{\omega_c}^{\omega_m} d\omega \omega \cdot \frac{\pi g_c^2 \omega \mathcal{A}_{\nu}(\omega - \omega_0) \cdot n_{\text{eff}}(\omega)}{1 + \pi g_c^2 \omega \mathcal{A}_{\nu}(\omega - \omega_0) \tau_c}, \quad (34)$$

where $\mathcal{C} = 2\mathcal{M}/(\omega_m^2 - \omega_c^2)$, $\mathcal{M} = \int g_{2\text{D}}(\omega) d\omega$ is the number of modes, ω_m is the integration cutoff frequency (which is treated as a convergence parameter), and $\tau_{\parallel}(\omega) = (\mathcal{D}/c) \cdot \omega / \sqrt{\omega^2 - \omega_c^2}$ (c.f. Eq. 27). See Supplementary Note 9 for detailed derivations. As a crude estimation, one can approximate $\mathcal{A}_{\nu}(\omega - \omega_0) \approx \delta(\omega - \omega_0)$, such that the integral in Eq. 34 can be evaluated analytically, leading to

$$\tilde{k}_{\text{VSC}}^{\text{2D}} \approx \mathcal{C} \frac{\omega_0 \cdot \Theta(\omega_0 - \omega_c)}{1 + \frac{c\tau_c}{\mathcal{D}}} \cdot \frac{\pi g_c^2 \omega_0 \cdot n(\omega_0)}{1 + \pi g_c^2 \omega_0 \tau_c}. \quad (35)$$

Since usually $c\tau_c/\mathcal{D} \gg 1$, Eq. 35 has a sharp maximum value at $\omega_c = \omega_0$ and tails toward the $\omega_c < \omega_0$ side.

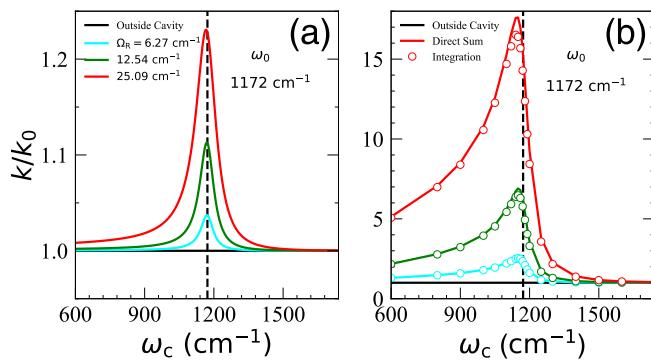


FIG. 5. Normal Incidence effect. FGR rate profiles of k/k_0 as a function of ω_c , where the cavity lifetime is $\tau_c = 1000$ fs. Results under various light-matter coupling strengths are presented. (a) FGR rate using $\tilde{k}_{\text{VSC}}^{\text{1D}}$ (Eq. 33) where the number of modes \mathcal{M} is divided, which is identical to the single mode case in Eq. 17. (b) FGR rate profiles for many mode cases inside a 2D FP cavity, where the results obtained by performing direct sum using Eq. 29 (solid lines) and by performing integration using $\tilde{k}_{\text{VSC}}^{\text{2D}}$ in Eq. 34 (open circles) are presented.

Fig. 5 presents the VSC-enhanced rate constant using the FGR expression under different Rabi splitting Ω_R values inside (a) a 1D FP cavity and (b) a 2D FP cavity, where the cavity lifetime is $\tau_c = 1000$ fs. Fig. 5a presents $k/k_0 = 1 + 0.5 \tilde{k}_{\text{VSC}}^{\text{1D}}/\mathcal{M}k_0$, where the number of modes \mathcal{M} has been divided to present a normalized result. This is identical to the single-mode expression (Eq. 17) due to the van-Hove-type singularity in the 1D DOS (see Eq. 31). Fig. 5b presents $k/k_0 = 1 + 0.5 \tilde{k}_{\text{VSC}}^{\text{2D}}/k_0$ value for a single molecule coupled to many modes inside a 2D FP cavity, where $\tilde{k}_{\text{VSC}}^{\text{2D}}$ was evaluated by performing direct sum using Eq. 29 (solid lines), as well as by using $\tilde{k}_{\text{VSC}}^{\text{2D}}$ expression in Eq. 34 (open circles), which are identical to each other. Note that both $\tilde{k}_{\text{VSC}}^{\text{1D}}$ and $\tilde{k}_{\text{VSC}}^{\text{2D}}$ are re-scaled by a factor of 0.5 to be consistent with Fig. 2. Here, we choose $\mathcal{D} = 1 \mu\text{m}$ for the effective mode diameter, the effective cavity size $\mathcal{L} = 1 \text{ mm}$ (probing area) to discretize the 2D cavity dispersion relation when using Eq. 29 (solid lines), with $\omega_m = 5\omega_c$ which generates a total number of $\mathcal{M} \approx 10^6$ modes for the 2D FP cavity. We use the same ω_m value to perform integration using Eq. 34 (open circles). The details are provided in Supplementary Note 10, section B. One can observe that the resonance peak is still centered around $\omega_c = \omega_0$ but slightly red-shifted, demonstrating the normal incidence condition. The approximate analytic expression of $\tilde{k}_{\text{VSC}}^{\text{2D}}$ in Eq. 35 gives a similar long tail for $\omega_c < \omega_0$ but a much sharper decay for $\omega_c \geq \omega_0$. Overall, the resonance peak is asymmetric as it tails toward the lower energy regions. Future VSC experiments are required to explore if there is any asymmetry in the rate constant profile.

Experimental Connections. The current theory is

valid for $N = 1$ or a few molecules strongly coupled to the cavity, such that the individual light-matter coupling η_c is strong. Experimentally, it is now possible to achieve strong (or even ultra-strong [61]) light-matter couplings between a plasmonic nanocavity and a few vibrational modes [61, 62], such that $\Omega_R \gg \tau_c^{-1}$ (for $N = 1$). In these experimental setups [61, 62], the current theory (\tilde{k}_{VSC} in Eq. 17) can be directly applied, and all of the predictions could be verified experimentally, e.g., the τ_c behavior in Fig. 2 and various scaling relations in Fig. 3.

On the other hand, in all existing VSC experiments [1, 2, 10, 14], the Rabi splitting is achieved through a collective light-matter coupling between N vibrational modes with the cavity, such that Eq. 8 should be modified as [16, 30, 63, 64]

$$\Omega_{R,N} = 2\sqrt{N}\eta_c\omega_c\mu_{LL'}. \quad (36)$$

It was estimated that $N \approx 10^6 \sim 10^{12}$ per effective cavity mode [63], and $\Omega_{R,N} \approx 100 \text{ cm}^{-1}$ for the typical VSC experiments [4, 10]. The strong coupling condition in the experiments is achieved when $\Omega_{R,N} \gg \tau_c^{-1}$ and the optical spectra of the molecule-cavity hybrid system have a peak splitting. However, the fundamental mechanism of the experimentally observed VSC effect (which happens under the collective coupling regime, Eq. 36) remains to be explained.

If all molecules are perfectly aligned with the cavity field, the coupling strength per molecule η_c is bound to be very weak ($\sim \Omega_{R,N}/\sqrt{N}$). Recent theoretical work [65] suggests that disorders of the molecular dipole distribution along the field polarization will create *local strong coupling spots* [65], and in these “hot spots”, only a few molecules are strongly coupled to the cavity [65] (which resembles a form of spin glass). If this is the case in the VSC experiments, then combining the \tilde{k}_{VSC} in Eq. 17 with the disorder-enhanced local coupling theory in Ref. [65] would likely explain the VSC enabled effect. On the other hand, the VSC-induced rate constant changes could originate from a non-trivial collective effect even though the individual η_c is tiny [30]. In this case, one has the scenario that $\Omega_R \ll \tau_c^{-1}$ (where $\Omega_R \sim \eta_c$) but $\Omega_{R,N} \gg \tau_c^{-1}$ (due to the large N). As such, one would expect to use the k_{VSC} (Eq. 2 or Eq. 10) to describe the rate constant associated with a single molecule, add up all contributions in FGR and normalize it with $1/N$ (to avoid a simple concentration effect). This, however, will not give any significant change in the VSC-modified rate constant [45], due to the large $1/N$ normalization factor. Future work needs to address this challenge, which might emerge from non-trivial collective effect due to non-local collective light-matter coupling [66, 67].

Nevertheless, our current theory suggests that measuring the τ_c -dependence of k/k_0 could be the key to unraveling the fundamental mechanism in VSC. For example, under the strong coupling regime, if k/k_0 decreases as τ_c increases, then the mechanism is likely to be \tilde{k}_{VSC} in Eq. 17 with the disorder-enhanced local coupling theory [65]. On the other hand, under the strong

coupling condition $\Omega_{R,N} \gg \tau_c^{-1}$, if k/k_0 increases as τ_c increases, then it implies that under the single molecule level $\Omega_R \ll \tau_c$, and the VSC mechanism is likely to be k_{VSC} (Eq. 2 or Eq. 10) with a collective mechanism yet to be discovered. Experimentally, the cavity lifetime (or the quality factor) for the distributed Bragg reflector (DBR) FP cavity can be modified by changing the number of coating layers [68] or the curvature of the mirrors [69]. Other possible cavity structures that could achieve various Q factors are the “open” photonic structures [19, 70, 71], which might be more suitable for polaritonic chemistry than planar cavities, as these mirror-free open structures generally support lower quality photonic modes than the standard FP cavity design. In either case, experimental measurements on the cavity lifetime dependence of the rate constant will provide invaluable insights into the nature of the VSC effects.

CONCLUSION

We developed an analytic theory for the VSC-modified rate constant \tilde{k}_{VSC} (Eq. 17) for a single molecule strongly coupled to the cavity, under the lossless regime (when $\tau_c^{-1} \ll \Omega_R$). This analytic theory is based on the mechanistic observation of sequential rate-determining steps $|\nu_L, 0\rangle \rightarrow |\nu_L, 1\rangle \rightarrow |\nu'_L, 0\rangle$ (outlined in Eq. 11), which are observed in our numerically exact quantum dynamics simulations (see Supplementary Note 4). The theory \tilde{k}_{VSC} (Eq. 17) explains the resonance condition $\omega_c = \omega_0$ and the close connection between the rate constant modification \tilde{k}_{VSC} and the optical lineshape $\mathcal{A}_\nu(\omega - \omega_0)$ (Eq. 14). This explains why the VSC-modified rate distribution closely follows the optical spectra as observed in the VSC experiments [1, 2, 10, 14]. This analytic theory \tilde{k}_{VSC} provides accurate ω_c -dependence of the VSC rate constant enhancement compared to the numerically exact results from HEOM simulations.

The current analytic theory \tilde{k}_{VSC} (Eq. 17) also explains why under the lossless regime ($\Omega_R \gg \tau_c^{-1}$), the rate constant increase when decreasing the cavity lifetime τ_c (see Eq. 19), agreeing with the previous numerically exact simulations [35]. Under the lossy regime ($\Omega_R \ll \tau_c^{-1}$), our previous work [36] provides an analytic theory k_{VSC} (Eq. 2), which predicts that the rate constant will increase as τ_c increases (see Eq. 9). Both k_{VSC} and \tilde{k}_{VSC} agree well with the numerical exact HEOM results under their specific regimes. The combination of \tilde{k}_{VSC} (Eq. 17) and k_{VSC} (Eq. 2) provides a complete picture of the τ_c -dependence of the VSC rate constant modification and suggests there should be a turnover behavior. The physical picture of the cavity enhancement effect for the rate constant is clarified by the reaction mechanisms in Eq. 1 (limited by vibrational excitation) under the lossy regime and Eq. 11 (limited by photonic excitation) under the lossless regime. The cavity loss parameter τ_c^{-1} can thus be viewed as a friction parameter associated with the cavity mode \hat{q}_c and the turnover behavior of the rate

constant is essentially the Kramers turnover. We also provided an interpolating scheme (Eq. 20) for the description of the turnover phenomenon and predicted that the maximal enhancement will be reached when $\tau_c = \Omega_R^{-1}$ (see Eq. 21), all agree well with the exact simulations.

The analytic theory \tilde{k}_{VSC} (Eq. 17) predicts that the VSC rate enhancement (Eq. 19) scales as $\tilde{k}_{VSC}/k_0 \propto \Omega_R^2$ as the light-matter coupling increases, then plateau when Ω_R becomes large. This is in excellent agreement with the numerically exact HEOM simulations and provides a non-linear relation between the change of the effective free energy barrier and the light-matter coupling strength (Fig. 3c), which has been observed in the VSC experiments [4, 6]. The theory \tilde{k}_{VSC} (Eq. 17) also predicts changes in *both* effective activation enthalpy and entropy (as observed in the experiments [6]), which agrees well with the numerical exact HEOM results within all the parameter regimes we explored. Although a re-scale parameter $\alpha = 0.5$ is needed to bring the numerical values of the FGR rate constants in consistency with the HEOM exact results, the overall scaling relations with respect to Ω_R , ω_c , τ_c , and T reported in the paper are rather general and impressive, which should not be restricted to the detailed shape of the potential energy surface or environmental spectral density functions. We further generalized the \tilde{k}_{VSC} expression to consider the many mode effects, and the resulting theories (Eq. 33 for 1D FP cavity and Eq. 34 for 2D cavity) predict the normal-incidence resonance condition: the peak of the rate constant enhancement occurs when $k_\parallel = 0$ and $\omega_c = \omega_0$. Last but not least, our current theory also predicts that for two chemically similar reactions, if one satisfies $k_1 \ll k_2, k_3$ but the other does not (due to the low reaction barrier), then there will be a VSC effect for the first reaction but not for the second one. This is because for the second reaction, $|\nu_L\rangle \rightarrow |\nu'_L\rangle$ is no longer rate limiting, and the cavity modification of this process will no longer influence the apparent rate constant. This might be the explanation for the recently observed null effects in VSC experiments [21, 22].

Despite the successes of the theory, it is limited to the situation of a single molecule strongly coupled to the cavity. In most of the experiments, a large collection of molecules ($N = 10^6 \sim 10^{12}$) are collectively coupled to the cavity, and the coupling strength per molecule is rather weak. In the future, we aim to generalize the current analytic rate constant expression to explain the resonance suppression and the collective effect, to build a unified theory for the VSC-modified rate constant.

METHODS

Model Hamiltonian. We use a double-well (DW) potential to model the ground state chemical reac-

tion [72, 73]

$$V(\hat{R}) = -\frac{M\omega_b^2}{2}\hat{R}^2 + \frac{M^2\omega_b^4}{16E_b}\hat{R}^4, \quad (37)$$

where M is chosen as the proton mass, $\omega_b = 1000 \text{ cm}^{-1}$ is the barrier frequency, and $E_b = 2120 \text{ cm}^{-1}$ is the barrier height. For the matter Hamiltonian $\hat{H}_M = \hat{T} + \hat{V}$, the vibrational eigenstates $|\nu_i\rangle$ and eigenenergies E_i are obtained by solving $\hat{H}_M|\nu_i\rangle = E_i|\nu_i\rangle$ numerically using the discrete variable representation (sinc-DVR) basis [74] with 1001 grid points in the range of $[-2.0, 2.0]$. To facilitate the mechanism analysis, we *diabatize* the two lowest eigenstates and obtain two energetically degenerate diabatic states

$$|\nu_L\rangle = \frac{1}{\sqrt{2}}(|\nu_0\rangle + |\nu_1\rangle), \quad |\nu_R\rangle = \frac{1}{\sqrt{2}}(|\nu_0\rangle - |\nu_1\rangle), \quad (38)$$

both with energies of $\mathcal{E} = (E_1 + E_0)/2$ and a small tunneling splitting of $\Delta = (E_1 - E_0)/2 \approx 1.61 \text{ cm}^{-1}$. Similarly, for the vibrational excited states $\{|\nu_2\rangle, |\nu_3\rangle\}$, we diabatize them and obtain the first excited *diabatic vibrational states* in the left and right wells as follows

$$|\nu'_L\rangle = \frac{1}{\sqrt{2}}(|\nu_2\rangle + |\nu_3\rangle), \quad |\nu'_R\rangle = \frac{1}{\sqrt{2}}(|\nu_2\rangle - |\nu_3\rangle), \quad (39)$$

with degenerate diabatic energy of $\mathcal{E}' = (E_3 + E_2)/2$ and a tunneling splitting of $\Delta' = (E_3 - E_2)/2 \approx 64.05 \text{ cm}^{-1}$. A schematic representation of these diabatic states are provided in Fig. 1a. Based on the two diabatic states $|\nu_L\rangle$ and $|\nu'_L\rangle$ in the left well, we define the quantum vibration frequency of the reactant as

$$\omega_0 \equiv \mathcal{E}' - \mathcal{E} = 1172.2 \text{ cm}^{-1}, \quad (40)$$

which is directly related to the quantum transition of $|\nu_L\rangle \rightarrow |\nu'_L\rangle$.

Further, \hat{H}_v in Eq. 5 is the system-bath Hamiltonian that describes the linear coupling between reaction coordinate \hat{R} and its phonon bath, expressed as

$$\hat{H}_v = \frac{1}{2} \sum_i \left[\hat{p}_i^2 + \omega_i^2 (\hat{x}_i - \frac{c_i}{\omega_i^2} \hat{R})^2 \right], \quad (41)$$

characterized by the spectral density $J_v(\omega) \equiv (\pi/2) \sum_j (c_j^2/\omega_j) \delta(\omega - \omega_j)$. Here, we use the Drude-Lorentz model $J_v(\omega) = 2\lambda_v \gamma_v \omega / (\omega^2 + \gamma_v^2)$, with $\gamma_v = 200 \text{ cm}^{-1}$ for the bath characteristic frequency and $\lambda_v = 83.7 \text{ cm}^{-1}$ for the reorganization energy. In addition, \hat{H}_c describes the loss of cavity photons, through the non-cavity modes $\{\hat{x}_j\}$ that directly coupled to the cavity \hat{q}_c , expressed as

$$\hat{H}_c = \frac{1}{2} \sum_j \left[\hat{p}_j^2 + \tilde{\omega}_j^2 (\hat{x}_j - \frac{\tilde{c}_j}{\tilde{\omega}_j^2} \hat{q}_c)^2 \right], \quad (42)$$

and the photon-loss bath spectral density is $J_c(\omega) \equiv (\pi/2) \sum_j (c_j^2/\tilde{\omega}_j) \delta(\omega - \tilde{\omega}_j) = (\omega/\tau_c) \exp(-\omega/\omega_m)$, where

τ_c is the cavity lifetime [36], and we had assumed that photon loss satisfies strict Ohmic dissipation. In other words, as the cutoff frequency $\omega_m \rightarrow \infty$, the photon bath dynamics reach the Markovian limit [36, 75]. By performing a normal mode transformation, one can obtain a simple system-bath model that is described by an effective spectral density (which is of the Brownian oscillator form [36]). Details are provided in Supplementary Note 2, section A.

Rate Constant Calculations. We use hierarchical equations of motion (HEOM) to simulate the population dynamics and obtain the VSC-modified rate constant, see details in Supplementary Note 1. Here, we treat \hat{H}_M as the quantum subsystem and represent it using the vibrational eigenstates $\{|\nu_0\rangle, |\nu_1\rangle, \dots\}$, and the rest terms in the Hamiltonian are treated as the bath in HEOM, see details in Supplementary Note 2. The population dynamics of the “reactant” is computed as $P_{\mathcal{R}}(t) = \text{Tr}_{\mathcal{S}}[(1 - \hat{h})\hat{\rho}_{\mathcal{S}}(t)]$, where the trace $\text{Tr}_{\mathcal{S}}$ is performed along the system DOF (which is the reaction coordinate \hat{R}), and $\hat{h} = h(\hat{R} - R^\dagger)$ is the Heaviside operator with $R^\dagger = 0$ as the dividing surface for model potential $V(\hat{R})$ (in Eq. 37). The forward rate constant is obtained by evaluating [35, 36]

$$k = - \lim_{t \rightarrow t_p} \frac{\dot{P}_{\mathcal{R}}(t)}{P_{\mathcal{R}}(t) + \chi_{\text{eq}} \cdot [P_{\mathcal{R}}(t) - 1]}, \quad (43)$$

where $\chi_{\text{eq}} \equiv P_{\mathcal{R}}/P_{\mathcal{P}}$ denotes the ratio of equilibrium population between the reactant and product, see Supplementary Note 3. For the symmetric double potential model considered in this work, $\chi_{\text{eq}} = 1$. The limit $t \rightarrow t_p$ represents that the dynamics have already entered the rate process regime (linear response regime) and t_p represents the “plateau time” of the time-dependent rate which is equivalent to a flux-side time correlation function formalism [26, 36]. Details of the simulations are provided in Supplementary Note 3.

For the FGR-based theory, we use the value of the k_0 (outside the cavity rate constant) obtained from the HEOM simulation and report $k/k_0 = 1 + \alpha \cdot k_{\text{VSC}}/k_0$, where the $\alpha = 0.5$ is an *ad hoc* re-scaling factor needed to bring the value of FGR rate constant to the consistent range with the HEOM results.

DATA AVAILABILITY

The data that support the findings of this work are available at https://github.com/Okita0512/VSC_HEOM.

CODE AVAILABILITY

The source code for HEOM used in this study is available at <https://github.com/hou-dao/OpenQuant>. The source code for simulating the FGR rate constants, temperature dependence, and resonance con-

dition at the normal incidence are available at https://github.com/Okita0512/VSC_HEOM.

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AUTHOR CONTRIBUTIONS

W.Y. and P.H. designed the research. W.Y. performed the exact quantum dynamics simulations. W.Y. and P.H.

derived the analytic rate constant expressions. W.Y. and P.H. wrote the manuscript.

COMPETING INTERESTS

The authors declare no competing interests.

ADDITIONAL INFORMATION

Supplementary information The online version contains supplementary material available at [url].

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