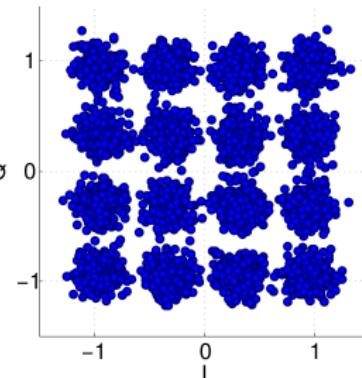
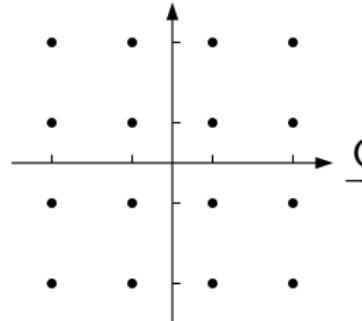


Modulation Mapping

p	bits
0	0000
1	0001
2	0010
...	...
15	1111

Map



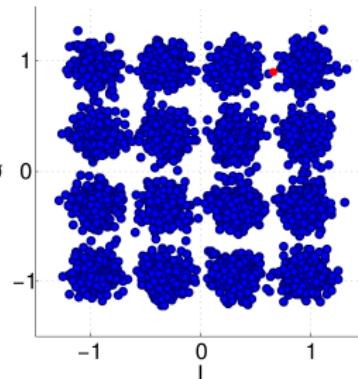
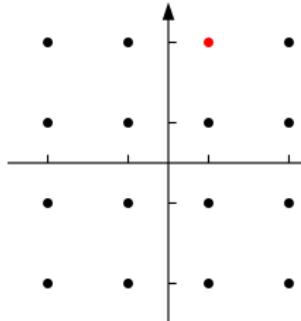
- ▶ Unideal wireless channel tends to cause demodulation errors.
- ▶ Constellation points closer to each other are more likely to be confused.

Modulation mapping needs to be carefully designed!

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Map



- ▶ Unideal wireless channel tends to cause demodulation errors.
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Modulation mapping needs to be carefully designed!

Single Transmission: Gray-mapping

Strategy (Gray-mapping)

Neighboring constellation points (**horizontally or vertically**) differ only by 1 bit, so as to minimize the Bit Error Rate (BER).

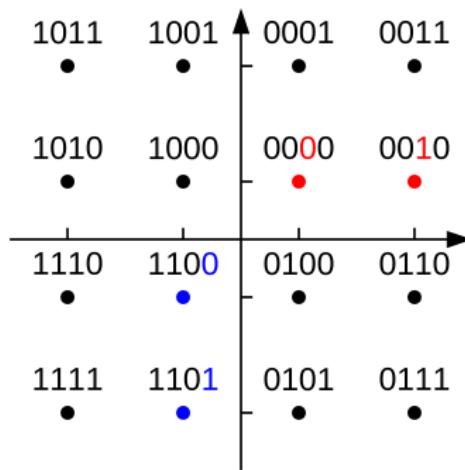


Figure : Gray-mapping for 16-QAM, 3GPP TS 25.213.

HARQ with Constellation Rearrangement (CoRe)

Hybrid Automatic Repeat reQuest (HARQ)

- ▶ Same piece of information is retransmitted again and again, and combined at the receiver until it is decoded successfully or expiration.
- ▶ An error control scheme widely used in modern wireless systems such as HSPA, WiMAX, LTE, etc.

Constellation Rearrangement (CoRe)

- ▶ For each round of retransmission, different modulation mappings are used (explained next).
- ▶ Exploit the Modulation Diversity (MoDiv).

An Example of CoRe

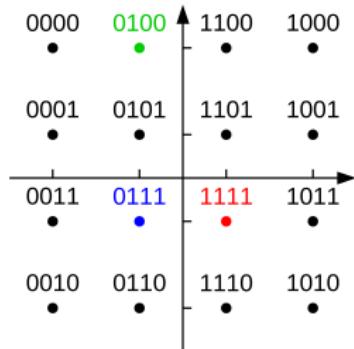


Figure : Original transmission.

- ▶ Original transmission: **0111** is easily confused with **1111**, but well distinguished from **0100**.

An Example of CoRe

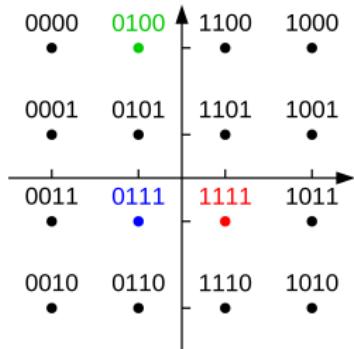


Figure : Original transmission.

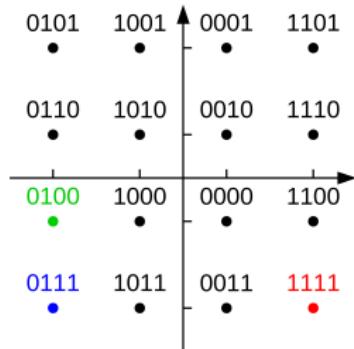


Figure : First retransmission.

- ▶ Original transmission: **0111** is easily confused with **1111**, but well distinguished from **0100**.
- ▶ First retransmission: **0111** should now be mapped far away from **1111**, but can be close to **0100**.

General Design of MoDiv Through CoRe

Challenges

1. More than 1 retransmissions?
2. More general wireless channel models?
3. Larger constellations (e.g. 64-QAM)?

We formulated 2 different MoDiv design problems into **Quadratic Assignment Problems (QAPs)** and demonstrate the performance gain over existing CoRe schemes.

Two-Way Relay Channel (TWRC) with Analog Network Coding (ANC)

- ▶ System components: 2 sources (S_1 , S_2) communicate with each other with the help of 1 relay (R).



Figure : TWRC-ANC channel.

Two-Way Relay Channel (TWRC) with Analog Network Coding (ANC)

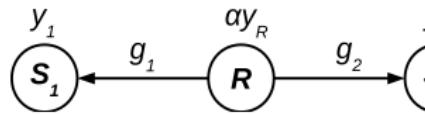
- ▶ System components: 2 sources (S_1, S_2) communicate with each other with the help of 1 relay (R).
- ▶ Alternating between 2 phases:
 - ▶ Multiple-Access Channel (MAC) phase: the 2 sources transmit to the relay simultaneously.



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- ▶ Alternating between 2 phases:
 - ▶ Multiple-Access Channel (MAC) phase: the 2 sources transmit to the relay simultaneously.
 - ▶ Broadcast Channel (BC) phase: the relay amplify and broadcast the signal received during the MAC phase back to the 2 sources



$$\begin{aligned}y_1 &= \alpha g_1 y_R + n_1, \\y_2 &= \alpha g_2 y_R + n_2\end{aligned}$$

Figure : TWRC-ANC channel.

HARQ-Chase Combining (CC) Protocol

- ▶ Q : size of the constellation.
- ▶ M : maximum number of retransmissions.
- ▶ $\psi_m[p]$, $m = 0, \dots, M$, $p = 0, \dots, Q - 1$: constellation mapping function between “label” p to a constellation point for the m -th retransmission.

Due to symmetry of the channel, consider the transmission from S_1 to S_2 only. The received signal during the m -th retransmission of label p is:

$$y_2^{(m)} = \alpha^{(m)} g_2^{(m)} (h_1^{(m)} \psi_m[p] + h_2^{(\tilde{m})} \psi_{\tilde{m}}[\tilde{p}] + n_R^{(m)}) + n_2^{(m)},$$

HARQ-Chase Combining (CC) Protocol

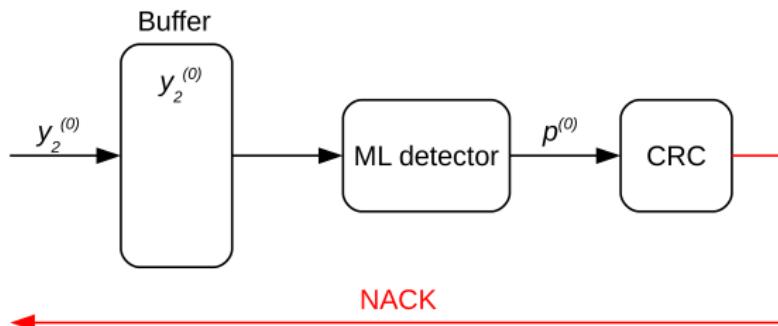
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HARQ-Chase Combining (CC) Protocol (Continued)

The receiver combines all the received symbols across all retransmissions so far until decoding is determined successful.

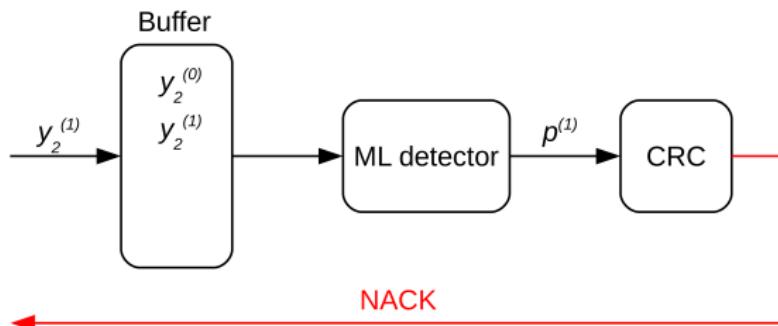


Maximum Likelihood (ML) detector

$$p^* = \arg \min_p \sum_{k=0}^m \frac{|y_2^{(k)} - \alpha^{(k)} g_2^{(k)} h_1^{(k)} \psi_k[p]|^2}{\sigma_2^2 + (\alpha^{(k)})^2 \sigma_R^2 |g_2^{(k)}|^2}.$$

HARQ-Chase Combining (CC) Protocol (Continued)

The receiver combines all the received symbols across all retransmissions so far until decoding is determined successful.

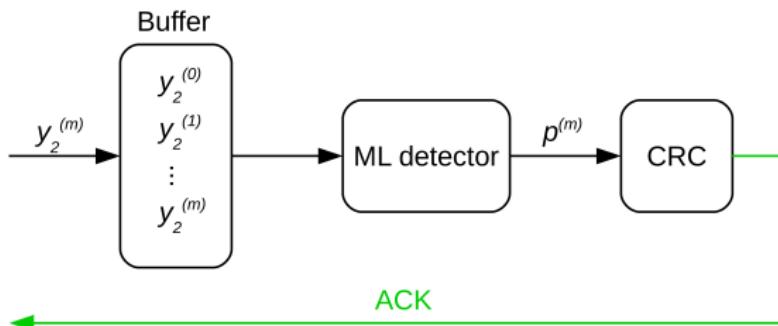


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MoDiv Design: Criterion

Bit Error Rate (BER) upperbound after m -th retransmission

$$P_{BER}^{(m)} = \sum_{p=0}^{Q-1} \sum_{q=0}^{Q-1} \frac{D[p, q]}{Q \log_2 Q} P_{PEP}^{(m)}(q|p),$$

- ▶ $D[p, q]$: hamming distance between the bit representation of label p and q .
- ▶ $P_{PEP}^{(m)}(q|p)$: pairwise error probability (PEP), the probability that when label p is transmitted, but the receiver decides q is more likely than p after m -th retransmission.

MoDiv Design: Criterion (Continued)

Is minimizing $P_{BER}^{(m)}$ over the mappings $\psi_1[\cdot], \dots, \psi_m[\cdot]$ directly a good idea?

1. No one knows how many retransmissions is needed in advance (value of m).
2. Jointly designing all m mappings is prohibitively complex.
3. $P_{PEP}^{(m)}(q|p)$ can only be evaluated numerically, very slow and could be inaccurate.

MoDiv Design: Modified Criterion

1. Successive optimization instead of joint optimization.

Joint: $\min_{\psi^{(k)}, k=0, \dots, m} P_{BER}^{(m)}, m = 1, \dots, M$

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Joint: $\min_{\psi^{(k)}, k=0, \dots, m} P_{BER}^{(m)}, m = 1, \dots, M$

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2. A closed-form approximation to $P_{PEP}^{(m)}(q|p)$ that can be iteratively updated for growing m .

$$\tilde{P}_{PEP}^{(m)}(q|p) = \tilde{P}_{PEP}^{(m-1)}(q|p) \tilde{E}_k[p, q]$$

$$\tilde{P}_{PEP}^{(-1)}(q|p) = 1/2$$

Representation of CoRe

Representing $\psi_m[\cdot]$ with Q^2 binary variables:

$$x_{pi}^{(m)} = \begin{cases} 1 & \text{if } \psi_m[p] = \psi_0[i] \\ 0 & \text{otherwise.} \end{cases} \quad p, i = 0, \dots, Q - 1$$

ψ_0 represents Gray-mapping for the original transmission (fixed).

Constraints: $\psi_m[\cdot]$ as a permutation of $0, \dots, Q - 1$

$$\sum_{\substack{p=0 \\ i=0}}^{Q-1} x_{pi} = 1$$

	$i = 0$	$i = 1$	$i = 2$	$i = 3$
$p = 0$	0	1	0	0
$p = 1$	0	0	1	0
$p = 2$	1	0	0	0
$p = 3$	0	0	0	1

A Successive KB-QAP Formulation

MoDiv design via successive Koopman Beckmann-form QAP

1. Set $m = 1$. Initialize the “distance” matrix and the approximated PEP, for $i, j, p, q = 0, \dots, Q - 1$:

$$d_{ij} = \tilde{E}_k[i, j], \quad \tilde{P}_{PEP}^{(0)}(q|p) = d_{pq}/2$$

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3. Solve the m -th KB-QAP problem:

$$\min_{\{x_{pi}^{(m)}\}} \sum_{p=0}^{Q-1} \sum_{i=0}^{Q-1} \sum_{q=0}^{Q-1} \sum_{j=0}^{Q-1} f_{pq}^{(m)} d_{ij} x_{pi}^{(m)} x_{qj}^{(m)}$$

A Successive KB-QAP Formulation (Continued)

MoDiv design via successive Koopman Beckmann-form QAP

4. Update PEP:

$$\tilde{P}_{PEP}^{(m)}(q|p) = \sum_{i=0}^{Q-1} \sum_{j=0}^{Q-1} \tilde{P}_{PEP}^{(m-1)}(q|p) d_{ij} \hat{x}_{pi}^{(m)} \hat{x}_{qj}^{(m)}$$

where $\hat{x}_{pi}^{(m)}$ is the solution from Step 3.

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5. Increase m by 1, return to Step 2 if $m \leq M$.

Numerical Results: Simulation Settings

- ▶ 64-QAM constellation ($Q = 64$).

¹E. Taillard, "Robust taboo search for the quadratic assignment problem," Parallel Computing, vol.17, no.4, pp.443-455, 1991.

²"Enhanced HARQ Method with Signal Constellation Rearrangement," in 3rd Generation Partnership Project (3GPP), Technical Specification TSGR1#19(01)0237, Mar. 2001.

Numerical Results: Simulation Settings

- ▶ 64-QAM constellation ($Q = 64$).
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 1. No modulation diversity (NM).
 2. A heuristic CoRe scheme for HSPA²(CR).
 3. QAP-based solution (QAP).

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Numerical Results: Uncoded BER

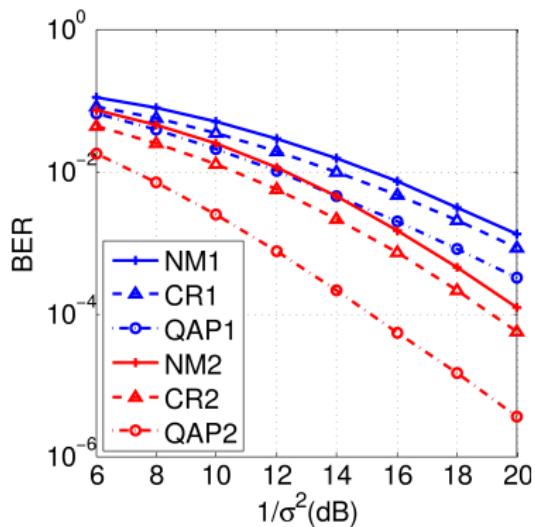


Figure : $m = 1, 2.$

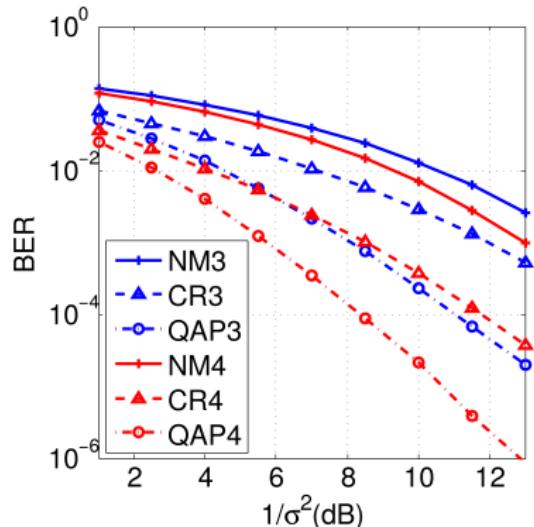


Figure : $m = 3, 4.$

Numerical Results: Coded BER

Add a Forward Error Correction (FEC) code so that the coded BER drop rapidly as the noise power is below a certain level. The result is termed “waterfall curve” which is commonly used to highlight the performance gain in dB.

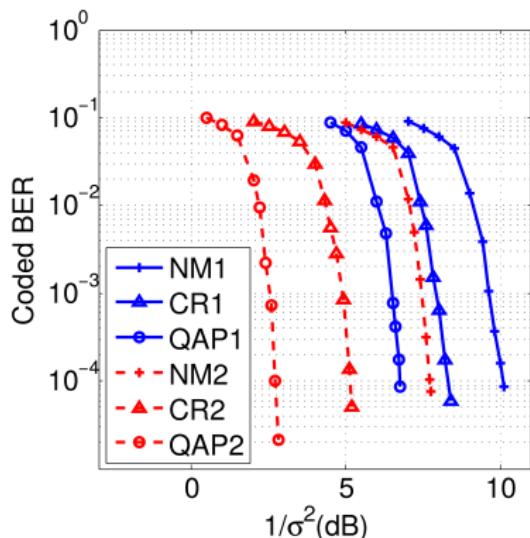


Figure : $m = 1, 2$.

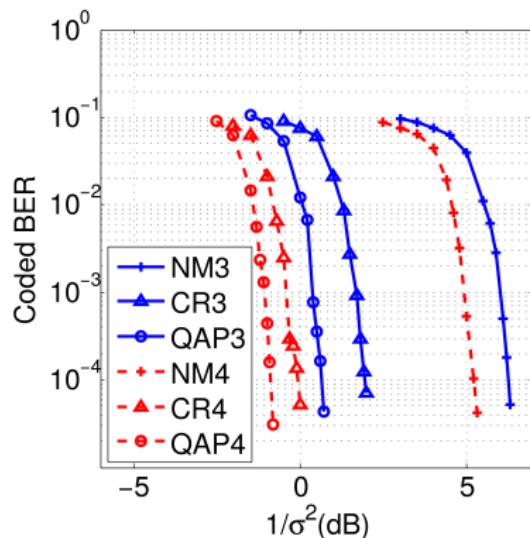


Figure : $m = 3, 4$.

Numerical Results: Average Throughput

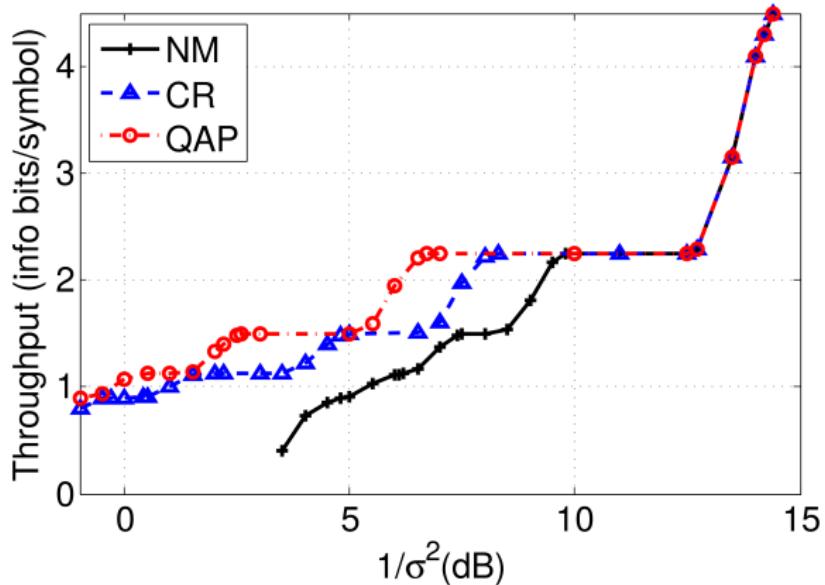


Figure : Throughput comparison.

Multiple-Input and Multiple-Output (MIMO) Channel

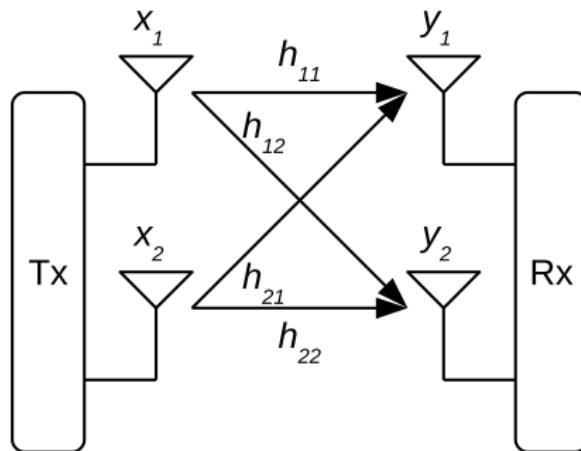
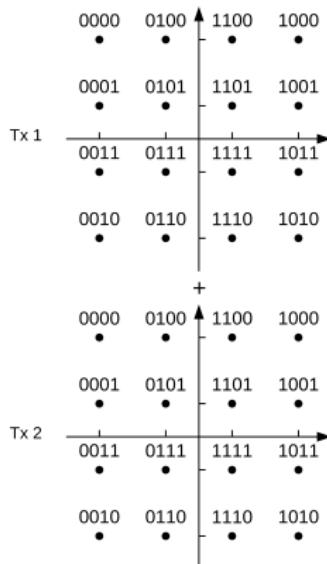


Figure : A 2×2 MIMO channel, $y_1 = h_{11}x_1 + h_{21}x_2 + n_1$,
 $y_2 = h_{12}x_1 + h_{22}x_2 + n_2$, or simply $\mathbf{y} = \mathbf{H}\mathbf{x} + \mathbf{n}$.

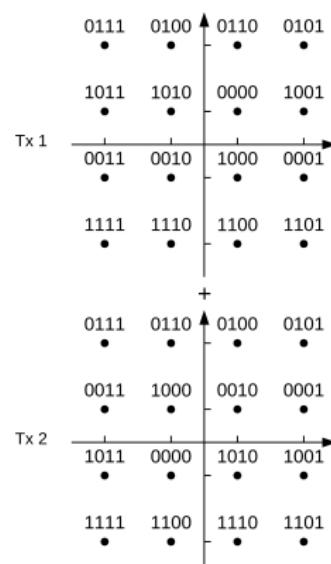
- ▶ An essential element in most modern wireless communication standards: Wi-Fi, HSPA+, LTE, WiMAX, etc.
- ▶ How do we generalize the idea of MoDiv design for MIMO channel?

An Example of CoRe for MIMO

- ▶ A 1×2 MIMO channel: $\mathbf{H} = [1, 1]$ (simple addition).
- ▶ Different mapping across the 2 transmitting antennas:



Tx 1



Tx 1

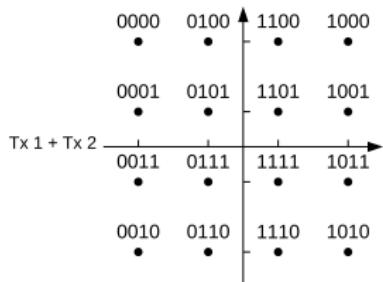
Tx 2

Original transmission (Gray).

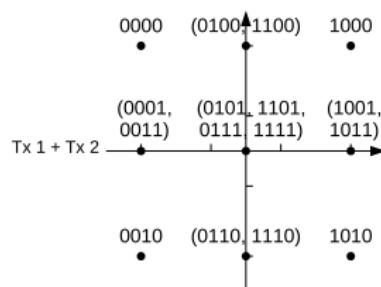
1st retransmission.

An Example of CoRe for MIMO

- ▶ A 1×2 MIMO channel: $\mathbf{H} = [1, 1]$ (simple addition).
- ▶ Different mapping across the 2 transmitting antennas:
- ▶ Effective constellation seen by the receiver: $\psi_e = (\psi)_1 + (\psi)_2$.



Effective constellation mapping
of the original transmission.



Effective constellation mapping
of the 1st retransmission.

For HARQ-CC, this CoRe scheme of the 1st retransmission outperforms the repeated use of the same Gray mapping across the 2 antennas!

MoDiv Design for MIMO Channel

- ▶ MIMO channel model: correlated Rician fading channel

$$\mathbf{H}^{(m)} = \sqrt{\frac{K}{K+1}} \underbrace{\mathbf{H}_0}_{\text{"Mean"}} + \sqrt{\frac{1}{K+1}} \mathbf{R}^{1/2} \underbrace{\mathbf{H}_w^{(m)}}_{\text{"Variation"}} \mathbf{T}^{1/2}$$

K : Rician factor, \mathbf{R}, \mathbf{T} : correlation matrix or the receiver and transmitter antennas.

- ▶ HARQ protocol: HARQ-CC
- ▶ Design Criterion: BER upperbound based on PEP, successive optimization.

For now we consider the case of $N_T = 2$ (2 transmitting antennas).

Representation of CoRe

Representing the 2-D vector mapping function $\psi_m[\cdot]$ with Q^3 binary variables:

$$x_{p,ij}^{(m)} = \begin{cases} 1 & \text{if } \psi_m[p] = (\psi_0[i], \psi_0[j])^T \\ 0 & \text{otherwise.} \end{cases} \quad p, i, j = 0, \dots, Q - 1$$

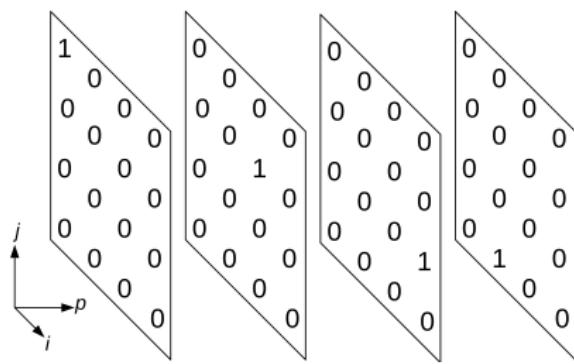
ψ_0 represents Gray-mapping for the original transmission (fixed).

Constraints: $\psi_m[\cdot]$ as a permutation of $0, \dots, Q - 1$

$$\sum_{i=0}^{Q-1} \sum_{j=0}^{Q-1} x_{p,ij} = 1$$

$$\sum_{p=0}^{Q-1} \sum_{j=0}^{Q-1} x_{p,ij} = 1$$

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A Successive Q3AP Formulation

MoDiv design via successive Q3AP

1. Set $m = 1$. Initialize the “distance” matrix and the approximated PEP, for $p, q, i, j, k, l = 0, \dots, Q - 1$:

$$d_{ikjl} = \tilde{E}_k[i, j], \quad \tilde{P}_{PEP}^{(0)}(q|p) = d_{pqpq}/2$$

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MoDiv design via successive Q3AP

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2. Evaluate the “flow” matrix:

$$f_{pq}^{(m)} = \frac{D[p, q]}{Q \log_2 Q} \tilde{P}_{PEP}^{(m-1)}(q|p)$$

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2. Evaluate the “flow” matrix:

$$f_{pq}^{(m)} = \frac{D[p, q]}{Q \log_2 Q} \tilde{P}_{PEP}^{(m-1)}(q|p)$$

3. Solve the m -th Q3AP problem:

$$\min_{\{x_{pij}^{(m)}\}} \sum_{p=0}^{Q-1} \sum_{i=0}^{Q-1} \sum_{j=0}^{Q-1} \sum_{q=0}^{Q-1} \sum_{k=0}^{Q-1} \sum_{l=0}^{Q-1} f_{pq}^{(m)} d_{ikjl} x_{pij}^{(m)} x_{qkl}^{(m)}$$

A Successive Q3AP Formulation (Continued)

MoDiv design via successive Q3AP

4. Update PEP:

$$\tilde{P}_{PEP}^{(m)}(q|p) = \sum_{i=0}^{Q-1} \sum_{k=0}^{Q-1} \sum_{j=0}^{Q-1} \sum_{l=0}^{Q-1} \tilde{P}_{PEP}^{(m-1)}(q|p) d_{ikjl} \hat{x}_{pij}^{(m)} \hat{x}_{qkl}^{(m)}$$

where $\hat{x}_{pij}^{(m)}$ is the solution from Step 3.

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5. Increase m by 1, return to Step 2 if $m \leq M$.

Comments

- ▶ The Q^4 “distance” matrix has Q^4 elements. However, for Q -QAM constellation, it only has $\mathcal{O}(Q^2)$ unique value, can be computed more efficiently.
- ▶ When $N_T > 2$, the MoDiv design can be formulated into a quadratic $(N_T + 1)$ -dimensional problem, with Q -by- Q “flow” matrix and Q^{2N_T} “distance” matrix, which might be too complex to solve. However, one can always apply a N_T -by-2 linear precoding matrix to reduce the channel into a N_R -by-2 channel to partly explore modulation diversity.

Numerical Results: Simulation Settings

- ▶ 64-QAM constellation ($Q = 64$).

¹T. Stützle, and D. Marco, “Local search and metaheuristics for the quadratic assignment problem,” Technical Report AIDA-01-01, Intellectics Group, Darmstadt University of Technology, Germany, 2001.

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 3. Q3AP-based solution (Q3AP).

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Numerical Results: Uncoded BER vs Noise Power

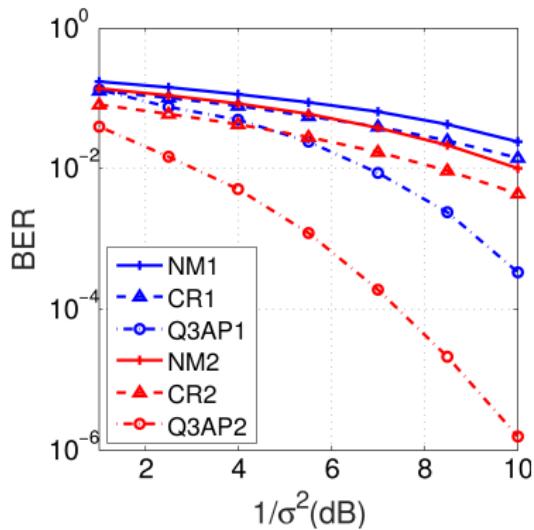


Figure : $m = 1, 2$.

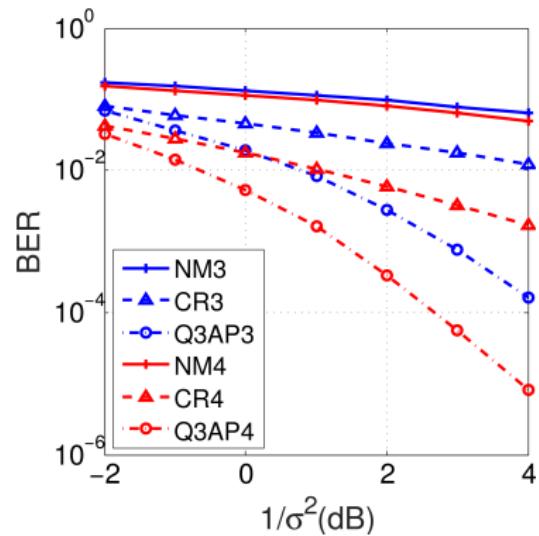


Figure : $m = 3, 4$.

Numerical Results: Uncoded BER vs K

Larger $K \leftrightarrow$ the channel is less random.

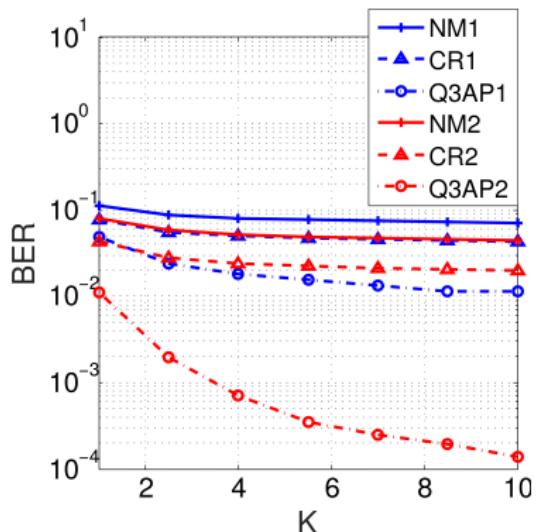


Figure : $m = 1, 2$, $1/\sigma^2 = 6dB$.

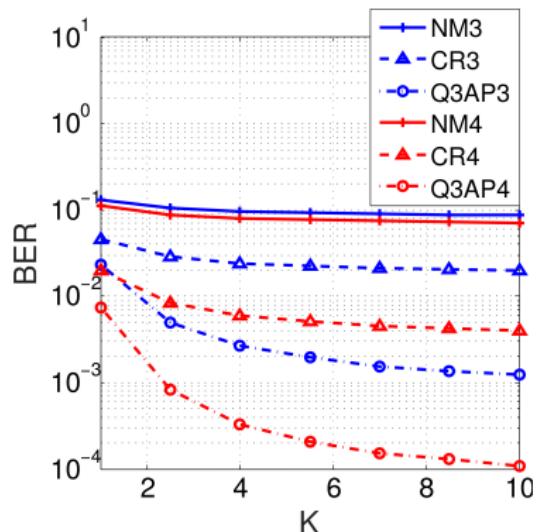


Figure : $m = 3, 4$, $1/\sigma^2 = 2dB$.

Numerical Results: Coded BER

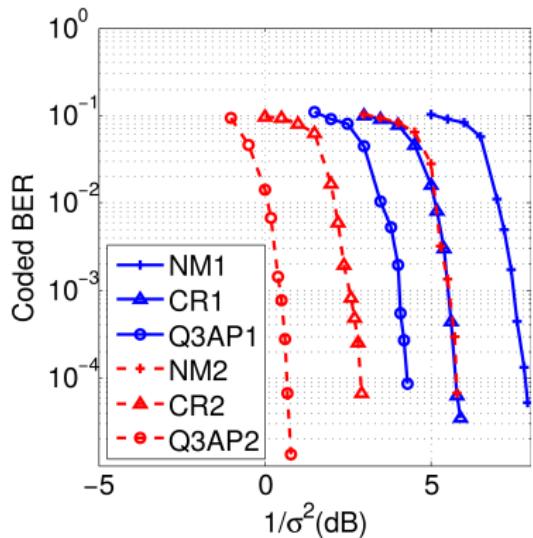


Figure : $m = 1, 2$.

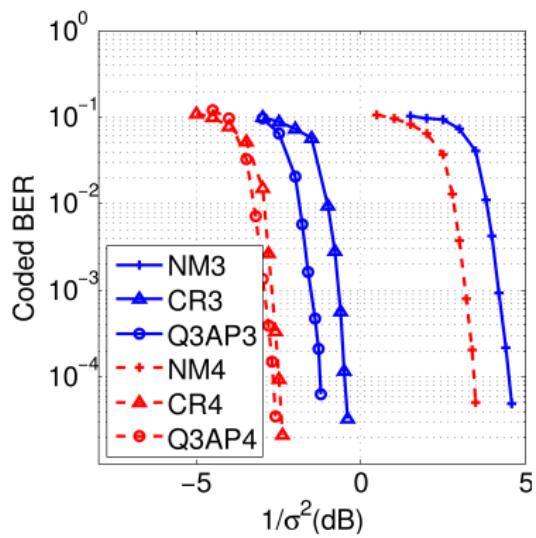


Figure : $m = 3, 4$.

Numerical Results: Average Throughput

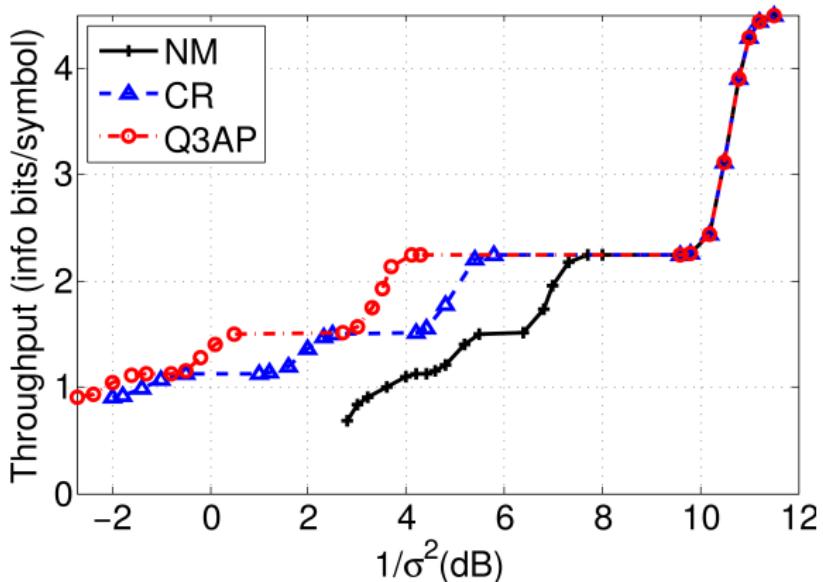


Figure : Throughput comparison.

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- ▶ Significant performance gain for a wide range of settings over existing heuristic MoDiv schemes.