

## 2.7 语法解析（上）

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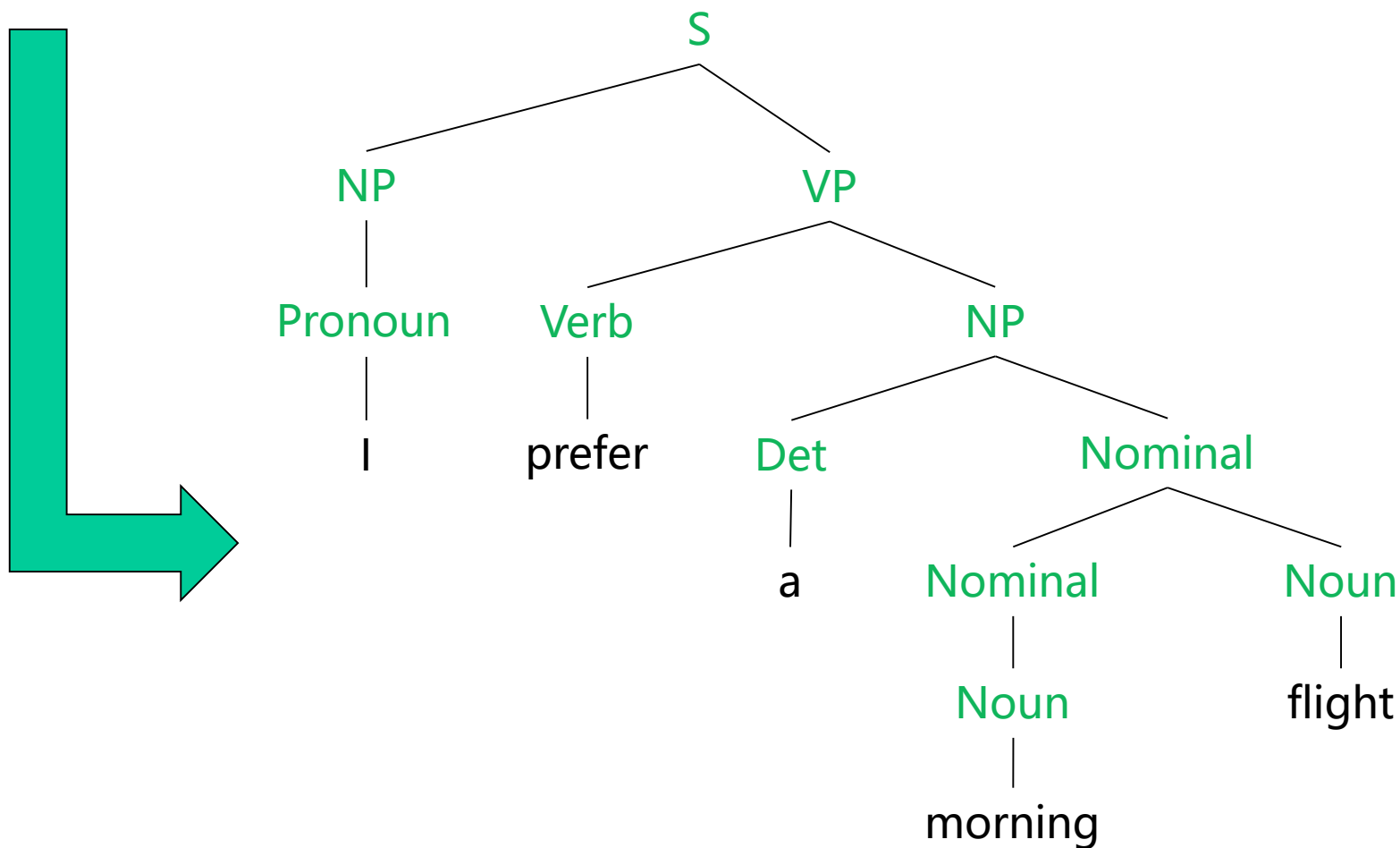
2023年秋季学期

- ▶ **构成式语法 (constituency grammar) 简介**
  - ▶ 基本概念
  - ▶ 上下文相关语法 (CSG) 与上下文无关语法 (CFG)
  - ▶ 从Treebanks中构建语法
  - ▶ 词汇化语法 (lexicalized grammar) 与组合范畴语法 (CCG)
  - ▶ 语法间的等同关系, 乔姆斯基范式 (CNF)
- ▶ **构成式语法的语法解析算法: CKY**
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- ▶ **评价指标**
- ▶ **常用工具**

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# 构成式语法 (constituency grammar) 简介

I prefer a morning flight.



# 构成式语法 (constituency grammar) 简介

## 语法成分 (constituent)

句子中的一组词，作为整体可以当做一个单独的语法单元

例如：名词性短语 (NP)，动词性短语 (VP) .....

## 语法规则 (rules)

一组描述某个语法成分可以由什么组成的规则。

例如：

NP  $\rightarrow$  Det Nominal (名词性短语可以由冠词加名词构成)

NP  $\rightarrow$  ProperNoun (名词性短语可以由专有名词构成)

## 词典 (lexicon)

一组描述某个语法成分可以由什么词来构成的规则。

例如：

Det  $\rightarrow$  a | an | the

Noun  $\rightarrow$  flight | duck | paper

# 构成式语法 (constituency grammar) 简介

## 语法成分 (constituent)

S(句子)	Proper-Noun(专有名词)	Verb(动词)
NP(名词性短语)	Det(冠词)	PP(介词短语)
VP(动词性短语)	Nominal(名词性成分)	Preposition(介词)
Pronoun(代词)		

## 语法规则 (rules)

$S \rightarrow NP VP$	$PP \rightarrow \text{Preposition } NP$
$NP \rightarrow \text{Pronoun}$	$VP \rightarrow \text{Verb}$
$NP \rightarrow \text{Proper-Noun}$	$VP \rightarrow \text{Verb } NP$
$NP \rightarrow \text{Det Nominal}$	$VP \rightarrow \text{Verb } NP PP$
$\text{Nominal} \rightarrow \text{Nominal Noun}$	$VP \rightarrow \text{Verb } PP$
$\text{Nominal} \rightarrow \text{Noun}$	

## 词典 (lexicon)

Noun	→ flights   breeze   trip   morning	9个元素
Verb	→ is   prefer   like   need   want   fly	
Adjective	→ cheapest   non-stop   first   latest   other   direct	
Pronoun	→ me   I   you   it	
Proper-Noun	→ Alaska   Baltimore   Los Angeles   Chicago   United   American	
Determiner	→ the   a   an   this   these   that	
Preposition	→ from   to   on   near	
Conjunction	→ and   or   but	

# 构成式语法 (constituency grammar) 简介

{ 语法成分 (constituent)  
语法规则 (rules)  
词典 (lexicon)

S, NP, VP, Pronoun, Proper-Noun,  
Det, Nominal, Verb, PP, Preposition

S

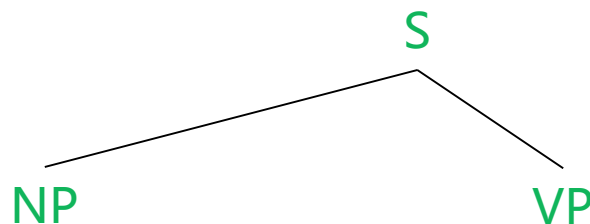
S → NP VP  
NP → Pronoun  
NP → Proper-Noun  
NP → Det Nominal  
Nominal → Nominal Noun  
Nominal → Noun  
PP → Preposition NP  
VP → Verb  
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Noun	→ flights   breeze   trip   morning
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**Determiner** → the | a | an | this | these | that

**Preposition** → from | to | on | near

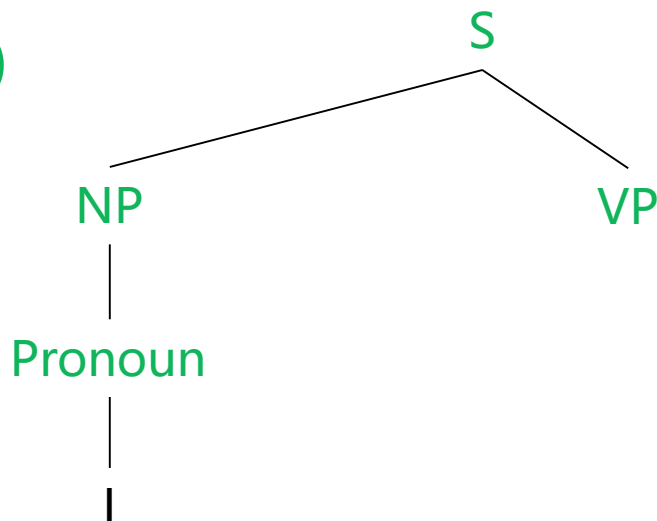
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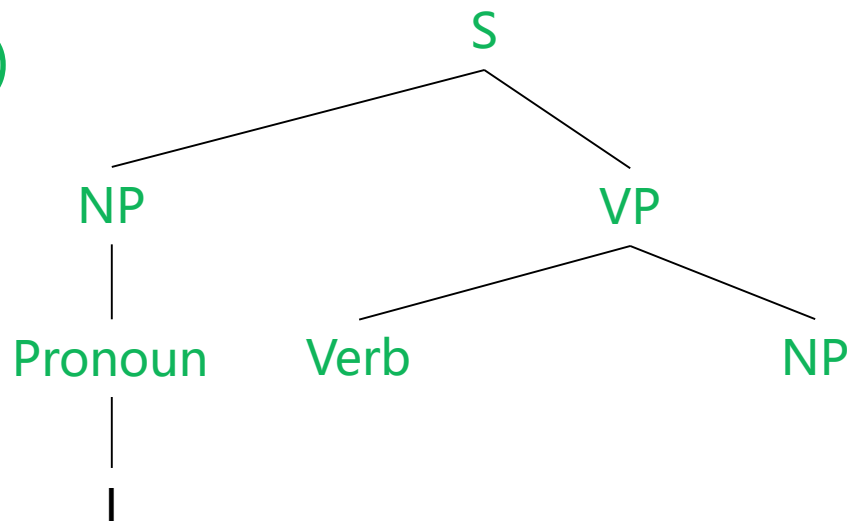
**Preposition** → from | to | on | near

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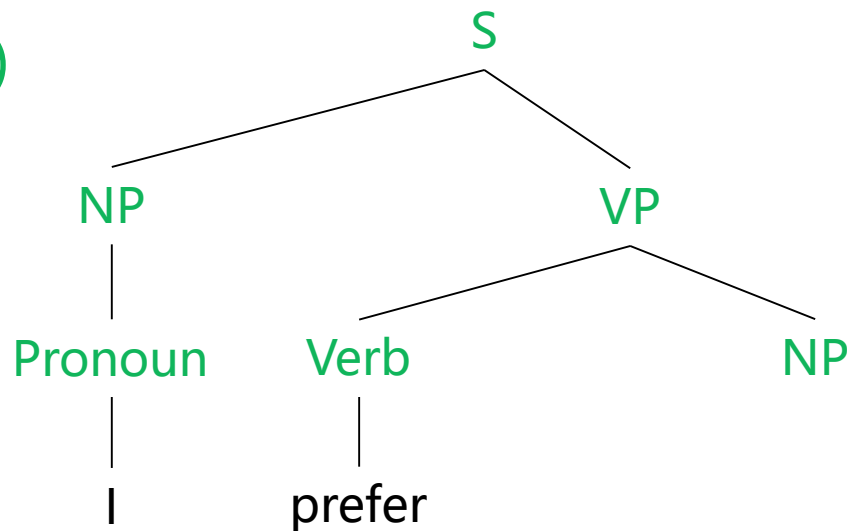
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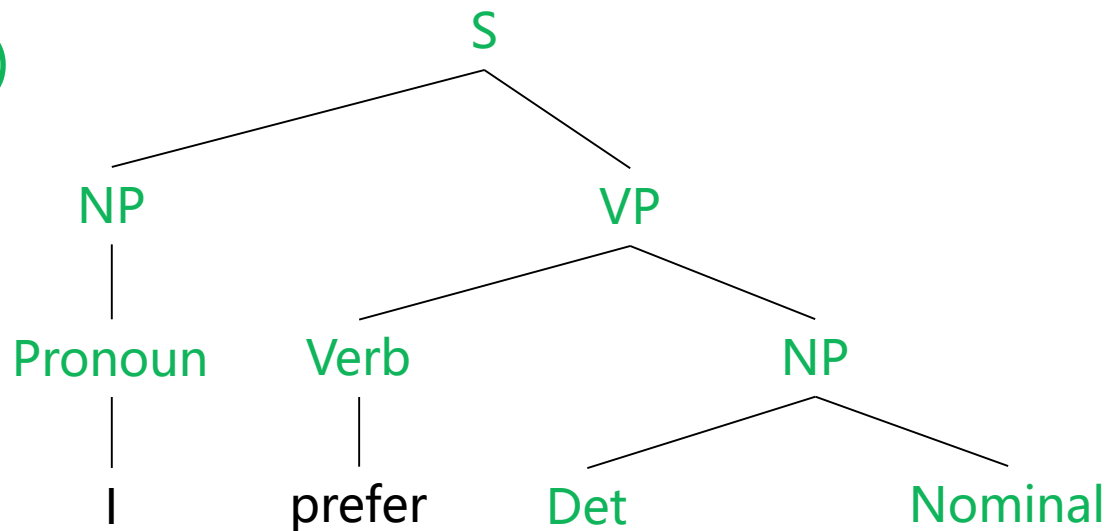
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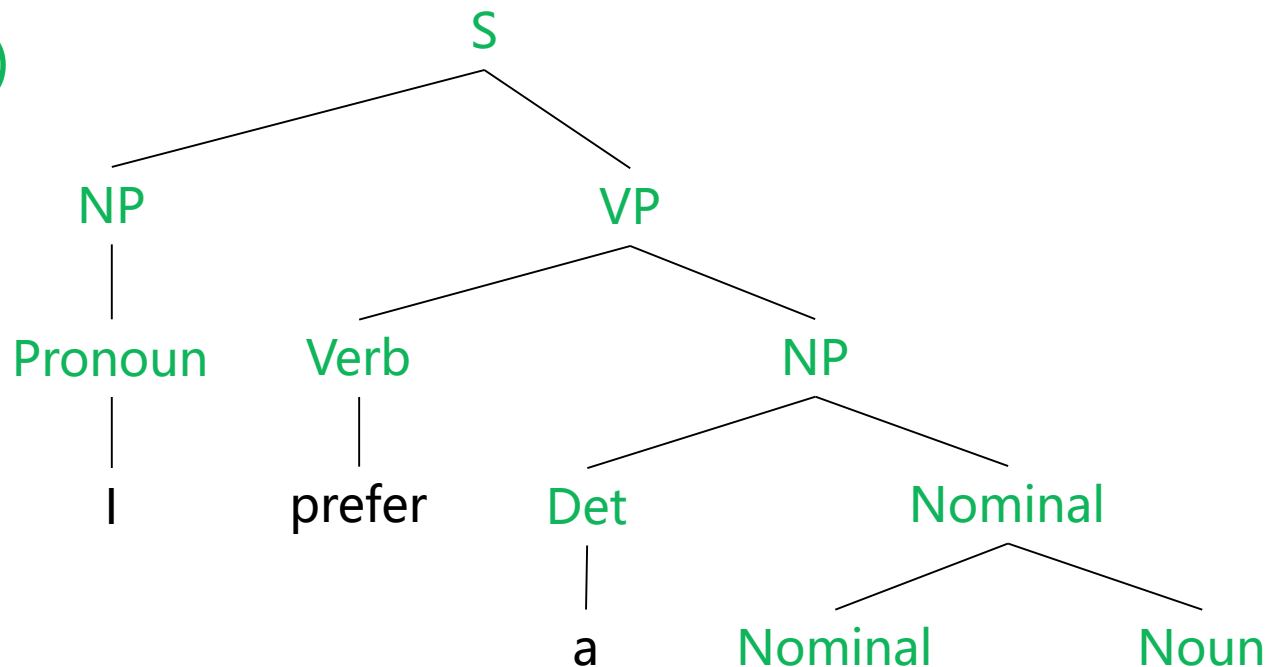
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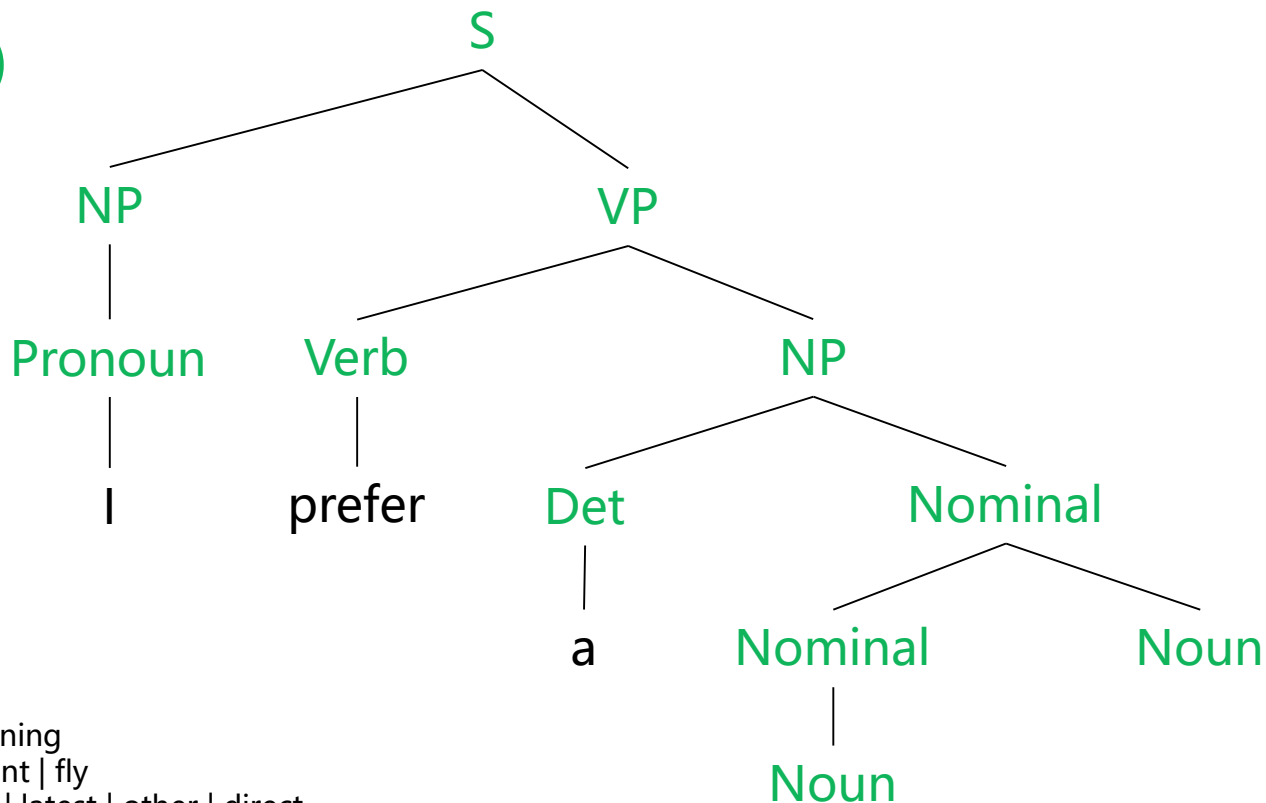
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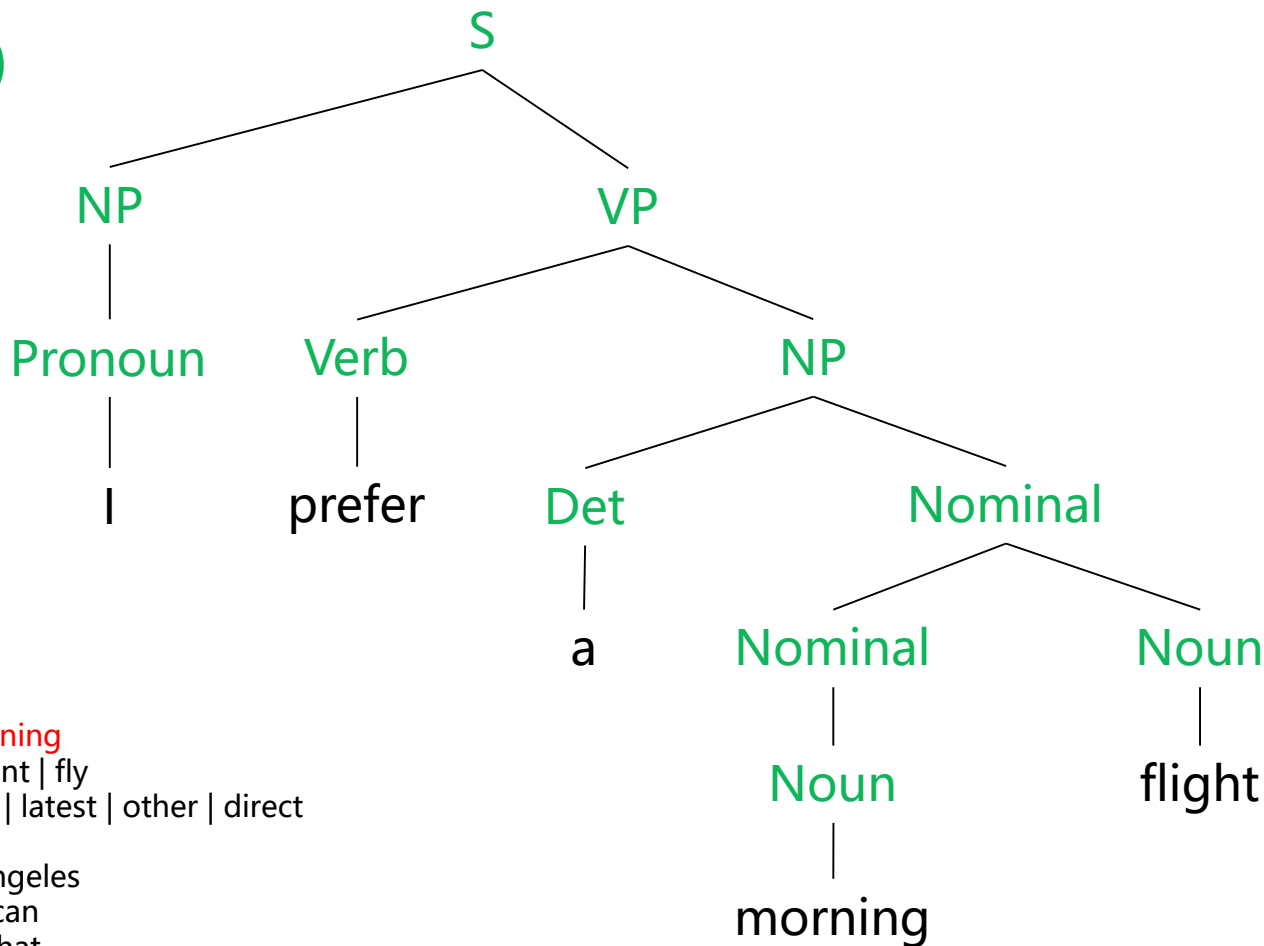
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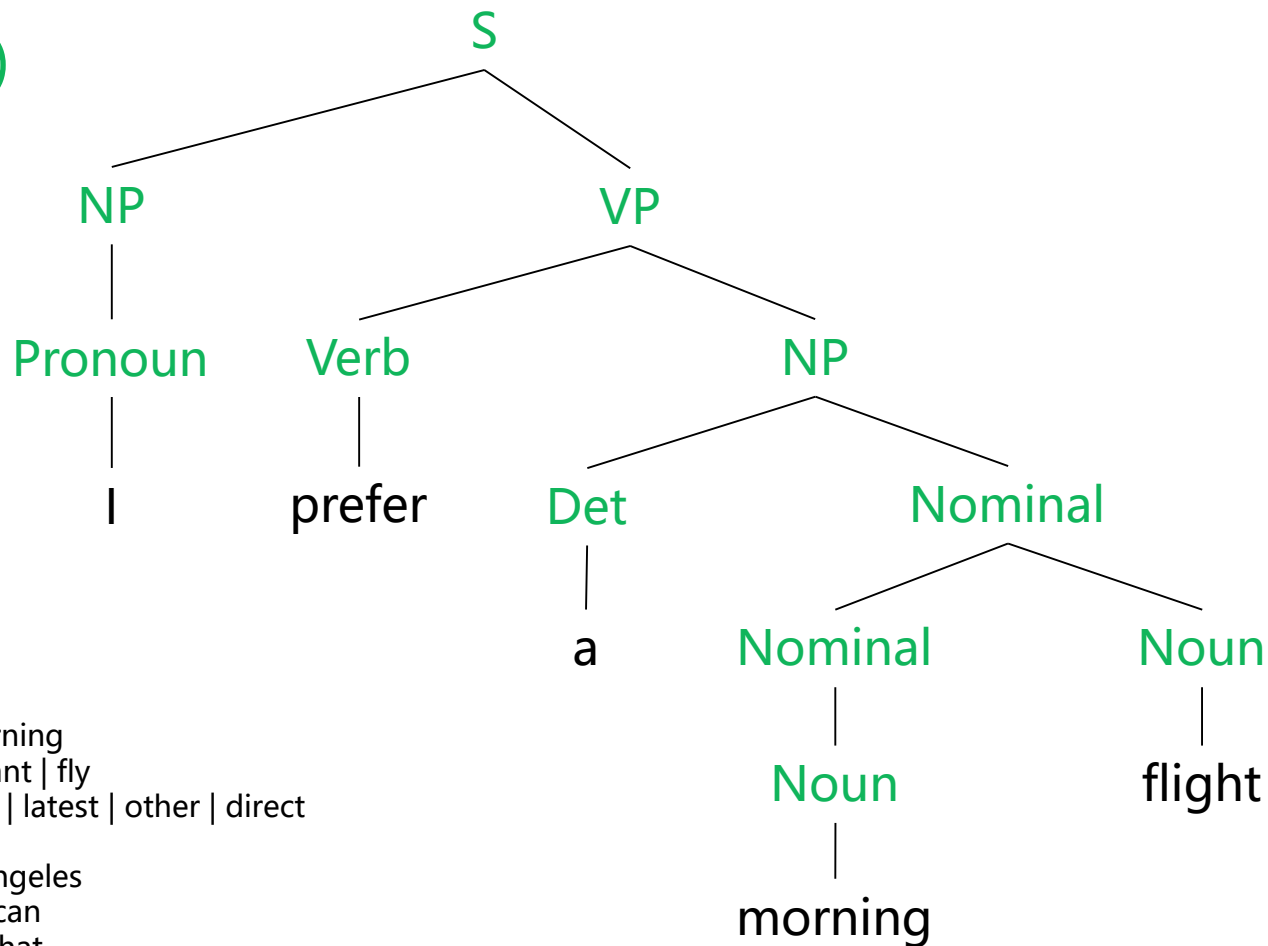
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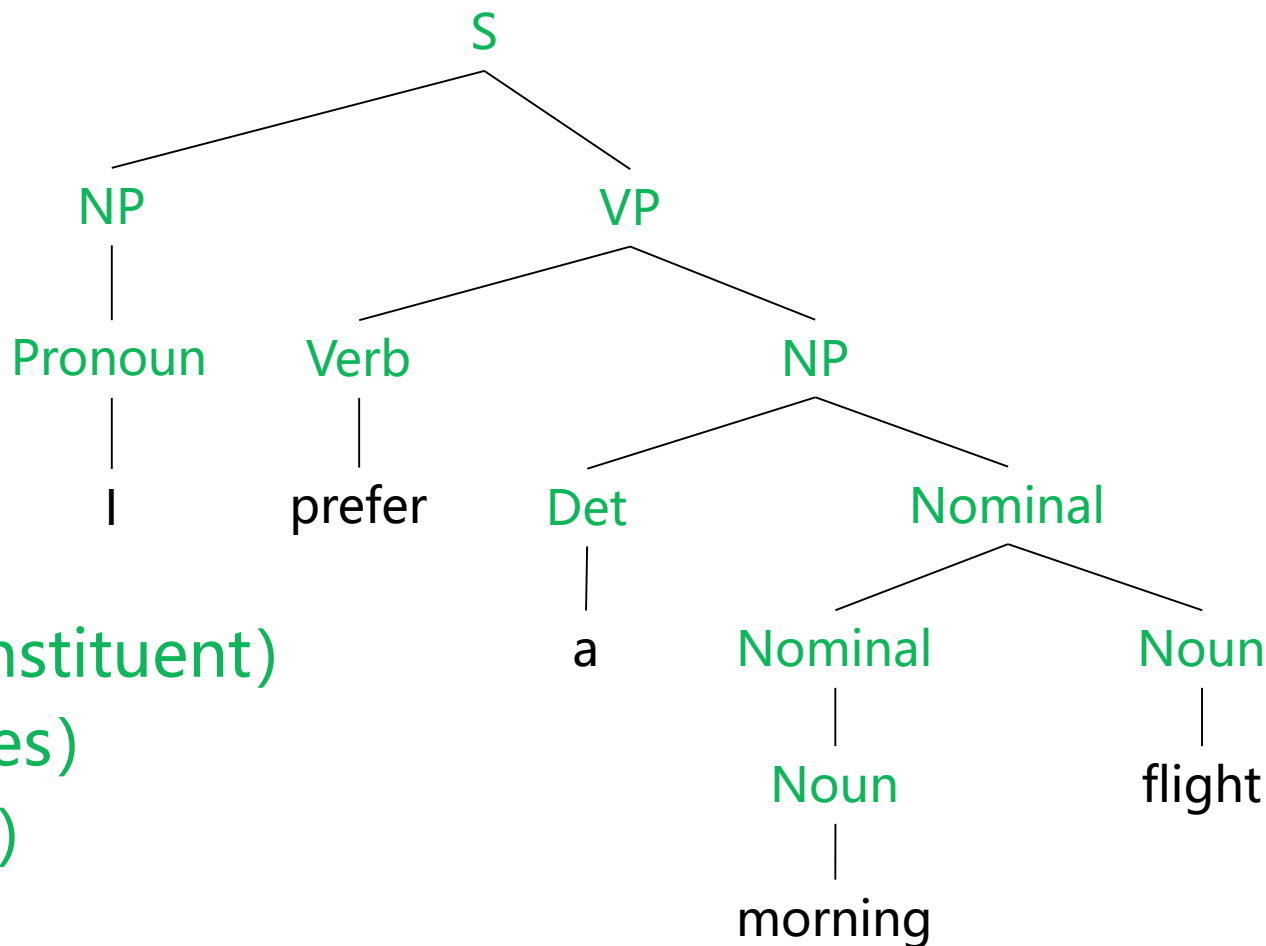




# 构成式语法 (constituency grammar) 简介

给定**语法成分**、**语法规则**和**词典**，我们即可由某一根节点S出发，生成语句。

- 这样的三要素的集合称为**语法 (grammar)**；
- 能够由某一语法生成的语句，称为**合乎语法的**，否则称为**不合乎语法的**。



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语法规则 (rules)  
词典 (lexicon)

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## 语法成分 (constituent)

S(句子)

NP(名词性短语)

VP(动词性短语)

Pronoun(代词)

Proper-Noun(专有名词)

Det(冠词)

Nominal(名词性成分)

Verb(动词)

PP(介词短语)

Preposition(介词)

$N$

## 语法规则 (rules)

$S \rightarrow NP VP$

$NP \rightarrow Pronoun$

$NP \rightarrow Proper-Noun$

$NP \rightarrow Det Nominal$

$Nominal \rightarrow Nominal Noun$

$Nominal \rightarrow Noun$

$PP \rightarrow Preposition NP$

$VP \rightarrow Verb$

$VP \rightarrow Verb NP$

$VP \rightarrow Verb NP PP$

$VP \rightarrow Verb PP$

$R$

## 词典 (lexicon)

Noun  $\rightarrow$  flights | breeze | trip | morning

Verb  $\rightarrow$  is | prefer | like | need | want | fly

Adjective  $\rightarrow$  cheapest | non-stop | first | latest | other | direct

Pronoun  $\rightarrow$  me | I | you | it

Proper-Noun  $\rightarrow$  Alaska | Baltimore | Los Angeles | Chicago | United | American

Determiner  $\rightarrow$  the | a | an | this | these | that

Preposition  $\rightarrow$  from | to | on | near

Conjunction  $\rightarrow$  and | or | but

$\Sigma$

# 构成式语法 (constituency grammar) 简介

**N** 一组定义好的语法成分 (constituent), 并且只能作为语法树中的非叶子节点 (non-terminal symbols)

S(句子)	Proper-Noun(专有名词)	Verb(动词)
NP(名词性短语)	Det(冠词)	PP(介词短语)
VP(动词性短语)	Nominal(名词性成分)	Preposition(介词)
Pronoun(代词)		

**$\Sigma$**  词汇表, 只能作为语法树中的叶子节点 (terminal symbols)

flights | breeze | trip | morning | is | prefer | like | need | want | fly | cheapest | non-stop | first | latest | other | direct | Pronoun | me | I | you | it | Alaska | Baltimore | Los Angeles | Chicago | United | American | the | a | an | this | these | that | Preposition | from | to | on | near | and | or | but

**R** 语法规则, 即  $\alpha \rightarrow \beta$  形式的规则。  $\alpha$  与  $\beta$  均可代表由  $N \cup \Sigma$  中的元素构成的序列

$S \rightarrow NP VP$	$PP \rightarrow Preposition NP$	Noun $\rightarrow$ flights   breeze   trip   morning
$NP \rightarrow Pronoun$	$VP \rightarrow Verb$	Verb $\rightarrow$ is   prefer   like   need   want   fly
$NP \rightarrow Proper-Noun$	$VP \rightarrow Verb NP$	Adjective $\rightarrow$ cheapest   non-stop   first   latest   other   direct
$NP \rightarrow Det Nominal$	$VP \rightarrow Verb NP PP$	Pronoun $\rightarrow$ me   I   you   it
$Nominal \rightarrow Nominal Noun$	$VP \rightarrow Verb PP$	Proper-Noun $\rightarrow$ Alaska   Baltimore   Los Angeles   Chicago   United   American
$Nominal \rightarrow Noun$		Determiner $\rightarrow$ the   a   an   this   these   that
		Preposition $\rightarrow$ from   to   on   near
		Conjunction $\rightarrow$ and   or   but

**S** 语法所规定的, 每个句子的根节点

## 上下文相关语法

(Context-sensitive Grammar, CSG)

语法树的生成与上下文相关，即：语法规则中包含“ $\rightarrow$ ”号左边不是单个的元素

VP NP  $\rightarrow$  VP Nominal  
NP NP  $\rightarrow$  NP Det Nominal  
*prefer* Pronoun PP  $\rightarrow$  *prefer* Pronoun to Verb Noun  
*prefer* NP  $\rightarrow$  *prefer* Proper-Noun

一种约束

## 上下文无关语法

(Context-free Grammar, CFG)

语法树的生成与上下文无关，即：每一条语法规则中，“ $\rightarrow$ ”号左边均为单个元素

S  $\rightarrow$  NP VP                      PP  $\rightarrow$  Preposition NP  
NP  $\rightarrow$  Pronoun                    VP  $\rightarrow$  Verb  
NP  $\rightarrow$  Proper-Noun                VP  $\rightarrow$  Verb NP  
NP  $\rightarrow$  Det Nominal                VP  $\rightarrow$  Verb NP PP

**R** 语法规则，即 $\alpha \rightarrow \beta$ 形式的规则。 $\alpha$ 与 $\beta$ 均可代表由 $N \cup \Sigma$ 中的元素构成的序列

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NP  $\rightarrow$  Pronoun                    VP  $\rightarrow$  Verb  
NP  $\rightarrow$  Proper-Noun                VP  $\rightarrow$  Verb NP  
NP  $\rightarrow$  Det Nominal                VP  $\rightarrow$  Verb NP PP  
Nominal  $\rightarrow$  Nominal Noun        VP  $\rightarrow$  Verb PP  
Nominal  $\rightarrow$  Noun

Noun             $\rightarrow$  flights | breeze | trip | morning  
Verb             $\rightarrow$  is | prefer | like | need | want | fly  
Adjective        $\rightarrow$  cheapest | non-stop | first | latest |  
                  other | direct  
Pronoun          $\rightarrow$  me | I | you | it  
Proper-Noun      $\rightarrow$  Alaska | Baltimore | Los Angeles |  
                  Chicago | United | American  
Determiner       $\rightarrow$  the | a | an | this | these | that  
Preposition       $\rightarrow$  from | to | on | near  
Conjunction      $\rightarrow$  and | or | but

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# 构成式语法 (constituency grammar) 简介

**N** 一组定义好的语法成分 (constituent), 并且只能作为语法树中的非叶子节点 (non-terminal symbols)

S(句子)	Proper-Noun(专有名词)	Verb(动词)
NP(名词性短语)	Det(冠词)	PP(介词短语)
VP(动词性短语)	Nominal(名词性成分)	Preposition(介词)
Pronoun(代词)		

**$\Sigma$**  词汇表, 只能作为语法树中的叶子节点 (terminal symbols)

flights | breeze | trip | morning | is | prefer | like | need | want | fly | cheapest | non-stop | first | latest | other | direct | Pronoun | me | I | you | it | Alaska | Baltimore | Los Angeles | Chicago | United | American | the | a | an | this | these | that | Preposition | from | to | on | near | and | or | but

**R** 语法规则, 即  $\alpha \rightarrow \beta$  形式的规则。  $\alpha$  与  $\beta$  均可代表由  $N \cup \Sigma$  中的元素构成的序列

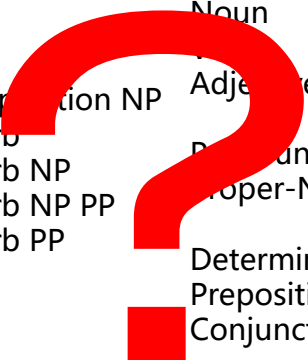
$S \rightarrow NP VP$	$PP \rightarrow Preposition NP$	Noun $\rightarrow$ flights   breeze   trip   morning
$NP \rightarrow Pronoun$	$VP \rightarrow Verb$	Verb $\rightarrow$ is   prefer   like   need   want   fly
$NP \rightarrow Proper-Noun$	$VP \rightarrow Verb NP$	Adjective $\rightarrow$ cheapest   non-stop   first   latest   other   direct
$NP \rightarrow Det Nominal$	$VP \rightarrow Verb NP PP$	Pronoun $\rightarrow$ me   I   you   it
$Nominal \rightarrow Nominal Noun$	$VP \rightarrow Verb PP$	Proper-Noun $\rightarrow$ Alaska   Baltimore   Los Angeles   Chicago   United   American
$Nominal \rightarrow Noun$		Determiner $\rightarrow$ the   a   an   this   these   that
		Preposition $\rightarrow$ from   to   on   near
		Conjunction $\rightarrow$ and   or   but

**S** 语法所规定的, 每个句子的根节点

# 从Treebanks中构建语法

从语言学家标注的语料集中去搜罗！

**R** 语法规则，即 $\alpha \rightarrow \beta$ 形式的规则。 $\alpha$ 与 $\beta$ 均可代表由 $N \cup \Sigma$ 中的元素构成的序列



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$Nominal \rightarrow Nominal Noun$	$VP \rightarrow Verb PP$	Proper-Noun	$\rightarrow$ Alaska   Baltimore   Los Angeles   Chicago   United   American
$Nominal \rightarrow Noun$		Determiner	$\rightarrow$ the   a   an   this   these   that
		Preposition	$\rightarrow$ from   to   on   near
		Conjunction	$\rightarrow$ and   or   but



# 从Treebanks中构建语法：Penn Treebank语料集



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## Treebank-3

**Item Name:** Treebank-3  
**Author(s):** Mitchell P. Marcus, Beatrice Santorini, Mary Ann Marcinkiewicz, Ann Taylor  
**LDC Catalog No.:** LDC99T42  
**ISBN:** 1-58563-163-9  
**ISLRN:** 141-282-691-413-2  
**DOI:** <https://doi.org/10.35111/gq1x-j780>  
**Member Year(s):** 1999  
**DCMI Type(s):** Text  
**Data Source(s):** telephone speech, newswire, microphone speech, transcribed speech, varied  
**Project(s):** TIDES, GALE  
**Application(s):** parsing, natural language processing, tagging  
**Language(s):** English  
**Language ID(s):** eng  
**License(s):** [LDC User Agreement for Non-Members](#)  
**Online Documentation:** [LDC99T42 Documents](#)  
**Licensing Instructions:** [Subscription & Standard Members, and Non-Members](#)  
**Citation:** Marcus, Mitchell P., et al. Treebank-3 LDC99T42. Web Download. Philadelphia: Linguistic Data Consortium, 1999.  
**Related Works:** [View](#)

### Introduction

This release contains the following [Treebank-2](#) Material:

- One million words of 1989 Wall Street Journal material annotated in Treebank II style.
- A small sample of ATIS-3 material annotated in Treebank II style.
- A fully tagged version of the Brown Corpus.

and the following new material:

- Switchboard tagged, dysfluency-annotated, and parsed text
- Brown parsed text

The Treebank bracketing style is designed to allow the extraction of simple predicate/argument structure. Over one million words of text are provided with this bracketing applied.

### Data

The Penn Treebank (PTB) project selected 2,499 stories from a three year Wall Street Journal (WSJ) collection of 98,732 stories for syntactic annotation. These 2,499 stories have been distributed in both Treebank-2 ([LDC95T7](#)) and Treebank-3 ([LDC99T42](#)) releases of PTB. Treebank-2 includes the raw text for each story. Three "map" files are available in a compressed file ([pennTB\\_tipster\\_wsj\\_map.tar.gz](#)) as an additional download for users who have licensed Treebank-2 and provide the relation between the 2,499 PTB filenames and the corresponding WSJ DOCNO strings in TIPSTER.

### Samples

Please view the following samples:

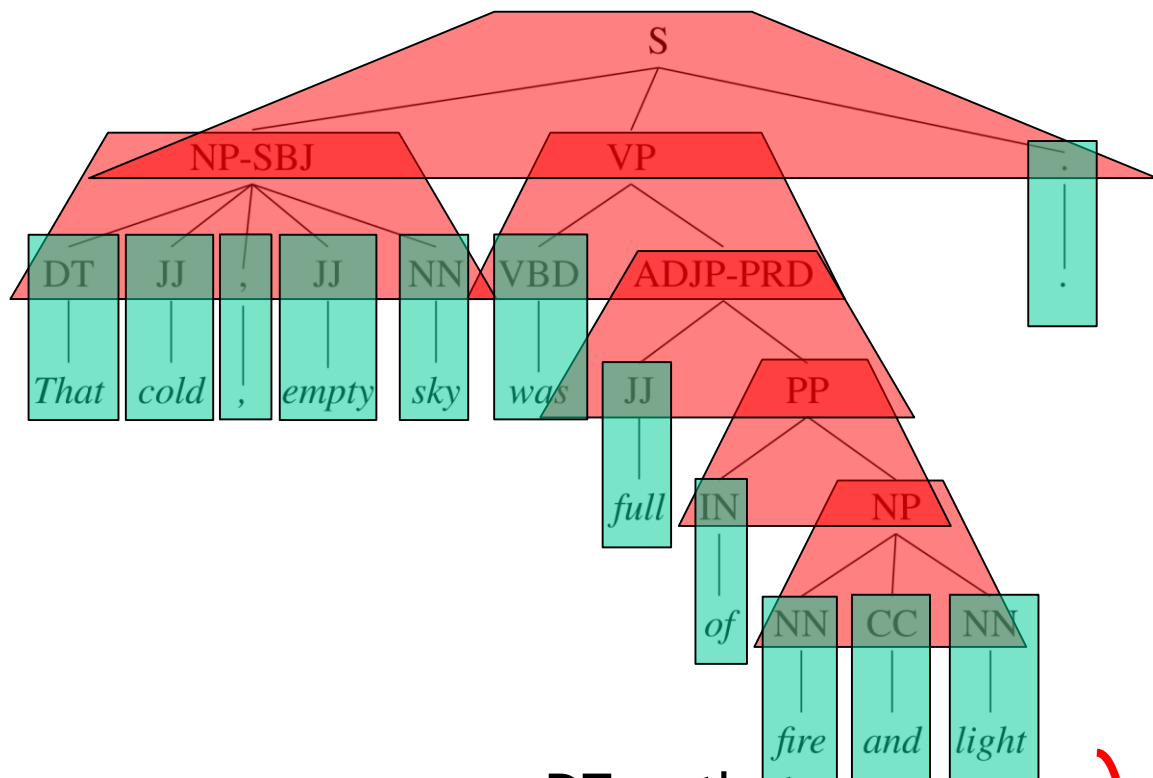
Penn treebank是宾夕法尼亚大学上世纪末收集整理的语法树数据集。

包含了从华盛顿邮报、Newswire等媒体中摘选的数万个英文语句，共约一百万个单词。并由语言学家们完成对其的语法树标注。

目前最新的treebank-3版本更新于1999年，但在语言模型、语法解析领域，仍被广泛使用。

# 从Treebanks中构建语法: Penn Treebank语料集

```
((S
  (NP-SBJ (DT That)
    (JJ cold) (, ,)
    (JJ empty) (NN sky) )
  (VP (VBD was)
    (ADJP-PRD (JJ full)
      (PP (IN of)
        (NP (NN fire)
          (CC and)
          (NN light) ))))
  (. .) ))
```



*R*

NP → NN CC NN

PP → IN NP

ADJP → PRD

PRD → JJ PP

VP → VBD ADJP

SBJ → DT JJ , JJ NN

NP → SBJ

S → NP VP .

DT → that

JJ → cold | empty | full

NN → sky | fire | light

IN → of

CC → and      . → .

VBD → was      , → ,

# 从Treebanks中构建语法：Penn Treebank语料集

整个语料集可以收集到17500个语法规则（不包含词典部分，即上面例子中的绿色框线）。

其中，光NP的生成法则就有数千个

如何处理长尾的分布方式

```
NP → DT JJ NN
NP → DT JJ NNS
NP → DT JJ NN NN
NP → DT JJ JJ NN
NP → DT JJ CD NNS
NP → RB DT JJ NN NN
NP → RB DT JJ JJ NNS
NP → DT JJ JJ NNP NNS
NP → DT NNP NNP NNP NNP JJ NN
NP → DT JJ NNP CC JJ JJ NN NNS
NP → RB DT JJS NN NN SBAR
NP → DT VBG JJ NNP NNP CC NNP
NP → DT JJ NNS , NNS CC NN NNS NN
NP → DT JJ JJ VBG NN NNP NNP FW NNP
NP → NP JJ , JJ ‘ ‘ SBAR ’ ’ NNS
```

.....

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事实上，某个语法成分的展开方式与具体用词息息相关。在这17500条规则中，有很大一部分是只出现了少数几次的。

```
NP → DT JJ NN
NP → DT JJ NNS
NP → DT JJ NN NN
NP → DT JJ JJ NN
NP → DT JJ CD NNS
NP → RB DT JJ NN NN
NP → RB DT JJ JJ NNS
NP → DT JJ JJ NNP NNS
NP → DT NNP NNP NNP NNP JJ NN
NP → DT JJ NNP CC JJ JJ NN NNS
NP → RB DT JJS NN NN SBAR
NP → DT VBG JJ NNP NNP CC NNP
NP → DT JJ NNS , NNS CC NN NNS NN
NP → DT JJ JJ VBG NN NNP NNP FW NNP
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如上的构成式语法以语法成分为中心，认为语法成分的展开是有规律可循的。

但是既然PTB上的结果告诉我们，这样的规律也并没有那么好找，所以.....

不妨反过来定义语法：以词汇为中心，对每个词定义他可以怎么用。

# 词汇化语法 (lexicalized grammar) 与组合范畴语法 (CCG)

词汇化语法 (lexicalized grammar) : 更多依赖具体词汇, 认为合法的语法结构与词汇具体是什么相关。

help sb do sth  
ask sb to do sth  
pass sth to sb  
....

词汇化语法  
(lexicalized grammar)

Lexical-Functional Grammar (LFG)  
(Bresnan, 1982)

Head-Driven Phrase Structure Grammar (HPSG)  
(Pollard and Sag, 1994)

Tree-Adjoining Grammar (TAG)  
(Joshi, 1985)

Combinatory Categorical Grammar (CCG)  
(Steedman 1989, Steedman 2000)

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**(Steedman 1989, Steedman 2000)**



# 词汇化语法 (lexicalized grammar) 与组合范畴语法 (CCG)

## 组合范畴语法

(combinatory categorial grammar, CCG)

核心思想：词汇的类别 (category) 变得复杂；通过复杂的类别指导每个词该怎么用。

### 1) 基础类别 (atomic elements)

S(句子)	Proper-Noun(专有名词)	Verb(动词)
NP(名词性短语)	Det(冠词)	PP(介词短语)
VP(动词性短语)	Nominal(名词性成分)	Preposition(介词)
Pronoun(代词)	.....	

### 2) 由基础类别构成的函数，以及函数的函数

(PP/NP): 在该词的右边放上一个NP成分，那么该词与其右边的成分即可共同构成一个PP。

(S\Pronoun): 在该词的左边放上一个代词，那么该词与其左边的成分即可共同构成一个句子。

(S\NP)/NP: 在该词的左边放上一个NP，然后在右边放上一个NP，那么该词与两边的成分共同构成一个句子。

类别 (category)

to	you
(PP/NP)	NP
PP	

I	say
Pronoun	(S\Pronoun)
S	

Gov.	serve	people
NP	(S\NP)/NP	NP
S		

# 词汇化语法 (lexicalized grammar) 与组合范畴语法 (CCG)

组合范畴语法  
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核心思想：词汇的类别 (category) 变得复杂；通过复杂的类别指导每个词该怎么用。

词典 (lexicon)

每个词的类别直接指定为以上形式的类别。这类复杂的类别模式已经暗含了词的使用方法

Flight:	N
Say:	Verb; (S\Pronoun)
Cancel:	(S\NP)/NP
.....	

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组合规则 (rules)

1) 前向匹配与后向匹配(forward function application, backward function application)

$$X/Y \ Y \Rightarrow X$$

$$Y \ X \backslash Y \Rightarrow X$$

2) 并联(conjunction)

$$X \ \text{CONJ} \ X \Rightarrow X$$

to	you
(PP/NP)	NP
<hr/>	
PP	

Geneva	and	Paris
NP	CONJ	NP
<hr/>		
NP		

# 词汇化语法 (lexicalized grammar) 与组合范畴语法 (CCG)

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2) 并联(conjunction)

$$X \ \text{CONJ} \ X \Rightarrow X$$

3) 前向串联与后向串联(forward/backward composition)

$$X/Y \ Y/Z \Rightarrow X/Z$$

$$Y \backslash Z \ X/Y \Rightarrow X \backslash Z$$

\

$$\frac{\text{Gov.} \quad \text{serve}}{S/(S \backslash NP) \quad (S \backslash NP)/NP} \\ S/NP$$

# 词汇化语法 (lexicalized grammar) 与组合范畴语法 (CCG)

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$$X/Y \ Y/Z \Rightarrow X/Z$$

$$Y \backslash Z \ X/Y \Rightarrow X \backslash Z$$

打错了

4) 类别升迁(type raising)

$$X \Rightarrow T/(T \backslash X)$$

$$X \Rightarrow T \backslash (T/X)$$

$$\begin{array}{c} \text{Gov.} \\ \text{NP} \\ \hline \text{S}/(\text{S} \backslash \text{NP}) \end{array}$$

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# 语法间的等同关系，乔姆斯基范式 (CNF)

强等价

两个语法能够生成一模一样的合法语句集合，且对于同一个句子，语法结构也相同。

弱等价

两个语法能够生成一模一样的合法语句集合，但是对于同一个句子，语法结构不一定相同

## 上下文相关语法

(Context-sensitive Grammar, CSG)

语法树的生成与上下文相关，即：语法规则中包含“ $\rightarrow$ ”号左边不是单个的 $N$ 中元素情况

$VP \ NP \rightarrow VP \ Nominal$   
 $NP \ NP \rightarrow NP \ Det \ Nominal$   
 $prefer \ Pronoun \ PP \rightarrow prefer \ Pronoun \ to \ Verb \ Noun$   
 $prefer \ NP \rightarrow prefer \ Proper-Noun$

## 上下文无关语法

(Context-free Grammar, CFG)

语法树的生成与上下文无关，即：每一条语法规则中，“ $\rightarrow$ ”号左边均为单个的 $N$ 中元素情况

$S \rightarrow NP \ VP$   
 $NP \rightarrow Pronoun$   
 $NP \rightarrow Proper-Noun$   
 $NP \rightarrow Det \ Nominal$   
 $PP \rightarrow Preposition \ NP$   
 $VP \rightarrow Verb$   
 $VP \rightarrow Verb \ NP$   
 $VP \rightarrow Verb \ NP \ PP$

约束 $R$ 中规则的形式

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 $Nominal \rightarrow Noun$   
 $PP \rightarrow Preposition \ NP$   
 $VP \rightarrow Verb$   
 $VP \rightarrow Verb \ NP$   
 $VP \rightarrow Verb \ NP \ PP$   
 $VP \rightarrow Verb \ PP$

$Noun \rightarrow flights \mid breeze \mid trip \mid morning$   
 $Verb \rightarrow is \mid prefer \mid like \mid need \mid want \mid fly$   
 $Adjective \rightarrow cheapest \mid non-stop \mid first \mid latest \mid other \mid direct$   
 $Pronoun \rightarrow me \mid I \mid you \mid it$   
 $Proper-Noun \rightarrow Alaska \mid Baltimore \mid Los \ Angeles \mid Chicago \mid United \mid American$   
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 $VP \rightarrow Verb$   
 $VP \rightarrow Verb \ NP$   
 $VP \rightarrow Verb \ NP \ PP$

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 $Nominal \rightarrow Noun$   
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 $VP \rightarrow Verb$   
 $VP \rightarrow Verb \ NP$   
 $VP \rightarrow Verb \ NP \ PP$   
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 $Preposition \rightarrow from \mid to \mid on \mid near$   
 $Conjunction \rightarrow and \mid or \mid but$

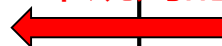
# 乔姆斯基范式 (CNF)

乔姆斯基范式  
(Chomsky Normal Form, CNF)

上下文无关语法  
(Context-free Grammar, CFG)

语法树的生成与上下文无关, 即: 每一条语法规则中, “ $\rightarrow$ ” 号左边均为单个的  $N$  中元素情况

约束  $R$  中规则的形式



$S \rightarrow NP VP$	$PP \rightarrow \text{Preposition } NP$
$NP \rightarrow \text{Pronoun}$	$VP \rightarrow \text{Verb}$
$NP \rightarrow \text{Proper-Noun}$	$VP \rightarrow \text{Verb } NP$
$NP \rightarrow \text{Det Nominal}$	$VP \rightarrow \text{Verb } NP PP$

$R$  语法规则, 即  $\alpha \rightarrow \beta$  形式的规则。  $\alpha$  与  $\beta$  均可代表由  $N \cup \Sigma$  中的元素构成的序列

$S \rightarrow NP VP$	$PP \rightarrow \text{Preposition } NP$
$NP \rightarrow \text{Pronoun}$	$VP \rightarrow \text{Verb}$
$NP \rightarrow \text{Proper-Noun}$	$VP \rightarrow \text{Verb } NP$
$NP \rightarrow \text{Det Nominal}$	$VP \rightarrow \text{Verb } NP PP$
$Nominal \rightarrow \text{Nominal Noun}$	$VP \rightarrow \text{Verb } PP$
$Nominal \rightarrow \text{Noun}$	

Noun	$\rightarrow$ flights   breeze   trip   morning
Verb	$\rightarrow$ is   prefer   like   need   want   fly
Adjective	$\rightarrow$ cheapest   non-stop   first   latest   other   direct
Pronoun	$\rightarrow$ me   I   you   it
Proper-Noun	$\rightarrow$ Alaska   Baltimore   Los Angeles   Chicago   United   American
Determiner	$\rightarrow$ the   a   an   this   these   that
Preposition	$\rightarrow$ from   to   on   near
Conjunction	$\rightarrow$ and   or   but

# 乔姆斯基范式 (CNF)

## 乔姆斯基范式 (Chomsky Normal Form, CNF)

在CFG要求的基础上:

每条语法规则只能从一个元素生成两个元素, 且不得包含单词

- ✓  $S \rightarrow NP VP$
- ✓  $NP \rightarrow Det Nominal$
- ✗  $NP \rightarrow Pronoun$
- ✗  $VP \rightarrow Verb NP PP$

每条词典规则只能从一个元素生成一个单词作为元素

- ✓  $Noun \rightarrow flights \mid breeze \mid trip \mid morning$
- ✗  $Noun \rightarrow New York$

约束 $R$ 中规则的形式



## 上下文无关语法

(Context-free Grammar, CFG)

语法树的生成与上下文无关, 即: 每一条语法规则中, “ $\rightarrow$ ” 号左边均为单个的 $N$ 中元素情况

$S \rightarrow NP VP$   
 $NP \rightarrow Pronoun$   
 $NP \rightarrow Proper-Noun$   
 $NP \rightarrow Det Nominal$

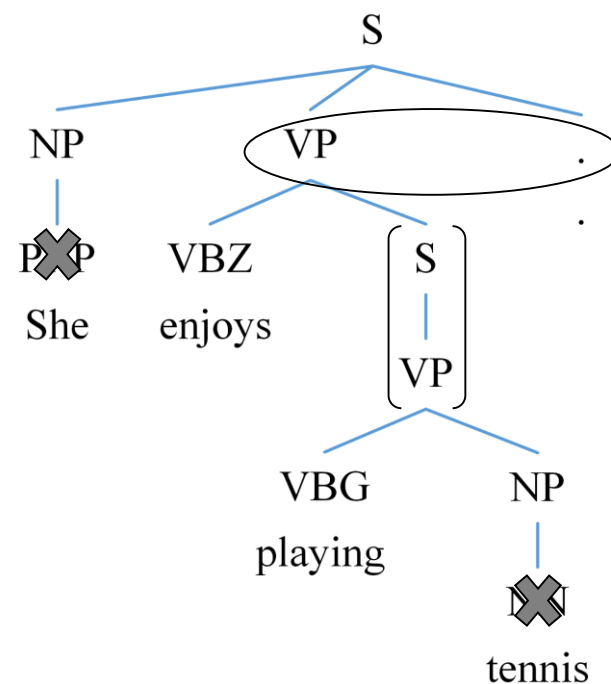
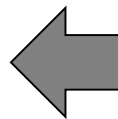
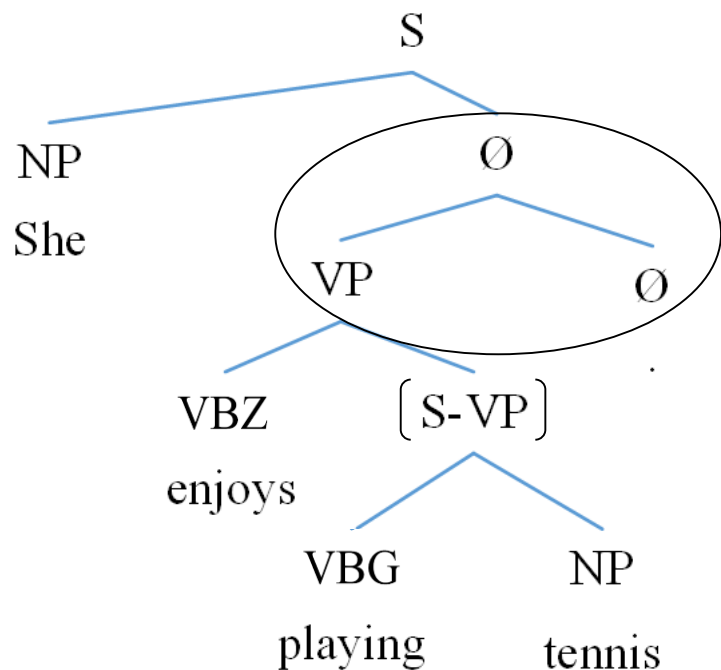
$PP \rightarrow Preposition NP$   
 $VP \rightarrow Verb$   
 $VP \rightarrow Verb NP$   
 $VP \rightarrow Verb NP PP$

# 乔姆斯基范式 (CNF)

## 乔姆斯基范式 (Chomsky Normal Form, CNF)

在CFG要求的基础上:

1. 每条语法规则只能从一个元素生成两个元素, 且不得包含单词
2. 每条词典规则只能从一个元素生成一个单词作为元素



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- ▶ **评价指标**
- ▶ **常用工具**

# 构成式语法的语法解析算法：CKY

待解决的问题：

给定一个定义好的CNF语法，对某一句话做自动语法分析

The input string is generated by grammar



*R* 符合CNF的语法规则，即 $A \rightarrow B C$ 形式的语法规则，  
及 $A \rightarrow < word >$ 形式的词典规则。

$S \rightarrow NP VP$

$NP \rightarrow Pronoun$

$NP \rightarrow Proper-Noun$

$NP \rightarrow Det Nominal$

$Nominal \rightarrow Nominal Noun$

$Nominal \rightarrow Noun$

$PP \rightarrow Preposition NP$

$VP \rightarrow Verb$

$VP \rightarrow Verb NP$

$VP \rightarrow Verb NP PP$

$VP \rightarrow Verb PP$

Noun  $\rightarrow$  flights | breeze | trip | morning

Verb  $\rightarrow$  is | prefer | like | need | want | fly

Adjective  $\rightarrow$  cheapest | non-stop | first | latest | other | direct

Pronoun  $\rightarrow$  me | I | you | it

Proper-Noun  $\rightarrow$  Alaska | Baltimore | Los Angeles | Chicago | United | American

Determiner  $\rightarrow$  the | a | an | this | these | that

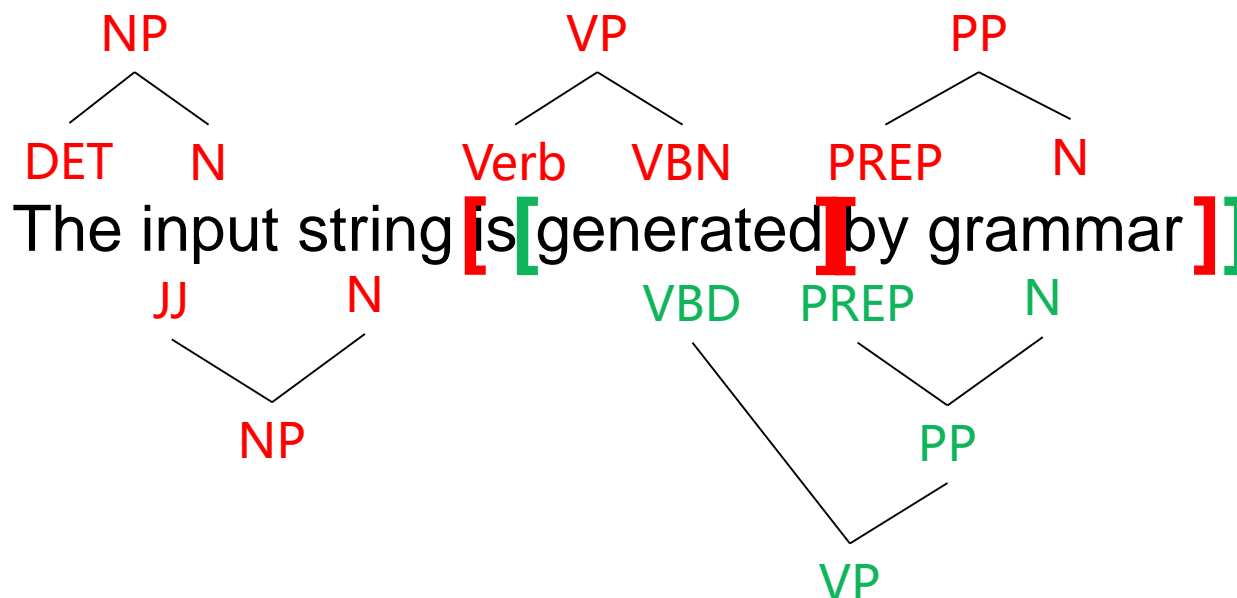
Preposition  $\rightarrow$  from | to | on | near

Conjunction  $\rightarrow$  and | or | but

# 构成式语法的语法解析算法：CKY

待解决的问题：

给定一个定义好的CNF语法，对某一句话做自动语法分析



根据语法规则，找到两三个词的局部语法树相对简单；但是：

如何从所有可能的局部语法树中

——这些局部语法树之间既可能相互重叠，又可能相互矛盾——  
组合出一棵能够完整构建起整个句子的全局语法树？

# 构成式语法的语法解析算法：CKY

例子：

$S \longrightarrow NP VP$   
 $VP \longrightarrow VP PP$   
 $VP \longrightarrow V NP$   
 $PP \longrightarrow P NP$   
 $NP \longrightarrow Det N$

$VP \longrightarrow \text{eats}$   
 $NP \longrightarrow \text{she}$   
 $V \longrightarrow \text{eats}$   
 $P \longrightarrow \text{with}$   
 $N \longrightarrow \text{fish}$   
 $N \longrightarrow \text{fork}$   
 $Det \longrightarrow \text{a}$

She eats a fish with a fork



# 构成式语法的语法解析算法：CKY

例子：

	?					
<b>She</b>	<b>eats</b>	<b>a</b>	<b>fish</b>	<b>with</b>	<b>a</b>	<b>fork</b>

# 构成式语法的语法解析算法：CKY

例子：

	能否构成1个S？					
	?					
She	eats	a	fish	with	a	fork

# 构成式语法的语法解析算法：CKY

例子：

	?					
She	eats	a	fish	with	a	fork

# 构成式语法的语法解析算法：CKY

例子：

	?					
She	eats	a	fish	with	a	fork

# 构成式语法的语法解析算法：CKY

例子：

	?					
She	eats	a	fish	with	a	fork

# 构成式语法的语法解析算法：CKY

例子：

	?					
She	eats	a	fish	with	a	fork

# 构成式语法的语法解析算法：CKY

例子：

	?					
She	eats	a	fish	with	a	fork

# 构成式语法的语法解析算法：CKY

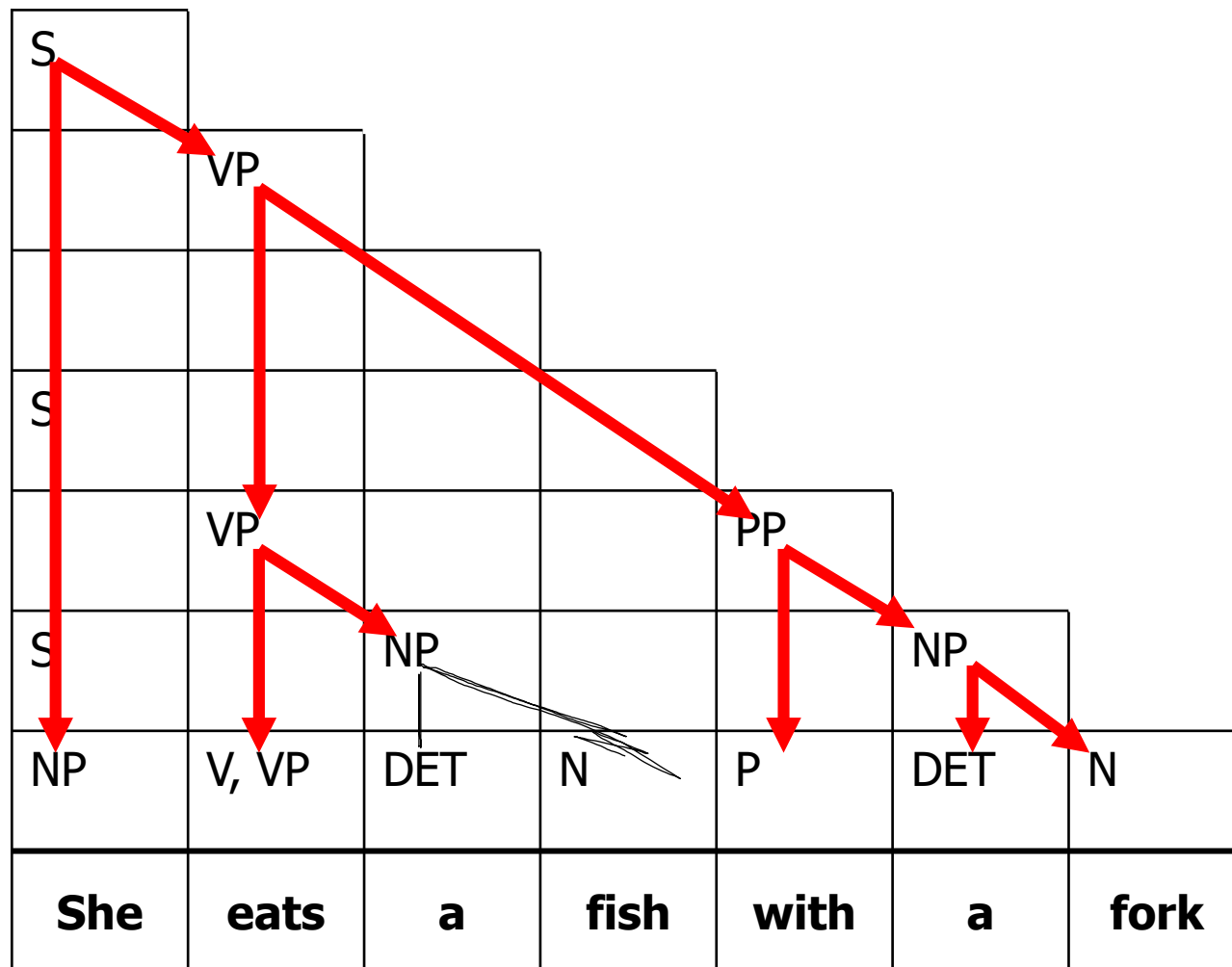
例子：

S						
	VP					
S						
	VP			PP		
S		NP			NP	
NP	V, VP	DET	N	P	DET	N
She	eats	a	fish	with	a	fork



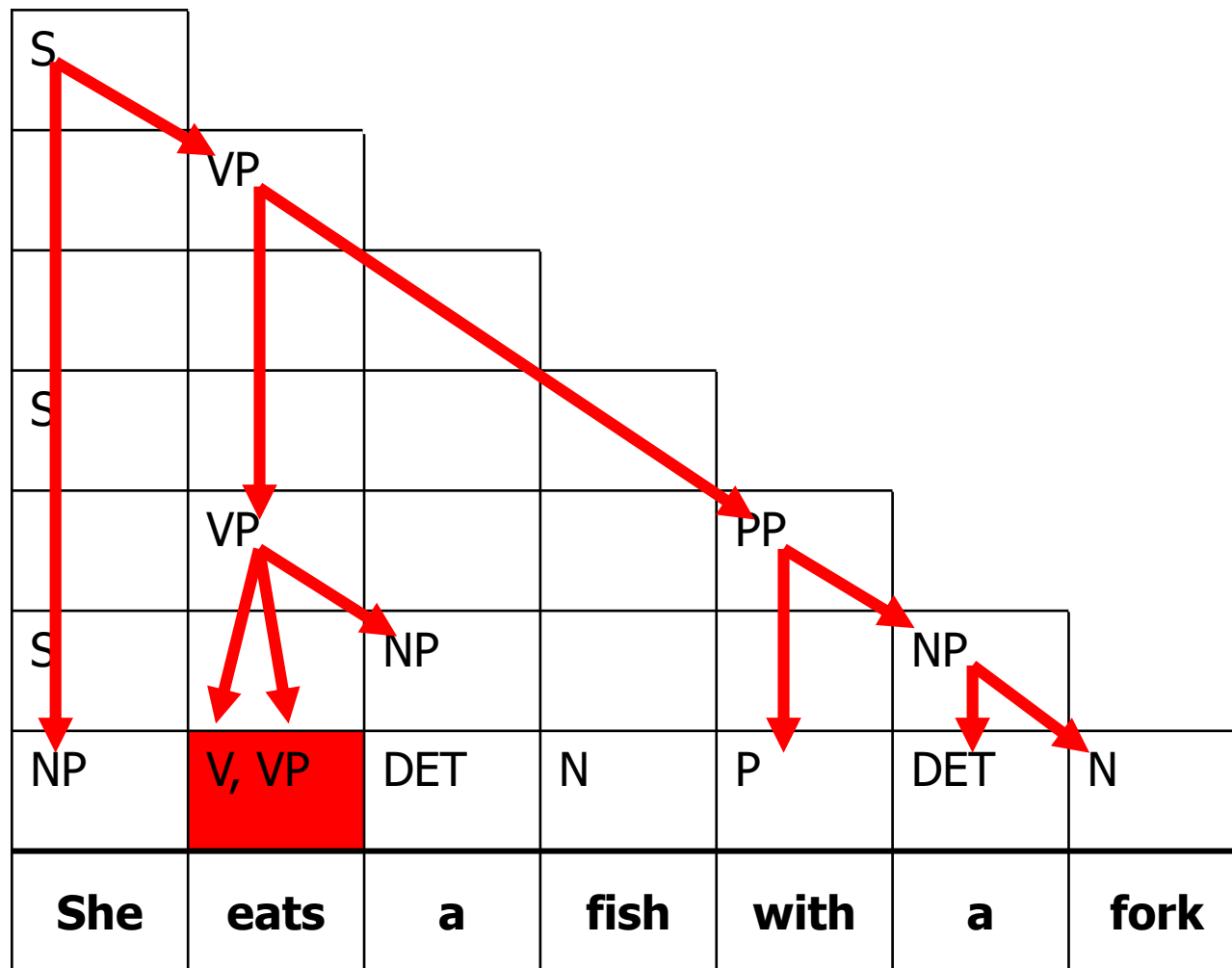
# 构成式语法的语法解析算法：CKY

例子：



# 构成式语法的语法解析算法：CKY

例子：



不知道是由eats的哪个语法成分表述的，只能说是合乎规范的。

# 构成式语法的语法解析算法：CKY

CKY算法，全称Cocke–Younger–Kasami算法，由三位计算机科学家于1970年提出。



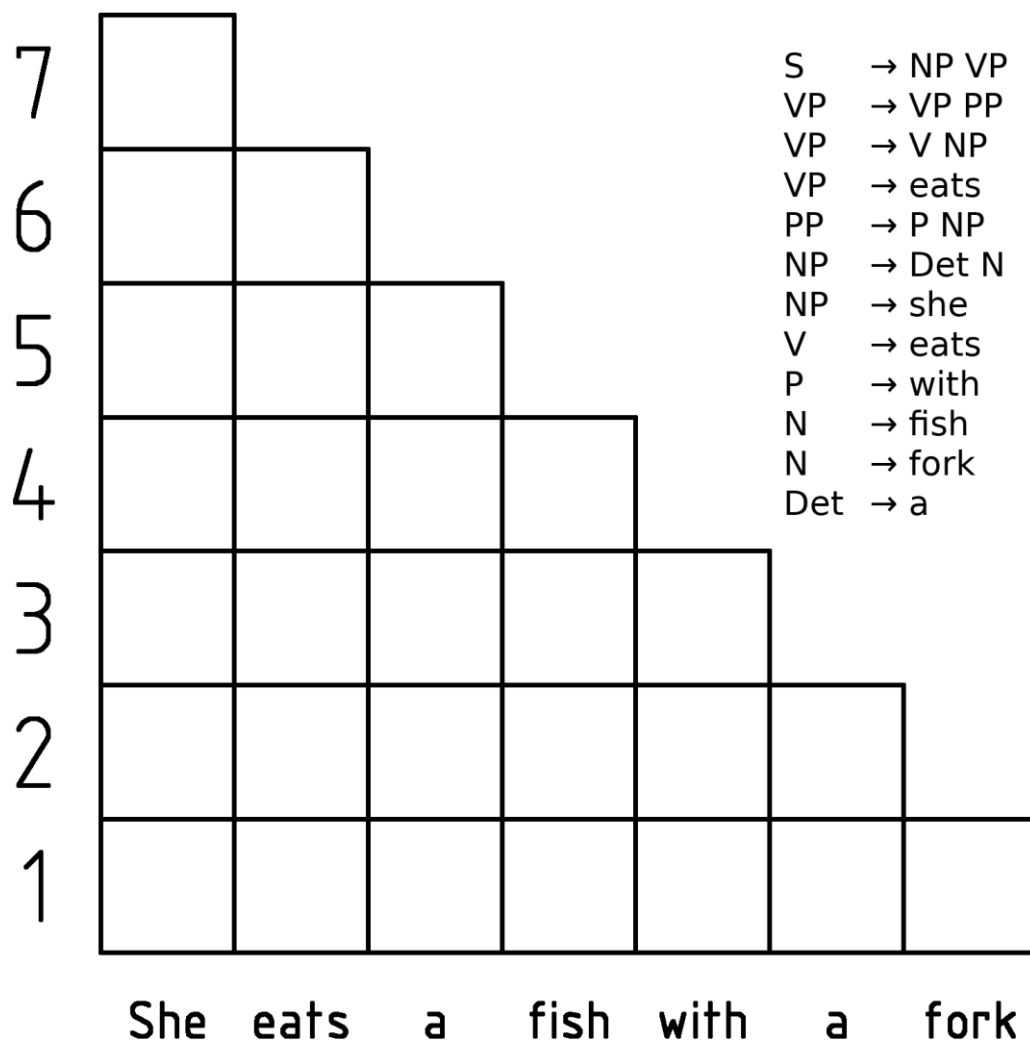
John Cocke  
1987 Turing Award



Tadao Kasami  
嵩忠雄



Daniel H. Younger



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## 上下文相关语法

(Context-sensitive Grammar, CSG)

语法树的生成与上下文相关, 即: 语法规则中包含 “ $\rightarrow$ ” 号左边不是单个的  $N$  中元素情况

VP NP  $\rightarrow$  VP Nominal  
NP NP  $\rightarrow$  NP Det Nominal  
*prefer* Pronoun PP  $\rightarrow$  *prefer* Pronoun to Verb Noun  
*prefer* NP  $\rightarrow$  *prefer* Proper-Noun

约束  $R$  中规则的形式

## 上下文无关语法

(Context-free Grammar, CFG)

语法树的生成与上下文无关, 即: 每一条语法规则中, “ $\rightarrow$ ” 号左边均为单个的  $N$  中元素情况

S  $\rightarrow$  NP VP  
NP  $\rightarrow$  Pronoun  
NP  $\rightarrow$  Proper-Noun  
NP  $\rightarrow$  Det Nominal  
PP  $\rightarrow$  Preposition NP  
VP  $\rightarrow$  Verb  
VP  $\rightarrow$  Verb NP  
VP  $\rightarrow$  Verb NP PP

$R$  语法规则, 即  $\alpha \rightarrow \beta$  形式的规则。  $\alpha$  与  $\beta$  均可代表由  $N \cup \Sigma$  中的元素构成的序列

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NP  $\rightarrow$  Proper-Noun  
NP  $\rightarrow$  Det Nominal  
Nominal  $\rightarrow$  Nominal Noun  
Nominal  $\rightarrow$  Noun  
PP  $\rightarrow$  Preposition NP  
VP  $\rightarrow$  Verb  
VP  $\rightarrow$  Verb NP  
VP  $\rightarrow$  Verb NP PP  
VP  $\rightarrow$  Verb PP

Noun  $\rightarrow$  flights | breeze | trip | morning  
Verb  $\rightarrow$  is | prefer | like | need | want | fly  
Adjective  $\rightarrow$  cheapest | non-stop | first | latest | other | direct  
Pronoun  $\rightarrow$  me | I | you | it  
Proper-Noun  $\rightarrow$  Alaska | Baltimore | Los Angeles | Chicago | United | American  
Determiner  $\rightarrow$  the | a | an | this | these | that  
Preposition  $\rightarrow$  from | to | on | near  
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$VP \ NP \rightarrow VP \ Nominal$   
 $NP \ NP \rightarrow NP \ Det \ Nominal$   
 $prefer \ Pronoun \ PP \rightarrow prefer \ Pronoun \ to \ Verb \ Noun$   
 $prefer \ NP \rightarrow prefer \ Proper-Noun$

将 $R$ 中规则赋予概率



## 上下文无关语法

(Context-free Grammar, CFG)

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 $VP \rightarrow Verb \ NP$   
 $VP \rightarrow Verb \ NP \ PP$

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 $Nominal \rightarrow Noun$   
 $PP \rightarrow Preposition \ NP$   
 $VP \rightarrow Verb$   
 $VP \rightarrow Verb \ NP$   
 $VP \rightarrow Verb \ NP \ PP$   
 $VP \rightarrow Verb \ PP$

$Noun \rightarrow flights \mid breeze \mid trip \mid morning$   
 $Verb \rightarrow is \mid prefer \mid like \mid need \mid want \mid fly$   
 $Adjective \rightarrow cheapest \mid non-stop \mid first \mid latest \mid other \mid direct$   
 $Pronoun \rightarrow me \mid I \mid you \mid it$   
 $Proper-Noun \rightarrow Alaska \mid Baltimore \mid Los \ Angeles \mid Chicago \mid United \mid American$   
 $Determiner \rightarrow the \mid a \mid an \mid this \mid these \mid that$   
 $Preposition \rightarrow from \mid to \mid on \mid near$   
 $Conjunction \rightarrow and \mid or \mid but$

## 概率化的上下文无关语法 ( Probablistic CFG, PCFG)

多种合法解析的时候，哪种更好

## 上下文无关语法 (Context-free Grammar, CFG)

语法树的生成与上下文无关，即：每一条语法规则中，“ $\rightarrow$ ”号左边均为单个的 $N$ 中元素情况

将 $R$ 中规则赋予概率



$S \rightarrow NP VP$	$PP \rightarrow Preposition NP$
$NP \rightarrow Pronoun$	$VP \rightarrow Verb$
$NP \rightarrow Proper-Noun$	$VP \rightarrow Verb NP$
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$Nominal \rightarrow Noun$	

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# 概率化的上下文无关语法 (PCFG)

## 概率化的上下文无关语法 ( Probablistic CFG, PCFG)

在CFG要求的基础上:

对每一条生成规则赋予概率 $p$ , 表示给定规则左边的constituent之后, 这个constituent依照该条语法规则生成子树的条件概率。

即对于由A生成的规则:

$$A \rightarrow \beta [p]$$

有

$$p = P(\beta|A)$$

$S \rightarrow NP VP$ [1]	$PP \rightarrow Preposition NP$ [1]
$NP \rightarrow Pronoun$ [0.3]	$VP \rightarrow Verb$ [0.2]
$NP \rightarrow Proper-Noun$ [0.3]	$VP \rightarrow Verb NP$ [0.2]
$NP \rightarrow Det Nominal$ [0.4]	$VP \rightarrow Verb NP PP$ [0.6]

## 上下文无关语法

(Context-free Grammar, CFG)

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将 $R$ 中规则赋予概率



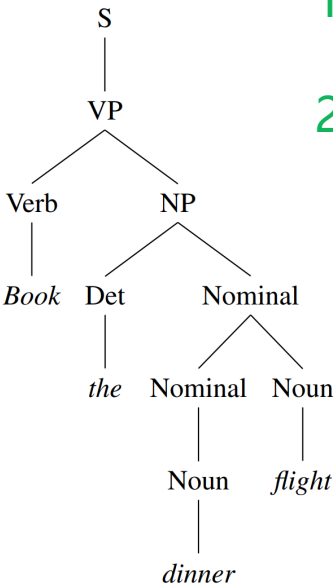


# 概率化的上下文无关语法 (PCFG)

## 概率化的上下文无关语法 ( Probablistic CFG, PCFG)

Rules	P	Rules	P
S → VP	.05	S → VP	.05
VP → Verb NP	.20	VP → Verb NP NP	.10
NP → Det Nominal	.20	NP → Det Nominal	.20
Nominal → Nominal Noun	.20	NP → Nominal	.15
Nominal → Noun	.75	Nominal → Noun	.75
Verb → book	.30	Nominal → Noun	.75
Det → the	.60	Verb → book	.30
Noun → dinner	.10	Det → the	.60
Noun → flight	.40	Noun → dinner	.10
		Noun → flight	.40

1. 判断给定的语法树是否合法。
2. 给定句子, 推断出他合法的语法树。
3. 给定句子, 在所有合法的语法树中, 给出最有可能的语法结构。
4. 像语言模型一样计算给定句子的概率。



## 上下文无关语法 (Context-free Grammar, CFG)

Rules	Rules
S → VP	S → VP
VP → Verb NP	VP → Verb NP NP
NP → Det Nominal	NP → Det Nominal
Nominal → Nominal Noun	NP → Nominal
Nominal → Noun	Nominal → Noun
Verb → book	Nominal → Noun
Det → the	Verb → book
Noun → dinner	Det → the
Noun → flight	Noun → dinner
	Noun → flight

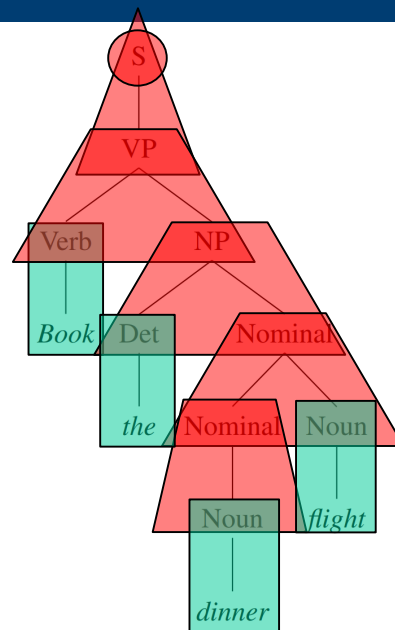
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Verb → book	.30	Nominal → Noun	.75
Det → the	.60	Verb → book	.30
Noun → dinner	.10	Det → the	.60
Noun → flight	.40	Noun → dinner	.10
		Noun → flight	.40



给定左边语法规则, 计算右边句子(V)及其对应的语法树(T)出现的概率。

$$P(T, V) = \cancel{P(S)} P(S \rightarrow VP | S) P(VP \rightarrow Verb \ NP | VP) P(Nominal \rightarrow Det \ Nominal | NP) \\ P(Nominal \rightarrow Nominal \ Noun | Nominal) \\ P(Nominal \rightarrow Noun | Nominal) \\ P(Verb \rightarrow Book | Verb) P(Det \rightarrow The | Det) P(Noun \rightarrow dinner | Noun) \\ P(Noun \rightarrow flight | Noun)$$

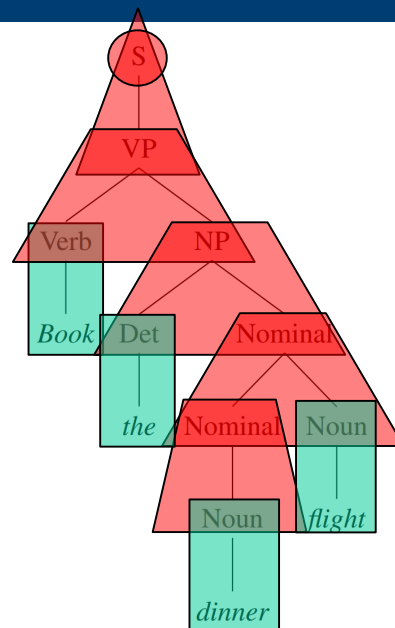
所以把P (S)  
扔掉

$$P(S) = 1$$

# 概率化的上下文无关语法 (PCFG)

## 概率化的上下文无关语法 ( Probablistic CFG, PCFG)

Rules	P	Rules	P
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Verb → book	.30	Nominal → Noun	.75
Det → the	.60	Verb → book	.30
Noun → dinner	.10	Det → the	.60
Noun → flight	.40	Noun → dinner	.10
		Noun → flight	.40



给定左边语法规则, 计算右边句子(V)及其对应的语法树(T)出现的概率。

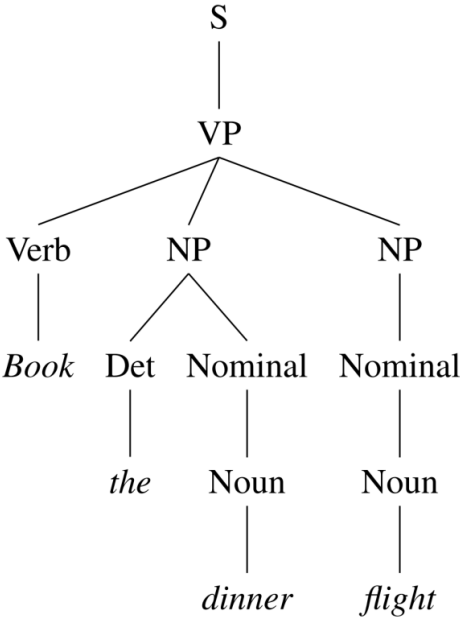
$$P(T, V) = P(S \rightarrow VP|S)P(VP \rightarrow Verb\ NP|VP)P(Nominal \rightarrow Det\ Nominal|NP) \\ P(Nominal \rightarrow Nominal\ Noun|Nominal) \\ P(Nominal \rightarrow Noun|Nominal) \\ P(Verb \rightarrow Book|Verb)P(Det \rightarrow The|Det)P(Noun \rightarrow dinner|Noun) \\ P(Noun \rightarrow flight|Noun)$$

$$P(T, V) = 0.05 \times 0.2 \times 0.2 \times 0.2 \times 0.75 \times \\ 0.3 \times 0.6 \times 0.1 \times 0.4 \\ = 2.2 \times 10^{-6}$$

# 概率化的上下文无关语法 (PCFG)

## 概率化的上下文无关语法 ( Probablistic CFG, PCFG)

Rules	P	Rules	P
S → VP	.05	S → VP	.05
VP → Verb NP	.20	VP → Verb NP NP	.10
NP → Det Nominal	.20	NP → Det Nominal	.20
Nominal → Nominal Noun	.20	NP → Nominal	.15
Nominal → Noun	.75	Nominal → Noun	.75
Verb → book	.30	Nominal → Noun	.75
Det → the	.60	Verb → book	.30
Noun → dinner	.10	Det → the	.60
Noun → flight	.40	Noun → dinner	.10
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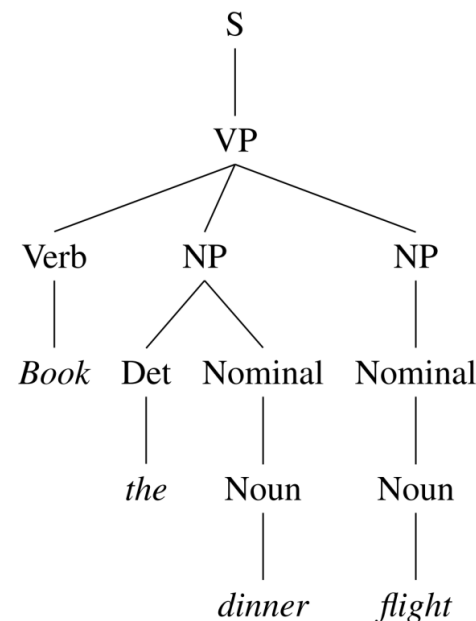
$$P(T,V) =$$
$$=$$

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Det → the	.60	Verb → book	.30
Noun → dinner	.10	Det → the	.60
Noun → flight	.40	Noun → dinner	.10
		Noun → flight	.40



给定一个句子，推断出其概率最大的语法树( $T$ )即是这样一个优化问题：

$$\hat{T}(V) = \operatorname{argmax}_{T \text{ s.t. } y(T)=V} P(T|V)$$

我们可以通过Probablistic CKY算法来求解这个 $\operatorname{argmax}()$ 的结果

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# PCFG的语法解析: Probablistic CKY

例子:

S  $\longrightarrow$  NP VP  
VP  $\longrightarrow$  VP PP  
VP  $\longrightarrow$  V NP  
PP  $\longrightarrow$  P NP  
NP  $\longrightarrow$  Det N

VP  $\longrightarrow$  eats  
NP  $\longrightarrow$  she  
V  $\longrightarrow$  eats  
P  $\longrightarrow$  with  
N  $\longrightarrow$  fish  
N  $\longrightarrow$  fork  
Det  $\longrightarrow$  a

She eats a fish with a fork

# PCFG的语法解析: Probablistic CKY

	$C_{ij}(A)$					
<b>She</b>	<b>eats</b>	<b>a</b>	<b>fish</b>	<b>with</b>	<b>a</b>	<b>fork</b>

$C_{ij}(A)$ : 该单元格 (第*i*行第*j*列) 所对应范围内的词所能构成的, 形成 constituent *A* 的**最大概率**

# PCFG的语法解析： Probablistic CKY

例子：

	$C_{ij}(A)$					
She	eats	a	fish	with	a	fork

对于语法规则  $A \rightarrow B C$  而言：

$$C_{ij}(A) = C_{ik}(B) \times C_{kj}(C) \times P(A \rightarrow B C | A)$$

遍历  $k \in (i, j)$  即可求得  $C_{ij}(A)$  的最大值

# PCFG的语法解析: Probablistic CKY

概率的算法

例子:

	$C_{ij}(A)$					
She	eats	a	fish	with	a	fork

对于语法规则  $A \rightarrow B C$  而言:

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例子：

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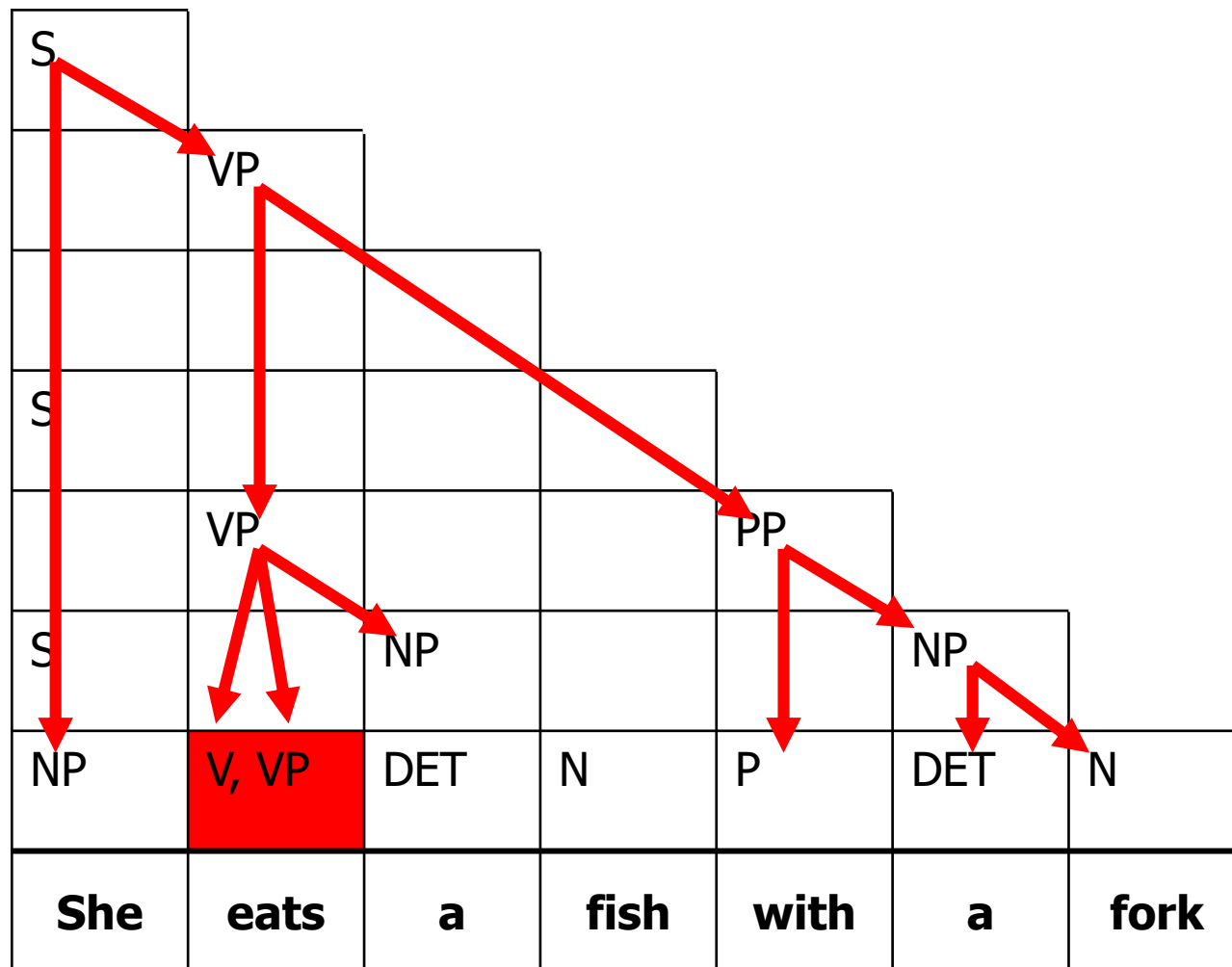
对于语法规则  $A \rightarrow B C$  而言：

$$\begin{aligned} C_{ij}(A) &= C_{ik}(B) \\ &\times C_{kj}(C) \\ &\times P(A \rightarrow B C | A) \end{aligned}$$

遍历  $k \in (i, j)$  即可求得  $C_{ij}(A)$  的最大值

# 构成式语法的语法解析算法：CKY

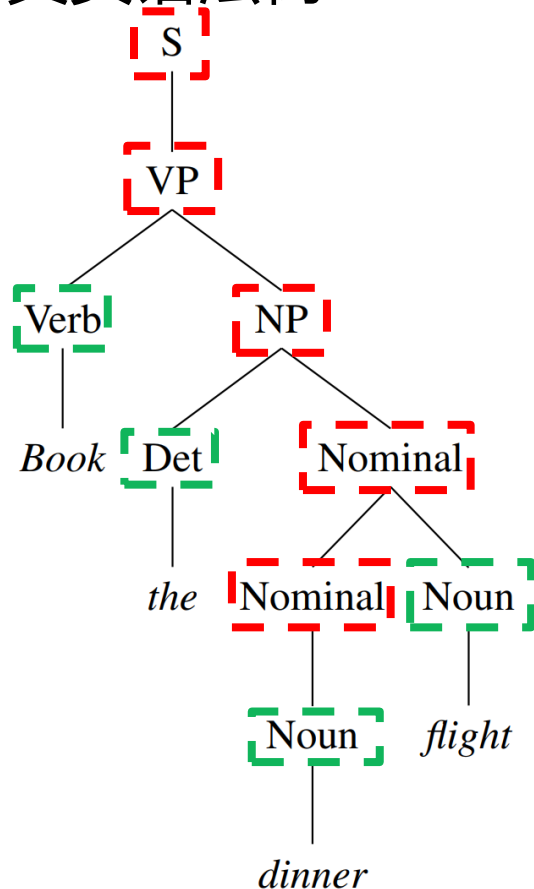
例子：



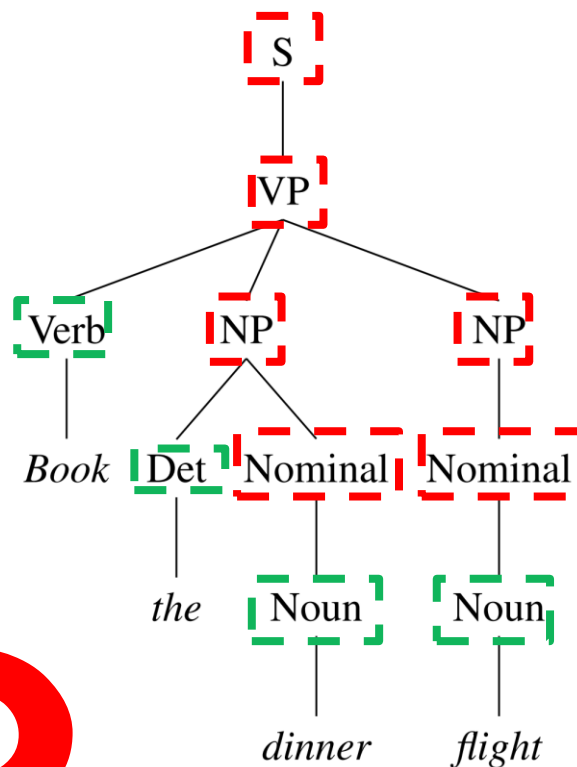


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真实语法树

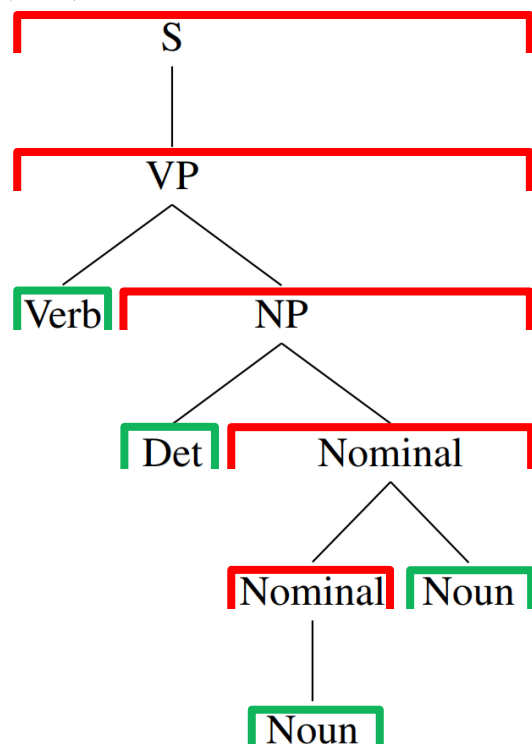


模型预测的语法树



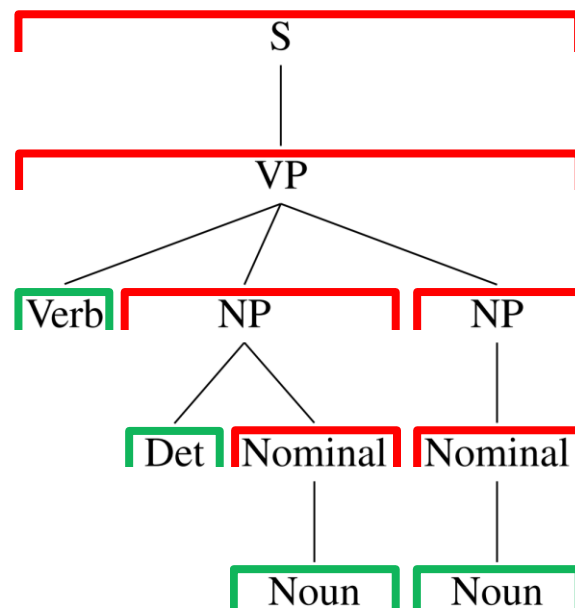
是否用了过多的constituent？

## 真实语法树



*Book the dinner flight*

## 模型预测的语法树



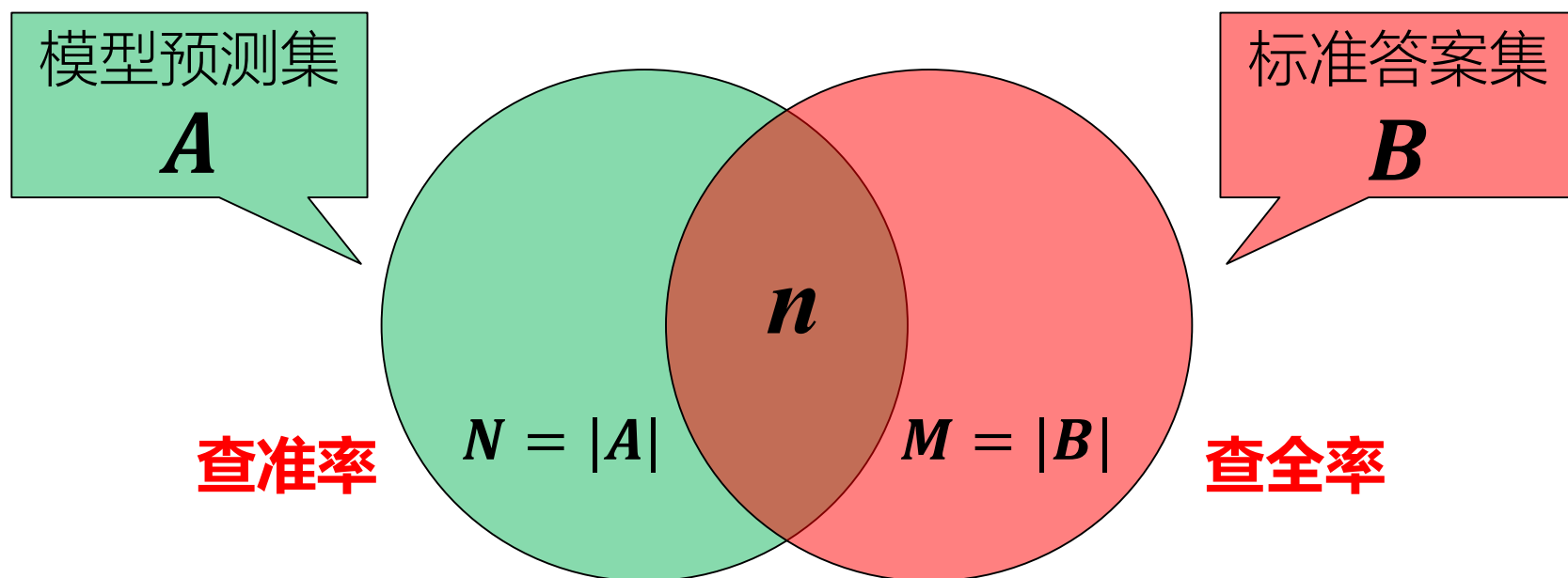
*Book the dinner flight*

考虑每一个constituent的涵盖范围。对于某个constituent而言，若能在另一棵语法树中找到一个涵盖范围与标签均相同的constituent，则视为一个匹配；否则视为不匹配。

→  $\forall$ CNF，若两棵树中若所有的constituent都匹配，则必然语法树完全相同。

# 中文分词的评价指标：F-measure

假设系统输出N个结果，其中，正确的结果为n个，标准答案的个数为M个



$$F_1 = \frac{2PR}{P + R} \times 100\%$$


$$P = \frac{n}{N} \times 100\%$$

$$R = \frac{n}{M} \times 100\%$$

# 评价指标

使用 {模型的预测的constituents的集合} 与 {真实语法树中的constituents} 两个集合的重合程度, 即 $F_1$ 分数, 来量化评价语法解析的质量。

?

 Labeled  $F_1$   
Unlabeled  $F_1$

考虑constituents涵盖范围以及标签。  
仅当两方面都正确时, 才视作匹配

只考虑constituents涵盖范围, 即便  
标签预测错误, 也视作是匹配

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在线语法解析demo:

<https://parser.kitaev.io/> (Berkeley Neural Parser)

<https://www.link.cs.cmu.edu/link/submit-sentence-4.html>

<https://corenlp.run/> (Stanford Parser)

语法解析评价指标的标准实现:

Evalb (<https://nlp.cs.nyu.edu/evalb/>)

repo和模型:

Model	F1 score	Paper / Source
Label Attention Layer + HPSG + XLNet (Mrini et al., 2020)	96.38	<a href="#">Rethinking Self-Attention: Towards Interpretability for Neural Parsing</a>
Attach-Juxtapose Parser + XLNet (Yang and Deng, 2020)	96.34	<a href="#">Strongly Incremental Constituency Parsing with Graph Neural Networks</a>
Head-Driven Phrase Structure Grammar Parsing (Joint) + XLNet (Zhou and Zhao, 2019)	96.33	<a href="#">Head-Driven Phrase Structure Grammar Parsing on Penn Treebank</a>
Head-Driven Phrase Structure Grammar Parsing (Joint) + BERT (Zhou and Zhao, 2019)	95.84	<a href="#">Head-Driven Phrase Structure Grammar Parsing on Penn Treebank</a>
CRF Parser + BERT (Zhang et al., 2020)	95.69	<a href="#">Fast and Accurate Neural CRF Constituency Parsing</a>