## **Shortest Path**

BFS and Dijkstra

#### What is path?

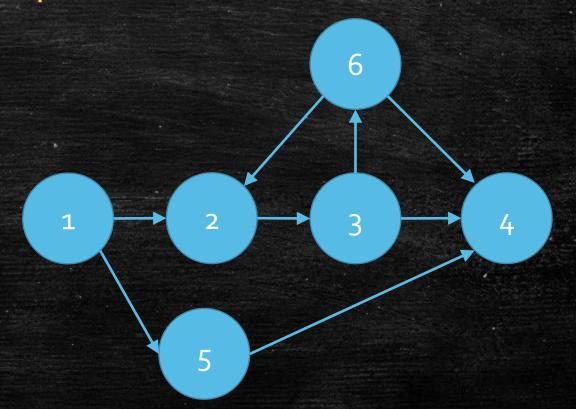
Today we discuss directed graphs!



• Length: the number of arcs in the path.

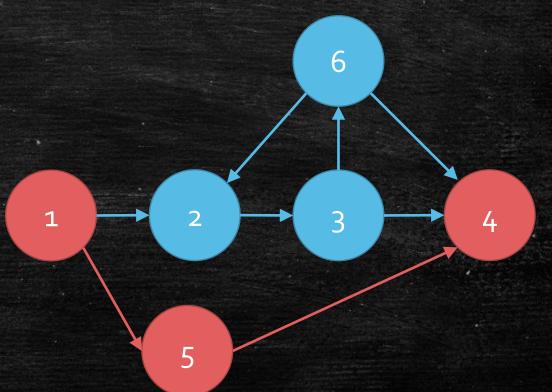
#### Vertices Distance

- How to define distance?
- d(u, v): the length of shortest path from u to v.



#### **Vertices Distance**

- How to define distance?
- d(u, v): the length of shortest path from u to v.
- d(1,4) = 2

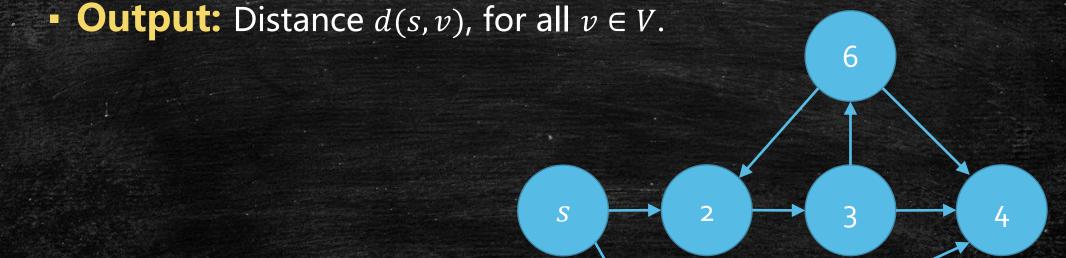


#### Single-Source Shortest Path Problems

- **Input:** A directed graph G(V,E), represented by an Adjacent List, and a source vertex s.
- Output: Distance d(s, v), for all  $v \in V$ .

#### Single-Source Shortest Path Problems

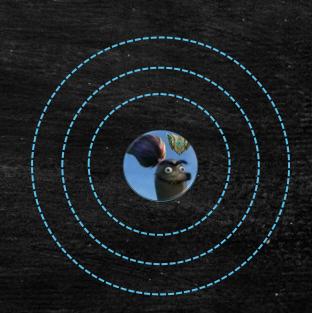
• **Input:** A directed graph G(V,E), represented by an Adjacent List, and a source vertex s.



#### Key Idea

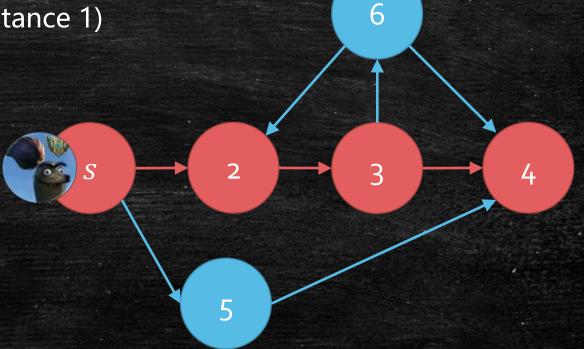
- **Input:** A directed graph G(V,E), represented by an Adjacent List, and a source vertex s.
- Output: Distance d(s, v), for all  $v \in V$ .
- Idea
  - Walk from s
  - Keep walking
  - Walk 1 step: Arrive distance 1 vertices
  - Walk 2 steps: Arrive distance 2 vertices
  - Walk 3 steps; Arrive distance 3 vertices

- .....



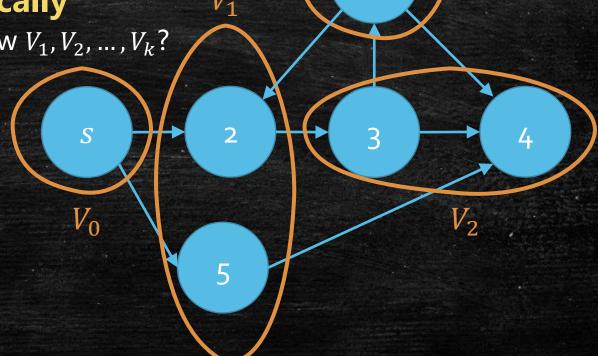
## Can DFS help us?

- DFS after 4 explorations.
- Problems:
  - Vertex 5 not visited (only distance 1)
  - Arrive vertex 4 with length 3

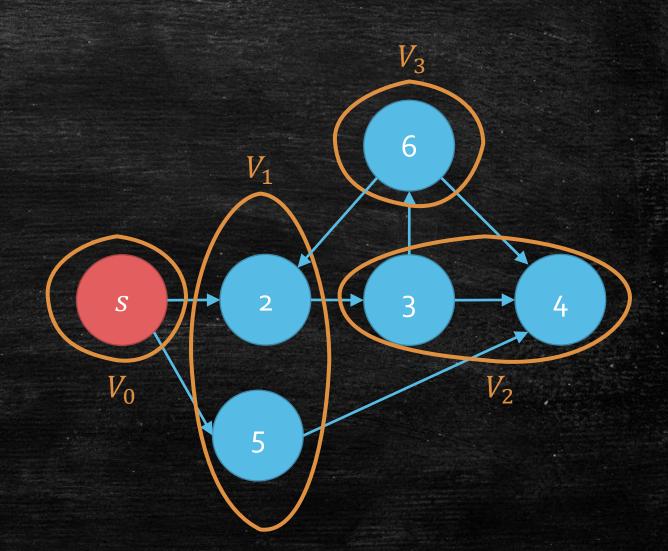


## How to Implement the Idea?

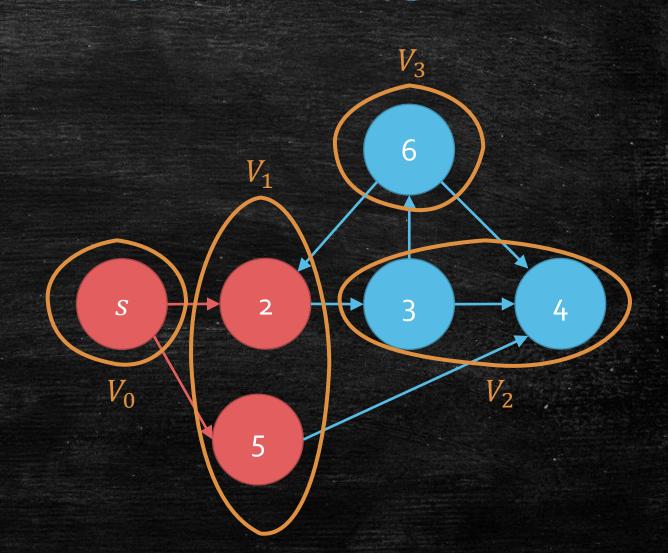
- $V_k$ : the set of vertices v with d(s, v) = k.
- $V_0 = \{s\}$
- Design algorithm Analytically
  - Can we know  $V_{k+1}$ , if we know  $V_1, V_2, ..., V_k$ ?
  - Yes!
  - $v \in V_{k+1}$  if and only if
    - $u \in V_k$  and (u, v) exists
    - $v \notin V_l$ ,  $\forall l \leq k$ .



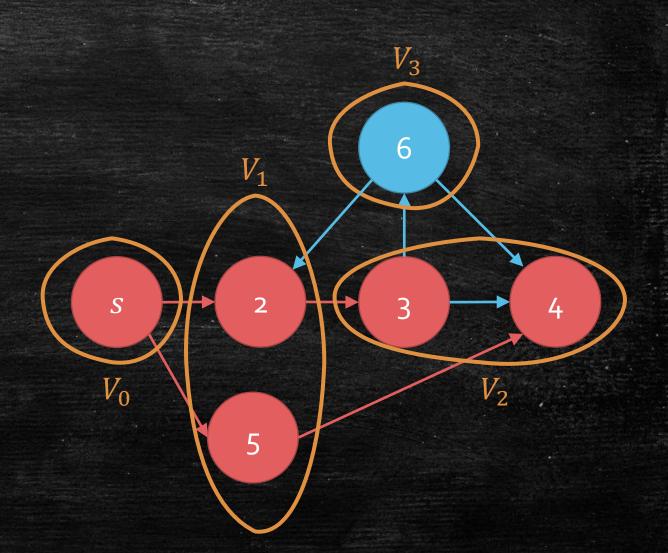
- A water frontier.
  - Explore s



- A water frontier.
  - Explore s
  - Explore  $V_1$

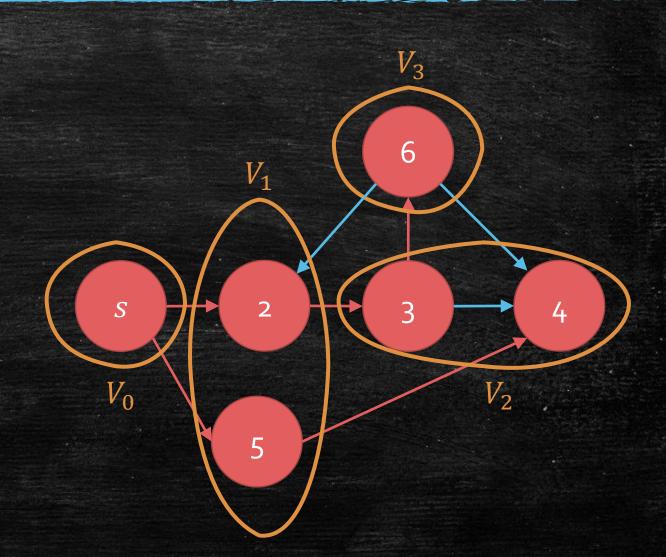


- A water frontier.
  - Explore s
  - Explore  $V_1$
  - Explore  $V_2$



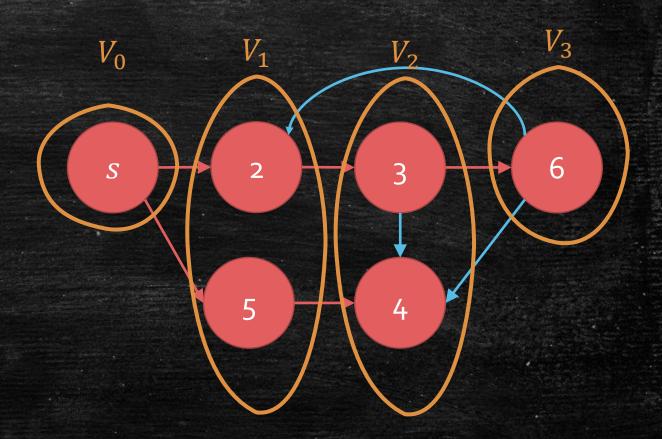
- A water frontier.
  - Explore s
  - Explore  $V_1$
  - Explore  $V_2$

\_



#### BFS Tree

- A water frontier.
  - Explore s
  - Explore  $V_1$
  - Explore  $V_2$
  - ...
- The layer of the vertex
- = The distance from s



#### How to program?

```
Breadth First Search
                                                                             Running Time?
                                                                             O(|V| + |E|)
                            Function bfs(G, s)
                                for each v \in V \ marked[v] \leftarrow [0]
                                i \leftarrow 0 (layer counter)
                                V_0 \leftarrow \{s\}
                                while V_i is not empty
                                    for each u \in V_i
                                                                      Charge to edges from V_i.
Charge to marked vertices.
                                          for each (u, v) \in E
                                              \int if marked[v] = false
                                                  marked[v] \leftarrow true
              Charge to edges from V_i.
                                                  Add v into V_{i+1}
                                                                              Charge to unmarked vertices.
                                     i \leftarrow i + 1
```

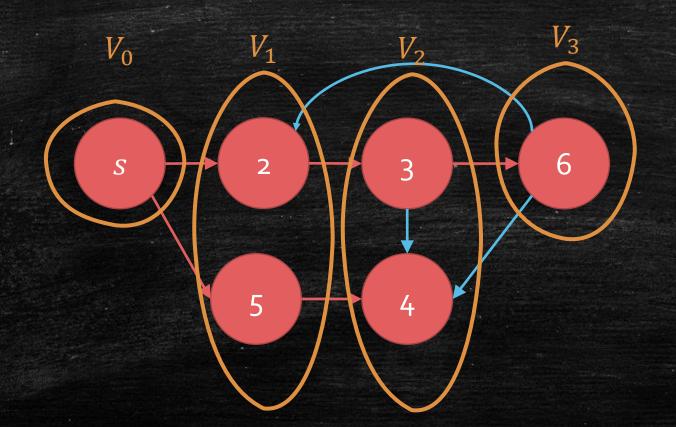
#### Output Path?

- What if we want to output the shortest path?
- Solution
  - Maintain an array pre[v] means to record the vertex that explores v.

#### **Breadth First Search Function** bfs(*G*, *s*) **for each** $v \in V \ marked[v] \leftarrow [0]$ $i \leftarrow 0$ (layer counter) $V_0 \leftarrow \{s\}$ while $V_i$ is not empty for each $u \in V_i$ for each $(u, v) \in E$ **if** marked[v] = false $marked[v] \leftarrow true$ Add v into $V_{i+1}$ $pre[v] \leftarrow u$ $i \leftarrow i + 1$

## Usage of pre[v]

• pre[6] = 3, pre[3] = 2, pre[2] = s.



#### DFS vs BFS

	DFS	BFS
Detecting Cycles	YES	NO
Topological Ordering	YES	NO
Finding CCs	YES	YES
Finding SCCs	YES	NO
Shortest Path	NO	YES

- Hard to distinguish cross edge and back edges in BFS
- Finish time is meaningless in BFS
- \*We are discussing the pure DFS and BFS order.

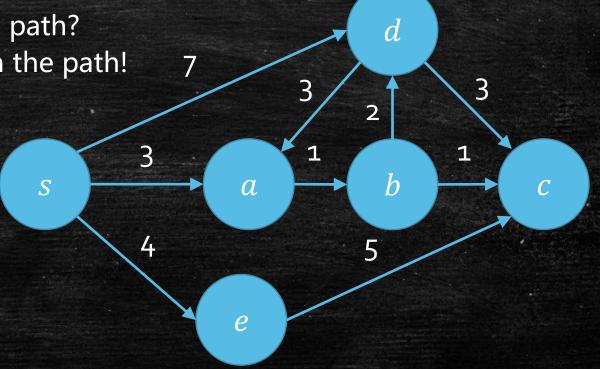
# What if edges have length?

Dijkstra Algorithm

#### New Input!

- Weight/Distance:  $w(u, v) \ge 0$  for each edge (u, v)

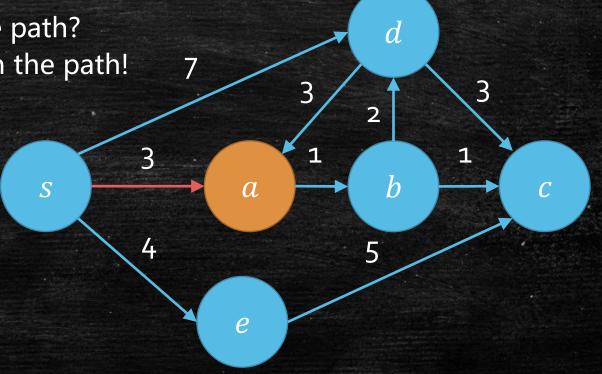
- The number of edges in the path?
- The **sum** of edges' length in the path!
- Length  $s \rightarrow e \rightarrow c = 9$
- Length  $s \rightarrow a \rightarrow b \rightarrow c = 5$



#### New Input!

- Weight/Distance:  $w(u, v) \ge 0$  for each edge (u, v)

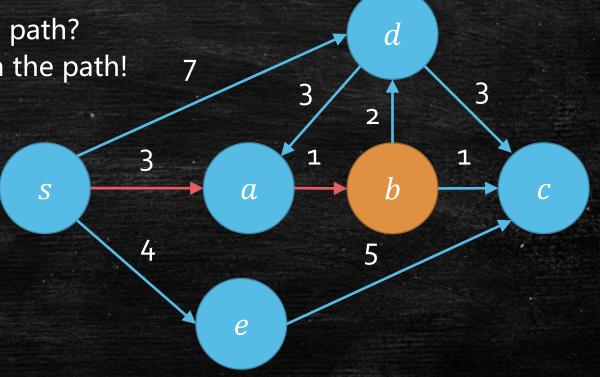
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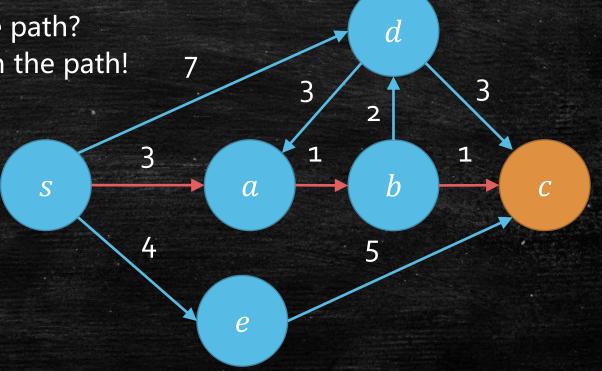
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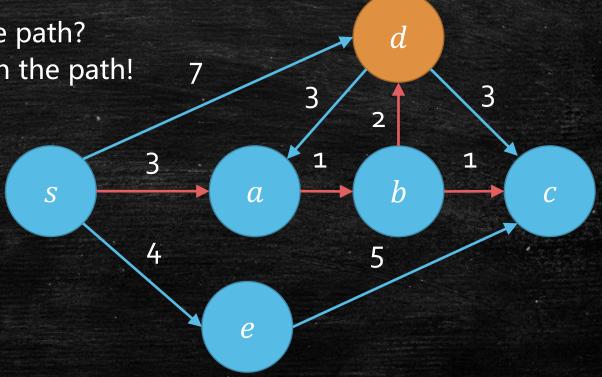
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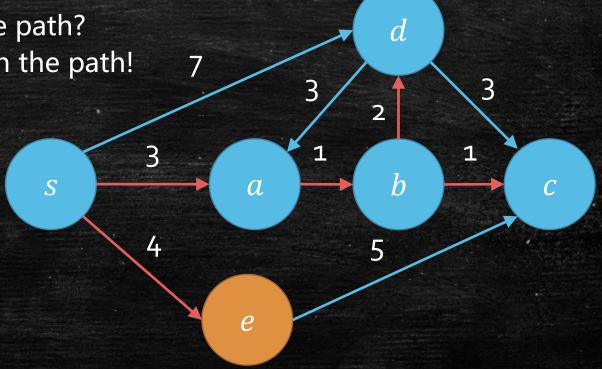
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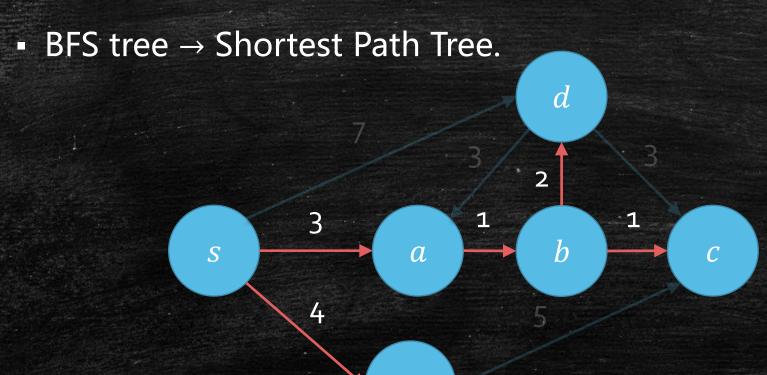
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- The **sum** of edges' length in the path!
- Length  $s \rightarrow e \rightarrow c = 9$
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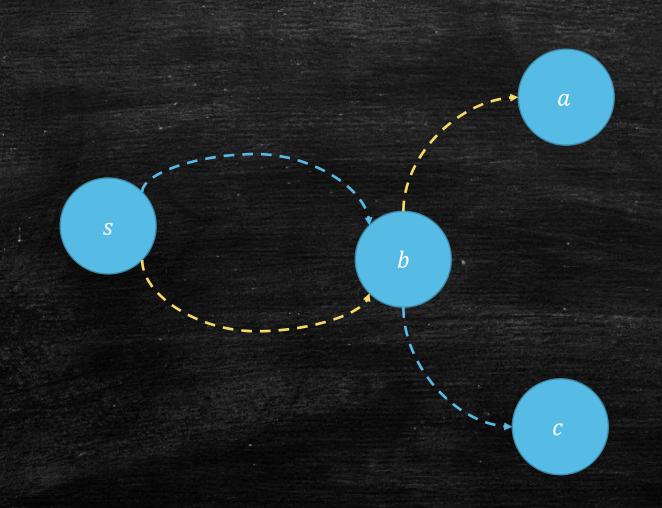
## Can we still use BFS?

## Rough Observation

- The union of shortest paths forms a tree
  - Shortest Path Tree.



## What if we have more than one indegree?



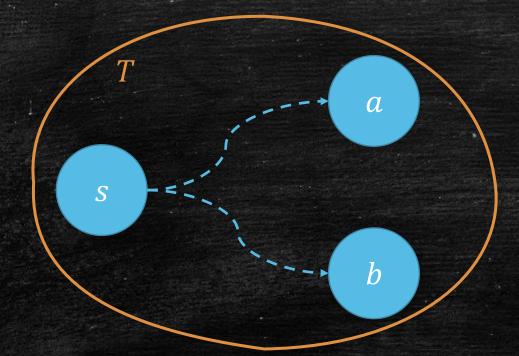
# Prove it forms a shortest path tree and find it!

#### Prove it by a construction!

- Question:
  - Does it exist a Shortest Path Tree?
  - Prove it by an inductive construction!
- Shortest Path Tree (SPT)
  - $v \in T$ ,  $s \to v$  path in T is the shortest path in G.
- Start point
  - $\{s\}$  is a SPT.
- Next
  - Can we always explore current SPT to a larger one until all vertices are included?

## Key Task

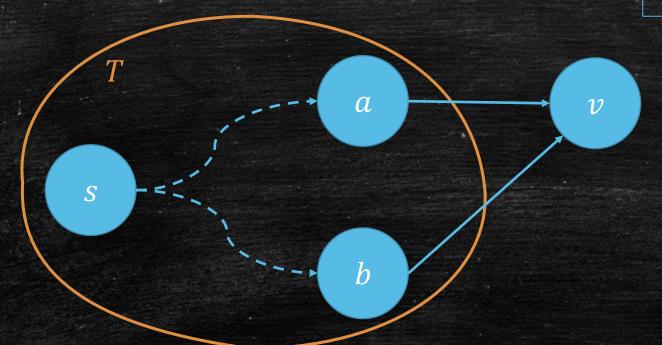
- Given: a small SPT (not contains all the vertices)
- Want: a larger SPT



## Key Task: Vertex Exploring

• Can we explore v into T?

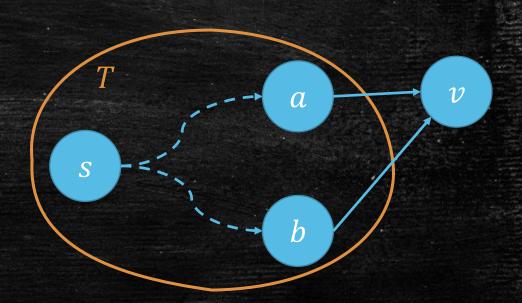
- Given: a small SPT (not contains all the vertices)
- Want: a larger SPT



#### Key Task: Vertex Exploring

- Property of the current T
  - True distance: dist(u)
  - Tree distance:  $dist_T(u)$  only allows to go through T.
  - Basic property:  $dist_T(u) = dist(u)$  if  $u \in T$
- We want to join v into T!
- Where should we put v?

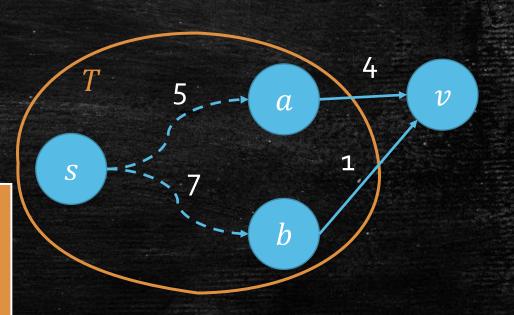
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- Want: a larger SPT
- Can we explore v into T?



#### Key Task

- Property of the current *T* 
  - True distance: dist(u)
  - Tree distance:  $dist_T(u)$  only allows to go through T.
  - Basic property:  $dist_T(u) = dist(u)$  if  $u \in T$
- We want to join v into T!
- Where should we put v?
- $\bullet \ dist_T(v) = \min_{u \in T} \{ dist_T(u) + d(u, v) \}$ 
  - $s \rightarrow a \rightarrow v = 9$
  - $s \rightarrow b \rightarrow v = 8$
  - $dist_T(v) = 8$

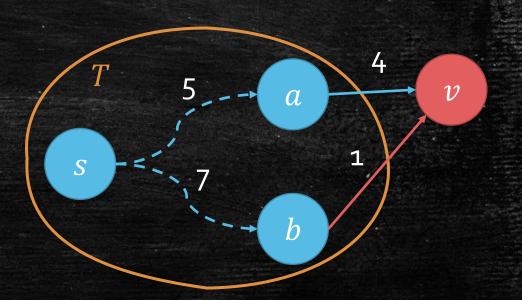
- Given: a small SPT (not contains all the vertices)
- Want: a larger SPT
- Can we explore v into T?



#### Key Task

- Try to explore v into T
- Naturally, we should connect it to  $\underset{u \in T}{\operatorname{argmin}} dist_T(u) + d(u, v)$
- Is that still an SPT?
  - Need to keep: Shortest T-path is the shortest in G.
  - All the other vertices except v is ok
  - Tree distance of v:  $dist_T(v)$
  - **Key challenge**: Does  $dist_T(v) = dist(v)$ ?

- Given: a small SPT (not contains all the vertices)
- Want: a larger SPT
- Can we explore v into T?



#### Prove $dist_T(v) \leq dist(v)$

- Assume  $dist_T(v) > dist(v)$
- Is that possible?
- Sorry, the answer is YES.
- $s \rightarrow x \rightarrow v = 7, x \notin T$ .

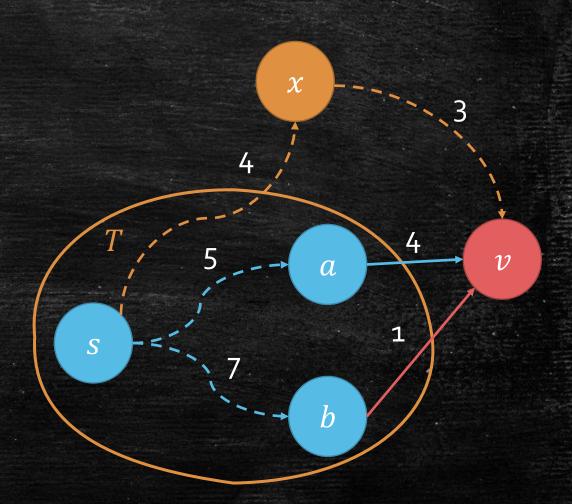
- Given: a small SPT (not contains all the vertices)
- Want: a larger SPT

a

• Can we explore v into T?

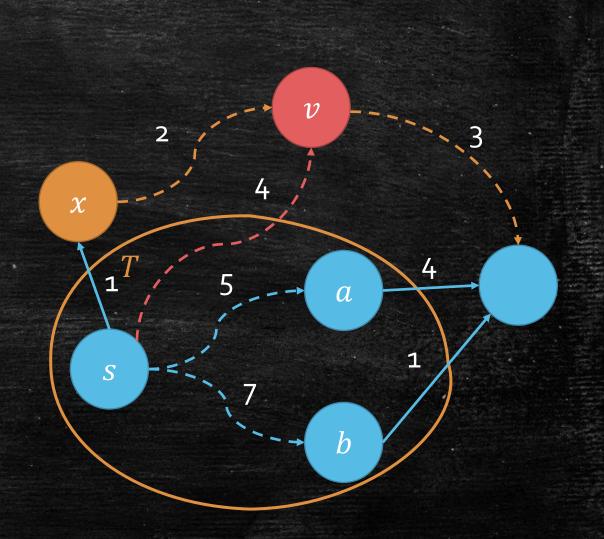
#### How to handle it?

- Recall BFS idea
- Each time, we explore a closest vertex.
- What happens now?
- x is a closer vertex than v.
- Why not explore x?
- Formalize: Choose the vertex v with **smallest**  $dist_T(v)!$



#### Prove $dist_T(v) \leq dist(v)$ AGAIN!

- Try to explore v with smallest  $dist_T(v)$  into T
- We should connect it to  $\underset{u \in T}{\operatorname{argmin}} dist_T(u) + d(u, v)$
- Assume  $dist_T(v) > dist(v)$
- $x \notin T$ ,  $s \to x \to v < dist_T(v)$
- $dist_T(x)$  is a part of  $s \to x \to v$
- $dist_T(x) < dist_T(v)$
- Contradiction!



#### Yah! Success

- Given: a small SPT (not contains all the vertices)
- Want: a larger SPT
- Can we explore v into T?
- Yes!
- We can find  $v = \underset{v \in T}{\operatorname{argmin}} \operatorname{dist}_{T}(v)$  to explore!
- Finally, we can get SPT that contains all vertices!
  - Assume s can arrive all vertices

# So, we also have a construction for SPT.

We also have an algorithm!

#### Dijkstra Algorithm

$$Dijkstra(G = (V, E), s)$$

#### 1. Initialize

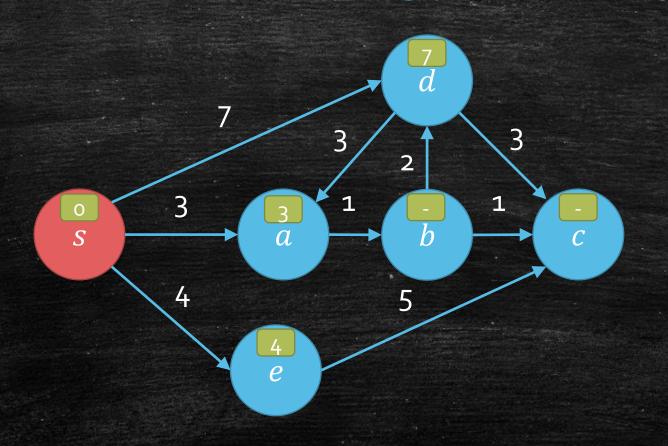
- $\overline{T} = \{s\},$
- tdist[s] = 0,  $tdist[v] \leftarrow \infty$  for all v other than s.
- $tdist[v] \leftarrow w(s, v)$  for all  $(s, v) \in E$ .

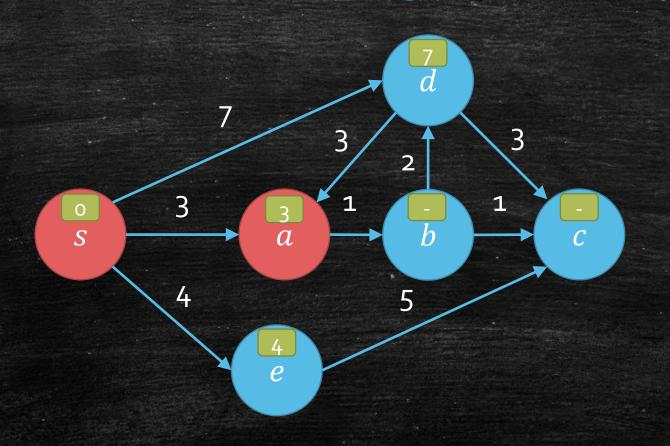
#### 2. Explore

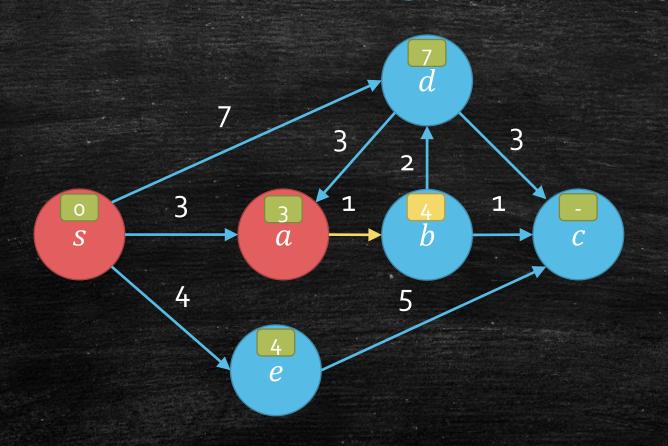
- Find  $v \notin T$  with smallest tdist[v].
- $-T \leftarrow T + \{v\}$

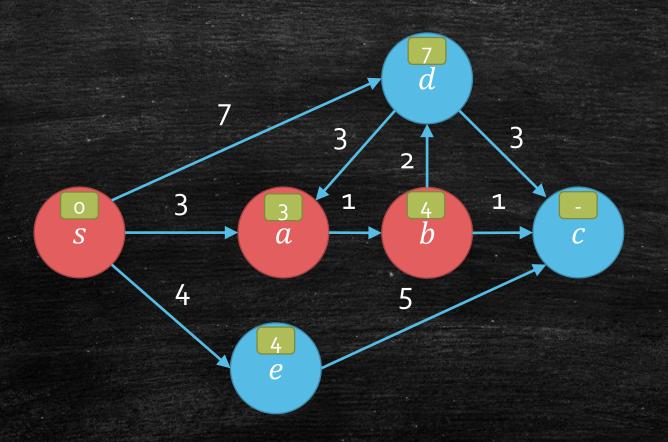
#### 3. Update tdist[u]

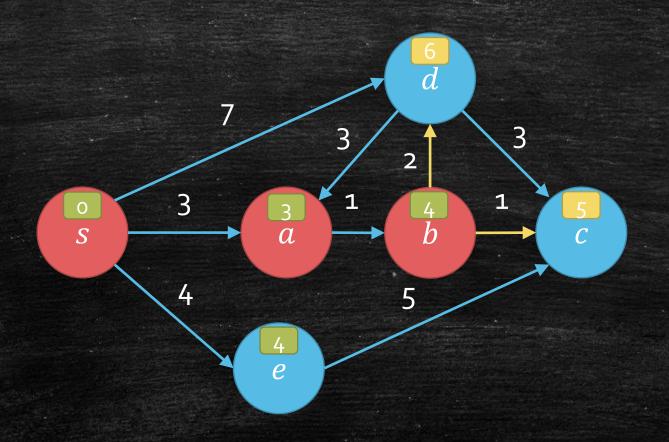
-  $tdist[u] = min\{tdist[u], tdist[v] + w(v, u)\}$  for all  $(v, u) \in E$ 

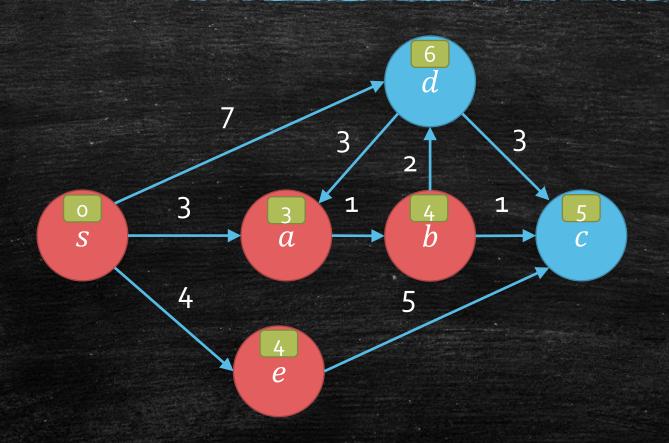


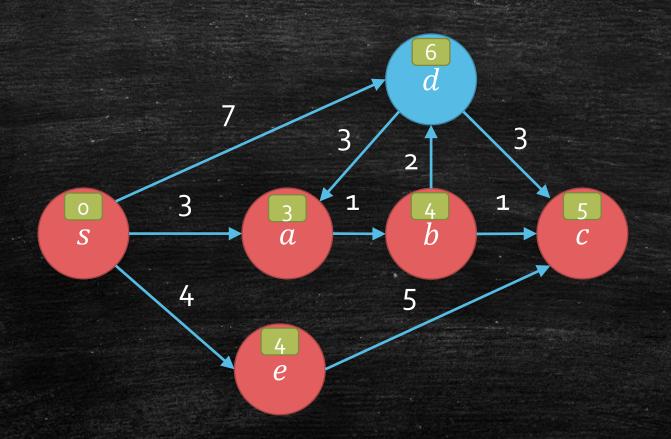


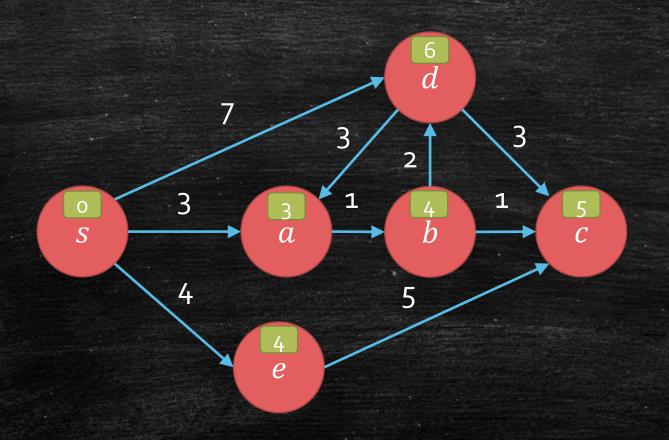












#### Output a path?

$$Dijkstra(G = (V, E), s)$$

#### 1. Initialize

- $T \leftarrow \{s\}$
- $tdist[v] \leftarrow w(s, v), \ pre[v] \leftarrow s \text{ for all } (s, v) \in E.$

#### 2. Explore

- Find  $v \notin T$  with smallest tdist[v].
- $T \leftarrow T + \{v\}$

#### 3. Update tdist[u]

- $tdist[u] = min\{tdist[u], tdist[v] + w(v, u)\}$  for all  $(v, u) \in E$ .
- If tdist[u] is updated, then  $pre[u] \leftarrow v$ .

#### Time Complexity

$$Dijkstra(G = (V, E), s)$$

#### 1. Initialize

- $T \leftarrow \{s\}$
- $tdist[v] \leftarrow w(s, v), \ pre[v] \leftarrow s \text{ for all } (s, v) \in E.$

#### 2. Explore

- Find  $v \notin T$  with smallest tdist[v].
- $-T \leftarrow T + \{v\}$

#### |V| rounds

|E| rounds

#### 3. Update tdist[u]

- $tdist[u] = min\{tdist[u], tdist[v] + w(v, u)\}$  for all  $(v, u) \in E$ .
- If tdist[u] is updated, then  $pre[u] \leftarrow v$ .

|E| rounds

#### Time Complexity: Conclusion

- Find Min
  - |V| rounds
- Update
  - |E| rounds
- If we use simple array, then
  - First round find min: |V| 1
  - Second round find min: |V| 2
  - ...
  - Find min totally:  $O(|V|^2)$
  - Each update: 0(1)
  - Update totally: O(|E|)
  - Algorithm totally:  $O(|V|^2 + |E|)$

### Improve Dijkstra by Heap!

- Find Min
  - |V| rounds
- Update
  - |E| rounds
- What about heap?

/ Pop Min \	Insert	Update Key	Merge
$O(\log n)$	$O(\log n)$	$O(\log n)$	O(n)
$O(d\log_d n)$	$O(\log_d n)$	$O(\log_d n)$	O(n)
$O(\log n)$	0(1)	$O(\log n)$	$O(\log n)$
$O(\log n)$	0(1)	0(1)	0(1)
	$O(\log n)$ $O(d\log_d n)$ $O(\log n)$	$O(\log n)$ $O(\log n)$ $O(\log_d n)$ $O(\log_d n)$ $O(\log n)$	$egin{array}{c cccc} O(\log n) & O(\log n) & O(\log n) & O(\log n) \\ O(d\log_d n) & O(\log_d n) & O(\log_d n) \\ O(\log n) & O(1) & O(\log n) \\ \hline \end{array}$

Only Decreasing

#### Improve Dijkstra by Heap!

Find Min: |V| rounds Update: |E| rounds

Array:  $O(|V|^2 + |E|)$ 

#### Binary Heap

- Find Min:  $O(|V| \log |V|)$
- Update:  $O(|E| \log |V|)$
- Totally:  $O((|V| + |E|) \log |V|)$

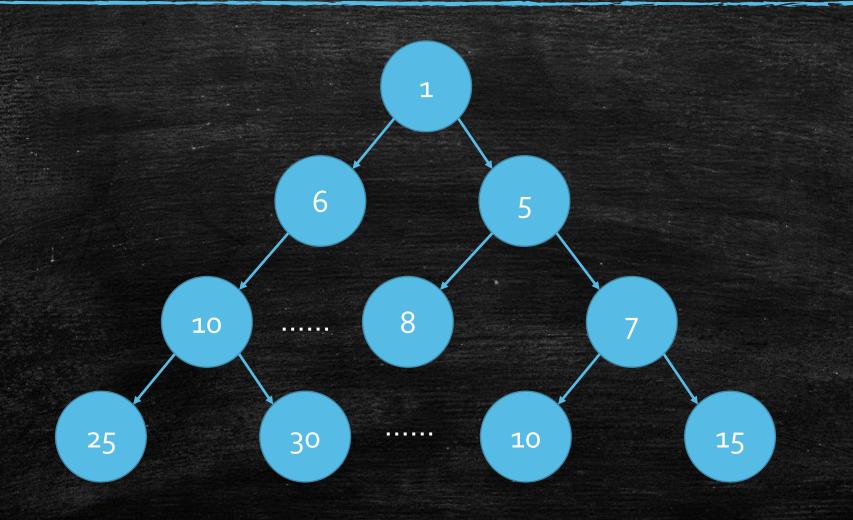
#### Fibonacci Heap

- Find Min:  $O(|V| \log |V|)$
- Update: O(|E|)
- Totally:  $O(|E| + |V| \log |V|)$

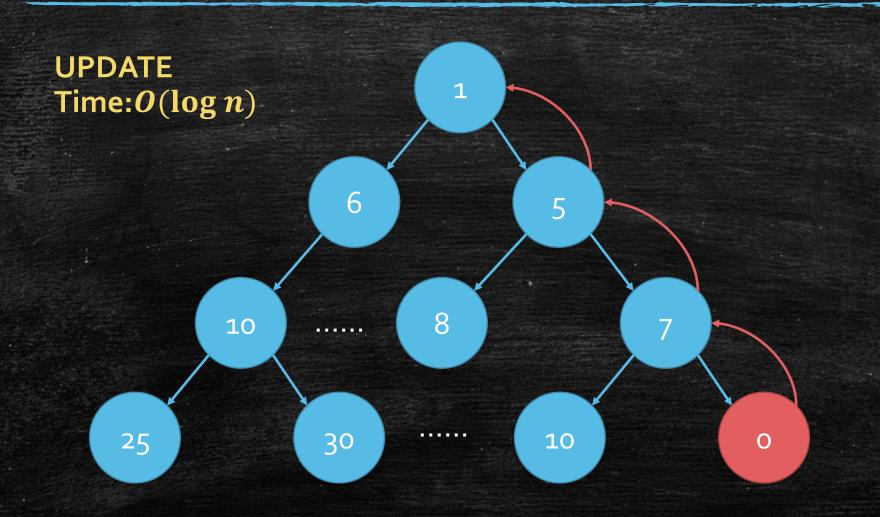
#### d-nary Heap

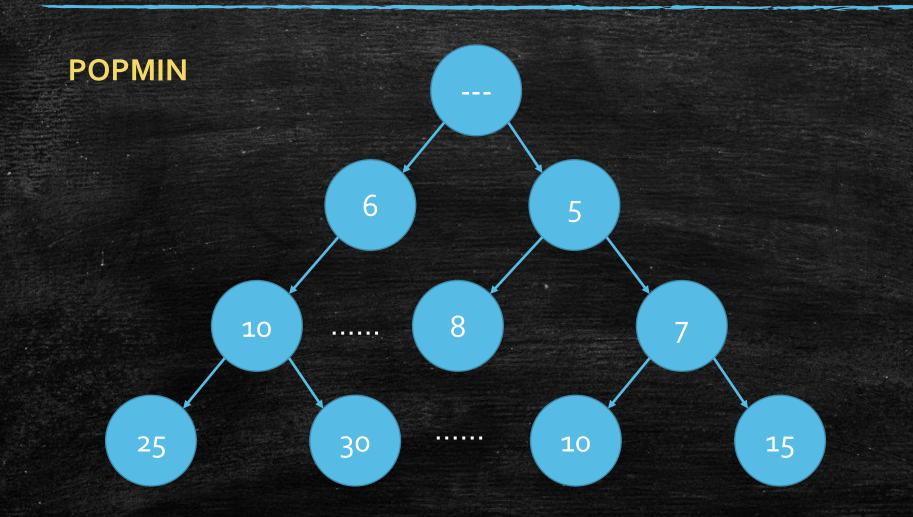
- Find Min:  $O(|V|d \log_d |V|)$
- Update:  $O(|E| \log_d |V|)$
- Set d = |E|/|V|
- Totally:  $O(|E| \log_{|E|/|V|} |V|)$

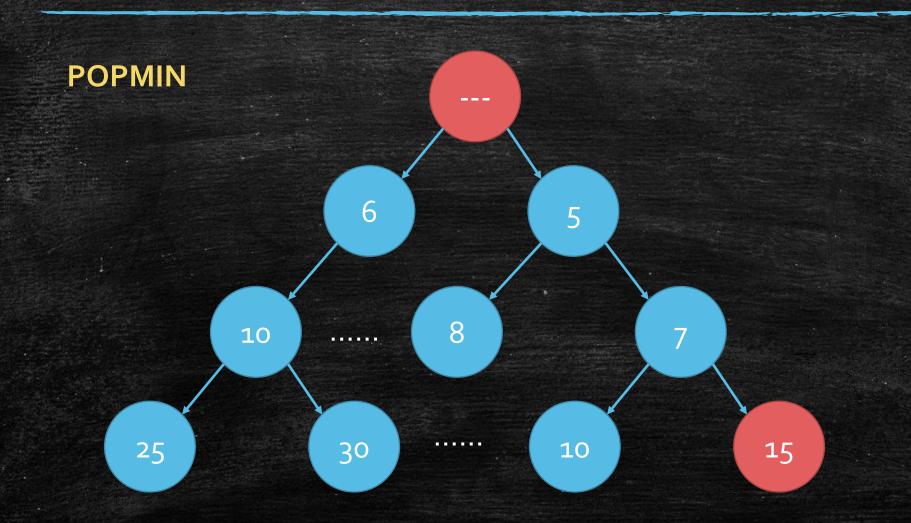
	Pop Min	Insert	Update Key	Merge
Binary Heap	$O(\log n)$	$O(\log n)$	$O(\log n)$	O(n)
d-nary Heap	$O(d\log_d n)$	$O(\log_d n)$	$O(\log_d n)$	O(n)
Binomial Heap	$O(\log n)$	0(1)	$O(\log n)$	$O(\log n)$
Fibonacci	$O(\log n)$	0(1)	0(1)	0(1)

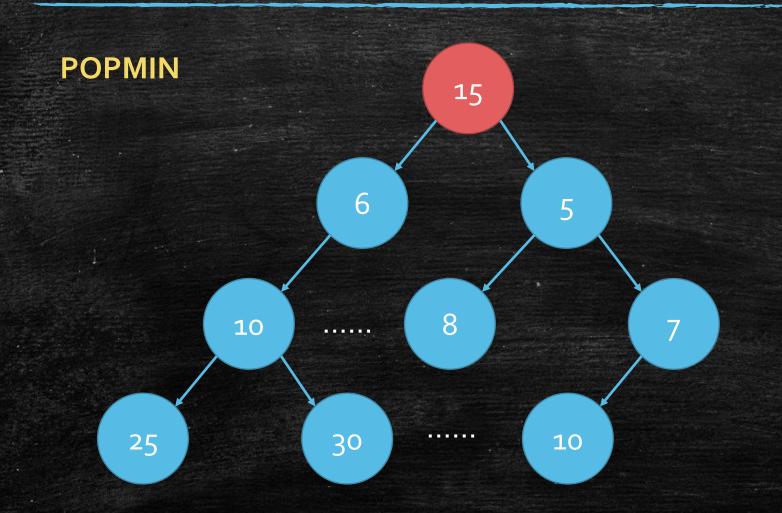


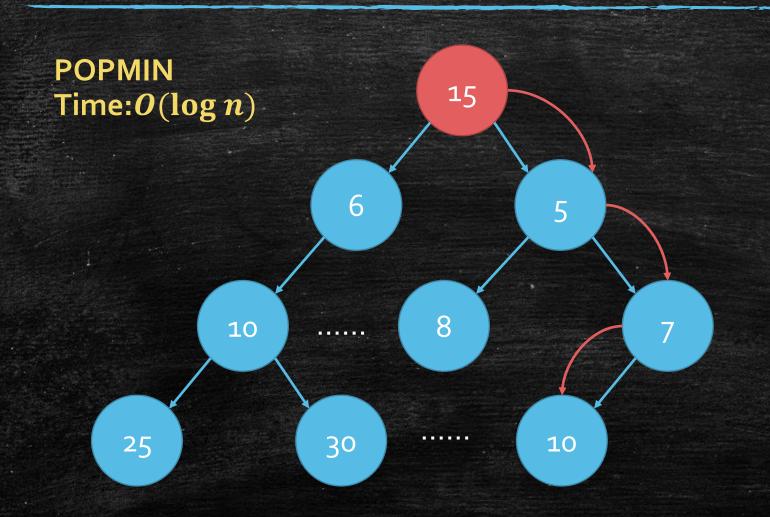
# Let us only discuss POPMIN and Update





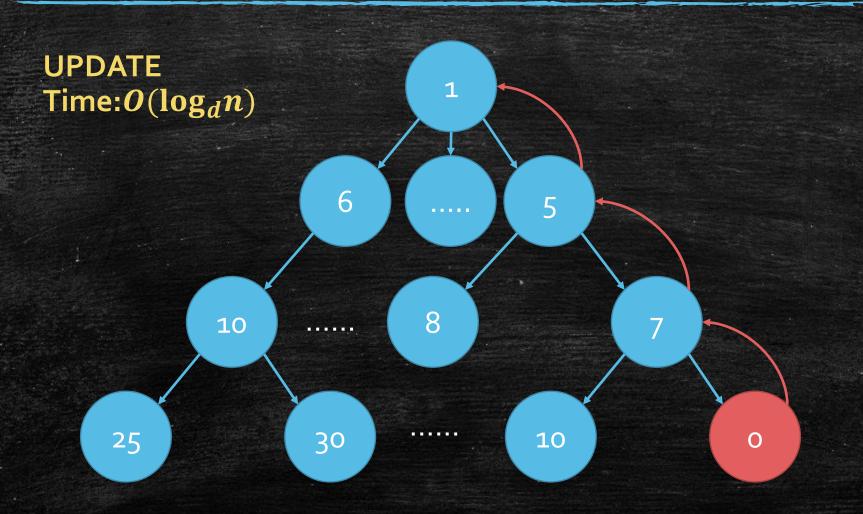


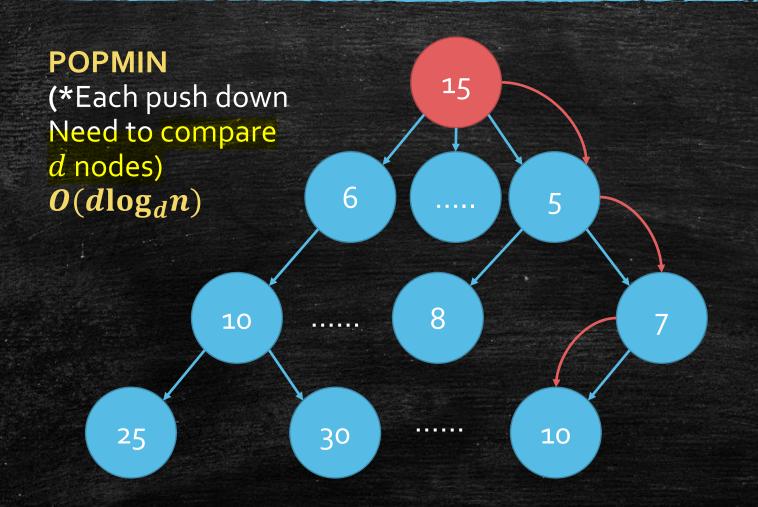




# Why the two operations are good?

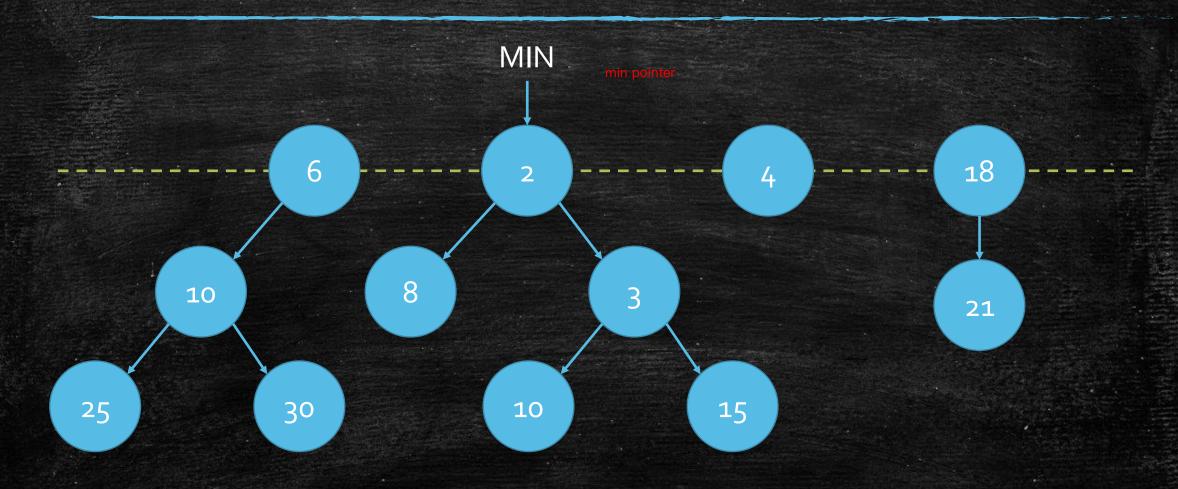
It keep the tree balanced!

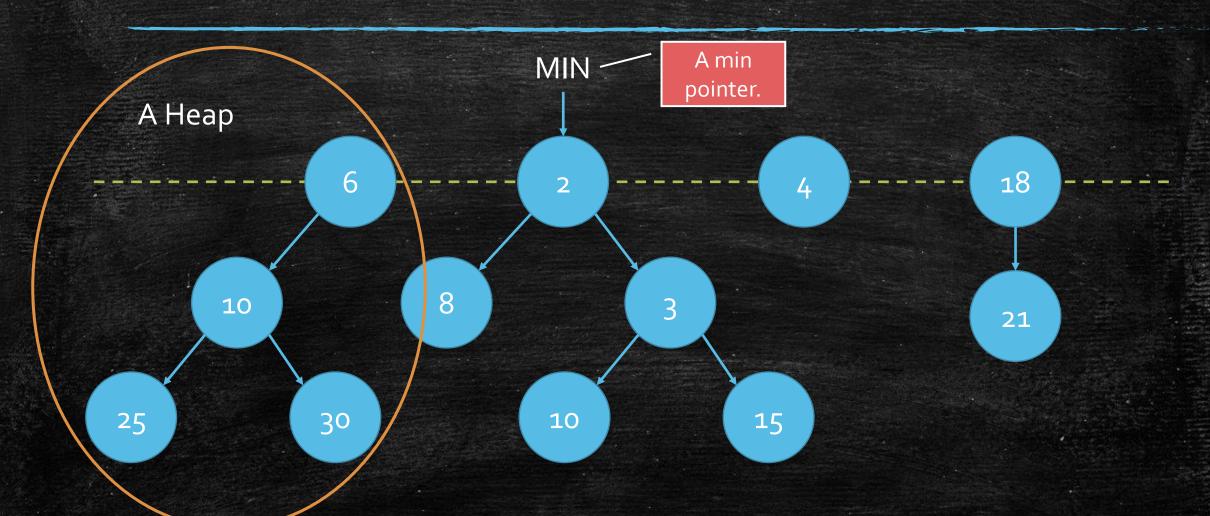




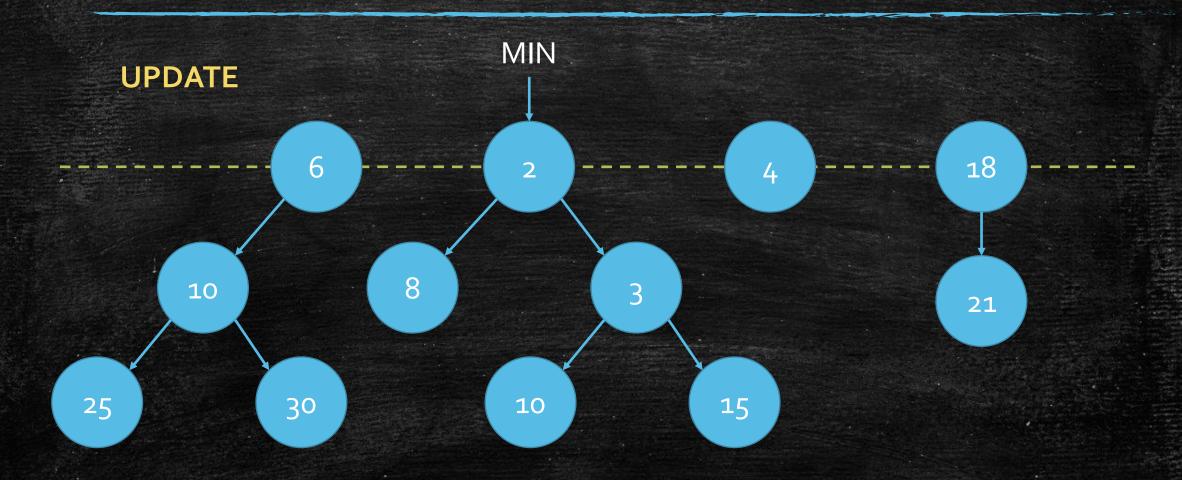


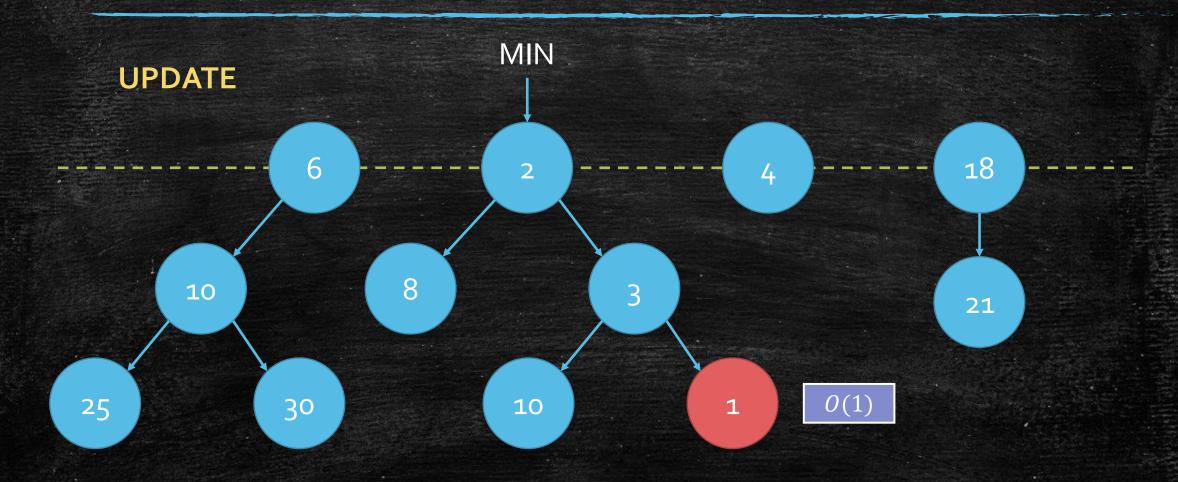
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Binomial Heap	$O(\log n)$	0(1)	$O(\log n)$	$O(\log n)$
Fibonacci	$O(\log n)$	0(1)	0(1)	0(1)

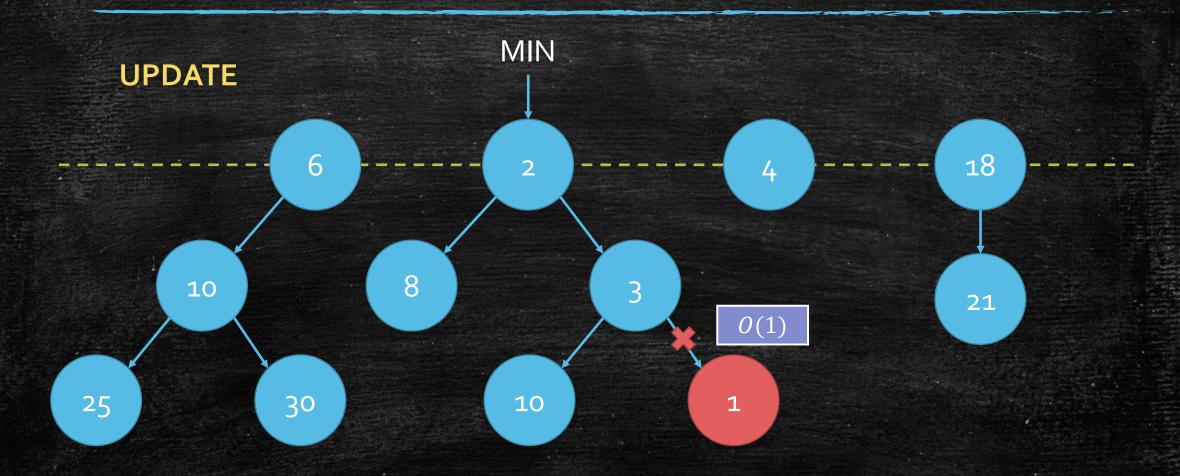


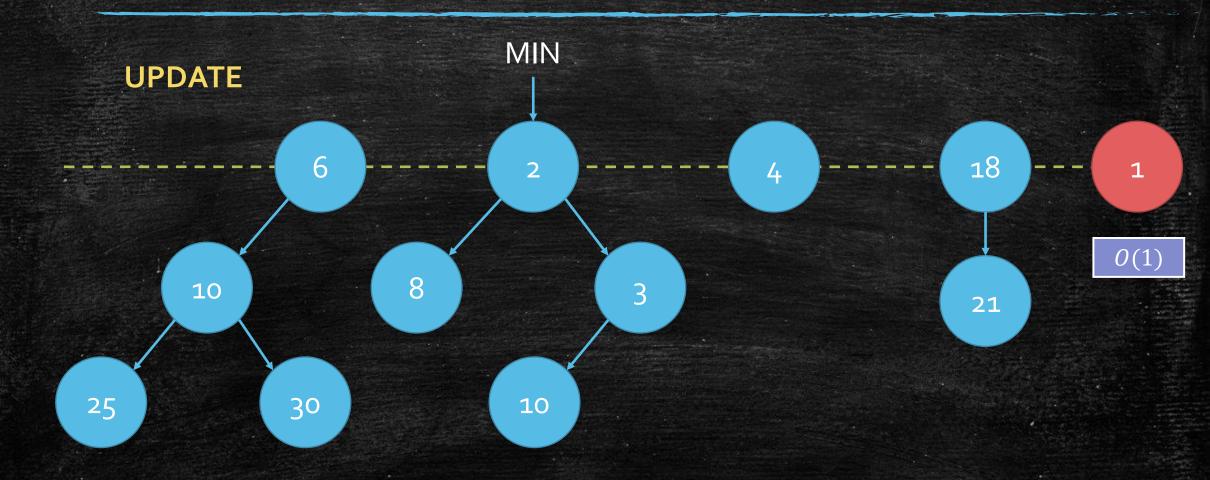


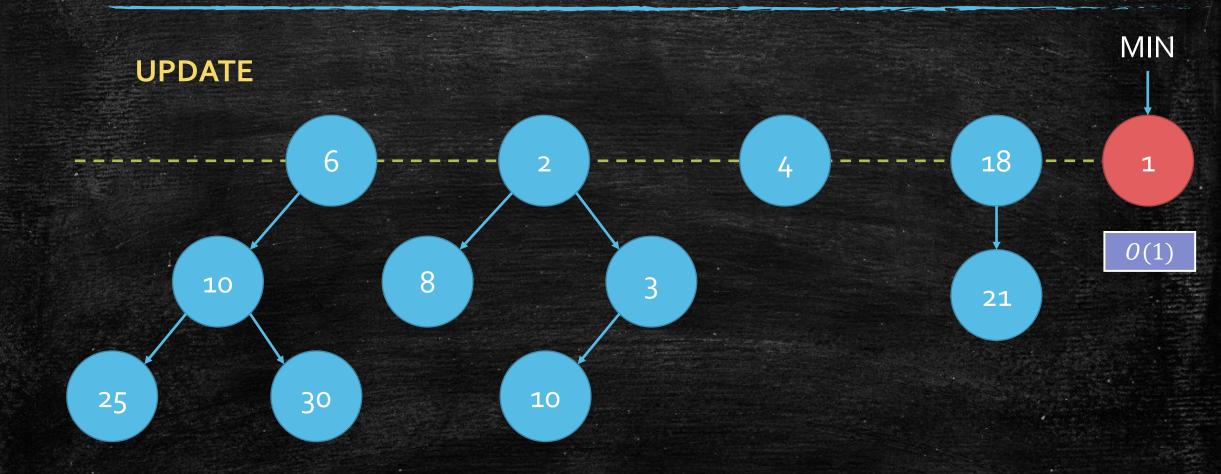
# A magic Idea of UPDATE!

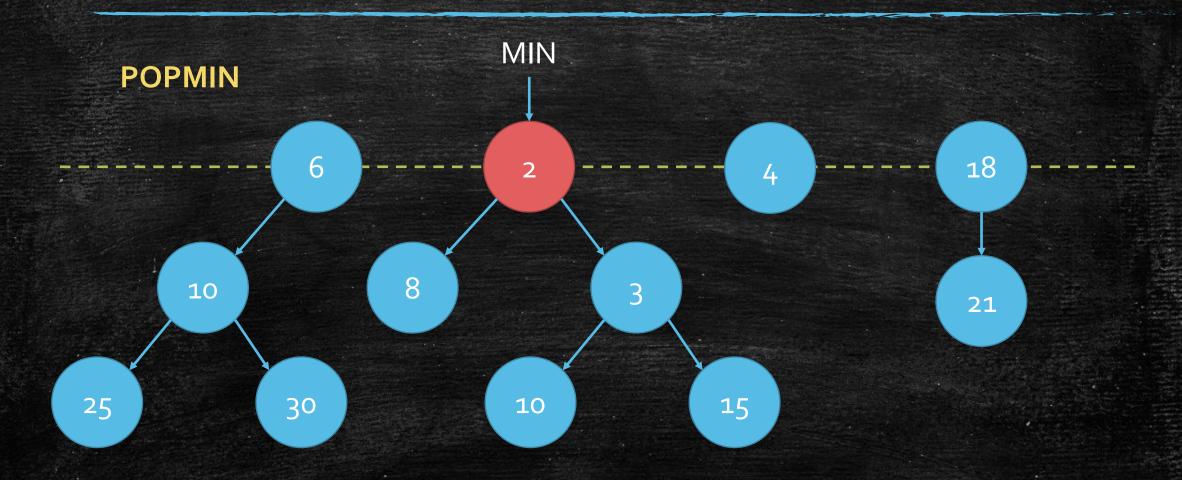


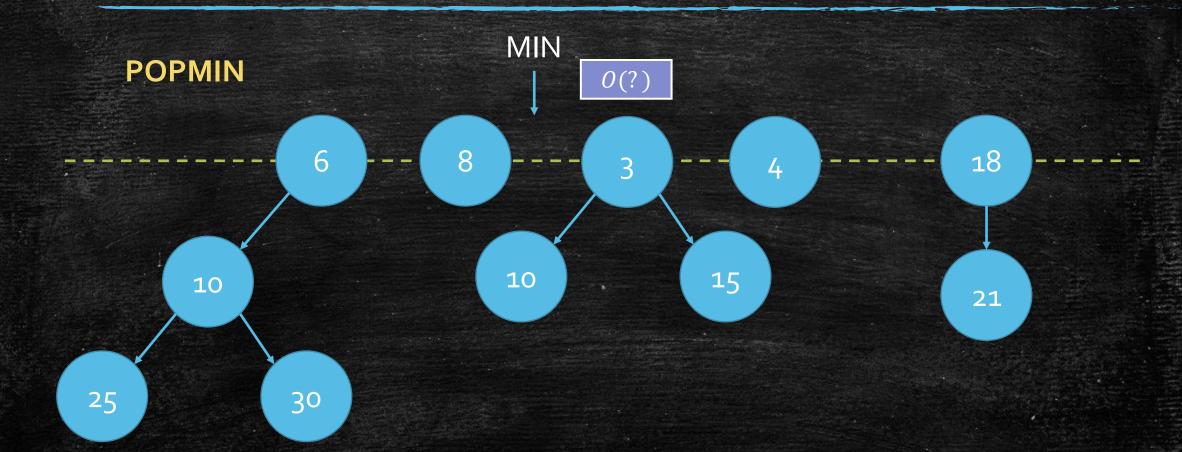


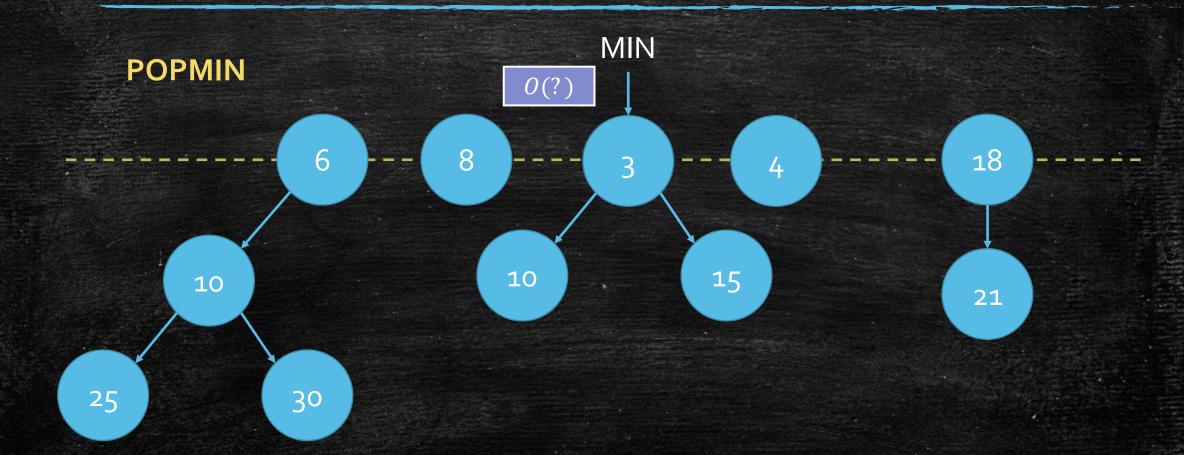












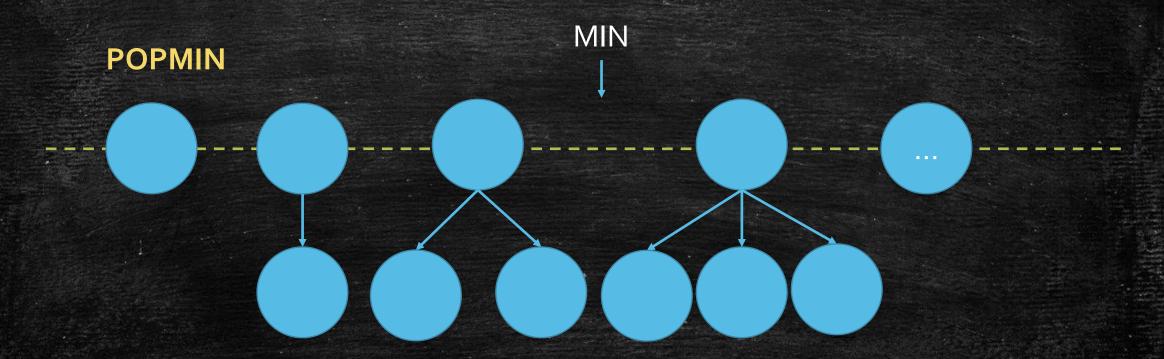
## Still many problems!

- Update seems good: 0(1)
- Pop Min need to compare all the roots?
- Running Time of POPMIN
  - $-t^-$ : the root number before POPMIN.
  - D: The max degree of all root.
  - It needs  $O(t^-+D)$ . 删除最小节点后把它的孩子都放到藤上,并更新最小值,要t+D
- It can be very bad:  $\Omega(n)$ !

## Two Tasks: How to make POPMIN fast?

- Task 1: Bound D: max degree.
- Task 2: Bound  $t^-$ .
- Property we want to maintain:
- Each degree at most has one root!
  - 1 root with degree 0, 1 root with degree 1......
  - Bound Largest degree → Bound the number of roots!

## Is it enough?



Degree k root is size k+1, number of roots = largest degree =  $\sqrt{n}$ . It is not enough.

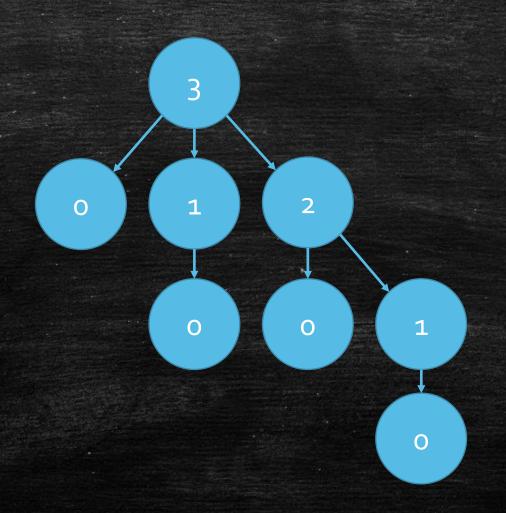
## How to make a degree k tree large?

- We want the degree property recursively holds!
- The children of every vertex have the degree property
  - Each degree at most has one root!

## How to bound max degree?

- Make the tree heavy!
- We want a claim: a degree k root has at least  $2^k$  descendants.

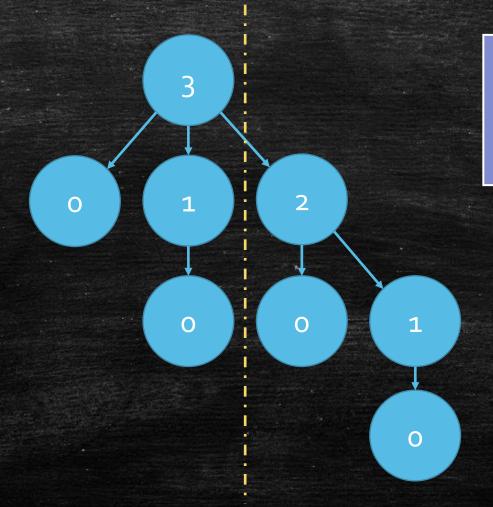
## Build a Good Tree (Recall Binomial Heap)



### What is the result now?

- Assume all trees are good in the Fibonacci Heap.
- A degree k good tree has d(k) nodes

## Build a Good Tree (Recall Binomial Heap)

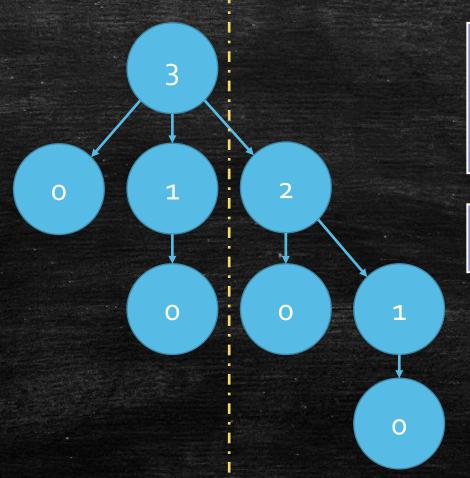


$$d(0) = 1$$

$$d(1) = 2$$

$$d(k) = \sum_{i=0}^{k-1} d(i) + 1 = 2^{k}$$

## Build a Good Tree (Recall Binomial Heap)



$$d(0) = 1$$

$$d(1) = 2$$

$$d(k) = \sum_{i=0}^{k-1} d(i) + 1 = 2^{k}$$

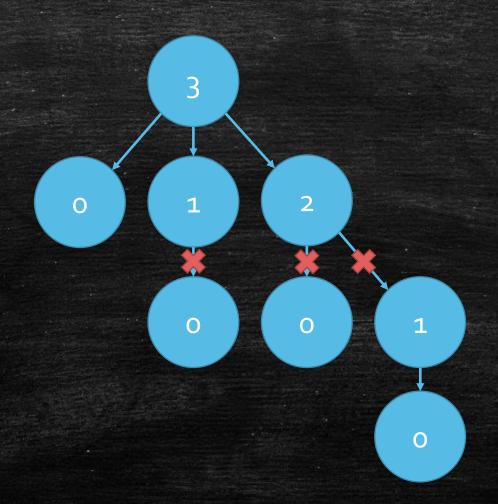
$$d(D) = 2^D \le n$$
$$\to D \le \log n!$$

## But what is the problem?

# Cut may break the property.

## The good tree may be broken!

**UPDATE** 



## Solution!

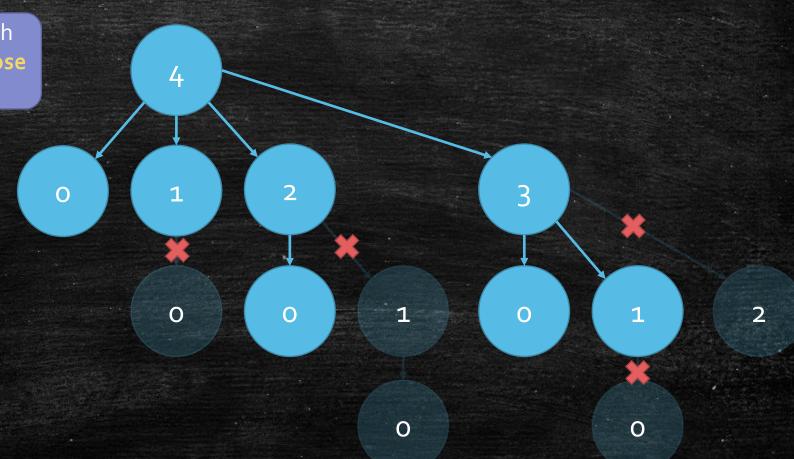
- We do not want it to be broken too much!
- Design a rule, to maintain a **slightly weaker** property.

## Build a Good Tree (Recall Binomial Heap)

We only allow each Root nodes does not non-root node to lose matter. one child.

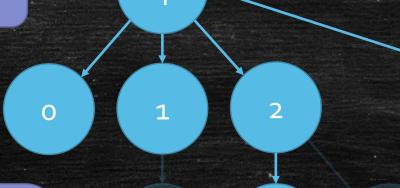
## Maximum Broken tree

We only allow each non-root node to lose one child.



## Maximum Broken tree

We only allow each non-root node to lose one child.



#### 还剩

Degree o subtree: 1 nodes Degree 1 subtree: 1 nodes Degree 2 subtree: 2 nodes Degree 3 subtree: 3 nodes Degree 4 subtree: 5 nodes

Degree 4 图中没画出来



0

0

### A New Good Tree

- Each vertex in the tree with original degree k.
  - Has at least k-1 children, only lose one from k.
  - Children's degree 1,2,3...k-1, only lose one of them.
- New good definition:
  - All non-root vertex can at most lose one child.

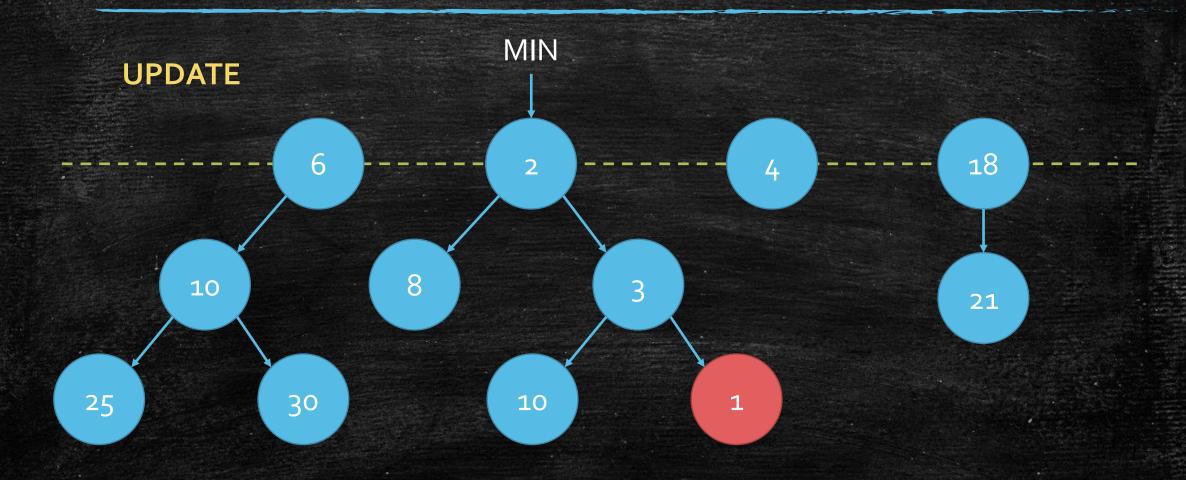
### Conclusion

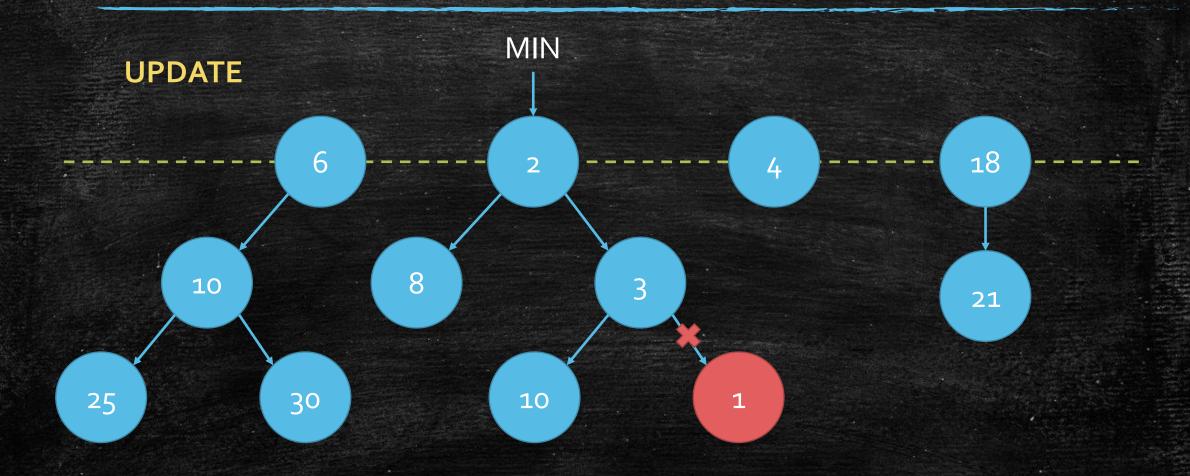
- Suppose the tree is good.
- Degree k root contains
  - A subtree of original degree 0.
  - A subtree of original degree 1.
  - ....
  - A subtree of original degree k-1.
- At least F(k) nodes
- $F(k) = \sum_{i=1}^{k} fib[i] = O(C^{k})$
- Max degree D is at most  $O(\log n)$ .

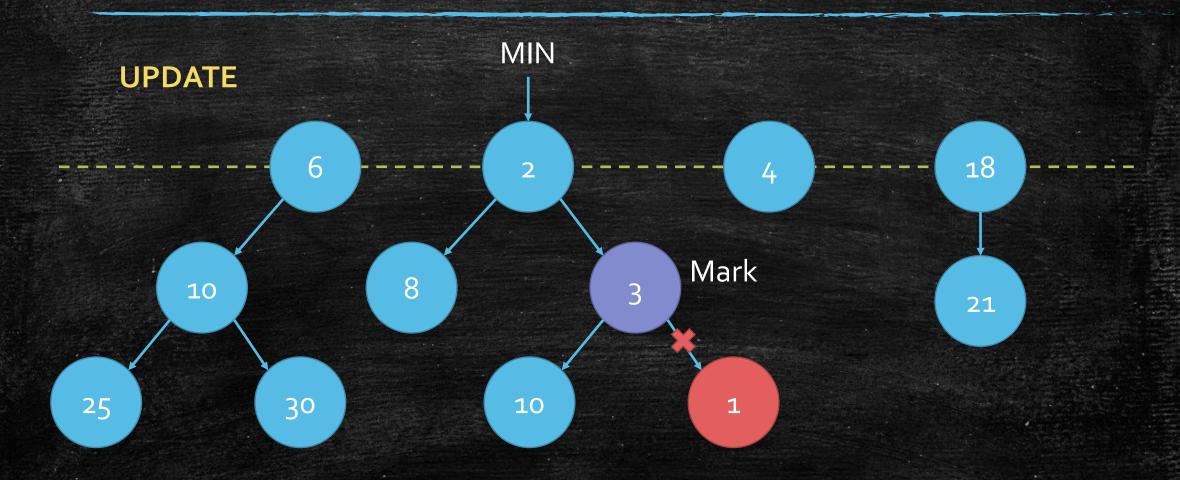
## How to maintain this property?

**Cascading Cut** 

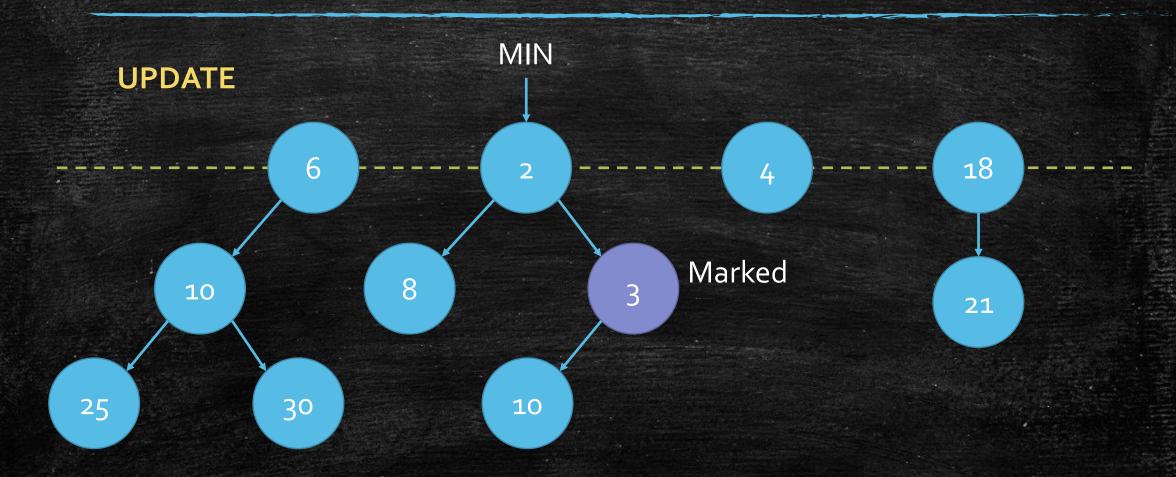
## First Time Update

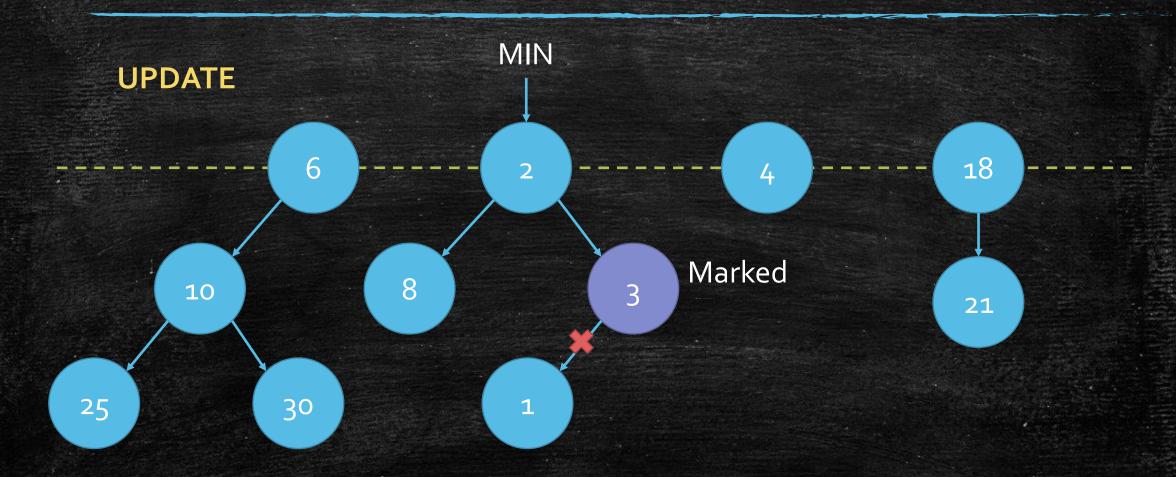


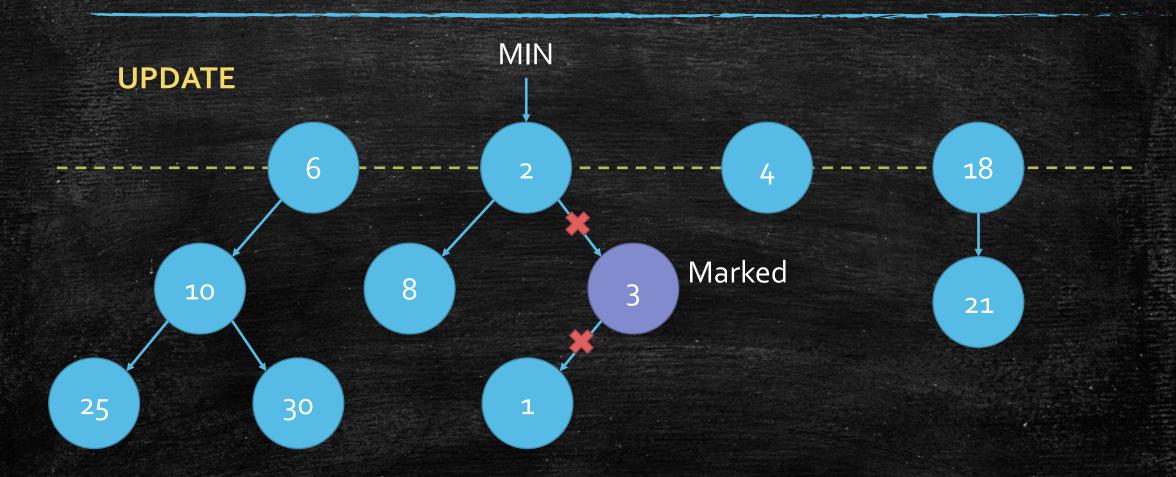


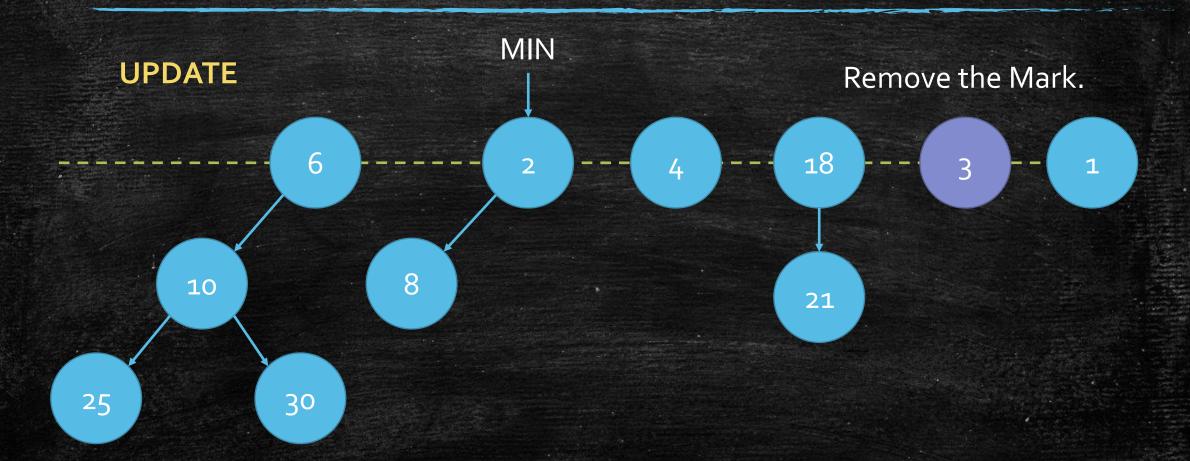


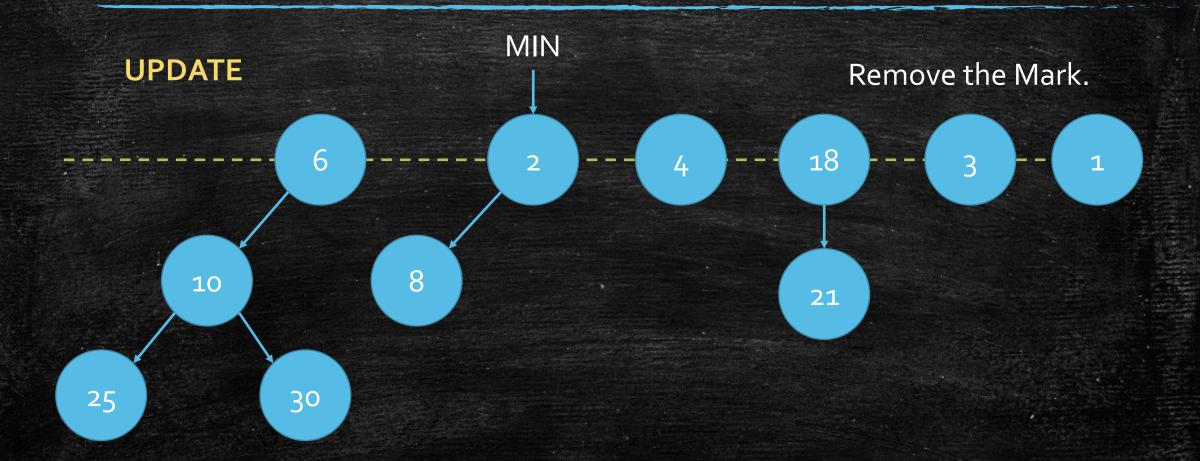
## Second Time Update











# Every tree keep the property!

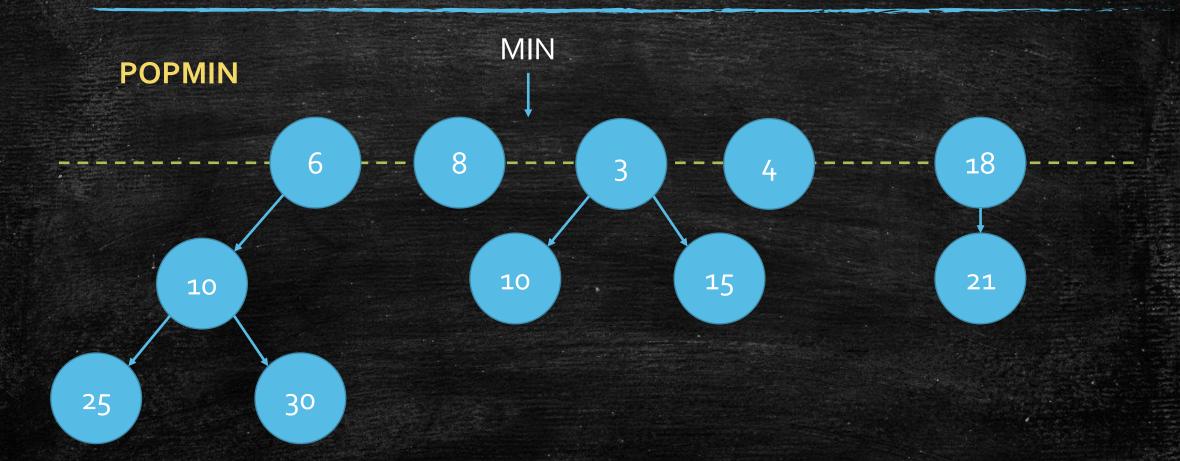
## But what is the problem now?

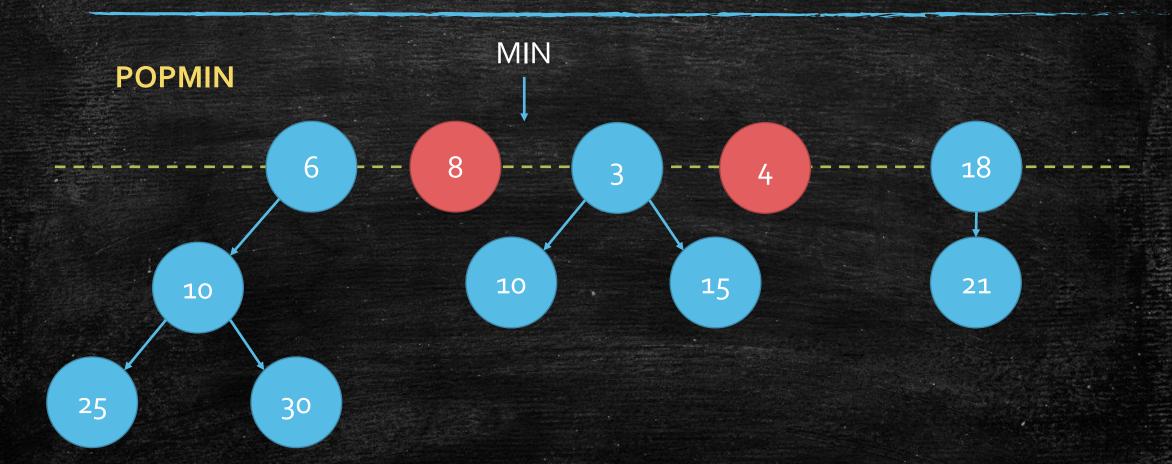
## The problem

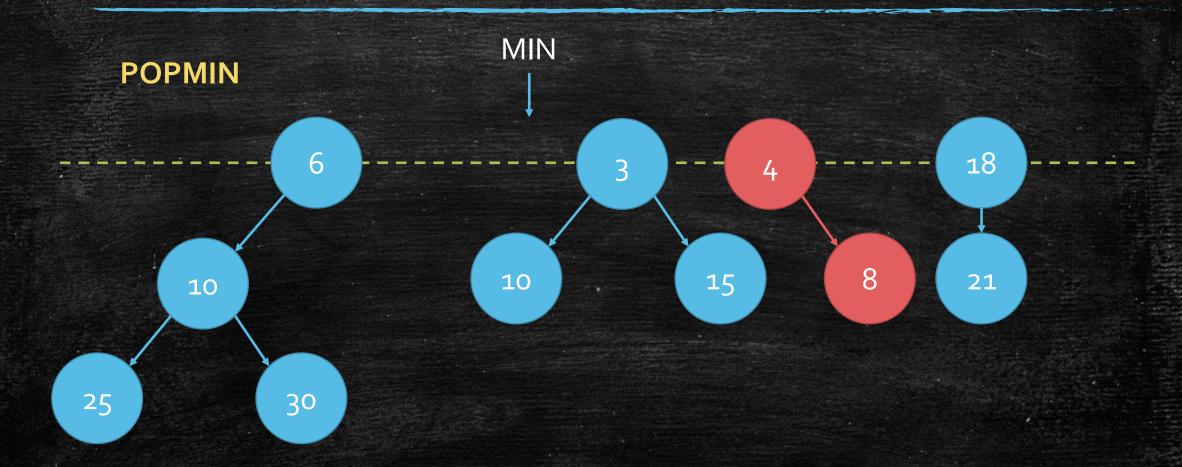
- We create so many roots on the green line!
- Yes, we have bounded D.
- However, we have not bounded the root number t.
- Cascading Cut breaks the property
  - One degree one root on the green line.
- Cost of POPMIN is still
  - -O(t+D)
  - Maybe large

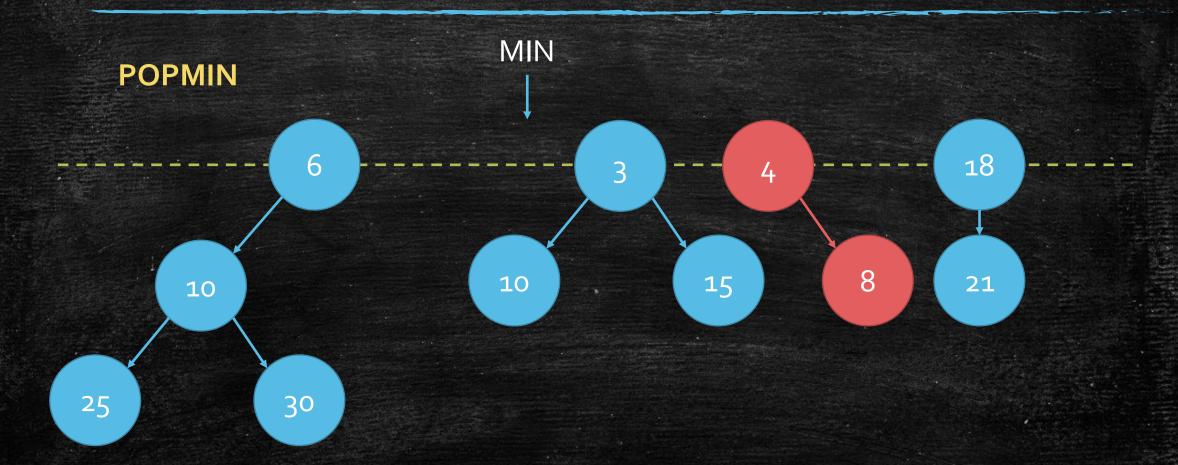
#### Do some good things for the future.

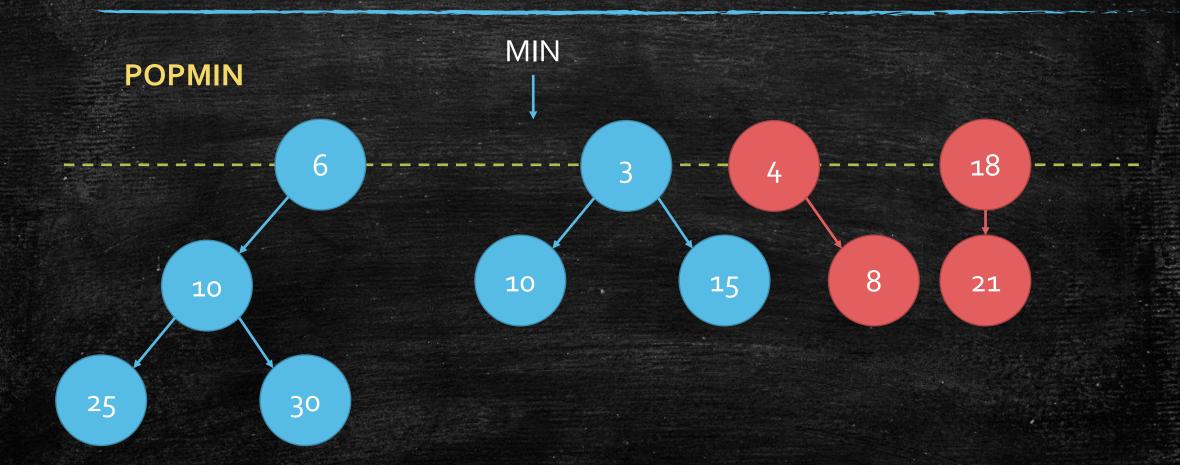
- If POPMIN is slow, why not do some good things for the future?
- Next: an O(t) time Merge subroutine, that decrease the number of roots.
- Next time, we do not have so many roots.

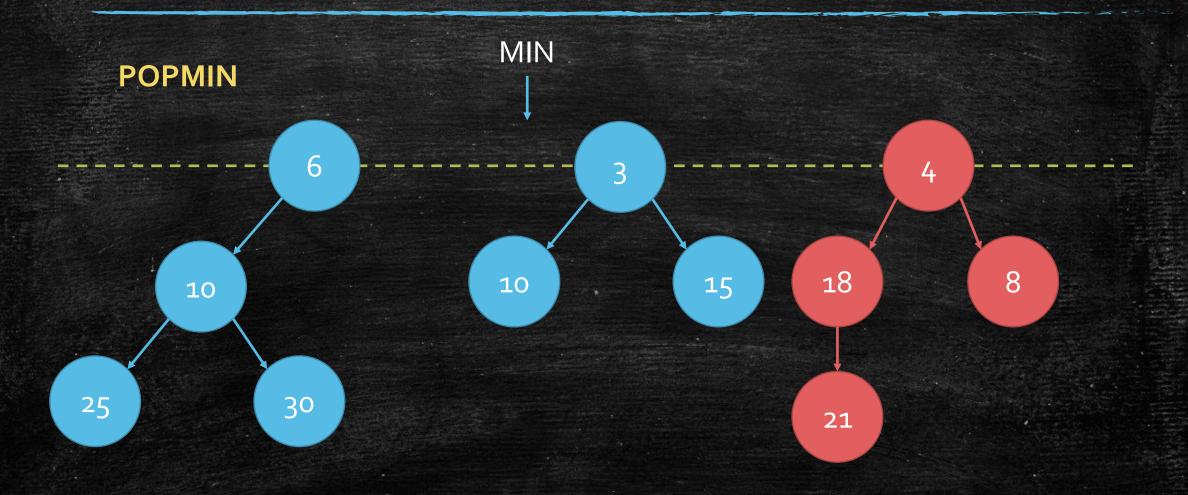


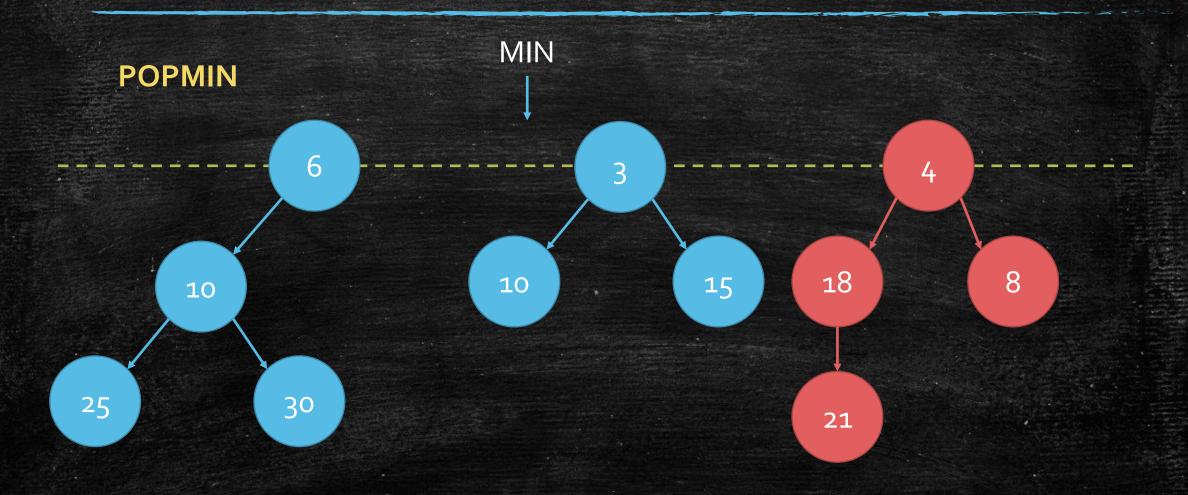


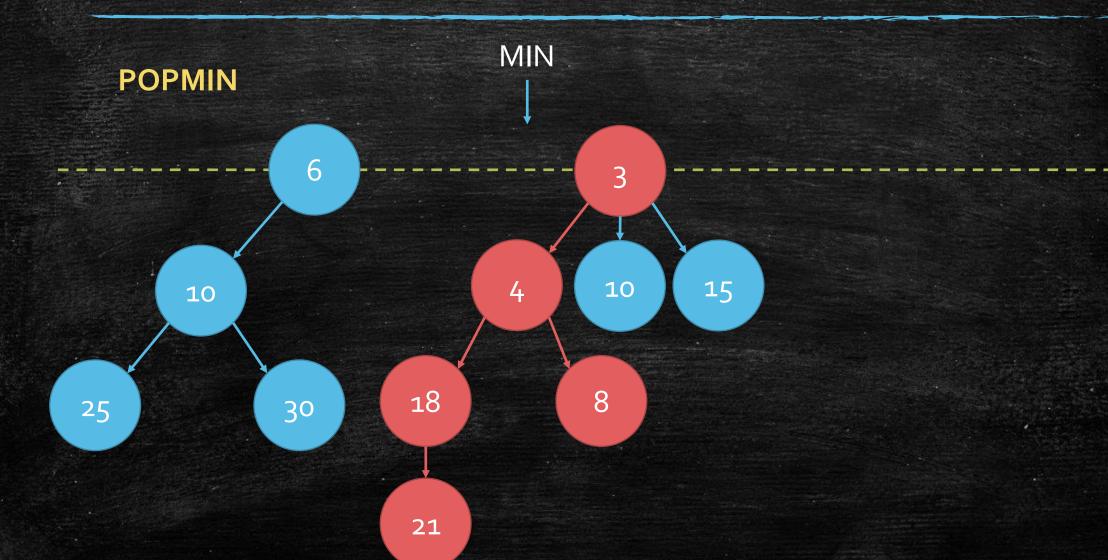




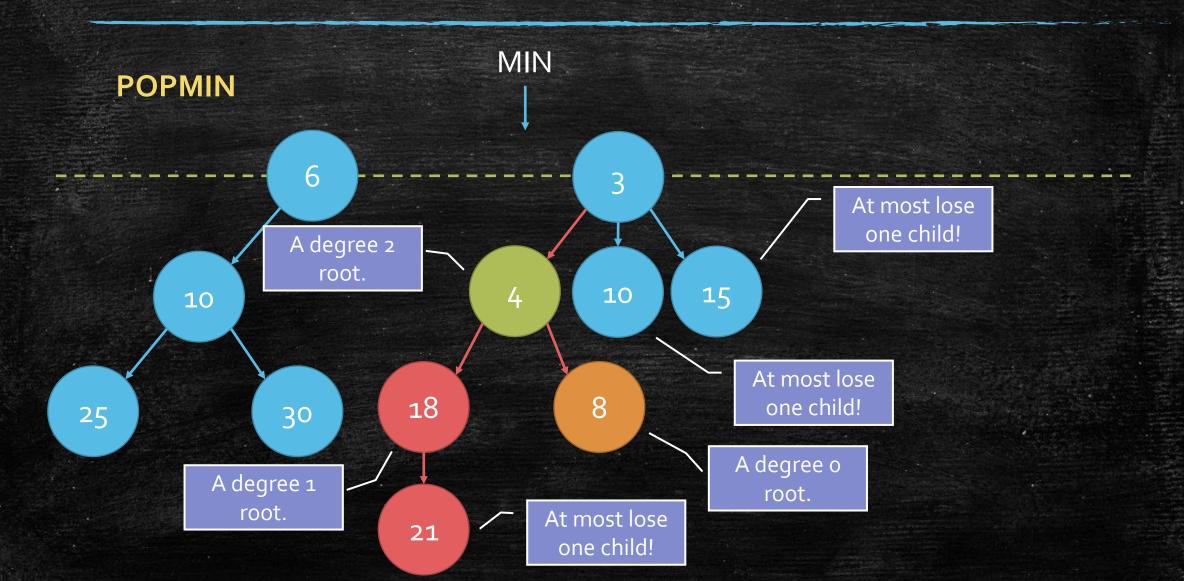








## Is the merged tree good?



#### Conclusion of Merge

- After Merge
- We have the degree property!
  - One degree one root.
  - $-D \leq \log n$

## Running Time

If we focus on a specific operation.

#### Time Complexity: Update

- Original cut: 1
- Cascading cut: < #marked nodes it go though (m')
  - We will unmark them.
- Time: *O*(*m*′)

#### Time Complexity: POPMIN

- Totally:  $O(t^- + D)$
- Bad thing:  $t^-$  can be n!

### Amortized Analysis

Recall that we have do some **good** things for the **future**!

#### What is amortized analysis?

- We want consider the total cost of k arbitrary operations.
  - $p_1, p_2, p_3 \dots$
- We do not mean k random operations.
- $C(p_i)$ : The real cost of Operation  $p_i$ .
- Total cost  $C(p_1) + C(p_2) + C(p_3) + \cdots + C(p_k)$
- Assume we have two type  $P_1$ ,  $P_2$ .
- $\hat{C}(P)$ : Amortized cost of a type P cost.
- $C(p_1) + C(p_2) + C(p_3) + \dots + C(p_k) \le k_1 \hat{C}(P_1) + k_2 \hat{C}(P_2)$

#### Amortized Analysis: Potential Function

- Some operation may have small C make later operation bad.
- Define  $\Phi$  to represent the state of the problem,  $\Phi_0 = 0$ .
- Let it pay something for the future, so we let  $\hat{C} = C + \delta \cdot \Delta \Phi$ .
- $\Phi$  is a function to evaluate current state.
- $\sum \hat{C} \geq \sum C$  if  $\Phi \geq 0$ .

A chosen constant.

A chosen constant.

# Consider the example of Stack.

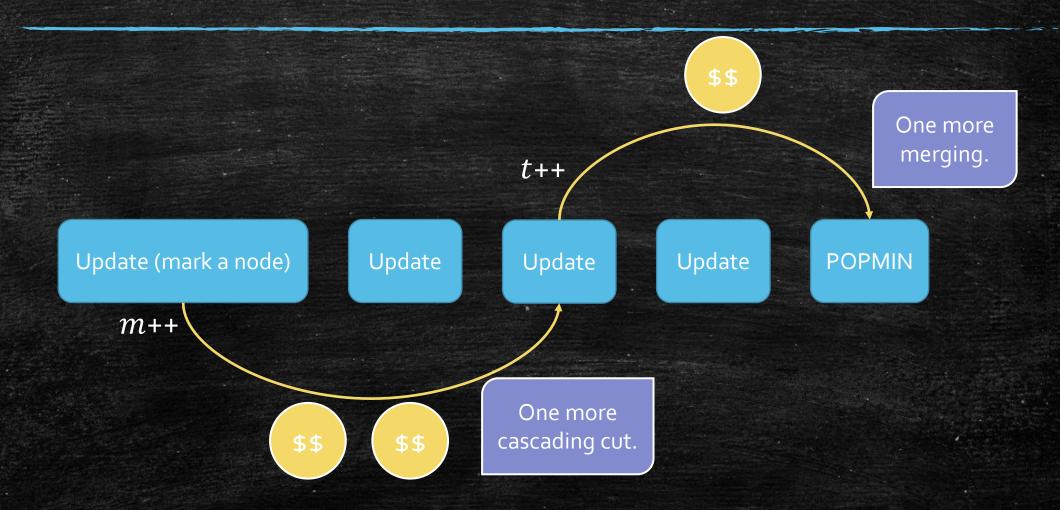
#### Amortized Analysis: Stack

- Operations
  - Pop all elements one by one.
  - Push one element.
- Potential Function
  - $-\Phi = \#elemnts$
- Push
  - C = 0(1)
  - $-\hat{C} = O(1) + \delta \cdot 1 = O(1)$  未来增加了1个代价
- Pop
  - C = O(k)
  - $-\hat{C} = O(k) + \delta \cdot (-k) = \mathbf{O}(1)$  未来減少了k个代价

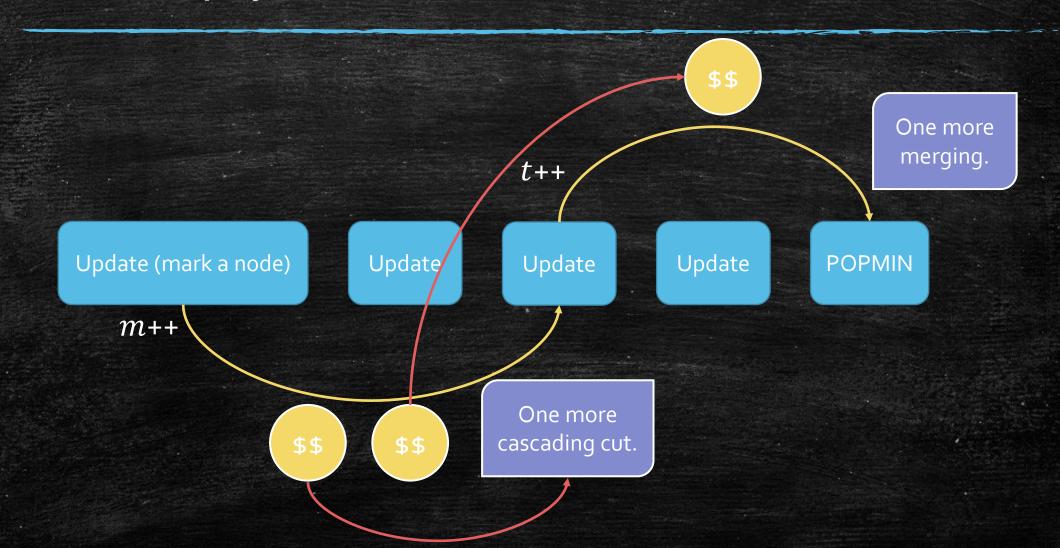
#### Amortized Analysis: Fibonacci Heap

- **Update:** *O*(*m*′)
- **Pop Min:**  $O(t^- + 2D)$
- What is bad?
  - #marked nodes
  - #roots
- Potential Function:  $\Phi = t + 2m$
- Why we need 2m?
- m has two bad things
  - One more cascading cut!
  - One potential root at merging!

#### How we pay for the future

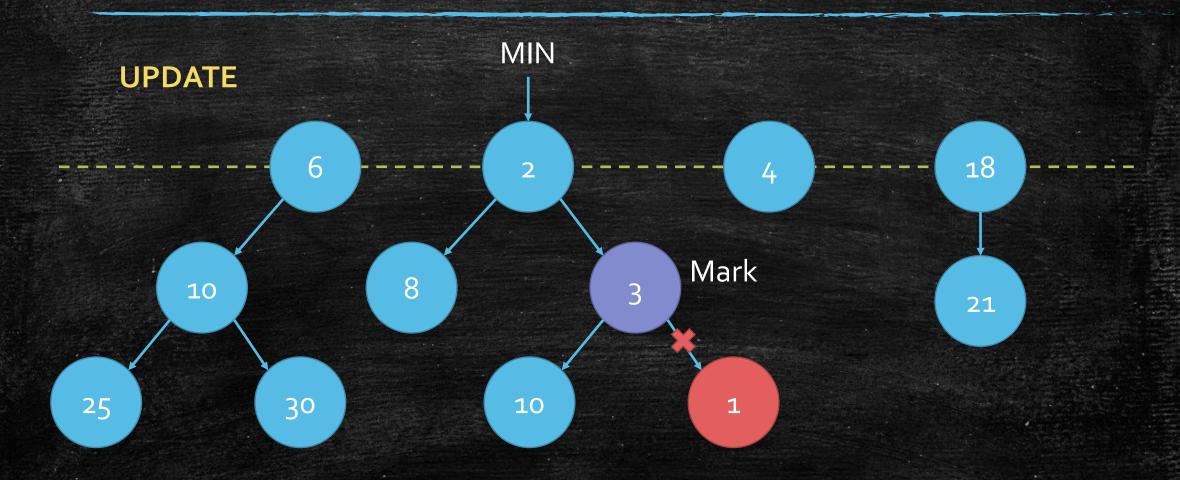


#### How we pay for the future

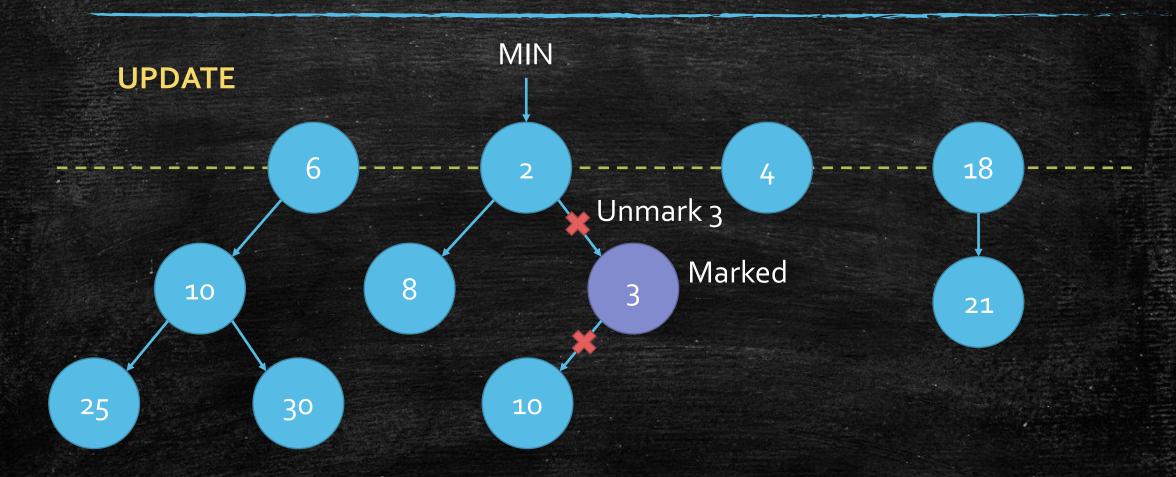


# Let us analyze the amortized cost!

#### Fibonacci Heap: Cascading Cut



#### Fibonacci Heap: Cascading Cut



#### Amortized Analysis: Fibonacci Heap

- **Update:** *O*(*m*′)
- Pop Min:  $O(t^- + D)$
- Potential Function:  $\Phi = t + 2m$
- Update
  - #CC cascading cuts, remove #CC mark, add #CC roots.
  - one basic cut, one more mark, add one root.
  - -C = O(#CC + 1)
  - $-\Delta t = \#CC + 1$
  - $-\Delta m = -\#CC + 1$
  - $\hat{C} = O(\#CC + 1) + \delta \cdot \Delta \Phi = O(\#CC + 1) + \delta \cdot (-\#CC + 3) = \mathbf{0}(\mathbf{1})$

#### Amortized Analysis: Fibonacci Heap

- **Update:** *O*(*m*′)
- Pop Min:  $O(t^- + D)$
- Potential Function:  $\Phi = t + 2m$
- Update
  - $-\hat{C}=\mathbf{0}(1)$
- Pop Min
  - $-C = O(t^- + D)$
  - $\hat{C} = O(t^{-} + D) + \delta \cdot \Delta t \le O(t^{-} + 2D) + \delta \cdot (D t^{-}) = O(D) = O(\log n)$
  - Recall
    - We have  $t^- + D$  roots before merging, and at most D roots after merging.

### Conclusion

Dijkstra + Fibonacci Heap =  $O(|E| + |V| \log |V|)$ 

#### Today's goal

- Learn Dijkstra
  - Why it is correct?
  - How to design algorithm if you are Dijkstra?
  - How to use **Heap** to improve Dijkstra?
  - How to use **Data Structures** to improve **Algorithms**?
- Learn Amortized Analysis