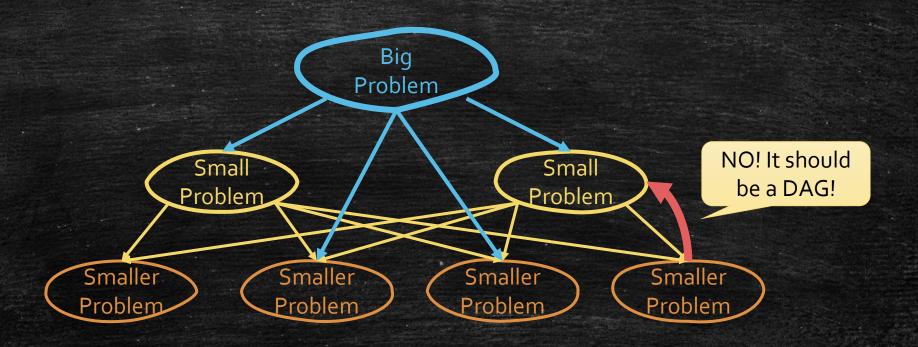
## Dynamic Programming

**DP** improvement

## Dynamic Programming



## A simpler guideline

- Find subproblems.
- Check whether we are in a DAG and find the topological order of this DAG. (Usually, by hand.)
- Solve & store the subproblems by the topological order.

#### Recap the three examples

- Longest Increasing Sequence
  - Subproblem LIS[i]: the longest increasing sequence ended by  $a_i$ .
- Edit Distance
  - Subproblem ED[i, j]: the edit distance for A[1..i] and B[1..j].
- Knapsack
  - Subproblem f[i, w]: the maximum value we can get by using first i items and w budget.

## How to find these subproblems

- Think from a recursive method
- LIS:
  - We want to find the LIS.
  - It may be ended by every  $a_i$ .
  - Solve LIS ended by  $a_i$  need to know all LIS ended by  $a_{j < i}$ .

## How to find these subproblems

- Think from a recursive method
- Edit Distance
  - We want to know the Edit Distance.
  - We think how we align the last two character.
  - Different case make us go into different subproblems.
  - We these subproblems can be merged to ED[i,j].

## How to find these subproblems

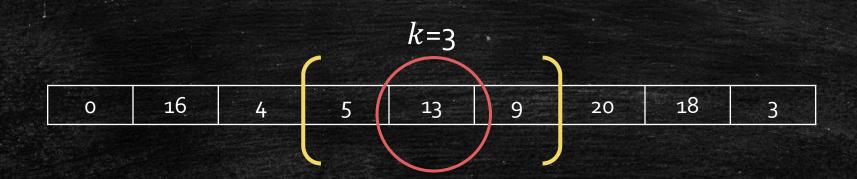
- Think from a recursive method
- Knapsack
  - We want to know the maximum value.
  - We know that for each item, we have two choice: buy it or not.
  - Buy: we have  $W-c_i$  budget for other items.
  - Not Buy: we have W budget for other items.
  - Consider we recursive from  $a_n$ .
  - Subproblems can be merged to f[i, w].

# A Simple but Useful Data Structure.

**Priority Queue** 

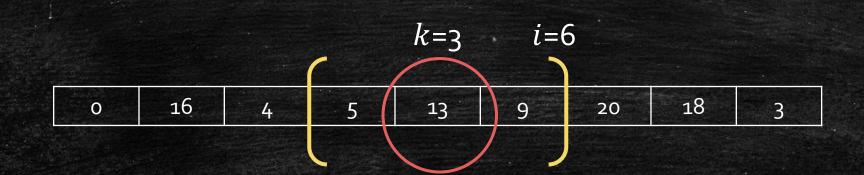
## Largest Number in k Consecutive Numbers

- Input: A sequence of numbers  $a_1, a_2, ..., a_n$ , and a number k.
- Output: The largest number in every k consecutive numbers.



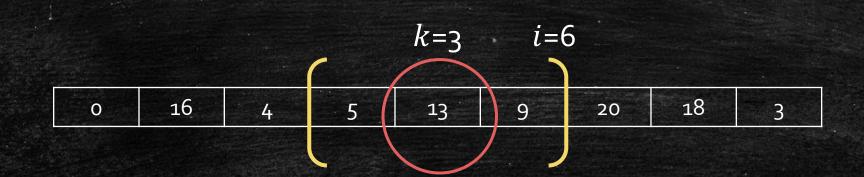
## Subproblem Definitions

- large[i]: the largest number from  $a_{i-k+1}$  to  $a_i$ .
- Output:  $large[k] \sim large[n]$ .



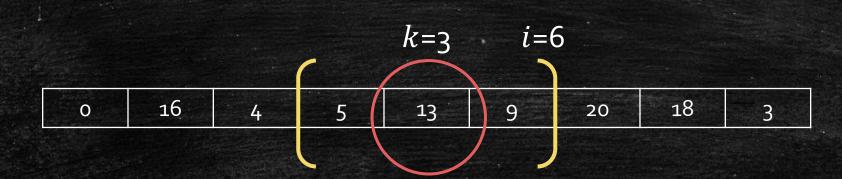
## Solving Subproblems

- large[i]: the largest number from  $a_{i-k+1}$  to  $a_i$ .
- Can you find a way to solve large[i] by other subproblems?
  - Brute-force:  $large[i] = \max_{j=i-k+1}^{i} \{a_i\}.$



## Solving Subproblems

- large[i]: the largest number from  $a_{i-k+1}$  to  $a_i$ .
- Can you find a way to solve large[i] by other subproblems?
  - Brute-force:  $large[i] = \max_{j=i-k+1}^{i} \{a_i\}$ .
  - Tips: from large[j], j < i.



## Recall Knapsack

- What we always do before:
- f[i, w]: the maximum value we can get by using the first i items, and with w budget.
- Use g[i] to store how much budge f[i] uses.

How to solve f[i] by f[j < i]?

45.0			(1) (1) (1) (1) (1) (1) (1) (1) (1) (1)			Service Statement	
f[i]	5	10	13	16	21	30	?
	9			ATTRICTOR OF THE PARTY OF THE P			

We know f[j] but we do not know how much budget it uses!

*Key problem:* Subproblem definition does not contain enough information!

# What kind of information do we need now?

#### Observation

- Compare two large[i] and large[i-1].
- Difference
  - One entering number: 20
  - One outgoing number: 5
  - Question: how they affect the largest number?



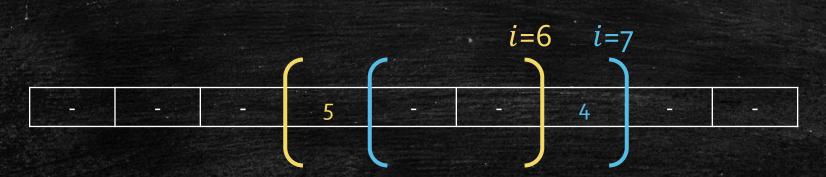
## How they affect the largest number

- Difference
  - One entering number: 20
  - One leaving number: 5
  - Question: how they affect the largest number?
  - Case 1: the entering number is the new largest!



## How they affect the largest number

- Difference
  - One entering number: 20
  - One leaving number: 5
  - Question: how they affect the largest number?
  - Case 2: the leaving number is the previous largest!



**Key problem:** We should know what is the previous second largest number.

Ok, let us record it!

## How they affect the largest number

#### Difference

- One entering number: 20
- One leaving number: 5
- Question: how they affect the largest number?
- Case 3: the leaving number is the previous second largest!
- What is the second largest now?

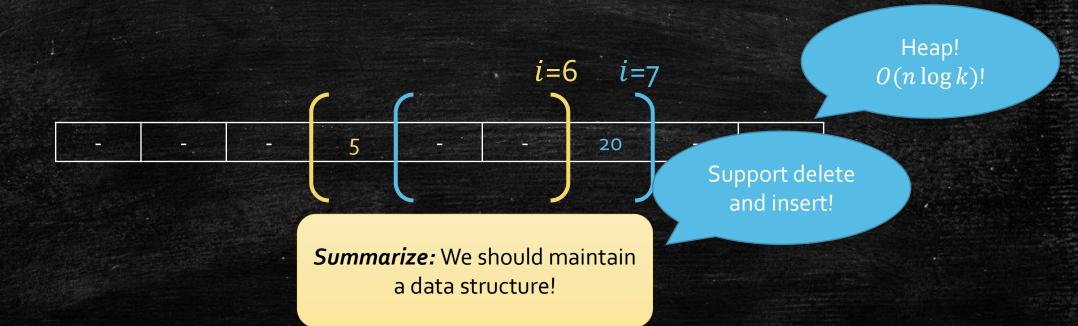


**Key problem:** We should know what is the previous third largest number.

Ok, let us record it.....

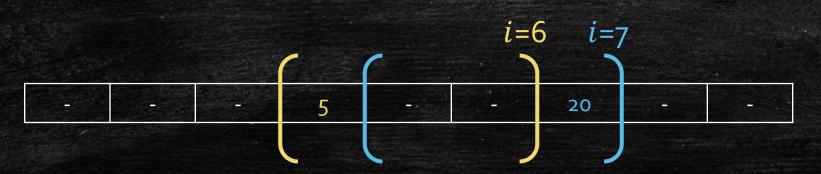
#### Summarize

- Difference
  - One entering number: 20
  - One leaving number: 5
  - Question: how they affect the largest number?



#### Let us think more!

- New Subproblem: Solving the Heap of  $a_{i-k+1} \sim a_i$ .
  - Delete (Update & PopMax)
  - Insert
  - FindMax
  - $O(n \log k)!$
- Is Heap too powerful for this problem?
  - We delete and insert only based on the index!



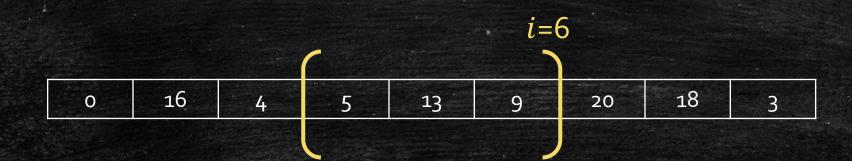
#### A new Subproblem!

- Think again: why we need the heap?
  - We need two know who is the largest.
  - We need to know who is the **potential largest**.
  - We need to update the potential largest list.
- Do we have a better way to maintain this potential largest list?
  - Heap views all k numbers as **potential largest**.

#### Observation

• Who can be the potential largest number?

5 13 9



#### Observation

• Who can be the potential largest number?

5 13 9

5 is not a potential largest number because 5 is older than 13 and 5 < 13.

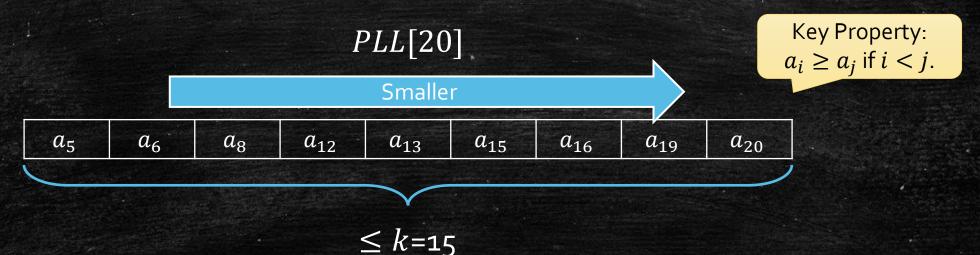
9 is a potential largest number although 13 > 9 because 9 is younger.

0 16 4 5 13 9 20 18 3

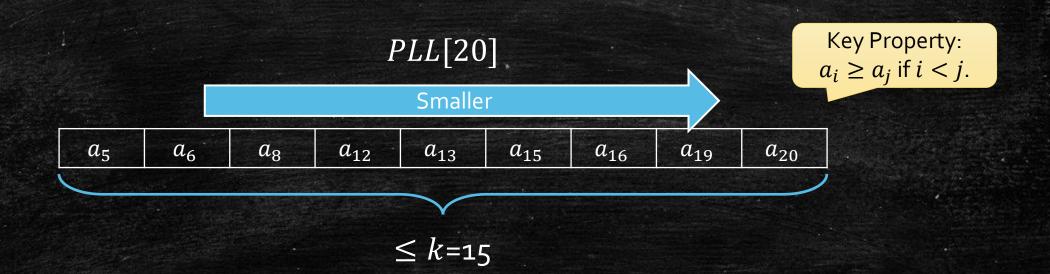
**Key Observation**: the potential largest list can be smaller than k.

## Potential Largest List

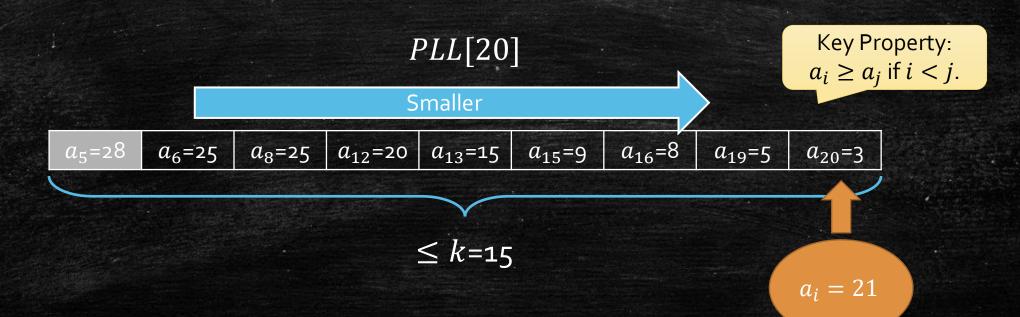
- Potential Largest List (PLL)
  - PLL[i]: the Potential Largest List for  $a_{i-k+1} \sim a_i$ .
  - At most k numbers.
  - Sorted by the index.
  - $-i-k+1 \le \text{Index} \le i$



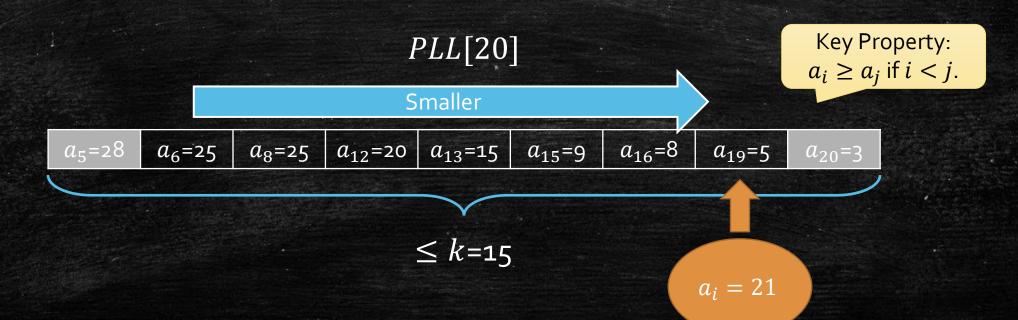
- How to solve PLL[i = 21] by PLL[i 1 = 20]?
- First, kick the number if index < i k + 1 = 6.



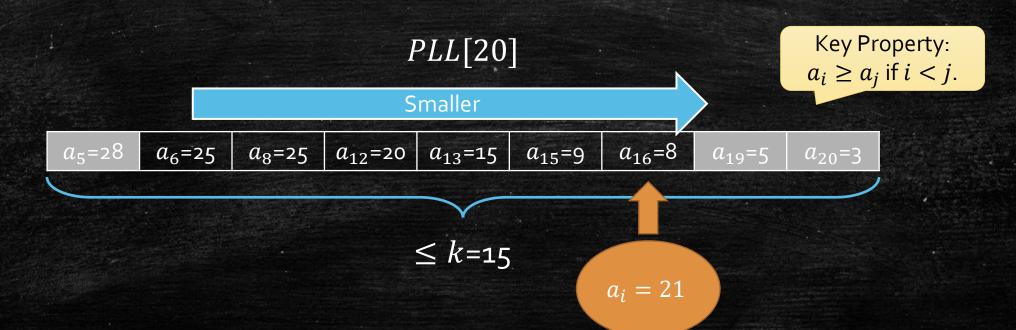
- How to solve PLL[i = 21] by PLL[i 1 = 20]?
- First, kick the number if index < i k + 1 = 6.
- Second, kick numbers by  $\overline{a_{i=21}}$ .



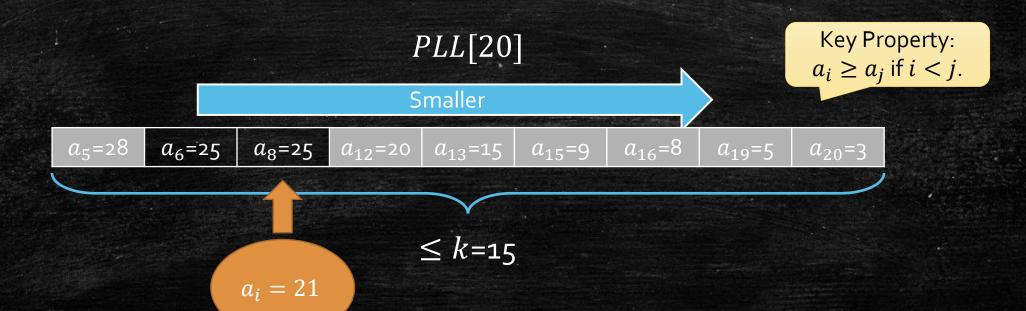
- How to solve PLL[i = 21] by PLL[i 1 = 20]?
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- How to solve PLL[i = 21] by PLL[i 1 = 20]?
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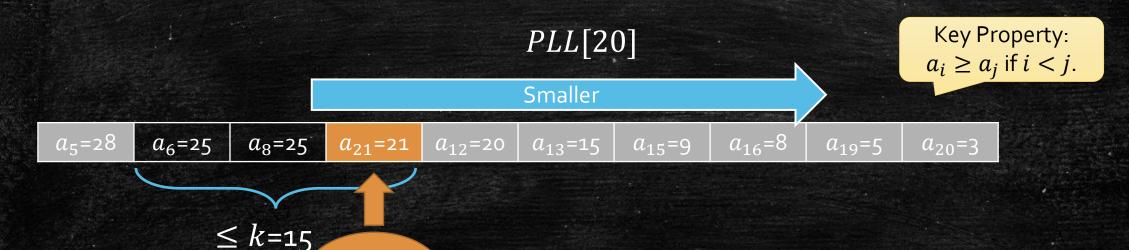


- How to solve PLL[i = 21] by PLL[i 1 = 20]?
- First, kick the number if index < i k + 1 = 6.
- Second, kick numbers by  $\overline{a_{i=21}}$ .



- How to solve PLL[i = 21] by PLL[i 1 = 20]?
- First, kick the number if index < i k + 1 = 6.
- Second, kick numbers by  $\overline{a_{i=21}}$ .

 $\overline{a_i} = 21$ 



## Program: updating priority queue

#### **Updating Priority Queue**

```
function updating(a[1..n], i, k, PLL)

If PLL. front.index \le i - k

PopFront(PLL)

while PLL. back.value \le a[i]

PopBack(PLL)

PushBack(PLL, (index = i, value = a[i]))
```

#### Largest Number in range k

```
function largest(a[1..n], k)
  PLL = NULL
  for i = 1 to n
        updating(a, i, k, PLL)
      output PPL. front.
```

#### **Updating Priority Queue**

**function** updating(a[1..n], i, k, PLL)

**If** PLL. front.  $index \le i - k$ 

PopFront(PLL)

**while** PLL. back.  $value \le a[i]$ 

PopBack(PLL)

PushBack(PLL, (index = i, value = a[i]))

#### Largest Number in range k

function largest(a[1..n], k)
 PLL = NULL
 for i = 1 to n
 updating(a, i, k, PLL)
 output PPL front.

 $a_i = 21$ 

Charge to  $a_5$ .

$$a_{5}$$
=28  $a_{6}$ =25  $a_{8}$ =25  $a_{12}$ =20  $a_{13}$ =15  $a_{15}$ =9  $a_{16}$ =8  $a_{19}$ =5  $a_{20}$ =3

#### **Updating Priority Queue**

**function** updating(a[1..n], i, k, PLL)

**If** PLL.  $front.index \leq i - k$ 

PopFront(PLL)

**while** PLL. back.  $value \le a[i]$ 

PopBack(PLL)

PushBack(PLL,(index = i, value = a[i]))

#### Largest Number in range k

**function** largest(a[1..n], k)

PLL = NULL

for i = 1 to nupdating(a, i, k, PLL)

output PPL. front.

$$a_i = 21$$

 $a_5$ =28  $a_6$ =25  $a_8$ =25  $a_{12}$ =20  $a_{13}$ =15  $a_{15}$ =9  $a_{16}$ =8  $a_{19}$ =5  $a_{20}$ =3

Charge to  $a_{20}$ .

#### **Updating Priority Queue**

**function** updating(a[1..n], i, k, PLL)

**If** *PLL*. *front*. *index*  $\leq i - k$ 

PopFront(PLL)

**while** *PLL*. back. value  $\leq a[i]$ 

PovBack(PLL)

PushBack(PLL, (index = i, value = a[i]))

#### Largest Number in range k

**function** largest(a[1..n], k)

PLL = NULL

for i = 1 to nupdating(a, i, k, PLL)

output PPL. front.

 $a_i = 21$ 

 $a_5$ =28

 $a_6 = 25$ 

 $a_8 = 25$ 

 $a_{21}$ =21

 $a_{12}$ =20

*a*<sub>13</sub>=15

*a*<sub>15</sub>=9

 $a_{16}$ =8

 $a_{19} = 5$ 

 $a_{20} = 3$ 

- The cost of n times updating has been charged to numbers!
- Each number
  - Charged **once** when it is **popped out**.
  - Charged **once** when it is **pushed in**.
- Totally: O(n).

## Priority Queue Helps DP

**Priority Queue** 

### Longest Increasing Sequence Revisit

- Input: A sequence  $a_1, a_2, ..., a_n$ .
- Output: the Longest Increasing Subsequence (LIS)
  - $a_{i_1} < a_{i_2} < a_{i_3} \dots < a_{i_k}$
  - $-i_1 < i_2 < i_3 \dots < i_k$

 1
 5
 13
 2
 6
 24
 15
 23
 2
 16

# Do you feel that we can improve?

Is there any monotone thing?

### **Previous Transfer**

• 
$$lis[i] = \max_{a_j < a_i, j < i} \{ lis[j] + 1 \}$$

- Definition: Potential Prefix
  - The set of  $a_j$  that is possible to be the best prefix of future numbers.

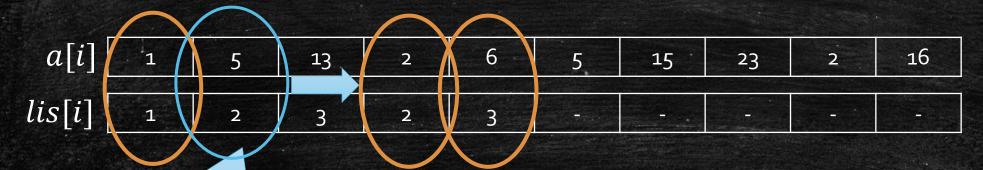
a[i]	1	5	13	2	6	5	15	23	2	16
lis[i] [	1	2	3	2	3	- -		-		<u>-</u> *1

Who are the Potential Prefix?

### **Previous Transfer**

• 
$$lis[i] = \max_{a_j < a_i, j < i} \{ lis[j] + 1 \}$$

- Definition: Potential Prefix
  - The set of  $a_i$  that is possible to be the best prefix of future numbers.



It is not because a[i] > a[j] and lis[i] = lis[j]

Who are the Potential Prefixes?

### **New Potential List**

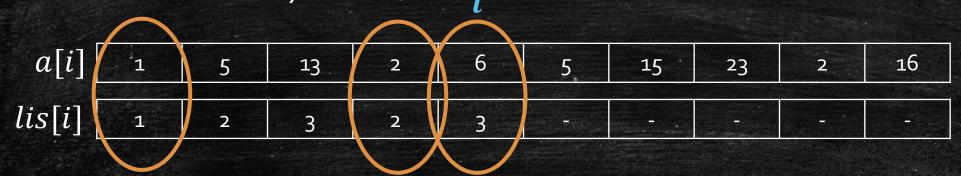
- *Sm*[*len*]: the **smallest ended number** for an increasing subsequence with length *len*.
- Remark: it is enough to record all Potential Prefixes (length and number).

a[i]	1	5	13	2	6	5	15	23	2	16
lis[i]	1	2	3	2	3	-		-		-
	<b>\</b> /									

### **New Potential List**

• *Sm*[*len*]: the **smallest ended number** for an increasing subsequence with length *len*.

Remark: it is enough to record all Potential Prefixes (length and number).



L	.a	r	η6	er

len=o	len=1	len=2	len=3	len=4	len=5	len=6	len=7	len=8	len=9
0	1	2	6				<u> </u>		

sm[len]

- How to update sm[len] (Potential Prefixes)?
- Difference between i 1 and i?
  - $a_i$  comes in.
  - It may become a potential prefixes and kick some potential prefixes.

len=o	len=1	len=2	len=3	len=4	len=5	len=6	len=7	len=8	len=9
0	1	2	6			-			-

How to update sm[len] (Potential Prefixes)?

i

a[i]	1	5	13	2	6	5	15	23	2	16
lis[i] [	1	2	3	2	3				<u>-</u>	<u>.</u>

$$a_i = 5$$

sm[len]

len=o	len=1	len=2	len=3	len=4	len=5	len=6	len=7	len=8	len=9
0	1	2	6						

How to update sm[len] (Potential Prefixes)?

i

a[i]	1	5	13	2	6	5	15	23	2	16
lis[i] [	1	2	3	2	3	7				2.2

Case 1: 
$$a_i > sm[i-1, len]$$

Case 2:  $a_i \leq sm[i-1, len]$ 

sm	[len]

len=0	len=1	len=2	len=3	len=4	len=5	len=6	len=7	len=8	len=9
0	1	2	6				Mangan	1	

• How to update sm[len] (Potential Prefixes)?

a[i]6 16 5 13 2 15 23 2 lis[i] 2 2 3

i

Case 1: 
$$a_i > sm[len]$$

$$a_i = 5$$
Case 2:  $a_i \le sm[len]$ 

- it can create a longer LIS.
- it can not update sm[len].
- It may update sm[len]
- it can not create a longer LIS.

len=9

	len=0	len=1	len=2	len=3	len=4	len=5	len=6	len=7	len=8
sm[len]	0	1	2	6				Mangan	

How to update sm[len] (Potential Prefixes)?

i

a[i]	1	5	13	2	6	5	15	23	2	16
lis[i]	1	2	3	2	3				7	

Case 1: 
$$a_i > sm[len]$$

$$a_i = 5$$
Case 2:  $a_i \leq sm[len]$ 

- it can create a longer LIS.
- it can not update sm[len].
- It may update sm[len]
- it can not create a longer LIS.

sm	len
Sire	

len=o	len=1	len=2	len=3	len=4	len=5	len=6	len=7	len=8	len=9
0	1	2	6						

How to update sm[len] (Potential Prefixes)?

a[i] 1 5 13 2 6 5 15 23 2 16 lis[i] 1 2 3 2 3 - - - - -

Case 1:  $a_i > sm[len]$   $a_i = 5$ Case 2:  $a_i \leq sm[len]$ 

- it can create a longer LIS.
- it can not update sm[len].
- It may update sm[len]
- it can not create a longer LIS.

sm[len]		
	sm	[len]

len=o	len=1	len=2	len=3	len=4	len=5	len=6	len=7	len=8	len=9
0	1	2	6				-		

i

How to update sm[len] (Potential Prefixes)?

a[i] 1 5 13 2 6 5 15 23 2 16 lis[i] 1 2 3 2 3 - - - - -

Case 1: 
$$a_i > sm[len]$$

$$a_i = 5$$
Case 2:  $a_i \le sm[len]$ 

- it can create a longer LIS.
- it can not update sm[len].
- It may update sm[len]
- it can not create a longer LIS.

sm	[len]

len=o	len=1	len=2	len=3	len=4	len=5	len=6	len=7	len=8	len=9
0	1	2	6						

i

How to update sm[len] (Potential Prefixes)?

a[i] 1 5 13 2 6 5 15 23 2 16 lis[i] 1 2 3 2 3 - - - - -

i

Case 1:  $a_i > sm[len]$   $a_i = 5$ Case 2:  $a_i \le sm[len]$ 

- it can create a longer LIS.
- it can not update sm[len].
- It may update sm[len]
- it can not create a longer LIS.

sm	[len]

len=o	len=1	len=2	len=3	len=4	len=5	len=6	len=7	len=8	len=9
0	1	2	6						

sm[len]

How to update sm[len] (Potential Prefixes)?

a[i] 1 5 13 2 6 5 15 23 2 16 lis[i] 1 2 3 2 3 - - - - -

i

Case 1:  $a_i > sm[len]$ 

Case 2:  $a_i \leq sm[len]$ 

- it can create a longer L we move
- it can not update sm[len] to here.
- It <u>must</u> update sm[len].
- it can not create a longer LIS.

	len=o	len=1	len=2	len=3	len=4	len=5	len=6	len=7	len=8	len=9
$\iota$	0	1	2	6				Alking Street		

• How to update sm[len] (Potential Prefixes)?

$$a[i]$$
 1 5 13 2 6 5 15 23 2 16  $lis[i]$  1 2 3 2 3 - - - - -

i

Case 1:  $a_i > sm[len]$ 

Case 2:  $a_i \leq sm[len]$ 

- it can create a longer L we move
- it can not update sm[len] to here.
- It must update sm[len]
- it can not create a longer LIS.

	len=o	len=1	len=2	len=3	len=4	len=5	len=6	len=7	len=8	len=9
sm[len]	О	1	2	$a_i$ =5						

sm|l

• How to update sm[len] (Potential Prefixes)?

a[i] 1 5 13 2 6 5 15 23 2 16 lis[i] 1 2 3 2 3 3 - - - -

i

Case 1:  $a_i > sm[len]$   $a_i = 5$ Case 2:  $a_i \le sm[len]$ 

- it can create a longer L we move
- it can not update sm[len] to here.
- It <u>must</u> update sm[len]
- it can not create a longer LIS.

	len=o	len=1	len=2	len=3	len=4	len=5	len=6	len=7	len=8	len=9
len]	0	1	2	$a_i$ =5						

### The DP Algorithm

- Initialize sm[0] = 0
- For i = 1 to n
  - Update sm[len] by  $a_i$
  - It requires  $O(\max\{len\} = i)!$
  - Remark: now we do not kick everything we pass.
- Output the largest len such that  $sm[len] \neq "-"$ .

### Recap The Updating

- We need to find the largest len such that  $a_i > sm[i-1, len]$ .
- Then we update:  $sm[i, len + 1] = a_i$ .

Case 1: 
$$a_i > sm[i-1,len]$$

$$a_i = 5$$
Case 1:  $a_i \leq sm[i-1,len]$ 

- it can create a longer LIS.
- it can not update sm[i, len].
- It **must** update sm[i, len]
- it can not create a longer LIS.

	len=o	len=1	len=2	len=3	len=4	len=5	len=6	len=7	len=8	len=9
sm[i-1,len]	0	1	2	$a_i$ =5	- 1		-	- 1	66 <u>-</u>	

# How to do it efficiently?

## Yes! Binary Search!

### Now it is better!

- Plan
  - Initialize sm[0,0] = 0
- Solve sm[i, len] from sm[i-1, len] by  $a_i$ .
  - It requires  $O(\log(len)) = O(\log n)$ .
- Output the largest len such that  $sm[n, len] \neq "-"$ .
- Totally  $O(n \log n)$ .

### The DP Algorithm

- Initialize sm[0] = 0
- For i = 1 to n
  - Update sm[len] with  $a_i$  by binary search.
  - It requires  $O(\max\{\max\{maxlen\} = i)$ !
  - Remark: now we do not kick everything we pass.
  - It requires  $O(\log(maxlen)) = O(\log n)$ .
- Output the largest len such that  $sm[len] \neq "-"$ .

### Priority Queue Can Be Stronger

### Minimizing Manufacturing Cost

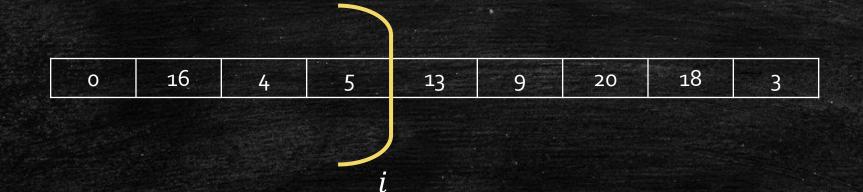
- **Input:** A sequence of items with cost  $a_1, a_2, ..., a_n$ .
- Need to Do:
  - Manufacture these items.
  - Operation man(l, r): manufacture the items from l to r.
  - $cost(l,r) = C + (\sum_{i=l}^{r} a_i)^2.$
- Output: The minimum cost to manufacture all items.

#### Discussion

- Cost function:  $cost(l,r) = C + (\sum_{i=l}^{r} a_i)^2$ .
- Cost function:  $cost(l,r) = C + \sum_{i=l}^{r} a_i$ .
- Cost function:  $cost(l,r) = C + (\sum_{i=l}^{r} a_i)^2$ , with C = 0.
- Only the first one need to optimize!

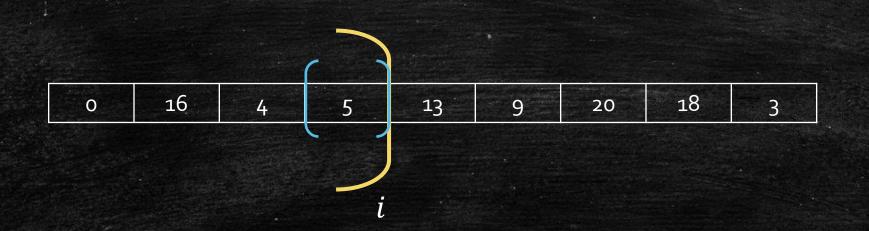
### Define subproblems

- f[i]: the minimum cost for manufacturing item 1 to i.
- How to solve f[i]?



### Solving f[i]

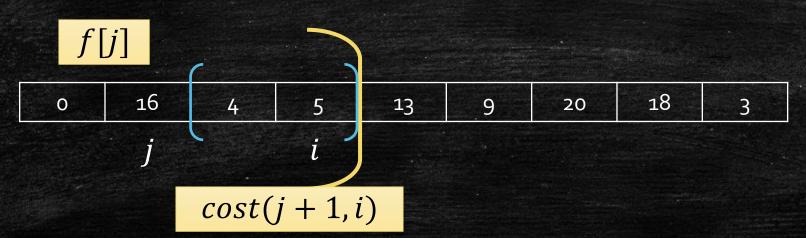
- f[i]: the minimum cost for manufacturing item 1 to i.
- How to solve f[i]?
- We can manufacture item *i* alone.



### Solving f[i]

- f[i]: the minimum cost for manufacturing item 1 to i.
- How to solve f[i]?
- We can also manufacture *i* along with an interval.

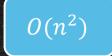
• 
$$f[i] = \min_{j < i} f[j] + C + \left(\sum_{k=j+1}^{i} a_k\right)^2$$

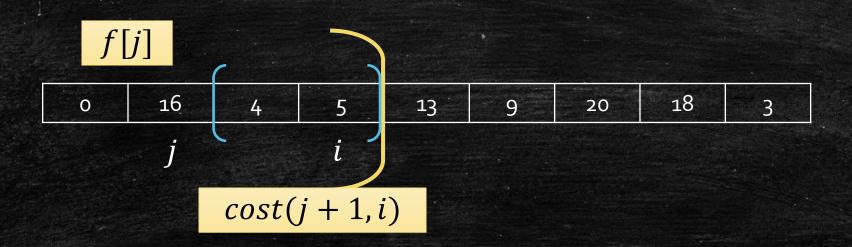


### DP algorithm

- Define f[0] = 0.
- Solve f[i] from 1 to n, and output f[n].

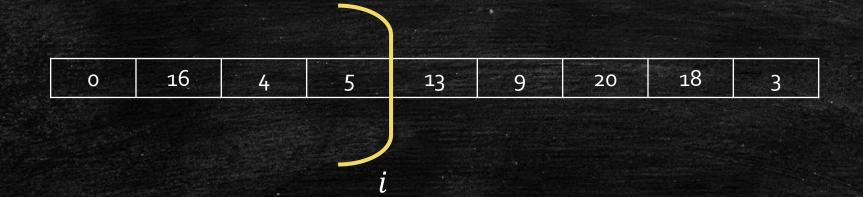
• 
$$f[i] = \min_{j < i} f[j] + C + \left(\sum_{k=j+1}^{i} a_k\right)^2$$
.





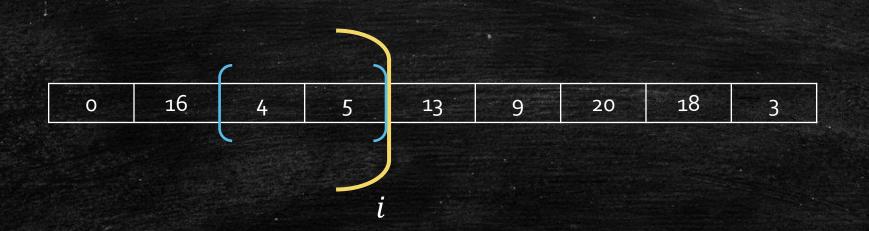
### The Potential Idea Again!

• Question: Can every j be a potential prefix for the future?



### The Potential Idea Again!

- Question: Can every j be a potential prefix for the future?
- Trade-off
  - Smaller *j* is better for paid cost.
  - Larger j is better for future cost.



### Let us do some math!

### General Question

• 
$$f[i] = \min_{j < i} f[j] + C + \left(\sum_{k=j+1}^{i} a_k\right)^2$$
.

• When j = y is better than j = x when calculate f[i]?

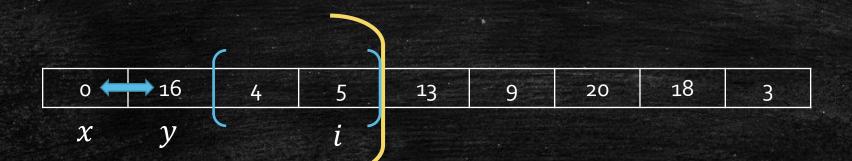


### Math Time!

• 
$$f[i] = \min_{j < i} f[j] + C + \left(\sum_{k=j+1}^{i} a_k\right)^2$$
.

• When j = y is better than j = x when calculate f[i]?

• 
$$f[x] + C + (\sum_{k=x+1}^{i} a_k)^2 > f[y] + C + (\sum_{k=y+1}^{i} a_k)^2$$



### Math Time!

• 
$$f[i] = \min_{j < i} f[j] + C + \left(\sum_{k=j+1}^{i} a_k\right)^2$$
.

- When j = y is better than j = x when calculate f[i]?
- Let  $s(i) = \sum_{k=1}^{i} a_k$

$$f[x] + C + (s(i) - s(x))^{2} > f[y] + C + (s(i) - s(y))^{2}$$

$$f[x] - f[y] > (s(i) - s(y))^{2} - (s(i) - s(x))^{2}$$

$$= s(y)^{2} - s(x)^{2} - 2s(i)(s(y) - s(x))$$

$$\frac{(f[y] + s(y)^{2}) - (f[x] + s(x)^{2})}{2(s(y) - s(x))} < s(i)$$



#### Math Time!

$$\frac{(f[y]+s(y)^2)-(f[x]+s(x)^2)}{2(s(y)-s(x))} < s(i)$$

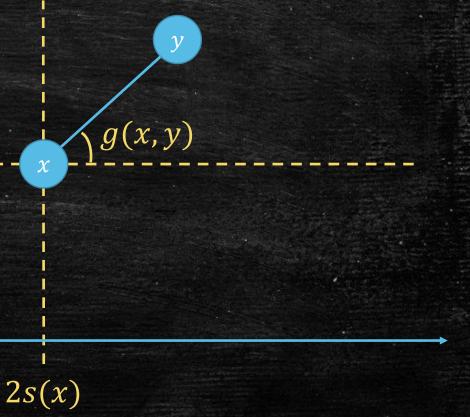
$$g(x,y) = \frac{(f[y]+s(y)^2)-(f[x]+s(x)^2)}{2(s(y)-s(x))}$$

View it as two points!

$$-x: (2s(x), f[x] + s(x)^2)$$

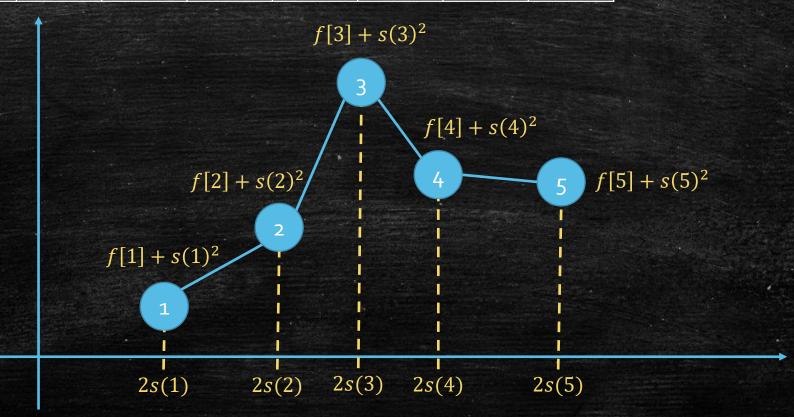
- y: 
$$(2s(y), f[y] + s(y)^2)$$
  
 $f[x] + s(x)^2$ 

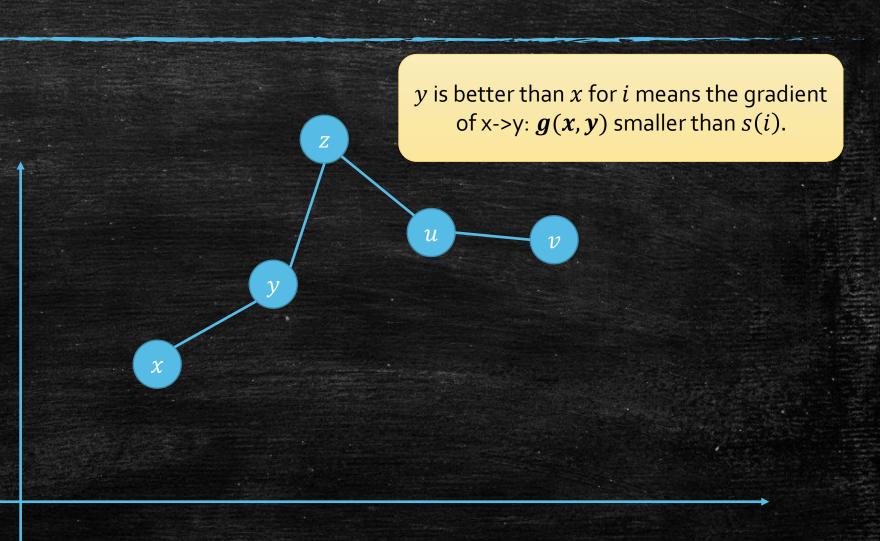
y is better than x for i means the gradient of x->y: smaller than s(i).



# Put everything on the graph

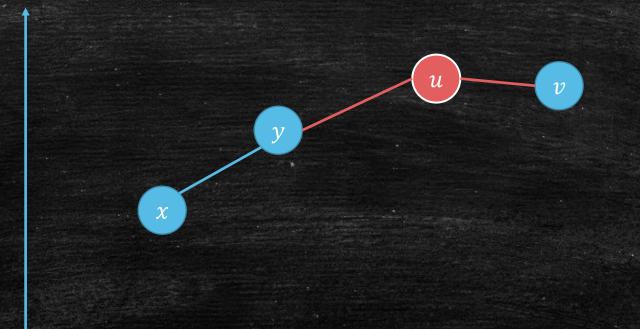
f[1]	f[2]	f[3]	f[4]	<i>f</i> [5]				
$c_1$	$c_2$	<i>c</i> <sub>3</sub>	$c_4$	<i>C</i> <sub>5</sub>	<i>c</i> <sub>6</sub>	C <sub>7</sub>	<i>c</i> <sub>8</sub>	<i>C</i> 9

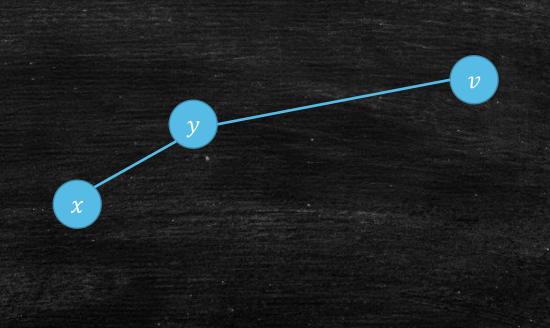


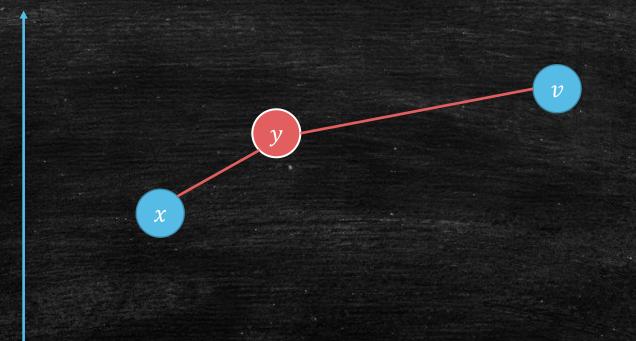


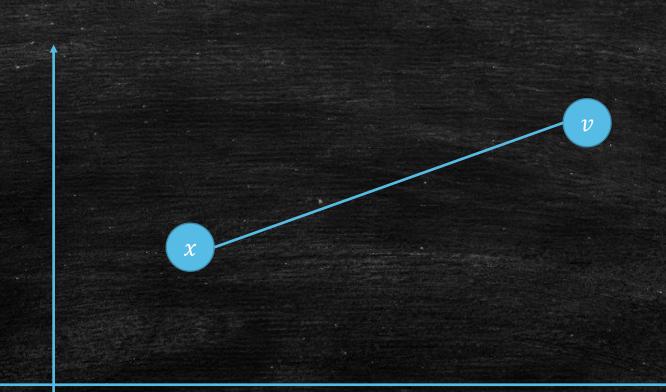
g(y,z) > g(z,u)! If z is better than y, then u is better than z. y is better than x for i means the gradient of x->y: g(x, y) smaller than s(i).

u v

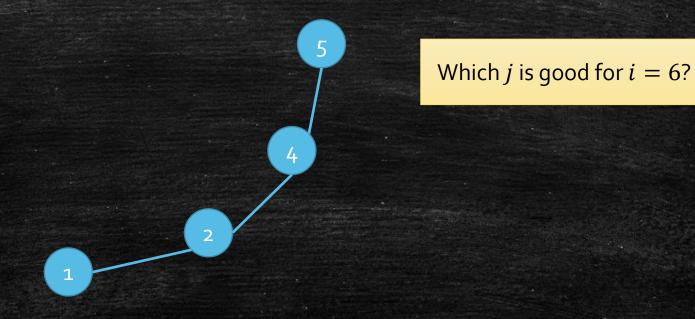




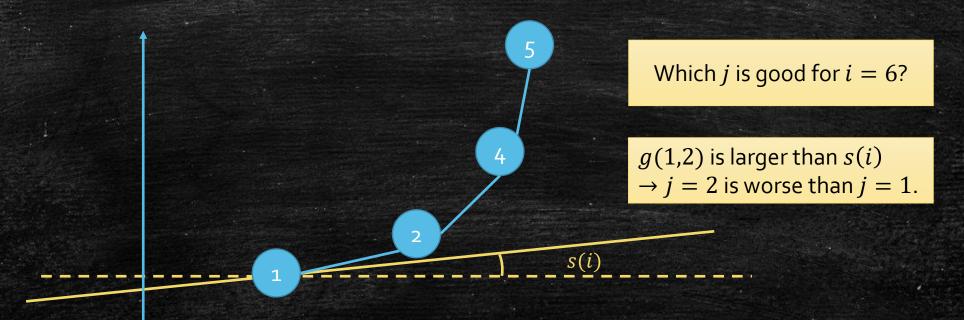




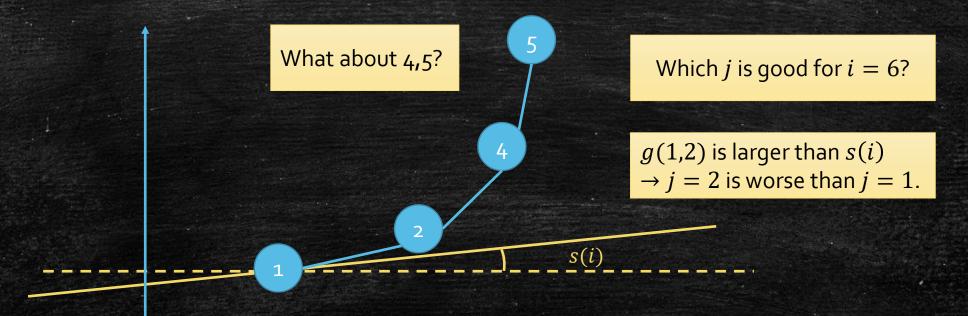
f[1]	f[2]	f[3]	f[4]	f[5]	?	4.		
$c_1$	$c_2$	$c_3$	$c_4$	<i>c</i> <sub>5</sub>	<i>c</i> <sub>6</sub>	<i>C</i> <sub>7</sub>	<i>c</i> <sub>8</sub>	<i>C</i> <sub>9</sub>



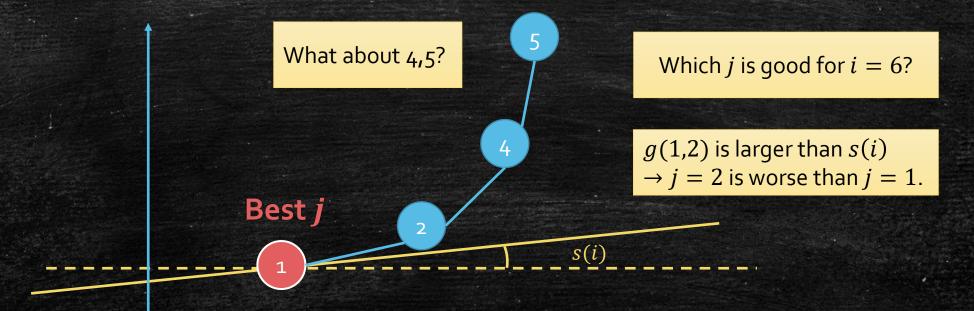
f[1]	f[2]	f[3]	f[4]	f[5]	?	4.		
$c_1$	$c_2$	$c_3$	$c_4$	<i>c</i> <sub>5</sub>	<i>c</i> <sub>6</sub>	<i>C</i> <sub>7</sub>	<i>c</i> <sub>8</sub>	<i>C</i> <sub>9</sub>



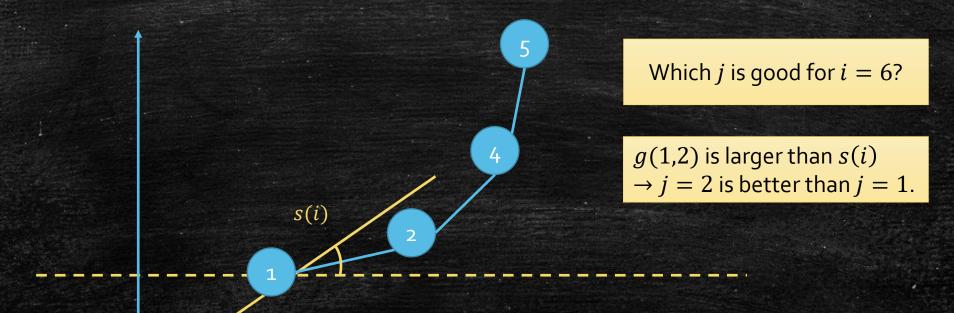
f[1]	f[2]	f[3]	f[4]	f[5]	?	4.		
$c_1$	$c_2$	$c_3$	$c_4$	<i>c</i> <sub>5</sub>	<i>c</i> <sub>6</sub>	<i>C</i> <sub>7</sub>	<i>c</i> <sub>8</sub>	<i>C</i> <sub>9</sub>



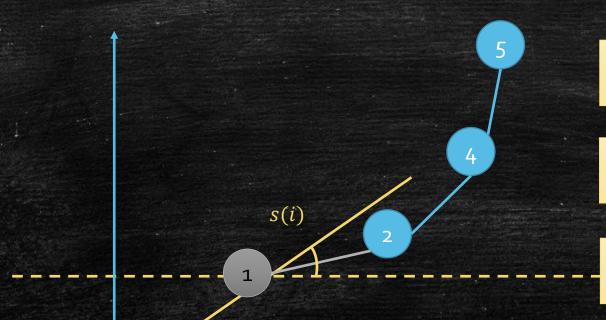
f[1]	f[2]	f[3]	f[4]	f[5]	?	4.		
$c_1$	$c_2$	$c_3$	$c_4$	<i>c</i> <sub>5</sub>	<i>c</i> <sub>6</sub>	<i>C</i> <sub>7</sub>	<i>c</i> <sub>8</sub>	<i>C</i> <sub>9</sub>



f[1]	f[2]	f[3]	f[4]	f[5]	?	3.		
$c_1$	$c_2$	$c_3$	$c_4$	<i>c</i> <sub>5</sub>	c <sub>6</sub>	<i>c</i> <sub>7</sub>	<i>c</i> <sub>8</sub>	<i>C</i> 9



f[1]	f[2]	f[3]	f[4]	f[5]	?	4.		
$c_1$	$c_2$	$c_3$	$c_4$	<i>c</i> <sub>5</sub>	<i>c</i> <sub>6</sub>	<i>C</i> <sub>7</sub>	<i>c</i> <sub>8</sub>	<i>C</i> <sub>9</sub>

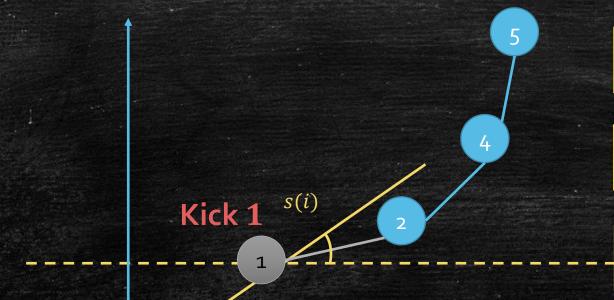


Which j is good for i = 6?

g(1,2) is larger than s(i) $\rightarrow j = 2$  is better than j = 1.

Will j = 1 be better again for larger i?

f[1]	f[2]	f[3]	f[4]	f[5]	?	4		
$c_1$	$c_2$	<i>c</i> <sub>3</sub>	$c_4$	<i>c</i> <sub>5</sub>	<i>c</i> <sub>6</sub>	<i>C</i> <sub>7</sub>	<i>c</i> <sub>8</sub>	C9

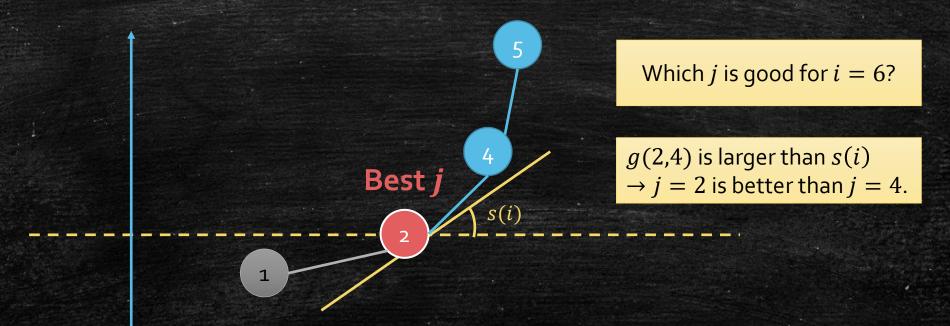


Which j is good for i = 6?

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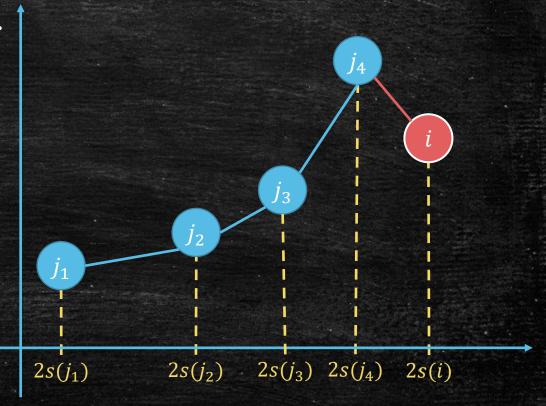
f[1]	f[2]	f[3]	f[4]	f[5]	?	4		
$c_1$	$c_2$	<i>c</i> <sub>3</sub>	$c_4$	<i>c</i> <sub>5</sub>	<i>c</i> <sub>6</sub>	<i>C</i> <sub>7</sub>	<i>c</i> <sub>8</sub>	C9



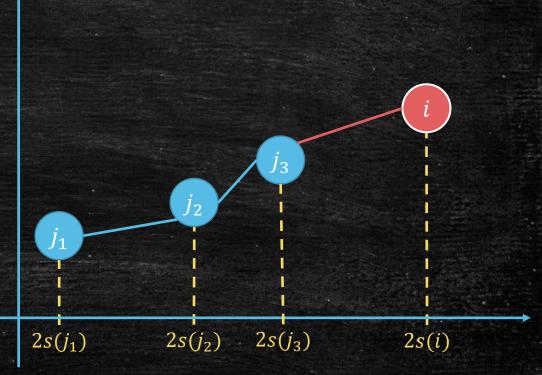
### Algorithm for updating f[i].

- Let  $j_1, j_2, ... j_m$  be the convex hull.
- Loop form k = 1
- While  $g(j_k, j_{k+1}) \le s(i)$  then
  - kick  $j_k$
  - k + +
- Until  $g(j_k, j_{k+1}) > s(i)$
- $j_k$  is the **best**!
- $f[i] = f[j] + C + \left(\sum_{k=j+1}^{i} a_k\right)^2 = f[j] + C + (s(i) s(j))^2$

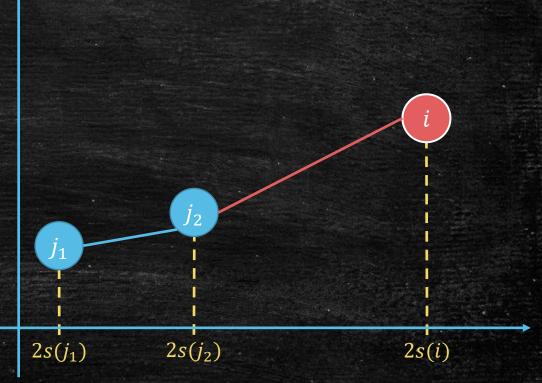
- Let  $j_1, j_2, ... j_m$  be the convex hull.
- Loop form k = m
- While  $g(j_{k-1}, j_k) \ge g(j_k, i)$  then
  - kick  $j_k$
  - -k--
- Until  $g(j_k, j_{k+1}) < g(j_k, i)$
- $j_{k+1} \leftarrow i$



- Let  $j_1, j_2, ... j_m$  be the convex hull.
- Loop form k = m
- While  $g(j_{k-1}, j_k) \ge g(j_k, i)$  then
  - kick  $j_k$
  - -k--
- Until  $g(j_k, j_{k+1}) < g(j_k, i)$
- $j_{k+1} \leftarrow i$



- Let  $j_1, j_2, ... j_m$  be the convex hull.
- Loop form k = m
- While  $g(j_{k-1}, j_k) \ge g(j_k, i)$  then
  - kick  $j_k$
  - -k--
- Until  $g(j_k, j_{k+1}) < g(j_k, i)$
- $j_{k+1} \leftarrow i$



# The DP Algorithm

- Complete the DP
  - f[0] = 0
  - For i = 1 to n
    - Calculate f[i] from the potential convex hull.
    - Insert i into the convex hull.

# Running time?

- Complete the DP
  - -f[0]=0
  - For i = 1 to n
    - Calculate f[i] from the potential convex hull.
    - Insert *i* into the convex hull.

### Algorithm for updating f[i].

- Let  $j_1, j_2, ... j_m$  be the con Charge to i
- 1. Loop form k=1
- 2. While  $g(j_k, j_{k+1}) \leq s(i)$  then
  - kick  $j_k$
  - k + +

Charge to  $j_k$ 

Charge to i

- 3. Until  $g(j_k, j_{k+1}) > s(i)$
- 4.  $j_k$  is the **best**!

5. 
$$f[i] = f[j] + C + \left(\sum_{k=j+1}^{i} a_k\right)^2 = f[j] + C + (s(i) - s(j))^2$$

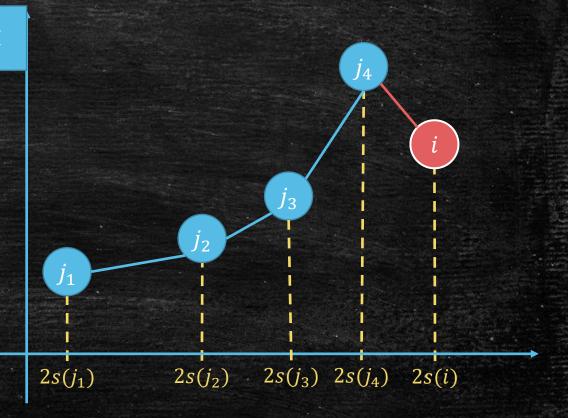
• Let  $j_1, j_2, ... j_m$  be the co

Charge to i

Charge to  $j_k$ 

Loop form k = m

- 2. While  $g(j_{k-1}, j_k) \ge g(j_k, i)$  then
  - kick  $j_k$
  - -k--
- 3. Until  $g(j_k, j_{k+1}) < g(j_k, i)$
- $4. j_{k+1} \leftarrow i$



#### Running time?

- Complete the DP
  - f[0] = 0
  - For i = 1 to n
    - Calculate f[i] from the potential convex hull.
    - Insert *i* into the convex hull.
- Total Charged Cost for Each i
  - When it is kicked → once
  - When it is calculated → once
  - When it is inserted → once

#### **Product of Sets**

- Input: n sets  $L_1, L_2, ..., L_n$ .
- Output: The minimum number of operations to calculate  $L_1 \times L_2 \dots \times L_n$ .
- What is  $L_1 \times L_2$ ?
- $L_1 = \{a, b, c\}, L_2 = \{x, y\}$
- $L_1 \times L_2 = \{(a, x), (a, y), (b, x), (b, y), (c, x), (c, y)\}$
- General Form:  $L_1 \times L_2 = \{(a, b) \mid a \in L_1, b \in L_2\}$

- We want  $L_1 \times L_2 \times L_3$
- Two different calculations
  - $(L_1 \times L_2) \times L_3$  $L_1 \times (L_2 \times L_3)$
- Two different costs
  - $-m_1m_2+m_1m_2m_3$
  - $-m_1m_2m_3+m_2m_3$
- $m_1, m_2, m_3, ..., m_n$  are crucial!

#### What is the cost of different calculation?

- We want  $L_1 \times L_2 \times L_3$
- Two different calculations
  - $-(L_1 \times L_2) \times L_3$
  - $L_1 \times (L_2 \times L_3)$
- Two different costs
  - $-m_1m_2+m_1m_2m_3$
  - $-m_1m_2m_3+m_2m_3$
- $m_1, m_2, m_3, ..., m_n$  are crucial!

# Can you design a DP for it?

#### A simple DP

- Subproblem: c(i,j) is the cost for calculating  $L_i \times L_2 ... \times L_j$ .
- How to update c(i,j)?
  - Case 1:  $c(i,j) = c(i+1,j) + m_i m_{i+1} \dots m_j$
  - Case 2:  $c(i,j) = c(i,i+1) + c(i+2,j) + m_i m_{i+1} \dots m_j$
  - ...
  - Case ?:  $c(i,j) = c(i,j-1) + m_i m_{i+1} \dots m_j$
  - Case  $k: c(i,j) = c(i,k) + c(k+1,j) + m_i m_{i+1} \dots m_j$
- Transfer:  $c(i,j) = m_i m_{i+1} \dots m_j + \min_{i \le k < j} \{c(i,k) + c(k+1,j)\}$
- Remark: we use w(i,j) to denote  $m_i m_{i+1} \dots m_j$ .

### Running Time

- What about the running time?
- $n^2$  subproblems, we use n iterations to calculate each.
- $O(n^3)$
- The topological order.

c(i,j)	j = 1	j = 2	j=3	j=4	<i>j</i> = 5
i = 1					
i = 2					
i = 3					
i = 4					
i = 5					

# Improvement!

F. Frances Yao STOC 1980

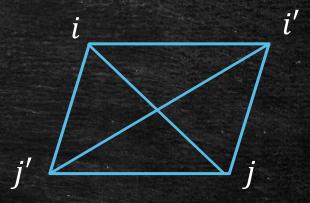
#### Key Property We Observe

#### DP formula

$$- c(i,j) = w(i,j) + \min_{i \le k < j} \{c(i,k) + c(k+1,j)\}$$

#### Weight function

- $w(i,j) = m_i m_{i+1} \dots m_j$
- Quadrangle Inequality (QI)
  - $\forall i \le i' \le j \le j'$ ,  $w(i,j) + w(i',j') \le w(i',j) + w(i,j')$
- Monotonicity
  - $\forall i \leq i' \leq j \leq j', \ w(i',j) \leq w(i,j')$



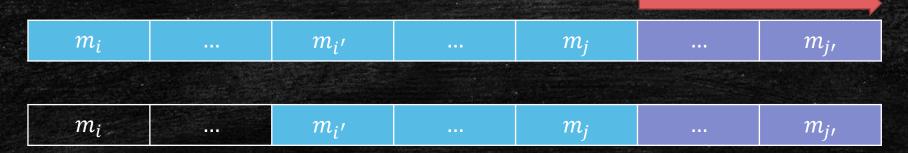
#### **Understand QI and Monotonicity**

#### Monotonicity

- $\forall i \leq i' \leq j \leq j', \ w(i',j) \leq w(i,j')$
- The weight function is increasing.

#### Quadrangle Inequality (QI)

- $\forall i \le i' \le j \le j', \ w(i,j) + w(i',j') \le w(i',j) + w(i,j')$
- $w(i,j') w(i,j) \ge w(i',j') w(i',j)$
- Larger size w increase larger.



#### Check w(i,j)

#### Monotonicity

$$- \forall i \le i' \le j \le j', \ w(i',j) \le w(i,j')$$

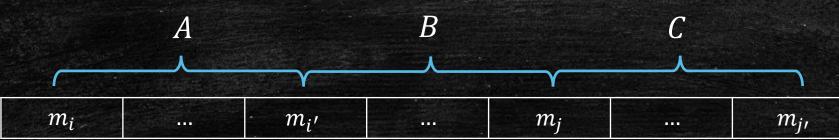
#### Quadrangle Inequality (QI)

$$- \forall i \le i' \le j \le j', \ w(i,j) + w(i',j') \le w(i',j) + w(i,j')$$

#### Prove QI

$$-AB + BC \le B + ABC$$

$$-AB(C-1) \ge B(C-1)$$



#### QI for w implies QI for c

- It implies.....
- Quadrangle Inequality (QI) for c(i,j) $\forall i \leq i' \leq j \leq j', \quad c(i,j) + c(i',j') \leq c(i',j) + c(i,j')$

c(i,j)	j = 1	j = 2	j = 3	j = 4	j = 5
i = 1			c(i,j) —		$\rightarrow c(i,j')$
i = 2			1		1
i = 3			c(i',j) —		$\rightarrow c(i',j')$
i = 4					
i = 5					

### Hold on

Quadrangle Inequality (QI):  $w(i,j) + w(i',j') \le w(i',j) + w(i,j')$ . Monotonicity:  $w(i',j) \le w(i,j')$ 



Quadrangle Inequality (QI) for c(i,j) $\forall i \leq i' \leq j \leq j', \quad c(i,j) + c(i',j') \leq c(i',j) + c(i,j')$ 

### Assume we have it!

- Quadrangle Inequality (QI) for c(i,j)
  - $\forall i \le i' \le j \le j', \ c(i,j) + c(i',j') \le c(i',j) + c(i,j')$
- How to design a better DP?

c(i,j)	j = 1	j = 2	j = 3	j=4	j = 5
i = 1			c(i,j) —		$\rightarrow c(i,j')$
i = 2					1
i = 3			c(i',j) —		$\rightarrow c(i',j')$
i = 4					
i = 5					

Go back to the potential idea.

### What is the best k for c(i, j)?

- Consider again when  $k_2$  is better than  $k_1$ .
- $c(i, k_1) + c(k_1 + 1, j) > c(i, k_2) + c(k_2 + 1, j)$
- $c(k_1 + 1, j) c(k_2 + 1, j) > c(i, k_2) c(i, k_1)$
- Fix *i*, which one is better depends on  $-c(k_1+1,j)-c(k_2+1,j)>c(i,k_2)-c(i,k_1)$
- Fix j, which one is better depends on  $-c(i,k_2)-c(i,k_1) < c(k_1+1,j)-c(k_2+1,j)$

# The Monotonicity when Comparing $k_1, k_2$

- The condition of  $k_2$  is better than  $k_1$ -  $c(k_1 + 1, j) - c(k_2 + 1, j) > c(i, k_2) - c(i, k_1)$
- Fix *i*, consider what happens for different *j*. -  $c(k_1 + 1, j) - c(k_2 + 1, j)$  is non-decreasing on *j*!



# The Monotonicity when Comparing $k_1, k_2$

c(i,j)	<i>j</i> = 1	j=2	j = 3	j = 4	<i>j</i> = 5
i = 1			$k_1, k_2$	$k_1, k_2$	
i = 2					
i = 3					
i = 4					
i = 5					

- $c(k_1+1,j)-c(k_2+1,j)$  is non-decreasing on j!
- If  $k_2$  is better than  $k_1$  at j=3, it is also better at j=4.
- $c(i, k_2) c(i, k_1) < c(k_1 + 1, j) c(k_2 + 1, j) \le c(k_1 + 1, j') c(k_2 + 1, j')$

### What does it mean

- The best k have some monotonicity w.r.t. j.
- Let k(i,j) be the best k c(i,j) selected.

## Monotonicity for k(i, j).

k(i,j)	<i>j</i> = 1	j = 2	j = 3	j=4	<i>j</i> = 5
i = 1		No	n-decreasing		
i=2					
i = 3					
i = 4					
i = 5					

• 
$$c(i,j) = w(i,j) + \min_{k(i,j-1) \le k < j} \{c(i,k) + c(k+1,j)\}$$

Is that enough?

## Is that enough?

• 
$$c(i,j) = w(i,j) + \min_{k(i,j-1) \le k < j} \{c(i,k) + c(k+1,j)\}$$

- No!
- The cost maybe  $1+2+3+4+\cdots+n-1+n$  for each row.
- Still  $O(n^3)!$

## Monotonicity for k(i, j).

k(i, j)	j=1	j = 2	j=3	j=4	<i>j</i> = 5
i = 1					
i = 2					
i = 3			k'	k	
i = 4				$k^{\prime\prime}$	
i = 5					

#### Non-decreasing

- $c(i, k_2) c(i, k_1)$  is non–increasing on i.
- $k_2$  is better?  $c(i, k_2) c(i, k_1) < c(k_1 + 1, j) c(k_2 + 1, j)$

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Non-decreasing

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i = 5					10.000

#### Non-decreasing

- $c(i, k_2) c(i, k_1)$  is non–increasing on i.
- $k_2$  is better?  $c(i, k_2) c(i, k_1) < c(k_1 + 1, j) c(k_2 + 1, j)$
- If  $k_2$  is better at i, then it is still better at i' > i.

## A new DP approach

<i>k</i> ( <i>i</i> , <i>j</i> )	j = 1	j = 2	j=3	j=4	<i>j</i> = 5
i = 1					
i = 2					
i = 3			$k_1$	$k_1 \le k \le k_2$	
i = 4				$k_2$	
<i>i</i> = 5					

• 
$$c(i,j) = w(i,j) + \min_{k(i,j-1) \le k < k(i+1,j)} \{c(i,k) + c(k+1,j)\}$$

What is a good order for this approach?

## A new DP approach

<i>k</i> ( <i>i</i> , <i>j</i> )	j = 1	j = 2	j=3	j=4	<i>j</i> = 5
i = 1					
i = 2					
i = 3			$k_1$	$k_1 \le k \le k_2$	
i = 4				$k_2$	
<i>i</i> = 5					

• 
$$c(i,j) = w(i,j) + \min_{k(i,j-1) \le k < k(i+1,j)} \{c(i,k) + c(k+1,j)\}$$

• We know  $k_1$  and  $k_2$  in the topological order!

# DP algorithm

- Intialize c[i, i] = 0 for all i
- For  $\Delta = 1$  to n-1
  - For i = 1 to  $n \Delta$ 
    - $j = i + \Delta$
    - $c[i,j] = w(i,j) + \min\{c(i,k) + c(k+1,j)\}.$
    - Searching range k from k(i, j 1) to k(i + 1, j)
    - $k(i,j) \leftarrow \text{the best } k$

## Running Time

- Intialize c[i, i] = 0 for all i
- For  $\Delta = 1$  to n-1
  - For i = 1 to  $n \Delta$ 
    - $j = i + \Delta$
    - $c[i,j] = w(i,j) + \min\{c(i,k) + c(k+1,j)\}.$
    - Searching range k from k(i, j 1) to k(i + 1, j)
    - $k(i,j) \leftarrow \text{the best } k$
- $Time = \sum_{i=1}^{n-\Delta} k(i+1, i+\Delta) k(i, i+\Delta-1)$ =  $k(n-\Delta+1, n) - k(1, \Delta) \le n$

Don't forget to check why QI is correct for c.

### Prove QI for c

#### Given

- $w(i,j) = m_i m_{i+1} \dots m_j$
- Quadrangle Inequality (QI)
  - $\forall i \leq i' \leq j \leq j', \ w(i,j) + w(i',j') \leq w(i',j) + w(i,j')$
- Monotonicity
  - $\forall i \leq i' \leq j \leq j', \ w(i',j) \leq w(i,j')$

#### To prove

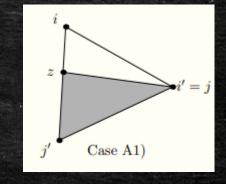
- $c(i,j) = w(i,j) + \min_{i \le k < j} \{c(i,k) + c(k+1,j)\}$
- Quadrangle Inequality (QI)
  - $\forall i \le i' \le j \le j'$ ,  $c(i,j) + c(i',j') \le c(i',j) + c(i,j')$

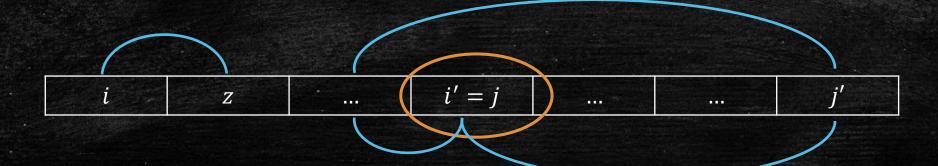
## Prove by Induction

- Prove by Induction with (j'-i)
- Base case: (i = j'): easy to check.
- Inductive:  $j' i = \Delta$ 
  - Hypothesis
    - $\forall j' i < \Delta$ ,  $i \le i' \le j \le j'$ ,  $c(i,j) + c(i',j') \le c(i',j) + c(i,j')$
  - To prove
    - $\forall j' i = \Delta$ ,  $i \le i' \le j \le j'$ ,  $c(i,j) + c(i',j') \le c(i',j) + c(i,j')$

## A special case: i' = j

- Quadrangle Inequality (QI) for c(i,j) $\forall i \leq i' \leq j \leq j', \quad c(i,j) + c(i',j') \leq c(i',j) + c(i,j')$
- To prove  $c(i,j) + c(j,j') \le c(i,j')$
- c(i,j') is minimized at a point in [i,j'].
- c(i,j') = c(i,z) + c(z+1,j') + w(i,j') $\geq c(i,z) + c(z+1,j) + c(j,j') + w(i,j')$

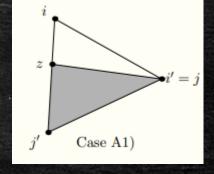


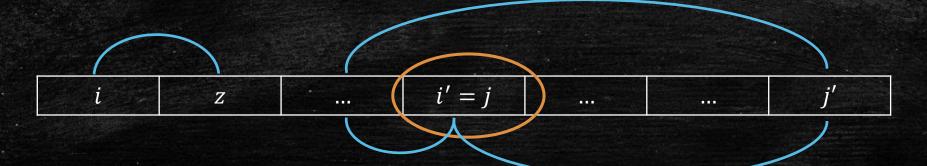


### A special case: i' = j

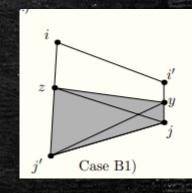
- Quadrangle Inequality (QI) for c(i,j) $\forall i \leq i' \leq j \leq j', \quad c(i,j) + c(i',j') \leq c(i',j) + c(i,j')$
- To prove  $c(i,j) + c(j,j') \le c(i,j')$
- c(i,j') is minimized at a point in [i,j'].
- c(i,j') = c(i,z) + c(z+1,j') + w(i,j')

$$\geq c(i,z) + c(z+1,j) + c(j,j') + w(i,j') \geq c(i,j) + c(j,j')$$





- Quadrangle Inequality (QI) for c(i,j) $\forall i \leq i' \leq j \leq j', \quad c(i,j) + c(i',j') \leq c(i',j) + c(i,j')$
- c(i,j') = c(i,z) + c(z+1,j') + w(i,j')
- c(i',j) = c(i',y) + c(y+1,j) + w(i',j)
- c(i,j') + c(i',j) = c(i,z) + c(z+1,j') + w(i,j') + c(i',y) + c(y+1,j) + w(i',j)



• 
$$c(i,j') + c(i',j) = c(i,z) + c(z+1,j') + w(i,j')$$
  
+  $c(i',y) + c(y+1,j) + w(i',j)$ 

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$$c(i,j') + c(i',j) = c(i,z) + c(z+1,j') + w(i,j') + c(i',y) + c(y+1,j) + w(i',j)$$

• 
$$c(i,j') + c(i',j) = c(i,z) + c(z+1,j') + w(i,j') + c(i',y) + c(y+1,j) + w(i',j)$$

Inductive Hypothesis

$$-c(z+1,j')+c(y+1,j) \ge c(z+1,j)+c(y+1,j')$$

• 
$$c(i,j') + c(i',j) \ge c(i,z) + c(z+1,j) + w(i,j')$$
  
+  $c(i',y) + c(y+1,j') + w(i',j)$ 

Inductive Hypothesis

$$-c(z+1,j')+c(y+1,j) \ge c(z+1,j)+c(y+1,j')$$

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+  $c(i',y) + c(y+1,j') + w(i',j)$ 

Inductive Hypothesis

$$-c(z+1,j')+c(y+1,j) \ge c(z+1,j)+c(y+1,j')$$

QI of w

$$- w(i,j') + w(i',j) \ge w(i,j) + w(i',j')$$

• 
$$c(i,j') + c(i',j) \ge c(i,z) + c(z+1,j) + w(i,j)$$
  
+  $c(i',y) + c(y+1,j') + w(i',j')$ 

Inductive Hypothesis

$$-c(z+1,j')+c(y+1,j) \ge c(z+1,j)+c(y+1,j')$$

• QI of w

$$- w(i,j') + w(i',j) \ge w(i,j) + w(i',j')$$

• 
$$c(i,j') + c(i',j) \ge c(i,z) + c(z+1,j) + w(i,j) \ge c(i,j)$$
  
+  $c(i',y) + c(y+1,j') + w(i',j') \ge c(i',j')$ 

Inductive Hypothesis

$$-c(z+1,j')+c(y+1,j) \ge c(z+1,j)+c(y+1,j')$$

QI of w

$$- w(i,j') + w(i',j) \ge w(i,j) + w(i',j')$$

## Today's goal

- Recap the Guideline of DP! (Most Important)
- Learn how to improve DP by Priority Queue!
- Learn the tool: Priority Queue.
- Example
  - Largest Number in k Consecutive Numbers
  - Longest Increasing Sequence
  - Minimizing Printing Cost