## ImputeZScore.jl (Huwenbo Shi)

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## 1 Imputation of association statistics in GWAS

## 1.1 Background

In a traditional GWAS, one collects genotype data at a small subset of SNPs over some individuals, then imputes genotypes across the entire genome, and finally computes association statistics (e.g. Z-scores) for each genotyped and imputed SNPs. This procedure can take tremendous amount of time as genotype imputation is computationally extensive.

Here, we impute Z-scores of ungenotyped SNPs directly from Z-scores of genotyped SNPs, without first performing genotype imputation, saving hundreds of hours of CPU time. The idea behind this approach is that Z-scores of genotyped and ungenotyped SNPs follow a multivariate normal distribution with LD matrix, which can be estimated from a reference panel, as the covariance structure -- one can impute the Z-scores of ungenotyped SNPs as the expectation of Z-scores of ungenotyped SNPs conditional on the Z-scores of genotyped SNPs.

In detail, let  $Z = (Z_t, Z_u)$  be the Z-score vector partitioned into two components, genotyped  $(Z_t)$  and ungenotyped  $(Z_u)$ . It has been previously shown that Z has the following distribution,

$$\left[\begin{array}{c} Z_t \\ Z_u \end{array}\right] \sim MVN\left(\left[\begin{array}{c} \Lambda_t \\ \Lambda_u \end{array}\right], \left[\begin{array}{cc} \Sigma_{tt} & \Sigma_{tu} \\ \Sigma_{ut} & \Sigma_{uu} \end{array}\right]\right),$$

where  $\Lambda = (\Lambda_t, \Lambda_u)$  is the non-centrality parameter,  $\Sigma_{tt}$  the LD between genotyped SNPs,  $\Sigma_{tu}$  the LD between genotyped and ungenotyped SNPs.

The conditional expectation of  $Z_u$  given  $Z_t$  is then

$$Z_u|Z_t \sim MVN\left(\Lambda_u + \Sigma_{ut}\Sigma_{tt}^{-1}Z_t, \Sigma_{uu} - \Sigma_{ut}\Sigma_{tt}^{-1}\Sigma_{tu}
ight).$$

We impute the Z-scores of ungenotyped SNPs as  $\hat{Z}_u = E[Z_u|Z_t] = \Sigma_{ut}\Sigma_{tt}^{-1}Z_t$  under the null assumption that  $\Lambda_u = 0$ . Let  $W = \Sigma_{ut}\Sigma_{tt}^{-1}$ . This can be viewed as the weights on Z-scores of genotyped SNPs in the imputation of Z-scores of ungenotyped SNPs. Then

$$\hat{Z}_u \sim MVN(0, \Sigma_{ut}\Sigma_{tt}^{-1}\Sigma_{tu}),$$

where each entry  $\hat{Z}_{u,i}$  of  $\hat{Z}_u$  follows

$$\hat{Z}_{u,i} \sim N(0, \Sigma_{ut,i*} \Sigma_{tt}^{-1} \Sigma_{tu,*i}).$$

Here,  $\Sigma_{ut,i*}$  denotes the *i*-th row of  $\Sigma_{ut}$  and  $\Sigma_{tu,*i}$  the *i*-th column of  $\Sigma_{tu}$ . To obtain a associations statistics that has mean 0 and variance 1, we standardize  $\hat{Z}_{u,i}$  by  $\sqrt{\Sigma_{ut,i*}\Sigma_{tt}^{-1}\Sigma_{tu,*i}}$ . More specifically, the final imputated association statistics of each SNP is

$$\hat{Z}_{imp,i} = rac{\hat{Z}_{u,i}}{\sqrt{\Sigma_{ut,i*}\Sigma_{tt}^{-1}\Sigma_{tu,*i}}} \sim N(0,1).$$

In practice, inverting a large matrix can be time-consuming. Instead, we adopt a window-based approach, i.e. we impute Z-scores of ungenotyped SNPs one window at a time.

## 2 Example

7

2996

2997

2998

2999

3000

"rs4819849"

"rs736334"

"rs6010063"

"rs8137951"

"rs3810648"

"rs2238837"

```
In [1]: # load in required packages
        include("../src/ImputeZScore.jl")
        using DataFrames, .ImputeZScore, SnpArrays
In [2]: # read in z-scores of genotyped SNPs on chromosome 22 as a data frame
        zsc_t = readtable("./hdl_chr22_typed.zsc", separator=' ')
Out [2]: 3000@5 DataFrames.DataFrame
                rsID
                                          ΑO
                                                    Z
         Row
                                               Α1
                                          "G"
                                               "T"
         1
                "rs5746647"
                               17057138
                                                    1.9927
         2
                "rs5747968"
                               17067504
                                          "G"
                                               "T"
                                                    3.07937
         3
                                          " A "
                "rs2236639"
                               17072483
                                               "G"
                                                    2.16346
         4
                "rs5746679"
                               17080378
                                          " A "
                                               "G"
                                                    2.58537
         5
                                          "C"
                                               "A"
                "rs11089263"
                               17087656
                                                    0.5
                                               "C"
                                                    -0.291139
         6
                                          " A "
                "rs2096537"
                               17094749
```

" A "

"G"

2.12903

0.278689

-0.368421

0.415842

0.448718

0.44

51139178

51156933

51165664

51175626

51212875

17152611

"C"

" A "

"G"

" A "

"A"

"T"

"G"

"A"

"G"

"C"

```
In [3]: # there are only 3000 genotyped SNPs
     println(size(zsc_t))
```

(3000,5)

In [4]: # read in reference panel from 1000 genomes project for chromosome 22
 refpanel = SnpData("./1000G.EUR.22");

In [5]: # the reference panel has 17,489 SNPs on chromosome 22
 println(size(refpanel.snpmatrix))

(489, 17489)

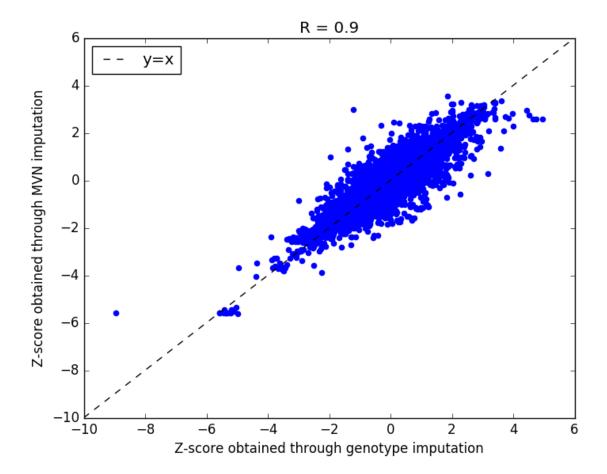
12.159418 seconds (56.25 M allocations: 1.758 GB, 3.29% gc time)

Out[6]: 10	0268Œ6	DataFrames.	.DataFrame
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Row	rsID	pos	AO	A1	Z	r2pred
1	"rs2379981"	17030792	"G"	"A"	1.92311	0.705895
2	"rs4535153"	17031072	"C"	יידיי יידיי	1.92311	0.705895
3	"rs9605903"	17054720	"C"	"T"	2.07014	0.740814
4	"rs5747988"	17073066	"A"	"G"	2.14202	0.888847
5	"rs5746664"	17074622	"A"	"C"	2.23801	0.914545
6	"rs2070501"	17084609	"A"	"G"	0.345276	0.915018
7	"rs16984366"	17096864	"C"	"T"	-1.94536	0.721418
8	"rs8137637"	17103717	"G"	"T"	-1.98102	0.729256
9	"rs4410381"	17107266	"A"	"G"	-2.10321	0.73318
10	"rs5993671"	17116398	"G"	"T"	-0.246478	0.894049
11	"rs5992472"	17132490	"G"	"A"	-0.269963	0.886824
10257	"rs762672"	51064818	"T"	"C"	-0.0281581	0.859197
10258	"rs10854884"	51101899	"A"	"C"	1.52138	0.818583
10259	"rs8138460"	51103692	"G"	"A"	1.68241	0.837635
10260	"rs9616906"	51104680	"A"	"G"	1.64511	0.851399
10261	"rs9628185"	51109992	"C"	"T"	1.55364	0.908213
10262	"rs9616915"	51117580	"T"	"C"	-1.57798	0.820481
10263	"rs9616816"	51123505	"A"	"G"	-0.350208	0.805102
10264	"rs739365"	51140316	"T"	"C"	-0.516951	0.679435
10265	"rs10451"	51162059	"A"	"G"	0.18436	0.904821
10266	"rs715586"	51163138	"T"	"C"	-0.778781	0.658237
10267	"rs2285395"	51178090	"A"	"G"	-0.374061	0.855836
10268	"rs3865766"	51186228	"T"	"C"	-0.473425	0.735647

```
In [7]: # the imputed data set has much more SNPs
        # the last column r2pred is a measure of imputation accuracy
        # note that this module filters out poorly imputed SNPs (r2pred < 0.6) by default
        println(size(zsc_imp))
(10268,6)
In [8]: # now let's compare the imputed z-scores with the z-scores obtained by first
        # performing a genotype imputation
        zsc_full = readtable("./hdl_chr22_full.zsc", separator=' ');
        # this removes SNPs with duplicated SNP ID and position and makes the
        # sign consistent
        snp_legend = DataFrame(rsID = refpanel.snpid, pos = refpanel.basepairs,
            A0 = refpanel.allele1, A1 = refpanel.allele2)
        filter_input!(zsc_full, snp_legend)
In [9]: # match z-scores based on SNP ID
        zsc_matched = join(zsc_full, zsc_imp, on=:rsID)
        println(zsc_matched)
7444@10 DataFrames.DataFrame
Row
       rsID
                     pos
                               ΑO
                                    Α1
                                         Z
                                                      pos_1
                                                                 A0_1
 1
       "rs1000427"
                               "G"
                                    " A "
                                          -0.697368
                                                      36890105
                                                                 " A "
                     36890105
 2
                               "A"
                                    "G"
       "rs1000815"
                     26831077
                                          0.166667
                                                      26831077
                                                                 "A"
                                    "A"
 3
       "rs1001021"
                               "G"
                                         -0.290598
                                                                 " A "
                     26403599
                                                      26403599
 4
                               "G"
                                    "A"
       "rs1001213"
                     34131736
                                         1.66346
                                                      34131736
                                                                 "A"
 5
                                    "T"
       "rs1001586"
                     42670293
                               "G"
                                         0.516667
                                                      42670293
                                                                 "T"
 6
       "rs1001587"
                     42670111
                               "C"
                                    "T"
                                          0.516667
                                                      42670111
                                                                 "T"
 7
                               "C"
                                    "T"
                                          -0.163636
                                                                 "T"
       "rs1001794"
                     32850930
                                                      32850930
 8
       "rs1001896"
                    19032215
                               "G"
                                    "A"
                                         -0.580645
                                                      19032215
                                                                 " A "
 9
       "rs1002048"
                     34253393
                               "G"
                                    "T"
                                          1.2
                                                      34253393
                                                                 "G"
 10
       "rs1002189"
                     30771458
                               "T"
                                    "C"
                                         -1.69643
                                                      30771458
                                                                 "C"
       "rs1003480"
                               "A"
                                    "G"
                                         -0.1875
 11
                     31346752
                                                      31346752
                                                                 "A"
                               " A "
                                    "G"
                                                                 "G"
7433 "rs9941935"
                     22015144
                                          2.8
                                                      22015144
 7434 "rs9941962"
                     40172198
                               " A "
                                    "G"
                                         -0.525641
                                                      40172198
                                                                 "G"
                               "T"
                                    "C"
                                                                 "C"
 7435
       "rs9941971"
                     26051000
                                         1.15238
                                                      26051000
                               "ד"
 7436
       "rs9956"
                     32015450
                                    "G"
                                          1.31481
                                                      32015450
                                                                 "G"
 7437
                               "T"
                                    "C"
                                                                 "C"
       "rs9967"
                     18211205
                                          1.08333
                                                      18211205
                               "C"
                                    "T"
                                                                 "T"
7438 "rs997120"
                                         -0.102564
                     33108536
                                                      33108536
 7439
       "rs997379"
                     35274298
                               "G"
                                    "T"
                                         0.270833
                                                      35274298
                                                                 "G"
 7440
                               "G"
                                    " A "
                                         0.461538
       "rs9983"
                     30423744
                                                      30423744
                                                                 " A "
 7441
                     41108135
                               "G"
                                    "A"
                                          -0.179104
                                                      41108135
                                                                 "A"
       "rs998482"
7442
       "rs9985182"
                     45539841
                               "G"
                                    "T"
                                         -0.0392157
                                                      45539841
                                                                 "T"
 7443 "rs999540"
                     37121101
                               "G"
                                    " A "
                                          0.174603
                                                      37121101
                                                                 "A"
 7444 "rs9997"
                     20796175
                               "T"
                                    "C"
                                          0.7
                                                      20796175
                                                                 "C"
```

```
Row
      A1_1 Z_1
                         r2pred
1
      "G"
            -0.728381
                         0.924804
2
      "G"
            -0.210362
                         0.942315
3
      "G"
            -0.476867
                         0.903856
4
      "G"
            1.24971
                         0.911577
5
      "G"
            0.440369
                         0.979745
6
      "C"
            0.473304
                         0.976182
7
      "C"
            0.086738
                         0.897738
      "G"
8
            -0.530442
                         0.894444
      "T"
9
            1.20897
                         0.905532
      "T"
10
            -1.8985
                         0.963061
      "G"
11
            -0.0941326
                         0.942817
7433
      " A "
            2.83774
                         0.817645
7434
      " A "
            -0.706095
                         0.974607
            1.11075
7435
      "T"
                         0.959307
7436
      "T"
            0.948379
                         0.956148
7437
      "T"
            1.30208
                         0.914667
7438 "C"
            -1.09414
                         0.809205
7439
      "T"
            0.451928
                         0.957169
7440 "G"
            0.416925
                         0.976849
                         0.971362
7441 "G"
            -0.0895031
            -0.0544267
7442
      "G"
                         0.859937
      "G"
7443
            -0.00408845
                         0.79141
7444 "T"
            0.850073
                         0.952408
```



Out[11]: PyObject <matplotlib.text.Text object at 0x7f90ed6fbbd0>
In []: