

# The Total Tardiness Scheduling Problem

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Consider any resource, such as a classroom, a person's day, a vehicle or a machine, etc., there are a set of independent input jobs that require processing sequentially by the resource. The jobs are equal release, meaning they are all available by the time of scheduling[4]. The schedule is non-preemptive; Preemption in scheduling is to interrupt a running job  $j_1$ , run another job  $j_2$ , and the remaining of  $j_1$  will be run later.

At the time of arrival, each job has a deadline  $d_i$  and a processing time  $p_i$ , which are specifically given by external application or the system designer[1]. The deadline is a fixed absolute time point in the future, by which the job  $j_i$  must finish. The processing time  $p_i$  is the amount of time it takes to finish processing job  $j_i$ [6]. Once a job is scheduled, the completion time  $C_i$  is known; The job  $j_i$  starts to run at the time it is scheduled, takes the amount of time  $p_i$  and finishes at the completion time  $C_i$ [5].

Given the set of jobs  $\{j_1, j_2, \dots, j_n\}$ , the deadline  $\{d_1, d_2, \dots, d_n\}$ , and the processing time  $\{p_1, p_2, \dots, p_n\}$ , after obtaining a certain schedule  $S$ , there are the completion times  $\{C_1, C_2, \dots, C_n\}$ . The tardiness of any scheduled job is defined as  $T(j_i, S) = \max(0, C_i - d_i)$ . For  $n$  jobs scheduled, the Total Tardiness of the schedule is the sum of each job's tardiness,  $TT(S) = \sum_{i=1}^n T(j_i, S)$ . The problem of total tardiness ( $TTP$ ) is to schedule the given jobs in the processing order that minimizes the  $TT(S)$ . The schedule with the smallest  $TTP$  is called the optimal schedule[5].

For this particular problem, the schedule is non-preemptive because [7] shows that for a single machine, optimal preemptive schedule has the same  $TT$  compared to optimal non-preemptive one. That is not the case for multiple machine such as multi-core machine, or parallel machine, though[7].

The nature of the  $TTP$  is combinatorial. Selecting the order for  $n$  independent jobs to run sequentially makes the number of choices to be in the realm of factorial. Running the search in factorial time is almost impossible for a large input set. [5] shows that the  $TTP$  is NP-hard, and there is an optimal solution to any set of input jobs. Being an NP-complete problem means that it theoretically is possible to check whether or not there is a global optimal schedule for a set of inputs using a Nondeterministic Turing Machine in polynomial time. The solution can then be verified by a Deterministic Turing Machine in polynomial time[8, chapter 2].

Multiple attempts have been made in optimizing algorithms for finding optimal output running in polynomial time. There are exact solution algorithms and heuristic solution algorithms. Dynamic programming( $DP$ ) and Branch-and-bound( $B\&B$ ) are algorithms for exact solutions. There has been extensive research in both  $DP$  and  $B\&B$  to reduce the running time. For  $DP$ , Lawler proposed the powerful algorithm to run with the worst case of  $O(n^4P)$ , where  $n$  is the number of jobs and  $P = \sum p_i$ [9].

$B\&B$  is the more powerful and widely used algorithm. It can be used for many other combinatorial problems and has been adapted to solve the  $TTP$ . The idea of  $B\&B$  is that it will actually go and construct all possible combination of the given set of jobs. It prevents the factorial explosion by pruning branches that violate some certain well-defined lower bounds. It is hard to define the complexity of  $B\&B$  because it depends on the input jobs as well as the efficiency of the bounding algorithm[10]. The most efficient B&B version is the hybrid between itself and  $DP$ , where  $B\&B$  decomposes the problem into subproblems until they are small enough to run  $DP$ . However, even the most efficient approach can only run as many as 500 jobs[11].

Due to the high complexity of the exact solution, Heuristic solutions are proposed to provide local optimal solutions. The tardiness property makes it hard to calculate the performance of any heuristic algorithm[6]. However, heuristic approaches runs in much lower polynomial times, which is much more practical and can be designed for certain purposes and different systems[4]. [4] also categorizes Heuristic algorithm into Construction heuristic, local search heuristic and decomposition heuristics.

Construction Heuristic builds the schedule by making  $n$  pass through the unscheduled jobs, each pass it picks one suitable job and adds it into the schedule; Two approaches of this heuristic method is Shortest Processing Time and Earliest Deadline. Local Search Heuristic starts with a feasible solutions(such as one given by Earliest Deadline), then it uses other techniques such as swapping next-by jobs, moving job, or reversing short sequence, to find other sequences with lower Total Tardiness. Decomposition Heuristic is a Divide-and-Conquer technique, where it breaks the problem down into sub-problem and solves each of the sub-problems independently. All three approaches have the complexity of  $O(n^2)$ , which is two order of complexity lower than the most effective  $DP$  approach[4].

In conclusion,  $TTP$  is the problem in scheduling, aiming to minimize the tardiness of the schedule of a set of  $n$  input jobs.  $TTP$  has been proven to be NP-hard. For a single resource(machine), there is no different in having preemption in scheduling as compared to non-preemption. It is NP-hard, so the exact algorithms such as  $DP$  and  $B\&B$ , despite extensive research to lower the upper bound, remain impractical. Heuristic approaches are varied and provides different local optimal solutions to different objectives. It is hard to give the performance to any of the heuristic approaches.

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