

# Data Formats & Encoding II



03

Andy Pavlo CMU 15-721 Spring 2024 Carnegie Mellon University

#### LAST CLASS

Storage Models (NSM, DSM, PAX)

Open-Source Data File Formats

- → File Meta-Data
- → Format Layout
- → Type System
- → Encoding Schemes
- → Block Compression
- → Zone Maps + Bloom Filters
- → Nested Data (Shredding vs. Presence)

#### **NESTED DATA**

Nếu lưu 1 json documents trong 1 row, chúng ta có thể dùng các hàm json để extract các value, nhưng sẽ mất hết đi những ưu điểm của Column store và PAX file và vectorized execution

Real-world data sets often contain semi-structured objects (e.g., JSON, Protobufs).

A file format will want to encode the contents of these objects as if they were regular columns.

Approach #1: Record Shredding

Approach #2: Length+Presence Encoding





bucument.proto khi dung `protoc`, ne se tu gen ra class cho object do tuy theo minh dung ngon ngu gi

Country: 'gb'

Store paths in nested structure as separate columns with additional meta-data about paths.

Hỗ trợ các trường có thể NULL

**Definition Level:** How many optional elements are defined in the path to an attribute.

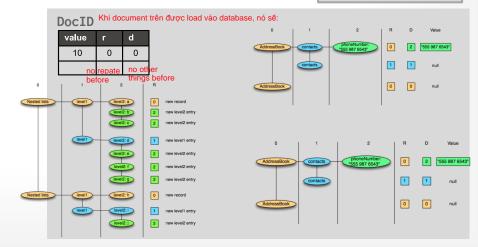
Hỗ trợ các trường có thể repeated

Repetition Level: How many times a structure has been repeated.

Vi du: group "Name", "Language" repeat bao nhièu làn

This is protocol buffers - cross-platform data format, to serialize data from Google https://github.com/protocolbuffers/protobuf DocId: 10 message Document { Name: required int64 DocId; Language: repeated group Name { Code: 'en-us' repeated group Language { Country: 'us' required string Code; optional string Country; Language: Code: 'en' Url: 'http://A' optional string Url; Name: required: exactly one occurrence Url: 'http://B' optional: 0 or 1 occurrence repeated: 0 or more occurrences Name: Language: Code: 'en-gb'

#### Shredded Columns



Source: Sergey Melnik

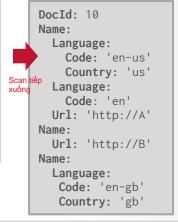
**ECMU-DB**15-721 (Spring 2024)

Store paths in nested structure as separate columns with additional meta-data about paths.

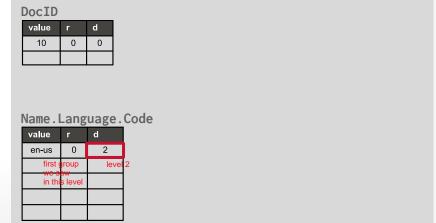
**Definition Level:** How many optional elements are defined in the path to an attribute.

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```
message Document {
  required int64 DocId;
  repeated group Name {
    repeated group Language {
      required string Code;
      optional string Country;
    }
    optional string Url;
  }
}
```



#### Shredded Columns



Source: <u>Sergey Melnik</u>

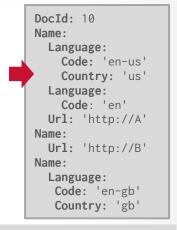
**ECMU-DB**15-721 (Spring 2024)

Store paths in nested structure as separate columns with additional meta-data about paths.

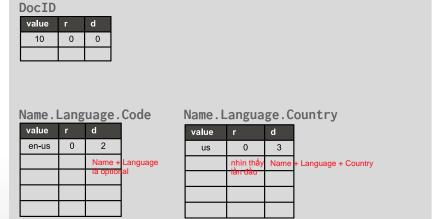
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    repeated group Language {
      required string Code;
      optional string Country;
    }
    optional string Url;
  }
}
```



#### Shredded Columns



Source: <u>Sergey Melnik</u>

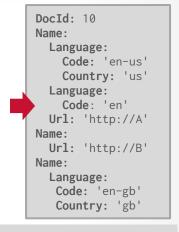
**ECMU-DB** 15-721 (Spring 2024)

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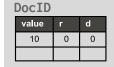
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      required string Code;
      optional string Country;
    }
    optional string Url;
  }
}
```



#### Shredded Columns



Name.Language.Code

valle . Language .			
value	r	d	
en-us	0	2	
en	1	2	
tructure La			
epate lån r	ura		

Name.Language.Country

value	r	d
us	0	3

Source: Sergey Melnik

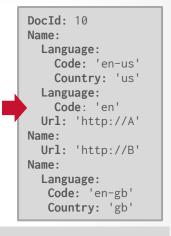
SCMU-DB 15-721 (Spring 2024)

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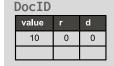
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message Document {
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  repeated group Name {
    repeated group Language {
      required string Code;
      optional string Country;
    }
    optional string Url;
  }
}
```



#### Shredded Columns



Name.Language.Code

valle . Language .			
value	r	d	
en-us	0	2	
en	1	2	

Name.Language.Country

value	r	d
us	0	3
NULL	1	2
struct		
nay g	ông trên	

Source: <u>Sergey Melnik</u>

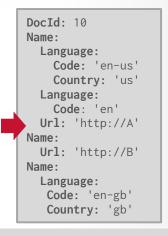
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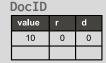
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      required string Code;
      optional string Country;
    }
    optional string Url;
  }
}
```



#### Shredded Columns



value	r	d
http://A	0	2

Name.Url

Name.l	Lang	uage.	Code
value	r	d	
en-us	0	2	

value	r	d
en-us	0	2
en	1	2

Name.Language.Countr	.,

	0	0
value	r	d
us	0	3
NULL	1	2

NAME + Language

Source: Sergey Melnik

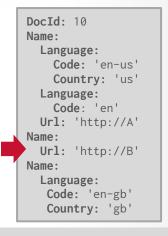
SCMU-DB 15-721 (Spring 2024)

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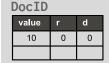
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```
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  required int64 DocId;
  repeated group Name {
    repeated group Language {
      required string Code;
      optional string Country;
    optional string Url;
```



#### **Shredded Columns**



Name.I	ang	uage.	Code
value	r	d	
en-us	0	2	

Name Language .			
value	r	d	
en-us	0	2	
en	1	2	

Ivallie . Of 1	-		
value	r	d	
http://A	0	2	Name + URI
http://B	1	2	

Nama Hrl

Name.Language.Country **NULL** 

Source: Sergey Melnik

15-721 (Spring 2024)

Store paths in nested structure as separate columns with additional meta-data about paths.

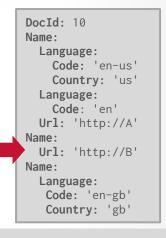
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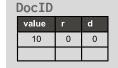
Source: Sergey Melnik

15-721 (Spring 2024)

```
message Document {
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  repeated group Name {
    repeated group Language {
      required string Code;
      optional string Country;
    }
    optional string Url;
  }
}
```



#### Shredded Columns



1	Name.Language.Code			
	value	r	d	
	en-us	0	2	
	en	1	2	
I	NULL	1	1	Name

1	Ivalie . Of I			
	value	r	đ	
	http://A	0	2	
	http://B	1	2	

Nama Hrl

Name.Language.Country				
value	r	d		
us	0	3		
NULL	1	2		
NILILI	1	1	Name	

Store paths in nested structure as separate columns with additional meta-data about paths.

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   repeated group Name {
     repeated group Language {
        required string Code;
        optional string Country;
     }
     optional string Url;
   }
}
```



#### Shredded Columns

# value r d 10 0 0

value	r	d
http://A	0	2
http://B	1	2

Name.Url

Name.Language.Code

	0	0
value	r	d
en-us	0	2
en	1	2
NULL	1	1
en-gb	1	2

Name.Language.Country

value	r	d
us	0	3
NULL	1	2
NULL	1	1

Source: <u>Sergey Melnik</u>

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}
```



#### Shredded Columns

# value r d 10 0 0

value	r	d
http://A	0	2
http://B	1	2

Name.Url

Name.Language.Code

	0	0
value	r	d
en-us	0	2
en	1	2
NULL	1	1
en-gb	1	2

Name.Language.Country

value	r	d
us	0	3
NULL	1	2
NULL	1	1
gb	1	3

**ECMU-DB**15-721 (Spring 2024)

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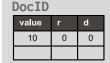
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        required string Code;
        optional string Country;
     }
     optional string Url;
   }
}
```



#### **Shredded Columns**



Name. Of I			
value	r	d	
http://A	0	2	
http://B	1	2	
NULL	1	1	

Nama Hall

Do có Name.Url table này trước đó nên scan tiếp sau khi Country: 'gb'

Name.Language.Code

value	r	d
en-us	0	2
en	1	2
NULL	1	1
en-gb	1	2

#### Name.Language.Country

value	r	d
us	0	3
NULL	1	2
NULL	1	1
gb	1	3

Source: <u>Sergey Melnik</u>

SCMU-DB 15-721 (Spring 2024)

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      optional string Country;
    }
    optional string Url;
  }
}
```



#### **Shredded Columns**

# value r d 10 0 0 20 0 0

value	r	d
http://A	0	2
http://B	1	2
NULL	1	1

Name.Url

Name	Lan	guage	. Code
		D ~ ~ D ~	

value	r	d
en-us	0	2
en	1	2
NULL	1	1
en-gb	1	2

#### Name.Language.Country

value	r	d
us	0	3
NULL	1	2
NULL	1	1
gb	1	3

Source: <u>Sergey Melnik</u>

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        required string Code;
        optional string Country;
     }
     optional string Url;
   }
}
```



#### **Shredded Columns**

# value r d 10 0 0 20 0 0

Maille . Of I		
value	r	d
http://A	0	2
http://B	1	2
NULL	1	1
http://C	0	2

Name Hrl

Name.Language.Code

value	r	d	
en-us	0	2	
en	1	2	
NULL	1	1	
en-gb	1	2	

Name.Language.Country

value	r	d
us	0	3
NULL	1	2
NULL	1	1
gb	1	3

**ECMU-DB**15-721 (Spring 2024)

Source: Sergey Melnik

Store paths in nested structure as separate columns with additional meta-data about paths.

**Definition Level:** How many optional elements are defined in the path to an attribute.

Repetition Level: How many

times a structure has been

15-721 (Spring 2024)

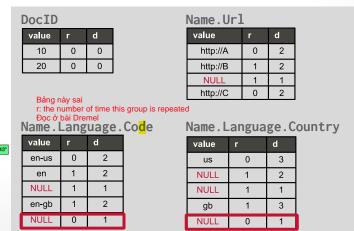
repeated. phoneNumber: "555 987 6543" AddressBook 555 987 6543 Source: Sergey Melnik **SECMU**•DB Hiểu thêm về Shredding trong Dremel https://blog.twitter.com/engineering/en\_us/a/2013/dremel-made-simple-with-parquet





#### Shredded Columns

Từ đây, khi select \* from table where code = 'en-us' Thì chỉ cần nhìn vào bảng đó, ra r và d và đọc trong document



#### NESTED DATA: LENGTH+PRESENCE

Store paths in nested structure as separate columns but maintain additional columns to track the number of entries at each path level (*length*) and whether a key exists at that level for a record (*presence*).



Docld	
value	р
10	true
20	true

Name		Name.Ur	Ī	
len		value	р	
3 na 3 trong	nes   DoclE	)։1երttp://A	t	rue
1		http://B	t	rue
			fa	alse

http://C

DocId: 10
Name:
Language:
Code: 'en-us'
Country: 'us'
Language:
Code: 'en'
Url: 'http://A'
Name:
Url: 'http://B'
Name:
Language:
Code: 'en-gb'
Country: 'gb'

DocId: 20 Name: Url: 'http://C'

Name.Language		
len		
2		
0		
1		
0		

Name.Language.Code			
value	р		
en-us	true		
en	true		
en-gb	true		

Name.Language.Country		
value	р	
us	true	
	false	
gb	true	

Source: Sergey Melnik

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## CRITIQUES OF EXISTING FORMATS

#### Variable-sized Runs

 $\rightarrow$  Not SIMD friendly.

Những thanh register này luôn cố đinh 128,256,512 -> data, ta luôn cần chọn size như nhau và put nó vào lane

A class of CPU instructions that allow the processor to perform the same operation on multiple data points simultaneously.

All major ISAs have microarchitecture support SIMD operations.

- → x86: MMX, SSE, SSE2, SSE3, SSE4, AVX, AVX2, AVX512
- → PowerPC: Altivec → ARM: NEON, SVE

#### → RISC-V: RVV

#### **Eager Decompression**

→ No random access if using block compression. ví du: snappy, zlib

#### khi thực hiện tiếp với 4 gía trị sau

#### Dependencies Between Adjacent Values

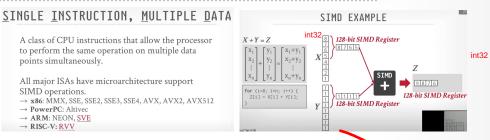
→ Examples: Delta Encoding, RLE

#### **Vectorization Portability**

→ ISAs (versions, vendor) have different SIMD capabilities.

Source: Azim Afroozeh

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sự phụ thuộc những giá trị liền kề trong column chunk: - ko dùng được SIMD; ko có cách nào để pass data from 1 element to other element néu nó ở cùng register

## TODAY'S AGENDA

BtrBlocks (TUM)

FastLanes (CWI) FastLanes sẽ giải quyết các vấn đề ở trên

BitWeaving (Wisconsin)



#### **BTRBLOCKS**

PAX-based file format with more aggressive **nested encoding schemes** than Parquet / ORC.

for string

Uses a greedy algorithm to select the best encoding for a column chunk (based on sample) and then recursively tries to encode outputs of that encoding.

→ No naïve block compression (Snappy, zstd)

Store a file's meta-data separately from the data.

Họ muốn để meta cho Manager sys quản I Nhưng nó sẽ trade off với tính di động



#### SCMU-DB 15-721 (Spring 2024)

#### BTRBLOCKS: ENCODING SCHEMES

RLE / One Value

Frequency Encoding

Từ IBM, tìm most common value, store it, và có bitmap để biết bao nhiều lần nó xuất hiện ở cột. Những giá trị mà ít xuất hiện, thì store uncompressed

frame of reference

FOR + Bitpacking - Delta encoding

Dictionary Encoding

Pseudodecimals convert floating point numbers into int

Fast Static Symbol Table (FSST)

duckdb https: 1 www: 2 data: http://www... luru thành 1 2

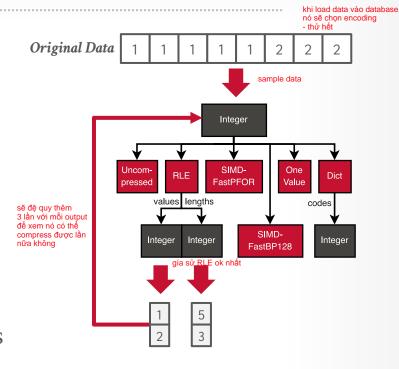
Roaring Bitmaps for NULLs + Exceptions

#### BTRBLOCKS: ENCODING SELECTION

Collect a sample from the data and then try out all viable encoding schemes. Repeat for three rounds.

Instead of sampling individual values, BtrBlocks selects multiple small runs from non-overlapping random positions.

→ For 64k values, it uses 10 runs of 64 values (1% sample size).



Source: Maximilian Kuschewski

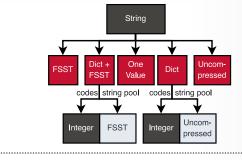
**ECMU-DB** 15-721 (Spring 2024)

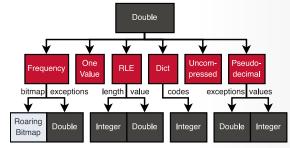
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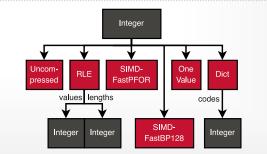
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SCMU-DB 15-721 (Spring 2024)

## BTRBLOCKS: ENCODING SCHEMES

RLE / One Value

**Frequency Encoding** 

FOR + Bitpacking

**Dictionary Encoding** 

Pseudodecimals

Fast Static Symbol Table (FSST)

Roaring Bitmaps for NULLs + Exceptions



## **FSST**

String encoding scheme that supports random access without decompressing previous entries.

Replace frequently occurring substrings (up to 8 bytes) with 1-byte codes.

Uses a "perfect" hash table scheme for fast look-up of symbols without conditionals / loops.

→ Construct table using evolutionary algorithm that simply replaces entries if occupied.



#### **ECMU-DB**15-721 (Spring 2024)

Bitmap index that switches which data structure to use for a range of values based local density of bits.

- → Dense chunks are stored using uncompressed bitmaps.
- → Sparse chunks use bitpacked arrays of 16-bit integers.

Dense chunks can be further compressed with RLE.

There are many open-source implementations that are widely used in different DBMSs.







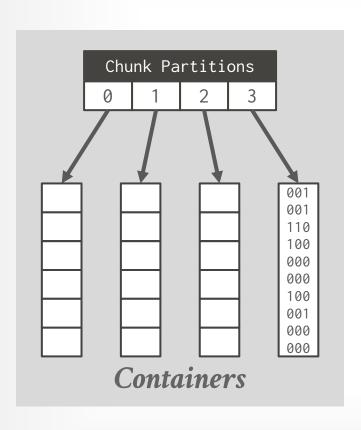






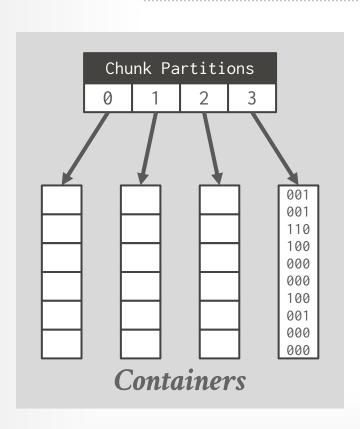




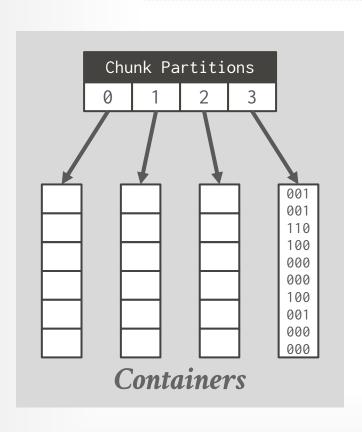


For each value k, assign it to a chunk based on  $k/2^{16}$ .

 $\rightarrow$  Store **k** in the chunk's container.



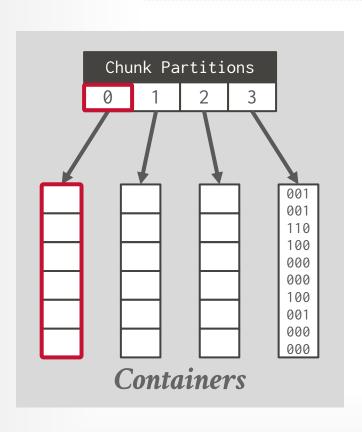
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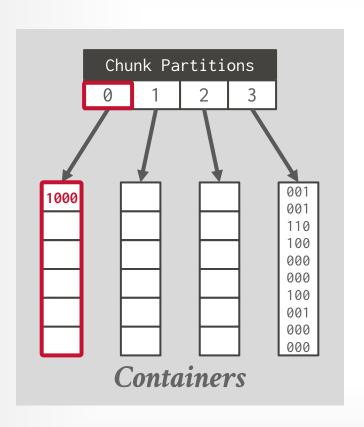
If # of values in container is less than 4096, store as array. Otherwise, store as Bitmap.

k=1000



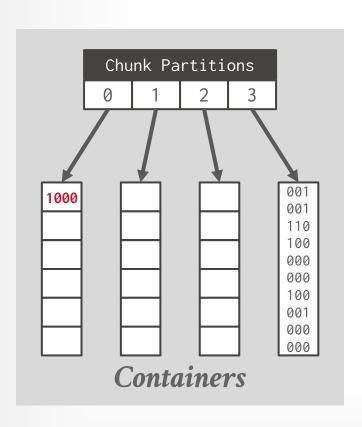
For each value k, assign it to a chunk based on  $k/2^{16}$ .

$$k=1000$$
 $1000/2^{16}=0$ 

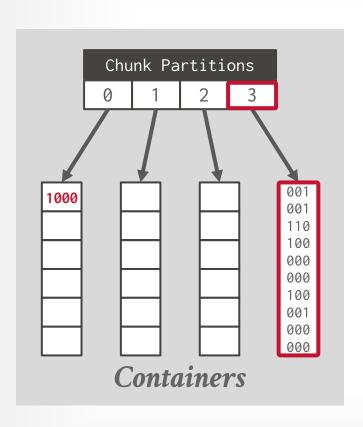


For each value k, assign it to a chunk based on  $k/2^{16}$ .



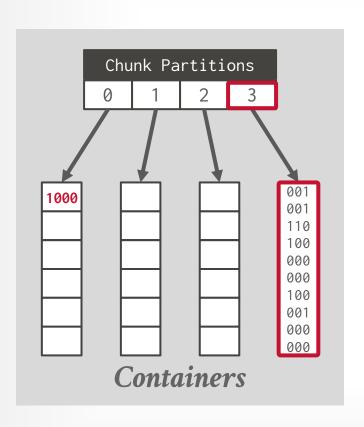


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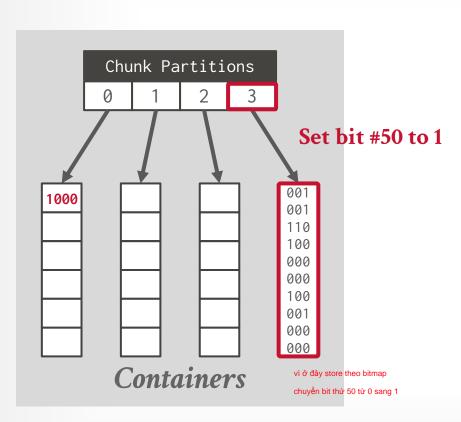
For each value k, assign it to a chunk based on  $k/2^{16}$ .





For each value k, assign it to a chunk based on  $k/2^{16}$ .

k=1000	k=199658
$1000/2^{16}=0$	$199658/2^{16}=3$
1000%216=1000	199658%216=50



For each value k, assign it to a chunk based on  $k/2^{16}$ .

số dư

If # of values in container is less than 4096, store as array. Otherwise, store as Bitmap.

$$k=1000$$
  $k=199658$   $t_{\text{tru} \circ \text{ partition } 0}$   $k=199658$   $t_{\text{tru} \circ \text{ partition } 3}$   $t_{\text{1000}}/2^{16}=0$   $t_{\text{199658}}/2^{16}=3$   $t_{\text{1000}}/2^{16}=1000$   $t_{\text{199658}}/2^{16}=50$ 

1000 < 409 luu array

### **OBSERVATION**

BtrBlocks + Parquet + ORC generate variable-length runs of values.

→ This wastes cycles during decoding for both scalar + vectorized operations.

**Parquet** + **ORC** use Delta encoding where each tuple's value depends on the preceding tuple's value.

→ This is impractical to process with SIMD because you cannot pass data between lanes in the same register.

VD: Nếu chỉ có 12 values, nó vẫn cho hết vào SIMD 16 values, 4 cái cuối coi là rác và sẽ clean sau

### **FASTLANES**

Suite of encoding schemes that achieve better data parallelism thorough clever reordering of tuples to maximize useful work in SIMD operations.

Similar nested encoding as BtrBlocks:

- → Dictionary
- $\rightarrow$  FOR
- $\rightarrow$  Delta
- $\rightarrow$  RLE

To future proof format, they define a "virtual" ISA with 1024-bit SIMD registers.

Will define all the Operations on virtual ISA - and show how can map that to exsting SIMD or other SIMD

For doing that, they use UNIFIED TRANSPOSED LAYOUT



Reorder values in a column in a manner that improves the DBMS's ability to process them in an efficient, vectorized manner via SIMD.

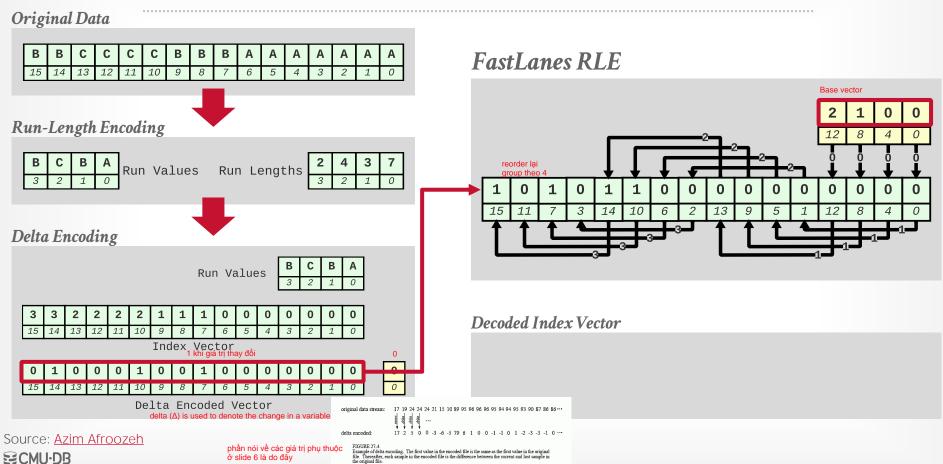
Independent btw physical layer and logical layer and logic

relational model is based on unordered sets -> tự chọn ra cách store data tốt nhất để process data. Và để query engine bên trên tự tìm ra cách để đọc elational algebra is based on unordered sets -> tự chọn ra cách để đọc

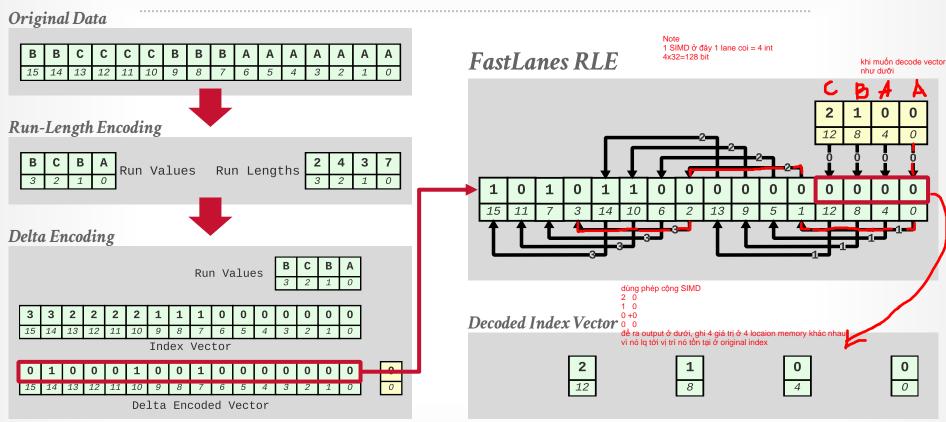
→ Relational algebra is based on unordered sets, so users should not expect data to be ordered.

Algorithms defined in FastLanes' virtual 1024-bit SIMD ISA that can be emulated on AVX512 or scalar instructions.

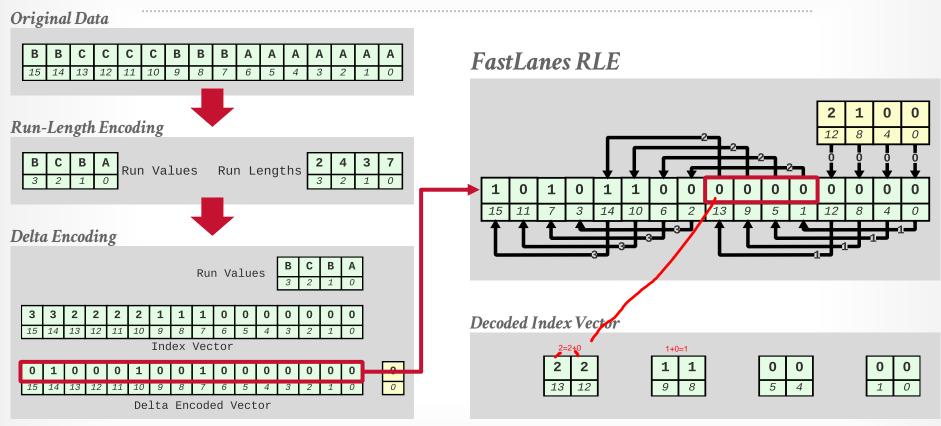




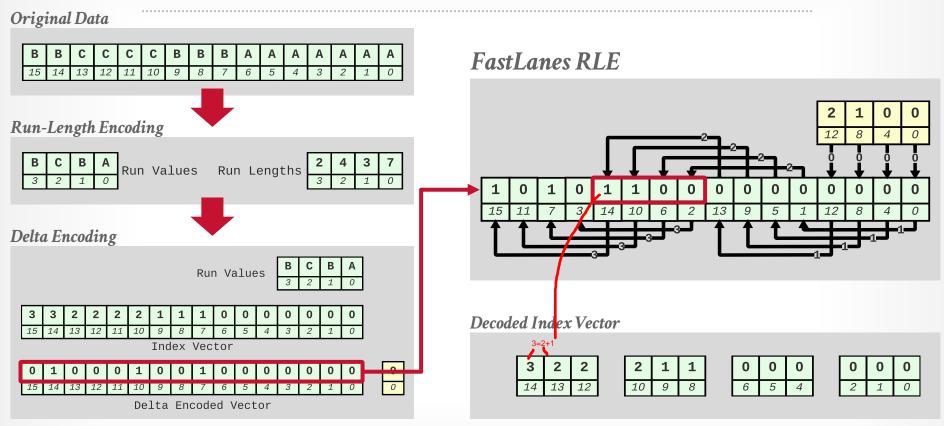
15-721 (Spring 2024)



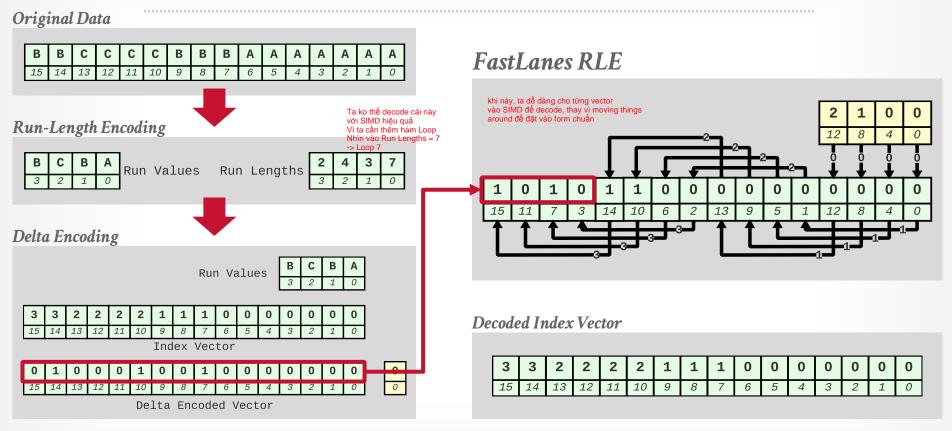
Source: Azim Afroozeh



Source: Azim Afroozeh



Source: Azim Afroozeh



Source: Azim Afroozeh

#### **OBSERVATION**

tắt cả những scheme ta nói từ trước tới giờ: Parquet, ORC, BTRBlock, FastLane It is all about scan a column, look at the entire value for each tuple, entirely every single time

The previous encoding schemes scan data by examining the entire value of each attribute (i.e., all the bits at the same time).

→ The DBMS cannot "short-circuit" comparisons integer types because CPU instructions operate on entire words.

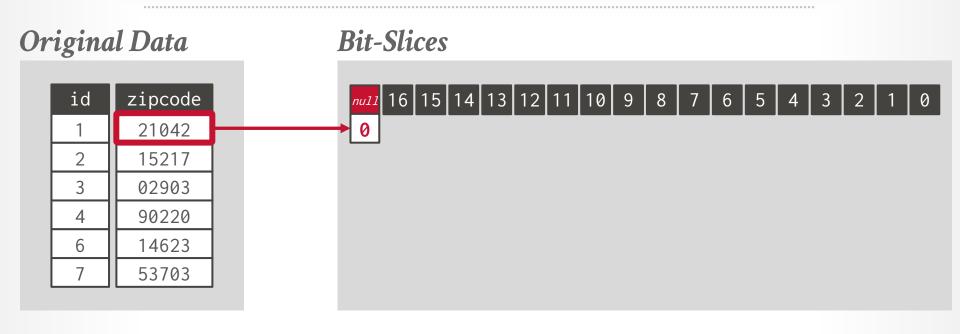
# **OBSERVATION**

The previous encoding schemes scan data by examining the entire value of each attribute (i.e., all the bits at the same time).

→ The DBMS cannot "short-circuit" comparisons integer types because CPU instructions operate on entire words.

What if a DBMS could scan a **subset** of each value's bits and then only check the rest bits if needed?





Thay vì store the actual int trên các bit liên tục

bin(21042) > 00101001000110010

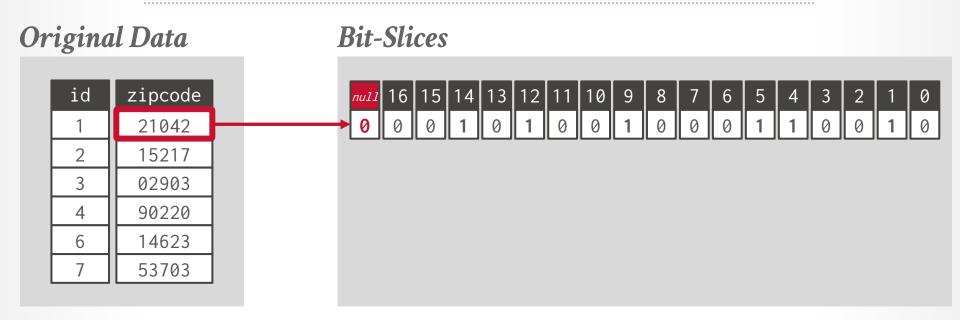
32 bit int nhưng slide ngắn

ví dụ là 16 bit

Source: <u>Jignesh Patel</u>

CMU-DB

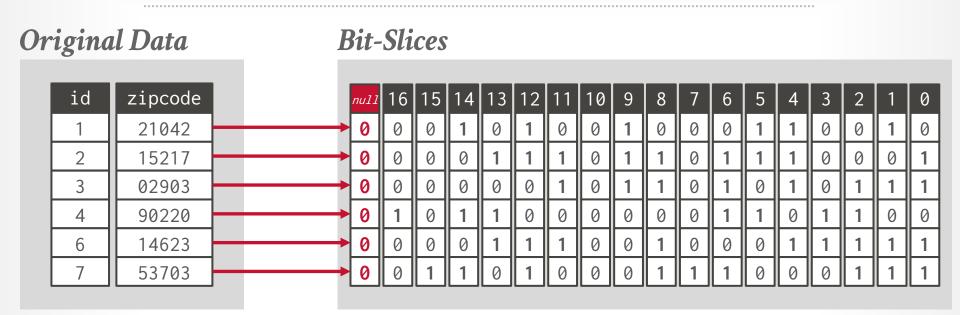
15-721 (Spring 2024)





bin(21042) > 00101001000110010

Source: Jignesh Patel



Source: <u>Jignesh Patel</u>

#### Original Data

id	zipcode
1	21042
2	15217
3	02903
4	90220
6	14623
7	53703

#### **Bit-Slices**

nu11	16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0
0	0	0	1	0	1	0	0	1	0	0	0	1	1	0	0	1	0
0	0	0	0	1	1	1	0	1	1	0	1	1	1	0	0	0	1
0	0	0	0	0	0	1	0	1	1	0	1	0	1	0	1	1	1
0	1	0	1	1	0	0	0	0	0	0	1	1	0	1	1	0	0
0	0	0	0	1	1	1	0	0	1	0	0	0	1	1	1	1	1
0	0	1	1	0	1	0	0	0	1	1	1	0	0	0	1	1	1

SELECT \* FROM customer\_dim WHERE zipcode < 15217

Walk each slice and construct a result bitmap.

Source: Jignesh Patel

15-721 (Spring 2024)

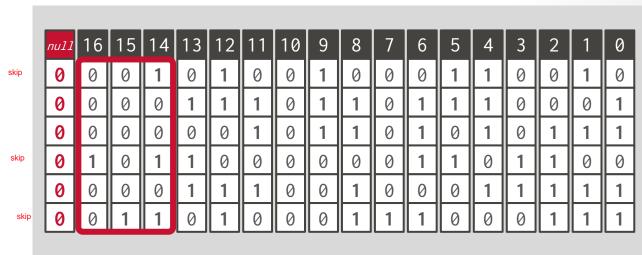
3 cái sau là 111, chỉ cần nhìn vào từng khoảng giá trị 0 liền kề để tìm ra zipcode < 15217

#### Original Data

15-721 (Spring 2024)

id	zipcode
1	21042
2	15217
3	02903
4	90220
6	14623
7	53703

#### **Bit-Slices**



SELECT \* FROM customer\_dim
WHERE zipcode < 15217</pre>

Walk each slice and construct a result bitmap. Skip entries that have 1 in first 3 slices (16, 15, 14)

Source: <u>Jignesh Patel</u> 0 0 0 1 1 1 0 1 1 0 0 0 0 1

Bit-slices can also be used for efficient aggregate computations.

#### Example: SUM(attr) using Hamming Weight

- $\rightarrow$  First, count the number of 1s in **slice**<sub>17</sub> and multiply the count by  $2^{17}$
- $\rightarrow$  Then, count the number of 1s in **slice**<sub>16</sub> and multiply the count by  $2^{16}$
- $\rightarrow$  Repeat for the rest of slices...

Use the **POPCNT** instruction to efficiently count the number of bits set to **1** in a register.



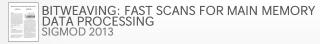
### BITWEAVING

Alternative encoding scheme for columnar databases that supports efficient predicate evaluation on compressed data using SIMD.

- → Order-preserving dictionary encoding.
- $\rightarrow$  Bit-level parallelization.
- → Only require common instructions (no scatter/gather)

Implemented in Wisconsin's QuickStep engine.

→ Became an <u>Apache Incubator</u> project in 2016 but then died in 2018.





# BITWEAVING STORAGE LAYOUTS

#### Approach #1: Horizontal

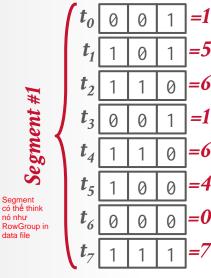
 $\rightarrow$  Row-oriented storage at the bit-level

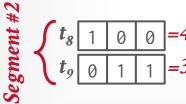
#### Approach #2: Vertical

- $\rightarrow$  Column-oriented storage at the bit-level.
- → Similar to Bit-Slicing but with SIMD support.

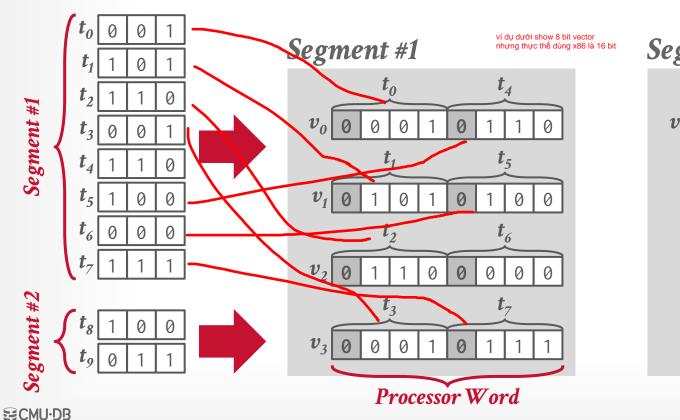
# HORIZONTAL STORAGE

2 tuple we want to store ở dạng bit value



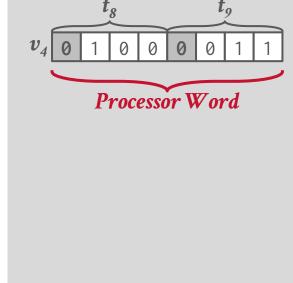


# HORIZONTAL STORAGE

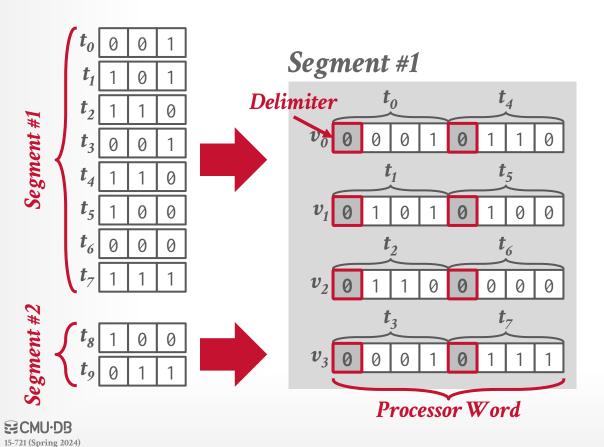


15-721 (Spring 2024)

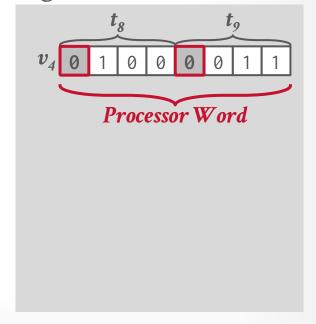
#### Segment #2

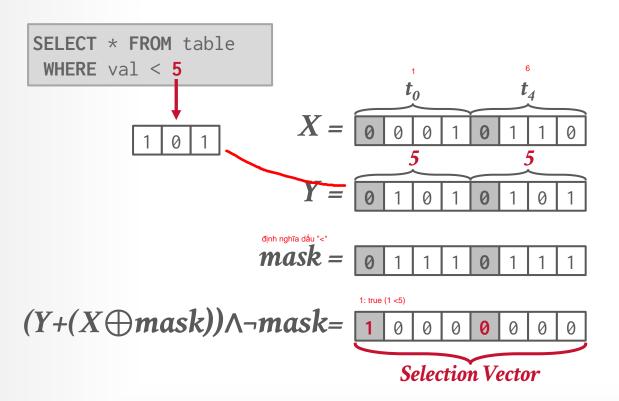


# HORIZONTAL STORAGE

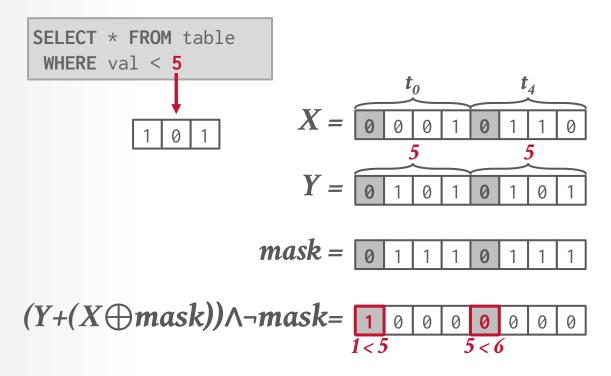


#### Segment #2

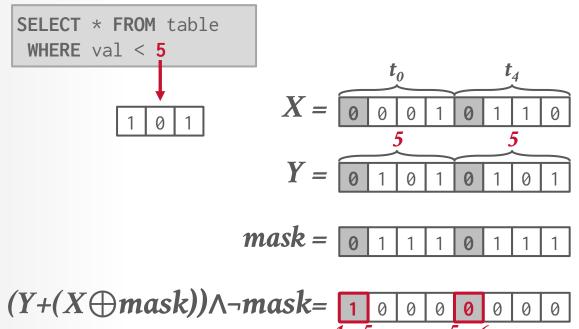




Source: <u>Jignesh Patel</u>



Source: <u>Jignesh Patel</u>

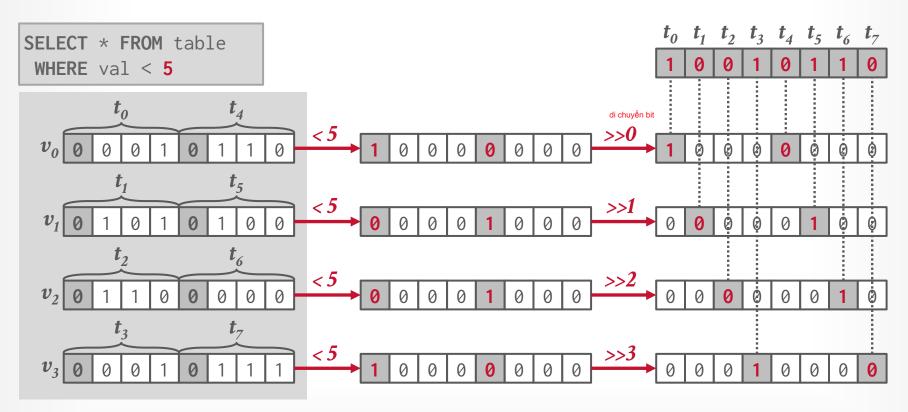


Only requires three instructions to evaluate a single word.

W

orks on any word size and encoding length.

Paper contains algorithms for other operators.



Source: <u>Jignesh Patel</u>

# SELECTION VECTOR

SIMD comparison operators produce a bit mask that specifies which tuples satisfy a predicate.

→ DBMS must convert it into column offsets.

Approach #1: Iteration

**Approach #2: Pre-computed Positions Table** 

thoả mãn val < 5 ở ví dụ trước

```
tuples = [ ]
for (i=0; i<n; i++) {
   if sv[i] == 1 ko tót, vì nó là for loop
      tuples.add(i);
}</pre>
```

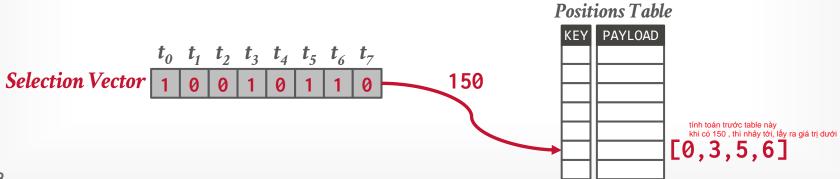
### SELECTION VECTOR

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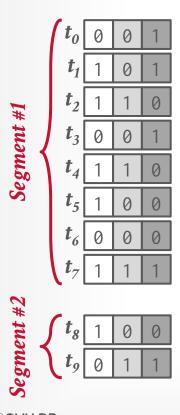
Approach #1: Iteration

**Approach #2: Pre-computed Positions Table** 



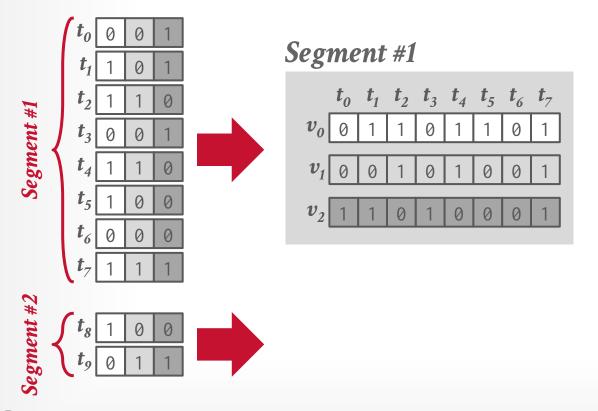


# VERTICAL STORAGE

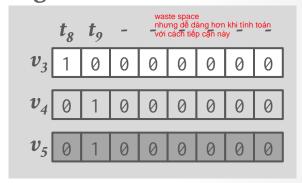




### VERTICAL STORAGE

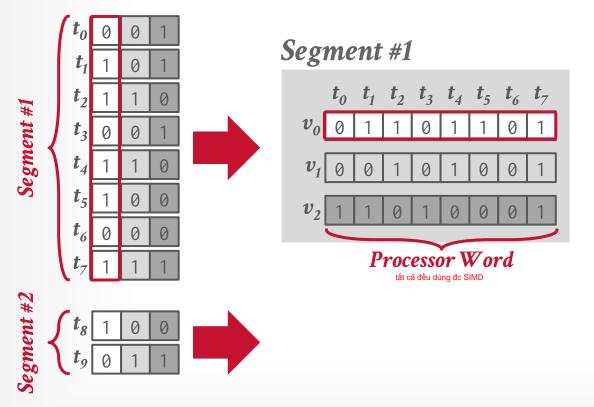


#### Segment #2

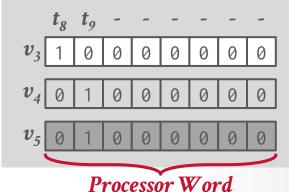




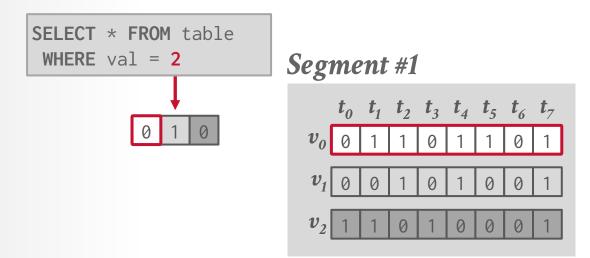
### VERTICAL STORAGE

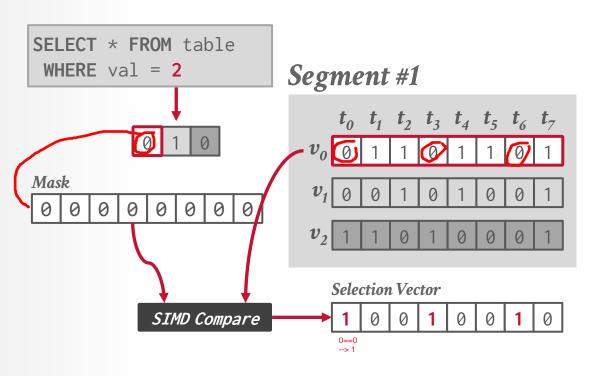


#### Segment #2

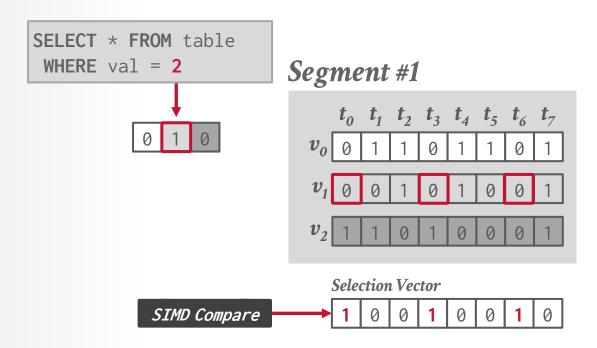


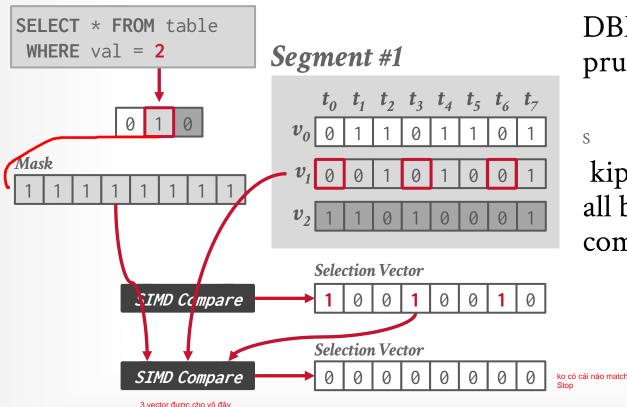












DBMS can perform early pruning like Bit-Slicing.

kip the last vector because all bits in previous comparison are zero.

3 vector được cho vô đây



#### PARTING THOUGHTS

Kết lại
- Tách biệt logical và physical là rất quan trọng

The last two lectures show why *logical-physical data independence* is one of the best parts of the relational model.

- → There are many strategies for representing data with unique compute-vs-storage trade-offs.
- → Applications can remain (mostly) oblivious to the low-details. 

  úng dụng có thể (hàu hét) không biết đến các chi tiết thấp

Data parallelism via SIMD is going be an important tool for us the entire semester.



### **NEXT CLASS**

#### **Project Proposals** (5 minutes)

- → The two groups for each project topic will present one after the other.
- → The liaisons for each project topic should also present the proposed API separately.

Email me PDF of your slides + proposal documents before class.

