Storage - Indexing

Learning Maps

Sequence	Title
1	Introduction to databases
2	Relational Databases
3	Relational Algebra
4	Structured Query Language – Part 1
5	Structured Query Language – Part 2
6	Constraints and Triggers
7	Entity Relationship Model
8	Functional Dependency
9	Normalization
10	Storage - Indexing
11	Query Processing
12	Transaction Management – Part 1
13	Transaction Management – Part 2

Intro > Overview

☐ A : Voice and PPT Overview
☐ B : Text-based Overview
■ C : Video and PPT Overview

Opening Message	→ In this lesson, we will study the physical data organization inside database management systems and the indexing mechanism to speed up SELECT queries. →Storage and Indexing
Lesson topic	1. Overview of database storage structures 2. Physical database file structures 3. Database index
Learning Goals	Upon completion of this lesson, students will be able to: 1. Understand the physical database file structures 2. Understand the role of database indexes

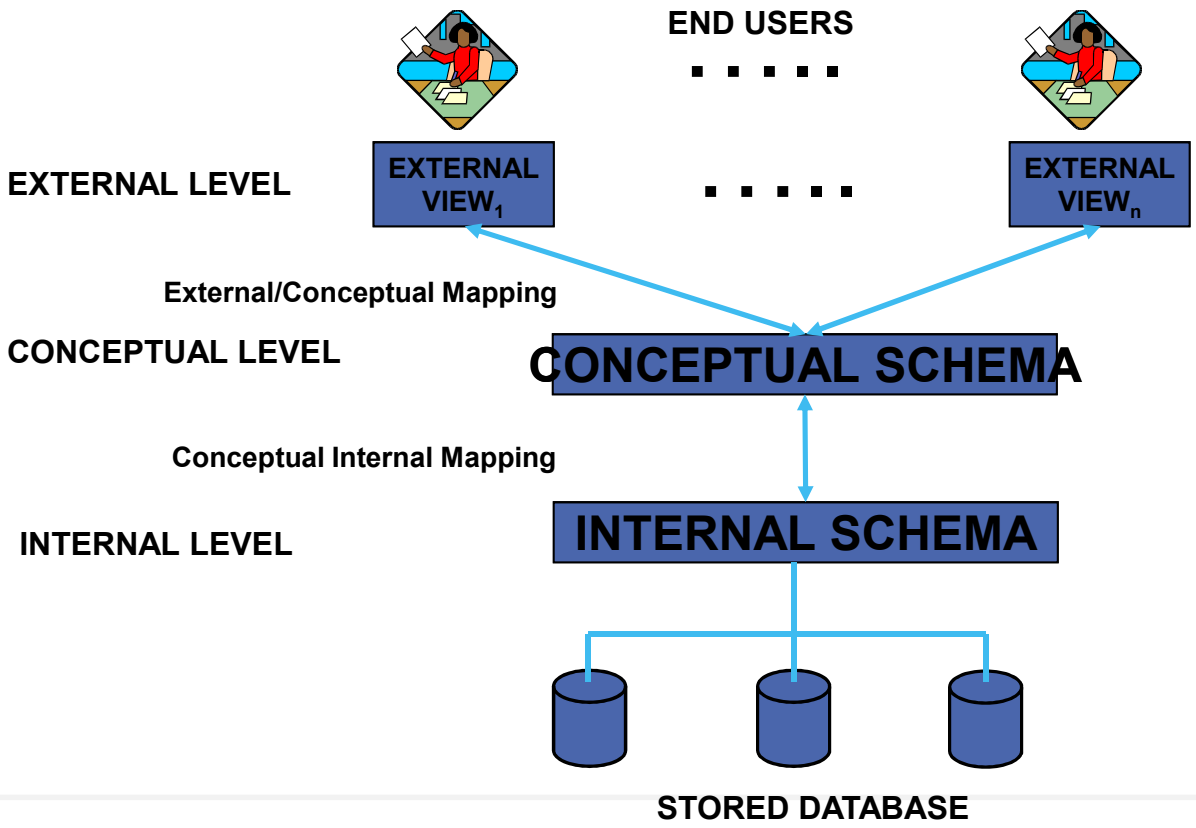
Intro > Keywords

Keyword	Description
Heap file	Files of Unordered Records
Ordered file	Physically order the records of a file on disk based on the values of one of their fields (key field)
Index	A data structure that improves the speed of data retrieval operations
B-tree	A self-balancing tree data structure that keeps data sorted

Lesson > Topic 1: Overview

- 3-tier Schema Model (ANSI-SPARC Architecture)
- How Mariadb stores data

1.1. 3-tier Schema Model (ANSI-SPARC Architecture)

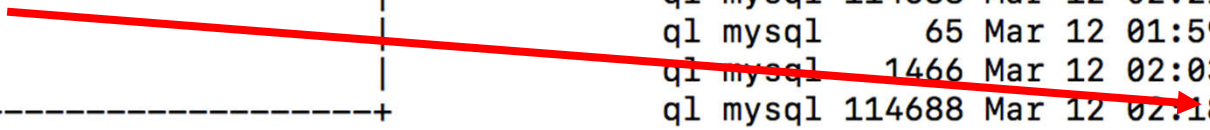


1.2. How does Mariadb store data

```
MariaDB [(none)]> SHOW VARIABLES LIKE 'datadir';
+---------------+-----------------+
| Variable_name | Value           |
+---------------+-----------------+
| datadir       | /var/lib/mysql/ |
+---------------+-----------------+
```

```
MariaDB [student_management]> show tables;
+------------------------------+
| Tables_in_student_management |
+------------------------------+
| class                        |
| enrolled                     |
| faculty                      |
| student                      |
+------------------------------+
```

```
:/var/lib/mysql/student_management# ls -la
ql mysql   4096 Mar 12 02:05 .
ql mysql   4096 May  5 06:06 ..
ql mysql   1547 Mar 12 02:05 class.frm
ql mysql 114688 Mar 12 02:21 class.ibd
ql mysql     65 Mar 12 01:59 db.opt
ql mysql   1466 Mar 12 02:03 enrolled.frm
ql mysql 114688 Mar 12 02:18 enrolled.ibd
ql mysql   1005 Mar 12 02:04 faculty.frm
ql mysql  98304 Mar 12 02:16 faculty.ibd
ql mysql   1101 Mar 12 02:00 student.frm
ql mysql  98304 Mar 12 02:23 student.ibd
```



the .frm table file stores the table's format
the .ibd file stores the table's data

1.2.1. the .frm file stores the table's format

```
MariaDB [student_management]> describe student;
+-------+-------------+------+-----+---------+-------+
| Field | Type        | Null | Key | Default | Extra |
+-------+-------------+------+-----+---------+-------+
| snum  | int(11)     | NO   | PRI | NULL    |       |
| sname | varchar(40) | YES  |     | NULL    |       |
| major | varchar(30) | YES  |     | NULL    |       |
| level | varchar(10) | YES  |     | NULL    |       |
| age   | int(11)     | YES  |     | NULL    |       |
+-------+-------------+------+-----+---------+-------+
```

```
root@285e07e9458f:/var/lib/mysql/student_management# cat student.frm
?

VM?\!  ?s?$??%?艂B??
??PRIMARY??InnoDB??f\P
                      (/?
N?
 ?snum?sname?major?level?age?root@285e07e9458f:/var/lib/mysql/student
```

1.2.2. the .ibd file stores the table's data

```
MariaDB [student_management]> select * from student;
+------+------------------+---------+-------+------+
| snum | sname            | major   | level | age  |
+------+------------------+---------+-------+------+
|    1 | Nguyen Van A     | CS      | JR    |   18 |
|    2 | Nguyen Viet Cuong| History | JR    |   19 |
|    3 | Nguyen Hong Ngoc | CS      | JR    |   19 |
|    4 | Mark Juke        | History | JR    |   20 |
|    5 | Elon Mulk        | CS      | JR    |   20 |
|    6 | Donal Trump      | CS      | JR    |   20 |
|    7 | Obama            | CS      | JR    |   20 |
|    8 | Tan Dung         | History | SR    |   30 |
+------+------------------+---------+-------+------+
```

```
root@285e07e9458f:/var/lib/mysql/student_management# cat student.ibd
???]&!??????????????????????????&&??????????????????????????]&[?]?Y?&??Y?&???j?&[?]??
????????????????????????????i?????????????????????????????????????????????????????
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                                                                    8-?Q?kDonal Trum
pCSJR?@'?W??ObamaCSJRH?????Tan DungHistorySR?pc??Q?'??root@285e07e9458f:/var/lib/mys
```

Lesson > Topic 2: Physical file structures

- Motivation
- Magnetic disks as data storage
- Primary file organizations

2.1. Motivation

- Databases typically store large amounts of data **persistently** on disks
  - Databases are too large to fit entirely in main memory.
  - Disk - **nonvolatile storage** vs. Main memory **- volatile storage**
  - The cost of storage per unit is much cheaper

2.2. Store data on disks (magnetic disks)

- A disk is a *random access* addressable device.
- Transfer of data between main memory and disk takes place in units of disk blocks
- Typical disk block sizes: 4KB – 8KB
- Disk I/O (read/write from disk to main memory) overhead is the key factor of database performance optimization

2.3. Physical database file structures

- The process of **physical database design** involves choosing the particular data organization techniques that best suit the given application requirements (on SELECT, INSERT, UPDATE, DELETE)
- The data stored on disk is organized as **files** of **records**
  - **Primary file organizations:** determine how the file records are *physically placed* on the disk, *and hence how the records can be accessed*
  - **Secondary organization** or **auxiliary access structure** allows efficient access to file records based on *alternate fields*

2.4. Placing File Records on Disk

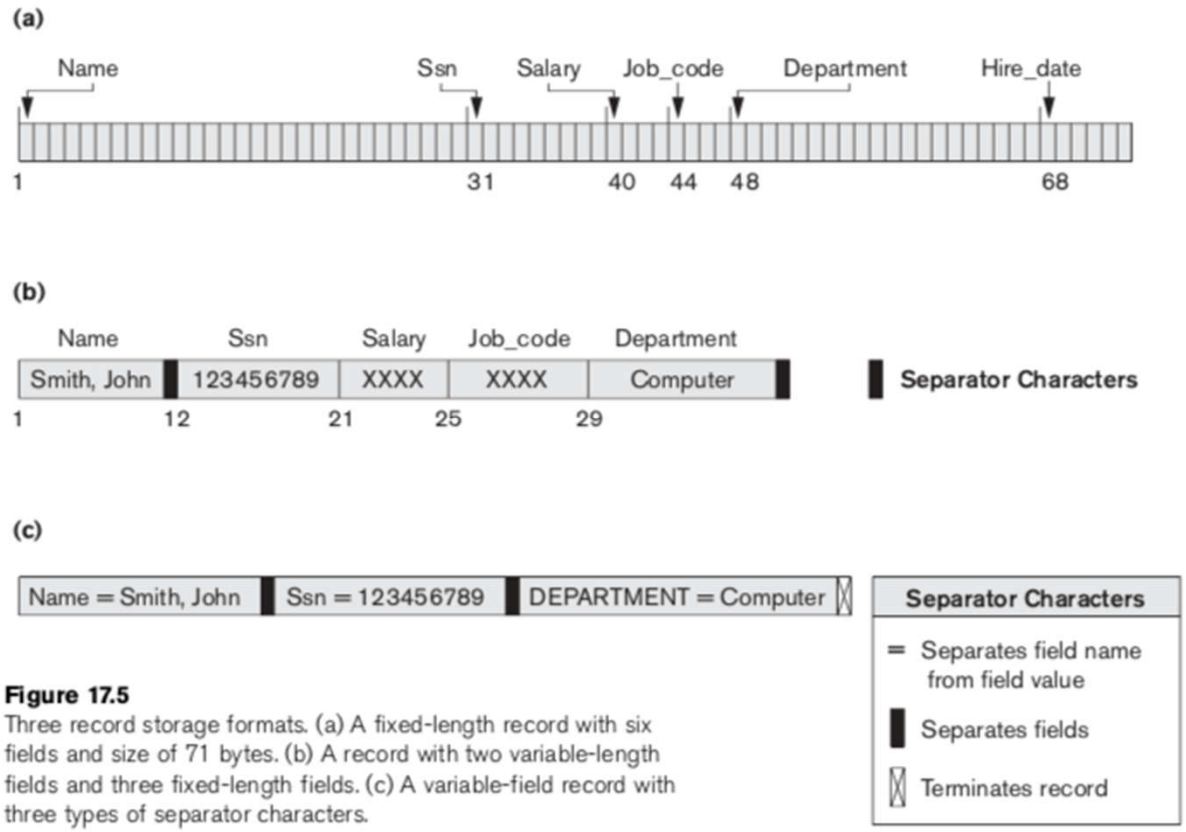


Figure 17.5
Three record storage formats. (a) A fixed-length record with six fields and size of 71 bytes. (b) A record with two variable-length fields and three fixed-length fields. (c) A variable-field record with three types of separator characters.

2.5. Primary file organizations

- Files of Unordered Records (Heap Files)
- Files of Ordered Records (Sorted Files)
- Hashing Techniques

2.5.1. Files of Unordered Records (Heap Files)

- Records are placed in the file in the order in which they are inserted
- INSERT: Inserting a new record is *very efficient*
  - New records are inserted at the end of the file
- UPDATE/SELECT: Searching for a record on any search condition is not efficient – linear search
- DELETE: leaves unused space in the disk block
  - require periodic **reorganization**

2.5.2. Files of Ordered Records (Sorted Files)

- Physically order the records of a file on disk based on the values of one of their fields (key field)
- SELECT: binary search (very fast)
- INSERT/DELETE/UPDATE: more expensive

2.5.3. Hash files

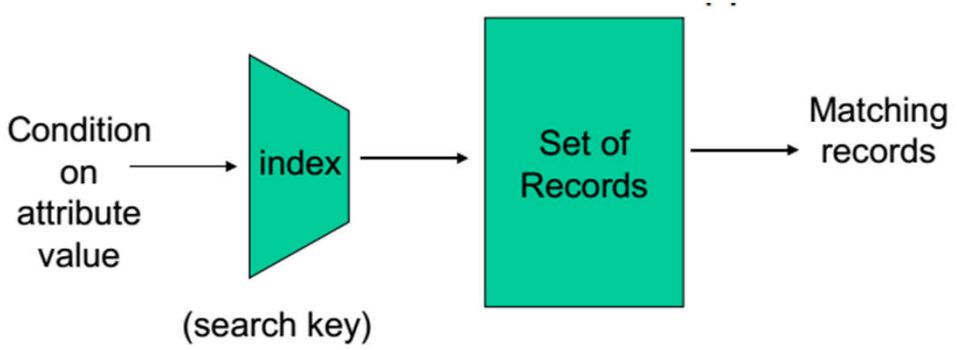
- The *address* of the disk block in which the record is stored is the result of applying a **hash function** to the value of a particular field (hash field) of the record
- Very fast access to records for search on equality condition on the **hash field**

Lesson > Topic 3: Database indexes

- What is database indexes?
- Index data structures
- B+tree
- Spare vs. Dense index
- Clustered vs. Non-clustered index
- Index creation in SQL

3.1. Secondary organization

- **Auxiliary access structure (commonly index)** allows efficient access to file records based on *alternate fields*

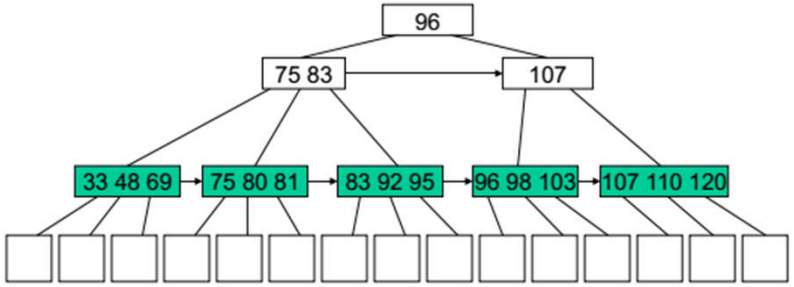


3.2. Index data structure

- Indexes can be implemented with different data structures.
  - ***B*+-tree index**
  - **hash index**
  - **bitmap index (briefly)**
  - dynamic hash indexes: number of buckets modified dynamically
  - R-tree: index for spacial data (points, lines, shapes)
  - quadtree: recursively partition a 2D plane into four quadrants
  - octree: quadtree version for three dimensional data
  - main memory indexes: T-tree, binary search tree

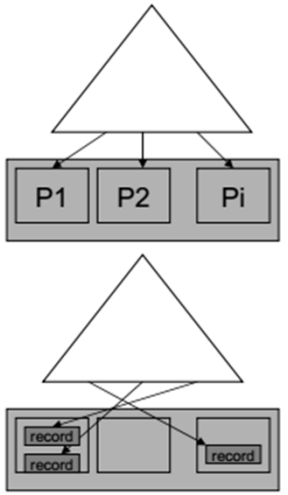
3.3. B+-Tree

- balanced tree of key-pointer pairs
- keys are sorted by value
- nodes are at least half full
- access records for key: traverse tree from root to leaf



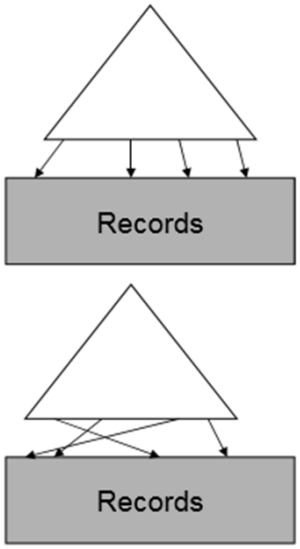
3.4. Spare vs. Dense index

- Sparse index
  - pointers to disk pages
    at most one pointer per disk page
    usually much less pointers than records
- Dense index
  - pointers to individual records
    one key per record
    usually more keys than sparse index
    optimization: store repeating keys only once
    followed by pointers

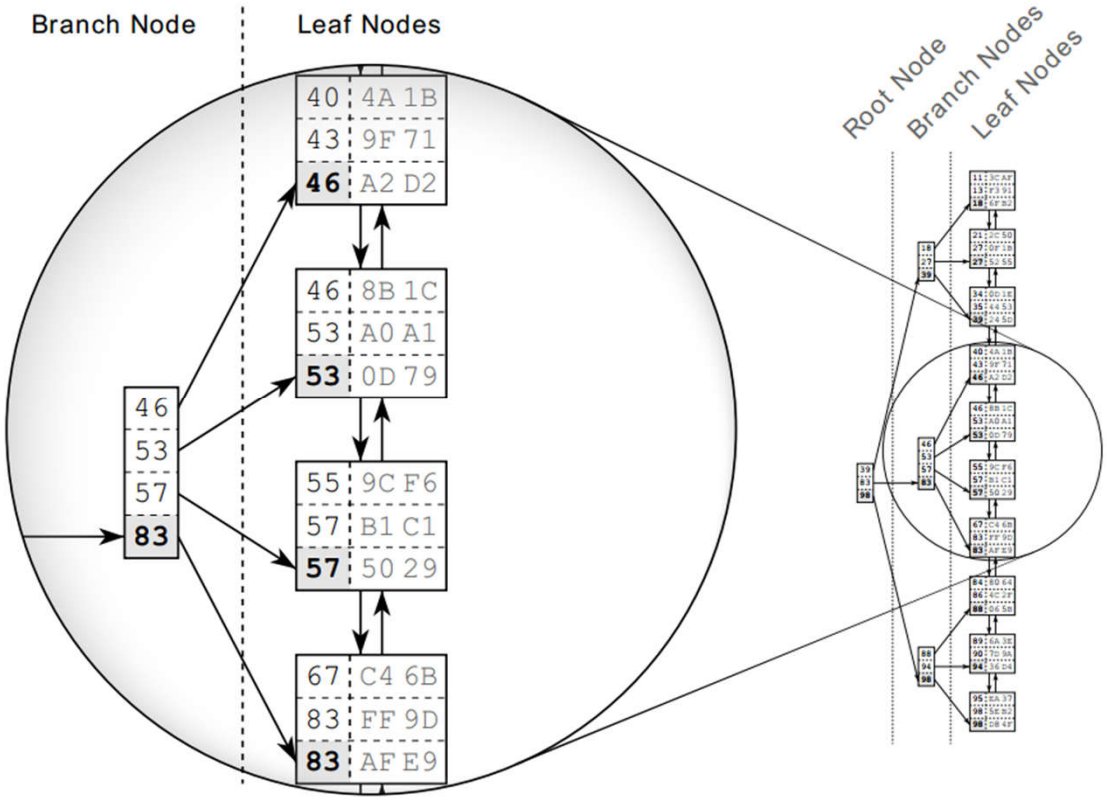


3.5. Clustered vs. Non-Clustered

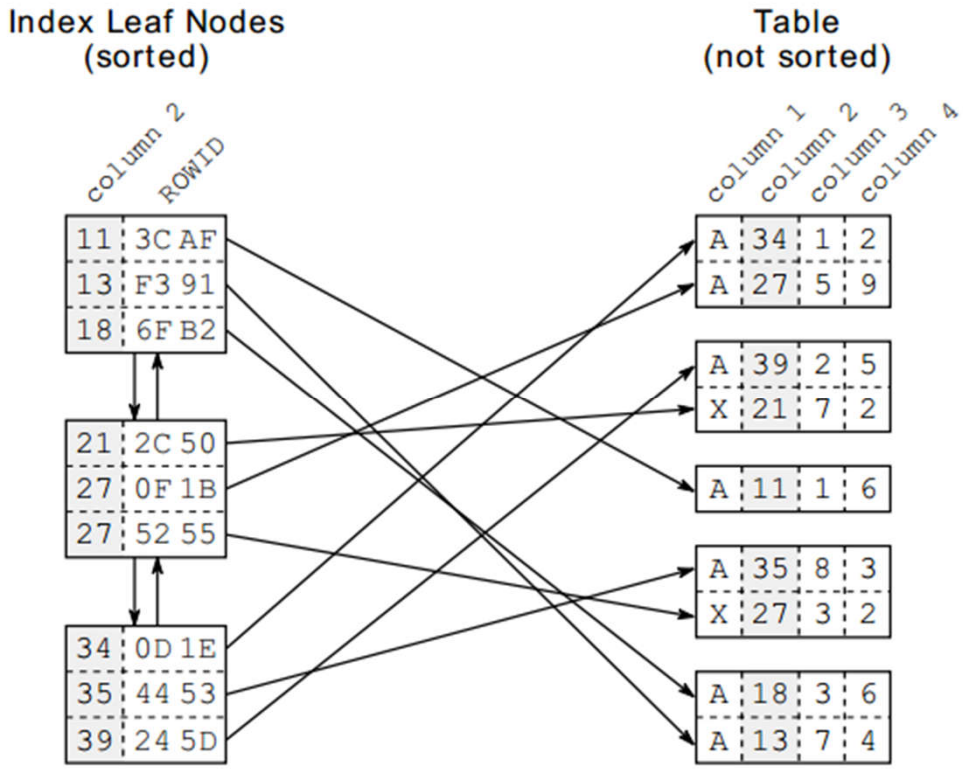
- Clustered index on attribute X
  - This index controls the placement of records on disk
  - only one clustering index per table
  - dense or sparse
- Non-clustered index on attribute X
  - no constraint on table organization
  - Can have more than one index per table
  - always dense



3.6. Example: B+ tree



3.7. Example: Non-clustered index



3.8. CREATING INDEX

- CREATE [UNIQUE|FULLTEXT|SPATIAL] INDEX *index_name* [*index_type*] ON *tbl_name* (*index_col_name*,...) [*index_option*] [*algorithm_option* | *lock_option*] ...
- *index_type*: USING {BTREE | HASH}

Quiz

No	Question (Multiple Choice)	Answer (1,2,3,4)	Commentary
1	Does heap files support INSERT query efficiently? A. Yes B. No	A	
2	Are ordered files better for heavy Insert operation? A. Yes B. No	B	
3			

Outro > Summary

No	Topic	Summary
1	Overview of database storage structures	3-tier Schema Model (ANSI-SPARC Architecture) How Mariadb stores data
2	Physical database file structures	Motivation Magnetic disks as data storage Primary file organizations
3	Database index	What is database indexes? Index data structures B+tree Spare vs. Dense index Clustered vs. Non-clustered index Index creation in SQL

You've just learned about storage and indexing

Next lesson:

Query processing

1. Overview of query processing
2. Query optimization