# **Example of k-Nearest Neighbors (k-NN)**

# 1. k-Nearest Neighbors (k-NN)

Break down the **k-Nearest Neighbors (k-NN)** algorithm step by step with specific values and calculations, focusing on how we can compute distances and determine the class of a new data point.

# **Example Scenario**

Suppose we have the following 2D dataset where each point is classified as either **Red (R)** or **Blue (B)**:

Data Point	$x_1$ (feature 1)	$x_2$ (feature 2)	Class
$d_1$	2	4	Red
$d_2$	4	6	Red
$d_3$	4	2	Blue
$d_4$	6	4	Blue
$d_5$	6	6	Red

Now, we are given a new data point:

$$d_{\mathrm{new}} = (5, 5)$$

# Steps of k-NN

# Step 1: Calculate the Distance Between $d_{ m new}$ and All Existing Data Points

We'll calculate the **Euclidean distance** between  $d_{\rm new}$  and each data point. The formula for Euclidean distance between two points  $P(x_1,y_1)$  and  $Q(x_2,y_2)$  is:

Distance = 
$$\sqrt{(x_2 - x_1)^2 + (y_2 - y_1)^2}$$

Let's compute this for each data point in the dataset:

• Distance between  $d_{\text{new}}$  and  $d_1(2,4)$ :

Distance
$$(d_1, d_{\text{new}}) = \sqrt{(5-2)^2 + (5-4)^2} = \sqrt{9+1} = \sqrt{10} \approx 3.16$$

• Distance between  $d_{\rm new}$  and  $d_2(4,6)$ :

Distance
$$(d_2, d_{\text{new}}) = \sqrt{(5-4)^2 + (5-6)^2} = \sqrt{1+1} = \sqrt{2} \approx 1.41$$

• Distance between  $d_{\text{new}}$  and  $d_3(4,2)$ :

Distance
$$(d_3, d_{\text{new}}) = \sqrt{(5-4)^2 + (5-2)^2} = \sqrt{1+9} = \sqrt{10} \approx 3.16$$

• Distance between  $d_{\text{new}}$  and  $d_4(6,4)$ :

Distance
$$(d_4, d_{\text{new}}) = \sqrt{(5-6)^2 + (5-4)^2} = \sqrt{1+1} = \sqrt{2} \approx 1.41$$

• Distance between  $d_{\mathrm{new}}$  and  $d_5(6,6)$ :

Distance
$$(d_5, d_{\text{new}}) = \sqrt{(5-6)^2 + (5-6)^2} = \sqrt{1+1} = \sqrt{2} \approx 1.41$$

### Step 2: Sort the Distances

Now that we have all the distances, we can sort them in ascending order:

Data Point	Distance	Class
$d_2$	1.41	Red
$d_4$	1.41	Blue
$d_5$	1.41	Red
$d_1$	3.16	Red
$d_3$	3.16	Blue

### Step 3: Choose the Value of k and Determine the Nearest Neighbors

Let's set k=3, which means we will consider the 3 nearest neighbors. From the sorted list of distances, the three nearest neighbors are:

- $d_2$  (Red, distance = 1.41)
- $d_4$  (Blue, distance = 1.41)
- $d_5$  (Red, distance = 1.41)

#### Step 4: Majority Voting

Now, we check the class labels of the 3 nearest neighbors. Out of the three:

- Two points ( $d_2$  and  $d_5$ ) are Red.
- One point  $(d_4)$  is **Blue**.

Since the majority of the neighbors are classified as **Red**, we classify the new point  $d_{\text{new}}$  as **Red**.

### **Summary of Steps:**

- Compute distances from the new point to all existing data points using the chosen distance metric (Euclidean distance in this case).
- 2. Sort the distances in ascending order.
- 3. Choose k (number of neighbors), and select the k nearest neighbors.
- 4. **Perform majority voting** among the k-nearest neighbors to assign the class label to the new point.

## Final Classification:

In this example,  $d_{\rm new}=(5,5)$  is classified as **Red** because the majority of the nearest neighbors (for k=3) belong to the Red class.

#### 2. Rocchio classifier

The Rocchio classifier works by calculating centroids (average points) for each class and then determining which class a new point is closer to, based on distance (usually Cosine Similarity or Euclidean Distance).

#### **Example Scenario (See the example above)**

#### Steps of Centroid-Based Classification

#### Step 1: Compute the Centroid for Each Class

The centroid for a class is the **mean of all points** in that class. Let's compute the centroid for both the Red and Blue classes.

#### · Centroid for Red class:

The Red class consists of the points  $d_1=(2,4)$ ,  $d_2=(4,6)$ , and  $d_5=(6,6)$ . The formula for the centroid of a class C is:

$$c_{ ext{red}} = rac{1}{|C_{ ext{red}}|} \sum d_i \quad ext{for all } d_i \in C_{ ext{red}}$$

So, for the Red class:

$$c_{\text{red}} = \left(\frac{2+4+6}{3}, \frac{4+6+6}{3}\right) = (4, 5.33)$$

Centroid for Blue class:

The Blue class consists of the points  $d_3=(4,2)$  and  $d_4=(6,4)$ . Similarly, the centroid is:

$$c_{ ext{blue}} = rac{1}{|C_{ ext{blue}}|} \sum d_i \quad ext{for all } d_i \in C_{ ext{blue}}$$

So, for the Blue class:

$$c_{ ext{blue}} = \left( rac{4+6}{2}, rac{2+4}{2} 
ight) = (5,3)$$

Now we have the centroids for both classes:

- Red class centroid:  $c_{
  m red} = (4, 5.33)$
- Blue class centroid:  $c_{
  m blue} = (5,3)$

# Step 2: Calculate the Distance Between $d_{ m new}$ and the Centroids

Next, we calculate the **Euclidean distance** between  $d_{
m new}=(5,5)$  and each centroid.

• Distance between  $d_{\mathrm{new}}$  and  $c_{\mathrm{red}}(4,5.33)$ :

Distance
$$(d_{\text{new}}, c_{\text{red}}) = \sqrt{(5-4)^2 + (5-5.33)^2} = \sqrt{1+0.1089} = \sqrt{1.1089} \approx 1.05$$

• Distance between  $d_{\mathrm{new}}$  and  $c_{\mathrm{blue}}(5,3)$ :

Distance
$$(d_{\text{new}}, c_{\text{blue}}) = \sqrt{(5-5)^2 + (5-3)^2} = \sqrt{0+4} = 2$$

#### Step 3: Assign the Class Based on the Closest Centroid

- The distance between  $d_{
  m new}$  and  $c_{
  m red}$  is approximately 1.05.
- The distance between  $d_{
  m new}$  and  $c_{
  m blue}$  is 2.

Since  $d_{
m new}$  is closer to the **Red** centroid ( $c_{
m red}$ ), the new point  $d_{
m new}$  is classified as **Red**.

# **Summary of Steps:**

- 1. Compute the centroids of each class by averaging the points in each class.
- 2. Calculate the distance between the new point and each class centroid (in this case, we used Euclidean distance).
- 3. Classify the new point based on the closest centroid.

## **Final Classification:**

In this example,  $d_{\rm new}=(5,5)$  is classified as **Red** because it is closer to the Red class centroid than the Blue class centroid.