

# Introduction to Data Management

## NoSQL

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University of Washington, Seattle

# Classical Database Application Problems

**OLTP**  
**(Online Transaction**  
**Processing)**

**OLAP**  
**(Online Analytical**  
**Processing)**

# Classical Database Application Problems

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Managing consistency is critical	Query optimization and processing is critical
Flights, banking, etc. (many users)	Business intelligence (few users)

# Client-Server Applications





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Single server runs  
the entire database



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Could be:

- Your own computer
- Cloud-hosted DB



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Multiple client applications  
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Could be:

- Query editor
- Java app (lab)
- Analyst app (Tableau)

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ODBC/JDBC



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# Client-Server Applications

Sufficient for OLAP (simple)  
Can't scale connections for OLTP

Multiple client applications  
connect to DB server

Single server runs  
the entire database



ODBC/JDBC



Could be:

- Your own computer
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Could be:

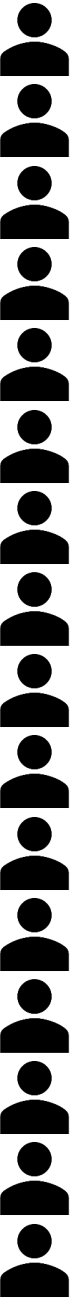
- Query editor
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# The World Wide Web – Web 2.0

- A new class of problem emerges in the late 90s and early 2000s (and is still a problem today)
- What is Web 2.0?
  - Social web (Facebook, Amazon, Instagram, ...)
  - Startup services need to **scale quickly by orders of magnitude** (shared-nothing architecture!)
  - **Exclusively OLTP workloads**

# 3-Tiered Web Architecture

How do we architect an OLTP solution?





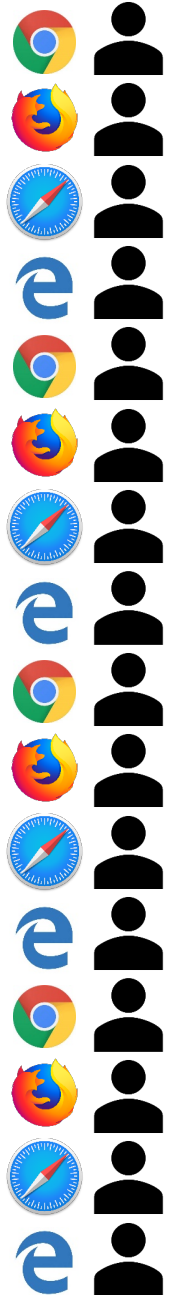
# 3-Tiered Web Architecture

How do we architect an OLTP solution?

Web/App servers (easily replicated for more users)

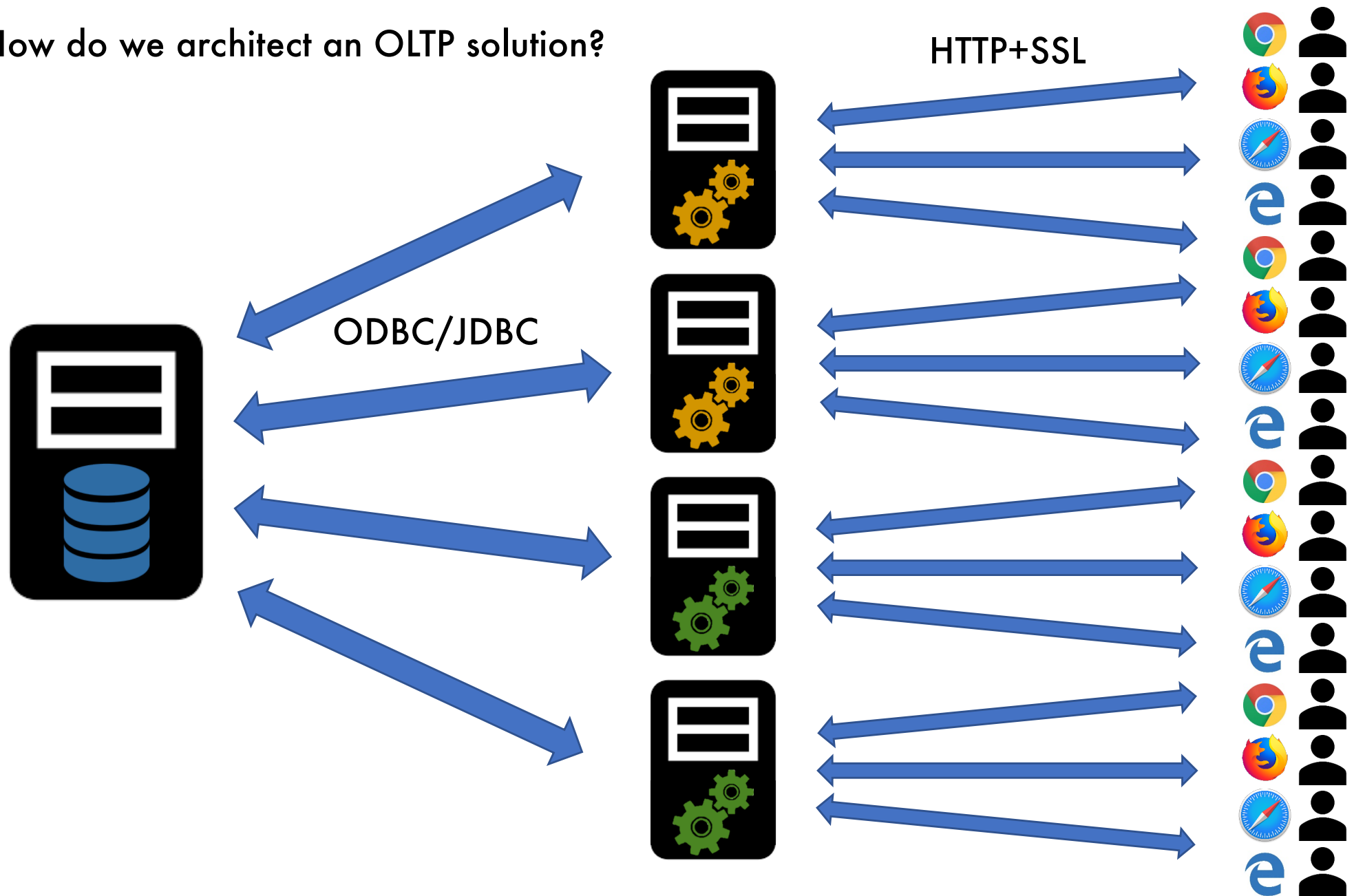


Browsers allow communication to servers



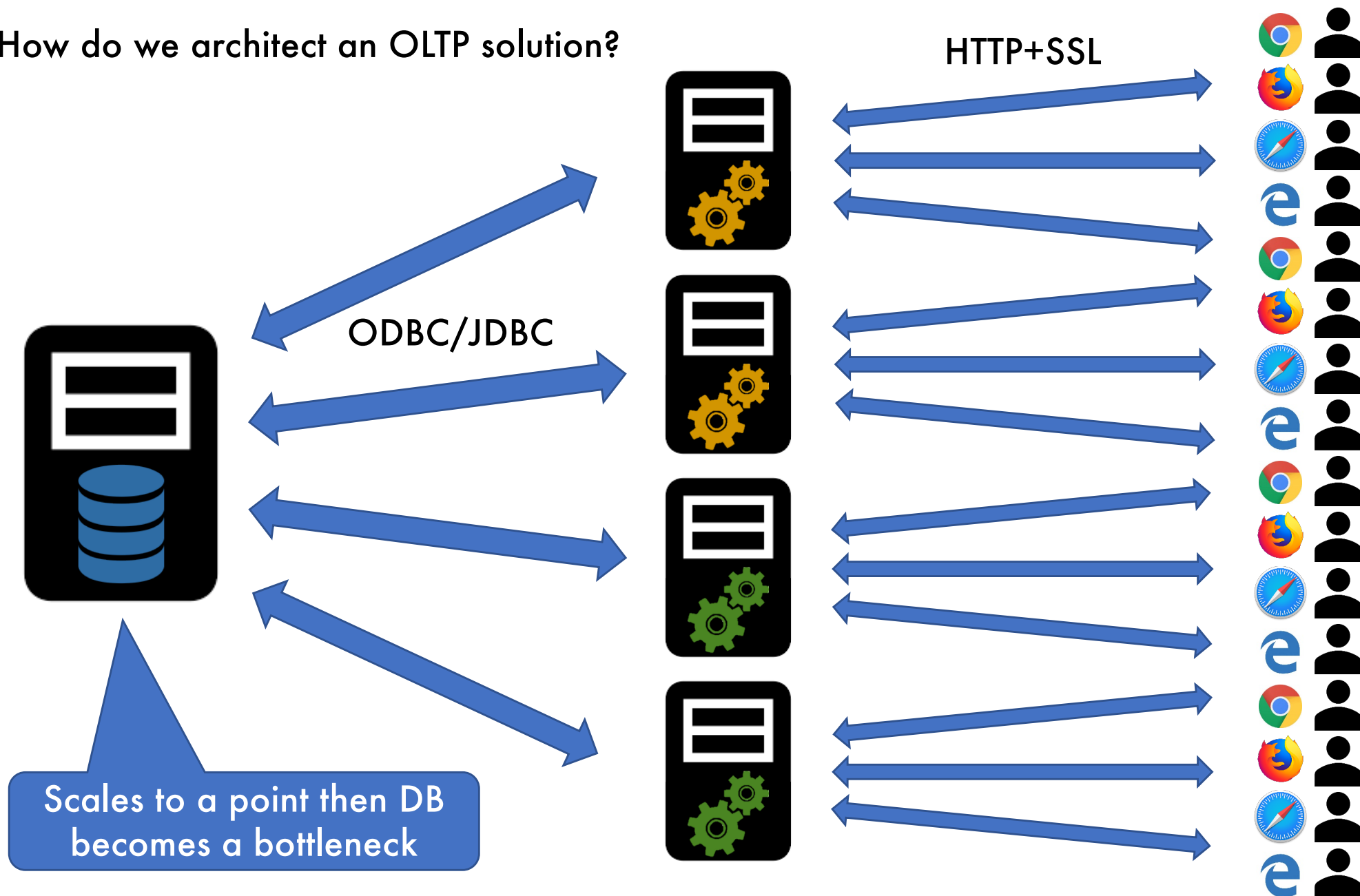
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# 3-Tiered Web Architecture

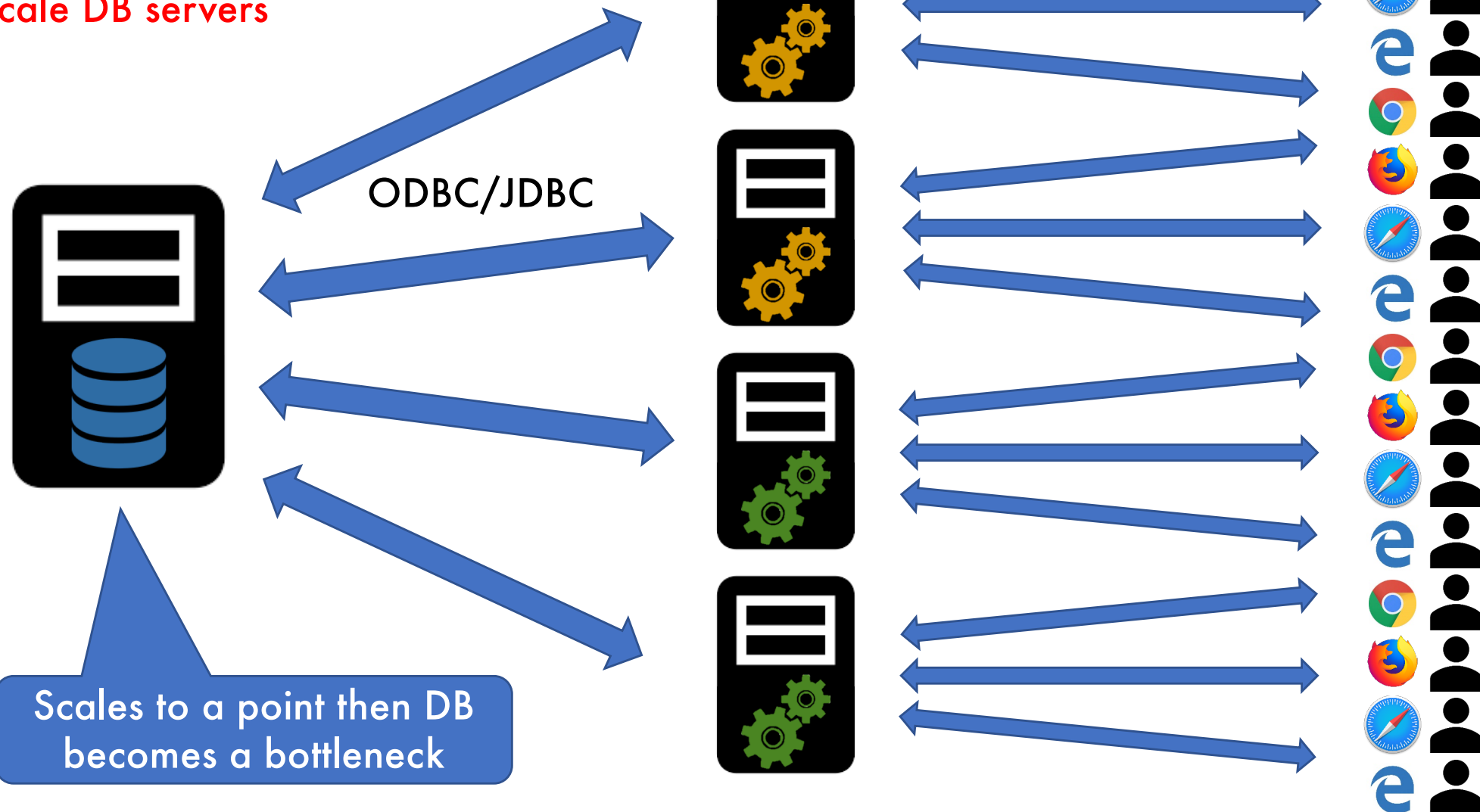
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# 3-Tiered Web Architecture

How do we architect an OLTP solution?

Performance issues if we try to  
scale DB servers



# Database Scaling Techniques

- Scale up via:
  - **Partitioning** (sharding)
  - **Replication**

# RDBMS Partitioning

- Use multiple machines to distribute data
- Write performance ok
- Read performance suffers!
  - Join across servers may have huge network IO cost

# RDBMS Replication

- Create multiple copies of each database partition
- Improves fault tolerance
- Read performance ok
- Write performance suffers!
  - Need to write same value to multiple servers

# Distributed RDBMS Consistency Bottleneck

- RDBMS scaling makes consistency hard
  - Partitioning: Need to coordinate server actions
  - Replication: Need to prevent inconsistent versions
  - ACID is hard to maintain



A hashtag on Twitter for a [meetup](#) in San Francisco to discuss systems like Google BigTable, Amazon Dynamo, CouchDB, etc.

## Event Details

### Introduction

This meetup is about "open source, distributed, non relational databases".

Have you run into limitations with traditional relational databases? Don't mind trading a query language for scalability? Or perhaps you just like shiny new things to try out? Either way this meetup is for you.

Join us in figuring out why these newfangled Dynamo clones and BigTables have become so popular lately. We have gathered presenters from the most interesting projects around to give us all an introduction to the field.

### Preliminary schedule

09.45: Doors open

10.00: **Intro session** (Todd Lipcon, Cloudera)

10.40: **Voldemort** (Jay Kreps, LinkedIn)

11.20: Short break

11.30: **Cassandra** (Avinash Lakshman, Facebook)

12.10: Free lunch (sponsored by Last.fm)

13.10: **Dynomite** (Cliff Moon, Powerset)

13.50: **HBase** (Ryan Rawson, Stumbleupon)

14.30: Short break

14.40: **Hypertable** (Doug Judd, Zvents)

15.20: **CouchDB** (Chris Anderson, couch.io)

16.00: Short break

16.10: Lightning talks

16.40: Panel discussion

17.00: Relocate to Kate O'Brien's, 579 Howard St. @ 2nd. First round sponsored by Digg

### Registration

The event is free but space is limited, please register if you wish to attend.

### Location

Magma room, CBS interactive  
235 Second Street  
San Francisco, CA 94105



**thrddb** @thrddb · 23 May 2009

sucks i'm not on the west coast and will not be able to attend [#nosql](#)



**Todd Lipcon** @tlipcon · 23 May 2009

working on slides for the [#nosql](#) meetup in June. trying to cover all of dist systems in 40 minutes is not as easy as it sounds.



**Chris Anderson** @jchris · 13 May 2009

It's official - I'll be relaxifying everyone with CouchDB at [#NoSQL](#). Thanks @skr!



# NoSQL in a Nutshell

- NoSQL works for Web 2.0 business models
  - **No OLAP anyway**
  - **Availability is more important than consistency for Web 2.0**
  - Facebook:
    - I don't care if I don't see every like in real time
    - I care if I can't send a like
  - Amazon:
    - I don't care if my cart forgot an item
    - I care if I can't put an item into my cart

# Let's Drop ACID

- RDBMSs have the ACID consistency model
- NoSQL sys. have the **BASE** consistency model
- **Basically Available**
  - Most failures do not cause a complete system outage

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- **Soft state**
  - System is not always write-consistent
- **Eventually consistent**
  - Data will eventually converge to agreed values

# Why the Sacrifice?

Why can't we have both Consistency and Availability?

# NoSQL in a Nutshell

- NoSQL → Looser data model
  - Give up built-in OLAP/analysis functionality
  - Give up built-in ACID consistency

# CAP Theorem

- Old name: Brewer's Conjecture
- In a distributed data store, one can only provide two of the following three guarantees:
  - **Consistency**
    - Every read receives the most recent write or an error
  - **Availability**
    - Every request must respond with a non-error
  - **Partition tolerance**
    - Continued operation in presence of dropped or delayed messages

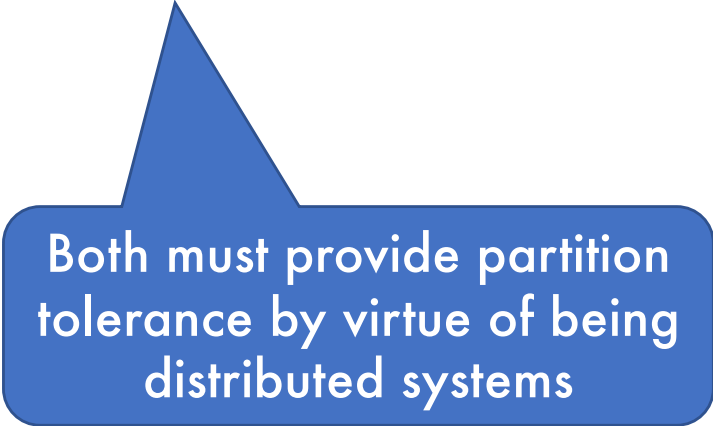


# RDBMS vs NoSQL Systems

- Distributed RDBMS
  - Partition tolerance + **Consistency**
- NoSQL Systems
  - Partition tolerance + **Availability**

# RDBMS vs NoSQL Systems

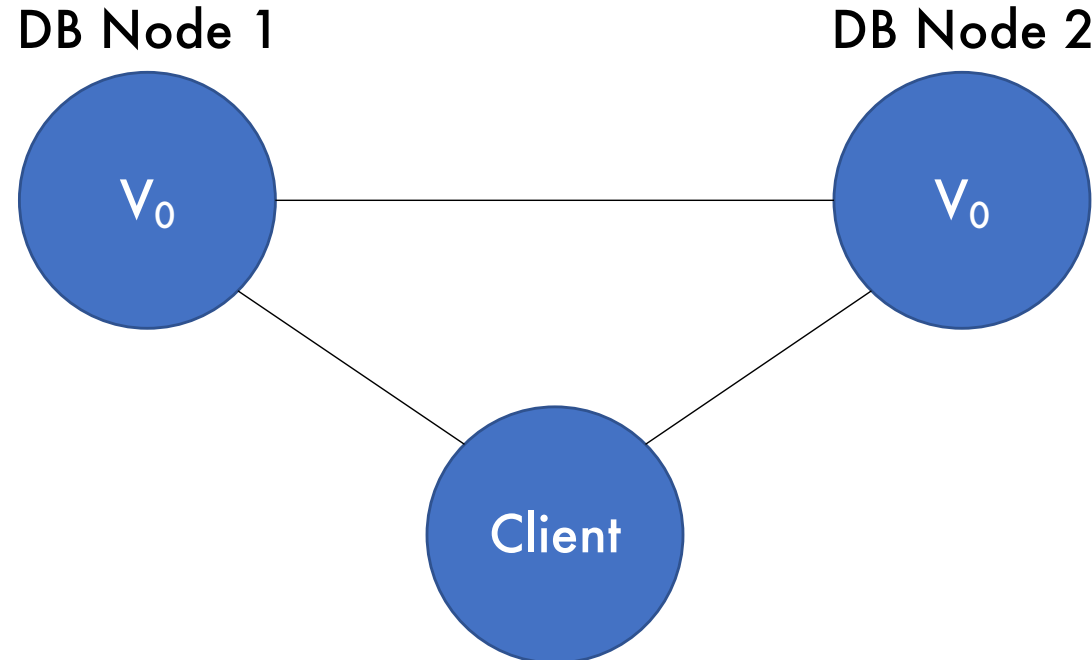
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Both must provide partition tolerance by virtue of being distributed systems

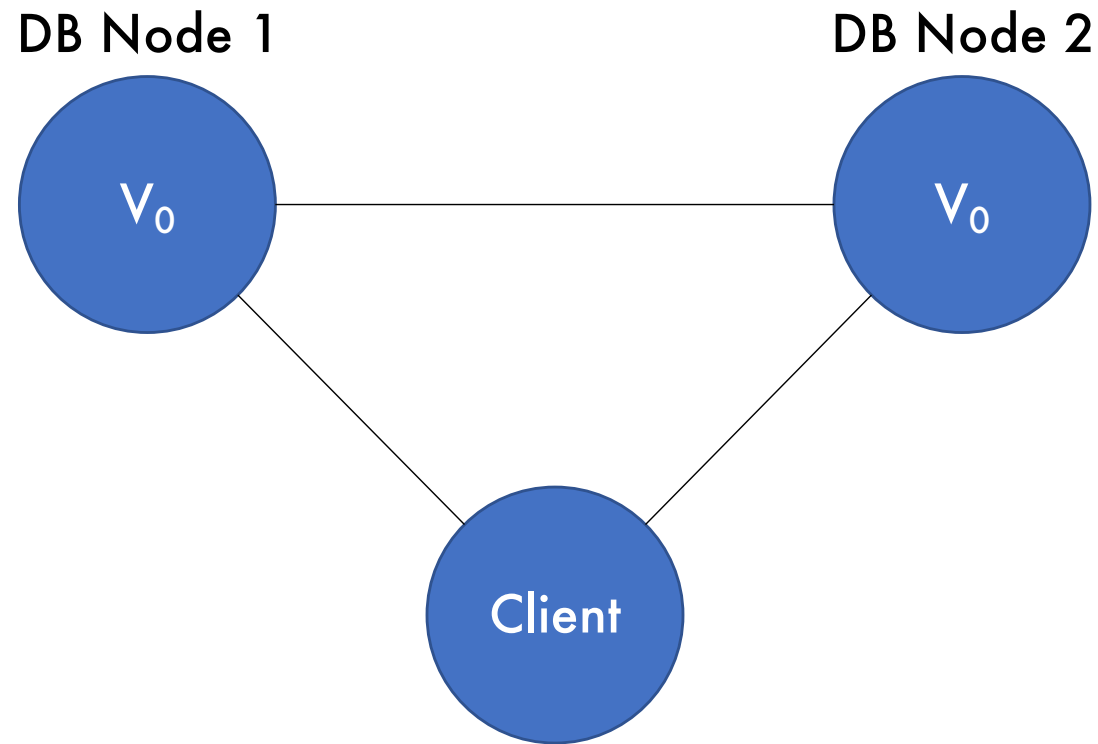
# RDBMS vs NoSQL Systems

- Let's see how distributed systems act in the presence of network faults
- When we try to maintain **partition tolerance** what do we lose?



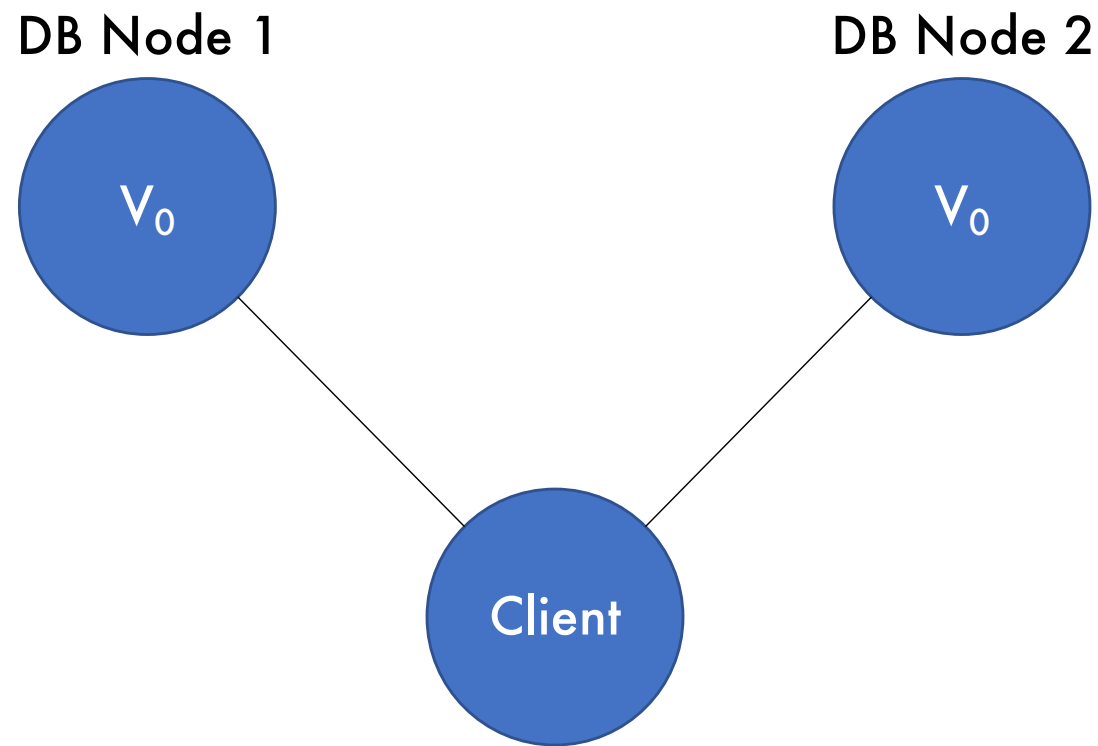
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## Partition tolerance + **Consistency**



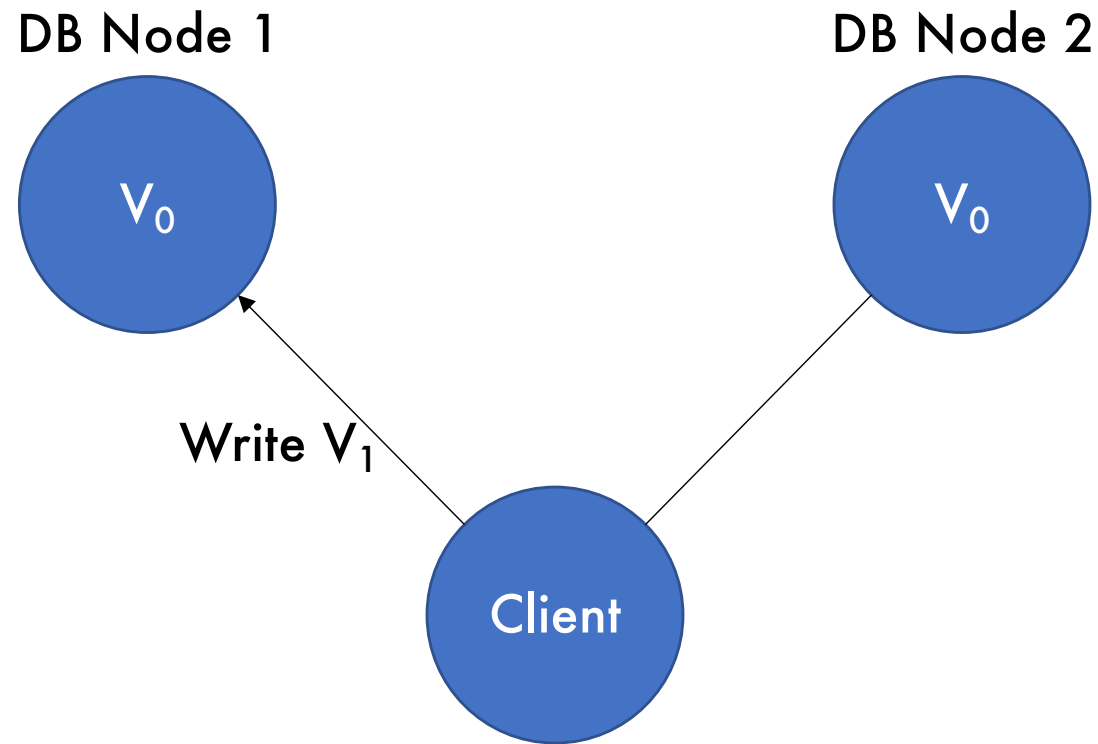
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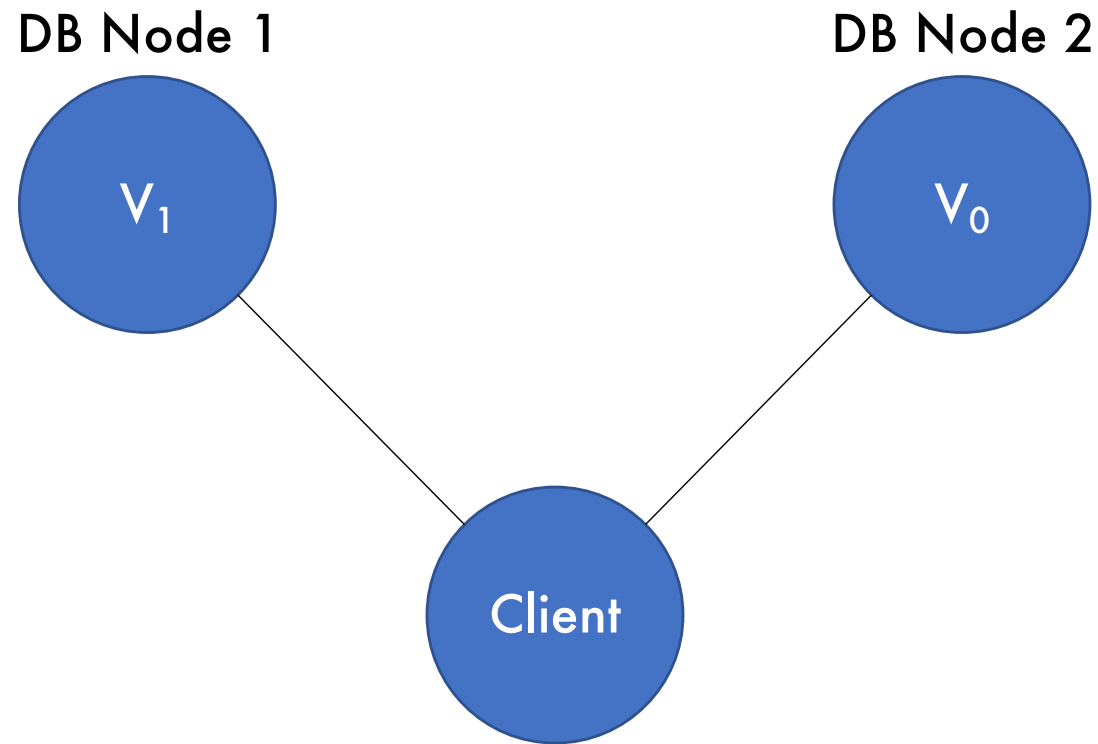
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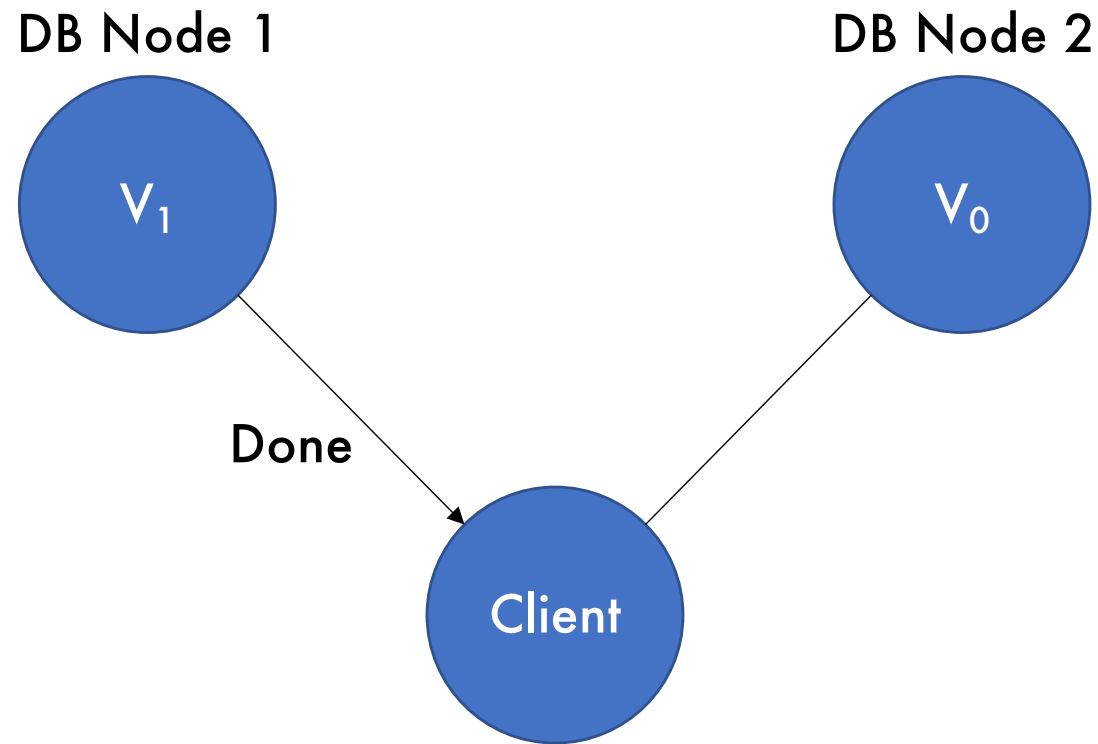
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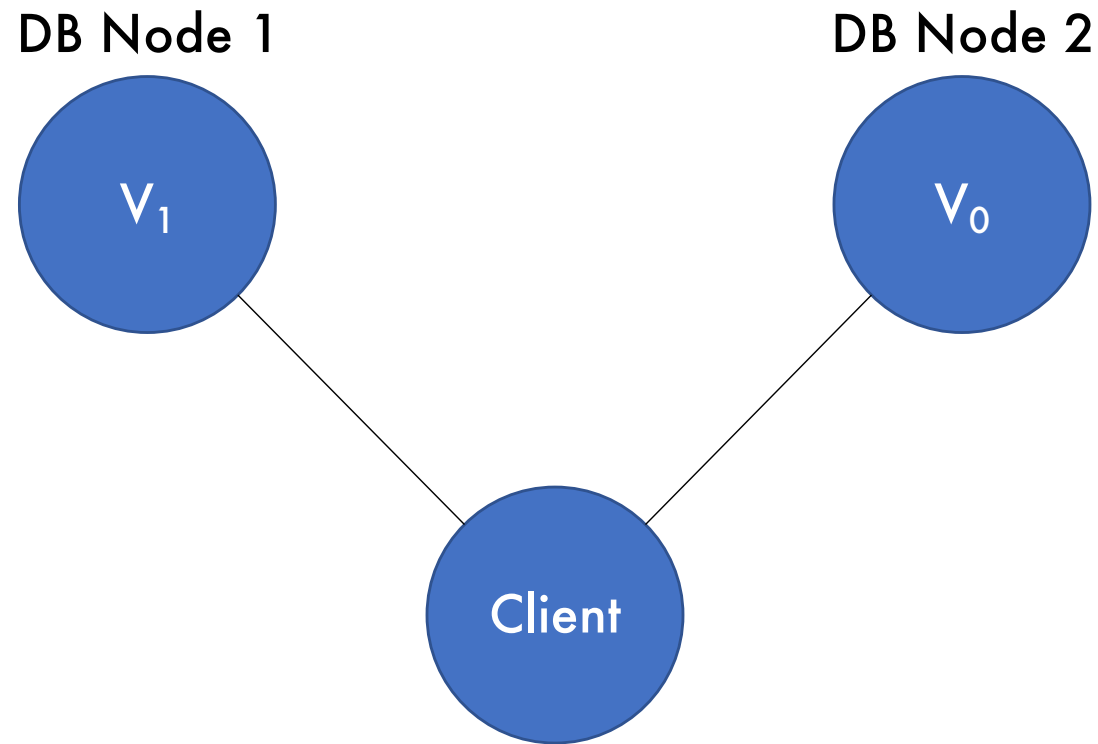
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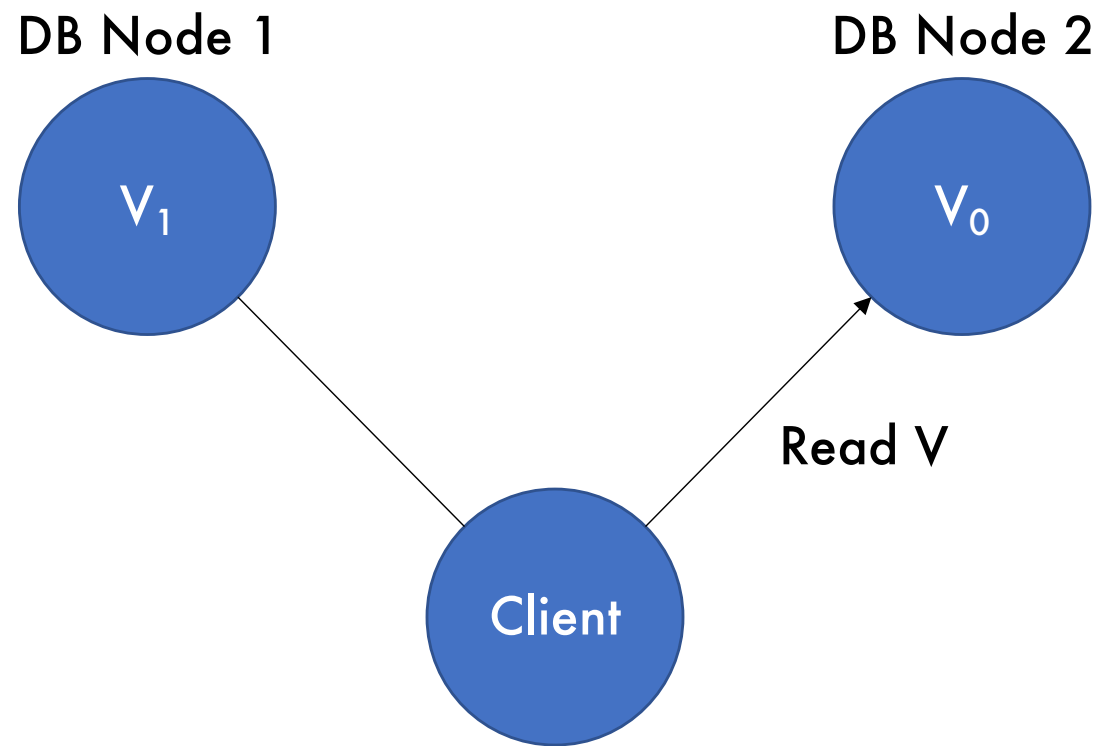
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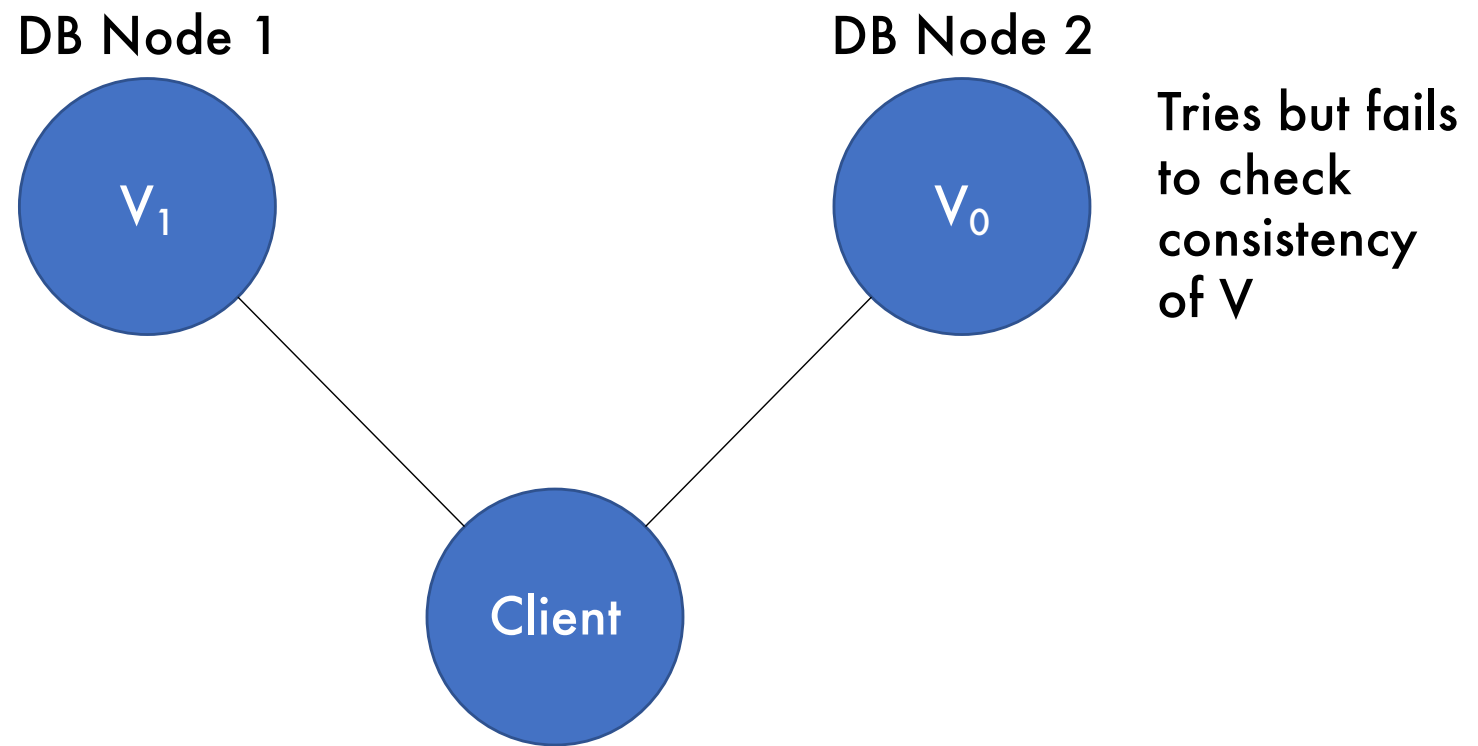
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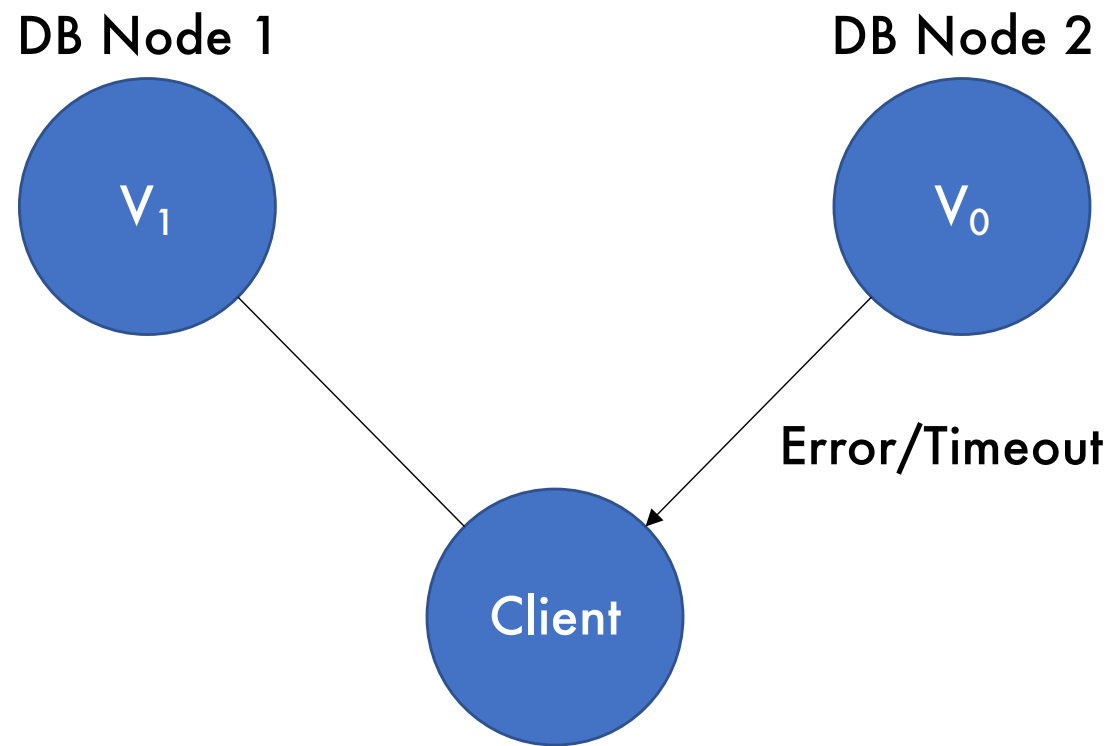
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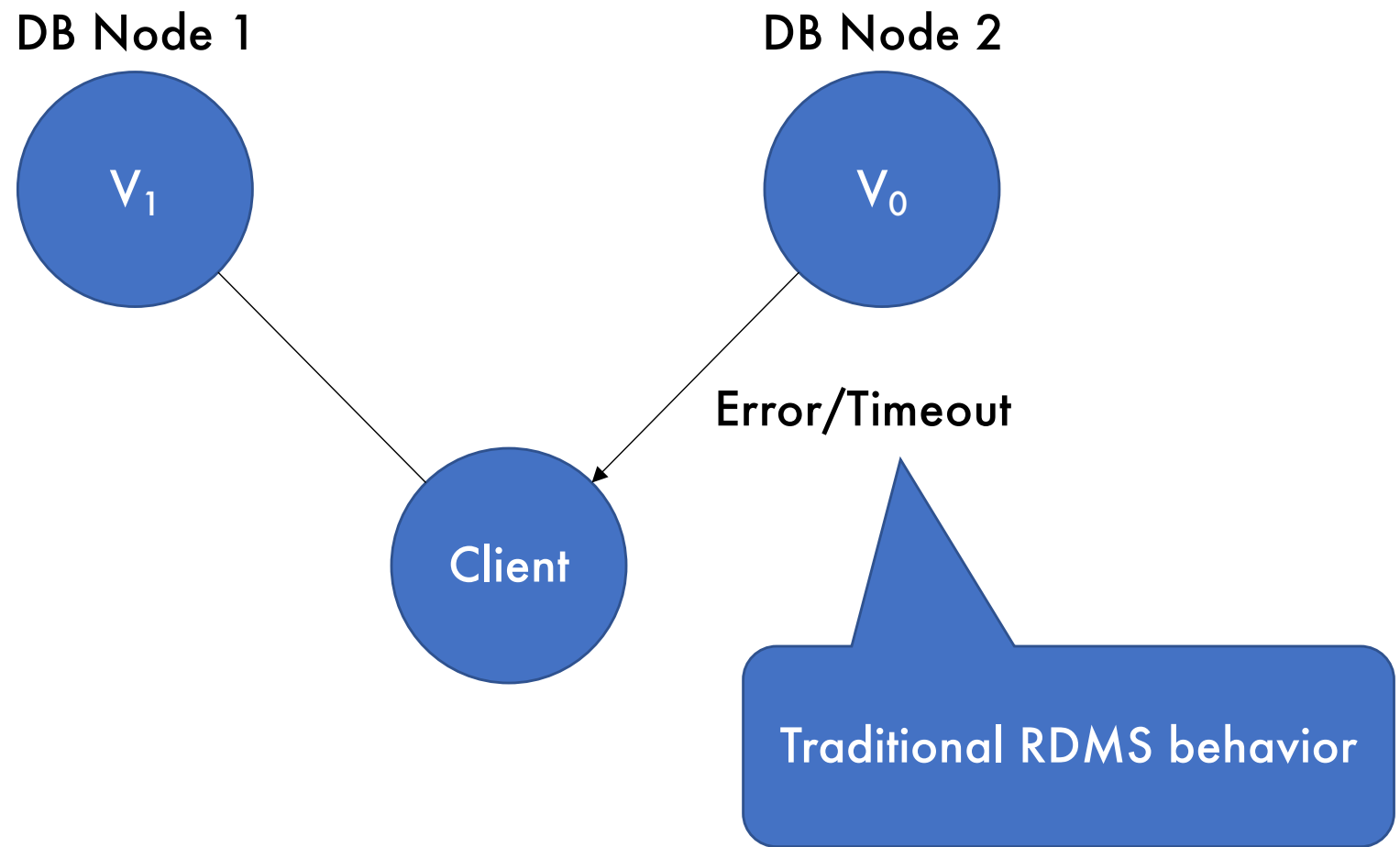
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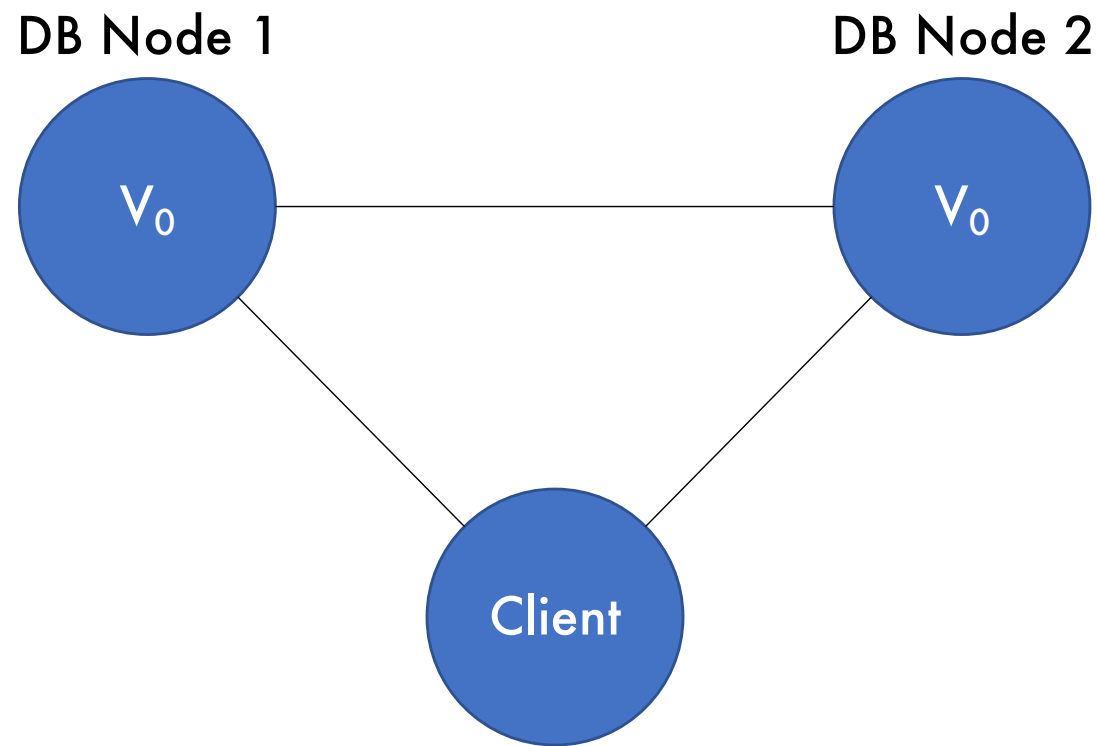
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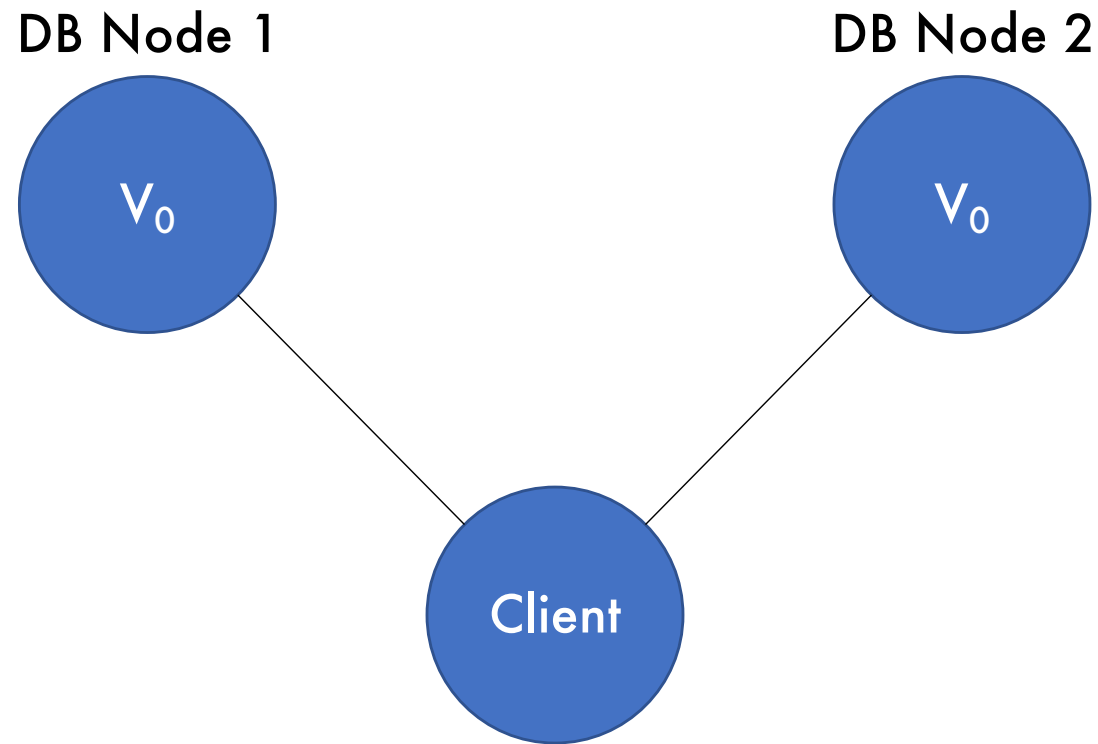
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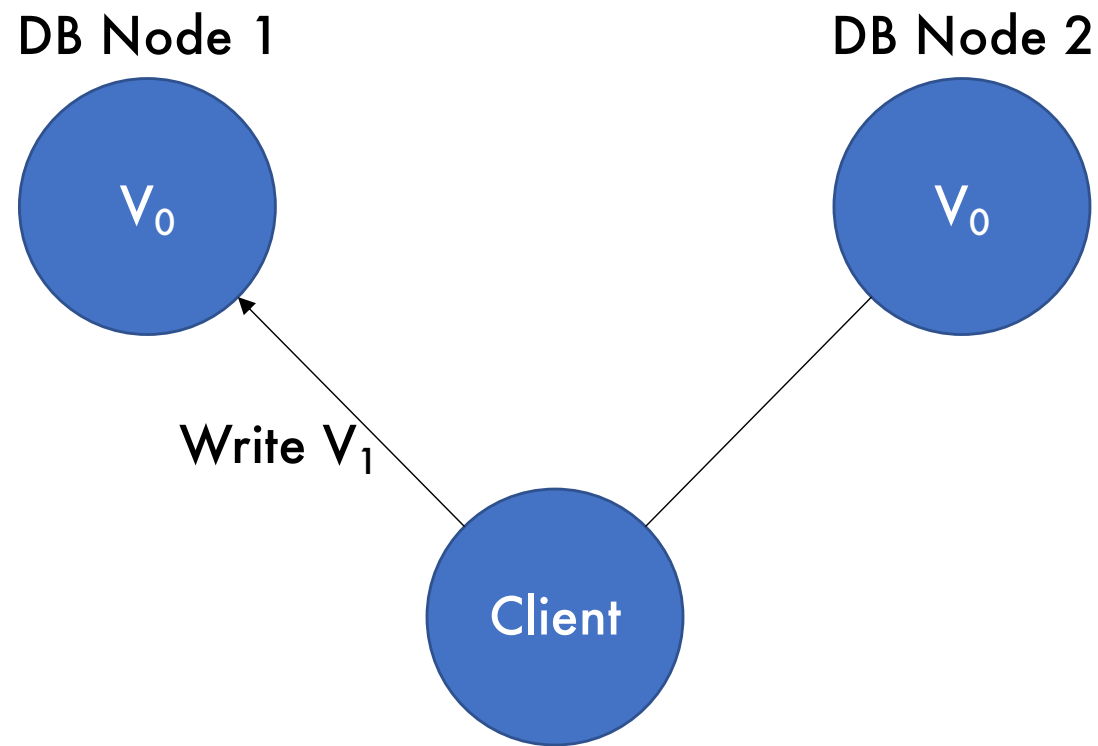
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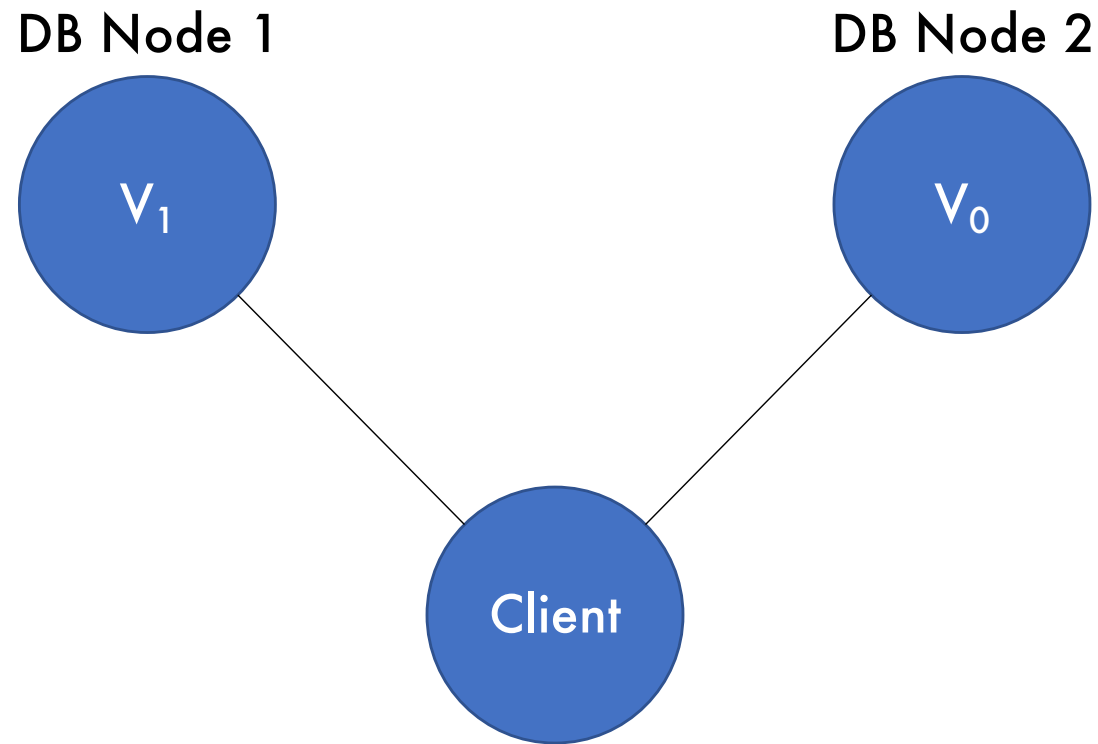
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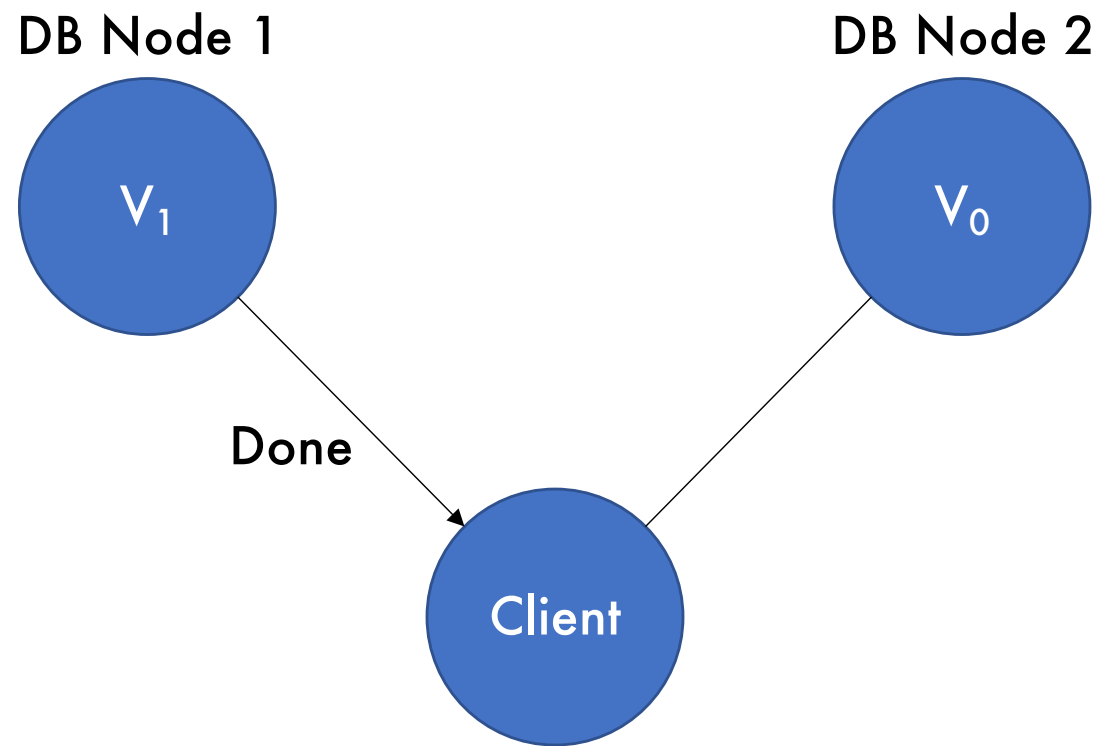
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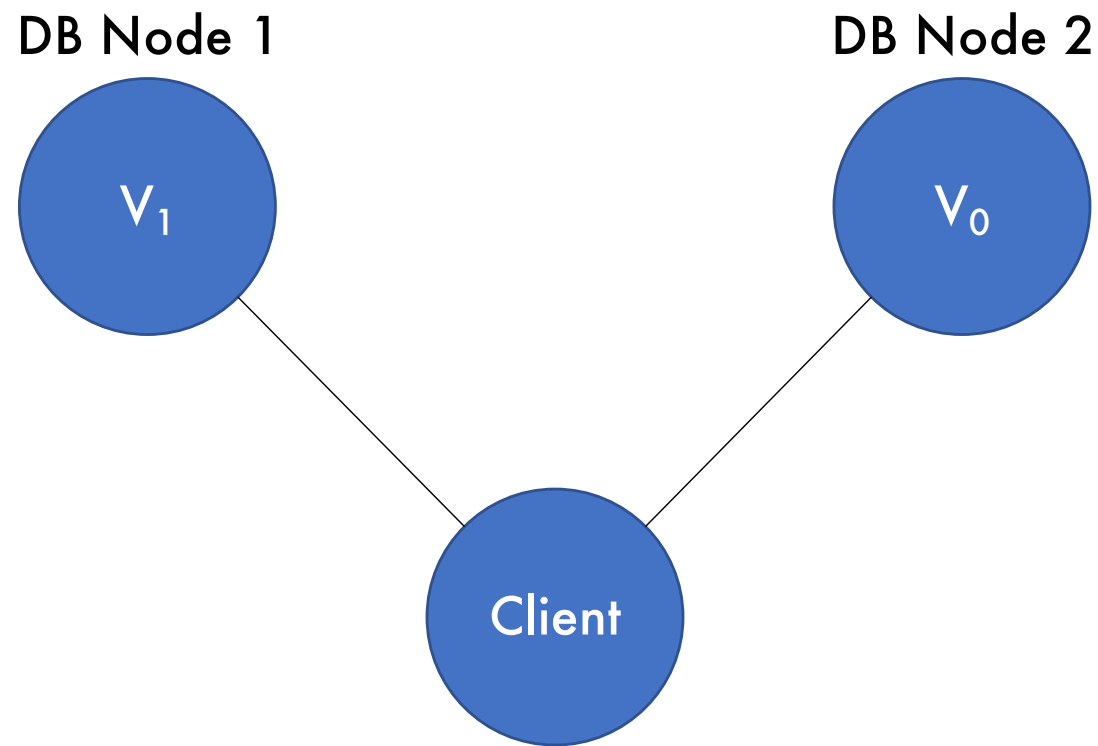
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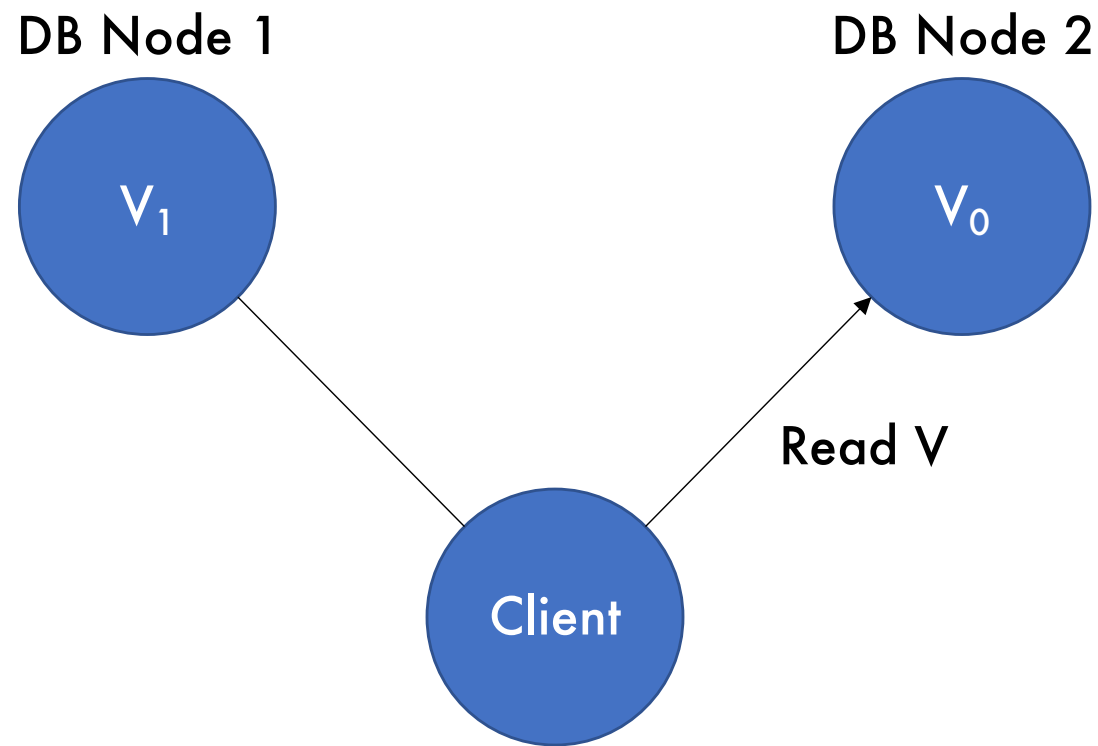
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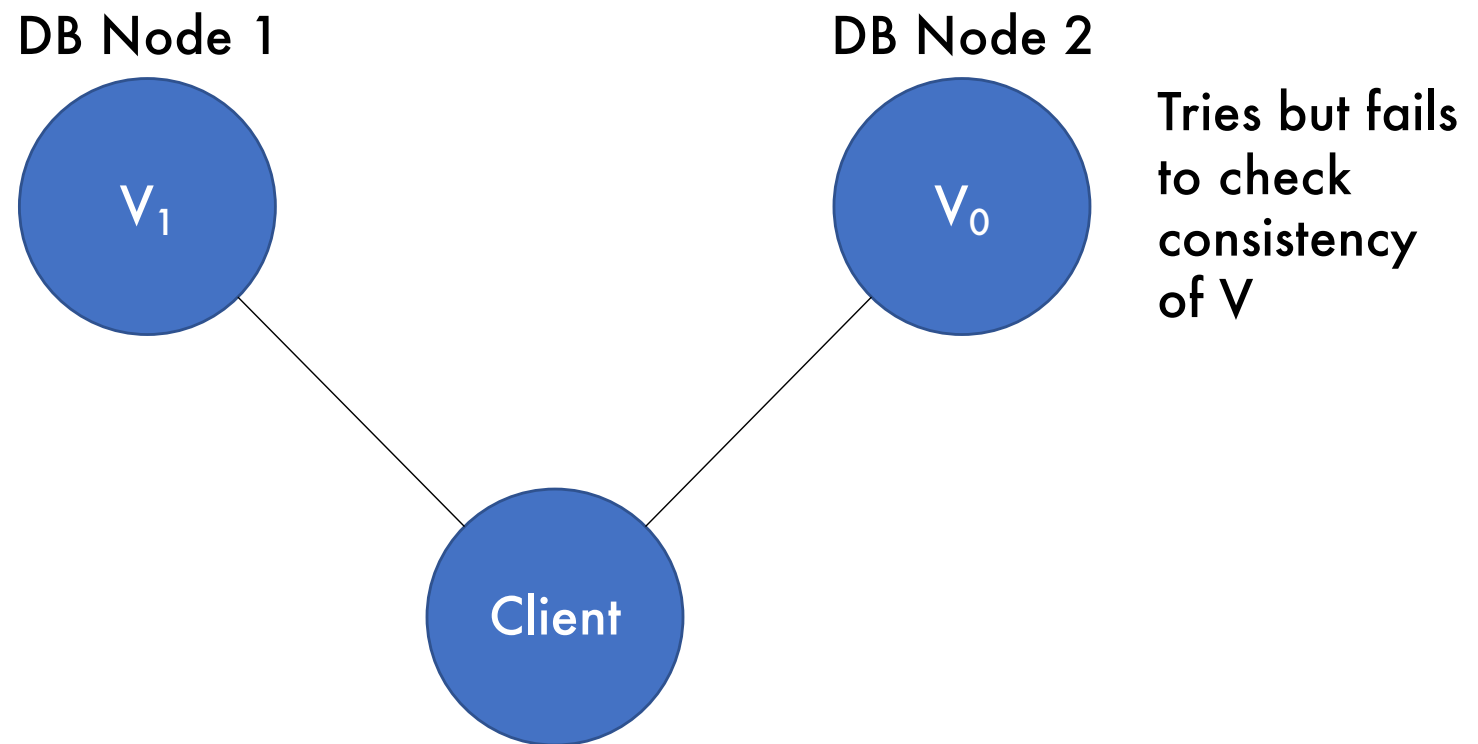
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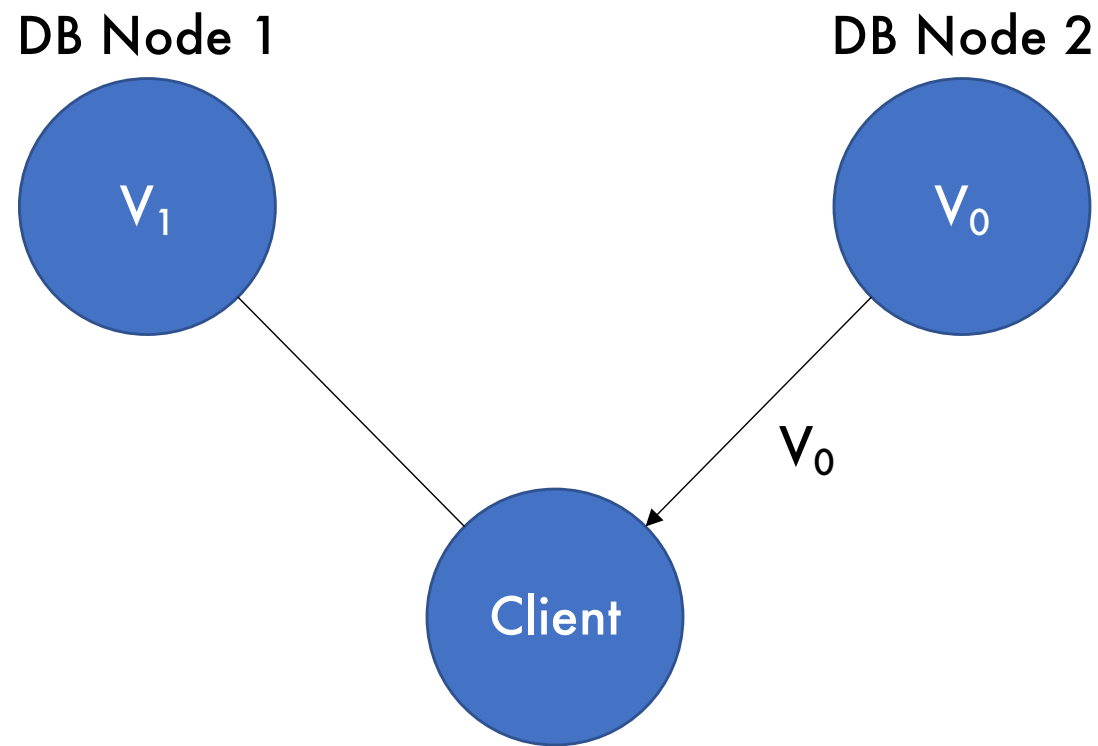
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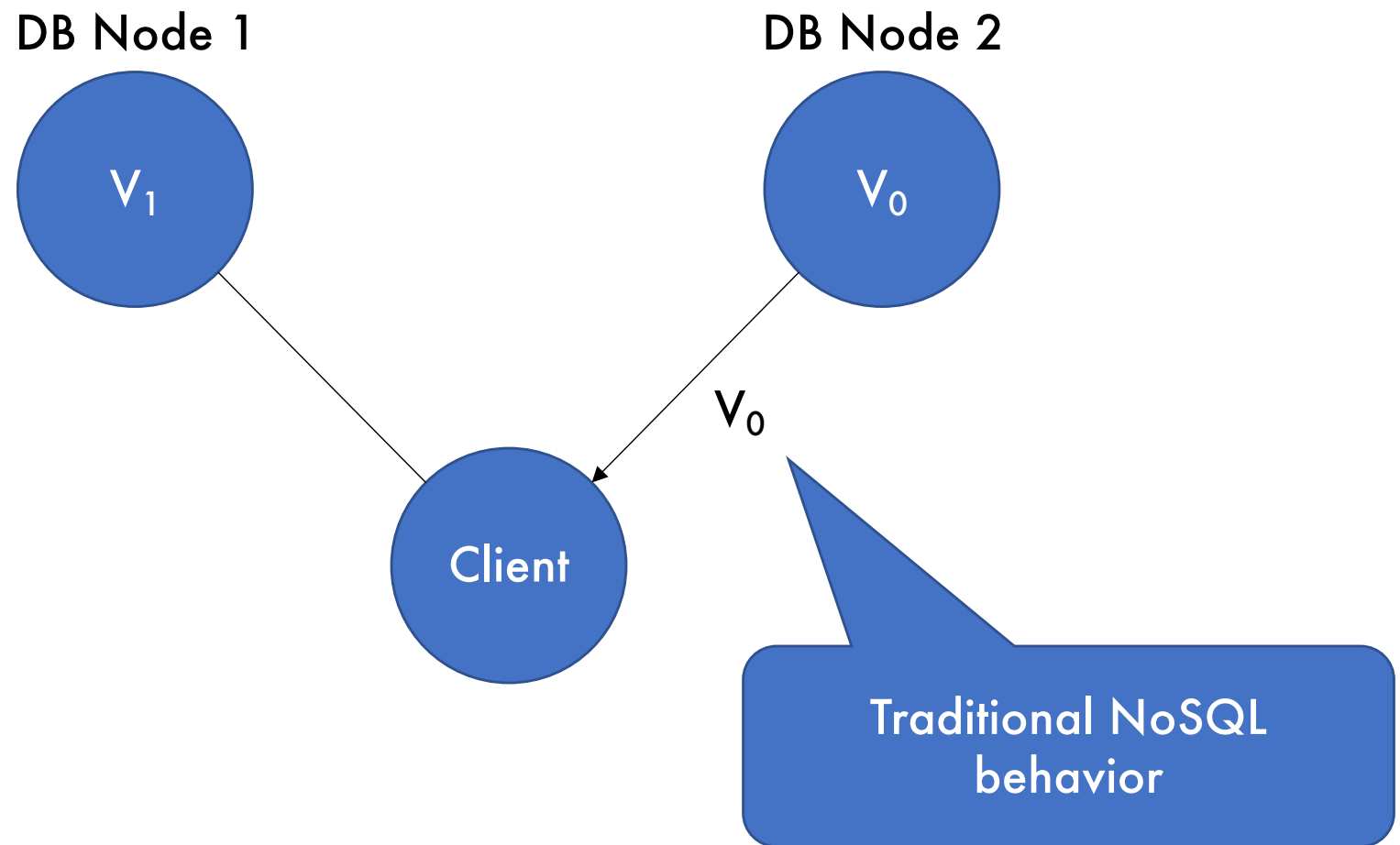
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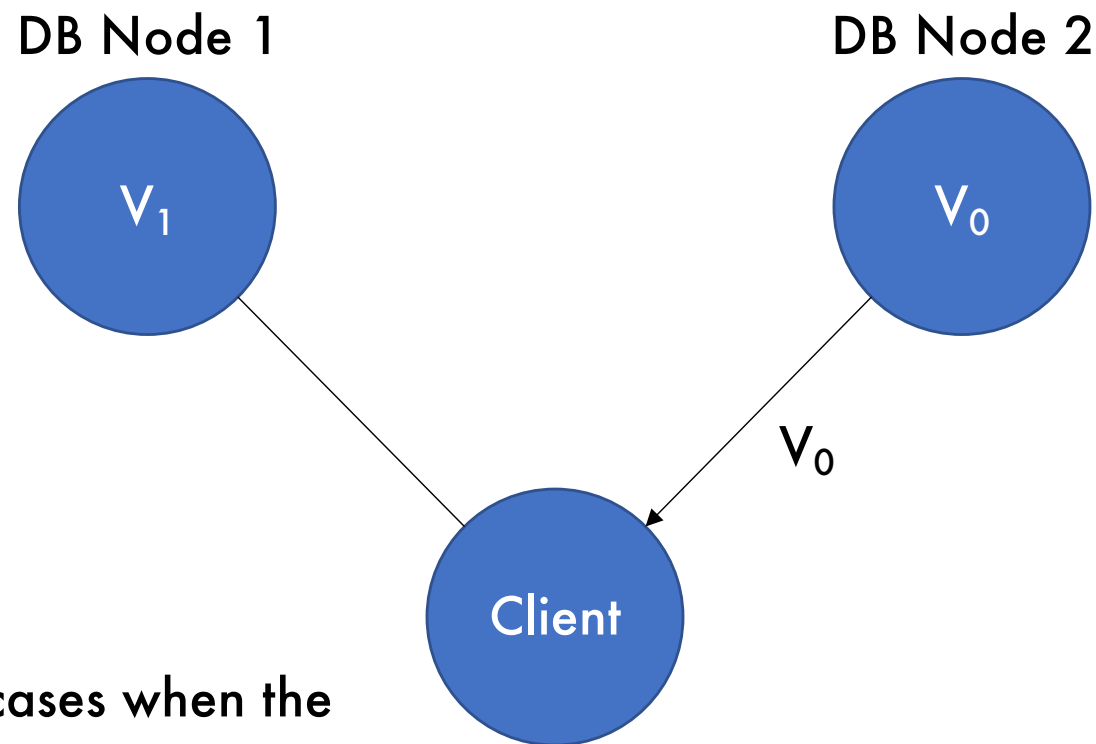
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# RDBMS vs NoSQL Systems

## Partition tolerance + **Availability**



### IMPORTANT:

These are only cases when the network infrastructure goes down completely. Usually, nodes should be able to check on other nodes.

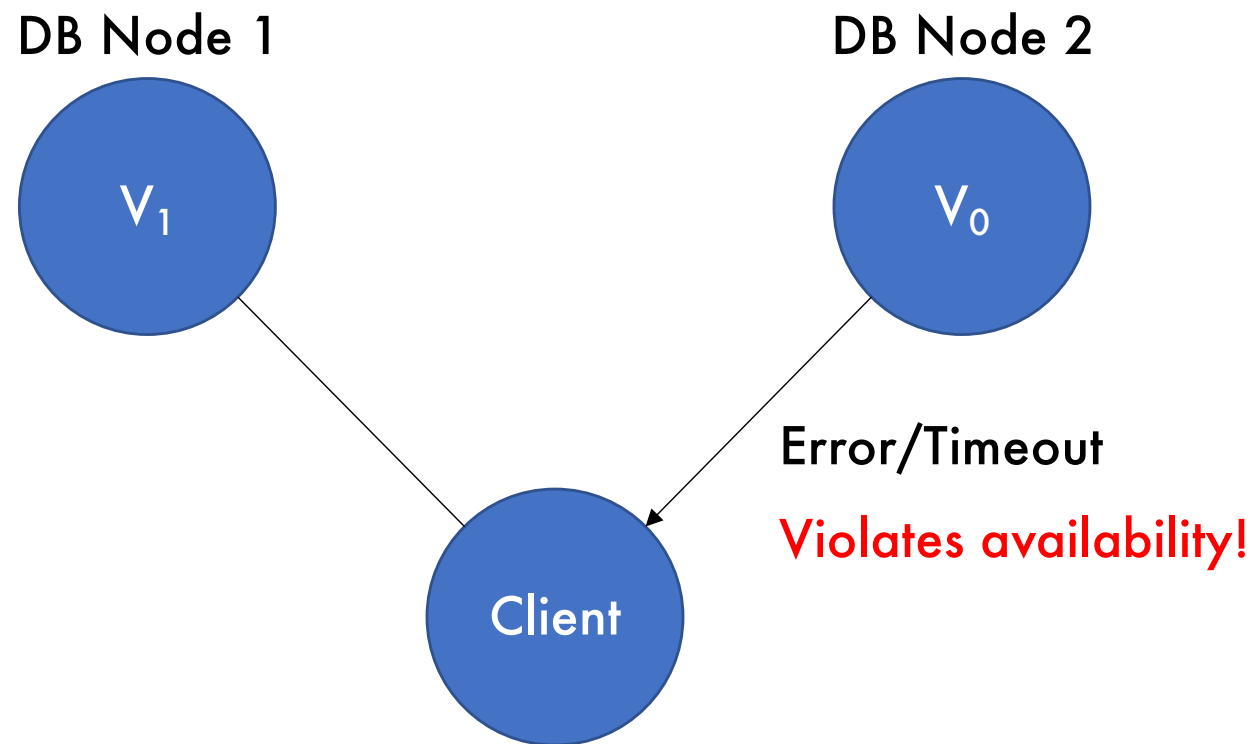


# Proof of CAP Theorem

- [2002 original paper \(S. Gilbert & N. Lynch\)](#)
- [More digestible blog post \(M. Whittaker\)](#)
- Proof by contradiction: Assume we had a system that guaranteed availability, consistency, and partition tolerance...

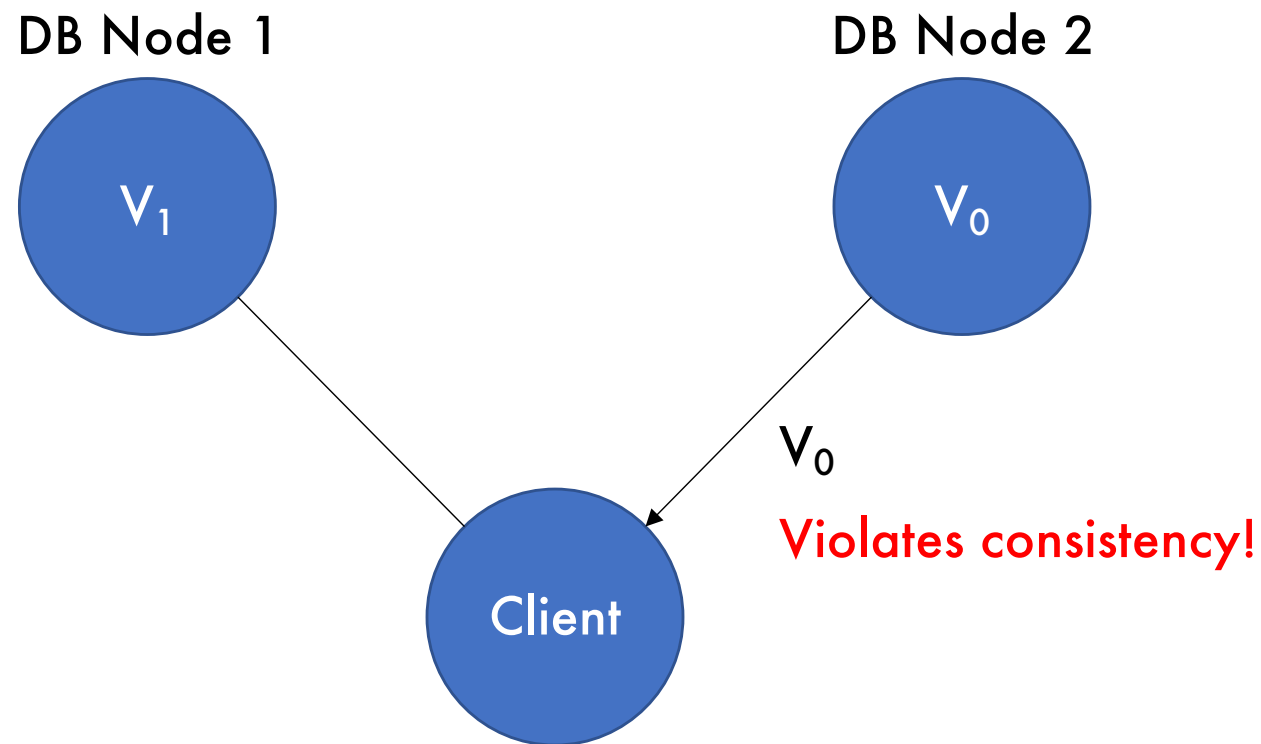
# Proof of CAP Theorem

Partition tolerance + Consistency  
+ **Availability?**



# Proof of CAP Theorem

Partition tolerance + Availability  
+ **Consistency?**



# On a Practical Note

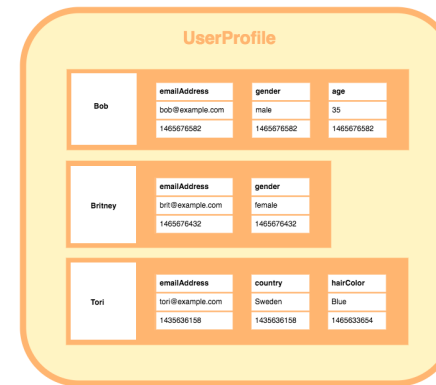
- RDBMSs are *intended* to be highly consistent
  - Boost availability by sacrificing some consistency
- NoSQL sys. are *intended* to be highly available
  - Boost consistency by sacrificing some availability
- Most applications OK with some compromise
  - “Return most of data most of the time”
  - DBMS choice has many factors
    - Consistency/Availability requirements
    - Scalability
    - Usability
    - OLAP/analysis requirements
    - ...

# NoSQL Data Models

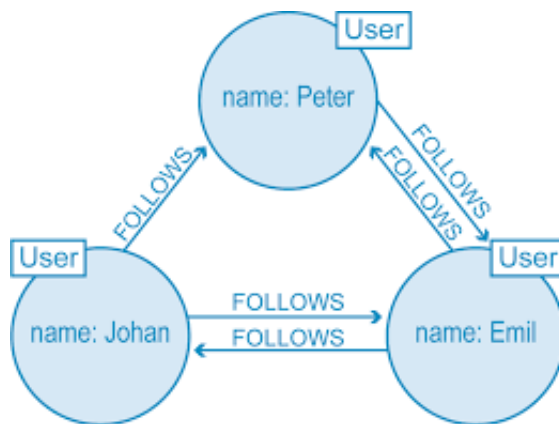
## Key-Value Database

Key	Value
K1	AAA,BBB,CCC
K2	AAA,BBB
K3	AAA,DDD
K4	AAA,2,01/01/2015
K5	3,ZZZ,5623

## Wide-Column Store (Extensible Record Store)



## Graph Database



## Document Store

### XML

```
<empinfo>
  <employees>
    <employee>
      <name>James Kirk</name>
      <age>40</age>
    </employee>
    <employee>
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### JSON

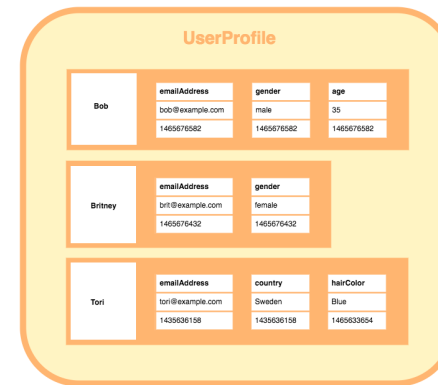
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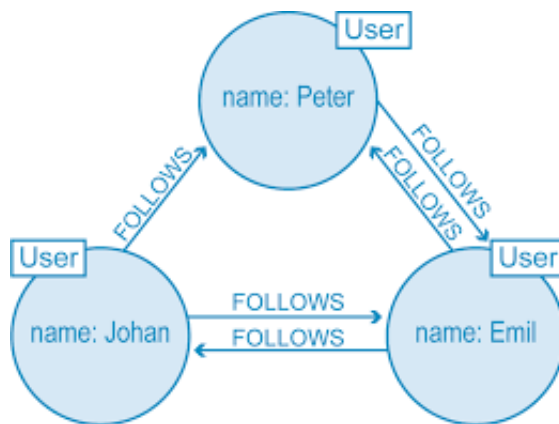
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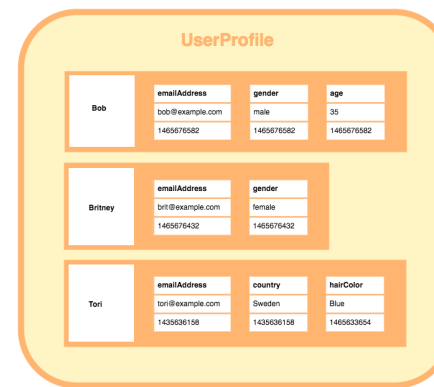
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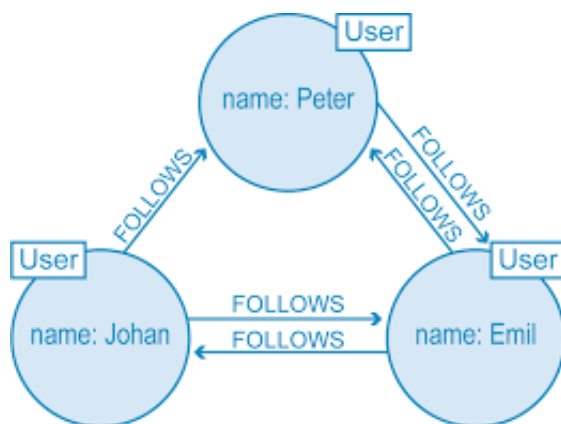
## Key-Value Database

- Key to value pairs
- "A hash table"

## Wide-Column Store (Extensible Record Store)



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# NoSQL Data Models

## Key-Value Database



amazon  
DynamoDB

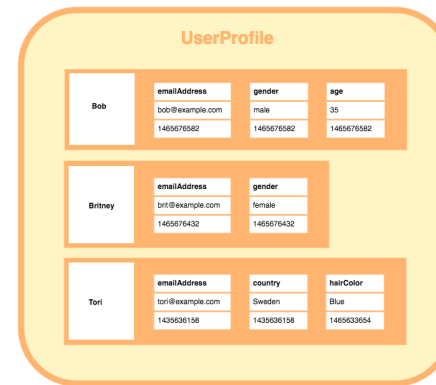


RocksDB

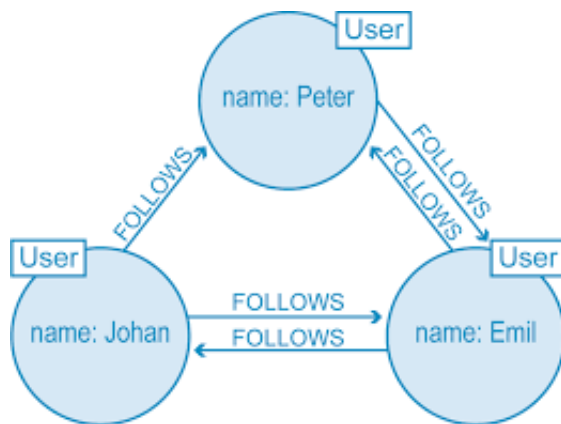


redis

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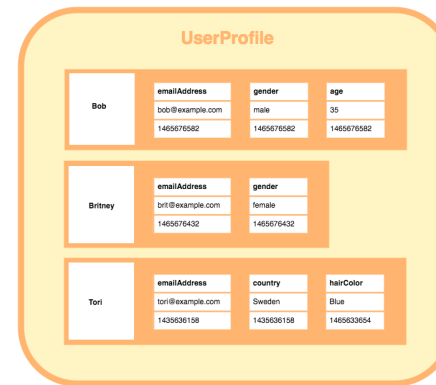
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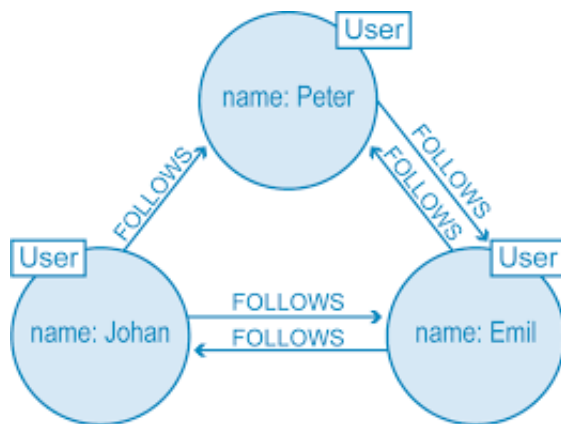


Persistent  
KV store

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    "employees" : [
      {
        "name" : "James Kirk",
        "age" : 40,
      },
      {
        "name" : "Jean-Luc Picard",
        "age" : 45,
      },
      {
        "name" : "Wesley Crusher",
        "age" : 27,
      }
    ]
  }
}
```

# NoSQL Data Models

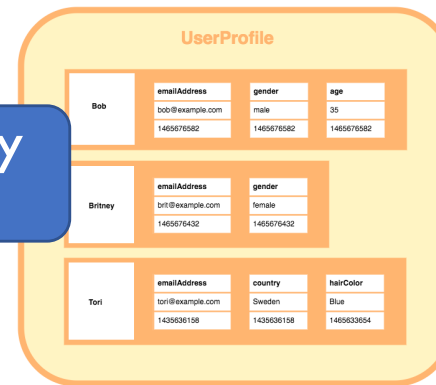
## Key-Value Database



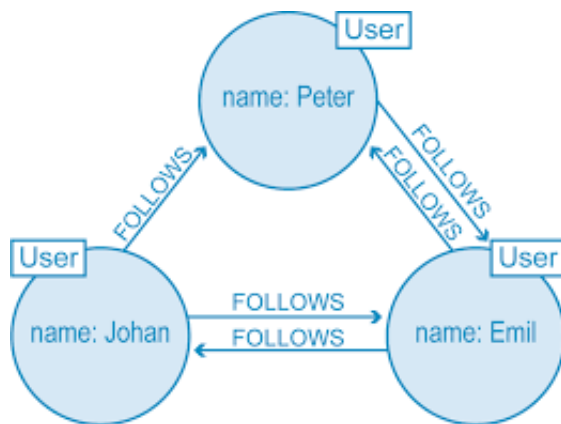
In-memory  
KV store

Persistent  
KV store

## Wide-Column Store (Extensible Record Store)



## Graph Database



## Document Store

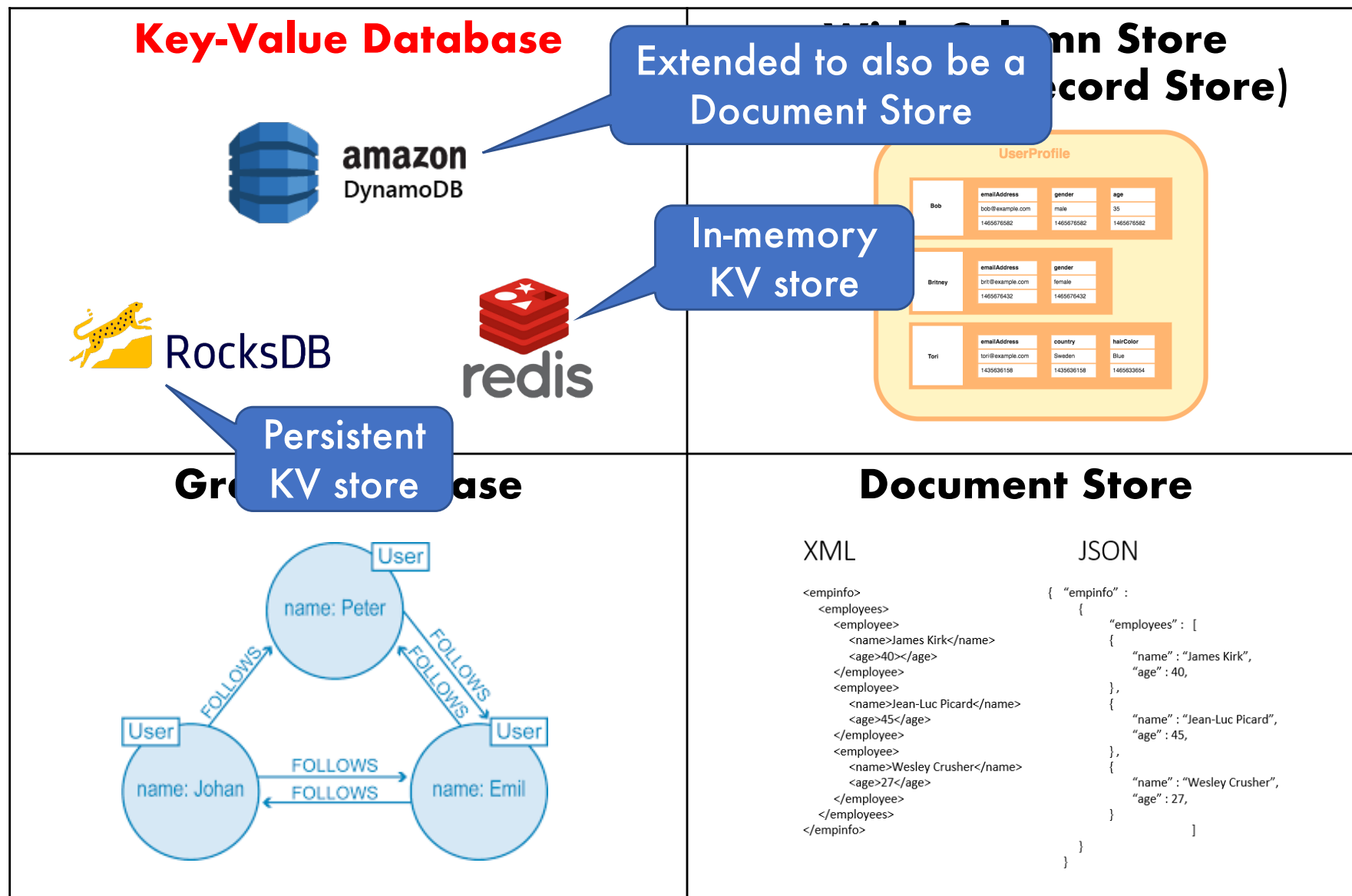
XML

```
<empinfo>
  <employees>
    <employee>
      <name>James Kirk</name>
      <age>40</age>
    </employee>
    <employee>
      <name>Jean-Luc Picard</name>
      <age>45</age>
    </employee>
    <employee>
      <name>Wesley Crusher</name>
      <age>27</age>
    </employee>
  </employees>
</empinfo>
```

JSON

```
{ "empinfo" :
  {
    "employees" : [
      {
        "name" : "James Kirk",
        "age" : 40,
      },
      {
        "name" : "Jean-Luc Picard",
        "age" : 45,
      },
      {
        "name" : "Wesley Crusher",
        "age" : 27,
      }
    ]
  }
}
```

# NoSQL Data Models



# Key-Value Store

- Data model:
  - (key, value) pairs
  - Key → string/integer/..., unique for the entire data
  - Value → anything

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  - (key, value) pairs
  - Key  $\rightarrow$  string/integer/..., unique for the entire data
  - Value  $\rightarrow$  anything
- Basic Operations:
  - get(key)
  - put(key, value)
- Distribution/Partitioning:
  - Access via hash function
  - No replication: Key  $k$  stored at server  $h(k)\%N$
  - 3-way replication: Key  $k$  stored at servers  $h_1(k)\%N$ ,  $h_2(k)\%N$ ,  $h_3(k)\%N$

# Key-Value Modeling

Represent all Flights as KV pairs

Potential KV pairings

Key	Value
-----	-------

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Potential KV pairings

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# Key-Value Modeling

Represent all Flights as KV pairs

Potential KV pairings

Key	Value
FID	Single flight record
Date	All flight records on that day
(origin, destination)	All flight records between the cities

# DynamoDB API

- Create, Read, Update, Delete (CRUD) actions
  - Create → **PutItem**
  - Read → **GetItem**
  - Update → **UpdateItem** (Document store functionality)
  - Delete → **DeleteItem**
- Read consistency options
  - Eventually consistent (default, may be stale data)
  - Strongly consistent (gets most recent written data)
- As of December 2018, ACID is “supported”
  - **TransactWriteItems**
  - **TransactGetItems**

# Other NoSQL Data Models

- There are many other data models

# NoSQL Data Models

<b>Key-Value Database</b> <ul style="list-style-type: none"><li>• Key to value pairs</li><li>• "A hash table"</li></ul>	<b>Wide-Column Store (Extensible Record Store)</b> <ul style="list-style-type: none"><li>• Row + column key to value pairs</li><li>• "A multidimensional hash table"</li></ul>
<b>Graph Database</b> <ul style="list-style-type: none"><li>• Entities and relationships</li><li>• "Unstructured graph"</li></ul>	<b>Document Store</b> <ul style="list-style-type: none"><li>• Key to document pairs</li><li>• "Semi-structured file collection"</li></ul>

# NoSQL Data Models

## Key-Value Database



## Wide-Column Store (Extensible Record Store)



Google Bigtable



## Graph Database



## Document Store

