

# Database Management Systems

## CSEP 544

### Lecture 4: Datalog and NoSQL

# Announcements

- HW3 due today
- HW4 posted
  - Please start early!
- Today:
  - Datalog (relational data model)
  - Non-relational data models

# What is Datalog?

- Another *declarative* query language for relational model
  - Designed in the 80's
  - Minimal syntax
  - Simple, concise, elegant
  - Extends relational queries with recursion
- Today:
  - Adopted by some companies for data analytics, e.g., LogicBlox (HW4)
  - Usage beyond databases: e.g., network protocols, static program analysis

Actor(id, fname, lname)

Casts(pid, mid)

Movie(id, name, year)

# Datalog: Facts and Rules

Facts = tuples in the database

```
Actor(344759, 'Douglas', 'Fowley').  
Casts(344759, 29851).  
Casts(355713, 29000).  
Movie(7909, 'A Night in Armour', 1910).  
Movie(29000, 'Arizona', 1940).  
Movie(29445, 'Ave Maria', 1940).
```

Rules = queries

```
Q1(y) :- Movie(x,y,z), z='1940'.
```

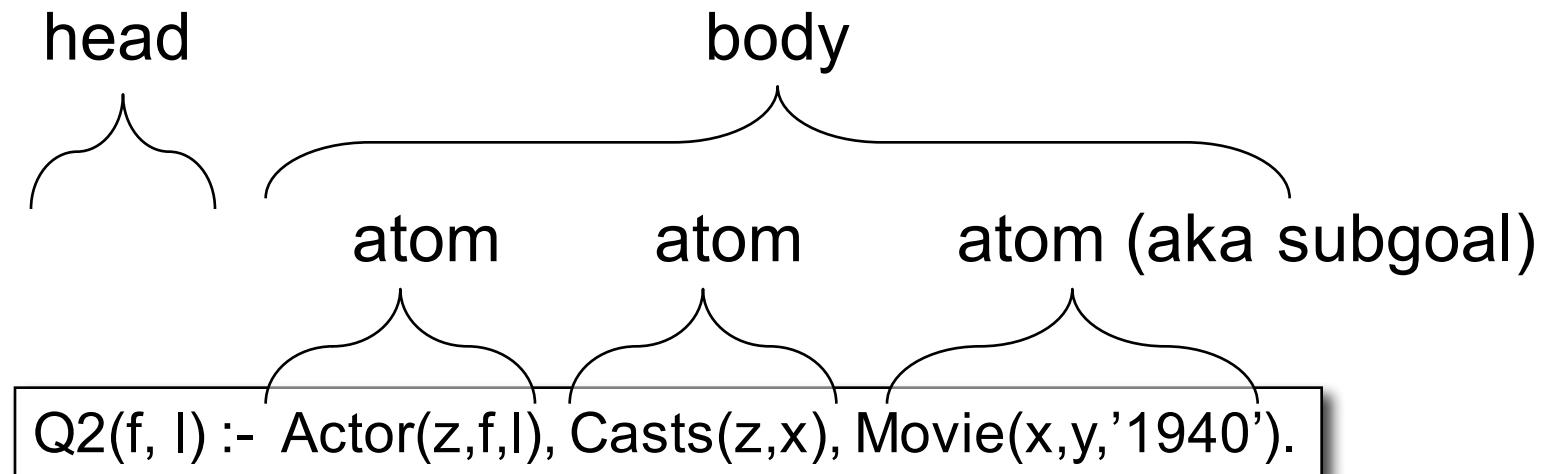
```
Q2(f, l) :- Actor(z,f,l), Casts(z,x),  
          Movie(x,y,'1940').
```

```
Q3(f,l) :- Actor(z,f,l), Casts(z,x1), Movie(x1,y1,1910),  
          Casts(z,x2), Movie(x2,y2,1940)
```

Extensional Database Predicates = EDB = Actor, Casts, Movie

Intensional Database Predicates = IDB = Q1, Q2, Q3

# Datalog: Terminology



f, l = head variables

x,y,z = existential variables

In this class we discuss datalog evaluated under **set semantics**

# More Datalog Terminology

$Q(\text{args}) :- R_1(\text{args}), R_2(\text{args}), \dots$

Your book uses:

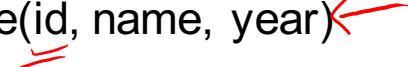
$Q(\text{args}) :- R_1(\text{args}) \text{ AND } R_2(\text{args}) \text{ AND } \dots$

- $R_i(\text{args}_i)$  is called an atom, or a relational predicate
- $R_i(\text{args}_i)$  evaluates to true when relation  $R_i$  contains the tuple described by  $\text{args}_i$ .
  - Example:  $\text{Actor}(344759, \text{'Douglas'}, \text{'Fowley'})$  is true
- In addition to relational predicates, we can also have arithmetic predicates
  - Example:  $z > \text{'1940'}$ .
- Note: Logicblox uses  $\text{<-}$  instead of  $:$ -

$Q(\text{args}) <- R_1(\text{args}), R_2(\text{args}), \dots$

Actor(id, fname, lname)

Casts(pid, mid)

Movie(id, name, year)  


## Semantics of a Single Rule

- Meaning of a datalog rule = a logical statement !

Q1(y) :- Movie(x,y,z), z='1940'.



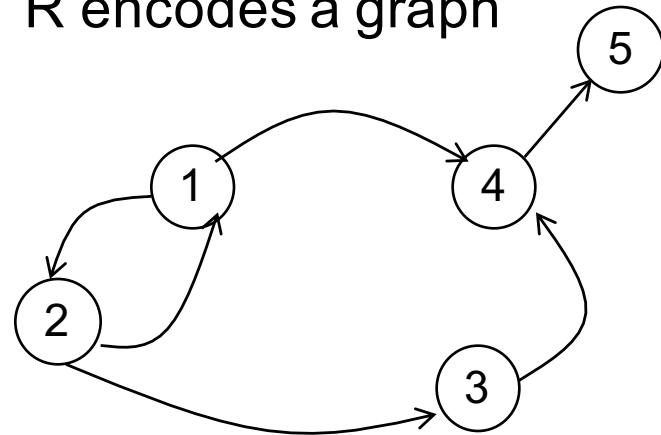
- For all values of x, y, z:  
if (x,y,z) is in the Movies relation, and that z = '1940'  
then y is in Q1 (i.e., it is part of the answer)
- Logically equivalent:  
 $\forall y. [(\exists x. \exists z. \text{Movie}(x,y,z) \text{ and } z='1940') \Rightarrow Q1(y)]$
- That's why non-head variables are called "existential variables"
- We want the smallest set Q1 with this property (why?)

# Datalog program

- A datalog program consists of several rules
- Importantly, rules may be recursive!
- Usually there is one distinguished predicate that's the output
- We will show an example first, then give the general semantics.

# Example

R encodes a graph

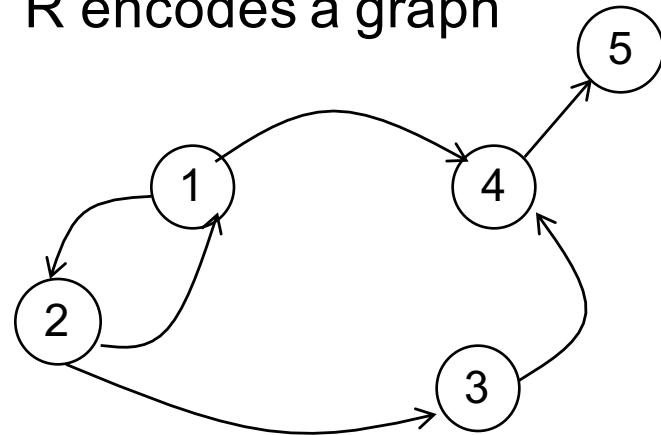


R=

1	2
2	1
2	3
1	4
3	4
4	5

# Example

R encodes a graph



R=

1	2
2	1
2	3
1	4
3	4
4	5

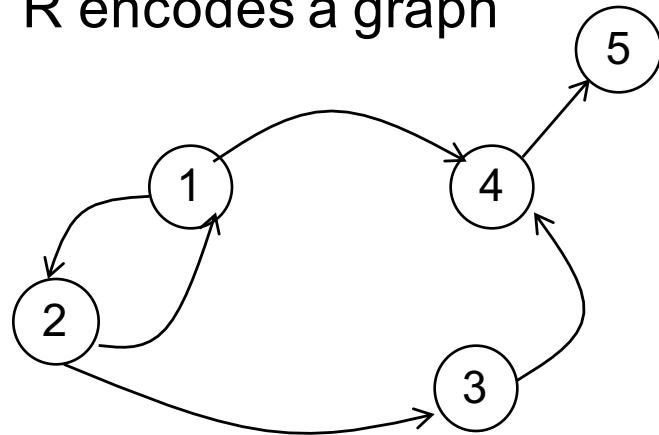
What does  
it compute?

$$T(x,y) :- R(x,y)$$

$$T(x,y) :- R(x,z), T(z,y)$$

# Example

R encodes a graph



R=

1	2
2	1
2	3
1	4
3	4
4	5



Initially:  
T is empty.

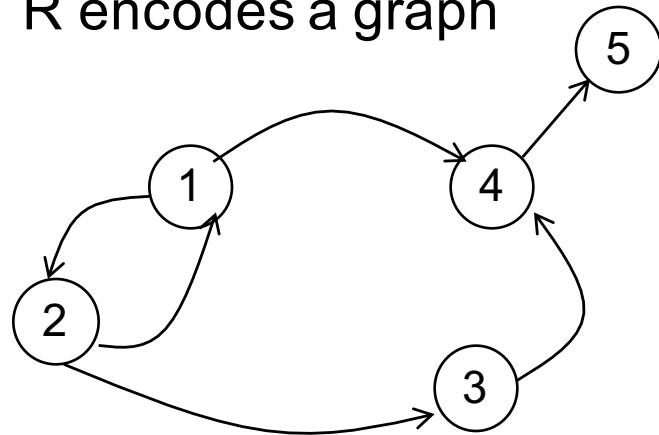


```
T(x,y) :- R(x,y)  
T(x,y) :- R(x,z), T(z,y)
```

What does  
it compute?

# Example

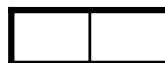
R encodes a graph



R =

1	2
2	1
2	3
1	4
3	4
4	5

Initially:  
T is empty.



$T(x,y) :- R(x,y)$   
 $T(x,y) :- R(x,z), T(z,y)$

What does  
it compute?

First iteration:

T =

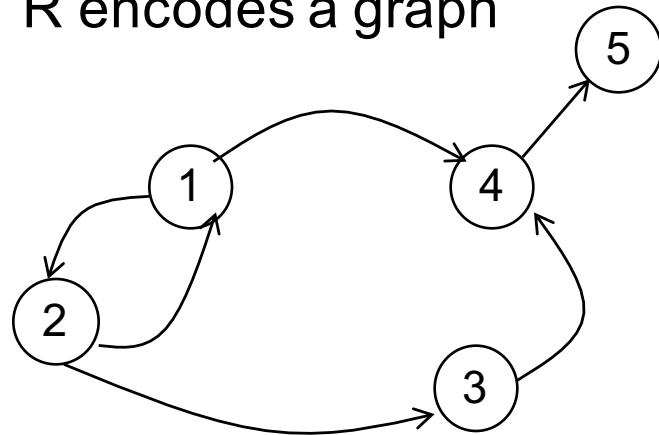
1	2
2	1
2	3
1	4
3	4
4	5

First rule generates this

Second rule  
generates nothing  
(because T is empty)

# Example

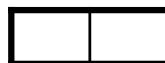
$R$  encodes a graph



$R =$

1	2
2	1
2	3
1	4
3	4
4	5

Initially:  
 $T$  is empty.



First iteration:  
 $T =$

1	2
2	1
2	3
1	4
3	4
4	5

$T(1,1) :- R(1,2), T(2,1)$   
 $X \quad Y$        $X \quad Z$        $Z$   
 New facts

$T(x,y) :- R(x,y)$   
 $T(x,y) :- R(x,z), T(z,y)$

What does  
it compute?

Second iteration:

$T =$

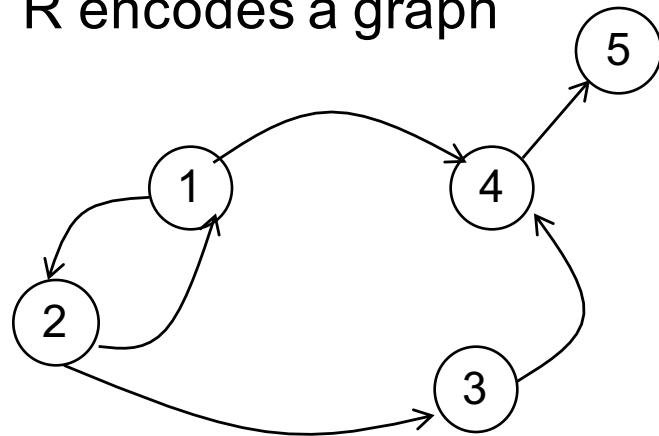
1	2
2	1
2	3
1	4
3	4
4	5
1	1
2	2
1	3
2	4
1	5
3	5

First rule generates this

Second rule generates this

# Example

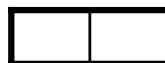
$R$  encodes a graph



$R =$

1	2
2	1
2	3
1	4
3	4
4	5

Initially:  
 $T$  is empty.



First iteration:  
 $T =$

1	2
2	1
2	3
1	4
3	4
4	5

Second iteration:  
 $T =$

1	2
2	1
2	3
1	4
3	4
4	5
1	1
2	2
1	3
2	4
1	5
3	5

Third iteration:  
 $T =$

1	2
2	1
2	3
1	4
3	4
4	5
1	1
2	2
1	3
2	4
1	5
3	5
2	5

Both rules

First rule

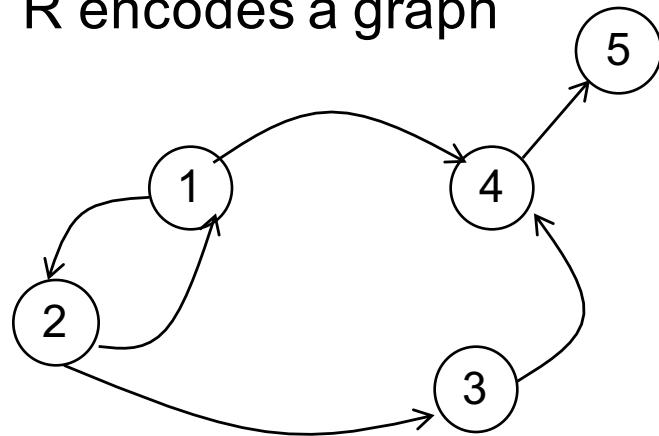
Second rule

New fact

What does  
it compute?

# Example

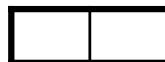
R encodes a graph



$R =$

1	2
2	1
2	3
1	4
3	4
4	5

Initially:  
T is empty.



First iteration:  
 $T =$

1	2
2	1
2	3
1	4
3	4
4	5

Second iteration:  
 $T =$

1	2
2	1
2	3
1	4
3	4
4	5
1	1
2	2
1	3
2	4
1	5
3	5

Third iteration:  
 $T =$

1	2
2	1
2	3
1	4
3	4
4	5
1	1
2	2
1	3
2	4
1	5
3	5
2	5

Fourth  
iteration  
 $T =$   
(same)

No  
new  
facts.  
DONE

This is called the **fixpoint semantics**  
of a datalog program

What does  
it compute?

# Demo

# Evaluation of Datalog

How to evaluate a datalog program?

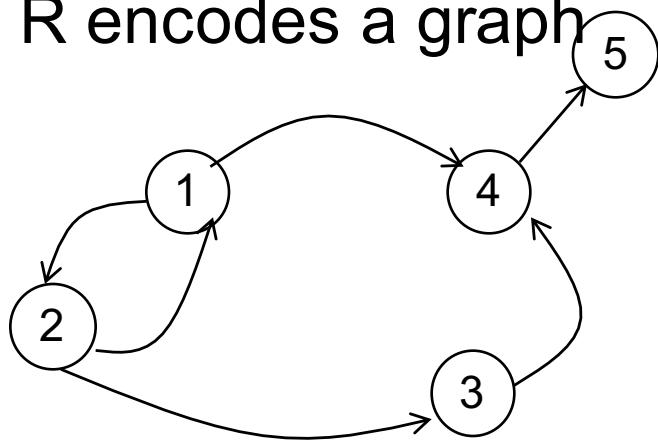
- Start:
  - for every IDB  $D_i$ ,  $D_i^0 = \emptyset$
  - $t = 0$
- Repeat:
  - for every IDB  $D_i^{t+1} = \text{eval rules}(EDB, IDB_1^t, IDB_2^t, \dots)$
  - $t = t + 1$
- Until:
  - for every IDB  $D_i^t = D_i^{t-1}$  (aka fixpoint)
- The answer is in  $D_1^t, D_2^t, \dots$
- This is called **naive evaluation**.

# Evaluation of Datalog

- A datalog program w/o functions (+, \*, ...) always terminates.
  - Hint: since the rules are monotone, hence:
$$\emptyset = \text{IDB}_0 \subseteq \text{IDB}_1 \subseteq \text{IDB}_2 \subseteq \dots$$
- How many iterations of naive evaluation are needed before reaching fixpoint?

# Three Equivalent Programs

$R$  encodes a graph



$R =$

1	2
2	1
2	3
1	4
3	4
4	5

$T(x,y) :- R(x,y)$   
 $\underline{T(x,y) :- R(x,z), T(z,y)}$

Right linear

$T(x,y) :- R(x,y)$   
 $\underline{T(x,y) :- T(x,z), R(z,y)}$

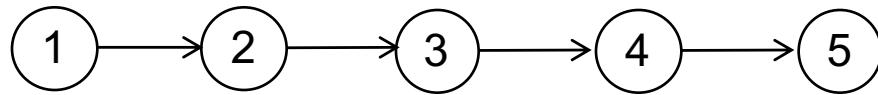
Left linear

$T(x,y) :- R(x,y)$   
 $T(x,y) :- \underline{T(x,z), T(z,y)}$

Non-linear

Question: which terminates in fewest iterations?

# Three Equivalent Programs



$R =$

1	2
2	3
3	4
4	5

$t = 0:$

$T =$

1	2
2	3
3	4
4	5

$t = 1:$

$T =$

1	2
2	3
3	4
4	5
1	3
2	4
3	5

$T(x,y) :- R(x,y)$   
 $T(x,y) :- R(x,z), T(z,y)$

$t = 2:$

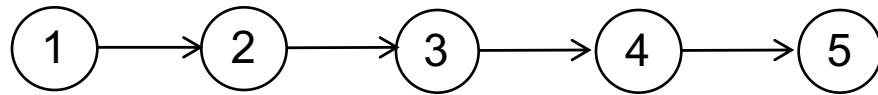
$T =$

1	2
2	3
3	4
4	5
1	3
2	4
3	5
1	4
1	5
2	5

Second rule

Second rule

# Three Equivalent Programs



$R =$

1	2
2	3
3	4
4	5

$t = 0:$

$T =$

1	2
2	3
3	4
4	5

$t = 1:$

$T =$

1	2
2	3
3	4
4	5
1	3
2	4
3	5

$T(x,y) :- R(x,y)$   
 $T(x,y) :- T(x,z), T(z,y)$

$t = 2:$

$T =$

1	2
2	3
3	4
4	5
1	3
2	4
3	5
1	4
1	5
2	5

Second rule "rediscovered facts"

Second rule

# Evaluation of Datalog

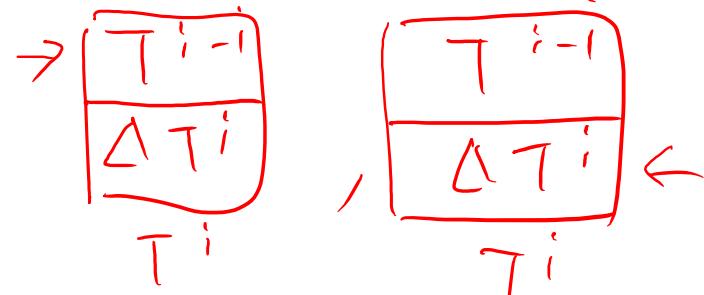
Idea: split a relation into “old” and “new” (aka “ $\Delta$ ”) tuples

$$T^{i+1} := Q(T^i, T^i)$$

$$= Q(\underline{T^{i-1}} \cup \underline{\Delta T^i}, \underline{T^{i-1}} \cup \underline{\Delta T^i})$$

$$= Q(\cancel{T^i} \cup \cancel{T^{i-1}}) \cup Q(T^{i-1}, \Delta T^i) \cup Q(\Delta T^i, T^{i-1}) \cup Q(\Delta T^i \cup \Delta T^i)$$

$$= T^i \cup Q(T^{i-1}, \Delta T^i) \cup Q(\Delta T^i, T^{i-1}) \cup Q(\Delta T^i \cup \Delta T^i)$$

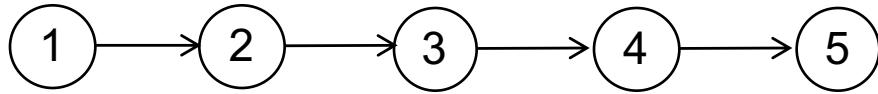


- Now we can evaluate on smaller relations
  - But need to keep track of the  $\Delta$  tuples
- This is the basis of incremental query processing

# Evaluation of Datalog

- Start:
  - for every IDB  $D_i$ ,  $D_i^0 = \emptyset$
  - for every IDB  $\Delta D_i^1 = \text{eval rules}(EDB, IDB_1^0, IDB_2^0, \dots)$
  - $t = 0$
- Repeat:
  - for every IDB  $D_i^t = \underline{D_i^{t-1}} \cup \underline{\Delta D_i^t}$
  - for every IDB  $\Delta D_i^1 = \text{eval rules}(EDB, IDB_1^t, IDB_2^t, \dots)$
  - and compute  $\Delta$  for each IDB
  - $t = t+1$
- Until:
  - for every IDB  $\Delta D_i^t = \emptyset$  (aka fixpoint)
- The answer is in  $D_1^t, D_2^t, \dots$
- This is called the **semi-naive** evaluation of Datalog

# Semi-Naive Evaluation



$$R = \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 2 & 3 \\ \hline 3 & 4 \\ \hline 4 & 5 \\ \hline \end{array}$$

$T(x,y) :- R(x,y)$   
 $T(x,y) :- T(x,z), T(z,y)$

$$T^2 = \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 2 & 3 \\ \hline 3 & 4 \\ \hline 4 & 5 \\ \hline 1 & 3 \\ \hline 2 & 4 \\ \hline 3 & 5 \\ \hline 1 & 4 \\ \hline 1 & 5 \\ \hline 2 & 5 \\ \hline \end{array}$$

$$T^1 = \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 2 & 3 \\ \hline 3 & 4 \\ \hline 4 & 5 \\ \hline 1 & 3 \\ \hline 2 & 4 \\ \hline 3 & 5 \\ \hline \end{array}$$

$T^0$

$$T^0 = \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 2 & 3 \\ \hline 3 & 4 \\ \hline 4 & 5 \\ \hline \end{array}$$

$T^1$

$Q(T^0, \Delta T^1)$   
 $Q(\Delta T^1, \Delta T^1)$   
 $Q(T^0, \Delta T^1)$

$T^2 :- T^1 \cup Q(T^0, \Delta T^1) \cup Q(\Delta T^1, T^0) \cup Q(\Delta T^1 \cup \Delta T^1)$

# Extensions

- Functional data model (LogicBlox)
- Aggregates, negation
- Stratified datalog

# Functional Data Model



- Relational data model:  
→ Person(Alice, Smith) = true  
Person(Bob, Peters) = false

First	Last
Alice	Smith
Bob	Toth
Carol	Unger

- Functional data model:  
Person[Alice, Smith] = some value v
- This is just a syntactic sugar for relations with keys

# Functional Data Model

- Person(first, last, friends) (note the key)

first	last	friends
Alice	Smith	22
Bob	Toth	5
Carol	Unger	9

- Functional model:

Person[Alice, Smith]=22

Person[Bob, Toth]=5

Person[Carol, Unger]=9

first	last	
Alice	Smith	=22
Bob	Toth	=5
Carol	Unger	=9

# Aggregates

Count the number of tuples in p and store the result in count\_p

```
count_p[] = v <- agg<<v=count()>> p(_)
```

Meaning (in SQL)

```
select count(*) as v  
from p
```

# Aggregates

General syntax in Logicblox:

```
Q[headVars]=v <- agg<<v=AGG_NAME(w)>> R1(x1),R2(x2),...
```

Meaning (in SQL)

```
select    headVars, AGG_NAME(w) as v  
from      R1, R2, ...  
where      ...  
group by  headVars
```

ParentChild(p,c)

# Example

For each person, compute the total number of descendants

```
/* We use Logicblox syntax (as in the homework) */
```

# Example

For each person, compute the total number of descendants

```
/* We use Logicblox syntax (as in the homework) */  
/* for each person, compute his/her descendants */  
D(x,y) <- ParentChild(x,y).  
D(x,z) <- D(x,y), ParentChild(y,z).  
.
```

# Example

For each person, compute the total number of descendants

```
/* We use Logicblox syntax (as in the homework) */  
/* for each person, compute his/her descendants */  
D(x,y) <- ParentChild(x,y).  
D(x,z) <- D(x,y), ParentChild(y,z).  
/* For each person, count the number of descendants */  
N[x] = m <- agg<<m = count()>> D(x,y).
```

# Example

For each person, compute the total number of descendants

```
/* We use Logicblox syntax (as in the homework) */  
/* for each person, compute his/her descendants */  
D(x,y) <- ParentChild(x,y).  
D(x,z) <- D(x,y), ParentChild(y,z). )  
/* For each person, count the number of descendants */  
N[x] = m <- agg<<m = count()>> D(x,y).  
/* Find the number of descendants of Alice */  
Q(d) <- N[“Alice”]=d.
```

# Negation: use !

Find all descendants of Alice,  
who are not descendants of Bob

```
/* for each person, compute his/her descendants */  
D(x,y) <- ParentChild(x,y).  
D(x,z) <- D(x,y), ParentChild(y,z).  
/* Compute the answer: notice the negation */  
Q(x) <- D("Alice",x), !D("Bob",x).
```

# Safe Datalog Rules

Here are unsafe datalog rules. What's “unsafe” about them ?

U1(x,y) :- ParentChild("Alice",x), y != "Bob"

U2(x) :- ParentChild("Alice",x), !ParentChild(x,y)

A datalog rule is safe if every variable appears in some positive relational atom

# Safe Datalog Rules

- Recursion does not cope well with aggregates or negation
- Example: what does this mean?

```
A() <- !B().  
B() <- !A().
```

- Can't evaluate using naive / semi-naive algorithm!

# Stratified Datalog

- A datalog program is *stratified* if it can be partitioned into strata s.t., for all n, only IDB predicates defined in strata 1, 2, ..., n may appear under ! or **agg** in stratum n+1.
- I.e., the program can be divided such that all variables have appeared in the head of some rule before they are used negatively / in an aggregate.
- LogicBlox accepts only stratified datalog.

# Stratified Datalog

```
D(x,y) <- ParentChild(x,y).  
D(x,z) <- D(x,y), ParentChild(y,z).
```

Stratum 1

```
N[x] = m <- agg<<m = count()>> D(x,y).  
Q(d) <- N["Alice"] = d.
```

Stratum 2

```
D(x,y) <- ParentChild(x,y).  
D(x,z) <- D(x,y), ParentChild(y,z).  
Q(x) <- D("Alice",x), !D("Bob",x).
```

Stratum 1

Stratum 2

May use D  
in an agg because  
was defined in  
previous stratum

```
A() <- !B().  
B() <- !A().
```

Non-stratified

May use !D

Cannot use !A

R(A,B,C)

S(D,E,F)

T(G,H)

# RA to Datalog by Examples

Union:

$R(A,B,C) \cup S(D,E,F)$

$U(x,y,z) :- R(x,y,z)$

$U(x,y,z) :- S(x,y,z)$

R(A,B,C)

S(D,E,F)

T(G,H)

# RA to Datalog by Examples

Intersection:

$R(A,B,C) \cap S(D,E,F)$

$I(x,y,z) :- R(x,y,z), S(x,y,z)$

R(A,B,C)

S(D,E,F)

T(G,H)

# RA to Datalog by Examples

Selection:  $\sigma_{x>100 \text{ and } y='foo'}(R)$

$L(x,y,z) :- R(x,y,z), x > 100, y = 'foo'$

Selection:  $\sigma_{x>100 \text{ or } y='foo'}(R)$

$L(x,y,z) :- R(x,y,z), x > 100$

$L(x,y,z) :- R(x,y,z), y = 'foo'$

$R(A,B,C)$

$S(D,E,F)$

$T(G,H)$

# RA to Datalog by Examples

Equi-join:  $R \bowtie_{R.A=S.D \text{ and } R.\underline{B}=S.E} S$

$J(x,y,z,q) :- R(\underline{x},\underline{y},z), S(\underline{x},\underline{y},q)$

R(A,B,C)

S(D,E,F)

T(G,H)

# RA to Datalog by Examples

Projection:

$P(x) :- R(x,y,z)$

R(A,B,C)

S(D,E,F)

T(G,H)

# RA to Datalog by Examples

To express difference, we add negation

!

D(x,y,z) :- R(x,y,z), NOT S(x,y,z)

# Examples

R(A,B,C)

S(D,E,F)

T(G,H)

Translate:  $\pi_A(\sigma_{B=3} (R) )$

A(a) :- R(a,3,\_)

Underscore used to denote an "anonymous variable"

Each such variable is unique

# Examples

R(A,B,C)

S(D,E,F)

T(G,H)

Translate:  $\pi_A(\sigma_{B=3} (R) \bowtie_{R.A=S.D} \sigma_{E=5} (S) )$

A(a) :- R(a,3,\_), S(a,5,\_)

  
  
These are different “\_”s

Friend(name1, name2)  
Enemy(name1, name2)

## More Examples

Find Joe's friends, and Joe's friends of friends.

```
A(x) :- Friend('Joe', x)
A(x) :- Friend('Joe', z), Friend(z, x)
```

Friend(name1, name2)  
Enemy(name1, name2)

## More Examples

Find all of Joe's friends who do not have any friends except for Joe:

```
JoeFriends(x) :- Friend('Joe',x)
NonAns(x) :- JoeFriends(x), Friend(x,y), y != 'Joe'
A(x) :- JoeFriends(x), NOT NonAns(x)
```

Friend(name1, name2)  
Enemy(name1, name2)

## More Examples

Find all people such that all their enemies' enemies are their friends

- Q: if someone doesn't have any enemies nor friends, do we want them in the answer?
- A: Yes!

```
Everyone(x) :- Friend(x,y)
Everyone(x) :- Friend(y,x)
Everyone(x) :- Enemy(x,y)
Everyone(x) :- Enemy(y,x)
NonAns(x) :- Enemy(x,y),Enemy(y,z), NOT Friend(x,z)
A(x) :- Everyone(x), NOT NonAns(x)
```

Friend(name1, name2)  
Enemy(name1, name2)

## More Examples

Find all persons x that have a friend all of whose enemies are x's enemies.

```
Everyone(x) :- Friend(x,y)
NonAns(x) :- Friend(x,y) Enemy(y,z), NOT Enemy(x,z)
A(x) :- Everyone(x), NOT NonAns(x)
```

# Datalog Summary

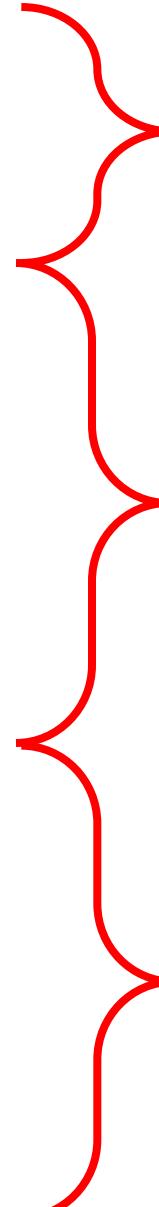
- EDB (base relations) and IDB (derived relations)
- Datalog program = set of rules
- Datalog is recursive
- Some reminders about semantics:
  - Multiple atoms in a rule mean join (or intersection)
  - Variables with the same name are join variables
  - Multiple rules with same head mean union

# Relational Data Model

- Data is stored in flat relations
- Physical and data independence
- Three languages for data manipulation:
  - SQL: declarative
  - Relational algebra: imperative
  - Datalog: declarative / logical
  - Each has advantages and disadvantages

# NoSQL

# Class overview

- Data models
    - Relational: SQL, RA, and Datalog
    - NoSQL: SQL++
  - RDMBS internals
    - Query processing and optimization
    - Physical design
  - Parallel query processing
    - Spark and Hadoop
  - Conceptual design
    - E/R diagrams
    - Schema normalization
  - Transactions
    - Locking and schedules
    - Writing DB applications
- 
- Data models
- Query Processing
- Using DBMS

# Two Classes of Database Applications

- OLTP (Online Transaction Processing)
  - Queries are simple lookups: 0 or 1 join  
E.g., find customer by ID and their orders
  - Many updates. E.g., insert order, update payment
  - **Consistency** is critical: **transactions** (more later)
- OLAP (Online Analytical Processing)
  - aka “Decision Support”
  - Queries have many joins, and group-by’s  
E.g., sum revenues by store, product, clerk, date
  - No updates

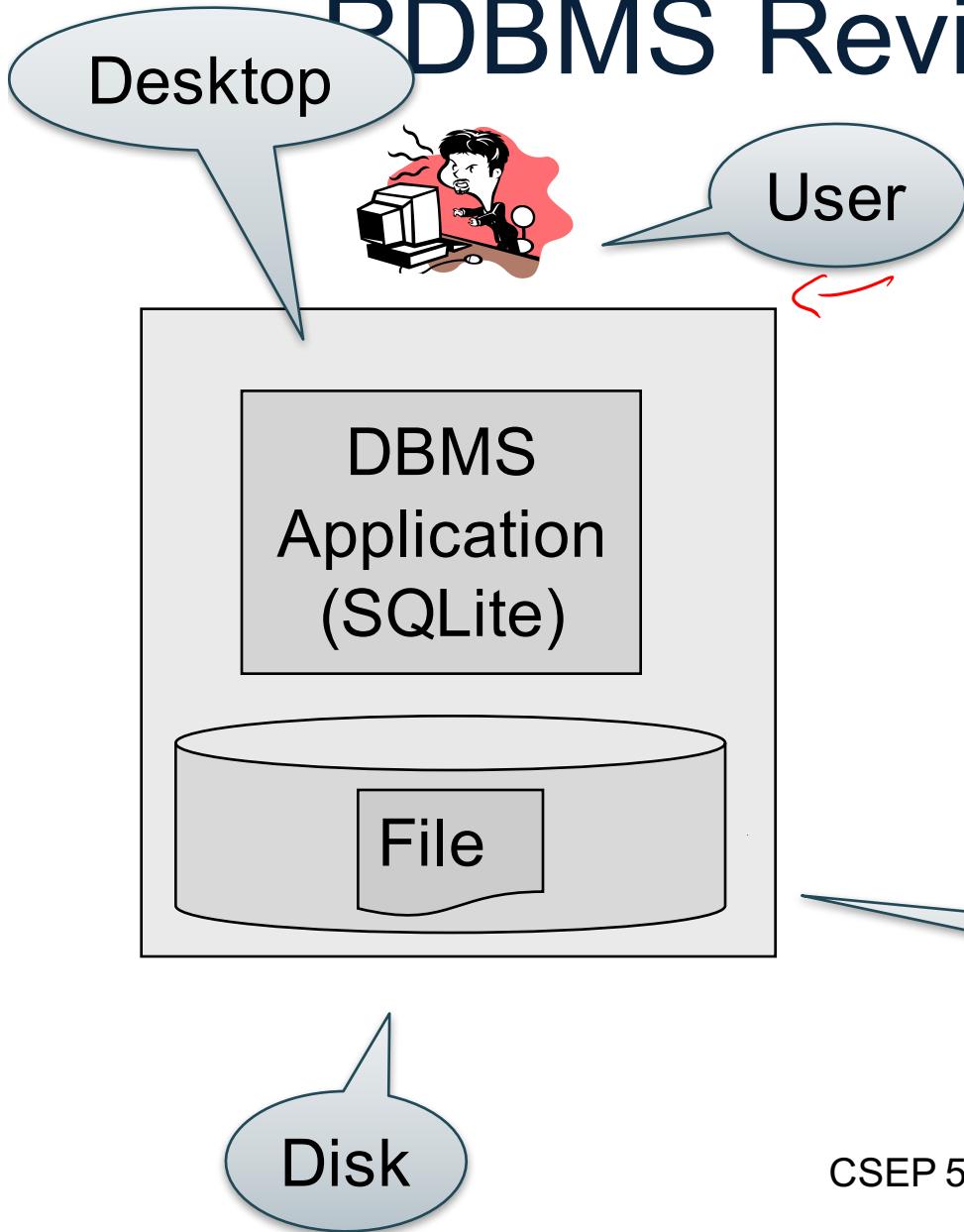
# NoSQL Motivation

- Originally motivated by Web 2.0 applications
  - E.g., Facebook, Amazon, Instagram, etc
  - Web startups need to scaleup from 10 to 100000 users very quickly
- Needed: very large scale OLTP workloads
- Give up on consistency
- Give up OLAP

# What is the Problem?

- Single server DBMS are too small for Web data
- Solution: scale out to multiple servers
- This is hard for the *entire* functionality of DMBS
- NoSQL: reduce functionality for easier scale up
  - Simpler data model
  - Very restricted updates

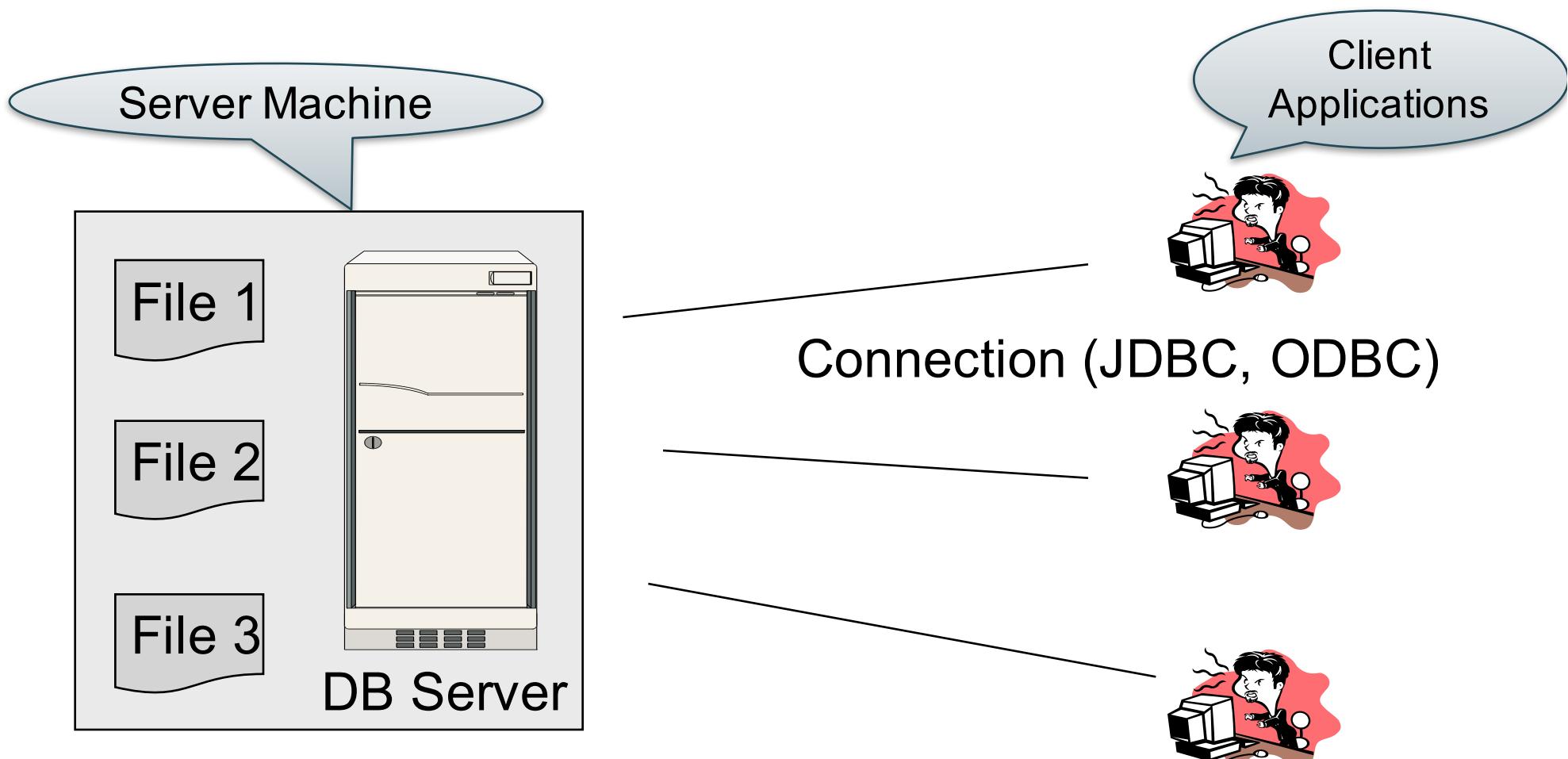
# RDBMS Review: Serverless



SQLite:

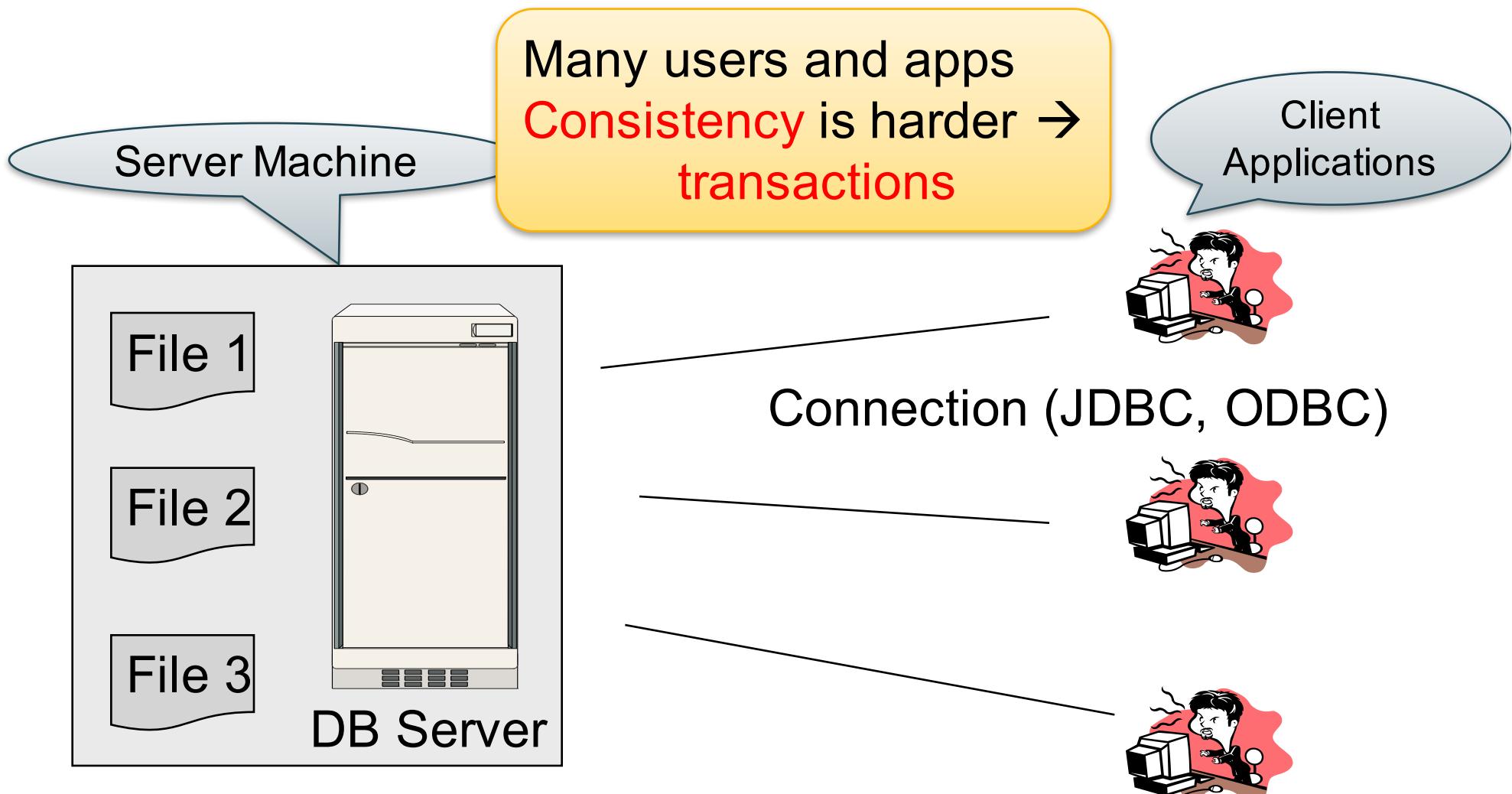
- One data file
- One user
- One DBMS application
- **Consistency** is easy
- But only a limited number of scenarios work with such model

# RDBMS Review: Client-Server



- One server running the database
- Many clients, connecting via the ODBC or JDBC (Java Database Connectivity) protocol

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# Client-Server

- One *server* that runs the DBMS (or RDBMS):
  - Your own desktop, or
  - Some beefy system, or
  - A cloud service (SQL Azure)

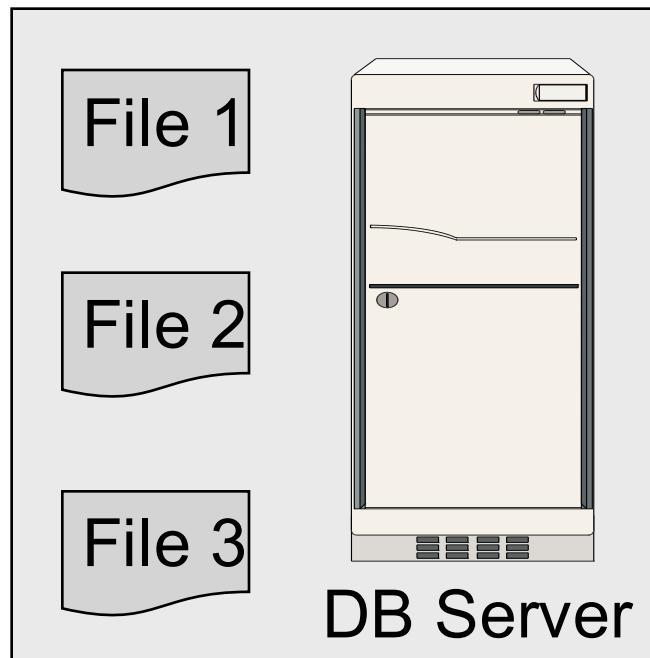
# Client-Server

- One *server* that runs the DBMS (or RDBMS):
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- Many *clients* run apps and connect to DBMS
  - Microsoft's Management Studio (for SQL Server), or
  - psql (for postgres)
  - Some Java program (HW8) or some C++ program

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- Clients “talk” to server using JDBC/ODBC protocol

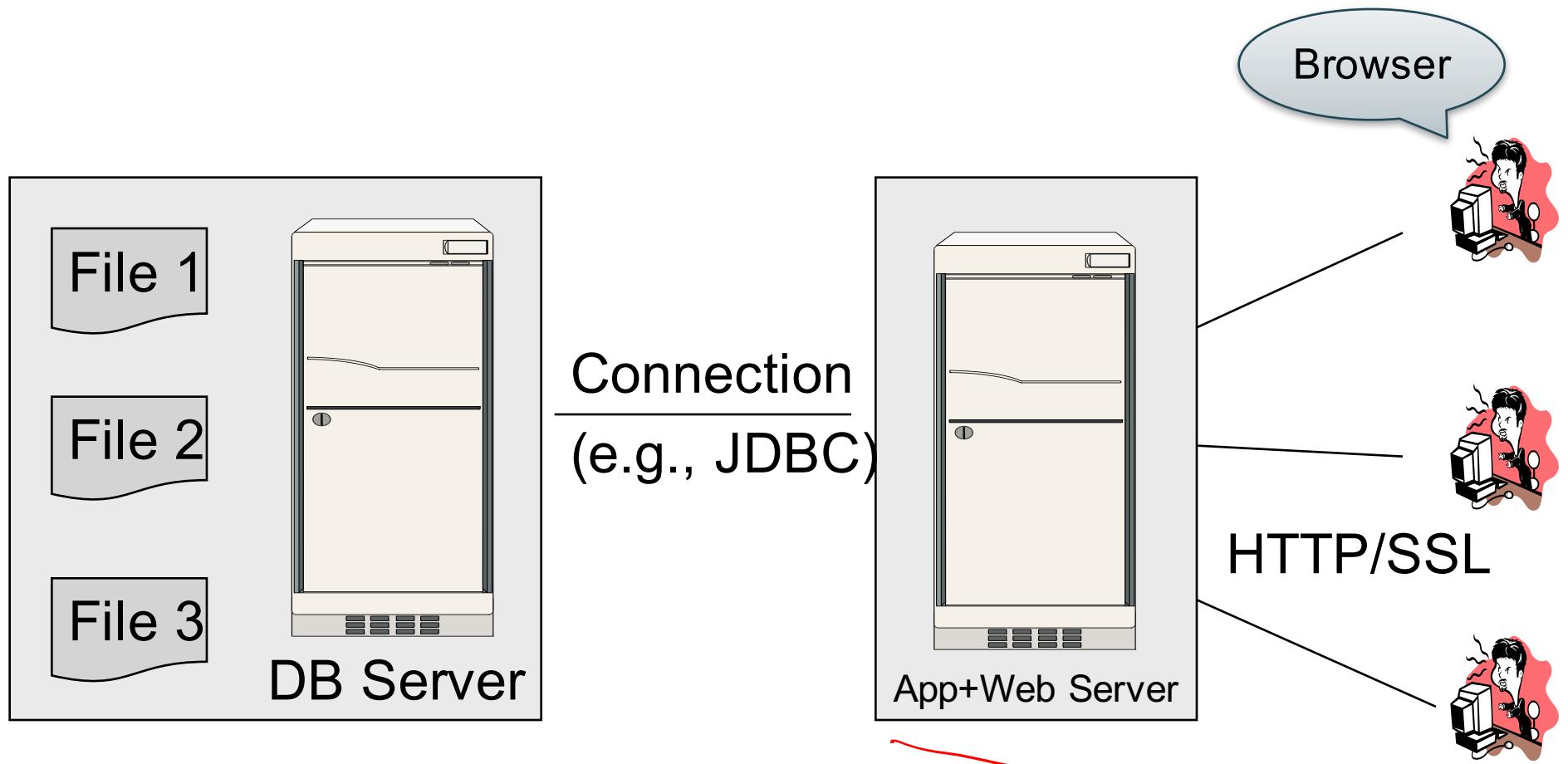
# Web Apps: 3 Tier



Browser

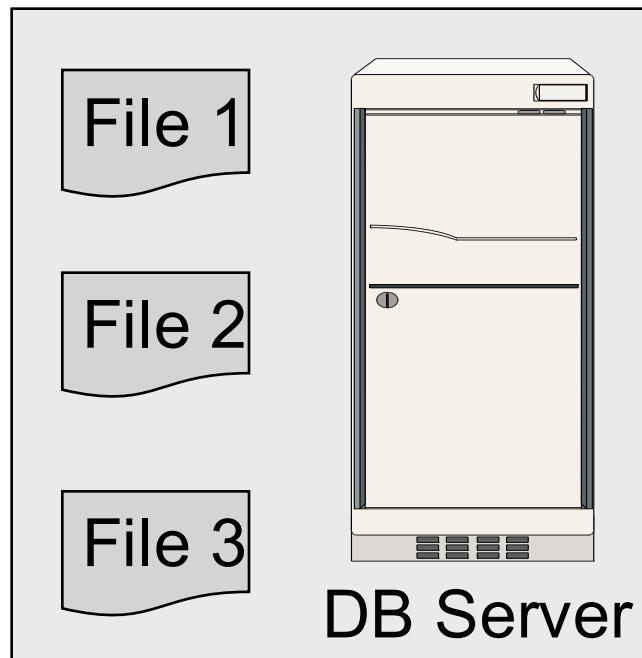


# Web Apps: 3 Tier

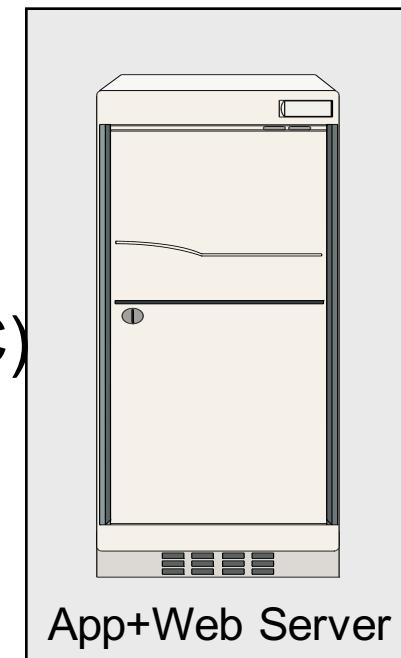


# Web Apps: 3 Tier

Web-based applications

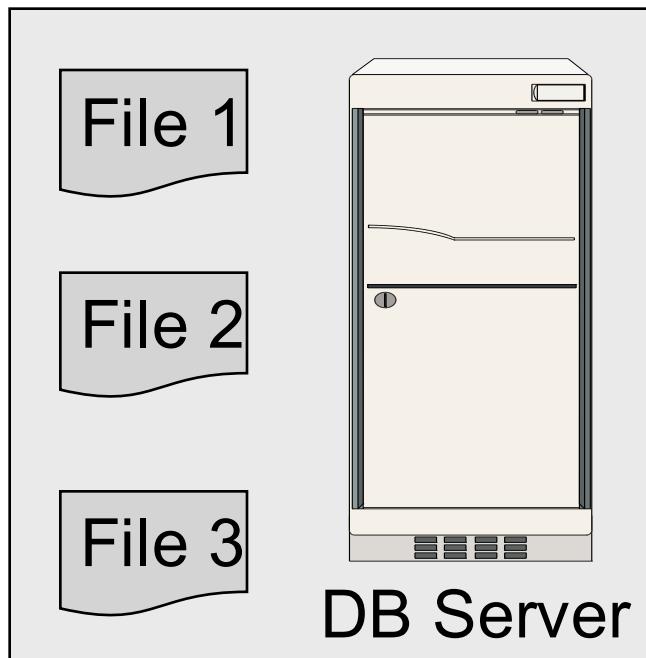


Connection  
(e.g., JDBC)

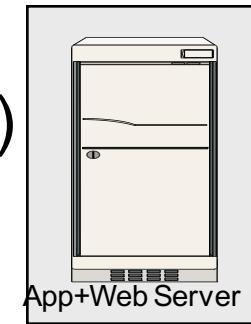
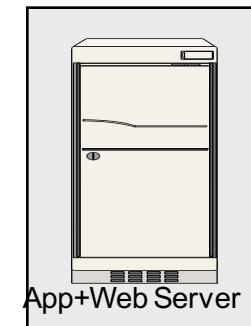


# Web Apps: 3 Tier

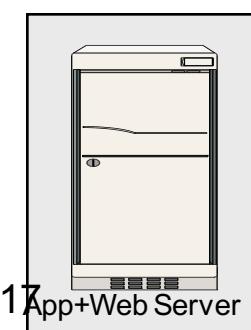
Web-based applications



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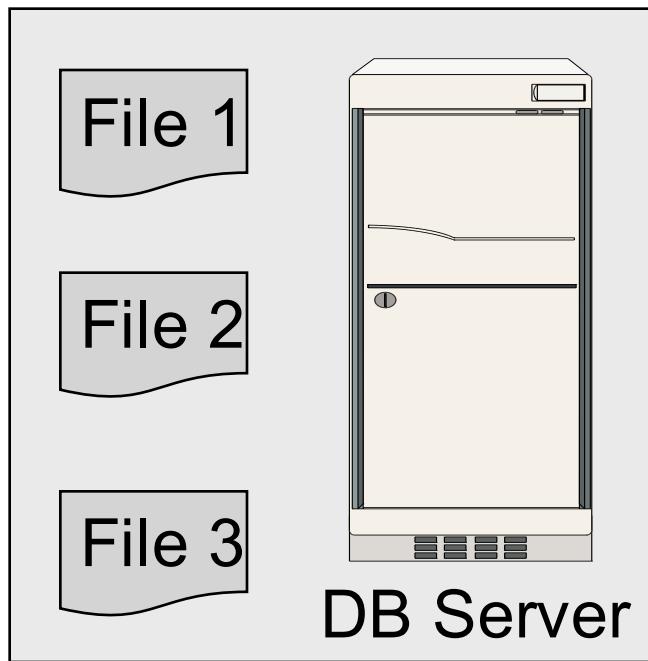
HTTP/SSL



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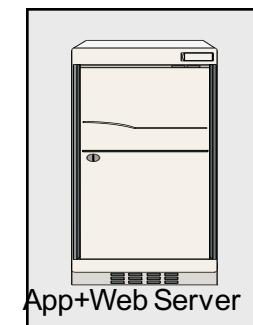
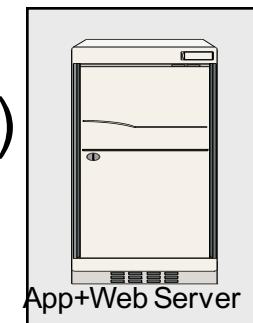
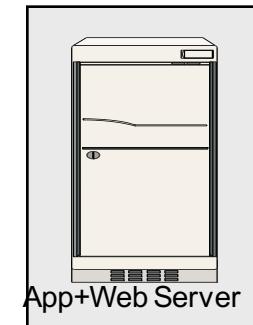


Web-based applications



Replicate  
App server  
for scaleup

OS: 3 Tier

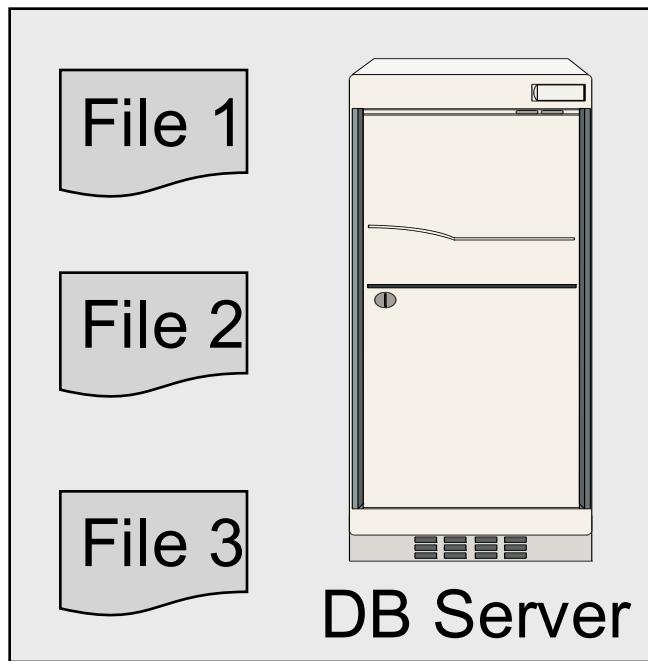


HTTP/SSL

Why not replicate DB server?

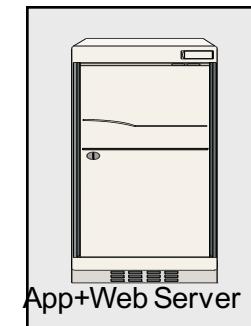
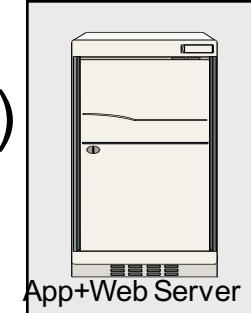
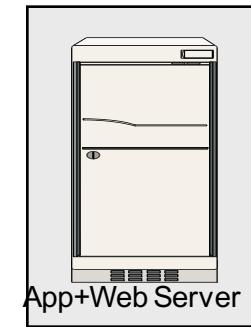


Web-based applications



Replicate  
App server  
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OS: 3 Tier



HTTP/SSL

Why not replicate DB server?  
**Consistency!**

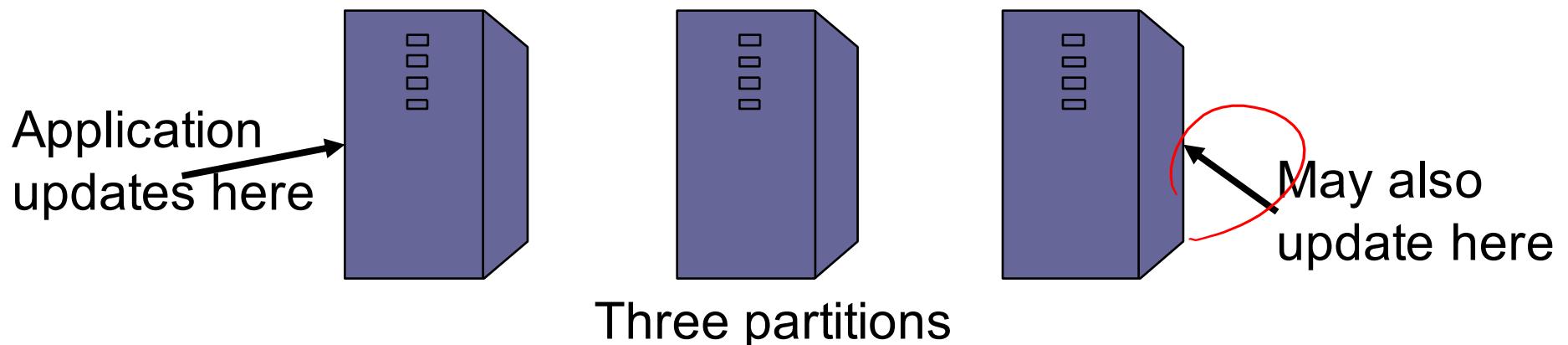


# Replicating the Database

- Two basic approaches:
  - Scale up through **partitioning**
  - Scale up through **replication**
- **Consistency** is much harder to enforce

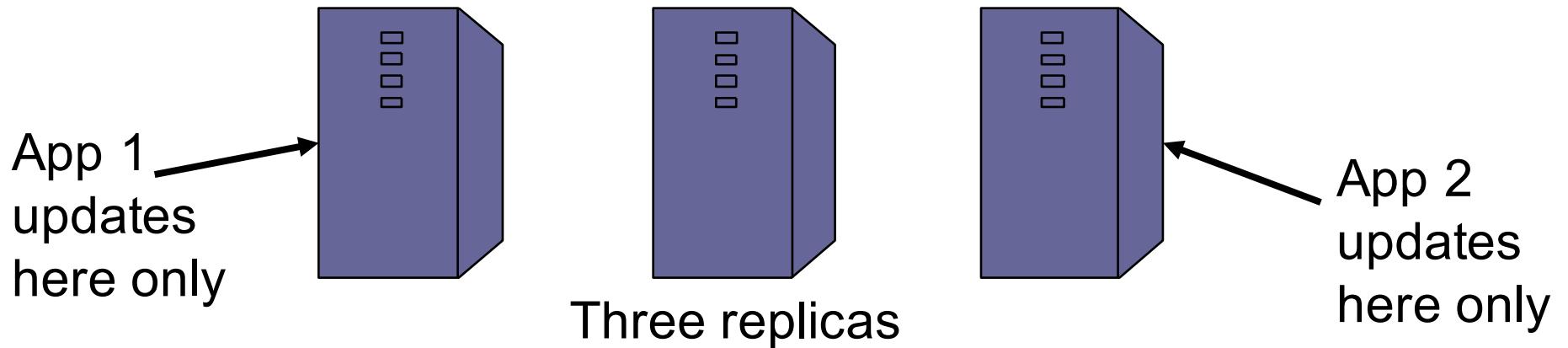
# Scale Through Partitioning

- Partition the database across many machines in a cluster
  - Database now fits in main memory
  - Queries spread across these machines
- Can increase throughput
- Easy for writes but reads become expensive!



# Scale Through Replication

- Create multiple copies of each database partition
- Spread queries across these replicas
- Can increase throughput and lower latency
- Can also improve fault-tolerance
- Easy for reads but writes become expensive!



# Relational Model → NoSQL

- Relational DB: difficult to replicate/partition
  - Given  
 $\text{Supplier}(\text{sno}, \dots), \text{Part}(\text{pno}, \dots), \text{Supply}(\text{sno}, \text{pno})$ 
    - Partition: we may be forced to join across servers
    - Replication: local copy has inconsistent versions
    - Consistency is hard in both cases (why?)
- NoSQL: simplified data model
  - Given up on functionality
  - Application must now handle joins and consistency

# Data Models

Taxonomy based on data models:

- ☞ • **Key-value stores**
  - e.g., Project Voldemort, Memcached
- **Document stores**
  - e.g., SimpleDB, CouchDB, MongoDB
- **Extensible Record Stores**
  - e.g., HBase, Cassandra, PNUTS

# Key-Value Stores Features

- **Data model:** (key,value) pairs
  - Key = string/integer, unique for the entire data
  - Value = can be anything (very complex object)

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  - `get(key)`, `put(key, value)`
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- **Distribution / Partitioning** – w/ hash function
  - No replication: key  $k$  is stored at server  $h(k)$
  - 3-way replication: key  $k$  stored at  $h_1(k), h_2(k), h_3(k)$

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How does `get(k)` work? How does `put(k,v)` work?

Flights(fid, date, carrier, flight\_num, origin, dest, ...)

Carriers(cid, name)

# Example

- How would you represent the Flights data as key, value pairs?

How does query processing work?

Flights(fid, date, carrier, flight\_num, origin, dest, ...)

Carriers(cid, name)

# Example

- How would you represent the Flights data as key, value pairs?
- Option 1: key=fid, value=entire flight record

How does query processing work?

Flights(fid, date, carrier, flight\_num, origin, dest, ...)

Carriers(cid, name)

# Example

- How would you represent the Flights data as key, value pairs?
- Option 1: key=fid, value=entire flight record
- Option 2: key=date, value=all flights that day

How does query processing work?

Flights(fid, date, carrier, flight\_num, origin, dest, ...)

Carriers(cid, name)

# Example

- How would you represent the Flights data as key, value pairs?
- Option 1: key=fid, value=entire flight record
- Option 2: key=date, value=all flights that day
- Option 3: key=(origin,dest), value=all flights between

How does query processing work?

# Key-Value Stores Internals

- Partitioning:
  - Use a hash function  $h$ , and store every (key,value) pair on server  $h(\text{key})$
  - discuss  $\text{get}(\text{key})$ , and  $\text{put}(\text{key}, \text{value})$
- Replication:
  - Store each key on (say) three servers
  - On update, propagate change to the other servers; *eventual consistency*
  - Issue: when an app reads one replica, it may be stale
- Usually: combine partitioning+replication

# Data Models

Taxonomy based on data models:

- **Key-value stores**
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- **Document stores**
  - e.g., SimpleDB, CouchDB, MongoDB
- **Extensible Record Stores**
  - e.g., HBase, Cassandra, PNUTS

# Motivation

- In Key, Value stores, the Value is often a very complex object
  - Key = '2010/7/1', Value = [all flights that date]
- Better: allow DBMS to understand the *value*
  - Represent *value* as a JSON (or XML...) document
  - [all flights on that date] = a JSON file
  - May search for all flights on a given date

# Document Stores Features

- **Data model:** (key,document) pairs
  - Key = string/integer, unique for the entire data
  - Document = JSON, or XML
- **Operations**
  - Get/put document by key
  - Query language over JSON
- **Distribution / Partitioning**
  - Entire documents, as for key/value pairs

We will discuss JSON

# Data Models

Taxonomy based on data models:

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  - e.g., HBase, Cassandra, PNUTS



# Extensible Record Stores

- Based on Google's BigTable
- Data model is rows and columns (surprise!)
- Scalability by splitting rows and columns over nodes
  - Rows partitioned through sharding on primary key
  - Columns of a table are distributed over multiple nodes by using “column groups”
- HBase is an open source implementation of BigTable

# A Case Study: AsterixDB

# JSON - Overview

- JavaScript Object Notation = lightweight text-based open standard designed for human-readable data interchange. Interfaces in C, C++, Java, Python, Perl, etc.
- The filename extension is .json.

We will emphasize JSON as semi-structured data

# JSon vs Relational

- Relational data model
  - Rigid flat structure (tables)
  - Schema must be fixed in advance
  - Binary representation: good for performance, bad for exchange
  - Query language based on Relational Calculus
- Semistructured data model / JSon
  - Flexible, nested structure (trees)
  - Does not require predefined schema ("self describing")
  - Text representation: good for exchange, bad for performance
  - Most common use: Language API; query languages emerging

# JSon Syntax

```
{ "book": [  
    {"id":"01",  
        "language": "Java",  
        "author": "H. Javeson",  
        "year": 2015  
    },  
    {"id":"07",  
        "language": "C++",  
        "edition": "second"  
        "author": "E. Sepp",  
        "price": 22.25  
    }  
]
```

# JSon Terminology

- Data is represented in name/value pairs.
- Curly braces hold objects
  - Each object is a list of name/value pairs separated by ,(comma)
  - Each pair is a name followed by ':'(colon) followed by the value
- Square brackets hold arrays and values are separated by ,(comma).

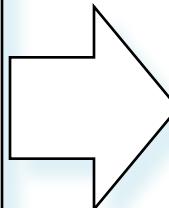
# JSon Data Structures

- Collections of name-value pairs:
  - {“name1”: value1, “name2”: value2, ...}
  - The “name” is also called a “key”
- Ordered lists of values:
  - [obj1, obj2, obj3, ...]

# Avoid Using Duplicate Keys

The standard allows them, but many implementations don't

```
{"id": "07",  
 "title": "Databases",  
 "author": "Garcia-Molina",  
 "author": "Ullman",  
 "author": "Widom"  
 }
```



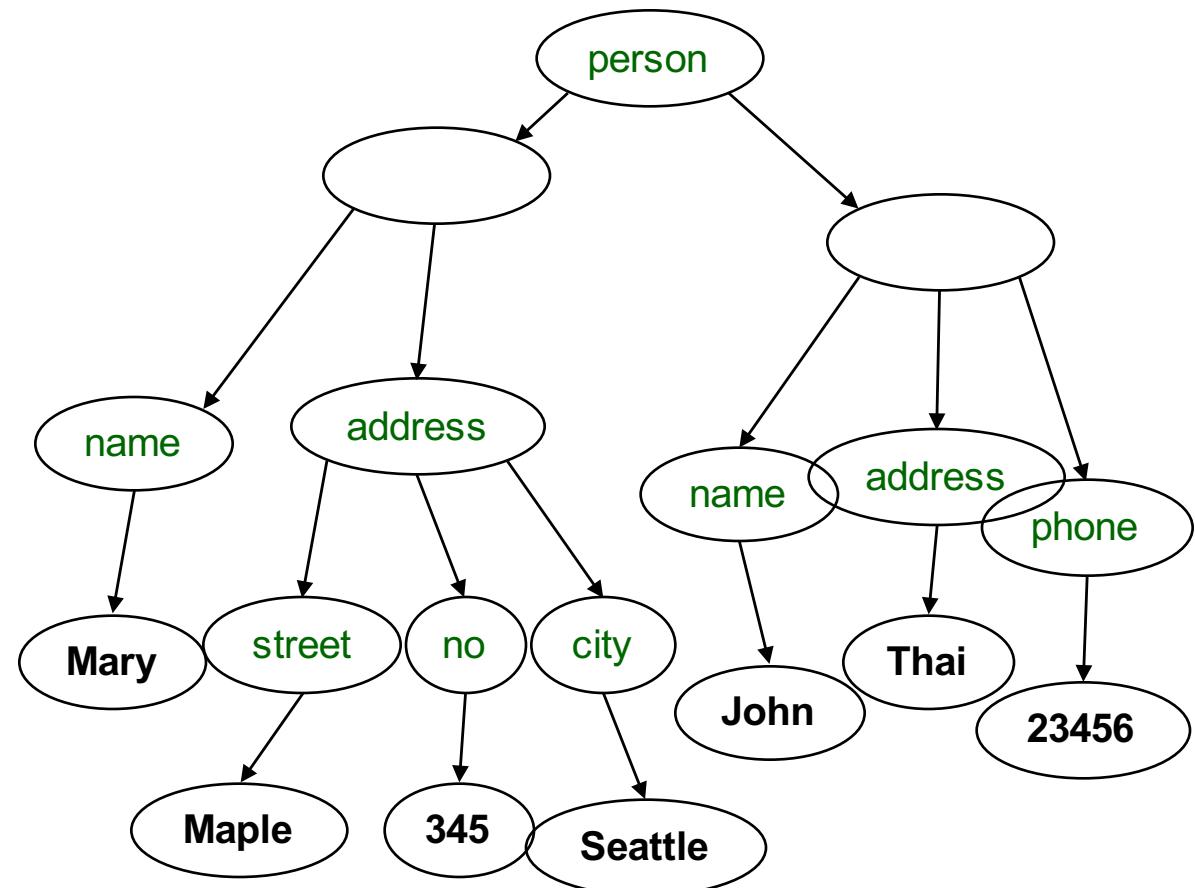
```
{"id": "07",  
 "title": "Databases",  
 "author": ["Garcia-Molina",  
           "Ullman",  
           "Widom"]  
 }
```

# JSon Datatypes

- Number
- String = double-quoted
- Boolean = true or false
- nullempty

# JSon Semantics: a Tree !

```
{"person":  
  [ {"name": "Mary",  
    "address":  
      { "street": "Maple",  
        "no": 345,  
        "city": "Seattle"}},  
   {"name": "John",  
    "address": "Thailand",  
    "phone": 2345678}]}  
  ]
```



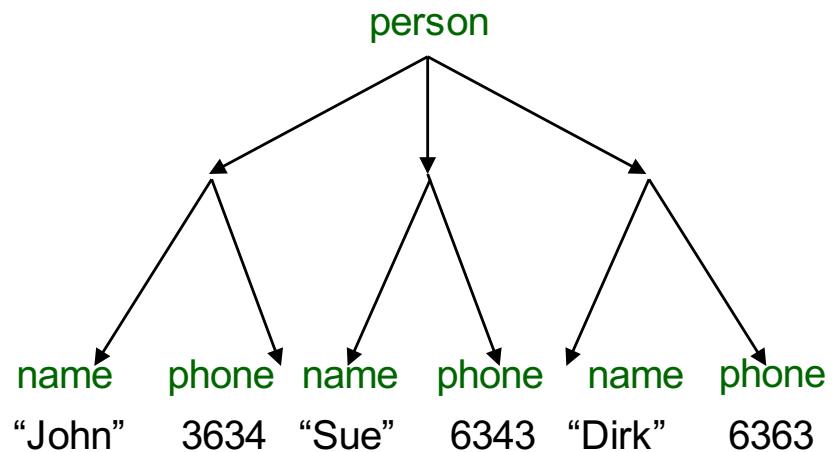
# JSon Data

- JSon is **self-describing**
- Schema elements become part of the data
  - Relational schema: `person(name,phone)`
  - In Json “`person`”, “`name`”, “`phone`” are part of the data, and are repeated many times
- Consequence: JSon is much more flexible
- JSon = **semistructured** data

# Mapping Relational Data to JSON

Person

name	phone
John	3634
Sue	6343
Dirk	6363



```
{"person":  
  [{"name": "John", "phone":3634},  
   {"name": "Sue", "phone":6343},  
   {"name": "Dirk", "phone":6383}  
 ]  
}
```

# Mapping Relational Data to JSON

May inline foreign keys

Person

name	phone
John	3634
Sue	6343

Orders

personName	date	product
John	2002	Gizmo
John	2004	Gadget
Sue	2002	Gadget

↑ FK

```
{"Person":  
  [{"name": "John",  
   "phone":3646,  
   "Orders": [{"date":2002,  
              "product": "Gizmo"},  
              {"date":2004,  
              "product": "Gadget"}]  
  },  
  {"name": "Sue",  
   "phone":6343,  
   "Orders": [{"date":2002,  
              "product": "Gadget"}]  
  }]
```

# JSon=Semi-structured Data (1/3)

- Missing attributes:

```
{"person":  
  [{"name":"John", "phone":1234},  
   {"name":"Joe"}]  
}
```

no phone !

- Could represent in a table with nulls

name	phone
John	1234
Joe	-

# JSon=Semi-structured Data (2/3)

- Repeated attributes

```
{"person":  
  [{"name":"John", "phone":1234},  
   {"name":"Mary", "phone":[1234,5678]}]  
}
```

Two phones !

- Impossible in one table:

name	phone
Mary	2345
	3456

???

# JSon=Semi-structured Data (3/3)

- Attributes with different types in different objects

```
{"person":  
  [{"name":"Sue", "phone":3456},  
   {"name":{"first":"John", "last":"Smith"}, "phone":2345}  
  ]  
}
```

Structured  
name !

- Nested collections
- Heterogeneous collections

# Discussion

- *Data exchange formats*
  - Ideally suited for exchanging data between apps.
  - XML, JSON, Protobuf
- Increasingly, some systems use them as a data model:
  - SQL Server supports for XML-valued relations
  - CouchBase, MongoDB: JSON as data model
  - Dremel (BigQuery): Protobuf as data model

# Query Languages for SS Data

- XML: XPath, XQuery (see end of lecture, textbook)
  - Supported inside many RDBMS (SQL Server, DB2, Oracle)
  - Several standalone XPath/XQuery engines
- Protobuf: SQL-ish language (Dremel) used internally by google, and externally in BigQuery
- JSON:
  - CouchBase: N1QL, may be replaced by AQL (better designed)
  - Asterix: SQL++ (based on SQL)
  - MongoDB: has a pattern-based language
  - JSONiq <http://www.jsoniq.org/>

# AsterixDB and SQL++

- AsterixDB
  - No-SQL database system
  - Developed at UC Irvine
  - Now an Apache project
  - Own query language: AsterixQL or AQL, based on XQuery
- SQL++
  - SQL-like syntax for AsterixQL

# Asterix Data Model (ADM)

- Objects:
  - `{"Name": "Alice", "age": 40}`
  - Fields must be distinct:  
`{"Name": "Alice", "age": 40, "age":50}`
- Arrays:
  - `[1, 3, "Fred", 2, 9]`
  - Note: can be heterogeneous
- Multisets:
  - `{{1, 3, "Fred", 2, 9}}`

Can't have  
repeated fields

# Examples

Try these queries:

```
SELECT x.age FROM [{name: 'Alice', age: ['30', '50']}] x;
```

```
SELECT x.age FROM {{ name: 'Alice', age: ['30', '50'] }} x;
```

Can only select from  
multi-set or array

-- error

```
SELECT x.age FROM {name: 'Alice', age: ['30', '50']} x;
```

# Datatypes

- Boolean, integer, float (various precisions), geometry (point, line, ...), date, time, etc
- UUID = universally unique identifier  
Use it as a system-generated unique key

# Null v.s. Missing

- {"age": null} = the value NULL (like in SQL)
- {"age": missing} = {} = really missing

```
SELECT x.b FROM [{"a":1, "b":2}, {"a":3}] x;
```

{ "b": { "int64": 2 } }

{ }

```
SELECT x.b FROM [{"a":1, "b":2}, {"a":3, "b":missing}] x;
```

{ "b": { "int64": 2 } }

{ }

# ADM Language: SQL++

- DDL: create a
  - Dataverse
  - Type
  - Dataset
  - Index
- DML: select-from-where

# Dataverse

A Dataverse is a Database

```
CREATE DATAVERSE lecp544
```

```
CREATE DATAVERSE lecp544 IF NOT EXISTS
```

```
DROP DATAVERSE lecp544
```

```
DROP DATAVERSE lecp544 IF EXISTS
```

```
USE lecp544
```

# Type

- Defines the schema of a collection
- It lists all *required* fields
- Fields followed by ? are *optional*
- CLOSED type = no other fields allowed
- OPEN type = other fields allowed

# Closed Types

```
USE lecp544;
DROP TYPE PersonType IF EXISTS;
CREATE TYPE PersonType AS CLOSED{
    Name : string,
    age: int,
    email: string?
}
```

{"Name": "Alice", "age": 30, "email": "a@alice.com"}

{"Name": "Bob", "age": 40}

-- not OK:

{"Name": "Carol", "phone": "123456789"}

# Open Types

```
USE lecp544;  
DROP TYPE PersonType IF EXISTS;  
CREATE TYPE PersonType AS OPEN {  
    Name : string,  
    age: int,  
    email: string?  
}
```

{"Name": "Alice", "age": 30, "email": "a@alice.com"}

{"Name": "Bob", "age": 40}

-- Now it's OK:

{"Name": "Carol", "phone": "123456789"}

# Types with Nested Collections

```
USE lecp544;
DROP TYPE PersonType IF EXISTS;
CREATE TYPE PersonType AS CLOSED {
    Name : string,
    phone: [string]
}
```

```
{"Name": "Carol", "phone": ["1234"]}
{"Name": "David", "phone": ["2345", "6789"]}
{"Name": "Evan", "phone": []}
```

# Datasets

- Dataset = relation
- Must have a type
  - Can be a trivial OPEN type
- Must have a key
  - Can also be a trivial one

# Dataset with Existing Key

```
USE lecp544;
DROP TYPE PersonType IF EXISTS;
CREATE TYPE PersonType AS CLOSED {
    Name : string,
    email: string?
}
```

```
{"Name": "Alice"}  
{"Name": "Bob"}  
...
```

```
USE lecp544;
DROP DATASET Person IF EXISTS;
CREATE DATASET Person(PersonType) PRIMARY KEY Name;
```

# Dataset with Auto Generated Key

```
USE lecp544;
DROP TYPE PersonType IF EXISTS;
CREATE TYPE PersonType AS CLOSED {
    myKey: uuid, ←
    Name : string,
    email: string?
}
```

{"Name": "Alice"}  
{"Name": "Bob"}  
...

Note: no **myKey**  
since it will be  
autogenerated

```
USE lecp544;
DROP DATASET Person IF EXISTS;
CREATE DATASET Person(PersonType)
    PRIMARY KEY myKey AUTOGENERATED;
```

# Discussion of NFNF

- NFNF = Non First Normal Form
- One or more attributes contain a collection
- One extreme: a single row with a huge, nested collection
- Better: multiple rows, reduced number of nested collections

# Example from HW5

mondial.adm is totally semistructured:

```
{"mondial": {"country": [...], "continent": [...], ..., "desert": [...]}}
```

country	continent	organization	sea	...	mountain	desert
[{"name": "Albania", ...}, {"name": "Greece", ...}, ...]	...	...	...	...	...	...

country.adm, sea.adm, mountain.adm are more structured

Country:

-car_code	name	...	ethnicgroups	religions	...	city
AL	Albania	...	[ ... ]	[ ... ]	...	[ ... ]
GR	Greece	...	[ ... ]	[ ... ]	...	[ ... ]
...	...	...	...			

# Indexes

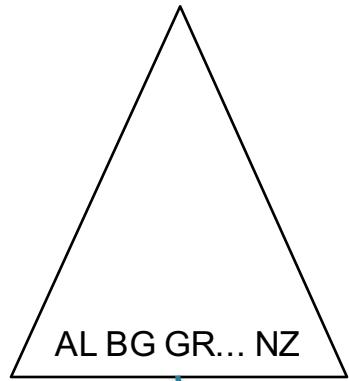
- Can declare an index on an attribute of a top-most collection
- Available:
  - BTREE: good for equality and range queries  
E.g. name="Greece";  $20 < \text{age}$  and  $\text{age} < 40$
  - RTREE: good for 2-dimensional range queries  
E.g.  $20 < x$  and  $x < 40$  and  $10 < y$  and  $y < 50$
  - KEYWORD: good for substring search

# Indexes

Cannot index inside  
a nested collection

```
USE lecp544;  
CREATE INDEX countryID  
ON country(`-car_code`)  
TYPE BTREE;
```

~~USE lecp544;  
CREATE INDEX cityname  
ON country(city.name)  
TYPE BTREE;~~



Country:

-car_code	name	...	ethnicgroups	religions	...	city
AL	Albania	...	[ ... ]	[ ... ]	...	[ ... ]
GR	Greece	...	[ ... ]	[ ... ]	...	[ ... ]
...	...	...	...			
BG	Belgium	...				
...						

# SQL++ Overview

```
SELECT ... FROM ... WHERE ... [GROUP BY ...]
```

```
{“mondial”:  
  “country”: [ country1, country2, ...],  
  “continent”: [...],  
  “organization”: [...],  
  ...  
  ...  
}
```

w o r l d

# Retrieve Everything

```
SELECT x.mondial FROM world x;
```

Answer

```
{“mondial”:  
  “country”: [ country1, country2, ...],  
  “continent”: [...],  
  “organization”: [...],  
  ...  
  ...  
}
```

```
{“mondial”:
```

```
    {“country”: [ country1, country2, ...],
```

```
    “continent”: [...],
```

```
    “organization”: [...],
```

```
    ...
```

```
    ...
```

```
}
```

## Retrieve countries

```
SELECT x.mondial.country FROM world x;
```

Answer

```
{“country”: [ country1, country2, ...],
```

```
{"mondial":  
  {"country": [ country1, country2, ...],  
   "continent": [...],  
   "organization": [...],  
   ...  
   ...  
 }
```

# Retrieve countries, one by one

```
SELECT y as country FROM world x, x.mondial.country y;
```

Answer

```
country1  
country2  
...
```

```
{"mondial":  
  {"country": [ country1, country2, ...],  
   "continent": [...],  
   "organization": [...],  
   ...  
   ...  
 }
```

# Escape characters

“-car\_code” illegal field  
Use ` ... `

```
SELECT y.`-car_code` as code , y.name as name  
FROM world x, x.mondial.country y order by y.name;
```

Answer

```
{“code”: “AFG”, “name”: “Afganistan”}  
{“code”: “AL”, “name”: “Albania”}  
...
```

# Nested Collections

- If the value of attribute B is a collection, then we simply iterate over it

```
SELECT x.A, y.C, y.D  
FROM mydata as x, x.B as y;
```

x.B is a collection

```
{"A": "a1", "B": [{"C": "c1", "D": "d1"}, {"C": "c2", "D": "d2"}]}  
{"A": "a2", "B": [{"C": "c3", "D": "d3"}]}  
{"A": "a3", "B": [{"C": "c4", "D": "d4"}, {"C": "c5", "D": "d5"}]}
```

# Nested Collections

- If the value of attribute B is a collection, then we simply iterate over it

```
SELECT x.A, y.C, y.D
FROM mydata as x, x.B as y;
```

x.B is a collection

{"A": "a1", "B": [{"C": "c1", "D": "d1"}, {"C": "c2", "D": "d2"}]}  
{"A": "a2", "B": [{"C": "c3", "D": "d3"}]}  
{"A": "a3", "B": [{"C": "c4", "D": "d4"}, {"C": "c5", "D": "d5"}]}

{"A": "a1", "C": "c1", "D": "d1"}  
{"A": "a1", "C": "c2", "D": "d2"}  
{"A": "a2", "C": "c3", "D": "d3"}  
{"A": "a3", "C": "c4", "D": "d4"}  
{"A": "a3", "C": "c5", "D": "d5"}

```
{"mondial":  
  {"country": [ country1, country2, ...],  
   "continent": [...],  
   "organization": [...],  
   ...  
   ...  
 }
```

Runtime error

# Heterogeneous Collections

```
SELECT z.name as province_name, u.name as city_name  
FROM world x, x.mondial.country y, y.province z, z.city u  
WHERE y.name='Greece';
```

The problem:

```
...  
"province": [ ...  
  {"name": "Attiki",  
   "city" : [ {"name": "Athens"...}, {"name": "Pireus"...}, ...]  
   ...},  
  {"name": "Ipiros",  
   "city" : {"name": "Ioannia"...} ←  
   ...},  
  ...],
```

city is an array

city is an object

```
{"mondial":  
  {"country": [ country1, country2, ...],  
   "continent": [...],  
   "organization": [...],  
   ...  
   ...  
 }
```

# Heterogeneous Collections

```
SELECT z.name as province_name, u.name as city_name  
FROM world x, x.mondial.country y, y.province z, z.city u  
WHERE y.name='Greece' and is_array(z.city);
```

The problem:

```
...  
"province": [ ...  
  {"name": "Attiki",  
   "city" : [ {"name": "Athens"...}, {"name": "Pireus"...}, ...  
             ...},  
  {"name": "Ipiros",  
   "city" : {"name": "Ioannia"...}  
             ...},
```

Just the arrays

```
{"mondial":  
  {"country": [ country1, country2, ...],  
   "continent": [...],  
   "organization": [...],  
   ...  
   ...  
 }
```

# Heterogeneous Collections

Note: get name directly from z

```
SELECT z.name as province_name, z.city.name as city_name  
FROM world x, x.mondial.country y, y.province z  
WHERE y.name='Greece' and not is_array(z.city);
```

The problem:

```
...  
"province": [ ...  
  {"name": "Attiki",  
   "city" : [ {"name": "Athens"...}, {"name": "Pireus"...}, ...  
   ...},  
  {"name": "Ipiros",  
   "city" : {"name": "Ioannia"...}  
   ...},
```

Just the objects

```
{“mondial”:  
  {“country”: [ country1, country2, ...],  
   “continent”: [...],  
   “organization”: [...],  
   ...  
   ...  
 }
```

# Heterogeneous Collections

```
SELECT z.name as province_name, u.name as city_name  
FROM world x, x.mondial.country y, y.province z,  
     (CASE WHEN is_array(z.city) THEN z.city  
           ELSE [z.city] END) u  
WHERE y.name='Greece';
```

The problem:

```
...  
“province”: [ ...  
  {“name”: “Attiki”,  
   “city” : [ {“name”: “Athens”...}, {“name”: “Pireus”...}, ...  
             ...},  
   {“name”: “Ipiros”,  
    “city” : {“name”: “Ioannia”...}  
             ...},
```

Get both!

```
{“mondial”:  
  {“country”: [ country1, country2, ...],  
   “continent”: [...],  
   “organization”: [...],  
   ...  
   ...  
 }
```

# Heterogeneous Collections

```
SELECT z.name as province_name, u.name as city_name  
FROM world x, x.mondial.country y, y.province z,  
  (CASE WHEN z.city is missing THEN []  
        WHEN is_array(z.city) THEN z.city  
        ELSE [z.city] END) u  
WHERE y.name='Greece';
```

The problem:

```
...  
“province”: [ ...  
  {“name”: “Attiki”,  
   “city” : [ {“name”: “Athens”...}, {“name”: “Pireus”...}, ...  
   ...},  
   {“name”: “Ipiros”,  
   “city” : {“name”: “Ioannia”...}  
   ...},
```

Even better