
CSE 331

Software Design & Implementation

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Summer 2019

Lecture 2 – Reasoning About Code With Logic

Administrivia

- Gradescope accounts created now. If you're not set up yet, send email to `cse331-staff[at]cs` with needed info (name, id#, uw email)
- Guest CSE computer & lab accounts created Tuesday for all registered students without regular Allen School accounts. Send mail if problems.
- Office hours: initial schedule 3:30-4:30 M-F in Gates 110 lab (we'll try this, but are open to changes if different times/locations would be better – let us know)
 - Using office hours: get help/ideas to get unstuck, but not intended to be a “study hall”
- Section tomorrow: primarily project setup & hw3. Bring laptop with adoptopenjdk Java 11 or 12 and IntelliJ Ultimate installed
 - You will get email from gitlab this afternoon when your repo is created. Feel free to ignore until section tomorrow.
 - HW3 posted later today/tonight. Worth reading before section.

Overview

- Next few lectures: two presentations linked to course calendar on the web:
 - Lecture notes – primary source
 - Powerpoint slides – summary & supplement; lecture today and reference for following couple of lectures

They are complementary and you should understand both of them
- HW1 out by tomorrow. Programming logic with no loops. Due Monday night, 11 pm.

Reasoning about code

Determine what facts are true as a program executes

- Under what assumptions

Examples:

- If **x** starts positive, then **y** is 0 when the loop finishes
- Contents of the array that **arr** refers to are sorted
- Except at one code point, **x + y == z**
- For all instances of **Node n**,
 n.next == null \vee **n.next.prev == n**
- ...

- Notation: In logic we often use \wedge for “and” and \vee for “or”. Concise and convenient, but we’re not dogmatic about it

Why do this?

- Essential complement to *testing*, which we will also study
 - Testing: Actual results for some actual inputs
 - Logical reasoning: Reason about whole classes of inputs/states at once (“If $x > 0$, ...”)
 - *Prove* a program correct (or find bugs trying), or (even better) develop program and proof together to get a program that is correct by construction
 - Understand *why* code is correct
- Stating assumptions is the essence of specification
 - “Callers must not pass `null` as an argument”
 - “Method will always return an unaliased object”
 - ...

Our approach

- Hoare Logic: a classic approach to logical reasoning about code
 - For now, consider just variables, assignments, if-statements, while-loops
 - So no objects or methods for now
- This lecture: The idea, without loops, in 3 passes
 1. High-level intuition of forward and backward reasoning
 2. Precise definition of logical assertions, preconditions, etc.
 3. Definition of weaker/stronger and weakest-precondition
- Next lecture: Loops

Why?

- Programmers rarely “use Hoare logic” in this much detail
 - For simple snippets of code, it’s overkill
 - Gets very complicated with objects and aliasing
 - But can be very useful to develop and reason about loops and data with subtle *invariants*
 - Examples: Homework 0, Homework 2
- Also it’s an ideal setting for the right logical foundations
 - How can logic “talk about” program states?
 - How does code execution “change what is true”?
 - What do “weaker” and “stronger” mean?

This is all essential for *specifying library-interfaces*, which *does* happen All the Time in The Real World® (coming lectures)

Example

Forward reasoning:

- Suppose we initially know (or assume) $w > 0$

`// w > 0`

`x = 17;`

`// w > 0 \wedge x == 17`

`y = 42;`

`// w > 0 \wedge x == 17 \wedge y == 42`

`z = w + x + y;`

`// w > 0 \wedge x == 17 \wedge y == 42 \wedge z > 59`

...

- Then we know various things after, including $z > 59$

Example

Backward reasoning:

- Suppose we want z to be negative at the end

`// w + 17 + 42 < 0`

`x = 17;`

`// w + x + 42 < 0`

`y = 42;`

`// w + x + y < 0`

`z = w + x + y;`

`// z < 0`

- Then we know initially we need to know/assume $w < -59$
 - Necessary and sufficient

Forward vs. Backward, Part 1

- Forward reasoning:
 - Determine what follows from initial assumptions
 - Most useful for *maintaining an invariant*
- Backward reasoning
 - Determine sufficient conditions for a certain result
 - If result desired, the assumptions suffice for correctness
 - If result undesired, the assumptions suffice to trigger bug

Forward vs. Backward, Part 2

- Forward reasoning:
 - Simulates the code (for many “inputs” “at once”)
 - Often more intuitive
 - But introduces [many] facts irrelevant to a goal
- Backward reasoning
 - Often more useful: Understand what each part of the code contributes toward the goal
 - “Thinking backwards” takes practice but gives you a powerful new way to reason about programs and to write correct code

Conditionals

```
// initial assumptions
if(...) {
    ... // also know test evaluated to true
} else {
    ... // also know test evaluated to false
}
// either branch could have executed
```

Two key ideas:

1. The precondition for each branch includes information about the result of the test-expression
2. The overall postcondition is the disjunction (“or”) of the postcondition of the branches

Example (Forward)

Assume initially $x \geq 0$

```
// x ≥ 0
z = 0;
// x ≥ 0 ∧ z == 0
if(x != 0) {
    // x ≥ 0 ∧ z == 0 ∧ x != 0 (so x > 0)
    z = x;
    // ... ∧ z > 0
} else {
    // x ≥ 0 ∧ z == 0 ∧ !(x != 0) (so x == 0)
    z = x + 1;
    // ... ∧ z == 1
}
// ( ... ∧ z > 0) ∨ (... ∧ z == 1) (so z > 0)
```

Our approach

- Hoare Logic, a classic approach to logical reasoning about code
 - [Named after its inventor, Tony Hoare]
 - Considering just variables, assignments, if-statements, while-loops
 - So no objects or methods
- This lecture: The idea, without loops, in 3 passes
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Some notation and terminology

- The “assumption” before some code is the **precondition**
- The “what holds after (given assumption)” is the **postcondition**
- Instead of writing pre/postconditions after `//`, write them in `{...}`
 - This is not Java
 - How Hoare logic has been written “on paper” for 40ish years

`{ w < -59 }`

`x = 17;`

`{ w + x < -42 }`

- In pre/postconditions, `=` is equality, not assignment

- Math’s “=”, which for numbers is Java’s `==`

`{ w > 0 \wedge x = 17 }`

`y = 42;`

`{ w > 0 \wedge x = 17 \wedge y = 42 }`

What an assertion means

- An *assertion* (including pre/postconditions) is a logical formula that can refer to program state (e.g., contents of variables)
- A *program state* is something that “given” a variable can “tell you” its contents
 - Or any expression that has no *side-effects*
 - (informally, this is just the current values of all variables)
- An assertion *holds* for a program state, if evaluating using the program state produces *true*
 - Evaluating a program variable produces its contents in the state
 - Can think of an assertion as representing the *set* of (exactly the) states for which it holds

A Hoare Triple

- A **Hoare triple** is two assertions and one piece of code:

$$\{P\} \ S \ \{Q\}$$

- P the precondition
 - S the code (statement)
 - Q the postcondition
- A Hoare triple $\{P\} \ S \ \{Q\}$ is (by definition) **valid** if:
 - For all states for which P holds, executing S always produces a state for which Q holds
 - Less formally: If P is true before S , then Q must be true after
 - Else the Hoare triple is **invalid**

Examples

Valid or invalid?

– (Assume all variables are integers without overflow)

- $\{x \neq 0\} \ y = x * x; \ \{y > 0\}$ valid
- $\{z \neq 1\} \ y = z * z; \ \{y \neq z\}$ invalid
- $\{x \geq 0\} \ y = 2 * x; \ \{y > x\}$ invalid
- $\{\text{true}\} \ (\text{if}(x > 7) \ \{y=4;\} \ \text{else} \ \{y=3;\}) \ \{y < 5\}$ valid
- $\{\text{true}\} \ (x = y; \ z = x;) \ \{y=z\}$ valid
- $\{x=7 \wedge y=5\}$
 $(\text{tmp}=x; \ x=\text{tmp}; \ y=x;)$ invalid
 $\{y=7 \wedge x=5\}$

Aside: *assert statement* in Java

- An Java **assert** is a statement with a Java expression, e.g.,

```
assert x > 0 && y < x;
```
- Similar to our assertions
 - Evaluate using a program state to get **true** or **false**
 - Uses Java syntax
- In Java, this is a **run-time thing**: Run the code and raise an exception if assertion is violated
 - Unless assertion-checking is disabled
 - Later course topic – but really useful to detect bugs early
- This week: we are reasoning about the code, not running it on some input

The general rules

- So far: Decided if a Hoare triple was valid by using our understanding of programming constructs
- Now: For each kind of construct there is a general rule
 - A rule for assignment statements
 - A rule for two statements in sequence
 - A rule for conditionals
 - [next lecture:] A rule for loops
 - ...

Basic rule: Assignment

$$\{P\} \ x = e; \ {Q}$$

- Let Q' be the same as Q except replace every x with e
- Triple is valid if: For all program states, if P holds, then Q' holds (i.e., if P guarantees that Q' is true, then execution of $x=e$; will guarantee that Q is true)
- Example: $\{z > 34\} \ y=z+1; \ \{y > 1\}$
 - Q' is $\{z+1 > 1\}$

Combining rule: Sequence

$$\{P\} S1 ; S2 \{Q\}$$

- Triple is valid if and only if there is an assertion R such that
 - $\{P\} S1 \{R\}$ is valid, and
 - $\{R\} S2 \{Q\}$ is valid
- Example: $\{z \geq 1\} y = z + 1; w = y * y; \{w > y\}$ (integers)
 - Let R be $\{y > 1\}$ (this particular R picked because “it works”)
 - Show $\{z \geq 1\} y = z + 1; \{y > 1\}$
 - Use rule for assignments: $z \geq 1$ implies $z + 1 > 1$
 - Show $\{y > 1\} w = y * y; \{w > y\}$
 - Use rule for assignments: $y > 1$ implies $y * y > y$

Combining rule: Conditional

$\{P\} \text{ if}(b) \text{ } S1 \text{ else } S2 \{Q\}$

- Triple is valid if and only if there are assertions $Q1, Q2$ such that
 - $\{P \wedge b\} S1 \{Q1\}$ is valid, and
 - $\{P \wedge !b\} S2 \{Q2\}$ is valid, and
 - $Q1 \vee Q2$ implies Q
- Example: $\{\text{true}\} (\text{if}(x > 7) \text{ } y=x; \text{ else } y=20;) \{y > 5\}$
 - Let $Q1$ be $\{y > 7\}$ (other choices work too)
 - Let $Q2$ be $\{y = 20\}$ (other choices work too)
 - Use assignment rule to show $\{\text{true} \wedge x > 7\} y=x; \{y>7\}$
 - Use assignment rule to show $\{\text{true} \wedge x \leq 7\} y=20; \{y=20\}$
 - Indicate $y>7 \vee y=20$ implies $y>5$

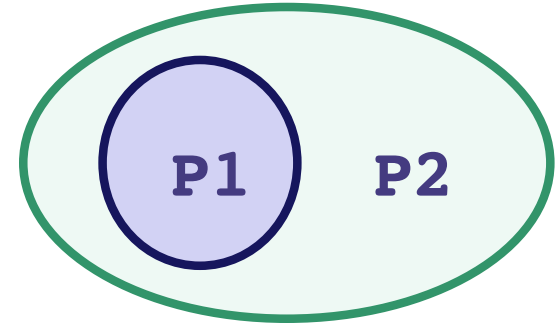
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Weaker vs. Stronger

If $P1$ implies $P2$ (written $P1 \Rightarrow P2$), then:

- $P1$ is **stronger** than $P2$
- $P2$ is **weaker** than $P1$

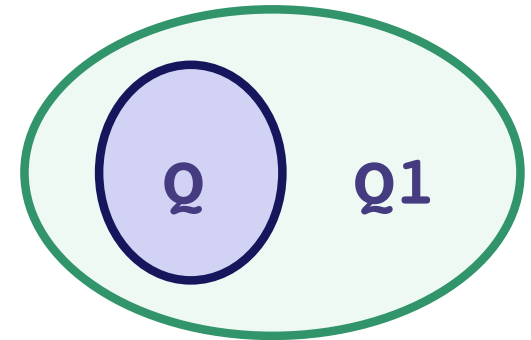
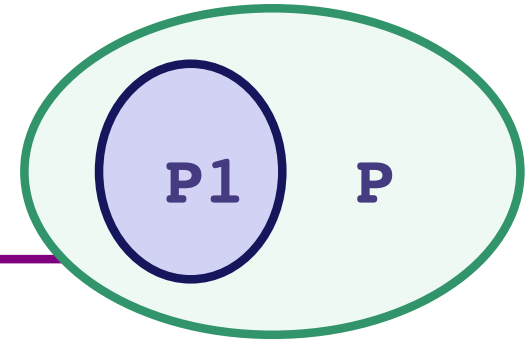


- Whenever $P1$ holds, $P2$ also holds
- So it is more (or at least as) “difficult” to satisfy $P1$
 - The program states where $P1$ holds are a subset of the program states where $P2$ holds
- So $P1$ puts more constraints on program states
- So it's a stronger set of obligations/requirements

Examples

- $x = 17$ is stronger than $x > 0$
- x is prime is neither stronger nor weaker than x is odd
- x is prime and $x > 2$ is stronger than x is odd and $x > 2$
- ...

Why this matters to us



- Suppose:
 - $\{P\}S\{Q\}$, and
 - **P** is weaker than some **P1**, and
 - **Q** is stronger than some **Q1**
- Then: $\{P1\}S\{Q\}$ and $\{P\}S\{Q1\}$ and $\{P1\}S\{Q1\}$
- Example:
 - **P** is $x \geq 0$
 - **P1** is $x > 0$
 - **S** is $y = x+1$
 - **Q** is $y > 0$
 - **Q1** is $y \geq 0$

So...

- For backward reasoning, if we want $\{P\}S\{Q\}$, we could instead:
 - Show $\{P1\}S\{Q\}$, and
 - Show $P \Rightarrow P1$
- Better, we could just show $\{P2\}S\{Q\}$ where $P2$ is the **weakest precondition** of Q for S
 - Weakest means the most lenient assumptions such that Q will hold after executing S
 - Any precondition P such that $\{P\}S\{Q\}$ is valid will be stronger than $P2$, i.e., $P \Rightarrow P2$
- Amazing (?): Without loops/methods, for any S and Q , there exists a unique weakest precondition, written **$wp(S,Q)$**
 - Like our general rules with backward reasoning

Weakest preconditions

- $\text{wp}(\mathbf{x} = \mathbf{e};, Q)$ is Q with each \mathbf{x} replaced by \mathbf{e}
 - Example: $\text{wp}(\mathbf{x} = \mathbf{y} * \mathbf{y};, \mathbf{x} > 4) = \mathbf{y} * \mathbf{y} > 4$, i.e., $|\mathbf{y}| > 2$
- $\text{wp}(S1; S2, Q)$ is $\text{wp}(S1, \text{wp}(S2, Q))$
 - i.e., let R be $\text{wp}(S2, Q)$ and overall wp is $\text{wp}(S1, R)$
 - Example: $\text{wp}(\mathbf{y} = \mathbf{x} + 1; \mathbf{z} = \mathbf{y} + 1; , \mathbf{z} > 2) = (\mathbf{x} + 1) + 1 > 2$, i.e., $\mathbf{x} > 0$
- $\text{wp}(\text{if } b \text{ } S1 \text{ else } S2, Q)$ is this logic formula:
$$(b \wedge \text{wp}(S1, Q)) \vee (!b \wedge \text{wp}(S2, Q))$$
 - (In any state, b will evaluate to either true or false...)
 - (You can sometimes then simplify the result)

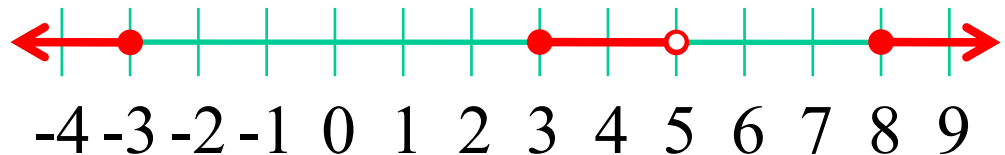
Simple examples

- If S is $x = y * y$ and Q is $x > 4$,
then $wp(S, Q)$ is $y * y > 4$, i.e., $|y| > 2$
- If S is $y = x + 1; z = y - 3$; and Q is $z = 10$,
then $wp(S, Q) \dots$
 - $= wp(y = x + 1; z = y - 3; , z = 10)$
 - $= wp(y = x + 1; , wp(z = y - 3; , z = 10))$
 - $= wp(y = x + 1; , y - 3 = 10)$
 - $= wp(y = x + 1; , y = 13)$
 - $= x + 1 = 13$
 - $= x = 12$

Bigger example

```
S is if (x < 5) {  
    x = x*x;  
} else {  
    x = x+1;  
}  
Q is x >= 9
```

$$\begin{aligned} \text{wp}(S, x \geq 9) &= (x < 5 \wedge \text{wp}(x = x*x; , x \geq 9)) \\ &\quad \vee (x \geq 5 \wedge \text{wp}(x = x+1; , x \geq 9)) \\ &= (x < 5 \wedge x*x \geq 9) \\ &\quad \vee (x \geq 5 \wedge x+1 \geq 9) \\ &= (x \leq -3) \vee (x \geq 3 \wedge x < 5) \\ &\quad \vee (x \geq 8) \end{aligned}$$



If-statements review

Forward reasoning

```
{P}
if B
  {P ∧ B}
  S1
  {Q1}
else
  {P ∧ !B}
  S2
  {Q2}
{Q1 ∨ Q2}
```

Backward reasoning

```
{ (B ∧ wp(S1, Q)) ∨
  (!B ∧ wp(S2, Q)) }
if B
  {wp(S1, Q)}
  S1
  {Q}
else
  {wp(S2, Q)}
  S2
  {Q}
{Q}
```


“Correct”

- If $\text{wp}(S, Q)$ is **true**, then executing S will always produce a state where Q holds
 - **true** holds for every program state

One more issue

- With forward reasoning, there is a problem with assignment:
 - Changing a variable can affect other assumptions

- Example:

`{true}`

`w=x+y;`

`{w = x + y;}`

`x=4;`

`{w = x + y \wedge x = 4}`

`y=3;`

`{w = x + y \wedge x = 4 \wedge y = 3}`

But clearly we do not know `w=7!`

The fix

- When you assign to a variable, you need to replace all other uses of the variable in the post-condition with a different variable
 - So you refer to the “old contents”
 - But only do this if you actually use the “old contents” from that variable later in the proof – omit otherwise

- Corrected example:

`{true}`

`w=x+y;`

`{w = x + y;}`

`x=4;`

`{w = x1 + y \wedge x = 4}`

`y=3;`

`{w = x1 + y1 \wedge x = 4 \wedge y = 3}`

Useful example: swap

- Swap contents
 - Give a name to initial contents so we can refer to them in the post-condition
 - Just in the formulas: these “names” are not in the program
 - Use these extra variables to avoid “forgetting” “connections”

$\{x = x_pre \wedge y = y_pre\}$

$tmp = x;$

$\{x = x_pre \wedge y = y_pre \wedge tmp=x\}$

$x = y;$

$\{x = y \wedge y = y_pre \wedge tmp=x_pre\}$

$y = tmp;$

$\{x = y_pre \wedge y = tmp \wedge tmp=x_pre\}$