# Speech recognition and reinforcement learning

**Andrew Stepanov** 

#### Outline

- History of ASR
- Sound representation
- The alignment problem
- Connectionist temporal classification
- Fine tuning ASR with Reinforcement Learning

## History of ASR

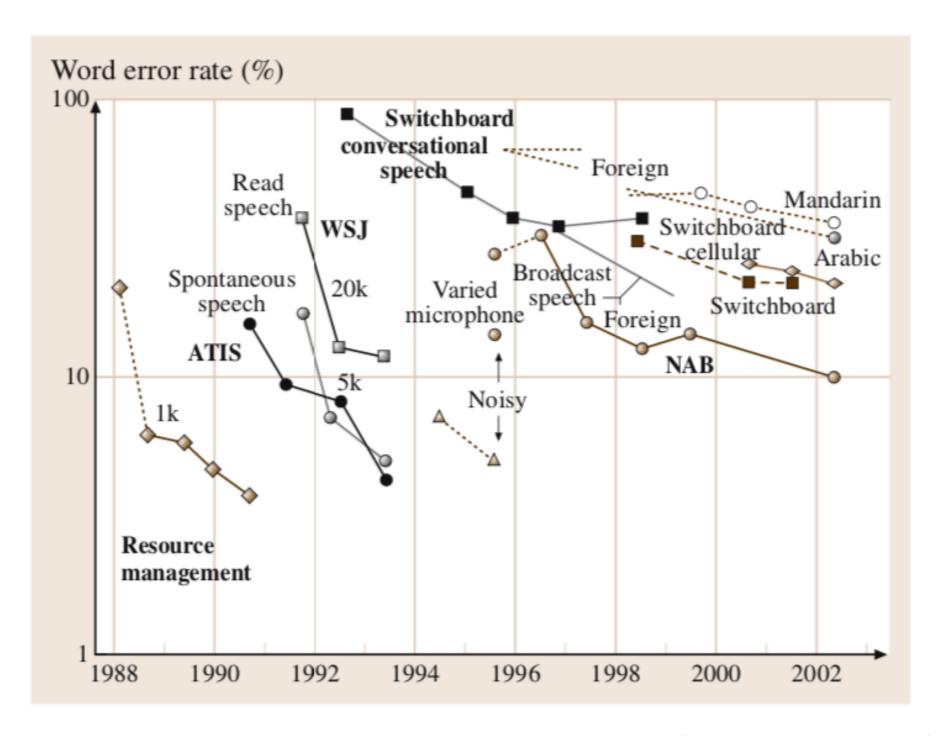
- Early history (1940 1960)
- Pattern recognition approach (1960 1980)
- Statistical Modelling (1980 2010)
- Deep learning (2010 now)

#### Metrics

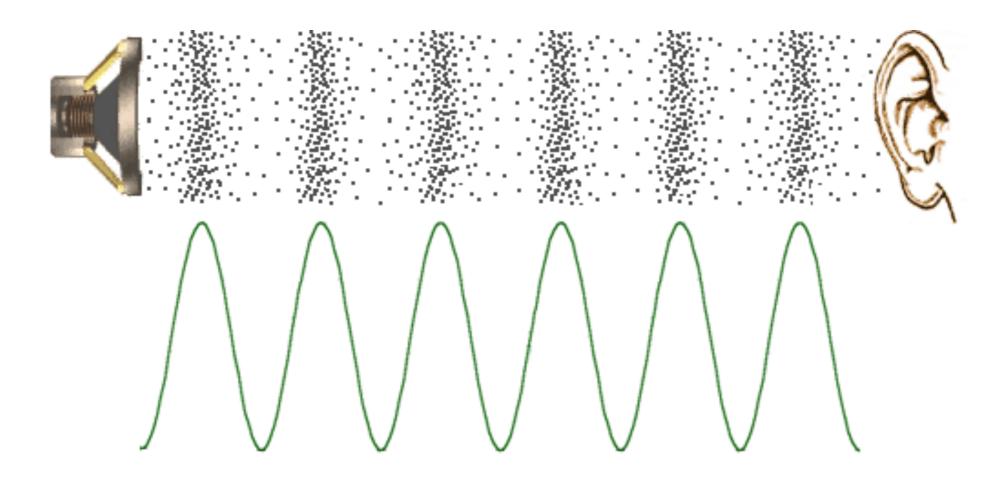
WER
$$(r, h) = \frac{\operatorname{distance}(r, h)}{\operatorname{length}(r)}$$

Error type	Text	WER
Reference	quick brown fox jumps over the lazy dog	0
Insertion	quick fluffy brown fox jumps over the lazy dog	0,125
Deletion	quick _ fox jumps over the lazy dog	0,125
Substitution	quick brown fox jumps over the crazy dog	0,125
Composition of above	quick _ fox jumps over the crazy dog	0,25

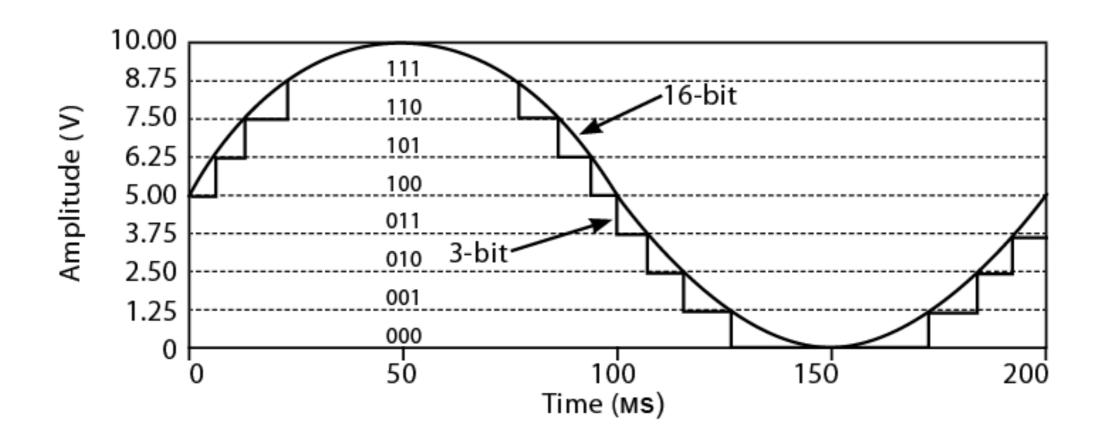
#### WER performance over time



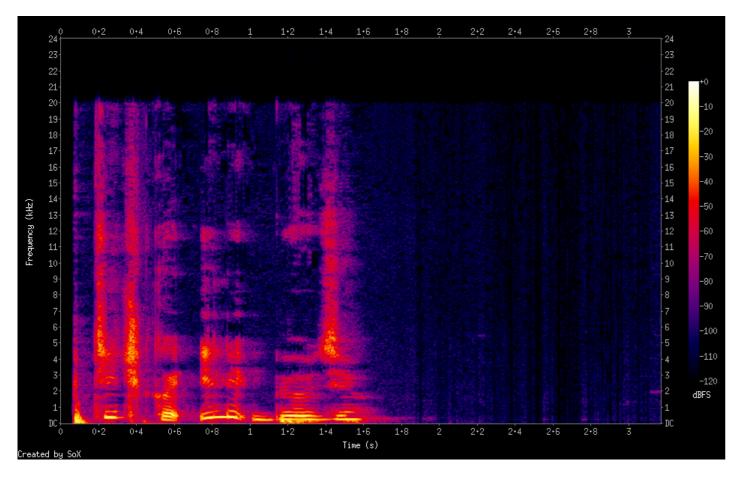
### Sound waves

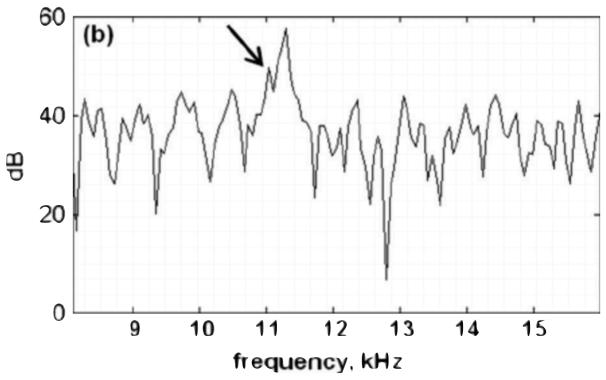


### Sound quantization



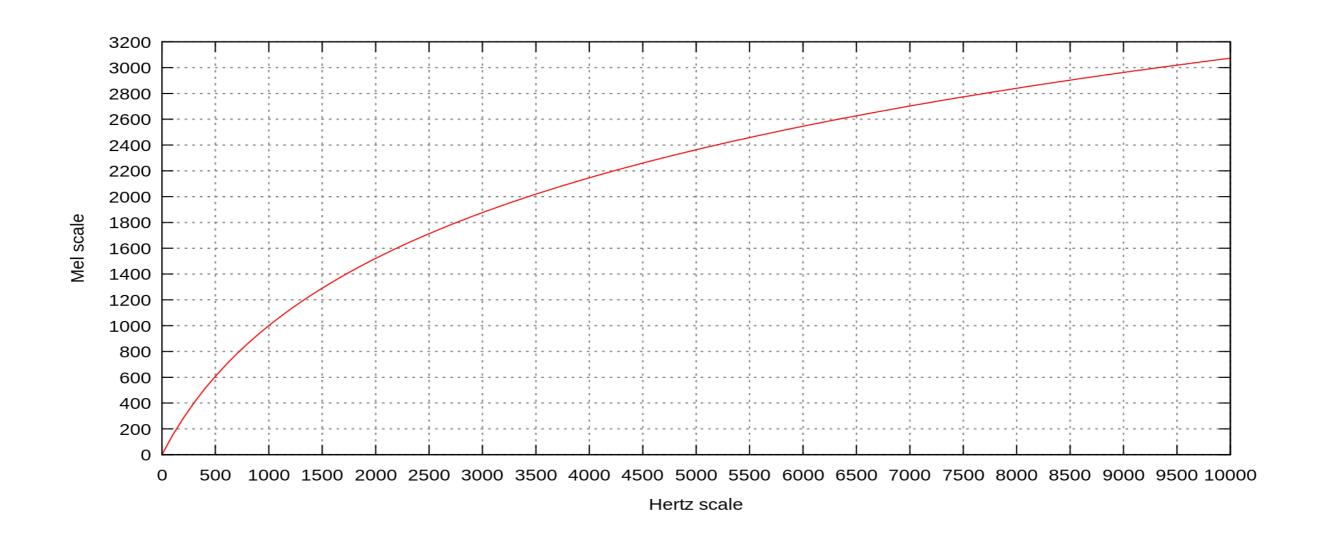
## Spectrogram



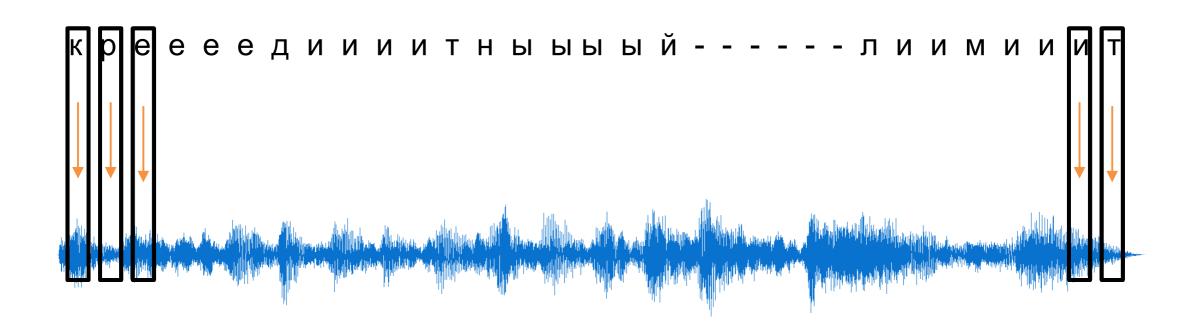


#### Mel scale

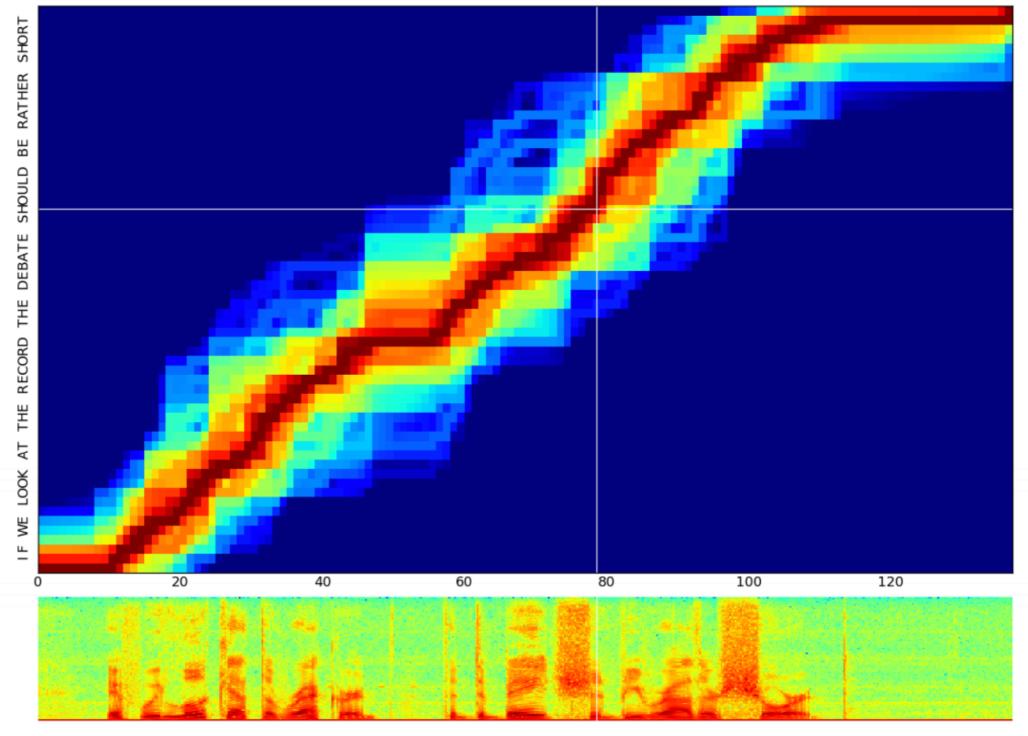
$$m = 2595 \log_{10} \left(1 + rac{f}{700}
ight)$$



## Alignments

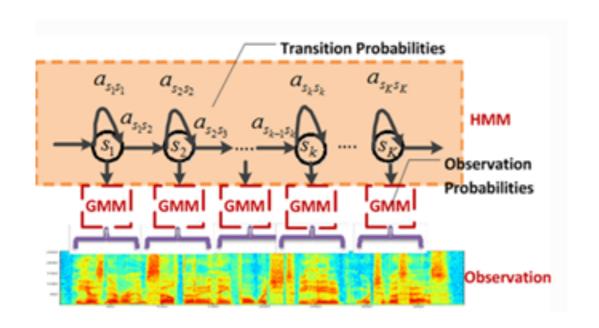


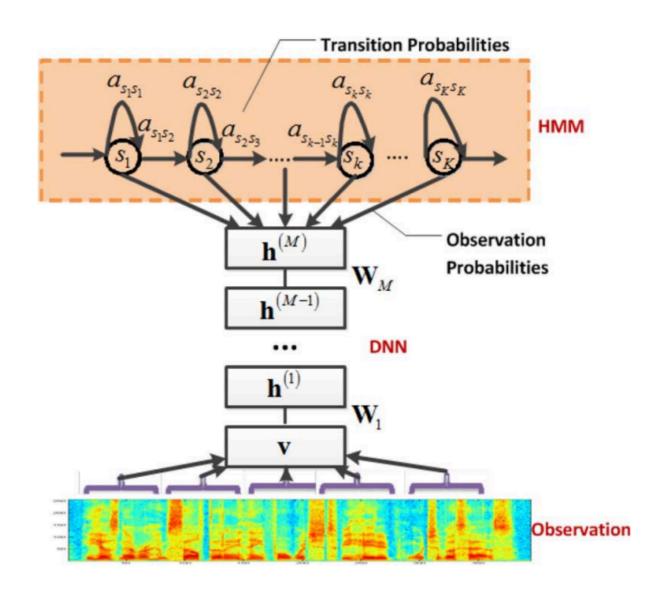
## Alignments



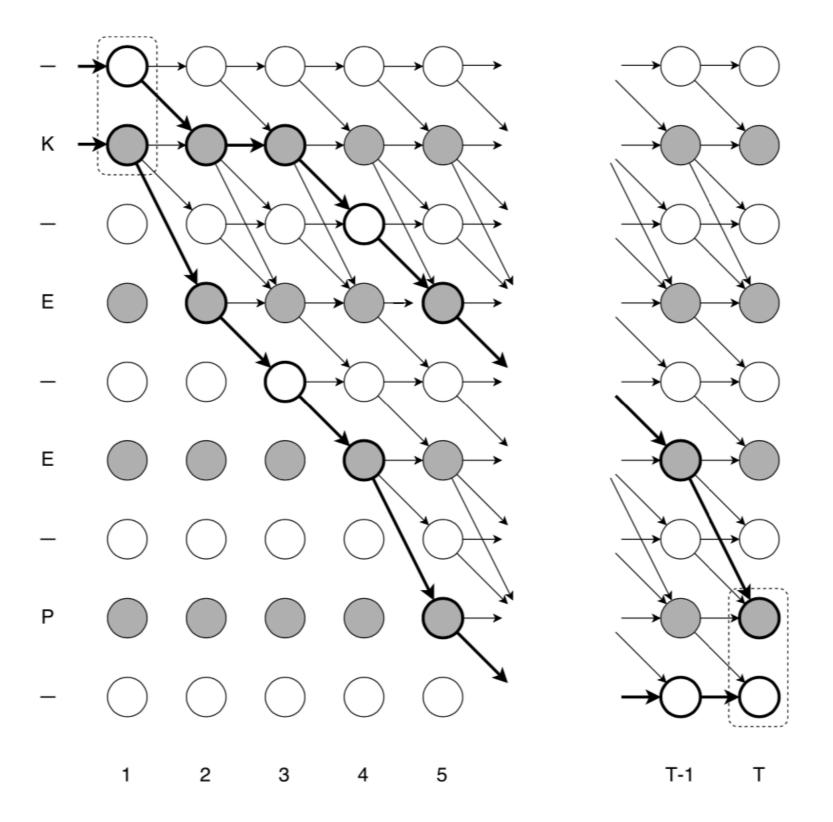
\* Sequence Transduction with Recurrent Neural Networks, Graves, 2012

#### **GMM-HMM and DNN-HMM**

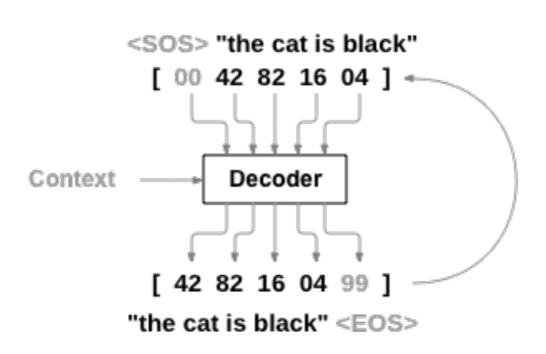


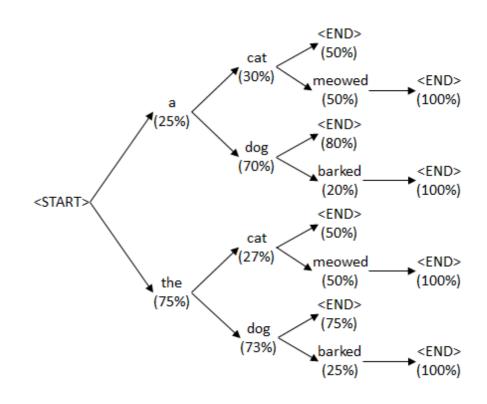


### CTC



## Mismatch between training and inference





**CTC / Teacher forcing for LAS** 

Beam search decoder

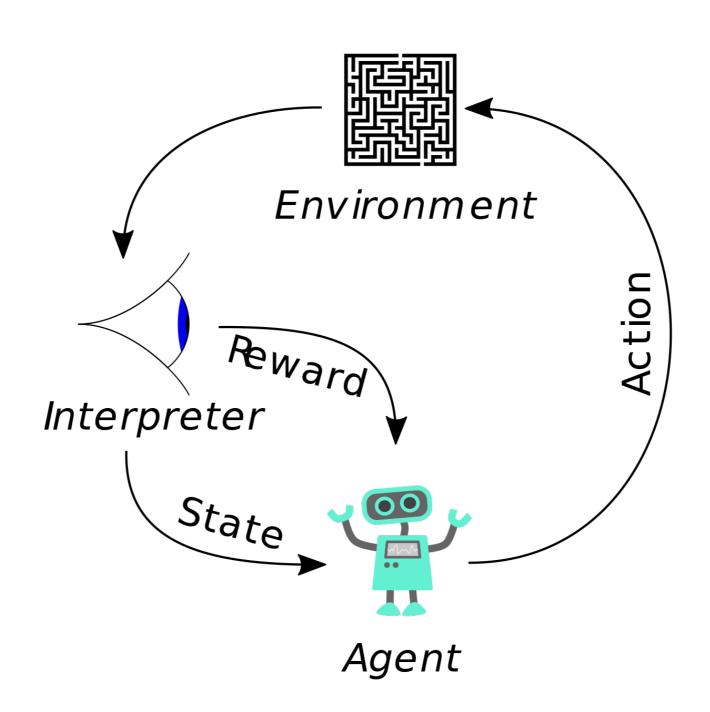
# Fighting mismatch between training and inference

- Do nothing (works well for DNN-HMM, CTC: no feedback loop between model output and decoder)
- For LAS, RNN-Transducer:
  - Scheduled sampling (Chan et al., 2015)
  - Simple first-pass decoding + second-pass rescoring (Chen et al., 2017; Chiu et al., 2018)

# Fighting mismatch between training and inference

- MMI/MPE/sMBR/bMMI (Veseley, Povey, 2013;, Yu, Dang, 2014)
- Expected Transcription Loss (Graves, 2014)
- CD-CTC-sMBR (Sak et al., 2016)
- Minimum Word Error Rate Training (Chiu et al, 2018)

## Reinforcement Learning in ASR



## Sampled MBR criterion

$$\mathbb{E}_{y \sim P(y|x)} L(y, y^*) = \sum_{y} P(y|x) L(y, y^*) = \sum_{y} \sum_{\pi: B(\pi) = y} P(\pi|x) L(y, y^*) = \sum_{x} P(\pi|x) L(y, y^*)$$

$$\frac{\partial}{\partial z} \mathbb{E}L(\pi) = \frac{\partial}{\partial z} \sum_{\pi} P(\pi|z) \cdot L(\pi) = \sum_{\pi} L(\pi) \cdot \frac{\partial}{\partial z} P(\pi|z) = \sum_{\pi} L(\pi) P(\pi|z) \frac{\partial}{\partial z} \log P(\pi|z) = \mathbb{E}\left[L(\pi) \cdot \frac{\partial}{\partial z} \log w(\pi|z)\right] - \mathbb{E}L(\pi) \cdot \mathbb{E}\left[\frac{\partial}{\partial z} \log w(\pi|z)\right] \quad (18)$$

# Gradient approximation and Reinforcement Learning

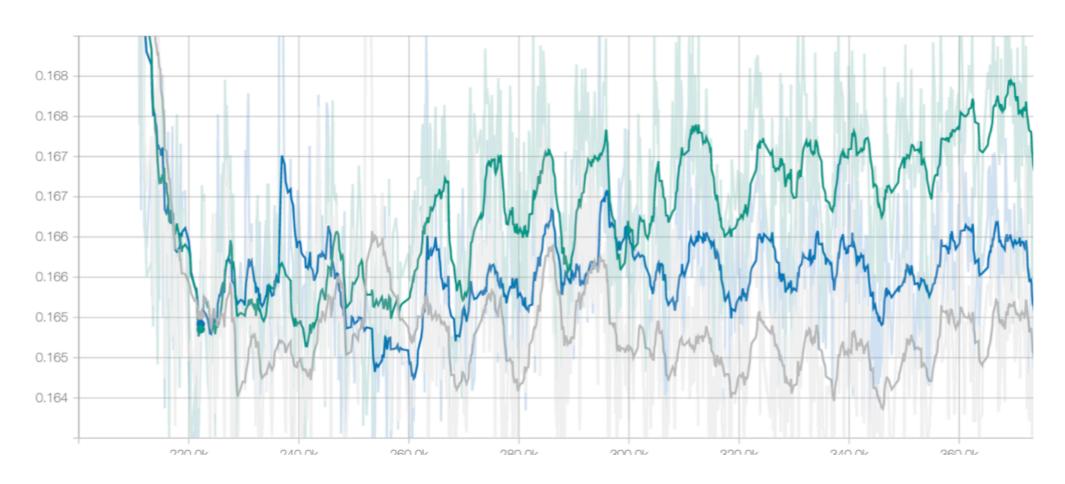
$$\frac{\partial}{\partial z} \mathbb{E}L(\pi) \approx \frac{1}{N-1} \sum_{i=1}^{N} (L(\pi_i) - \overline{L}_{batch}) \frac{\partial}{\partial z} \log w(\pi_i | z)$$

$$\frac{\partial}{\partial z} \mathbb{E}L(\pi) \approx \frac{1}{N} \sum_{i=1}^{N} L(\pi_i) \frac{\partial}{\partial z} \log w(\pi_i | z)$$

$$J(\theta) = \mathbb{E}_{a \sim \pi(a|s)} R(a)$$

$$\nabla J(\theta) = \frac{1}{N} \sum_{i=1}^{N} (R(a_i) - \hat{R}_{baseline}) \nabla \log \pi(a_i|s)$$

#### Results



Puc. 21: Sampled MBR с различными значениями beta. Серый – beta=0.01, синий beta=0.005, зелёный – beta=0.02

#### Results

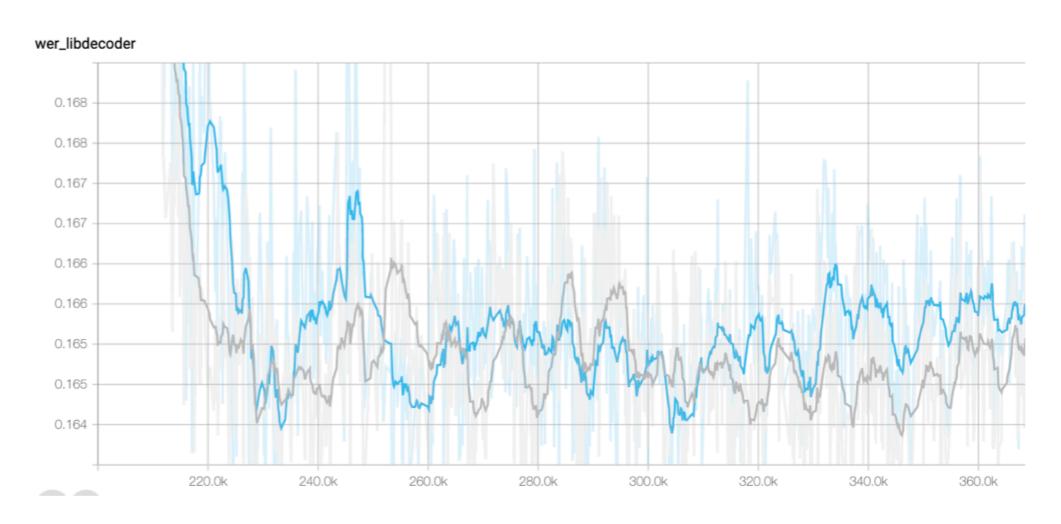


Рис. 22: Sampled MBR с различными значениями языковыми моделями. Серый — биграмная слабая языковая модель на транскрипциях, синяя — сильная 5 грамная модель на разных источниках данных. Однозначный вывод сделать нельзя.

### Results

	dev	dictation	queries noisy	calls noisy
Baseline	18.1	5.6	28.4	18.2
Default MBR	16.17	5.2	24.4	17.8
+ 5gram	16.21	5.1	24.4	17.9
+ beta=0.02	16.16	4.9	24.2	17.6

Overall 5%-15% relative WER reduction depending on the dataset