# Machine Theory of Mind (Deep Mind)

Helmut Wahanik

Waterloo Hydrogeologic Instituto Nacional de Matemática Pura e Aplicada, Rio de Janeiro - Brazil

#### IMPA – Rio de Janeiro

- -Research Dynamical Systems, Differential Geometry, Applied Mathematics.
- -2014 Fields Medal, Artur Avila, work in Dynamical Systems (Ten Martini Problem).

#### My work:

- -Mathematical Physics Fluid dynamics.
- -Riemann problems Numerical Shock Waves and Rarefactions waves in Gas Dynamics.
- -Markov Chain Monte-Carlo methods (Seismic Tomography) – SLB- U. of Cambridge.
- -Computational Geometry, U. of Calgary.



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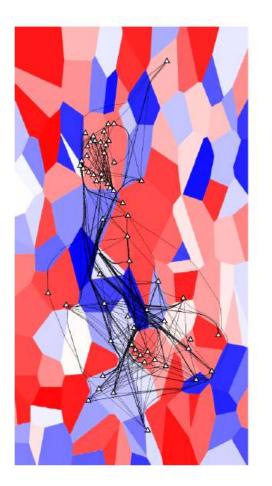
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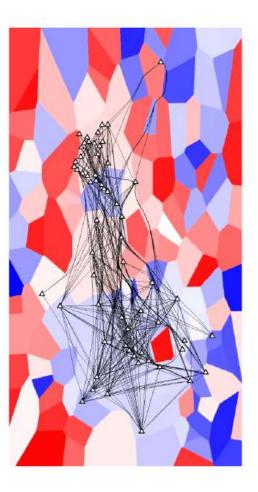
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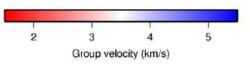


# Collaboration RJ-MCMC - University of Cambridge - UK (Schlumberger).

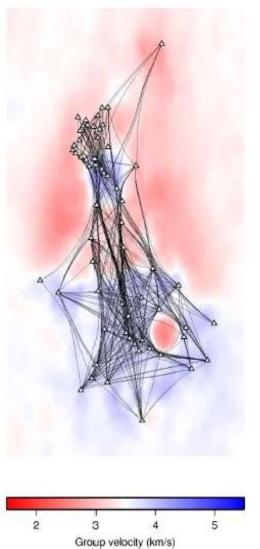
- -Travel-times built through Greens function approach and Seismic Ambient Noise.
- -Voronoi grids updated across the random walk.
- -Minimize difference of theoretical and experimental traveltimes.
- -Dimension is also variable, and adjust to complexity of the data.
- -Samples are accepted or rejected with a modified Metropolis-Hastings algorithm, guiding the samples towards regions of higher probability (e.g. Langevin MCMC MALA).

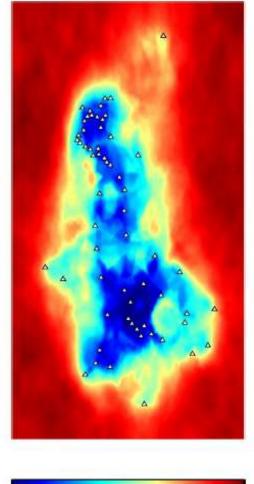


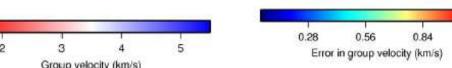




- -The 3D point-wise probability distribution across all chains is the final posterior => solution to inverse problem.
- -The uncertainty of the solution can be measured by the spread of the samples.
- -Fortran + OpenMPI + Qsub + SLB cluster.
- -Parallelization on calculation of seismic travel-times => many seismometers.
- -Mapping in GMT Generic Mapping Tools.



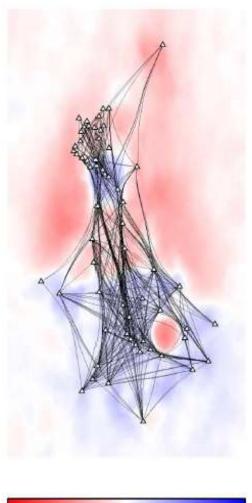


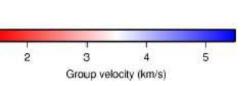


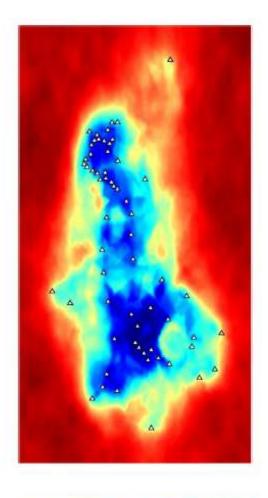
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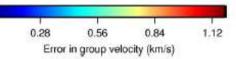


Could this be implemented in TensorFlow Probability?









# ToM-Net – Theory of Mind Neural Network

**Observer:** Uses Meta-learning to predict behaviors of agents living in a Grid-World (models other agents).

Objective: To rapidly form predictions about new agents from limited data and behavioral traces.

**Players:** Agents are themselves Deep Reinforcement Learning agents.



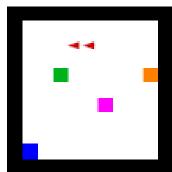
To imitate cognitive predictive patterns of human mind.

-Passes "cognition" tests such as the Sally-Anne test.



#### Grid-world

partial past traj.



current state

-Developmental psychology test, for measuring a person's social cognitive intelligence: ability to recognize that others have false beliefs about the world.

-Measure of higher intelligence in primates:

3 year old child fails it.

4 year old passes it.









Sound-proof light-proof scent-proof barrier

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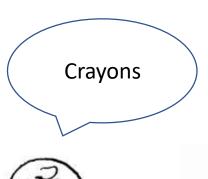


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Bones!







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Remove Snoopy-proof wall!



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What does Snoopy think is inside?









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Repeat first 3 steps with 4 year old



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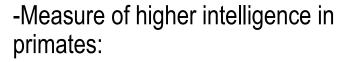








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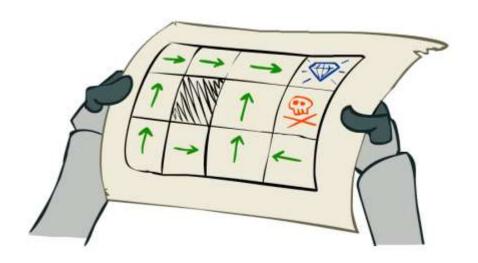




#### **MDP: Augmented Markov Chain.**

 $(S, A, T, R, \gamma)$  such that:

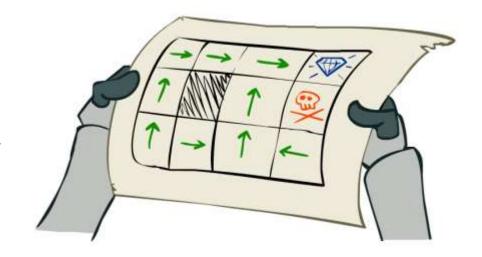
- s states
- a := a(s) set of actions available at s.
- $T(s_{t+1} \mid s_t, a_t)$  prob transition if using action  $a_t$  at  $s_t$
- $R_{a_t}(s_t, s_{t+1})$  reward given action  $a_t$ .
- $\gamma \in [0, 1]$  is a discount factor.



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**Objective:** Find optimal choice (policy)  $\pi$  of actions at all states, maximizing the average discounted reward obtained when starting the chain at any state s.

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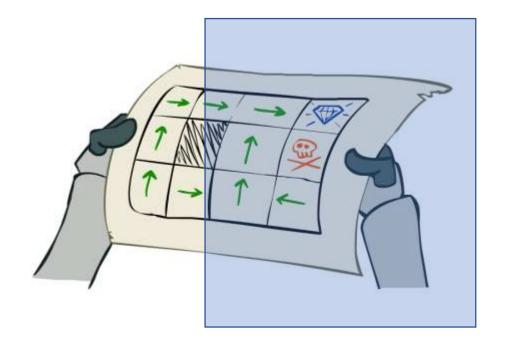
$$V^*(s) := \max_{a} \{ R(s,a) + \gamma \sum_{s'} T_a(s,s') V^*(s') \}$$

Argument contraction + fixed point theorem => there exists a unique solution  $V^*$  to BOE.

# Partially Observable Markov Decision Process

 $(S, A, T, R, \mathbf{0}, \boldsymbol{\omega}, \gamma)$  such that:

- *O* observations, *o*
- $\omega$  conditional probability of observations, w.

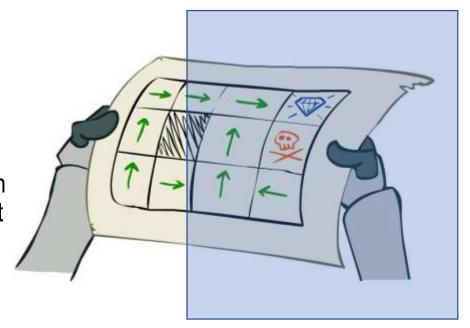


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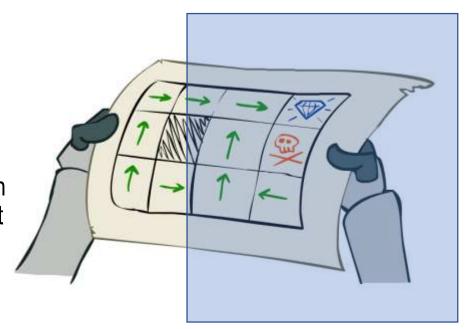
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- -The agent tries to infer the new state from observations & beliefs.
- -POMDPS ⇒ MDPs observations equal true states, probability 1.
- -For POMDPS the Meta-learning process is evident: agent must learn how to learn to read observations and how to update beliefs: parameters in the probability distributions.

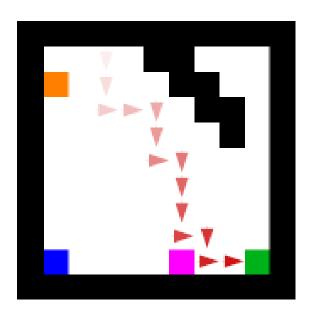


# The Machine Theory of Mind Architecture



Family of POMPDs  $M = \bigcup_k M_k$ , Mazes (11x11), walls, 4 consumable objects.

 $\bullet \quad (S_k, A_k, T_k)$ 



# The Machine Theory of Mind Architecture



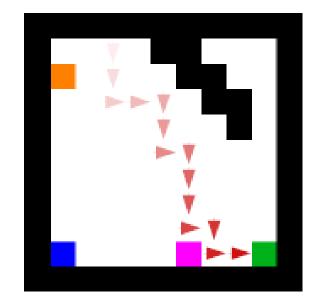
Family of POMPDs  $m{M} = igcup_j M_j$  , Mazes (11x11), walls, 4 consumable objects.

$$\bullet (S_k, A_k, T_k)$$

#### **Agents:**

Rewards, discount factors, conditional observation functions, and policies are associated with *Agent i* 

- $(O_i, w_i, R_i, \gamma_i, \pi_i)$
- Policies might be stochastic, and non-optimal.



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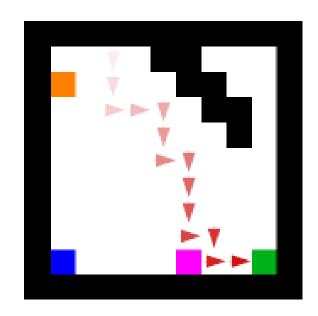
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#### **Observer ToMNet:**

- State observation function:  $w^{(obs)}$ :  $S \rightarrow O^{obs}$
- Action observation function  $\alpha^{(obs)}$ :  $A \rightarrow A^{obs}$
- $w^{(obs)}(s) = s^{obs}$
- $\alpha^{(obs)}(a) = a^{obs}$



#### Observer's Architecture

#### **Training:**

Observes *Agent i*, and a set of past trajectories:

$$\{\tau_{ij}\}_{j=1}^{N_{past}} \rightarrow \{\tau_{ij}{}^{(obs)}\}_{j=1}^{N_{past}}, \quad \text{where} \quad \tau_{ij}{}^{(obs)} = \{(s_t^{(obs)}, a_t^{(obs)})\}_{t=0}^T$$

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- Here  $s_t^{(obs)}$  is a tensor of size 11 x 11 x K.
- K feature planes, such as walls, objects, agent.

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- Here  $s_t^{(obs)}$  is a tensor of size 11 x 11 x K.
- K feature planes, such as walls, objects, agent.
- Also  $a_t^{(obs)}$  is a dimension 5 logit, fully characterizing the action:  $[\cdot, \downarrow, \rightarrow, \uparrow, \leftarrow]$
- The trajectory  $\tau_{ij}^{(obs)}$  is a tensor is of size 11x11x ( K + 5 ).

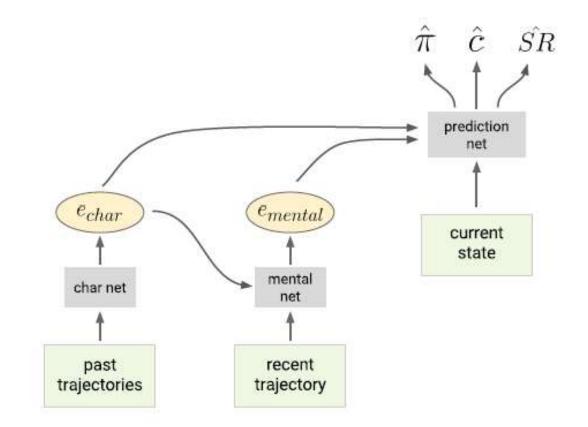
#### Observer's Neural Net

**Character Net:** Characterizes the past  $\{\tau_{ij}^{(obs)}\}_{j=1}^{N_{past}}$ 

$$\tau_{ij} \xrightarrow{f_{\theta}} e_{char,ij}$$
 (2D Tensor)

For all agents we add:

$$e_{char,i} = \sum_{j=1}^{N_{past}} e_{char,ij}$$



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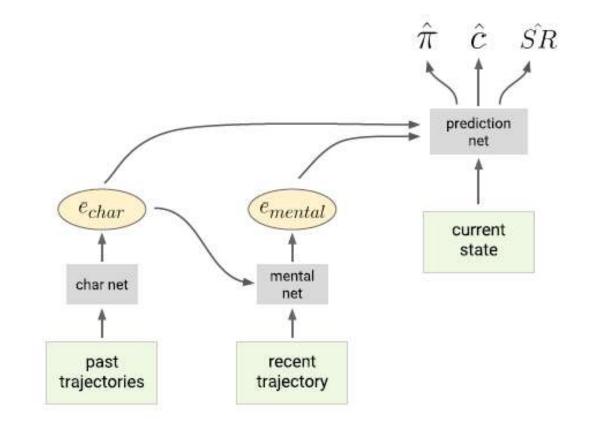
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Mental Net: Mentalizes about the CURRENT EPISODE

$$[\tau_{ij}]_{0:t-1}, e_{char,i} \xrightarrow{g_{\theta}} e_{mental,i}$$



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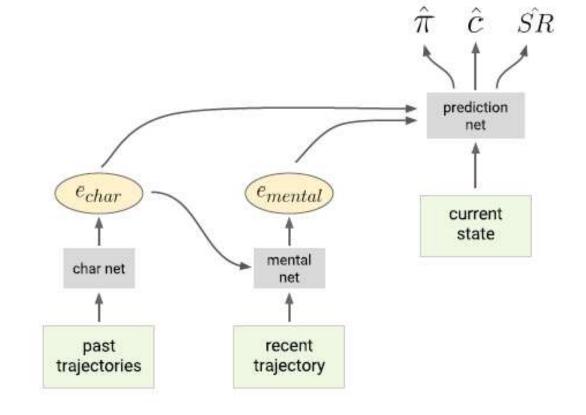
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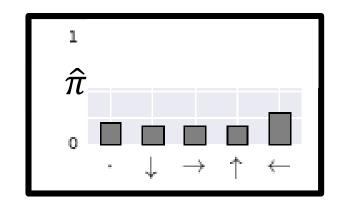
**Mental Net:** Mentalizes about the CURRENT EPISODE

$$[\tau_{ij}]_{0:t-1}, e_{char,i} \xrightarrow{g_{\theta}} e_{mental,i}$$

**Prediction Net:** Current state + Character + Mental to estimate:

- ullet Predicted policy:  $\hat{\pi}(\ \cdot\ |\ s_t^{(obs)}$  ,  $\ e_{char}$  ,  $\ e_{mental}$  )
- Probability of consuming an object  $\hat{c}$





### Experiments

### **Fully Random agents**

- Species of agents.
- 5D stochastic policy vector  $\pi_i(\cdot) \coloneqq \pi_i$
- $\pi_i \sim Dir(\alpha)$ , Dirichlet distribution. Species can be written as  $S(\alpha)$ .
- For  $\alpha \ll 1$  , one-sided deterministic policies.  $\alpha \sim 3 \Rightarrow$  uniform distribution.

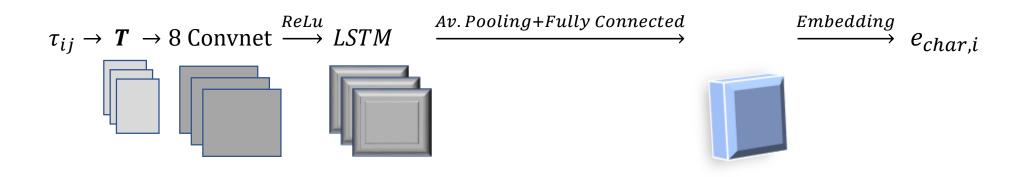
#### **Training**

- Observe sample from species  $S(\alpha)$ , running on Grid-Worlds.
- A set of recent trajectories, with  $N_{past} \sim U\{0, 10\}$ .
- Length of trajectory = 1.
- Adam optimizer,  $\delta = 10^{-4}$ , 40K Minibatches of size 16.

### Architecture

### **Fully Random agents:**

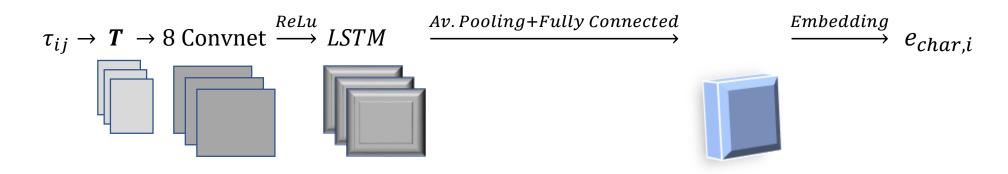
• Character Net: T tensor for the trajectory, dim (11x11)x(K + 5)



### Architecture

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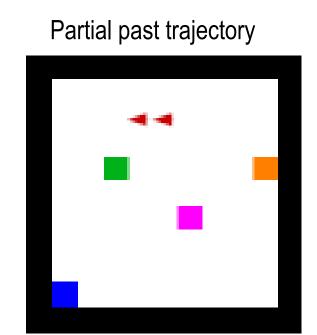
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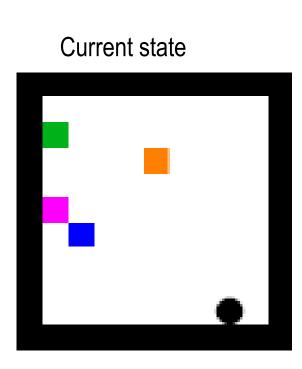


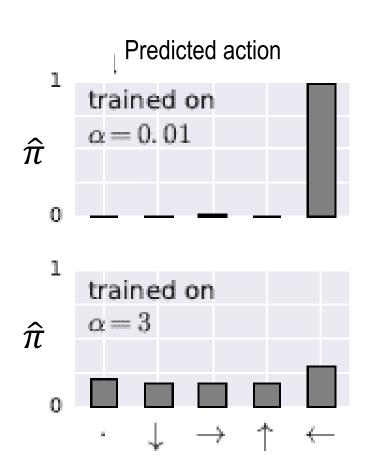
- Mental State: None.
- Prediction Net:

$$au_{ij}$$
,  $e_{char,i} o T o 2$  Layer 32 Convnet  $\xrightarrow{Av.Pooling+Fully\ Connected}$  Logits in  $R^5 \xrightarrow{Softmax} \hat{\pi}$ 

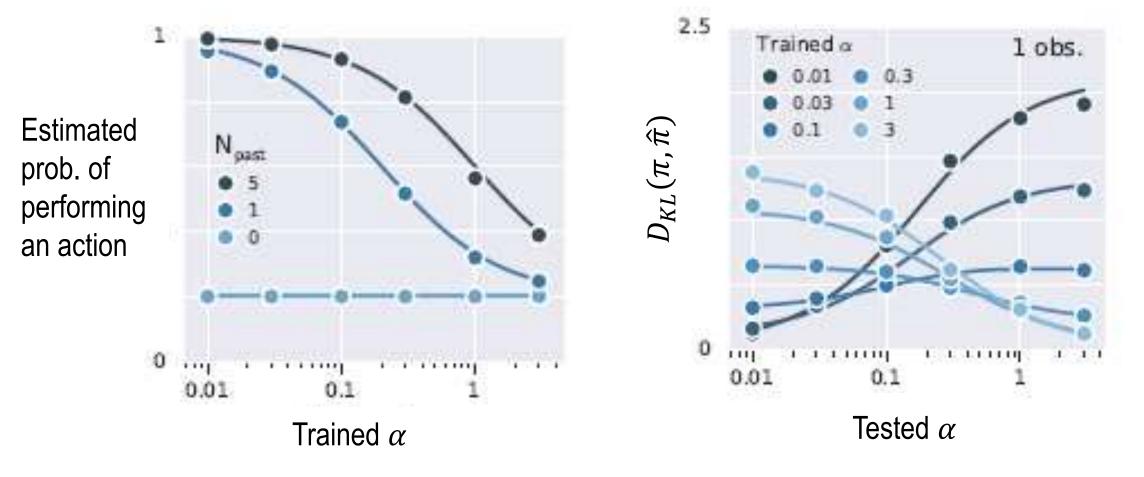
## Random agent Training







## Random agent Training



- -ToMNet estimates increase with the number of past observations of that action!
- $-D_{KL}(\pi, \hat{\pi})$  is the divergence between the true and estimated stochastic policies.

# Inferring goal-directed behaviour

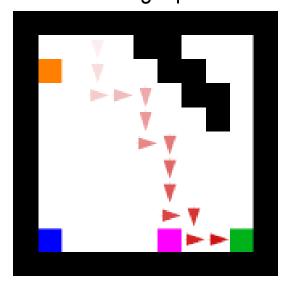
ToMNet learns to infer goals of reward seeking agents.

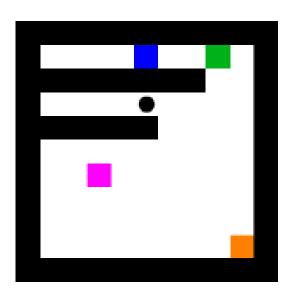
- 4 consumable objects.
- Agent  $A_i$  has a reward function:  $r_{i,a} \in (0,1)$  when consuming an object.
- -0,01 for every move.
- Penalty of 0.05 for walking into walls.
- Agent finds optimal policy  $\pi_i^*$  through Bellman equation.

**Training:** ToMNet observes a single full trajectory of an agent acting on the Grid-World.

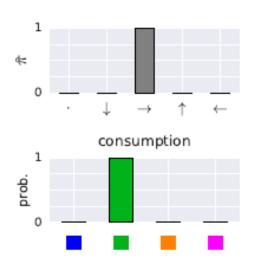
# Inferring goal-directed behaviour

Observe single past MDP



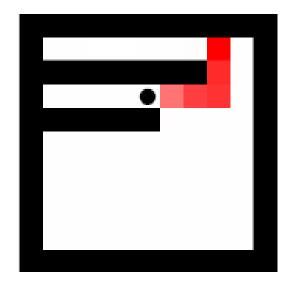


**Current state** 



ToMNet prediction of next action

#### Prediction of successive states



## ToMNet vs Sally-Anne Test

ToMNet must pass the Sally-Anne test!

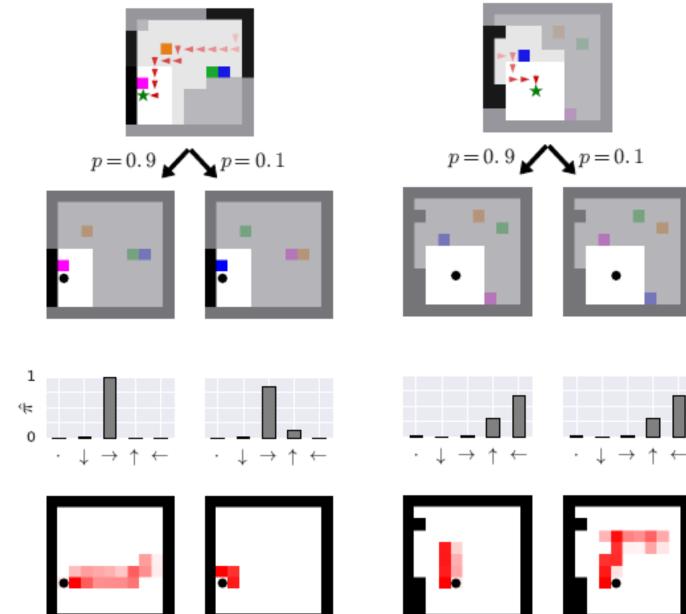
- Create POMDPs, agents 5 x 5 visibility window, where agents have false beliefs.
- We run random changes in the environment that are invisible to the agent.
  - Agent has a goal and a sub-goal.
- When obtaining the sub-goal => swap the remaining objects, with low probability.

## Acting on false beliefs: Preliminaries

- Sub-goal: star. Goal: blue object.
- Dark grey => not observed.
- Light grey => observed before but NOT during goal consumption.
- Consumption => p=0.01 of *swap* event
- Observe Effect of swap in agent's policies and expected future moves.

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- Sub-goal: star. Goal: blue object.
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- Left: Swap event within field of view.
- Right: Swap event outside of field of view.

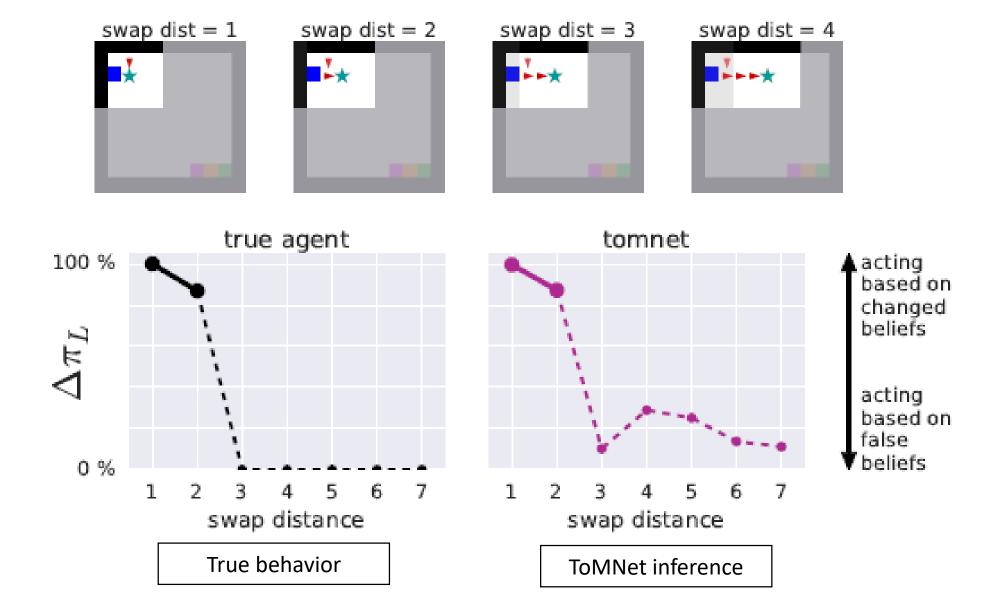


## Running the Sally-Anne Test

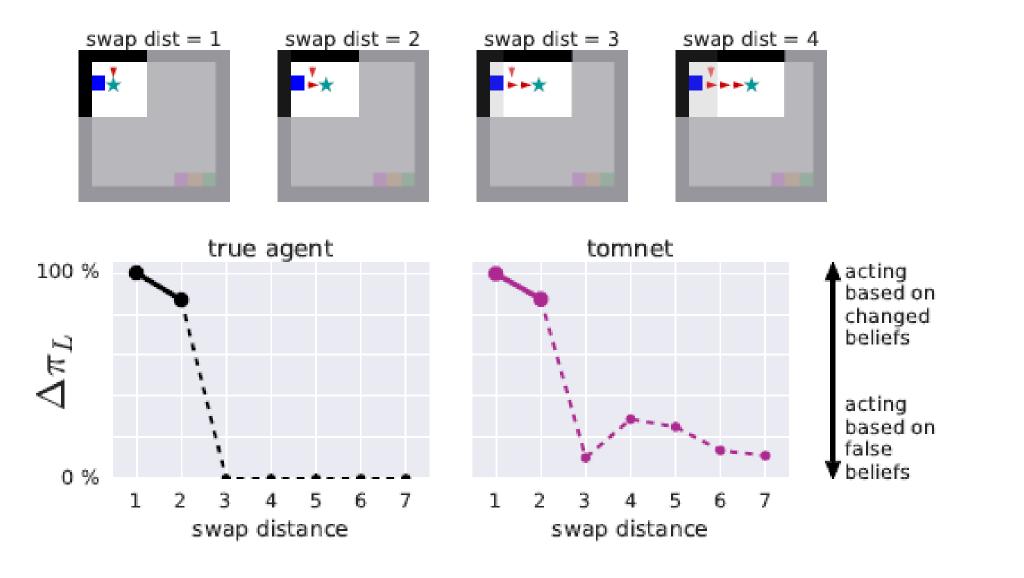
- Agent has 5 x 5 window, consume star (sub-goal), prefers blue object.
- If we increase distance to swap, it may be invisible.
- Agent's policy unchanged for invisible swap.

$$\Delta \pi_L = \frac{\pi(a_L \mid no \ swap) - \pi(a_l \mid swap)}{\pi(a_L \mid no \ swap)} * 100\%$$

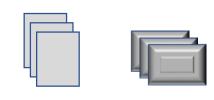
### Running the Sally-Anne Test



## Running the Sally-Anne Test $\Rightarrow$ It passes! ToMNet $\sim$ 4 year old IQ



### **Architecture**



- Character Net: ConvNet + LSTM f
- Mental State: None.
- Prediction Net:
  - Three predictions, with shared Torso:



- Probability Consumption Prediction: ConvNet  $c_{\theta} \Rightarrow \hat{c}$
- Sucessor Representation: ConvNet  $SR_{\theta} \Rightarrow \widehat{SR}$



■ Deep RL Agents: UNREAL architecture, 100M episodes, cluster 16 CPU



- Belief Prediction Head:
  - ConvNet  $\Rightarrow$  11x11x5 Dim Logit predicted belief objects present on map.
  - ConvNet  $\Rightarrow$  11x11x5 Dim Logit predicted belief objects absent from map.

## THANK YOU