

# 1 Rayleigh-Schrödinger Perturbation Theory

The exact Hamiltonian is partitioned into a 0<sup>th</sup> order component (unperturbed system) and a perturbation:

$$\hat{H} = \hat{H}_0 + \lambda \hat{V} \quad (1)$$

where  $\lambda$  is the order parameter. The  $n^{\text{th}}$  exact wavefunction  $\Psi_n$  is an eigenfunction to the Hamiltonian:

$$\hat{H}|\Psi_n\rangle = E_n|\Psi_n\rangle \quad (2)$$

and the unperturbed system is already solved:

$$\hat{H}_0|\Psi_n^{(0)}\rangle = E_n^{(0)}|\Psi_n^{(0)}\rangle \quad (3)$$

$\Psi_n$  and  $E_n$  could be expanded as:

$$|\Psi_n\rangle = |\Psi_n^{(0)}\rangle + \lambda|\Psi_n^{(1)}\rangle + \lambda^2|\Psi_n^{(2)}\rangle + \dots = \sum_{i=0} \lambda^i |\Psi_n^{(i)}\rangle \quad (4)$$

$$E_n = E_n^{(0)} + \lambda E_n^{(1)} + \lambda^2 E_n^{(2)} + \dots = \sum_{i=0} \lambda^i E_n^{(i)} \quad (5)$$

Substitute these expansions into the Schrödinger equation:

$$\begin{aligned} 0 &= (\hat{H} - E_n)|\Psi_n\rangle \\ 0 &= (\hat{H} - \sum_{j=0} \lambda^j E_n^{(j)}) \sum_{i=0} \lambda^i |\Psi_n^{(i)}\rangle \\ 0 &= (\hat{H}_0 - E_n^{(0)} + \lambda \hat{V} - \lambda E_n^{(1)} - \lambda^2 E_n^{(2)} + \dots) (|\Psi_n^{(0)}\rangle + \lambda|\Psi_n^{(1)}\rangle + \lambda^2|\Psi_n^{(2)}\rangle + \dots) \end{aligned} \quad (6)$$

By collecting the terms with matching orders of  $\lambda$ , we can obtain one equation for each order:

$$\lambda^0 : 0 = (\hat{H}_0 - E_n^{(0)})|\Psi_n^{(0)}\rangle \quad (7)$$

$$\lambda^1 : 0 = (\hat{H}_0 - E_n^{(0)})|\Psi_n^{(1)}\rangle + (\hat{V} - E_n^{(1)})|\Psi_n^{(0)}\rangle \quad (8)$$

$$\lambda^2 : 0 = (\hat{H}_0 - E_n^{(0)})|\Psi_n^{(2)}\rangle + (\hat{V} - E_n^{(1)})|\Psi_n^{(1)}\rangle - E_n^{(2)}|\Psi_n^{(0)}\rangle \quad (9)$$

...

$$\lambda^m : 0 = (\hat{H}_0 - E_n^{(0)})|\Psi_n^{(m)}\rangle + (\hat{V} - E_n^{(1)})|\Psi_n^{(m-1)}\rangle - \sum_{l=0}^{m-2} E_n^{(m-l)}|\Psi_n^{(l)}\rangle \quad (10)$$

The general  $m^{\text{th}}$  equation could be re-written as:

$$\hat{H}_0|\Psi_n^{(m)}\rangle + \hat{V}|\Psi_n^{(m-1)}\rangle = \sum_{l=0}^m E_n^{(m-l)}|\Psi_n^{(l)}\rangle \quad (11)$$

## 1.1 Energy Correction

The energy correction of each order could be obtained by projecting  $\langle \Psi_n^{(0)} |$  onto corresponding equation (and denoting  $\Psi_n^{(0)}$  as  $\Phi_n$ ):

$$\langle \Phi_n | \hat{H}_0 | \Psi_n^{(m)} \rangle + \langle \Phi_n | \hat{V} | \Psi_n^{(m-1)} \rangle = \sum_{l=0}^m E_n^{(m-l)} \langle \Phi_n | \Psi_n^{(l)} \rangle \quad (12)$$

$$\langle \hat{H}_0 \Phi_n | \Psi_n^{(m)} \rangle + \langle \Phi_n | \hat{V} | \Psi_n^{(m-1)} \rangle = \sum_{l=0}^m E_n^{(m-l)} \delta_{0l}$$

$$E_n^{(0)}\delta_{0m} + \langle\Phi_n|\hat{V}|\Psi_n^{(m-1)}\rangle = E_n^{(m)}$$

where we employed the intermediate normalisation condition:

$$\langle\Phi_n|\Psi_n^{(m)}\rangle = \delta_{m0} \quad (13)$$

Therefore, we can obtain the  $m^{\text{th}}$  order energy correction ( $m > 0$ ) as:

$$E_n^{(m)} = \langle\Phi_n|\hat{V}|\Psi_n^{(m-1)}\rangle \quad (14)$$

## 1.2 Wavefunction Expansion

The perturbed wavefunctions could be expanded in a set of 0<sup>th</sup> order wavefunction  $\{\Phi_i\}$ :

$$|\Psi_n^{(m)}\rangle = \sum_k a_{kn}^{(m)} |\Phi_k\rangle \quad (15)$$

By inserting the resolution of identity:

$$|\Psi_n^{(m)}\rangle = \sum_k |\Phi_k\rangle \langle\Phi_k|\Psi_n^{(m)}\rangle \quad (16)$$

we find that:

$$a_{kn}^{(m)} = \langle\Phi_k|\Psi_n^{(m)}\rangle \quad (17)$$

By the property of Hermitian operator:

$$a_{kn}^{(0)} = \langle\Phi_k|\Phi_n\rangle = \delta_{kn} \quad (18)$$

Also, by the intermediate normalisation condition we have defined:

$$a_{nn}^{(m)} = \langle\Phi_n|\Psi_n^{(m)}\rangle = \delta_{0m} \quad (19)$$

Since we do not need to expand the 0<sup>th</sup> order wavefunction, we can safely say that  $a_{nn}^{(m)} = 0$ .

## 1.3 Wavefunction and Energy Expression

To obtain the exact form of the coefficients thus the wavefunction, we project  $\langle\Phi_k|$  onto equations of corresponding order of  $\lambda$ . For example, to obtain  $a_{kn}^{(1)}$ , we project onto  $\lambda^1$  equation:

$$\begin{aligned} 0 &= \langle\Phi_k|(\hat{H}_0 - E_n^{(0)})|\Psi_n^{(1)}\rangle + \langle\Phi_k|\hat{V} - E_n^{(1)}|\Phi_n\rangle \\ &= E_k^{(0)}\langle\Phi_k|\Psi_n^{(1)}\rangle - E_n^{(0)}\langle\Phi_k|\Psi_n^{(1)}\rangle + \langle\Phi_k|\hat{V}|\Phi_n\rangle - E_n^{(1)}\langle\Phi_k|\Phi_n\rangle \\ &= E_k^{(0)}a_{kn}^{(1)} - E_n^{(0)}a_{kn}^{(1)} + \langle\Phi_k|\hat{V}|\Phi_n\rangle - E_n^{(1)}\delta_{nk} \end{aligned} \quad (20)$$

For  $k \neq n$ , we have:

$$a_{kn}^{(1)} = \frac{\langle\Phi_k|\hat{V}|\Phi_n\rangle}{E_n^{(0)} - E_k^{(0)}} = \frac{V_{kn}}{E_n^{(0)} - E_k^{(0)}} \quad (21)$$

Since  $a_{nn}^{(1)} = \langle\Phi_n|\Psi_n^{(1)}\rangle = 0$ , it is safe to exclude  $n = k$  term in summations of  $a_{kn}^{(1)}$ . Now we have the expression for 1<sup>st</sup> order wavefunction:

$$|\Psi_n^{(1)}\rangle = \sum_k' a_{kn}^{(1)} |\Phi_k\rangle$$

$$\begin{aligned}
 &= \sum_k' \frac{\langle \Phi_k | \hat{V} | \Phi_n \rangle}{E_n^{(0)} - E_k^{(0)}} |\Phi_k\rangle \\
 &= \sum_k' \frac{V_{kn}}{E_n^{(0)} - E_k^{(0)}} |\Phi_k\rangle
 \end{aligned} \tag{22}$$

where  $\sum_k'$  denotes summation without  $n = k$ . And the 2<sup>nd</sup> order energy correction follows as:

$$\begin{aligned}
 E_n^{(2)} &= \langle \Phi_n | \hat{V} | \Psi_n^{(1)} \rangle \\
 &= \sum_k' \langle \Phi_n | \hat{V} | \Phi_k \rangle \frac{V_{kn}}{E_n^{(0)} - E_k^{(0)}} \\
 &= \sum_k' \frac{V_{nk} V_{kn}}{E_n^{(0)} - E_k^{(0)}} \\
 &= \sum_k' \frac{|V_{nk}|^2}{E_n^{(0)} - E_k^{(0)}}
 \end{aligned} \tag{23}$$