

1 Rayleigh-Schrödinger Perturbation Theory

The exact Hamiltonian is partitioned into a 0th order component (unperturbed system) and a perturbation:

$$\hat{H} = \hat{H}_0 + \lambda \hat{V} \quad (1)$$

where λ is the order parameter. The n^{th} exact wavefunction Ψ_n is an eigenfunction to the Hamiltonian:

$$\hat{H}|\Psi_n\rangle = E_n|\Psi_n\rangle \quad (2)$$

and the unperturbed system is already solved:

$$\hat{H}_0|\Psi_n^{(0)}\rangle = E_n^{(0)}|\Psi_n^{(0)}\rangle \quad (3)$$

Ψ_n and E_n could be expanded as:

$$|\Psi_n\rangle = |\Psi_n^{(0)}\rangle + \lambda|\Psi_n^{(1)}\rangle + \lambda^2|\Psi_n^{(2)}\rangle + \dots = \sum_{i=0} \lambda^i |\Psi_n^{(i)}\rangle \quad (4)$$

$$E_n = E_n^{(0)} + \lambda E_n^{(1)} + \lambda^2 E_n^{(2)} + \dots = \sum_{i=0} \lambda^i E_n^{(i)} \quad (5)$$

Substitute these expansions into the Schrödinger equation:

$$\begin{aligned} 0 &= (\hat{H} - E_n)|\Psi_n\rangle \\ 0 &= (\hat{H} - \sum_{j=0} \lambda^j E_n^{(j)}) \sum_{i=0} \lambda^i |\Psi_n^{(i)}\rangle \\ 0 &= (\hat{H}_0 - E_n^{(0)} + \lambda \hat{V} - \lambda E_n^{(1)} - \lambda^2 E_n^{(2)} + \dots)(|\Psi_n^{(0)}\rangle + \lambda|\Psi_n^{(1)}\rangle + \lambda^2|\Psi_n^{(2)}\rangle + \dots) \end{aligned} \quad (6)$$

By collecting the terms with matching orders of λ , we can obtain one equation for each order:

$$\lambda^0 : 0 = (\hat{H}_0 - E_n^{(0)})|\Psi_n^{(0)}\rangle \quad (7)$$

$$\lambda^1 : 0 = (\hat{H}_0 - E_n^{(0)})|\Psi_n^{(1)}\rangle + (\hat{V} - E_n^{(1)})|\Psi_n^{(0)}\rangle \quad (8)$$

$$\lambda^2 : 0 = (\hat{H}_0 - E_n^{(0)})|\Psi_n^{(2)}\rangle + (\hat{V} - E_n^{(1)})|\Psi_n^{(1)}\rangle - E_n^{(2)}|\Psi_n^{(0)}\rangle \quad (9)$$

...

$$\lambda^m : 0 = (\hat{H}_0 - E_n^{(0)})|\Psi_n^{(m)}\rangle + (\hat{V} - E_n^{(1)})|\Psi_n^{(m-1)}\rangle - \sum_{l=0}^{m-2} E_n^{(m-l)}|\Psi_n^{(l)}\rangle \quad (10)$$

The general m^{th} equation could be re-written as:

$$\hat{H}_0|\Psi_n^{(m)}\rangle + \hat{V}|\Psi_n^{(m-1)}\rangle = \sum_{l=0}^m E_n^{(m-l)}|\Psi_n^{(l)}\rangle \quad (11)$$

1.1 Energy Correction

The energy correction of each order could be obtained by projecting $\langle \Psi_n^{(0)} |$ onto corresponding equation (and denoting $\Psi_n^{(0)}$ as Φ_n):

$$\begin{aligned} \langle \Phi_n | \hat{H}_0 | \Psi_n^{(m)} \rangle + \langle \Phi_n | \hat{V} | \Psi_n^{(m-1)} \rangle &= \sum_{l=0}^m E_n^{(m-l)} \langle \Phi_n | \Psi_n^{(l)} \rangle \\ \langle \hat{H}_0 \Phi_n | \Psi_n^{(m)} \rangle + \langle \Phi_n | \hat{V} | \Psi_n^{(m-1)} \rangle &= \sum_{l=0}^m E_n^{(m-l)} \delta_{0l} \end{aligned} \quad (12)$$

$$E_n^{(0)}\delta_{0m} + \langle \Phi_n | \hat{V} | \Psi_n^{(m-1)} \rangle = E_n^{(m)}$$

where we employed the intermediate normalisation condition:

$$\langle \Phi_n | \Psi_n^{(m)} \rangle = \delta_{m0} \quad (13)$$

Therefore, we can obtain the m^{th} order energy correction ($m > 0$) as:

$$E_n^{(m)} = \langle \Phi_n | \hat{V} | \Psi_n^{(m-1)} \rangle \quad (14)$$

1.2 Wavefunction Expansion

The perturbed wavefunctions could be expanded in a set of 0th order wavefunction $\{\Phi_i\}$:

$$|\Psi_n^{(m)}\rangle = \sum_k a_{kn}^{(m)} |\Phi_k\rangle \quad (15)$$

By inserting the resolution of identity:

$$|\Psi_n^{(m)}\rangle = \sum_k |\Phi_k\rangle \langle \Phi_k | \Psi_n^{(m)} \rangle \quad (16)$$

we find that:

$$a_{kn}^{(m)} = \langle \Phi_k | \Psi_n^{(m)} \rangle \quad (17)$$

By the property of Hermitian operator:

$$a_{kn}^{(0)} = \langle \Phi_k | \Phi_n \rangle = \delta_{kn} \quad (18)$$

Also, by the intermediate normalisation condition we have defined:

$$a_{nn}^{(m)} = \langle \Phi_n | \Psi_n^{(m)} \rangle = \delta_{0m} \quad (19)$$

Since we do not need to expand the 0th order wavefunction, we can safely say that $a_{nn}^{(m)} = 0$.

1.3 Wavefunction and Energy Expression

To obtain the exact form of the coefficients thus the wavefunction, we project $\langle \Phi_k |$ onto equations of corresponding order of λ . For example, to obtain $a_{kn}^{(1)}$, we project onto λ^1 equation:

$$\begin{aligned} 0 &= \langle \Phi_k | (\hat{H}_0 - E_n^{(0)}) | \Psi_n^{(1)} \rangle + \langle \Phi_k | \hat{V} - E_n^{(1)} | \Phi_n \rangle \\ &= E_k^{(0)} \langle \Phi_k | \Psi_n^{(1)} \rangle - E_n^{(0)} \langle \Phi_k | \Psi_n^{(1)} \rangle + \langle \Phi_k | \hat{V} | \Phi_n \rangle - E_n^{(1)} \langle \Phi_k | \Phi_n \rangle \\ &= E_k^{(0)} a_{kn}^{(1)} - E_n^{(0)} a_{kn}^{(1)} + \langle \Phi_k | \hat{V} | \Phi_n \rangle - E_n^{(1)} \delta_{nk} \end{aligned} \quad (20)$$

For $k \neq n$, we have:

$$a_{kn}^{(1)} = \frac{\langle \Phi_k | \hat{V} | \Phi_n \rangle}{E_n^{(0)} - E_k^{(0)}} = \frac{V_{kn}}{E_n^{(0)} - E_k^{(0)}} \quad (21)$$

Since $a_{nn}^{(1)} = \langle \Phi_n | \Psi_n^{(1)} \rangle = 0$, it is safe to exclude $n = k$ term in summations of $a_{kn}^{(1)}$. Now we have the expression for 1st order wavefunction:

$$|\Psi_n^{(1)}\rangle = \sum_k' a_{kn}^{(1)} |\Phi_k\rangle$$

$$\begin{aligned}
 &= \sum_k' \frac{\langle \Phi_k | \hat{V} | \Phi_n \rangle}{E_n^{(0)} - E_k^{(0)}} |\Phi_k\rangle \\
 &= \sum_k' \frac{V_{kn}}{E_n^{(0)} - E_k^{(0)}} |\Phi_k\rangle
 \end{aligned} \tag{22}$$

where \sum_k' denotes summation without $n = k$. And the 2nd order energy correction follows as:

$$\begin{aligned}
 E_n^{(2)} &= \langle \Phi_n | \hat{V} | \Psi_n^{(1)} \rangle \\
 &= \sum_k' \langle \Phi_n | \hat{V} | \Phi_k \rangle \frac{V_{kn}}{E_n^{(0)} - E_k^{(0)}} \\
 &= \sum_k' \frac{V_{nk} V_{kn}}{E_n^{(0)} - E_k^{(0)}} \\
 &= \sum_k' \frac{|V_{nk}|^2}{E_n^{(0)} - E_k^{(0)}}
 \end{aligned} \tag{23}$$