

## WEEK 15 - THE LAST CLASS

Some notes about last lecture:

We saw  $\hat{J}_\pm |kjm\rangle$  produces a vector of length  $\hbar \sqrt{j(j+1) - m(m\pm 1)}$  and  $j$  is an integer or half-integer, and  $m = -j, -j+1, \dots, j-1, j$ .

$$\hat{J}_\pm |kjm\rangle = \hbar \sqrt{j(j+1) - m(m\pm 1)} |kjm\pm 1\rangle e^{i\phi_{kjm}} \quad \text{PICK to be 1.}$$

The "highest" eigenvector is  $|kjj\rangle$ , so  $\hat{J}_+ |kjj\rangle = 0$  and any  $|kjm\rangle = (\hat{J}_-)^{j-m} |kjj\rangle$  (divided by some factor)

$$|kjm\pm 1\rangle = \frac{1}{\hbar \sqrt{j(j+1) - m(m\pm 1)}} \hat{J}_\pm |kjm\rangle$$

### DEGENERACY

Say we have a fixed value for  $j$  and  $m$ . For two states  $|kjm\rangle$  and  $|k'jm\rangle$ ,  $\langle kjm | k'jm \rangle = \delta_{kk'}$

and  $\mathcal{E}(j,m) = \overline{\text{span}} \{ |kjm\rangle, k=1,2,\dots,g(j,m) \}$

$$|kjm\rangle \perp |k'jm\rangle \quad \begin{array}{l} \downarrow \hat{J}_\pm \\ \downarrow \hat{J}_\pm \end{array} \quad \begin{array}{l} j \text{ stays the same,} \\ m \text{ changes.} \end{array}$$

$$\hat{J}_\pm |kjm\rangle \perp \hat{J}_\pm |k'jm\rangle \quad (\text{still orthogonal after applying } \hat{J}_\pm)$$

so the degeneracy for each  $(j,m)$ , where  $m$  varies, stays the same.

$$\langle k'jm | \hat{J}_- \hat{J}_+ | kjm \rangle = \langle k'jm | \hat{J}^2 - \hat{J}_z^2 - \hbar \hat{J}_z | kjm \rangle$$

$$= \hbar^2 (j(j+1) - m(m+1)) \langle k'jm | kjm \rangle = 0$$

(and so follows  $\hat{J}_- |kjm\rangle \perp \hat{J}_- |k'jm\rangle$ )

That means degeneracy depends on  $j$ , not  $m$  at all.

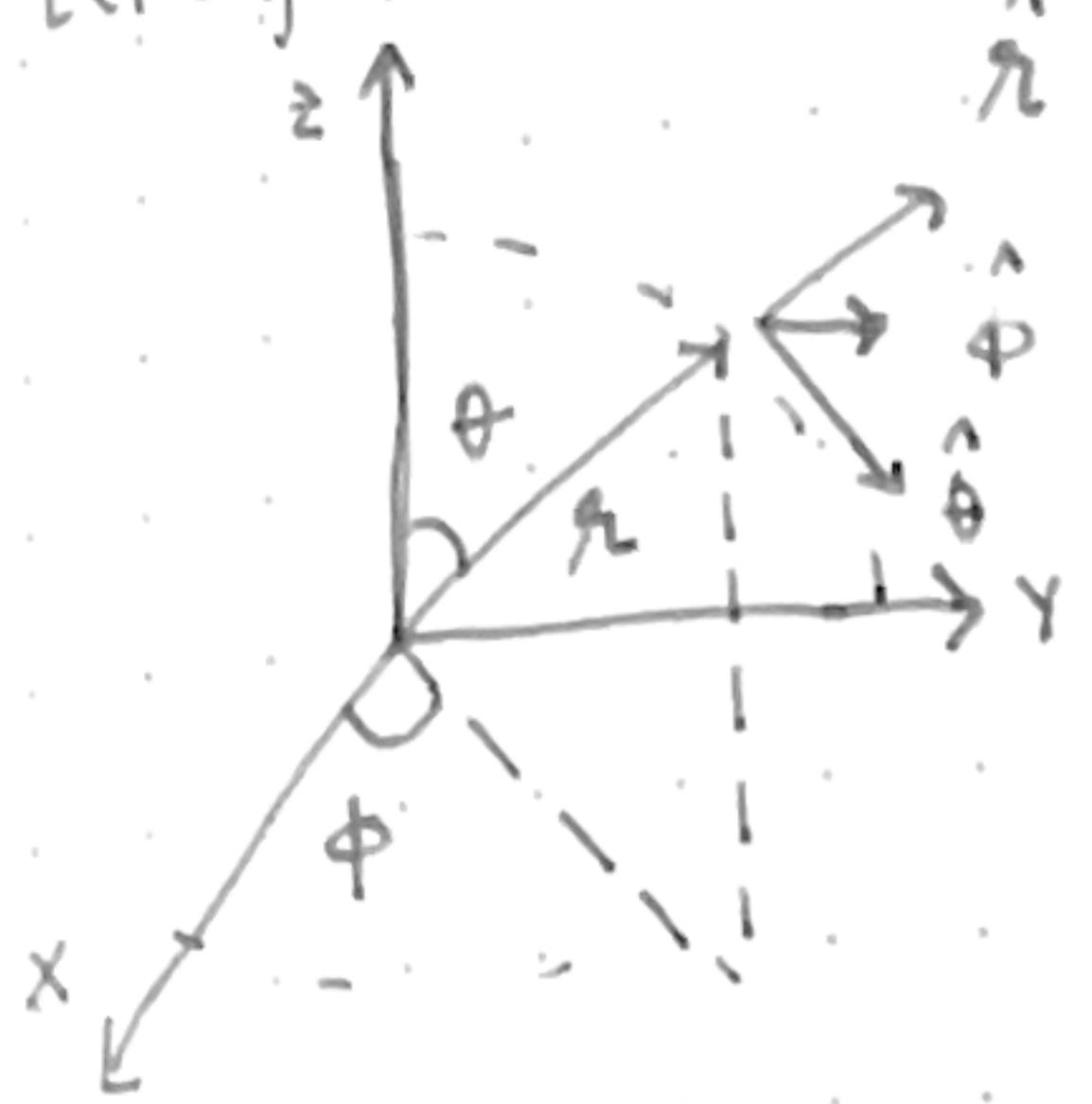
### ORBITAL ANGULAR MOMENTUM $\vec{L}$ 'S EIGENVECTORS

$$\hat{L} = \hat{x} \times \hat{p}, \text{ so: } \hat{L}_i = \epsilon_{ijk} \hat{x}_j \hat{p}_k \quad (\text{and } j \neq k, \text{ else 0...})$$

$$\langle \vec{r} | \hat{L} = -i\hbar \vec{r} \times \vec{\nabla} \langle \vec{r} | \quad (\text{3D: } \langle \vec{r} | \hat{p} = -i\hbar \vec{\nabla} \langle \vec{r} | \text{ not } -i\hbar \frac{d}{dx} \langle x |)$$

Because  $\vec{r}$  scales with the distance from the origin and  $\vec{\nabla} = \left( \frac{\partial}{\partial x}, \frac{\partial}{\partial y}, \frac{\partial}{\partial z} \right)$

so is scaling inversely with length, we expect  $\vec{r} \times \vec{v}$  to be invariant under scaling of  $\vec{r}$ . so, we are motivated to use spherical coordinates. Let's go into depth on spherical coordinates first:



a vector  $\vec{r}$  with length  $r$  can be written as  $\vec{r} = r \cdot \hat{r}$

We can also write  $\vec{r}$  in terms of  $\theta$  and  $\phi$ :

$$\vec{r} = (\hat{x} \cos \theta + \sin \theta (\hat{x} \cos \phi + \hat{y} \sin \phi))$$

Thus all we need to do is find  $\vec{v}$  in spherical coordinates, and we can write  $\langle \vec{r} | \vec{L} \rangle$  in spherical coordinates (and find  $\vec{L}$ 's eigenvectors).

Given function  $f(\vec{r})$ , where  $\vec{r}$  is in terms of  $\hat{r}$ ,  $\theta$ , and  $\phi$  (see above)

any  $\frac{\partial f(\vec{r}(r, \theta, \phi))}{\partial q_i}$  Where  $q$  can be coordinate  $r, \theta$ , or  $\phi$ , is

$$\frac{\partial \vec{r}}{\partial q_i} \cdot \vec{\nabla} f \quad (\text{think } \left( \frac{\partial x}{\partial q}, \frac{\partial y}{\partial q}, \frac{\partial z}{\partial q} \right) \cdot \left( \frac{\partial f}{\partial x}, \frac{\partial f}{\partial y}, \frac{\partial f}{\partial z} \right) \dots)$$

Based on  $\vec{r}$  in spherical coordinates,

$$\frac{\partial \vec{r}}{\partial r} = \hat{r} = \hat{x} \cos \theta + \sin \theta (\hat{x} \cos \phi + \hat{y} \sin \phi)$$

$$\frac{\partial \vec{r}}{\partial \theta} = \hat{\theta} = \underline{r} (-\sin \theta \hat{z} + \cos \theta (\hat{x} \cos \phi + \hat{y} \sin \phi))$$

$$\frac{\partial \vec{r}}{\partial \phi} = \hat{\phi} = \underline{r} \sin \theta \hat{\phi} = \underline{r} (-\sin \phi \hat{x} + \cos \phi \hat{y}) \sin \theta$$

We can use this to find  $\frac{\partial f}{\partial r}$ ,  $\frac{\partial f}{\partial \theta}$ , and  $\frac{\partial f}{\partial \phi}$ , and then  $\vec{\nabla} f$  in turn.

$$\frac{\partial f}{\partial r} = \frac{\partial \vec{r}}{\partial r} \cdot \vec{\nabla} f, \quad \frac{\partial f}{\partial \theta} = \frac{\partial \vec{r}}{\partial \theta} \cdot \vec{\nabla} f, \quad \frac{\partial f}{\partial \phi} = \frac{\partial \vec{r}}{\partial \phi} \cdot \vec{\nabla} f$$

$$= \hat{r} \cdot \vec{\nabla} f \quad = \hat{\theta} \cdot \vec{\nabla} f \quad = \underline{r} \sin \theta \hat{\phi} \cdot \vec{\nabla} f$$

Oh also  $\hat{r} \times \hat{\theta} = \hat{\phi}$ , so  $\hat{r}, \hat{\theta}, \hat{\phi}$  are orthonormal:

$\hat{x}$	$\hat{y}$	$\hat{z}$	$\hat{r}$	$\hat{\theta}$	$\hat{\phi}$
$\sin \theta \cos \phi$	$\sin \theta \sin \phi$	$\cos \theta$	$\hat{x} (-\sin^2 \theta \sin \phi - \cos^2 \theta \sin \phi)$	$= -\hat{y} (-\sin^2 \theta \cos \phi - \cos^2 \theta \cos \phi)$	$+ \hat{z} (0)$
$\cos \theta \cos \phi$	$\cos \theta \sin \phi$	$- \sin \theta$			

Anyway, because  $\hat{r}$ ,  $\hat{\theta}$ , and  $\hat{\phi}$  are orthonormal, we can write

$$\vec{\nabla} f = (\hat{r} \cdot \vec{\nabla} f) \hat{r} + (\hat{\theta} \cdot \vec{\nabla} f) \hat{\theta} + (\hat{\phi} \cdot \vec{\nabla} f) \hat{\phi}$$

$$= \frac{\partial f}{\partial r} \hat{r} + \frac{1}{r} \frac{\partial f}{\partial \theta} \hat{\theta} + \frac{1}{r \sin \theta} \frac{\partial f}{\partial \phi} \hat{\phi}$$

$$\text{so, } \vec{\nabla} f = \hat{r} \frac{\partial r}{\partial r} f + \frac{\hat{\theta}}{r} \frac{\partial \theta}{\partial \theta} f + \frac{\hat{\phi}}{r \sin \theta} \frac{\partial \phi}{\partial \phi} f$$

$$= (\hat{r} \frac{\partial r}{\partial r} + \frac{\hat{\theta}}{r} + \frac{\hat{\phi}}{r \sin \theta}) f$$

which means  $\vec{\nabla}$  in spherical coordinates is  $\hat{r} \frac{\partial r}{\partial r} + \frac{\hat{\theta}}{r} + \frac{\hat{\phi}}{r \sin \theta}$

$$\text{Thus } \langle \hat{r} | \hat{L} \rangle = -i\hbar \hat{r} \times \vec{\nabla} \langle \hat{r} |$$

$$= -i\hbar (\hat{r} \hat{r}) \times (\hat{r} \frac{\partial r}{\partial r} + \frac{\hat{\theta}}{r} + \frac{\hat{\phi}}{r \sin \theta}) \langle \hat{r} |$$

$\hat{r} \times \hat{r} = 0$  and we saw  $\hat{r} \times \hat{\theta} = \hat{\phi}$ , so:

$$\langle \hat{r} | \hat{L} \rangle = -i\hbar \hat{r} \left( \frac{1}{r} \hat{\phi} \frac{\partial r}{\partial \theta} - \frac{1}{r \sin \theta} \hat{\theta} \frac{\partial \phi}{\partial \theta} \right) \langle \hat{r} |$$

$$= -i\hbar (\hat{\phi} \frac{\partial r}{\partial \theta} - \frac{1}{\sin \theta} \hat{\theta} \frac{\partial \phi}{\partial \theta}) \langle \hat{r} |$$

with no dependence on  $\hat{r}$ , as expected.

$$\text{Now... } \hat{L}_z = \hat{L} \cdot \hat{z} = -i\hbar (\hat{z} \cdot \hat{\phi} \frac{\partial r}{\partial \theta} - \frac{1}{\sin \theta} \hat{z} \cdot \hat{\theta} \frac{\partial \phi}{\partial \theta})$$

Remember  $\hat{\theta}$  is in  $\hat{z}$ - $\hat{y}$  and  $\hat{\phi}$  is in  $\hat{x}$ - $\hat{y}$ , so  $\hat{z} \cdot \hat{\phi} = 0$  (they're orthogonal) and  $\hat{\theta}$  is  $-\sin \theta \hat{z} + \cos \theta (\hat{x} \cos \phi + \hat{y} \sin \phi)$

$$\text{Thus } \hat{L}_z = -i\hbar (0 + \frac{\sin \theta}{\sin \theta} \hat{\theta} \frac{\partial \phi}{\partial \theta}) = -i\hbar \hat{\theta} \frac{\partial \phi}{\partial \theta}$$

$$\text{Similarly, } \hat{L}_x = \hat{L} \cdot \hat{x} = -i\hbar (\hat{x} \cdot \hat{\phi} \frac{\partial r}{\partial \theta} - \frac{1}{\sin \theta} \hat{x} \cdot \hat{\theta} \frac{\partial \phi}{\partial \theta})$$

$$= -i\hbar (-\sin \phi \hat{\theta} \frac{\partial \phi}{\partial \theta} - \frac{\cos \theta \cos \phi}{\sin \theta} \hat{\theta} \frac{\partial \phi}{\partial \theta})$$

$$\text{and } \hat{L}_y = \hat{L} \cdot \hat{y} = i\hbar (\sin \phi \hat{\theta} \frac{\partial \phi}{\partial \theta} + \frac{\cos \phi}{\tan \theta} \hat{\theta} \frac{\partial \phi}{\partial \theta})$$

$$= -i\hbar (\cos \phi \hat{\theta} \frac{\partial \phi}{\partial \theta} - \frac{1}{\sin \theta} \cos \theta \sin \phi \hat{\theta} \frac{\partial \phi}{\partial \theta})$$

$$= i\hbar (-\cos \phi \hat{\theta} \frac{\partial \phi}{\partial \theta} + \frac{\sin \phi}{\tan \theta} \hat{\theta} \frac{\partial \phi}{\partial \theta})$$

$$\hat{L}^2 = \hat{L}_x^2 + \hat{L}_y^2 + \hat{L}_z^2 = -\hbar^2 \left( \partial_\theta^2 + \frac{1}{\tan \theta} \partial_\theta + \frac{1}{\sin^2 \theta} \partial_\phi^2 \right)$$

$$\hat{L}_\pm = \hat{L}_x \pm i \hat{L}_y = \hbar e^{\pm i \phi} (\pm \partial_\theta + i \cot \theta \partial_\phi)$$

which also do not depend on  $r$ .  $\hat{L}^2$  and  $\hat{L}_z$  are self-adjoint operators on  $L^2$  space of functions on the unit sphere. Because they do not depend on radial coordinates, we can write their eigenstates in terms of spherical harmonics only:

$f_{kem}(r) = R_{ke}(r) Y_{lm}(\theta, \phi)$  if  $f$  is only parametrically dependent on  $r$ . Then if we call  $f = Y_{lm}(r, \theta, \phi)$  instead:

$$\hat{L}^2 Y_{lm}(r, \theta, \phi) = \hbar^2 l(l+1) Y_{lm}(r, \theta, \phi)$$

$$\hat{L}_z Y_{lm}(r, \theta, \phi) = \hbar m Y_{lm}(r, \theta, \phi)$$

and we can choose  $Y_{lm}(\theta, \phi)$  to be orthonormal on the sphere:

$$\int_0^\pi d\theta \sin \theta \int_0^{2\pi} d\phi Y_{lm}^*(\theta, \phi) Y_{lm}(\theta, \phi) = \delta_{ll} \delta_{mm}$$

hence  $Y_{lm}(\theta, \phi)$  are spherical harmonics.

$$\hat{L}_z Y_{lm} = \hbar m Y_{lm} = \hbar m Y_{lm}(\theta, \phi) R_{ke}(r)$$

$$-i\hbar \partial_\phi R(r) Y_{lm}(\theta, \phi) = \hbar m Y_{lm}(\theta, \phi) R(r)$$

$$-i\hbar \partial_\phi Y_{lm}(\theta, \phi) = \hbar m Y_{lm}(\theta, \phi)$$

$$\partial_\phi Y_{lm}(\theta, \phi) = im Y_{lm}(\theta, \phi)$$

which has solution  $\partial_\phi (\ln(Y_{lm}(\theta, \phi))) = im$

$$Y_{lm}(\theta, \phi) = e^{\phi} e^{im\phi} \quad \ln(Y_{lm}(\theta, \phi)) = im\phi + C(\theta)$$

$$= e^{im\phi} \quad \longrightarrow \quad Y_{lm}(\theta, \phi) = Y_{lm}(\theta, 0) e^{im\phi}$$

because of the  $e^{im\phi}$  term, m must be an integer, which means  $l$  is also an integer. Since we're concerned with  $-l, \dots, l$  always,  $l \in \mathbb{N}_0$ .

(This is because the  $1/2$  integers in  $j$  are introduced by intrinsic spin

If we can find  $Y_{lm}(\theta, 0)$  for one value of  $l, m$  we can get all the others for the same  $l$  but a different  $m$  via ladder operators. We didn't do this in class, though... Basically:

$$L \cdot Y_{ll} = 0 \quad (\text{can't go any higher, } m \leq l)$$

$$(d\theta + i \cot \theta d\phi) Y_{ll}(\theta) e^{il\phi} = 0$$

$\Rightarrow \phi$  is fixed @ 0, so as shorthand, just  $Y_{ll}(\theta)$

$$d_\theta Y_{ll}(\theta) e^{il\phi} = -i \cot Y_{ll}(\theta) i l e^{il\phi}$$

$$Y'_{ll}(\theta) = -i \cot Y_{ll}(\theta) i l = \frac{l}{\tan \theta} Y_{ll}(\theta)$$

$$\text{which eventually yields } Y_{ll} \propto \sin^l \theta, \text{ so } Y_{ll}(\theta, \phi) = [c e \sin^l \theta] e^{il\phi}$$

$$\text{which is then } Y_{ll}(\theta, \phi) = \frac{(-1)^l}{2^l l!} \sqrt{\frac{(2l+1)!}{4\pi}} \sin^l \theta e^{il\phi}$$

after normalization (and sign convention).

Recursively, you can find  $L \pm Y_{lm} = \hbar \sqrt{l(l+1) + m(m \pm 1)} Y_{l,m \pm 1}$  so:

$$Y_{lm}(\theta, \phi) = \sqrt{\frac{(l+m)!}{(2l)! (l-m)!}} \left(\frac{L}{\hbar}\right)^{l-m} Y_{ll}(\theta, \phi)$$

The entire thing is

$$Y_{lm}(\theta, \phi) = (-1)^m \sqrt{\frac{2l+1}{4\pi} \frac{(l-m)!}{(l+m)!}} P_{lm}(\cos \theta) e^{im\phi} \quad m \geq 0$$

$$Y_{l-m} = (-1)^m Y_{lm}^* \quad \hat{\prod} Y_{lm} = (-1)^l Y_{lm}$$

$P_{lm}(u) = \sqrt{(1-u^2)^m} \frac{d^m P_l(u)}{du^m} \quad m \geq 0$  are Associated Legendre Polynomials

$$P_{l-m}(u) = (-1)^m \frac{(l-m)!}{(l+m)!} P_{lm}(u)$$

and

$$P_l(u) = \frac{(-1)^l}{2^l l!} \frac{d^l}{du^l} (1-u^2)^l \quad \text{are } \underline{\text{Legendre Polynomials}}$$

normalized so that  $P_l(1) = 1$

$$Y_{l0}(\theta, \phi) = \sqrt{\frac{2l+1}{4\pi}} P_l(\cos \theta), \quad Y_{00} = \sqrt{\frac{1}{4\pi}}$$

$$Y_{1m}(\vec{r}) \text{ has } Y_{11} \propto \frac{x+iy}{\vec{r}}, \quad Y_{10} \propto \frac{z}{\vec{r}}, \quad Y_{1-1} \propto \frac{x-iy}{\vec{r}}, \text{ generally:}$$

$$Y_{lm} \propto \sum_{ijk} \frac{x^i y^j z^k}{\vec{r}^l} c_{ijk}$$

### APPLICATION OF SPHERICAL HARMONICS: CENTRAL POTENTIALS

e.g. Particle in spherical box / confined to spherical shell,  
Quantum dots, Hydrogenic atoms ( $H, He^+, Li^{2+}$ ),  
Isotropic harmonic oscillator.

One particle in 3D:

$$\hat{H} = \frac{\hat{p}^2}{2\mu} + \hat{V}(\vec{r}), \text{ note } \vec{r} = \text{dist from origin, invariant under rotation (scalar)}$$

reduced mass of particle

$\hat{H}$  is a scalar! So  $[\hat{H}, \hat{L}_i] = 0$  and  $[\hat{H}, \hat{L}^2] = 0$ . We can separate angular & radial contributions again, for KE, and we want to find:

$$\begin{aligned} \hat{H} |\Psi_{nem}\rangle &= E_{nem} |\Psi_{nem}\rangle & \text{where } \Psi_{nem}(\vec{r}) = \langle \vec{r} | \Psi_{nem} \rangle \\ \hat{L}^2 |\Psi_{nem}\rangle &= \hbar l(l+1) |\Psi_{nem}\rangle & = R_{nl}(\vec{r}) Y_{lm}(\theta, \phi) \\ \hat{L}_z |\Psi_{nem}\rangle &= \hbar m |\Psi_{nem}\rangle & \text{need to find} \quad \text{we know the sph. harmonics already} \end{aligned}$$

To find  $R_{nl}(\vec{r})$ : rewrite  $\hat{p}$  (and KE).

$$\hat{L}^2 = \sum_i \hat{L}_i \hat{L}_i = (\epsilon_{ijk} \hat{x}_j \hat{p}_k) (\epsilon_{ilm} \hat{x}_l \hat{p}_m) \underbrace{\text{summation implied...}}_{\text{because } \hat{L}_i \hat{L}_i}$$

$$(\text{collapse } \epsilon \text{ is}) = (\delta_{jl} \delta_{km} - \delta_{jm} \delta_{kl}) \hat{x}_j \hat{p}_k \hat{x}_l \hat{p}_m$$

$$(\text{only non-zero if indices match}) = \hat{x}_j \hat{p}_k \hat{x}_l \hat{p}_m - \hat{x}_j \hat{p}_k \hat{x}_k \hat{p}_l$$

$$[\hat{x}_i, \hat{p}_j] = i\hbar \delta_{ij} = \hat{x}_j \hat{x}_i \hat{p}_k \hat{p}_k - \underline{i\hbar \hat{x}_j \hat{p}_j} - \hat{x}_j \hat{x}_k \hat{p}_k \hat{p}_j + \underline{3i\hbar \hat{x}_j \hat{p}_j}$$

$$\text{so } \hat{p}_k \hat{x}_j = \hat{x}_j \hat{p}_k - i\hbar \delta_{jk}$$

$\overbrace{\hat{r}^2}^{\text{and}}$      $\overbrace{\hat{p}^2}$

$$\sum_k \hat{p}_k \hat{p}_k = \sum_k \hat{x}_k \hat{p}_k - i\hbar \delta_{kk}$$

$\overbrace{= 1}^{\text{by poss.}}$

$$- \hat{x}_k \hat{p}_k \hat{x}_i \hat{p}_j - i\hbar \hat{x}_k \hat{p}_k$$

$$\begin{aligned} \text{So... } \hat{L}^2 &= \hat{r}^2 \hat{p}^2 + 2i\hbar \hat{x}_j \hat{p}_j - \hat{x}_k \hat{x}_j \hat{p}_k \hat{p}_j \\ &= \hat{r}^2 \hat{p}^2 + i\hbar \hat{r} \cdot \hat{p} - (\hat{r} \cdot \hat{p})^2 \end{aligned}$$

$$\text{and } \hat{p}^2 = \frac{1}{\hbar^2} \left( (\vec{r} \cdot \vec{p})^2 - i\hbar \vec{r} \cdot \vec{p} + \hat{L}^2 \right)$$

$$\begin{aligned}
 &= \frac{1}{\hbar^2} \left( \hat{L}^2 - \hbar^2 r \partial_r r \partial_r - \hbar^2 r \partial_r \right) \quad \text{using } \vec{r} \cdot \vec{p} = i\hbar r \partial_r \\
 &= \frac{1}{\hbar^2} \left( \hat{L}^2 - \hbar^2 r \partial_r (r \partial_r + 1) \right) \quad \left. \begin{aligned} (r \partial_r + 1)f &= r \partial_r f + f \\ &= \partial_r (rf) \end{aligned} \right\} \\
 &= \frac{1}{\hbar^2} \left( \hat{L}^2 - \hbar^2 r \partial_r (2r \partial_r) \right) \\
 &= \frac{1}{\hbar^2} \left( \hat{L}^2 - \hbar^2 r \partial_r^2 r \right)
 \end{aligned}$$

Thus the entire Hamiltonian is  $\hat{H} = \frac{1}{2\mu r^2} \left( \hat{L}^2 - \hbar^2 r \partial_r^2 r \right) + V(r)$

$$\hat{H}(R_{ne}(r) Y_{lm}(\theta, \phi)) = E_{ne} R_{ne}(r) Y_{lm}(\theta, \phi)$$

$$\left( \frac{1}{2\mu r^2} \left( \hat{L}^2 - \hbar^2 r \partial_r^2 r \right) + V(r) \right) R_{ne}(r) = E_{ne} R_{ne}$$

$$\left( \frac{1}{2\mu r^2} \left( \hbar^2 l(l+1) - \hbar^2 r \partial_r^2 r \right) + V(r) \right) R_{ne} = E_{ne} R_{ne}$$

$$\left( \frac{\hbar^2}{2\mu r^2} \left( \frac{l(l+1)}{\text{angular KE}} - \frac{r \partial_r^2 r}{\text{radial KE}} \right) + V(r) \right) R_{ne} = E_{ne} R_{ne} \quad (\text{The Radial Equation})$$

which has non-constant coefficients. Using  $u_l(r) = r R_{ne}(r)$

$$\left( -\frac{\hbar^2}{2\mu} \partial_r^2 + V(r) + \frac{\hbar^2}{2\mu} \frac{l(l+1)}{r^2} \right) r R_{ne} = E r R_{ne}$$

$$-\frac{\hbar^2}{2\mu} u_l''(r) + \frac{\hbar^2}{2\mu} \frac{l(l+1)}{r^2} u_l(r) + V(r) u_l(r) = E u_l(r)$$

Which is the reduced radial equation.  $V_{eff}(r) = V(r) + \frac{\hbar^2 l(l+1)}{2\mu r^2}$   
or the effective radial potential, makes:

$$-\frac{\hbar^2}{2\mu} u_l''(r) + V_{eff} u_l(r) = E u_l(r)$$