Taming Unsafe Rust with Safety Tags

A New Paradigm for Understanding Unsafe Code

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Background: Limitation of Rust and Current Efforts

- □Rust compiler cannot provide safety guarantee for unsafe code.
- □Current practice: Safety comments (informal).
- □Current effort: Program verification based on contract.

```
/// safety comments
unsafe fn foo() { ... }
fn bar() {
   // safety comments
   unsafe { foo() }
                           Prove the correctness
#[contract::postconditions] 		—— Specify the contract
```



Our research vision for unsafe code handling:

- a lightweight yet formal approach.



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Outline

- I. Safety Tags
- **II.** A New Theory for Verification
- **III.** Verification Practice with RAPx



Comment-based Approach for Unsafe Code Handling

□At unsafe function declarations, specify the requirements for safe use.

▶In natural language as comments or Rustdoc.

```
/// Safety Requirements: pointer p must be aligned for type T.
pub unsafe fn foo<T> (p: *const T) {
    ...
}
```

□At unsafe call sites, justify why the use of unsafe code is safe.

```
unsafe {
    // Justification: p is aligned.
    foo(p);
}
```

Issues of Comment-based Approach

□Consistency:

- > Missing safety requirements.
- >Inconsistent or incorrect requirements.

□Ergonomics:

> Extensive and repetitive textual descriptions across functions.

□Precision:

> Safety comments lack the precision of formally specified contracts.



Our Proposal: Safety Tags

- **□**Basic version (RFC 3842, under review):
 - >A safety tag is an abbreviation of a piece of safety comment.
 - >Safety tags are implemented as Rust attributes, allowing them to be analyzed.
 - > Safety tags can be compiled to docs.
- **□**Advanced version (more precise):
 - **➤** Safet tags can have parameters.
 - >A safety tag becomes a safety constraint in a domain-specific language.
 - >Safety tags can be used as or translated to contracts.



RFC 3842

zjp-CN commented on Jul 31 • edited ▼ ···

Summary

This RFC introduces a concise safety-comment convention for unsafe code in standard libraries: tag every public unsafe function with #[safety::requires] and call with #[safety::checked].

Safety tags refine today's safety-comment habits: a featherweight syntax that condenses every requirement into a single, check-off reminder.

The following snippet <u>compiles</u> today if we enable enough nightly features, but we expect Clippy and Rust-Analyzer to enforce tag checks and provide first-class IDE support.

```
#[safety::requires( // ② define safety tags on an unsafe function
    valid_ptr = "src must be [valid](https://doc.rust-lang.org/std/ptr/index.html#safety) for reads",
    aligned = "src must be properly aligned, even if T has size 0",
    initialized = "src must point to a properly initialized value of type T"
)]
pub unsafe fn read<T>(ptr: *const T) { }

fn main() {
    #[safety::checked( // ② discharge safety tags on an unsafe call
        valid_ptr, aligned, initialized = "optional reason"
    )]
    unsafe { read(&()) };
}
```

Rendered













Use Case of Safety Tags

□At unsafe function declarations, specify safety tags.

```
#[safety::requires(Align)]
pub unsafe fn foo<T> (p: *const T) {
    ...
}
```

□At unsafe call sites, discharge all tags with reasons.

>Three ways: checked, delegated, transformed.

```
unsafe {
    #[safety::checked(Align, "reason")]
    foo(p);
}
```



Safety Tag Delegation and Transformation

□Delegation: The unsafe caller directly inherit the safety tags of the callee.

```
#[safety::requires(Align)]
unsafe fn bar<T>(p: *mut T) {
    #[safety::delegated(Align)]
    unsafe { foo(p) }
}
```

□Transformation: The safety tags are transformed to other forms.

```
#[safety::requires(Align||ValidNum)]
unsafe fn bar<T>(p: *mut T, x: i32) {
   if x > 0 {
       #[safety::transformed(Align||ValidNum)]
       unsafe { foo(p); }
   }
}
```



Define Once, Reuse Multiple Times

- □Define safety tags in a 'toml' file within as assets.
- □The definition is automatically referenced and resolved.

```
package.name = "core"
[tag.Align]
args = [ "p", "T" ]
desc = "pointer `{p}` must be aligned for type `{T}`"
expr = "p % alignment(T) = 0"
url = "https://doc.rust-lang.org/nightly/std/ptr/index.html#alignment"
[tag.alias]
```



Demo: Enforce Safety Check as Linter

aisr@aisr:~/demo/foo\$

Issues of Comment-based Methods

- **□**Consistency:
 - > Missing safety requirements.
 - >Inconsistent or incorrect requirements.
- □ Ergonomics:
 - >Extensive and repetitive textual descriptions across functions.
- **□**Precision:
 - >Safety comments lack the precision of formally specified contracts.



Safety Tags Can be Translated to Docs and Contracts

```
#[safety::requires(Align(p, T))]
        doc
/// pointer p must be aligned for type T.
                   contract
        #[rapx::requires(Align(p, T)]
```





Two Main Types of Safety Tags

- □Precondition: Constraint to be satisfied when calling the unsafe function.
 - > Most of such safety tags are sufficient and necessary condition;
 - >Only a few of them are sufficient but unnecessary.
- □ Hazard: Constraint satisfaction cannot be examined at the program point.
 - >Temporarily leave the program in a vulnerable state.



Example

```
pub const unsafe fn read<T>(src: *const T) -> T
```

§ Safety

Behavior is undefined if any of the following conditions are violated:

• src must be valid for reads.

- Precondition: ValidPtr(src, T, 1)
- src must be properly aligned. Use read_unaligned if this is not the case.
- src must point to a properly initialized value of type T.

```
Precondition: Align(src, T)
```

Precondition: Init(src, T, 1)

Ownership of the Returned Value

read creates a bitwise copy of T, regardless of whether T is Copy. If T is not Copy, using both the returned value and the value at *src can violate memory safety. Note that assigning to *src counts as a use because it will attempt to drop the value at *src.

```
Hazard: Alias(src, ret) <= Trait(T, Copy)</pre>
```

Comparison of Safety Tags vs Contracts

- □Contracts have postconditions, while safety tags do not.
 - > Postconditions are often used by the function with interior unsafe code.
- **□**Safety tags use hazard instead.
 - > Automatic hazard elimination analysis.

Issues of Comment-based Methods

- **□**Consistency:
 - > Missing safety requirements.
 - >Inconsistent or incorrect requirements.



- □Ergonomics:
 - Extensive and repetitive textual descriptions across functions.
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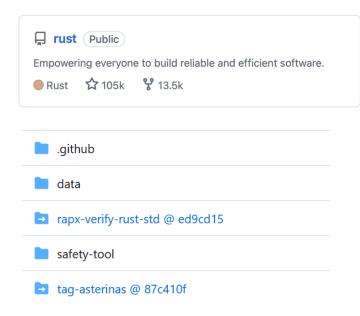


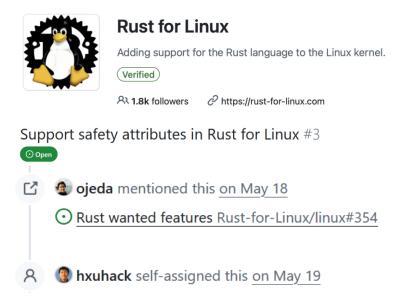
Is It Possible to Represent All Safety Constraints as Tags?

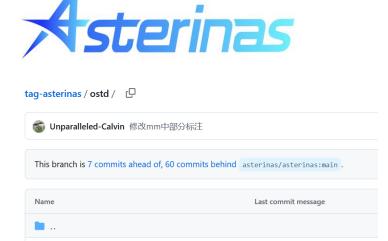
- □Theoretically, safety constraints are Turing-complete.
- **□**We are optimistic because:
 - >There are numerous safety tags, as they can be defined by each crate.
 - > Safety tags are abbreviations of safety comments.
 - If it is possible with safety comments, then it is also possible with tags.
 - Programs are not random or meaningless abstractions.
 - The challenge lies in the self-containment and precision when converting to contracts.



Experiments







Rust Standard Library Rust for Linux

Almost complete

Coverage > 90%

Ongoing

Asterinas

libs

src

Halfway done

Coverage ≈ 60%



Bump the project version

修改mm中部分标注

Safety Tags for the Standard Library

Category	Safety Property	Meaning	Usage
Layout	Align(p, T)	<pre>p % alignment(T) = 0 && sizeof(T) % alignment(T) = 0</pre>	precondition
	Sized(T)	$sizeof(T) = const, const \ge 0$	option
	ZST(T)	sizeof(T) = 0	precondition
	!Padding(T)	Padding(T) = 0	precondition
Pointer	!Null(p)	p != 0	precondition
	!Dangling(p)	allocator(p) != none	precond, hazard
	Allocated(p, T, len, A)	<pre>∀ i ∈ 0sizeof(T) * len, allocator(p+i) = A</pre>	precondition
	<pre>InBound(p, T, len, arrage)</pre>	[p, p+(len+1)*sizeof(T)) ∈ arrage	precondition
	!Overlap(dst, src, len, T)	dst-src > sizeof(T) * len	precondition
Content	ValidInt(exp, vrange)	exp ∈ vrange	precondition
	ValidString(arange)	mem(arange) ∈ UTF-8	precond, hazard
	ValidCStr(p, len)	mem(p+len, p+len+1) = null	precondition
	Init(p, T, len)	$\forall i \in \emptyset \text{len, mem(p+i}*sizeof(T), p+(i+1)*sizeof(T)) = validobj(T)$	precond, hazard
	Unwrap(x, T, target)	unwrap(x) = target, target ∈ {Ok(T), Err, Some(T), None}	precondition
Aliasing	Owning(p)	ownership(*p) = none	precondition
	Alias(p1, p2)	p1 = p2	hazard
	Alive(p, l)	$ $ lifetime(*p) ≥ 1	precondition
Misc	Pinned(p)	p = &*p	hazard
	!Volatile(p)	volatile(*p) = t, t ∈ {true, false}	precondition
	Opened(fd)	opened(fd) = true	precondition
	Trait(T, trait)	trait ∈ Trait(T), trait ∈ {Copy, Unpin,}	Option

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The Theorem to Establish: Origin of Undefined Behavior (UB)

□UB originates exclusively from unsafe code.

>Why?

□UB is solely determined by the safety constraints of that unsafe code.

≻What?

>Why?



Safety Promise of Rust

"For Rust, this (soundness) means well-typed programs cannot cause Undefined Behavior. This promise only extends to safe code however; for unsafe code, it is up to the programmer to uphold this contract."

- Safe code cannot cause undefined behavior.
- Only unsafe code may exhibit undefined behavior.



Soundness Criterion of Safe Rust

 \square A safe function f_s is sound iff

$$\forall P_{f_s}, P_{f_s} \nrightarrow UB$$

- \succ where P_{f_S} denotes any program that uses f_S and contains no unsafe code.
- ▶ Proof: Assuming $\exists P_{f_s}, P_{f_s} \rightarrow UB$, this contradicts the safety promise of Rust.

"We say that a library (or an individual function) is sound if it is impossible for safe code to cause Undefined Behavior using its public API."



How to Define the Soundness Criterion of Unsafe Functions?

- □The safe function should prevent all UB of the interior unsafe code.
 - > Requirement: What are the sufficient conditions?
 - >Although Rust compiler is unable to verify; this can be manually checked.

```
/// Safety Requirements (sufficient condition)
pub unsafe fn foo<T> (p: *const T) {...}

fn bar() {
    // Manually Check
    unsafe { foo(p) }
}
```



Observations from Existing Safety Comments

□Pervasiveness:

- > Each unsafe function has a set of safety constraints to avoid undefined behavior.
- >These constraints are sufficient conditions.

□Uniformity:

>The safety constraints of each API are uniform across all call sites.

$$\forall f_u, \exists SC_{f_u} \text{ s. t. } \forall P_{f_u}, P_{f_u} \models SC_{f_u} \Rightarrow P_{f_u} \nrightarrow UB$$

- f_u is an unsafe function
- SC_{f_u} is the safety constraint of f_u
- P_{f_u} is a program that uses f_u and contains no other unsafe code



Soundness Criterion of Unsafe Functions

 \square An unsafe function f_u with safety constraint SC_{f_u} is sound iff

$$\forall P_{f_u}, P_{f_u} \models SC_{f_u} \Rightarrow P_{f_u} \nrightarrow UB$$

 \succ where P_{f_u} denotes any program that uses f_u and contains no other unsafe code.



Theorem Proved: Origin of Undefined Behavior (UB)

- **□**UB originates exclusively from unsafe code.
 - >True: Otherwise, it contradicts the safety promise.
- **□**UB is solely determined by the safety constraints of that unsafe code.
 - \succ Assume an unsafe function f_u with safety constraint SC_{f_u} is sound.
 - $\forall P_{f_u}, P_{f_u} \models SC_{f_u} \Rightarrow P_{f_u} \nrightarrow UB$
 - \succ Assume a program uses f_u and satisfies SC_{f_u} ; but the program leads to UB.
 - $\exists P_{f_u}$, s. t. $P_{f_u} \vDash SC_{f_u} \land P_{f_u} \rightarrow UB$
 - >This leads to a contradiction.



Sound Function Encapsulation

- \square A safe function f_s is sound iff
 - >It contains no unsafe code, or
 - $\gt \forall f_u \in \text{UnsafeCallee}(f_s), \ f_s \vDash SC_{f_u}$
- \Box An unsafe function f_u is sound iff
 - $\gt \forall f_u' \in \mathsf{UnsafeCallee}(f_u), \mathit{SC}_{f_u} \land f_u \vDash \mathit{SC}_{f_u'}$
- □We can unify them by treating a safe function as with empty constraint.

$$> SC_{f_s} = \emptyset$$

 \Box A function f is sound iff

$$ightharpoonup \forall f_u \in \text{UnsafeCallee}(f), SC_f \land f \models SC_{f_u}$$



Can we extend the result to structs?



Structs are More Complicated

- □Static methods (without &self parameter) are the same as functions.
 - >They can be called directly.
- □Dynamic methods (with self/&self parameter) can only be executed after a constructor.
 - >The constructor may help the method to satisfy some safety constraint.
- **■Method safety declarations are more flexible.**
- □Methods with a mutable self parameter could affect other methods.
 - ➤ When evaluating the soundness of a method, we should consider all possible method invocations before invoking the method.
 - >Such vulnerable fields can be marked as unsafe now.



Example Struct

```
struct Foo<'a> {
    ptr: *mut u8,
    len: usize
impl Foo {
    pub fn from(p: *mut u8, 1: usize) -> Foo { ← Safe Constructor
        Foo { ptr: p, len: 1 }
    pub fn unsafe get(&self) -> &[u8] {
                                                       Unsafe Method
        slice::from_raw_parts(self.ptr, self.len)
    pub unsafe fn set_len(&mut self, 1: usize) {── Unsafe Method without
                                                        interior unsafe code
        self.len = 1;
```

Alternative Way of Defining the Struct

```
struct Foo {
    ptr: *mut u8,
    len: usize
                                                   Unsafe Constructor
impl Foo {
    pub fn unsafe from(p: *mut u8, 1: usize) -> Foo {
        Foo { ptr: p, len: 1 }
                                      Safe Method
    pub fn get(&self) -> &[u8] {
        unsafe { slice::from_raw_parts(self.ptr, self.len) }
    pub unsafe fn set_len(&mut self, l: usize) {
        self.len = 1;
```

Soundness Criteria of a Struct

 $\square A$ struct $S = \{C, F, M, d\}$ is sound only iff

$$\gt \forall f \in \{C, F\}, \forall P_f, P_f \vDash SC_f \Rightarrow P_f \nrightarrow UB$$

$$\Rightarrow \forall c \in C, m \in \{M, d\}, \forall P_{c,m}, P_{c,m} \models SC_c \land SC_m \Rightarrow P_{c,m} \nrightarrow UB$$

- ullet is the set of constructors of the struct (including the literal constructor)
- F is the set of static methods of the struct
- *M* is the set of dynamic methods of the struct (including literal field assignments)
- **d** is the destructor of the struct
- P_f is a program that uses f and contains no other unsafe code
- $P_{c,m}$ is a program that uses c and m, and contains no other unsafe code
- SC_f is the safety constraint of f; If f is safe, $SC_f = \emptyset$



Sound Struct Encapsulation

- $\Box A$ struct $S = \{C, F, M, d\}$ is sound iff:
 - >All static method encapsulations are sound:

$$\forall f \in \{C, F\}, f_u \in \text{UnsafeCallee}(f), SC_f \land f \models SC_{f_u}$$

> All dynamic methods encapsulations are sound:

$$\forall m \in \{M, d\}, f_u \in UnsafeCallee(m), I \land BI \land SC_m \land m \models SC_{f_u}$$



Invariants of Struct

□The properties that all constructors can ensure.

$$\gt \forall c \in C, c \land SC_c \vDash I$$

■Minimal invariants for a struct instance in Rust:

- $>I \supset \{Allocated, Align, Init\}$
- >Otherwise, a well-typed Rust program with the objects may cause UB.
- >Not include the objects pointed by raw pointers.



Broken Invariant

- □A struct may contain some methods that break the safety invariants.
 - >Typically, via the method parameter &mut self or mut self.
 - \succ The broken invariants are denoted as BI.
- □Each struct may have one or several such disruptive methods.

$$> BI = BI_{m_1} \cup \cdots \cup BI_{m_n}$$



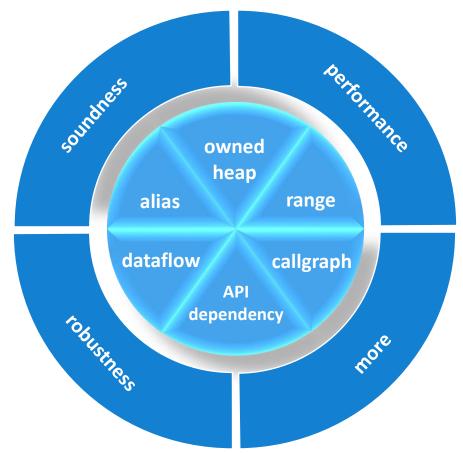
Outline

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RAPx: A static analysis platform for Rust

- □Separate fundamental analysis tasks from upper-level applications.
- □Our verification is based on these core analysis modules.





Verification with RAPx

Step 1: Annotate Unsafe Functions with Safety Tags

Already presented

Step 2: Extract Audit Units from the Target Crate

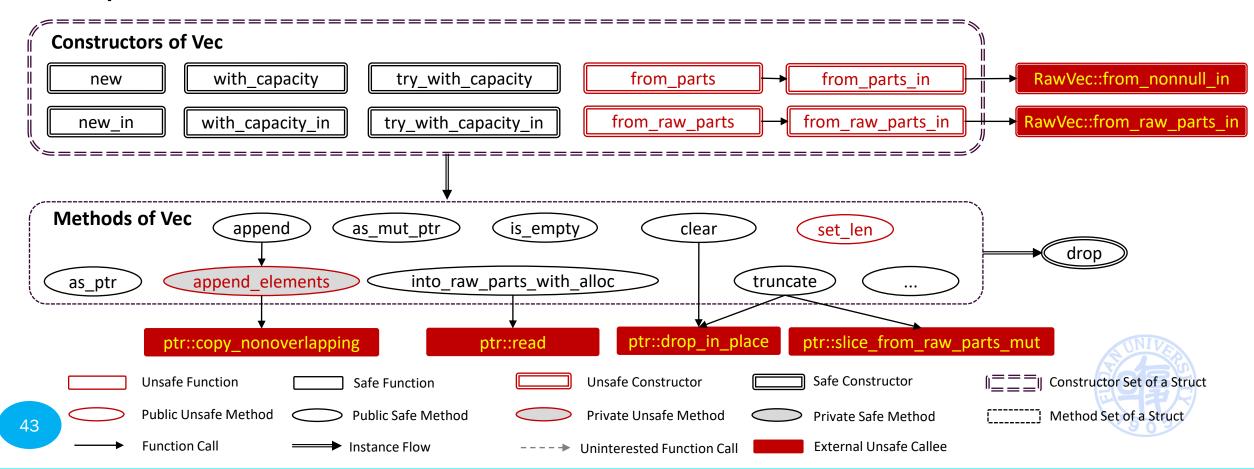
Step 3: Verify the Soundness of Each Audit Unit

Each audit unit has a set of soundness requirements to be verified. If all these requirements are satisfied, the soundness of the crate is verified.

Model Unsafety Propagations with Graph (UPG)

- **UPG** considers both function calls and instance flows.
- **□UPG** does not consider function calls with a safe callee.

Example: UPG of Vec



Extract Audit Units: Case 1

- \square All (external) dependent unsafe functions or methods f_u .
 - >They should be annotated with safety constraints.
 - ► Least requirement: $SC_{f_u} \neq \emptyset$
 - \succ We should assume the safety constraints are sufficient, *i.e.*, f_u is sound.

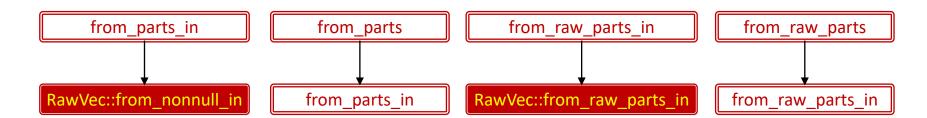
ptr::read ptr::copy_nonoverlapping RawVec::from_raw_parts_in

ptr::drop_in_place ptr::slice_from_raw_parts_mut RawVec::from_nonnull_in



Extract Audit Units: Case 2

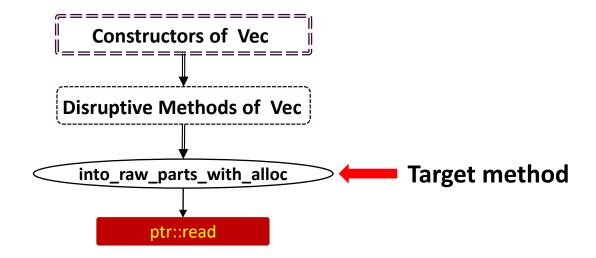
- \Box The caller f is a function, and contains unsafe callees.
 - **➤** Soundness Requirement: Function encapsulation.
 - $\gt \forall f_u \in \mathsf{UnsafeCallee}(f), SC_f \land f \models SC_{f_u}$
 - **▶**It can be used for both verification and safety tag consistency check.





Extract Audit Units: Case 3

- \Box A method m with unsafe callees.
 - > Soundness Requirement: Method encapsulation.
 - $\gt \forall c \in C, f_u \in UnsafeCallee(m), I \land BI \land SC_m \land m \models SC_{f_u}$

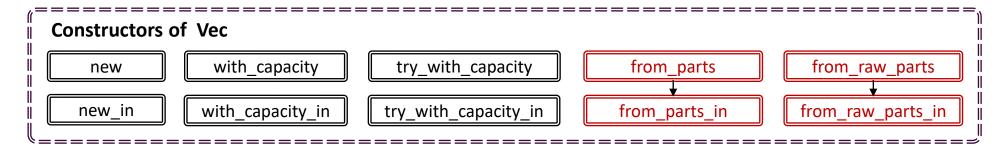




Extract Audit Units: Case 3.1

- □If the struct has extra invariant specified by developers.
 - > Soundness Requirement: Constructor encapsulation.

```
\gt \forall c \in C, c \land SC_c \vDash I
```



```
#[rapx::invariant(len <= buf.cap, Init(buf, T, len))]
pub struct Vec<T, A: Allocator = Global> {
    buf: RawVec<T, A>,
    len: usize,
}
```

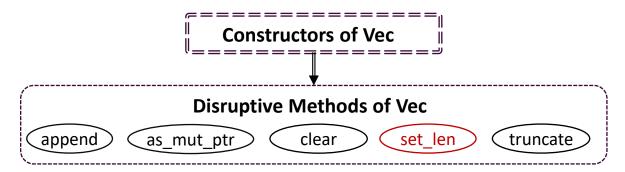


Extract Audit Units: Case 3.2

□Analyze breaking invariant.

$$> BI = BI_{m_1} \cup \cdots \cup BI_{m_n}$$

>If the safety constraint of a method ensures the invariant, then the invariant remains preserved.





Prove the Soundness of Audit Units

- □A struct is sound iff:
 - >All static method encapsulations are sound;
 - Satisfied by case 2.
 - > All dynamic method encapsulations are sound.
 - Satisfied by case 3.



Verification with RAPx

Step 1: Annotate Unsafe Functions with Safety Tags

Already presented

Step 2: Extract Audit Units from the Target Crate

Step 3: Verify the Soundness of Each Audit Unit

Under development



Verification Target

```
pub fn into_raw_parts_with_alloc(self) -> (*mut T, usize, usize, A) {
    let mut me = ManuallyDrop::new(self);
    let len = me.len();
    let capacity = me.capacity();
    let ptr = me.as_mut_ptr();
    let alloc = unsafe { ptr::read(me.allocator()) };
    (ptr, len, capacity, alloc)
}
```

```
#[rapx::ValidPtr(src, T, 1)]
#[rapx::Align(src, T)]
#[rapx::Init(src, T, 1)]
#[rapx::Alias(src, T, 1)]
#[rapx::Alias(src, ret, Trait(T, Copy))]
pub const unsafe fn read<T>(src: *const T) -> T
```

Target method



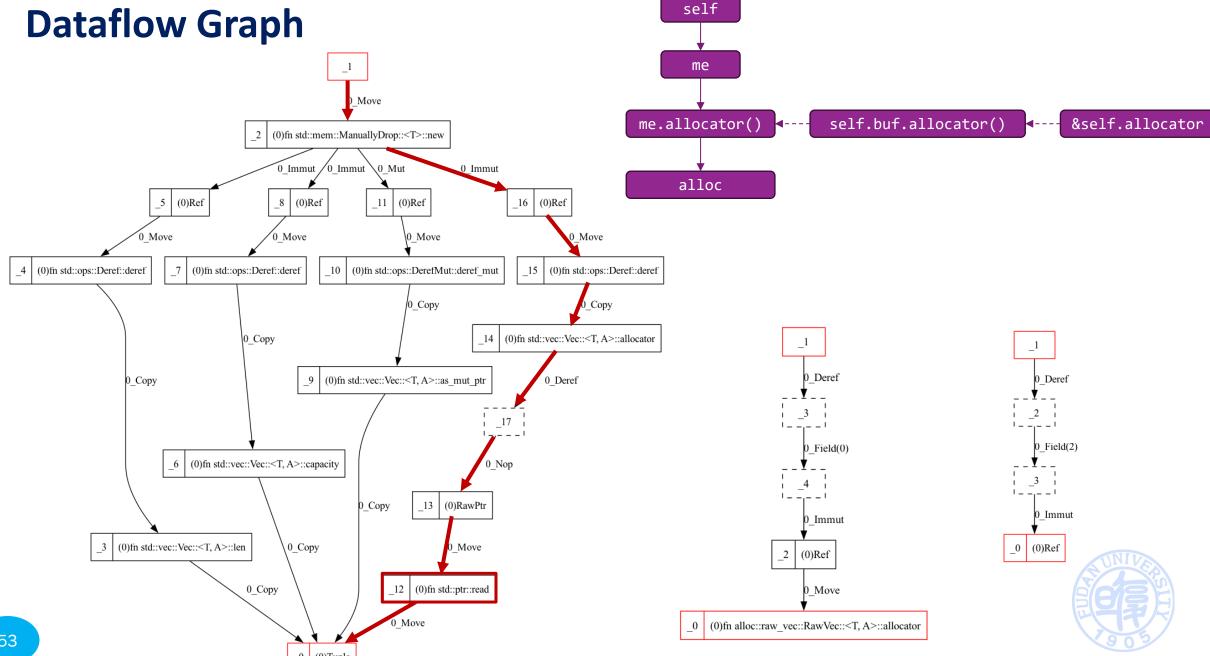
ptr::read

Verification based on MIR

```
2 = std::mem::ManuallyDrop::<std::vec::Vec<T, A>>::new(move 1)
5 = \& 2
_4 = <std::mem::ManuallyDrop<std::vec::Vec<T, A>> as std::ops::Deref>::deref(move _5)
3 = std::vec::Vec::<T, A>::len(copy 4)
8 = \& 2
_7 = <std::mem::ManuallyDrop<std::vec::Vec<T, A>> as std::ops::Deref>::deref(move _8)
6 = std::vec::Vec::<T, A>::capacity(copy 7)
11 = &mut 2
_10 = <std::mem::ManuallyDrop<std::vec::Vec<T, A>> as std::ops::DerefMut>::deref_mut(move _11)
_9 = std::vec::Vec::<T, A>::as_mut_ptr(copy 10)
16 = & 2
_15 = <std::mem::ManuallyDrop<std::vec::Vec<T, A>> as std::ops::Deref>::deref(move _16)
_14 = std::vec::Vec::<T, A>::allocator(copy _15)
13 = & raw const (* 14)
_12 = std::ptr::read::<A>(move _13)
_0 = (copy _9, copy _3, copy _6, move _12)
```



Dataflow Graph



Verification: ValidPtr, Align, Init

- □Tracing dataflow backward until the constraints can be satisfied.
- □Perform forward analysis to check whether any property is invalidated.

We assume external types are sound:

$$\frac{\Gamma \vdash x : \text{Ref}}{x \vDash \{\text{ValidPtr, Align, Init}\}} \qquad \frac{\Gamma \vdash x \vDash \{\text{ValidPtr, Align, Init}\}, \ \Gamma \vdash y = \text{addrof(deref}(x))}{y \vDash \{\text{ValidPtr, Align, Init}\}}$$

Verification: Alias

- □Forward analysis to check if hazard is eliminated.
 - > No mutation is performed through shared references.
 - > No shared mutable references exist after the function returns.
- □Based on alias analysis results (over approximation based on MoP).
 - \triangleright Aliases of _12 = {_0,_1,_2,_4,_5,_7,_8,_10,_11,_13,_14,_15,_16}.

```
_15 = <std::mem::ManuallyDrop<std::vec::Vec<T, A>> as std::ops::Deref>::deref(move _16)
    _14 = std::vec::Vec::<T, A>::allocator(copy _15)
    _13 = &raw const (*_14)
    _12 = std::ptr::read::<A>(move _13)
    _0 = (copy _9, copy _3, copy _6, move _12)
```

Rules for shared-reference check as typeof(12) is not Copy:

- The return value should not aggregate multiple references related to 12.
- As the return value contains the object being read, the corresponding function parameter should not be a raw pointer or reference to the object.

Discussion on the Soundness of Verification

- □The approach of audit unit extraction should be sound.
- □When verifying each audit unit, the soundness depends on two aspects:
 - >The abstraction interpretation, which is currently under development.
 - Rigorous design and formal proofs are needed.
 - >The underlying analysis modules, such as alias analysis and dataflow analysis.
 - The implementations are biased toward over-approximation.
 - We are designing test suites to better evaluate the soundness of each module.
 - These modules are being continuously refined.



