

SM3 Implementation And Application

Long Wen

longwen6@gmail.com

Hash Function Basics

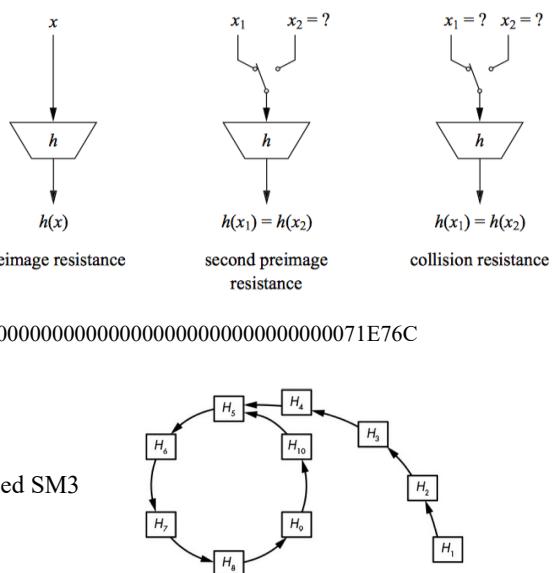
- Security of hash functions

- Preimage resistance / first-preimage resistance
 - Second-preimage resistance
 - Collision resistance
 - Q&A: which one is weaker?
 - Q&A: MD5 and SHA1 is broken, meaning?
 - Q&A: collision could be found, so what ?
 - Q&A: how do you argue no one knows a secre

Address = RIPEMD160(SHA256(pubkey)) = 0000000000000000000000000000000000000071E76C

- Finding Collisions

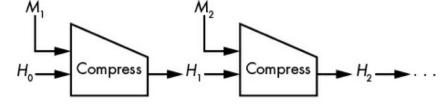
- The naïve birthday attack
 - The Rho method: low-memory collision search
 - Project: implement the naïve birthday attack of reduced SM3
 - Project: implement the Rho method of reduced SM3
 - *The longer collision you can find, the higher score



Hash Function Construction

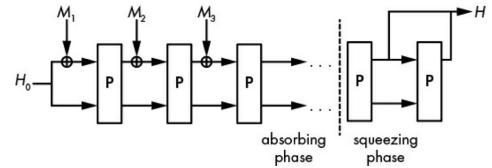
- **Compression-Based: Merkle-Damgård construction**

- All hash functions from 1980s to 2010s are MD construction
- MD4, MD5, SHA-1, SHA-2 (**SHA256**), **SM3**, **RIPMD**, and Whirlpool



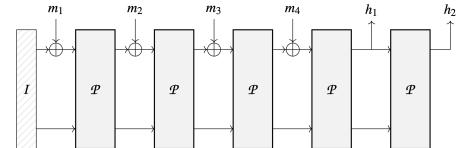
- **Permutation-Based: Sponge Functions,**

- SHA3-family, BLAKE-family
- Absorbing phasing
- Squeezing phasing



- New guy in town: zkSNARK/MPC friendly hash on GF(p):

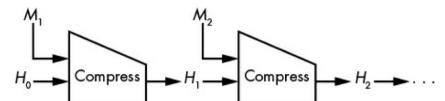
- Poseidon, rescue
- Will cover this part later in zkSNARK reports



Merkel-Damgård Construction

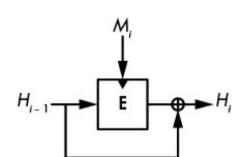
- MD construction work flow

- Splits message into blocks of fixed size, usually 512 or 1024 bits
- Mix blocks with internal state using Compression function
- Final internal state is the message's hash value



- Dealing with any message length – padding blocks

- For 512-bit block, forms the last block by
 - append bit 1, then bits 0, and finally the length of the original message
 - Guarantee: any two distinct messages will give a distinct sequence of blocks

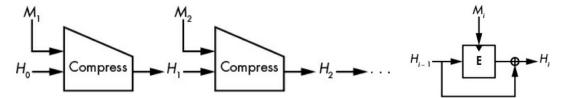


- Building compress functions: the Davies-Meyer construction

- Build compress function with block cipher E
- Given message block M_i , and previous chain value H_{i-1}
- $H_i = E(M_i, H_{i-1}) \oplus H_{i-1}$
- M_i acts like block cipher key, H_{i-1} acts as plaintext block
- Block cipher is secure \rightarrow compress function is secure
- Weak block cipher lead to bad hash function: Xbox game
- Finding fixed point of DM construction
- Q&A: is the existence of fixed point a problem to hash function

$$\begin{aligned} H_i &= E(M_i, H_{i-1}) \oplus H_{i-1} = E(M_i, D(M_i, 0)) \oplus D(M_i, 0) \\ &= 0 \oplus D(M_i, 0) = D(M_i, 0) = H_{i-1} \end{aligned}$$

SM3 - Merkel-Damgard Construction



1.1.5 SM3 密码杂凑算法的消息填充

对长度为 $l(l < 2^{64})$ 比特的消息 m , SM3 密码杂凑算法首先将比特“1”添加到消息的末尾, 再添加 k 个“0”, k 是满足 $l+k+1 \equiv 448 \pmod{512}$ 的最小非负整数。然后再添加一个 64 位比特串, 该比特串是长度 l 的二进制表示, 填充后的消息 m' 的比特长度为 512 的倍数。

例如: 对消息 01100001 01100010 01100011, 其长度 $l=24$, 经填充得到的比特串如下:

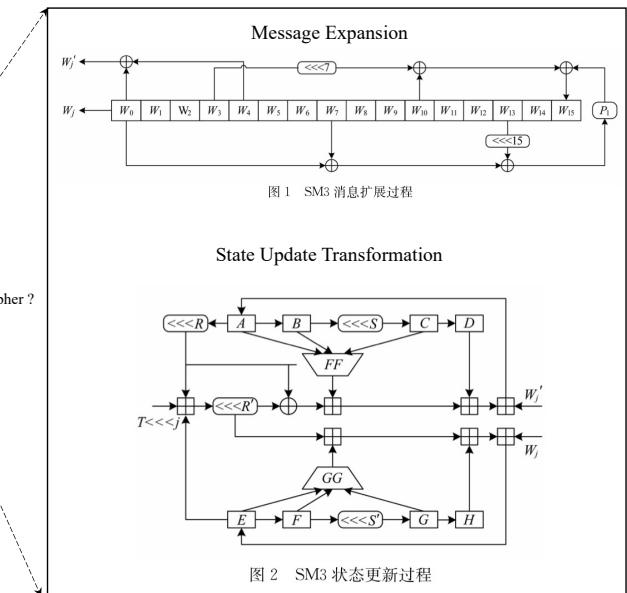
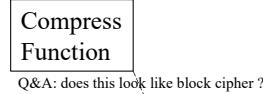
$\overbrace{\text{01100001} \text{ 01100010} \text{ 011000111} \text{ 0...00...011000}}^{\frac{423b}{l\text{的二进制表示}}} \overbrace{\text{0}}^{64b}$

1.1.6 SM3 密码杂凑算法的迭代压缩过程

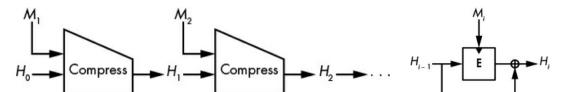
将填充后的消息 m' 按 512 b 进行分组: $m' = B^{(0)}B^{(1)}\dots B^{(n-1)}$, 其中 $n=(l+k+65)/512$. 对 m' 按如下方式迭代:

```
FOR i=0 TO (n-1)
     $V^{(i+1)} = CF(V^{(i)}, B^{(i)})$ ;
ENDFOR
```

其中, CF 是压缩函数, $V^{(0)}$ 为 256 b 初始值 IV , $B^{(i)}$ 为填充后的消息分组, 迭代压缩的结果为 $V^{(n)}$.



SM3 - Merkel-Damgard Construction

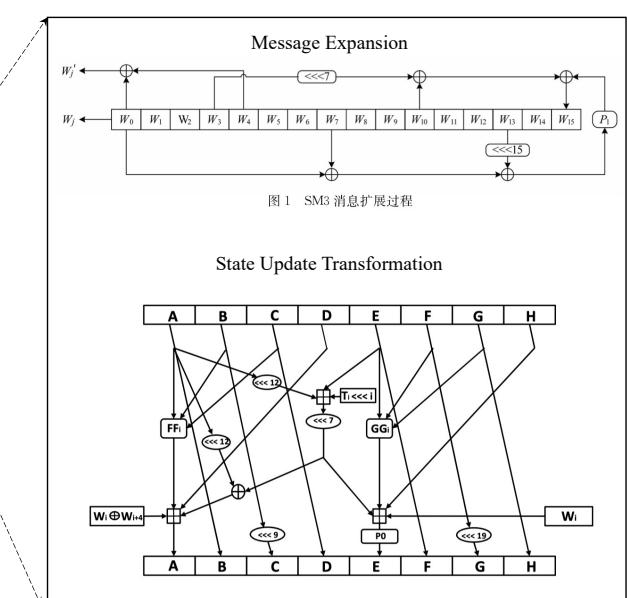


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Length Extension Attack of The Merkle-Damgård Construction

- Length extension attack is the main threat to MD construction
- Attack outline
 - If you know $\text{Hash}(M)$ for unknown message where
 - $M = M_1 \parallel M_2$ (after padding)
 - You can determine $\text{Hash}(M_1 \parallel M_2 \parallel M_3)$ for any block, M_3
- Can be generalized to any number of blocks
 - either in the unknown message part or the suffix part
- Affects & mitigation
 - Won't affect most application scenarios
 - Should bear this attack when design system
 - Q&A: does SM3 have the same security issue ?
 - Q&A: how to mitigate? - Just make the last compression function different from all others
 - New hash function design take this into consideration, refer to BLAKE2
 - Q&A: any other hash function example you can find?
 - *Project: implement length extension attack for SM3, SHA256, etc.

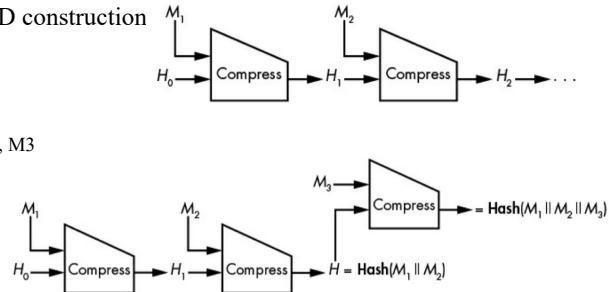


Figure 6-9: The length-extension attack

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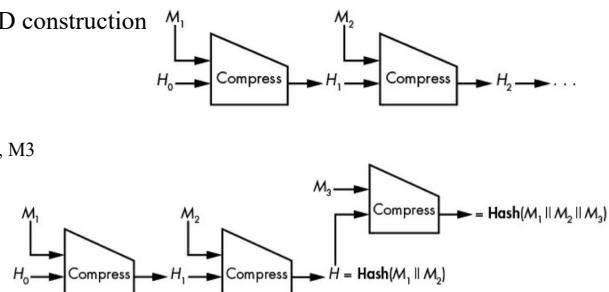


Figure 6-9: The length-extension attack

Hash Function in Action: Proof-of-Storage Protocol

- Proof-of-Storage: server (cloud provider) proves to a client that the server does in fact store a file
- design <https://www.cs.utexas.edu/~lorenzo/papers/p129-kotla.pdf> from The University of Texas at Austin
- Challenge and response protocol
 - Client picks a random value C as challenge
 - Server computes Hash(M||C) as a response and sends the result to the client
 - Client also computes Hash(M||C) and checks that both hash values matches
- Q&A: Is the protocol above secure? Why? If not, how to cheat?
- Q&A: which kind of hash functions are affected?
- Q&A: how to fix?
- More: will see more misuse of hash functions in Bitcoin later
- The security of hash function itself cannot protect you from misuse

SM3 Collision In WeChat

- Core issue: encode algorithm from string to binary allows one to construct same binary stream from different string
- Over 260 even more open-sourced projects has been affected
- Key: reinterpret the same binary as two printable characters

```
function str2binary(str) {
  let binary = '';
  for (let i = 0, len = str.length; i < len; i++) {
    const ch = str[i];
    binary += leftPad(ch.codePointAt(0).toString(radix: 2), num: 8);
  }
  return binary;
}

function leftPad(input, num) {
  // here! if input longer than num, return directly
  if (input.length >= num) return input;

  return (new Array(arrayLength: num - input.length + 1)).join('0') + input;
}
```

```
Welcome to Node.js v12.16.2.
Type ".help" for more information.
> const sm3 = require('miniprogram-sm-crypto').sm3
undefined
> s1 = "喪丙上陪羣不考采"
'喪丙上陪羣不考采'
> s2 = "鍾8fpT肯脚类HNQ"
'鍾8fpT肯脚类HNQ'
> sm3(s1)
'457a19a2e7c2f43c0bfe7ca61cf5f5071c0fd4da99f13856b1ca4997e70fcf69'
> sm3(s2)
'457a19a2e7c2f43c0bfe7ca61cf5f5071c0fd4da99f13856b1ca4997e70fcf69'
```



问题概述

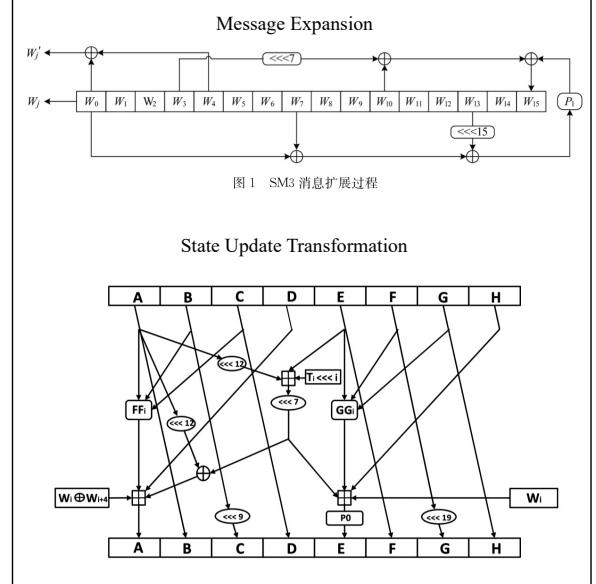
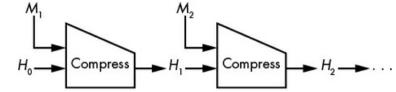
2021年2月，蜜语安全在对客户产品进行安全代码审计时，发现其依赖的微信小程序sm-crypto开源NodeJS国密算法库中的SM3算法实现（该算法库使用NodeJS对国密算法中的SM2、SM3和SM4三个算法进行了实现，并封装成接口提供给开发者使用。该国密算法库的具体实现在GitHub上开源：<https://github.com/wechat-miniprogram/sm-crypto>，其中sm3的实现在文件src/sm3/index.js中，微信小程序官方的参考文档链接为<https://developers.weixin.qq.com/miniprogram/dev/extended/utils/sm-crypto.html>）存在重大的实现错误，导致不同的输入可被编码为相同的bitstring，进而进行SM3计算后产生“伪”hash碰撞效果。

SM3 Implementation – Interface Design

```

10 int sm3_hash_openssl(uint8_t *dgst, const void *msg, size_t len) {
11     int res = 0;
12     const EVP_MD *md = EVP_get_digestbyname("sm3");
13     EVP_MD_CTX *mdctx = EVP_MD_CTX_new();
14     if (!mdctx) goto done;
15
16     EVP_DigestInit_ex(mdctx, md, NULL);
17     EVP_DigestUpdate(mdctx, msg, len);
18     res = EVP_DigestFinal_ex(mdctx, dgst, NULL);
19
20 done:
21     EVP_MD_CTX_free(mdctx);
22     return res;
23 }
24
25 int sm3_hash_verify_openssl(const void *msg, size_t len, const void *dgst) {
26     uint8_t buf[32];
27     sm3_hash_openssl(buf, msg, len);
28     return memcmp(buf, dgst, 32);
29 }

```

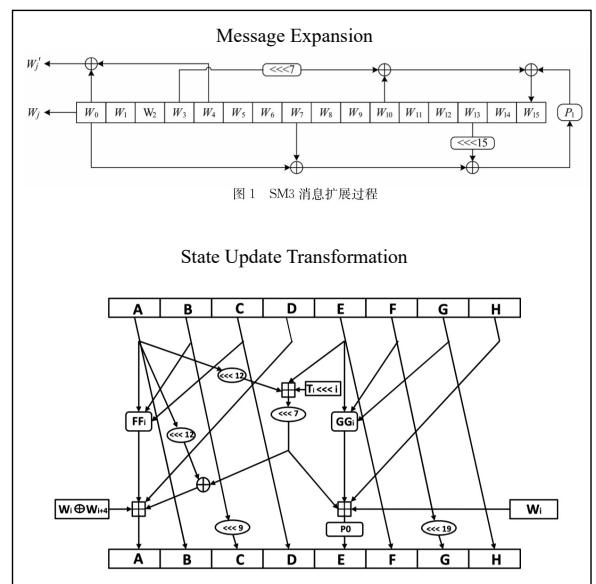
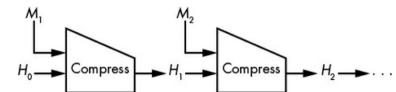


SM3 Implementation – Interface

```

5 #define sm3_digest_BYTES 32
6 #define sm3_block_BYTES 64
7 #define sm3_hmac_BYTES sm3_digest_BYTES
8
9 typedef struct sm3_ctx_t {
10     uint32_t digest[sm3_digest_BYTES / sizeof(uint32_t)];
11     int nbblocks; // number of blocks that have been processed
12     uint8_t block[sm3_block_BYTES * 4];
13     int num;
14 } sm3_ctx;
15
16 void sm3_init(sm3_ctx *ctx);
17 void sm3_update(sm3_ctx *ctx, const uint8_t *data, size_t data_len);
18 void sm3_final(sm3_ctx *ctx, uint8_t *digest);
19
20 void sm3_hash(uint8_t *digest, const uint8_t *data, size_t dlen);
21 int sm3_hash_verify(const uint8_t *data, size_t dlen, const uint8_t *digest);
22
23 void sm3_init(sm3_ctx *ctx) {
24     ctx->digest[0] = 0x7300166F;
25     ctx->digest[1] = 0x4914B289;
26     ctx->digest[2] = 0x172442D7;
27     ctx->digest[3] = 0xDA8A0600;
28     ctx->digest[4] = 0xA96F30BC;
29     ctx->digest[5] = 0x163138AA;
30     ctx->digest[6] = 0xE3BDEE4D;
31     ctx->digest[7] = 0xB0FB0E4E;
32
33     ctx->nbblocks = 0;
34     ctx->num = 0;
35 }

```



SM3 Implementation – Update

```

20 void sm3_update(sm3_ctx *ctx, const uint8_t *data, size_t dlen) {
21     if (ctx->num) {
22         unsigned int left = sm3_block_BYTES - ctx->num;
23         if (dlen < left) {
24             memcpy(ctx->block + ctx->num, data, dlen);
25             ctx->num += dlen;
26             return;
27         } else {
28             memcpy(ctx->block + ctx->num, data, left);
29             sm3_compress(ctx->digest, ctx->block);
30             ctx->nblocks++;
31             data += left;
32             dlen -= left;
33         }
34     }
35     while (dlen >= sm3_block_BYTES) {
36         sm3_compress(ctx->digest, data);
37         ctx->nblocks++;
38         data += sm3_block_BYTES;
39         dlen -= sm3_block_BYTES;
40     }
41     ctx->num = dlen;
42     if (dlen) {
43         memcpy(ctx->block, data, dlen);
44     }
45 }

```

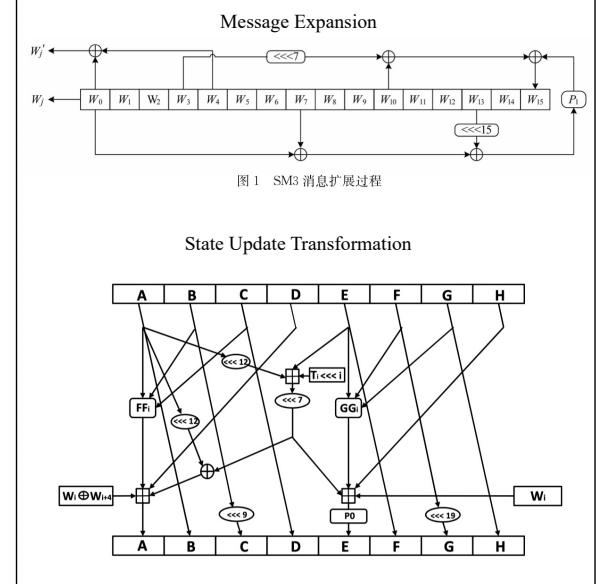
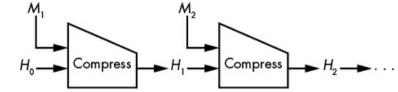


图 1 SM3 消息扩展过程

SM3 Implementation – Final

```

47 void sm3_final(sm3_ctx *ctx, uint8_t *digest) {
48     size_t i;
49     uint32_t *pdigest = (uint32_t *)digest;
50     uint64_t *count = (uint64_t *)ctx->block + sm3_block_BYTES - 8;
51
52     ctx->block[ctx->num] = 0x80;
53
54     if (ctx->num + 9 <= sm3_block_BYTES) {
55         memset(ctx->block + ctx->num + 1, 0, sm3_block_BYTES - ctx->num - 9);
56     } else {
57         memset(ctx->block + ctx->num + 1, 0, sm3_block_BYTES - ctx->num - 1);
58         sm3_compress(ctx->digest, ctx->block);
59         memset(ctx->block, 0, sm3_block_BYTES - 8);
60     }
61
62     count[0] = (uint64_t)(ctx->nblocks) * 512 + (ctx->num << 3);
63     count[0] = byte_swap64(count[0]);
64
65     sm3_compress(ctx->digest, ctx->block);
66     for (i = 0; i < sizeof(ctx->digest) / sizeof(ctx->digest[0]); i++) {
67         pdigest[i] = byte_swap32(ctx->digest[i]);
68     }
69 }

```

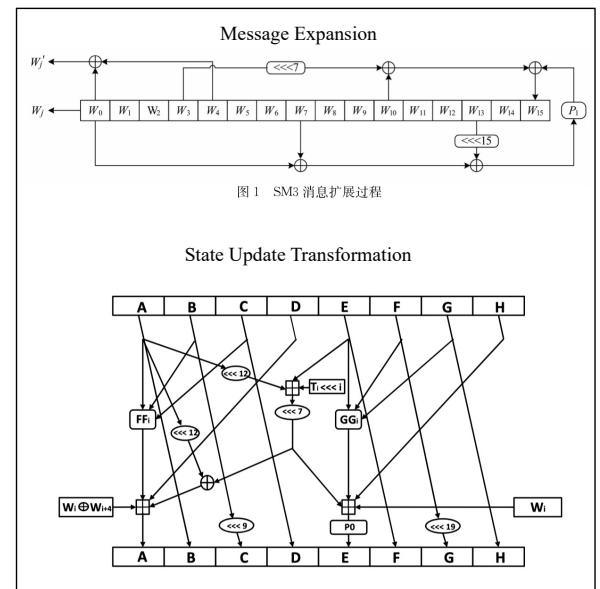
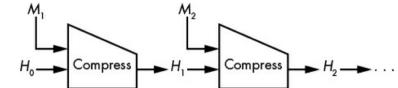
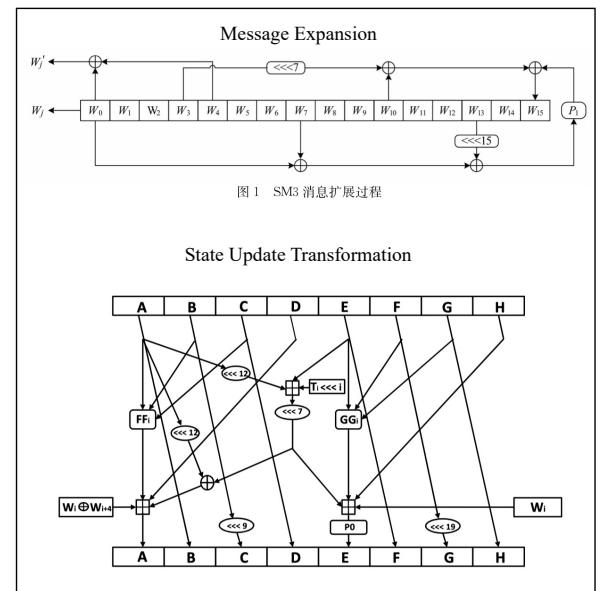
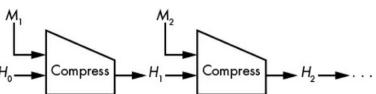
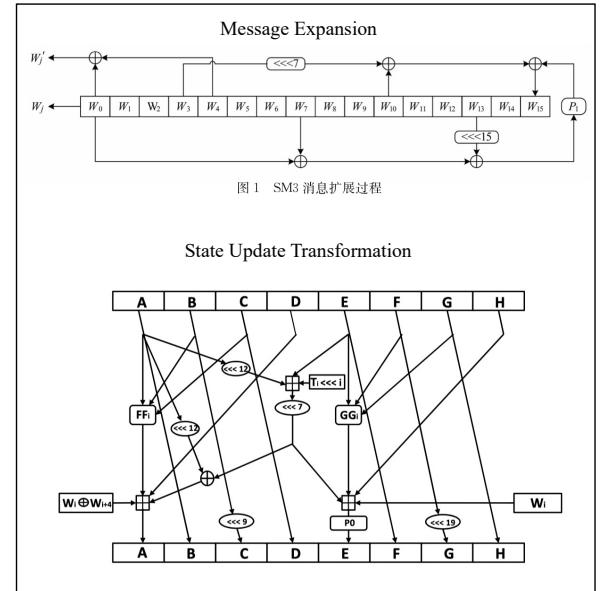
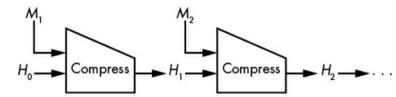


图 1 SM3 消息扩展过程

```

97 static void sm3_compress(uint32_t digest[sm3_digest_BYT
98     const uint8_t block[sm3_block_BYT
99     int j;
100    uint32_t W[68], W1[64];
101    const uint32_t *pbblock = (const uint32_t *)block;
102
103    uint32_t A = digest[0], B = digest[1], C = digest[2], D = digest[3];
104    uint32_t E = digest[4], F = digest[5], G = digest[6], H = digest[7];
105
106    uint32_t SS1, SS2, TT1, TT2, T[64];
107
108    for (j = 0; j < 16; j++) W[j] = byte_swap32(pbblock[j]);
109
110    for (j = 16; j < 68; j++) {
111        W[j] = P1(W[j - 16] ^ W[j - 9] ^ rol(W[j - 3], 15)) ^
112            rol(W[j - 13], 7) ^ W[j - 6];
113
114    for (j = 0; j < 64; j++) W1[j] = W[j] ^ W[j + 4];
115
116    for (j = 0; j < 16; j++) {
117        T[j] = 0x79CC4519;
118        SS1 = rol((rol(A, 12) + E + rol(T[j], j)), 7);
119        SS2 = SS1 ^ rol(A, 12);
120        TT1 = FF0(A, B, C) + D + SS2 + W1[j];
121        TT2 = GG0(E, F, G) + H + SS1 + W[j];
122        D = C, C = rol(B, 9), B = A, A = TT1;
123        H = G, G = rol(F, 19), F = E, E = P0(TT2);
124    }
125
126    for (j = 16; j < 64; j++) {
127        T[j] = 0x7A87908A;
128        SS1 = rol((rol(A, 12) + E + rol(T[j], j)), 7);
129        SS2 = SS1 ^ rol(A, 12);
130        TT1 = FF1(A, B, C) + D + SS2 + W1[j];
131        TT2 = GG1(E, F, G) + H + SS1 + W[j];
132        D = C, C = rol(B, 9), B = A, A = TT1;
133        H = G, G = rol(F, 19), F = E, E = P0(TT2);
134    }
135
136    digest[0] ^= A, digest[1] ^= B, digest[2] ^= C, digest[3] ^= D;
137    digest[4] ^= E, digest[5] ^= F, digest[6] ^= G, digest[7] ^= H;
138 }

```



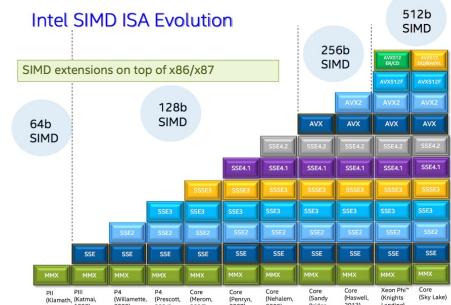
SM3 Implementation – Optimize

- The simple way - just refer to OpenSSL
 - Loop unwinding & employ the macro definition of C
- The hard way - Summon the SIMD instructions
 - Perform multiple arithmetic operation with one instruction
- 3 approaches to optimize with SIMD instructions
 - Hash data from multiple independent streams at the same time
 - Schedule multiple blocks (for the same data stream) in parallel**
 - Schedule the data associated with a single block in parallel
- Reference
 - Fast SHA-256 Implementations on Intel® Architecture Processors
 - S. Gueron, V. Krasnov. Parallelizing message schedules to accelerate the computations of hash functions. <http://eprint.iacr.org/2012/067.pdf>
 - Processing Multiple Buffers in Parallel to Increase Performance on Intel® Architecture Processors
- *Project: do your best to optimize SM3 implementation (software)
- Make sure you understand each line written, (don't copy)
- Earn higher score with faster implementation

Brief Introduction to SIMD Instructions

	instruction	C Language
xor	pxor xmm, xmm	_m128i_mm_xor_si128 (_m128i A, _m128i B)
rotate scalars left	vprold xmm, xmm, imm8	_m128i_mm_rol_epi32 (_m128i A, int n)
shift scalars left	pslld xmm, imm8	_m128i_mm_slli_epi32 (_m128i A, int n)
shift scalars right	psrlt xmm, imm8	_m128i_mm_srhi_epi32 (_m128i A, int n)

	instruction	C Language
shuffle bytes in XMM	pshufb xmm, xmm	_m128i_mm_shuffle_epi8 (_m128i A, _m128i mask)
shift whole XMM left by n bytes	pslldq xmm, imm8	_m128i_mm_slli_si128 (_m128i a, int n)
shift whole XMM right by n bytes	psrldq xmm, imm8	_m128i_mm_srli_si128 (_m128i a, int n)
shift A B right by n bytes	palignr xmm, xmm, imm8	_m128i_mm_alignr_epi8 (_m128i a, _m128i b, int n)



A Taste of SIMD, SM3's P1 Function

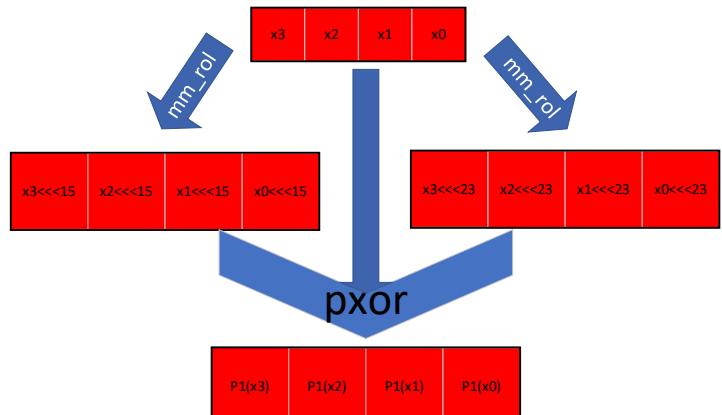
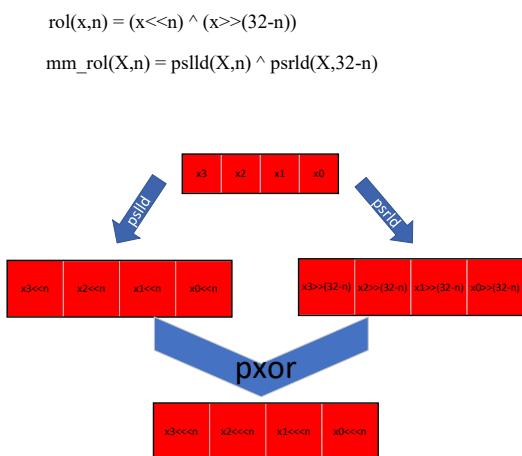
SM3 密码杂凑算法的置换函数定义如下：

$$P_0(X) = X \oplus (X \lll 9) \oplus (X \lll 17),$$

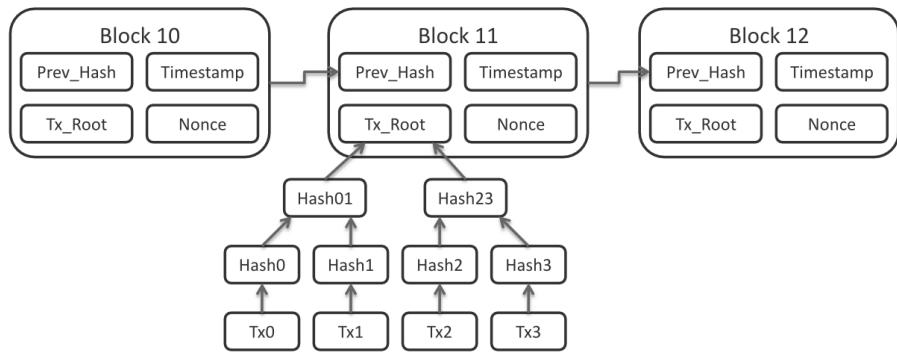
$$P_1(X) = X \oplus (X \lll 15) \oplus (X \lll 23).$$

$$P_1(x) = x \wedge (x \lll 15) \wedge (x \lll 23)$$

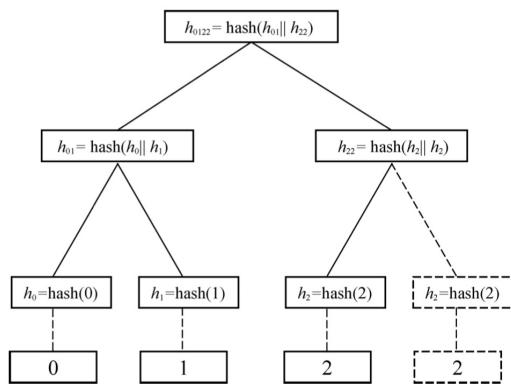
$$\text{mm_P1}(X) = X \wedge \text{mm_rol}(X, 15) \wedge \text{mm_rol}(X, 23)$$



Blockchain – Chained By Hash Link

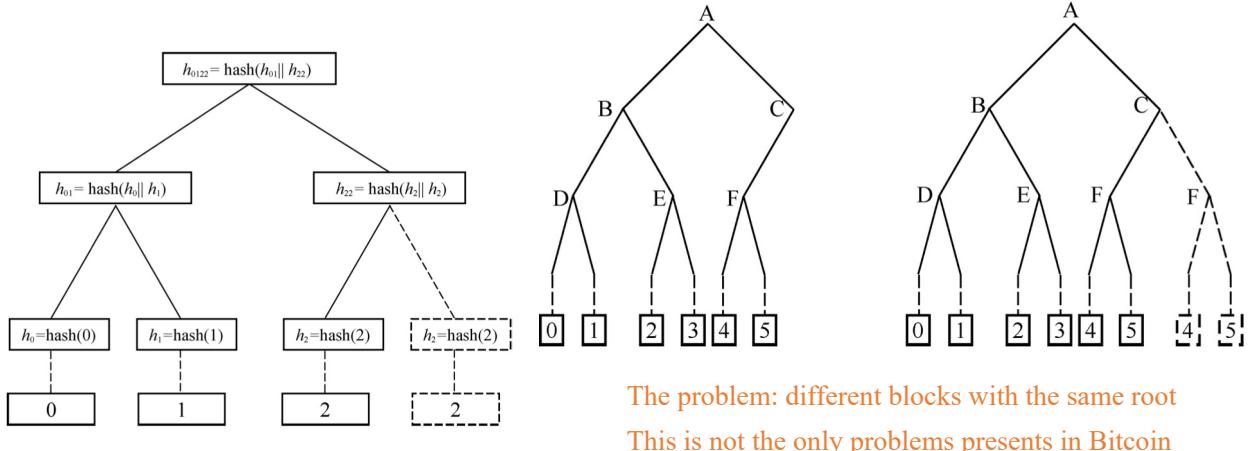


Merkle Tree In Bitcoin



Q&A: Is the construction safe?

The Problem with Bitcoin's Merkle Tree



Bitcoin's Fix

```

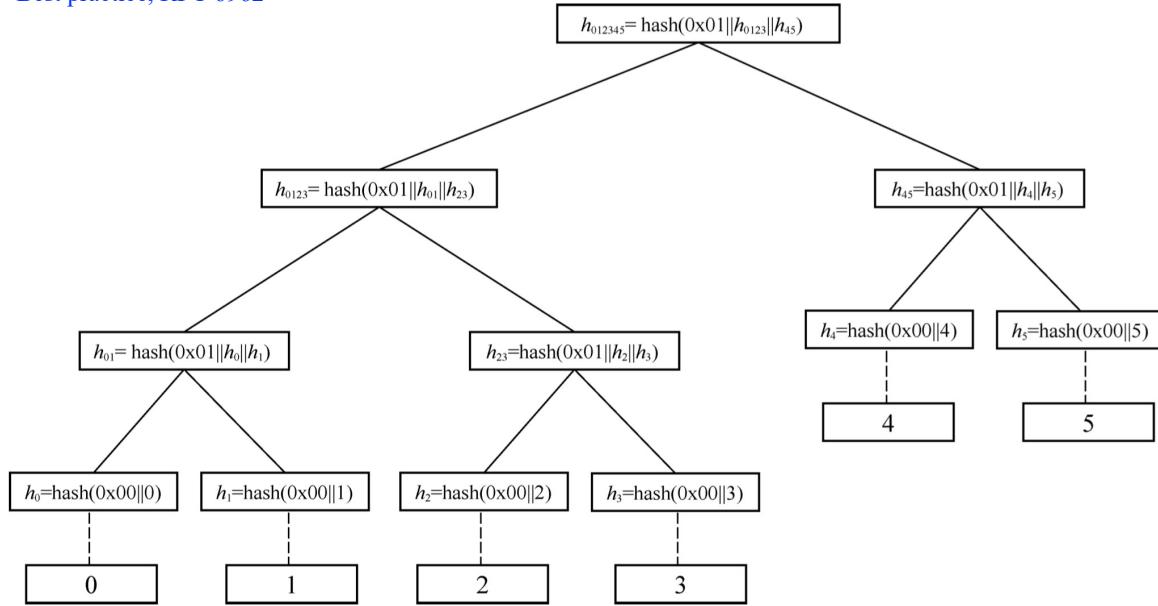
uint256 ComputeMerkleRoot(std::vector<uint256> hashes, bool* mutated) {
    bool mutation = false;
    while (hashes.size() > 1) {
        if (*mutated) {
            for (size_t pos = 0; pos + 1 < hashes.size(); pos += 2) {
                if (hashes[pos] == hashes[pos + 1]) mutation = true;
            }
        }
        if (hashes.size() & 1) {
            hashes.push_back(hashes.back());
        }
        SHA256D64(hashes[0].begin(), hashes[0].begin(), hashes.size() / 2);
        hashes.resize(hashes.size() / 2);
    }
    if (*mutated) *mutated = mutation;
    if (hashes.size() == 0) return uint256();
    return hashes[0];
}

uint256 BlockMerkleRoot(const CBlock& block, bool* mutated)
{
    std::vector<uint256> leaves;
    leaves.resize(block.vtx.size());
    for (size_t s = 0; s < block.vtx.size(); s++) {
        leaves[s] = block.vtx[s]->GetHash();
    }
    return ComputeMerkleRoot(std::move(leaves), mutated);
}

```

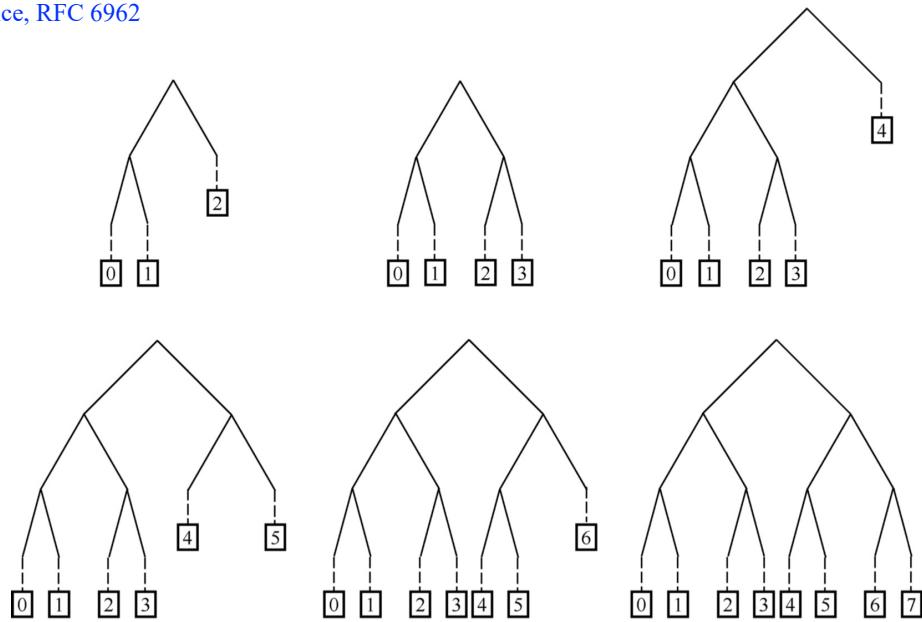
Engineering Merkle Tree

Best practice, RFC 6962



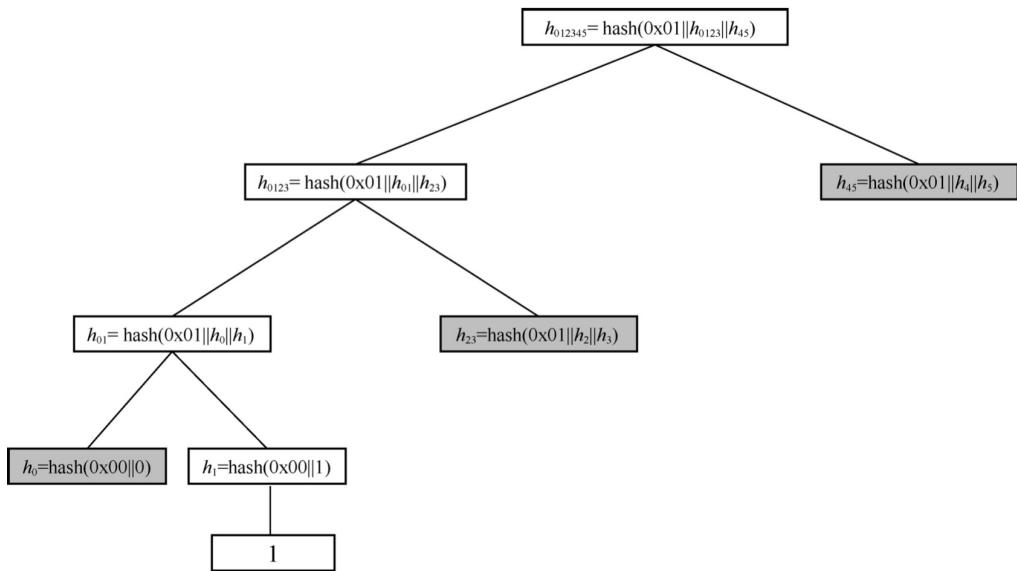
Engineering Merkle Tree

Best practice, RFC 6962



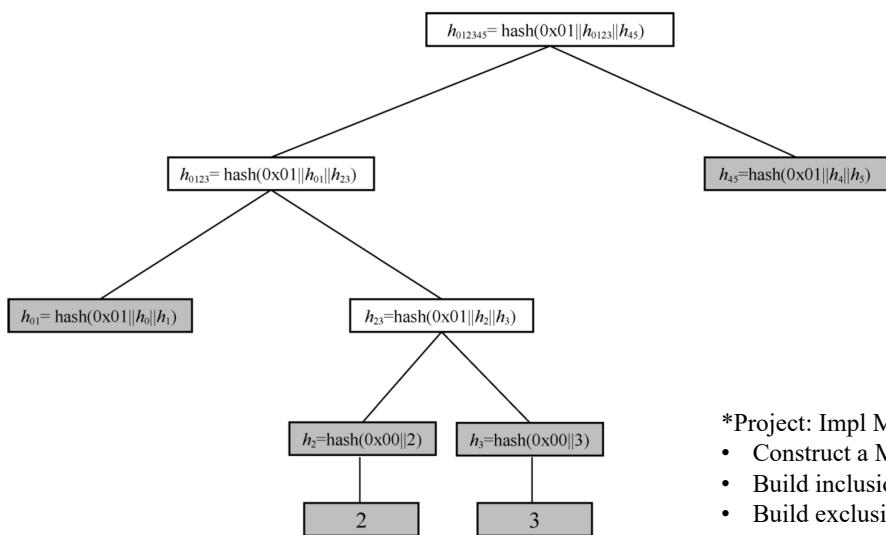
Merkle Tree – Inclusion Proof

Following RFC 6962



Merkle Tree – Exclusion Proof

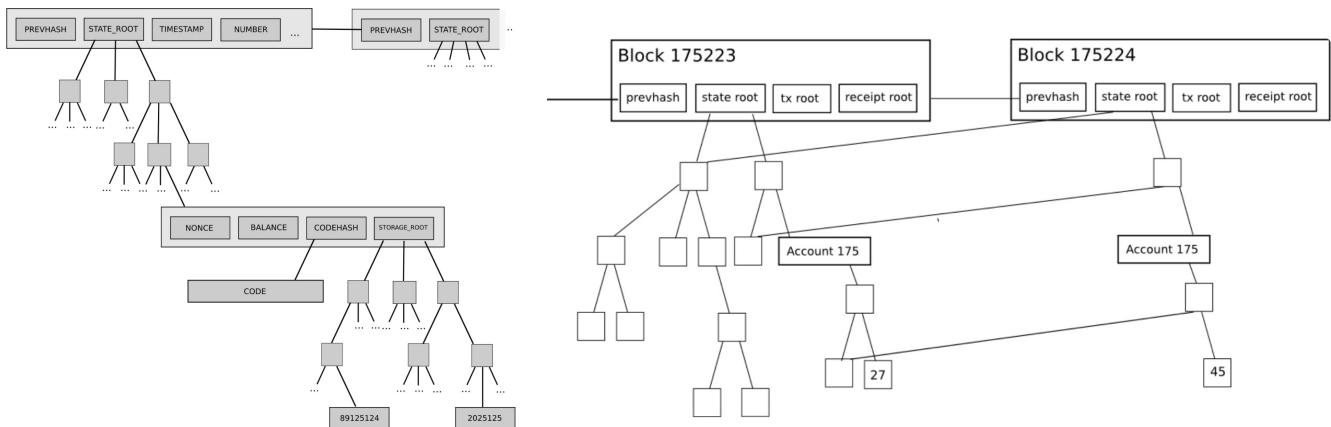
Following RFC 6962, mind the extra requirement



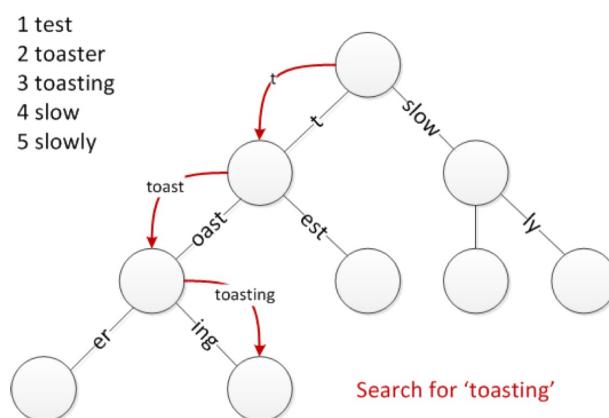
- *Project: Impl Merkle Tree following RFC6962
- Construct a Merkle tree with 10w leaf nodes
- Build inclusion proof for specified element
- Build exclusion proof for specified element

Global State in Ethereum - Updatable Merkle Trees

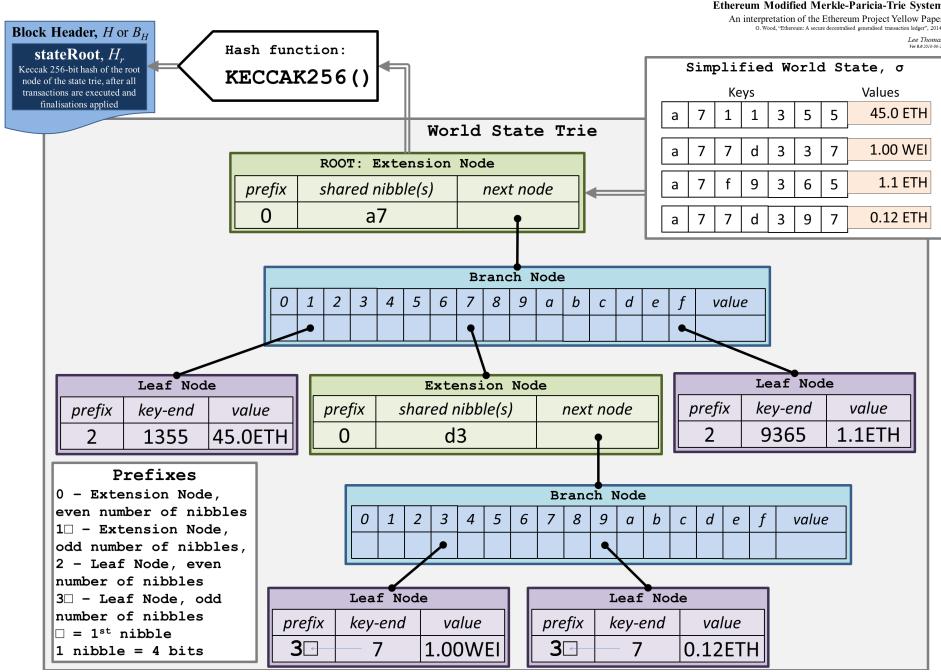
Q&A: how hard it is to add/remove/modify element in Merkle tree of RFC6962?



Global State in Ethereum - Merkle Patricia Tree



Global State in Ethereum - Merkle Patricia Tree

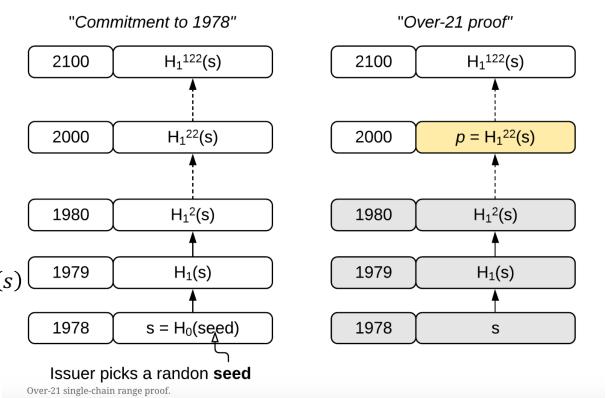


Range Proof With Hash Function

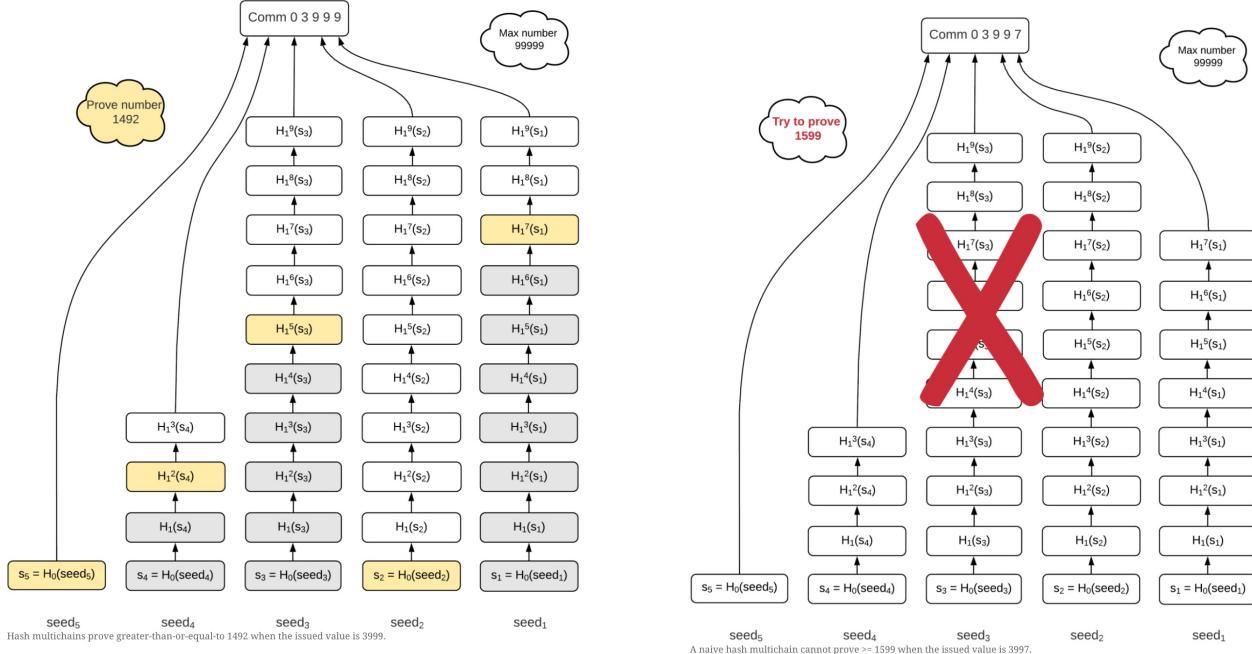
- Alice (born 1978) wants to prove to Bob that her age > 21
- Rely on trusted issuer, proof system need to be useable until 2100
- Suppose this year is 2021

2021

- Trusted Issuer
 - Pick 128-bit random seed, compute $s = H_0(\text{seed})$
 - $k = 2100 - 1978, c = H_1^k(s)$, sign over c as sig_c
 - Give Alice s and sig_c
- Alice prove her age ≥ 21 to Bob
 - E.g., she was born before 2000
 - Compute $d_0 = 2000 - 1978 = 22$, compute proof $p = H_1^{d_0}(s)$
 - Give Bob (p, sig_c)
- Bob verify Alice's proof
 - Compute $d_1 = 2100 - 2000 = 100$
 - Compute $c' = H_1^d(p)$, check sig_c is for c'



Generalizing Hash Chains



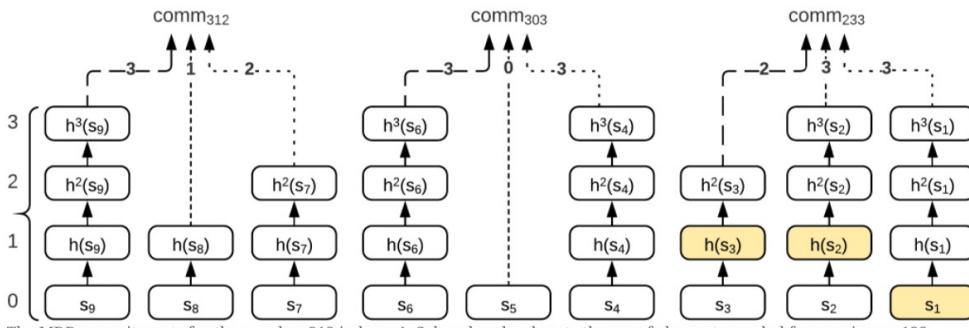
Generalizing Hash Chains: Fix Previous Problem

[312, 303, 233] is the minimum dominating partitions for number 312 in base4

With Comm312, Comm303, Comm233, Alice can prove number <312

- Use Comm233 if prove number [0, 233]
- Use Comm303 if prove number [300, 303]
- Use Comm312 if prove number [310, 312]

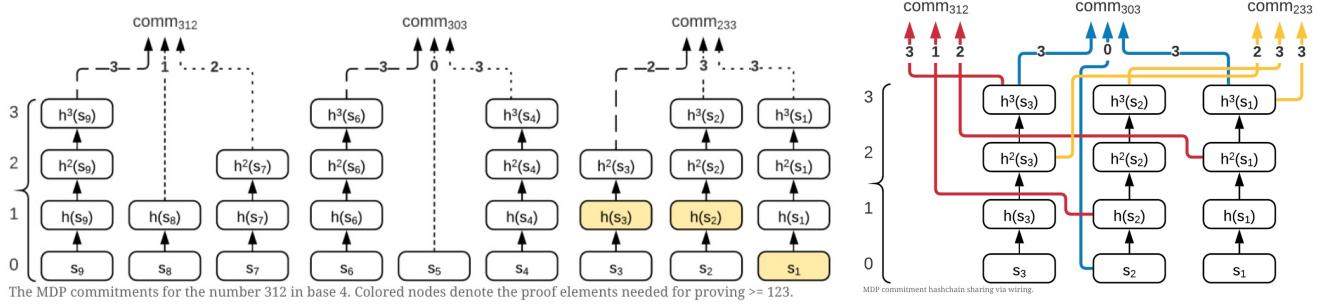
Call [312, 303, 233] MDP-list for base4 number 312



The MDP commitments for the number 312 in base 4. Colored nodes denote the proof elements needed for proving ≥ 123 .

[3997, 3989, 3899, 2999] is the MDP-list for base10 number 3997

Generalizing Hash Chains: Reducing Commitment Number



[312, 303, 233] is the MDP list for number 312 in base4:

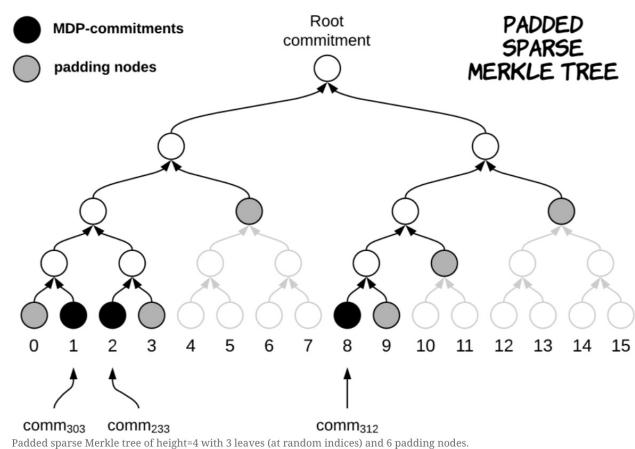
Reusing the chain

Generalizing Hash Chains: Hiding Commitment Number

Prove up to 2999 needs only one element in MDP list: [2999].

Verifier will learn from it the issued number can not be 2998 or any other integer

Use Merkle tree to padding random nodes with commitments nodes

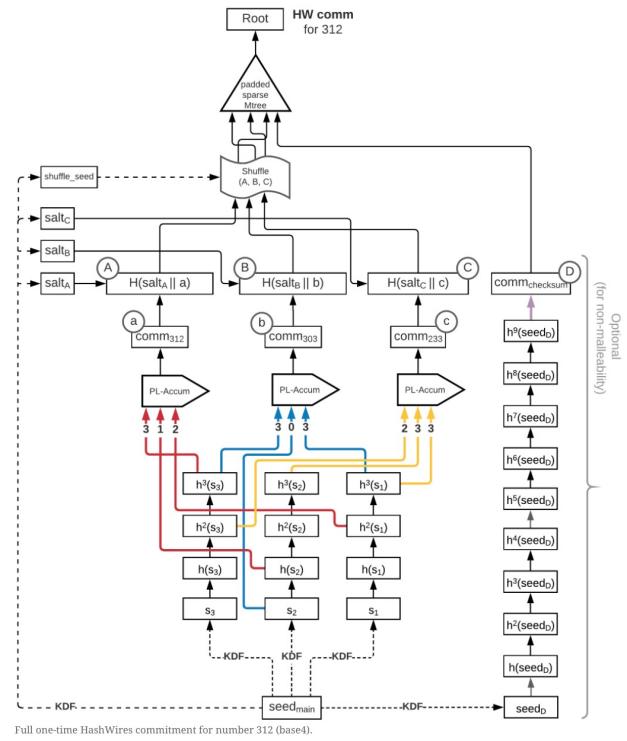


Generalizing Hash Chains: Putting All Things Together

HashWires: Hyperefficient Credential-Based Range Proofs

Both proof size & proof generation improved

*Project: Try to Implement this scheme



THANKS
Q&A