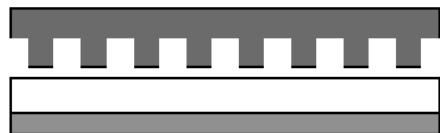


# Photolithography vs. Soft Lithography



# Hard Nanoimprinting Lithography

## NIL Process



Si Mold  
Resist  
Substrate  
(1) Press in mold



(2) Heat up mold and substrate



(3) Mold separation after cooling



(4) O<sub>2</sub> RIE



- Sub-10nm feature size
- Large area (4-8 in.)
- High throughput
- Low cost

**Nanonex NX-2000 Nanoimprinter**  
Up to 4" wafer  
Sub-100 nm resolution  
Up to 300°C, 600 psi, UV

# "Soft" Lithography



**George M. Whitesides**  
<http://gmwgroup.harvard.edu/>  
Father of Soft Lithography

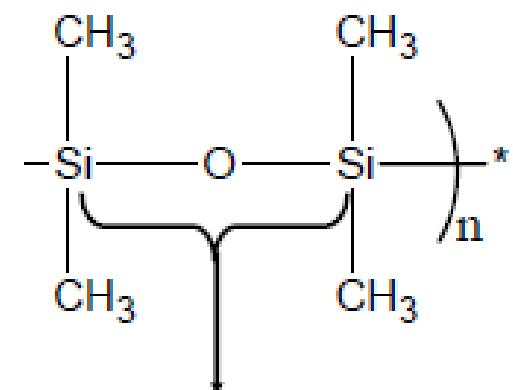
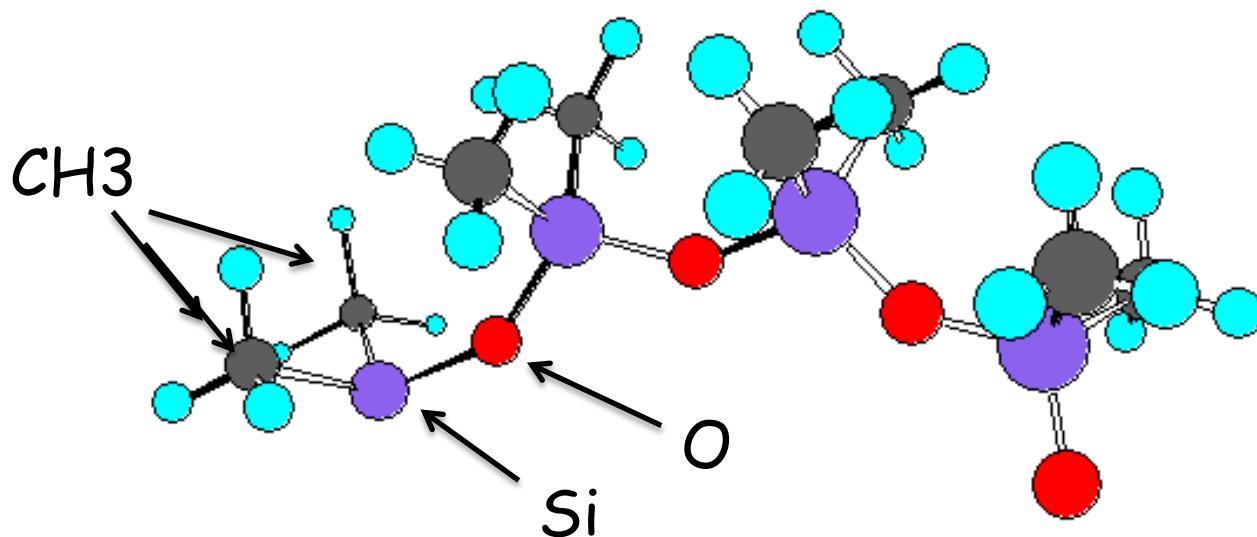
## Reviews:

1. Whitesides, G. M., *Angew. Chem. Int. Ed.* (1998).
2. Whitesides, G. M., *Chem. Rev.* (1999).

- Low-cost, non-lithographic method to complement photolithography.
- Use a patterned elastomer such as poly(dimethylsiloxane) (PDMS) as a **mask, stamp or mold**.
- Embrace chemical concepts of self-assembly, templating and crystal engineering, with soft lithographic techniques of microcontact printing and micromolding.
- Shape materials over different length scales from 1 nm to 500 mm.
- Pattern two- and three-dimensional structures on planar and curved surfaces.
- Important in MEMS and biological applications.

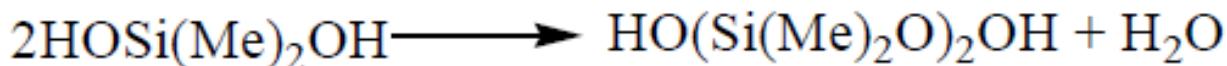
# The Core Material of Soft Lithography

## Poly(dimethylsiloxane) (PDMS)



Very bendable  
(135° - 180°)

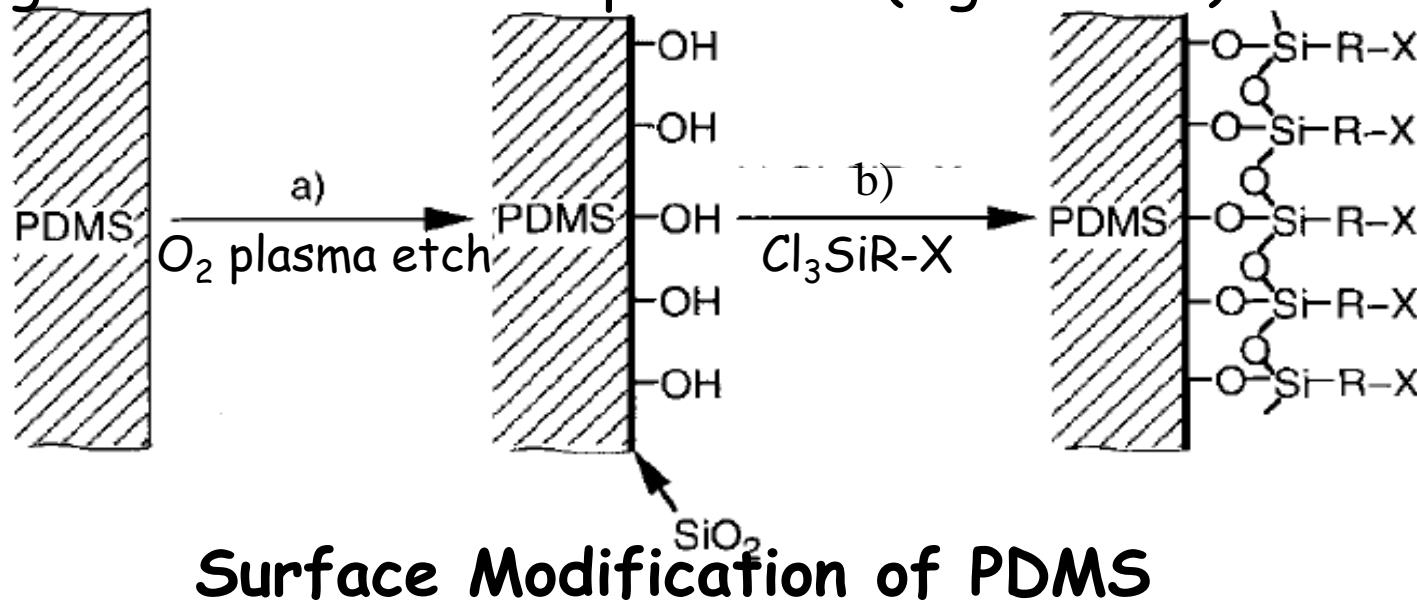
Polycondensation Reaction:



# Unique Properties of PDMS

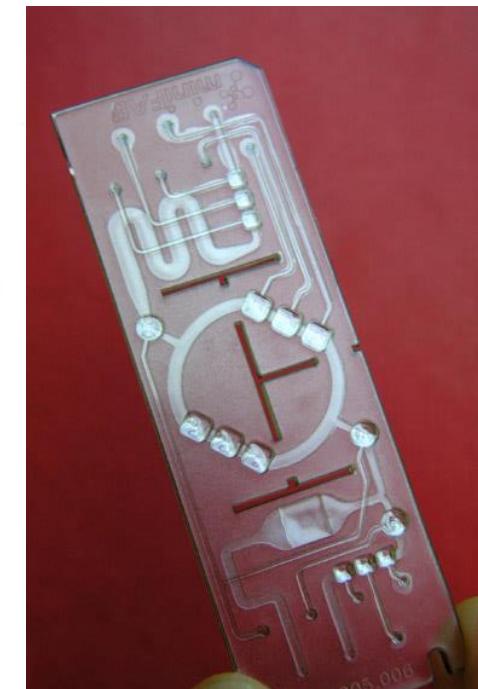
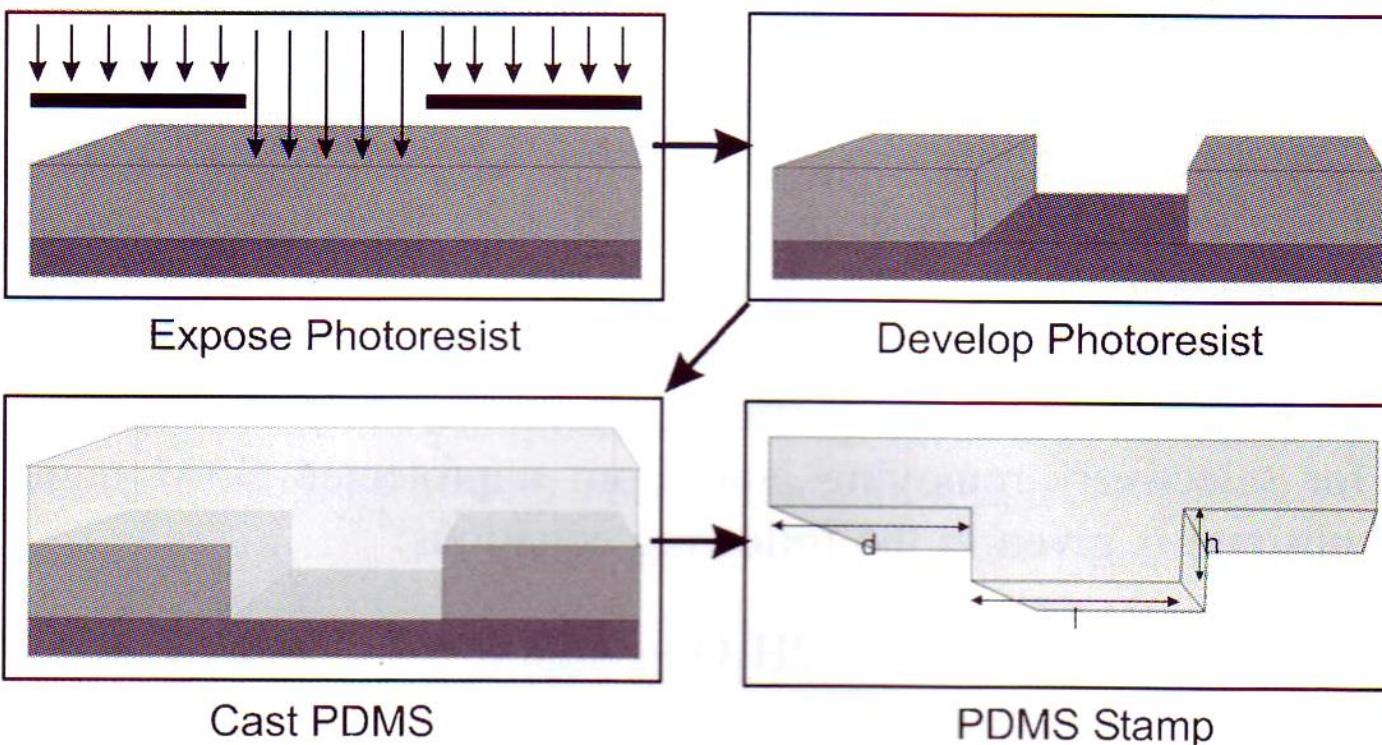
## Unique Properties:

- High flexibility and optically transparent
- High gas permeability
- Low surface energy ( $21.6 \times 10^{-3} \text{ J/m}^2$ )
- Conformal contact to almost all surfaces
- Low glass transition temperature ( $T_g \sim 146\text{K}$ )



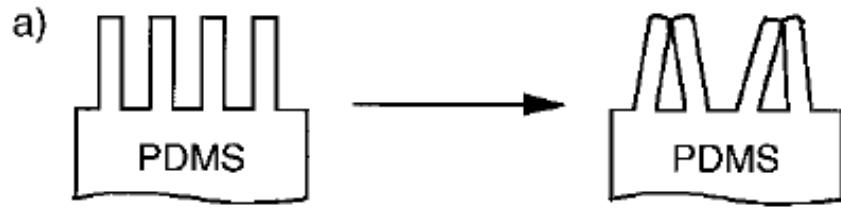
# The Science of Soft Lithography

$\text{CF}_3(\text{CF}_2)_6(\text{CH}_2)_2\text{SiCl}_3$  treatment



# Deformation/Distortion of Relief Structure

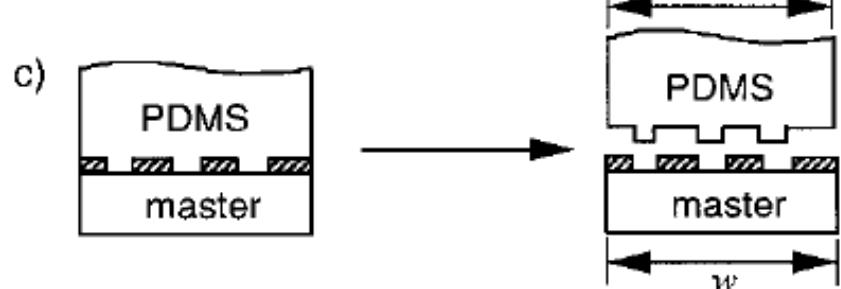
## Pairing



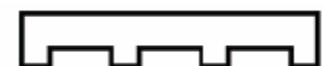
## Sagging



## Shrinking



Sometimes, deformation is good.  
(More structures from a single mask.)



Deposite LB films



oCP



Remove stamp



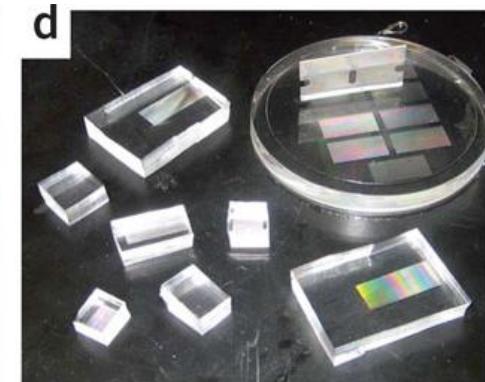
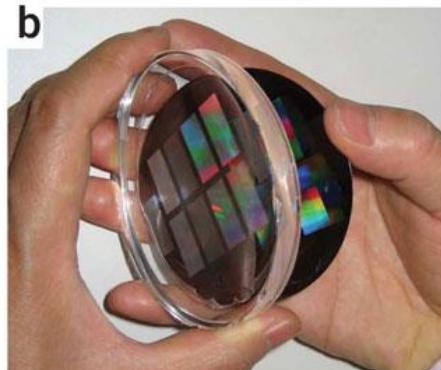
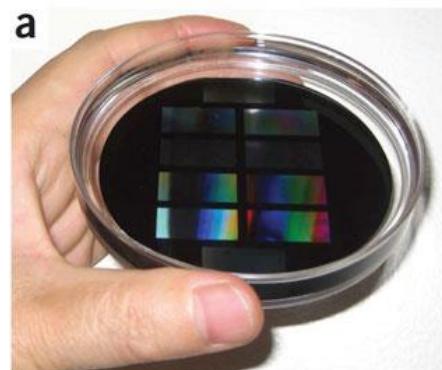
# Five Components of Soft Lithography

## Soft Lithography

- Microcontact printing ( $\mu$ CP)
- Replica molding (REM)
- Microtransfer molding ( $\mu$ TM)
- Micromolding in capillaries (MIMIC)
- Solvent-assisted micromolding (SAMIM)

## Resolution

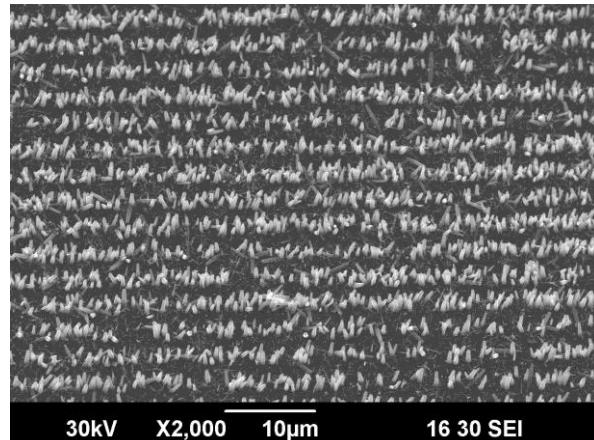
35 nm  
 $\sim$ 2 nm  
1  $\mu$ m  
1  $\mu$ m  
60 nm



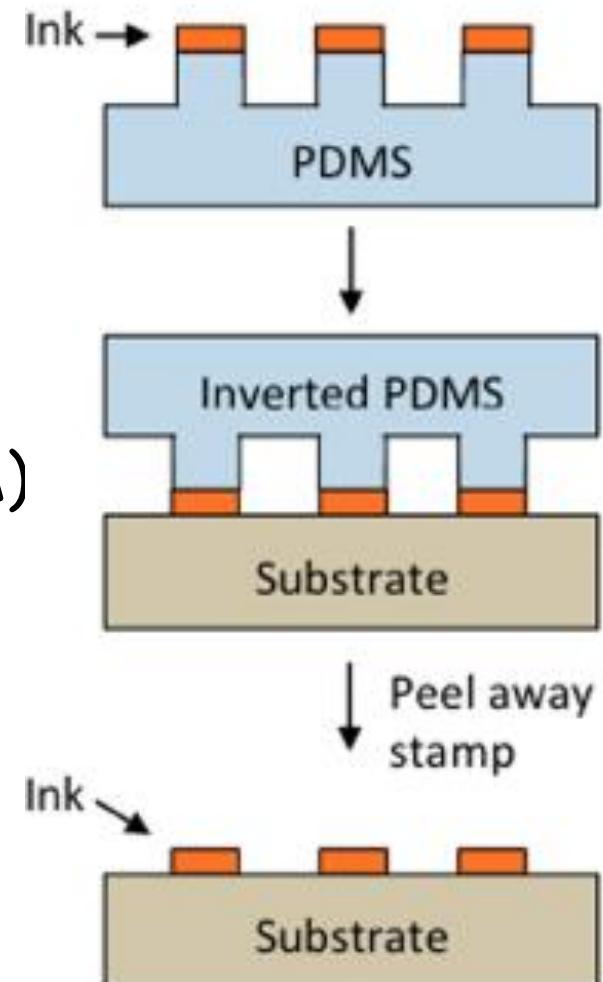
# Five Components of Soft Lithography

## Soft Lithography

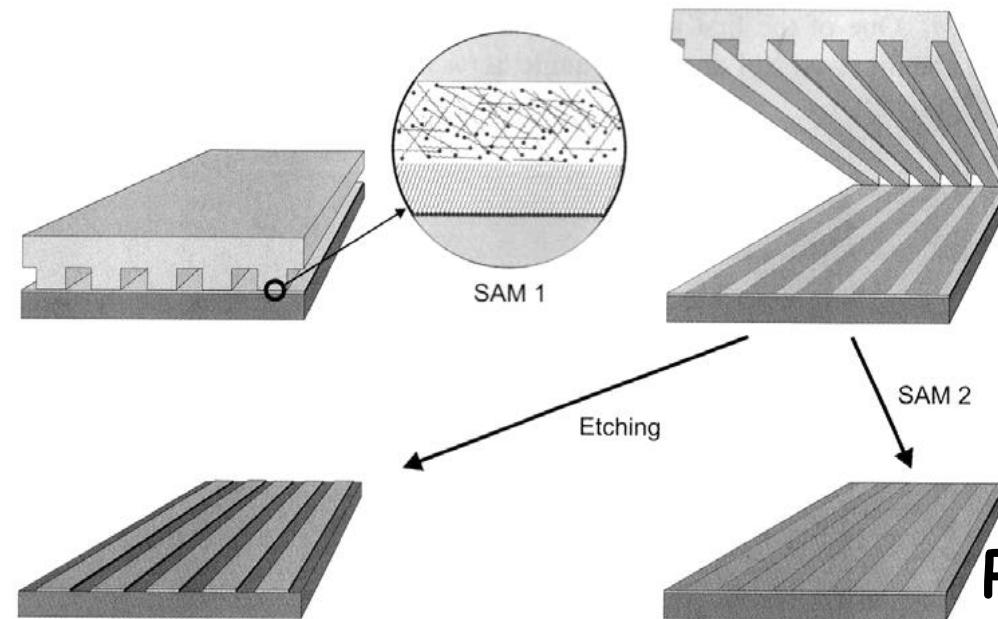
- Microcontact printing ( $\mu$ CP)
- Replica molding (REM)
- Microtransfer molding ( $\mu$ TM)
- Micromolding in capillaries (MIMIC)
- Solvent-assisted micromolding (SAMIM)



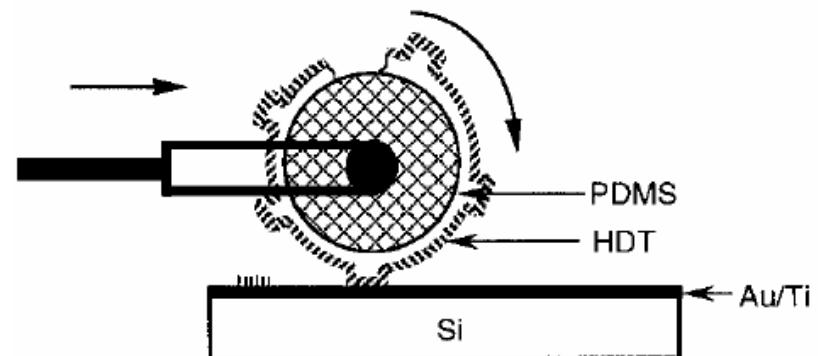
Patterned ZnO Nanowires



# Principles of Microcontact Printing

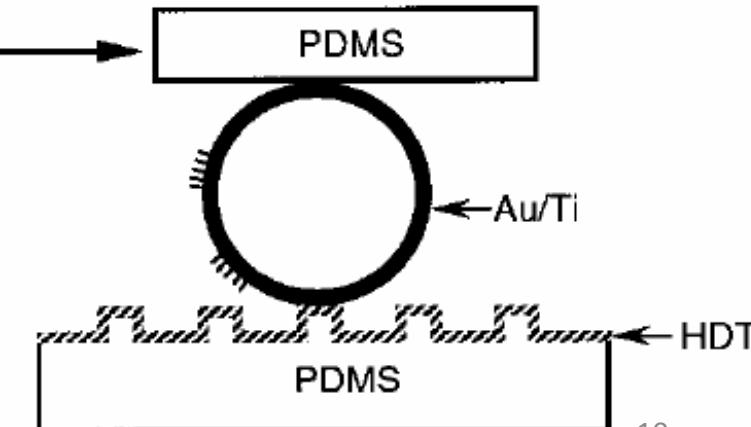


Roll-to-Roll Printing



Printing on Nonplanar Surface

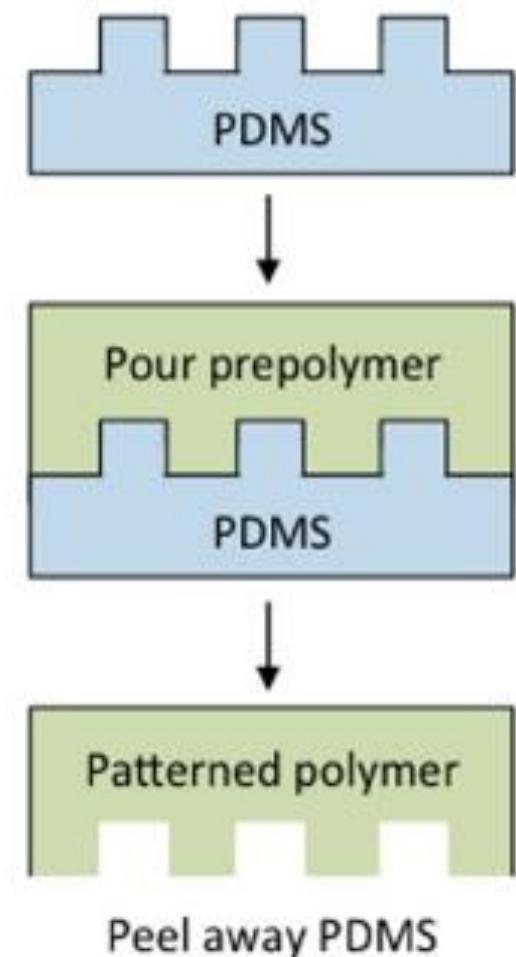
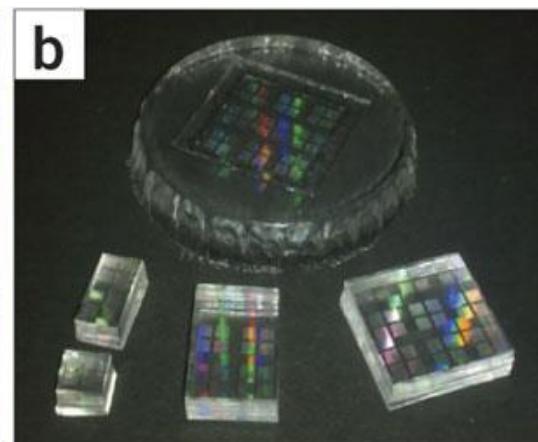
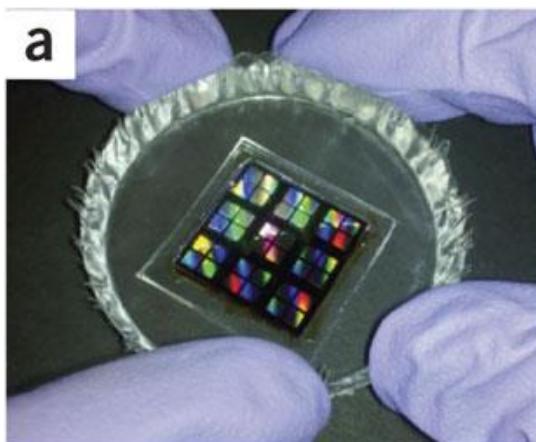
- Dip PDMS stamp in ~2 mM alkanethiol ethanol solution for 1-2 minutes.
- Press PDMS stamp on gold surface for 10-20s.



# Five Components of Soft Lithography

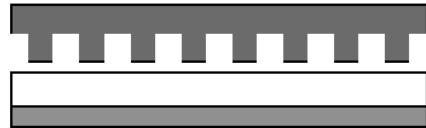
## Soft Lithography

- Microcontact printing ( $\mu$ CP)
- Replica molding (REM)
- Microtransfer molding ( $\mu$ TM)
- Micromolding in capillaries (MIMIC)
- Solvent-assisted micromolding (SAMIM)



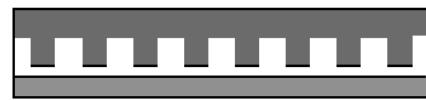
# “Soft” Replica Molding

## “Rigid-Mask” Molding



Si Mold  
Resist  
Substrate

(1) Press in mold



(2) Heat up mold and substrate

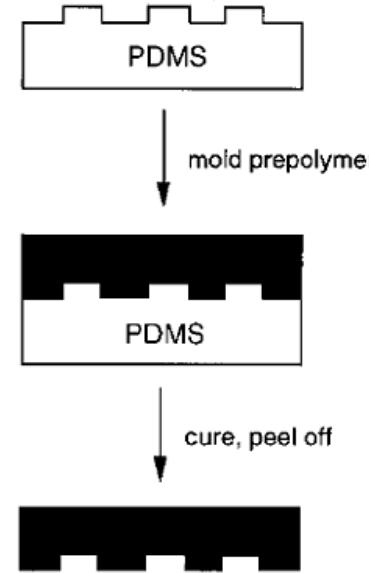


(3) Mold separation after cooling



(4) O<sub>2</sub> RIE

## “Soft-Mask” Molding

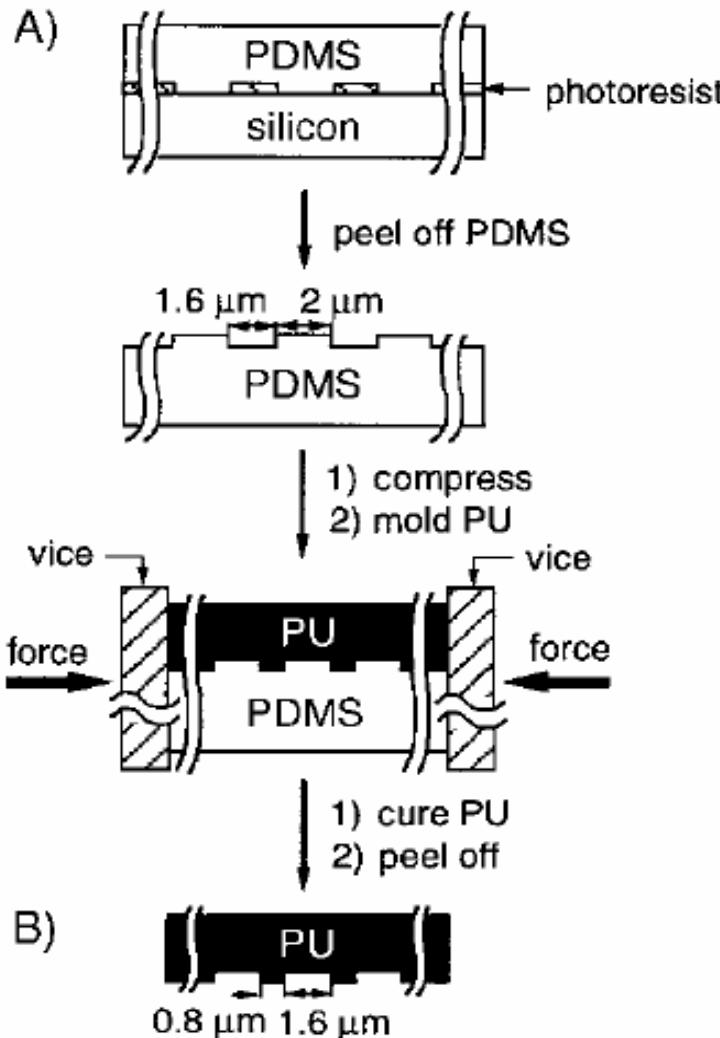


### Advantages of soft-mask molding:

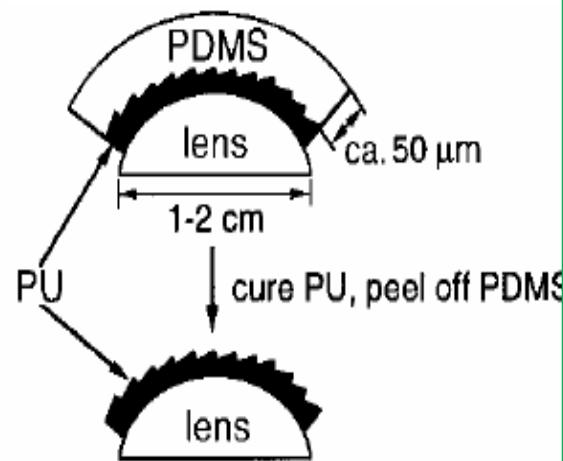
- The elasticity and low surface energy of the elastomeric PDMS mold allows it to be **released easily**.
- PDMS mold also enables manipulating the size and shape of features present on the **mold** by **mechanical deformation**.

# Manipulating PDMS Molds

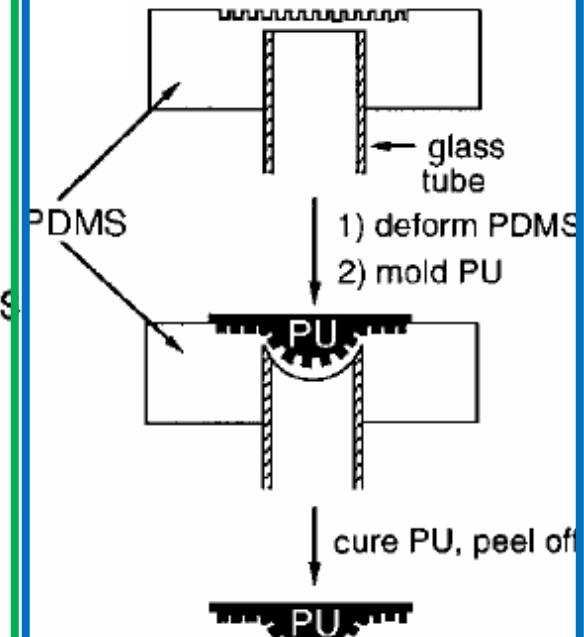
## Mechanical Compression



## Bending



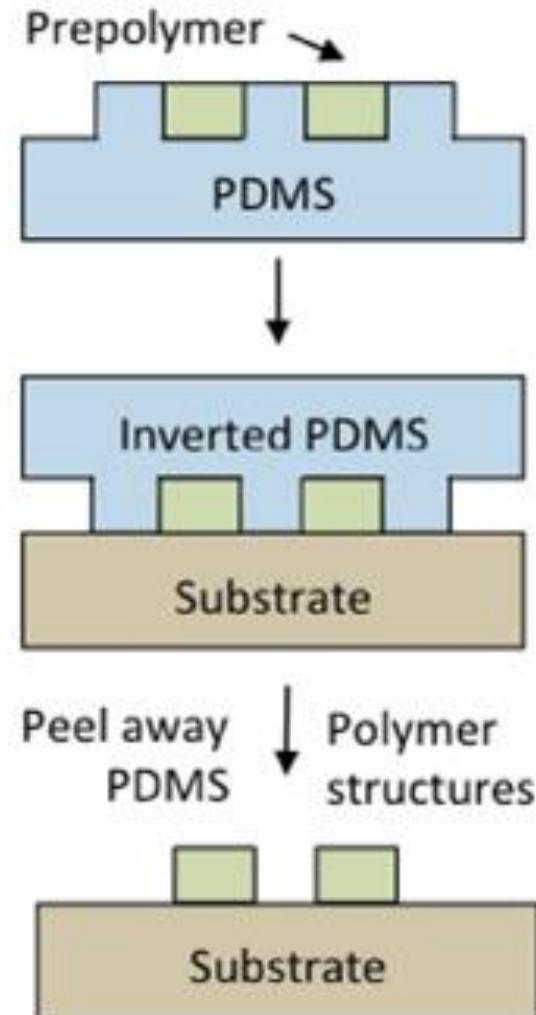
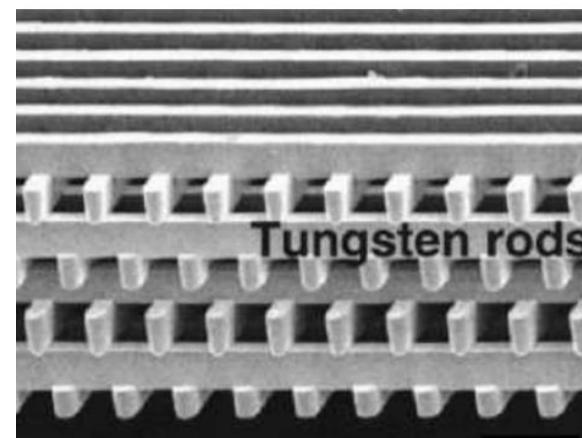
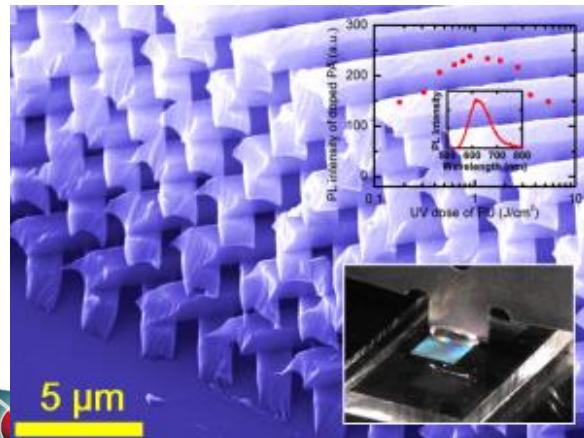
## Stretching



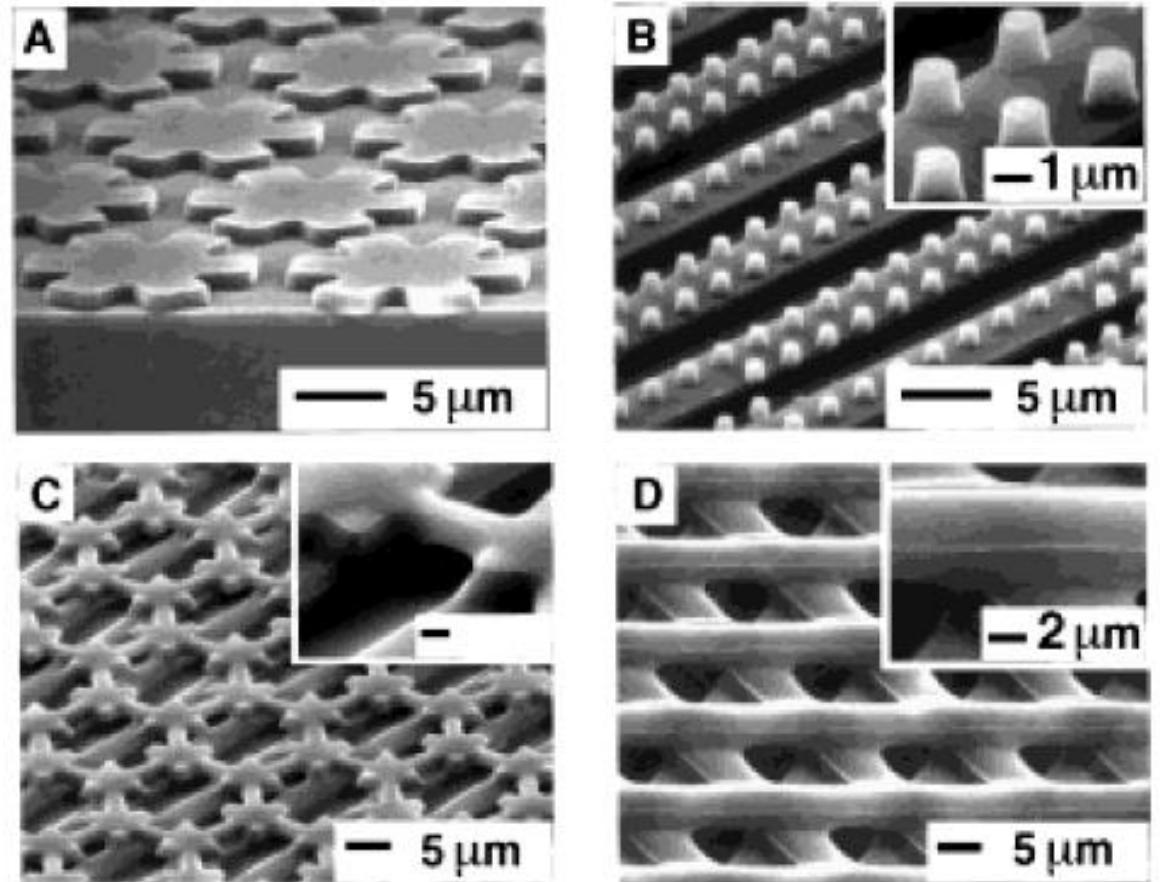
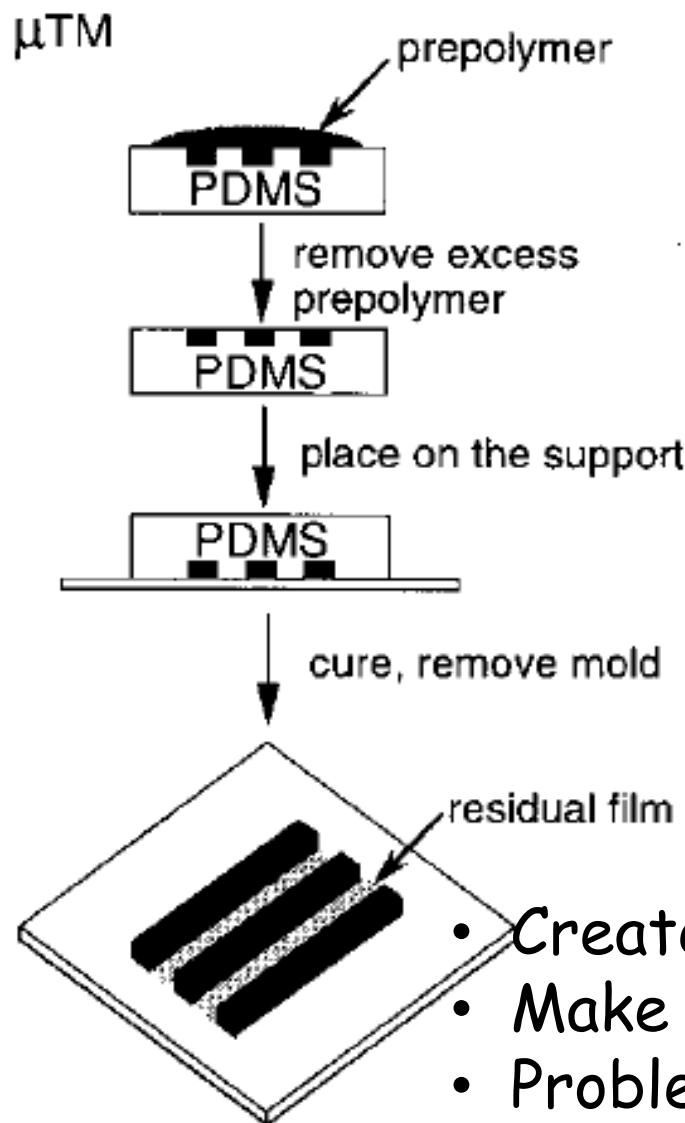
# Five Components of Soft Lithography

## Soft Lithography

- Microcontact printing ( $\mu$ CP)
- Replica molding (REM)
- **Microtransfer molding ( $\mu$ TM)**
- Micromolding in capillaries (MIMIC)
- Solvent-assisted micromolding (SAMIM)



# Microtransfer Molding ( $\mu$ TM)

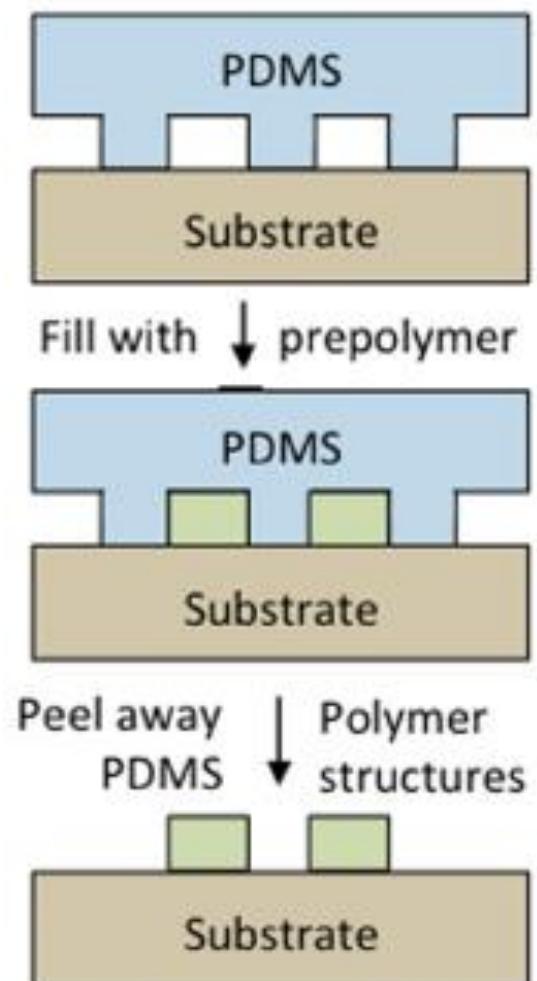
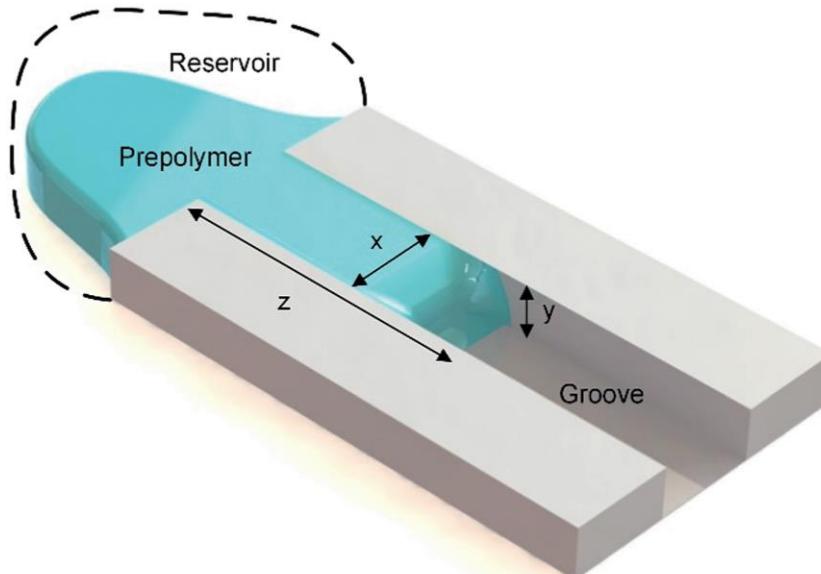


- Create microstructures on nonplanar surfaces.
- Make three-dimensional microstructures.
- Problem: residual film.

# Five Components of Soft Lithography

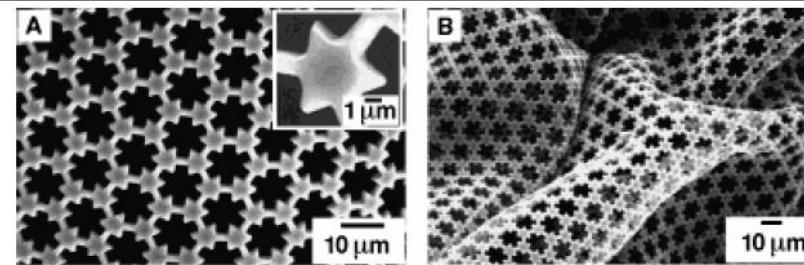
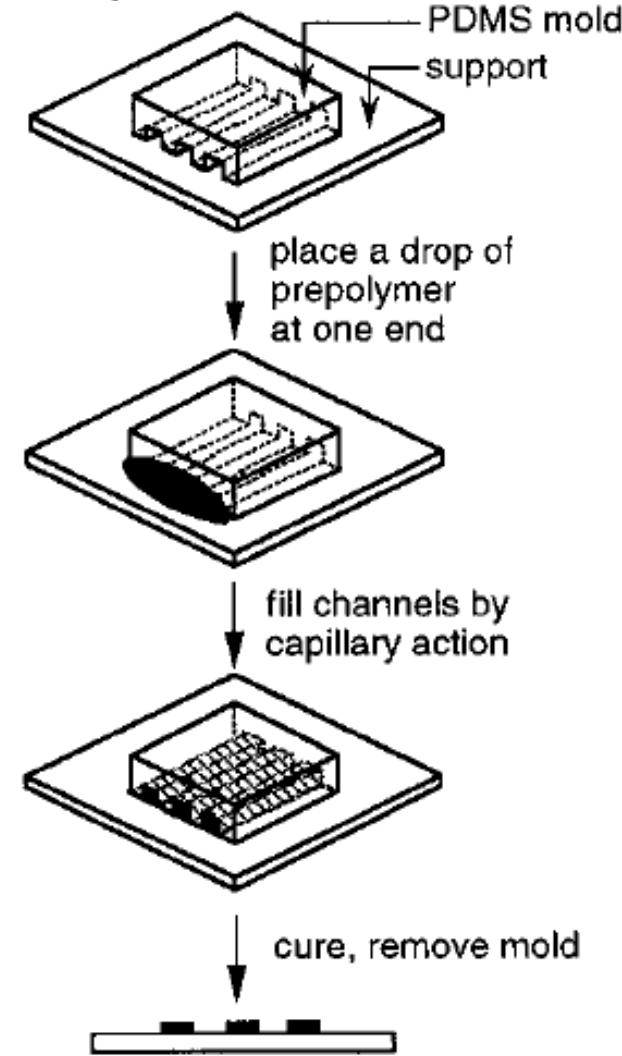
## Soft Lithography

- Microcontact printing ( $\mu$ CP)
- Replica molding (REM)
- Microtransfer molding ( $\mu$ TM)
- **Micromolding in capillaries (MIMIC)**
- Solvent-assisted micromolding (SAMIM)

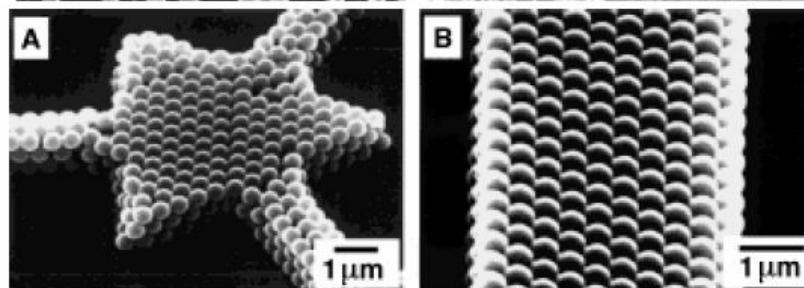


# Micromolding in Capillaries (MIMIC)

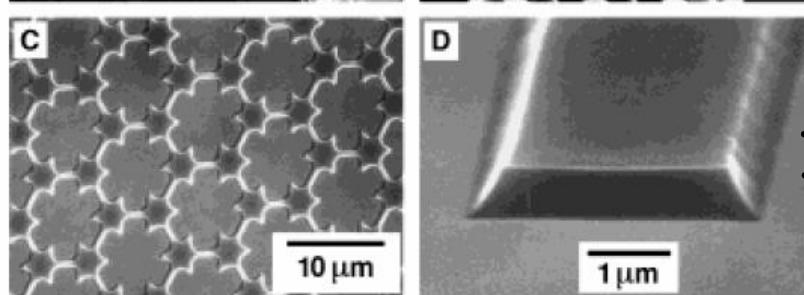
MIMIC



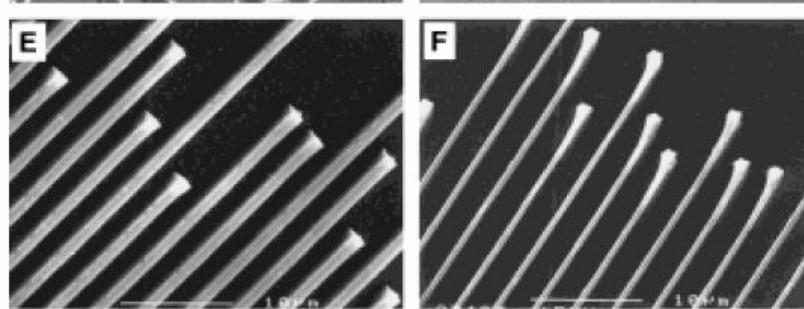
PMMA



Colloids

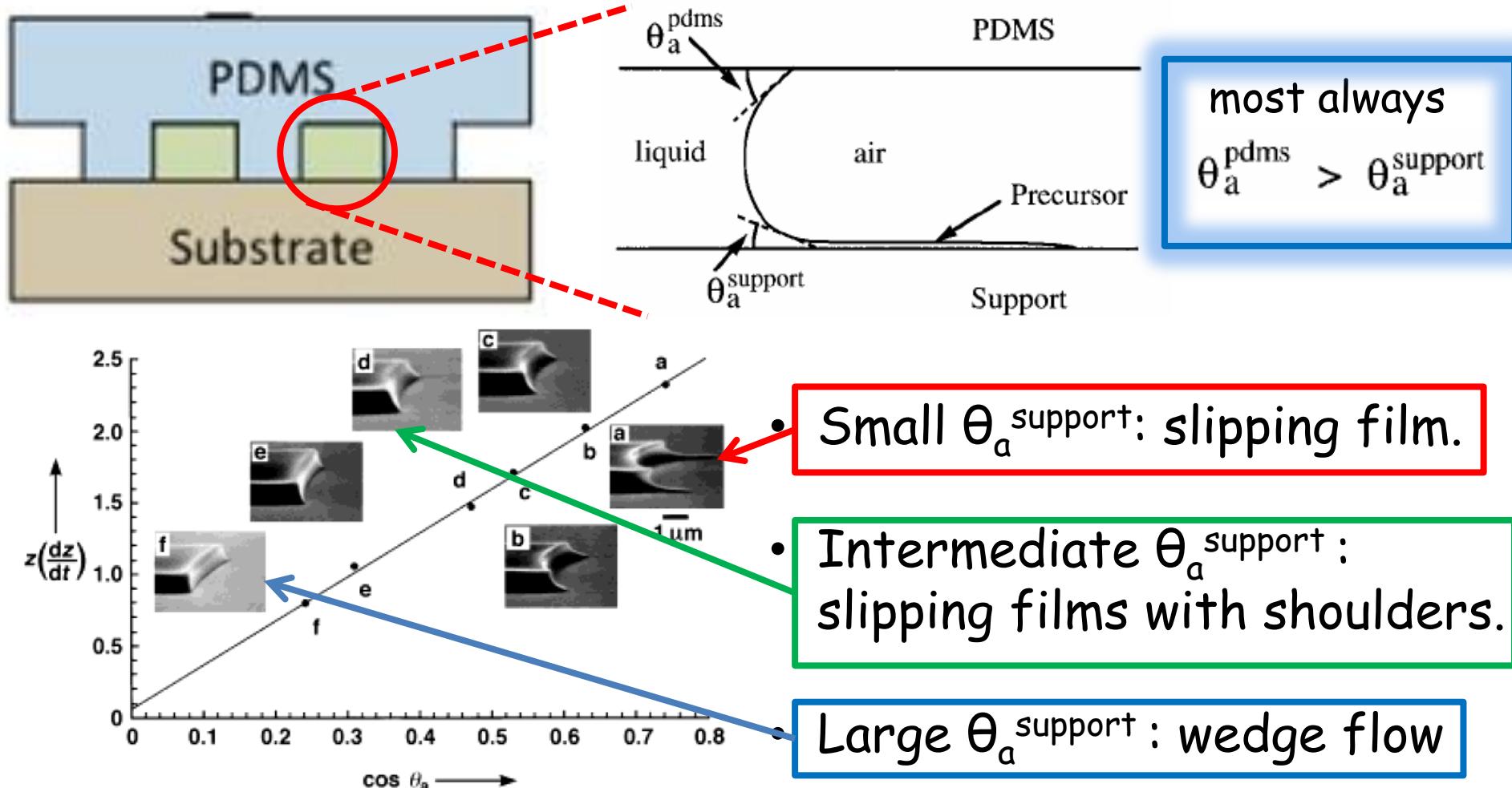


Inorganic salt

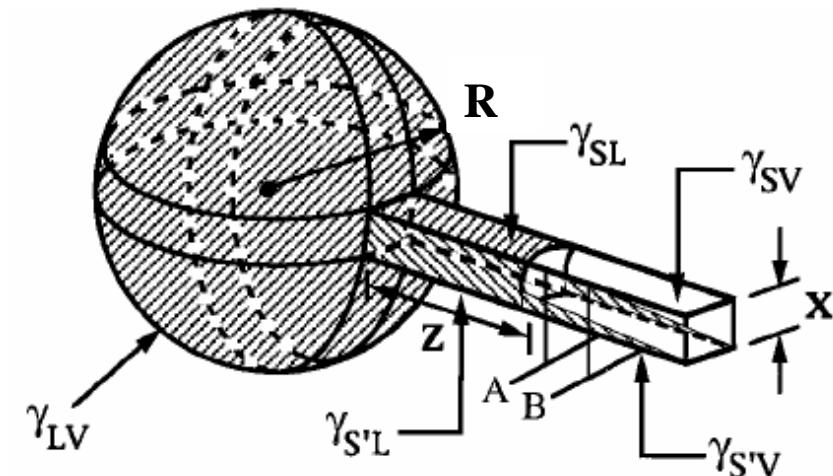


ZrO<sub>2</sub>

# Principles of Micromolding in Capillaries



# Rate of Capillary Filling



Rate of capillary filling:

Hydraulic radius      contact angle

$$\frac{dz}{dt} = \frac{R\gamma_{LV} \cos \theta}{4\eta z} = \frac{R(\gamma_{SV} - \gamma_{SL})}{4\eta z}$$

viscosity

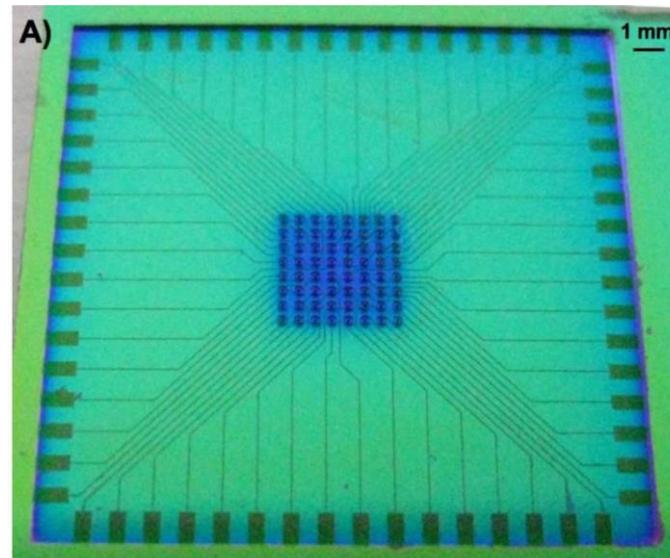
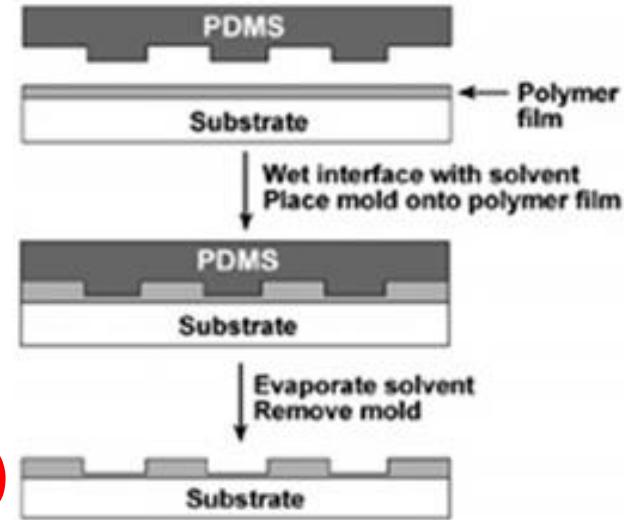
interfacial  
free energy

Square capillary:  $R = x^2 z / (4xz)$

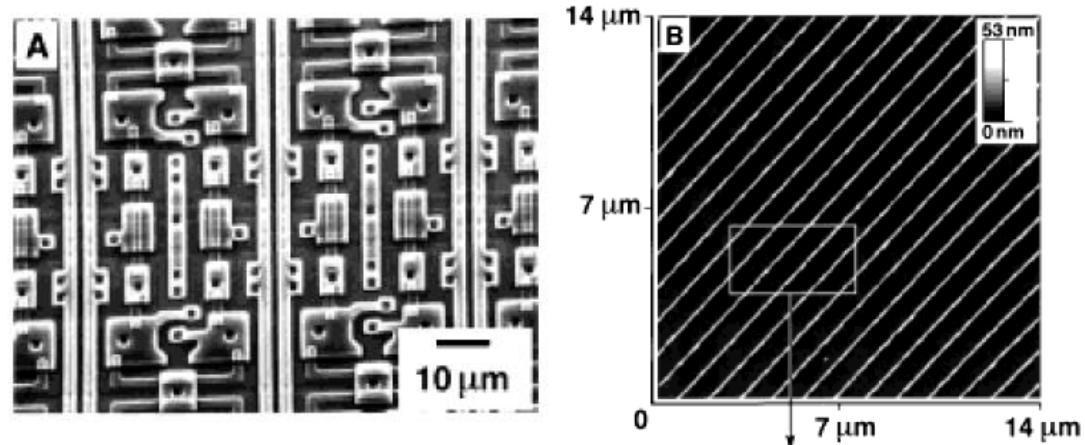
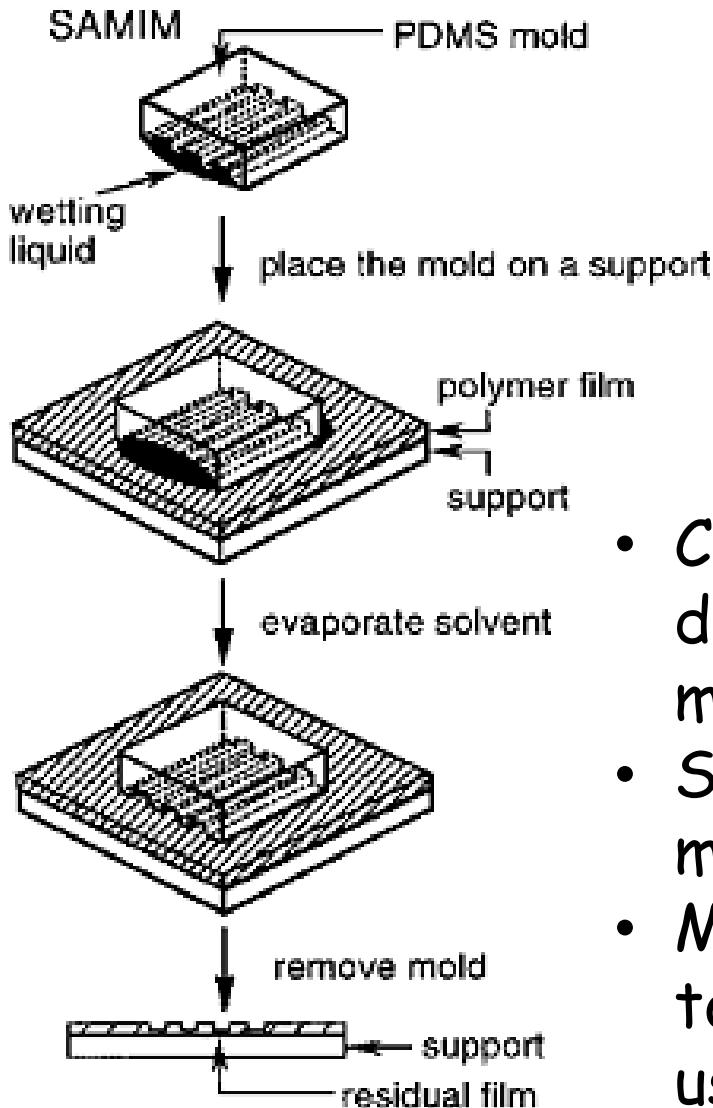
# Five Components of Soft Lithography

## Soft Lithography

- Microcontact printing ( $\mu$ CP)
- Replica molding (REM)
- Microtransfer molding ( $\mu$ TM)
- Micromolding in capillaries (MIMIC)
- Solvent-assisted micromolding (SAMIM) **(in red)**

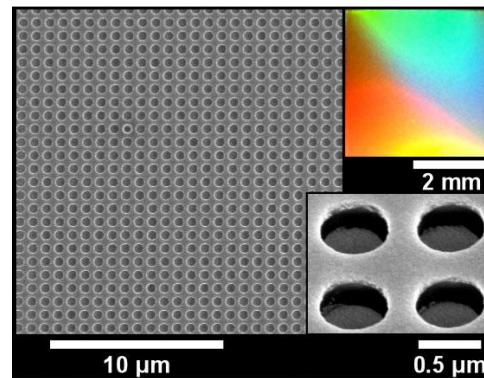
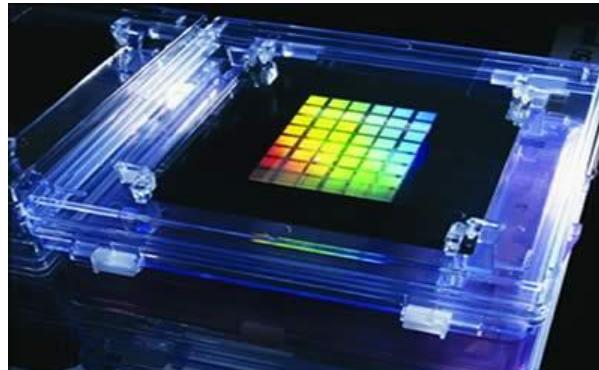
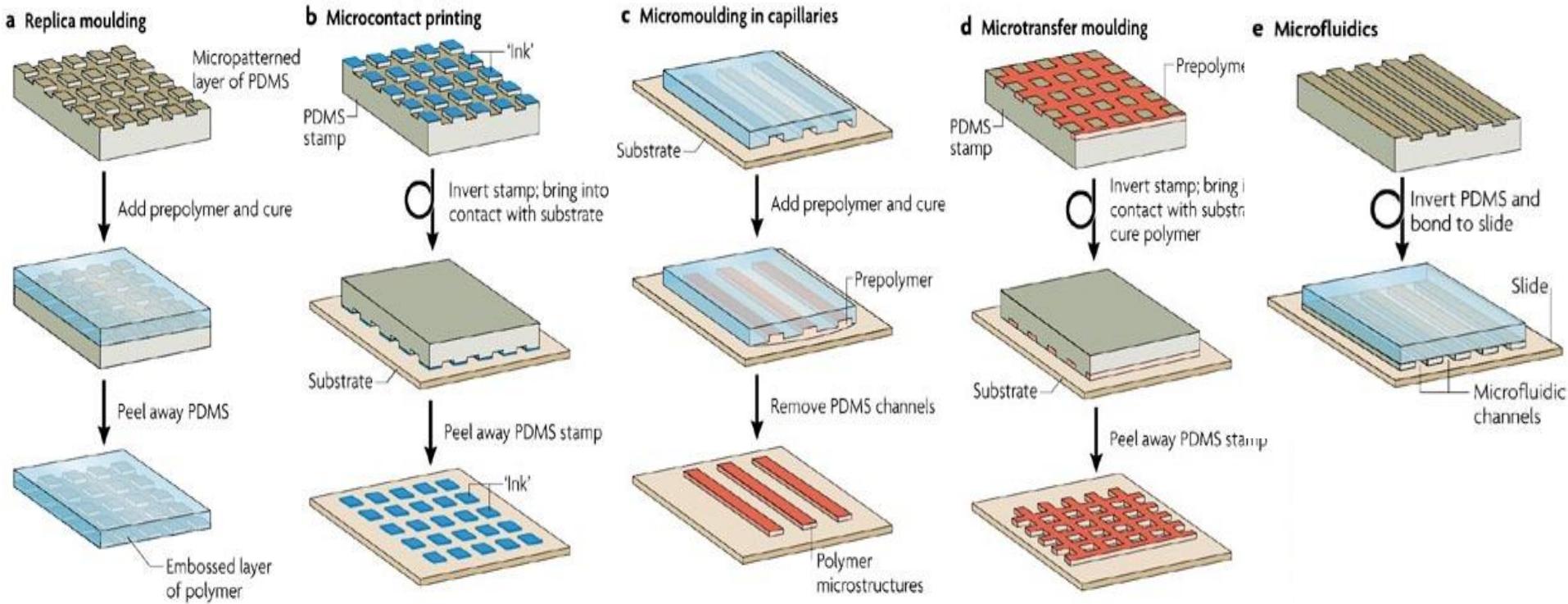


# Solvent-Assisted Micromolding (SAMIM)

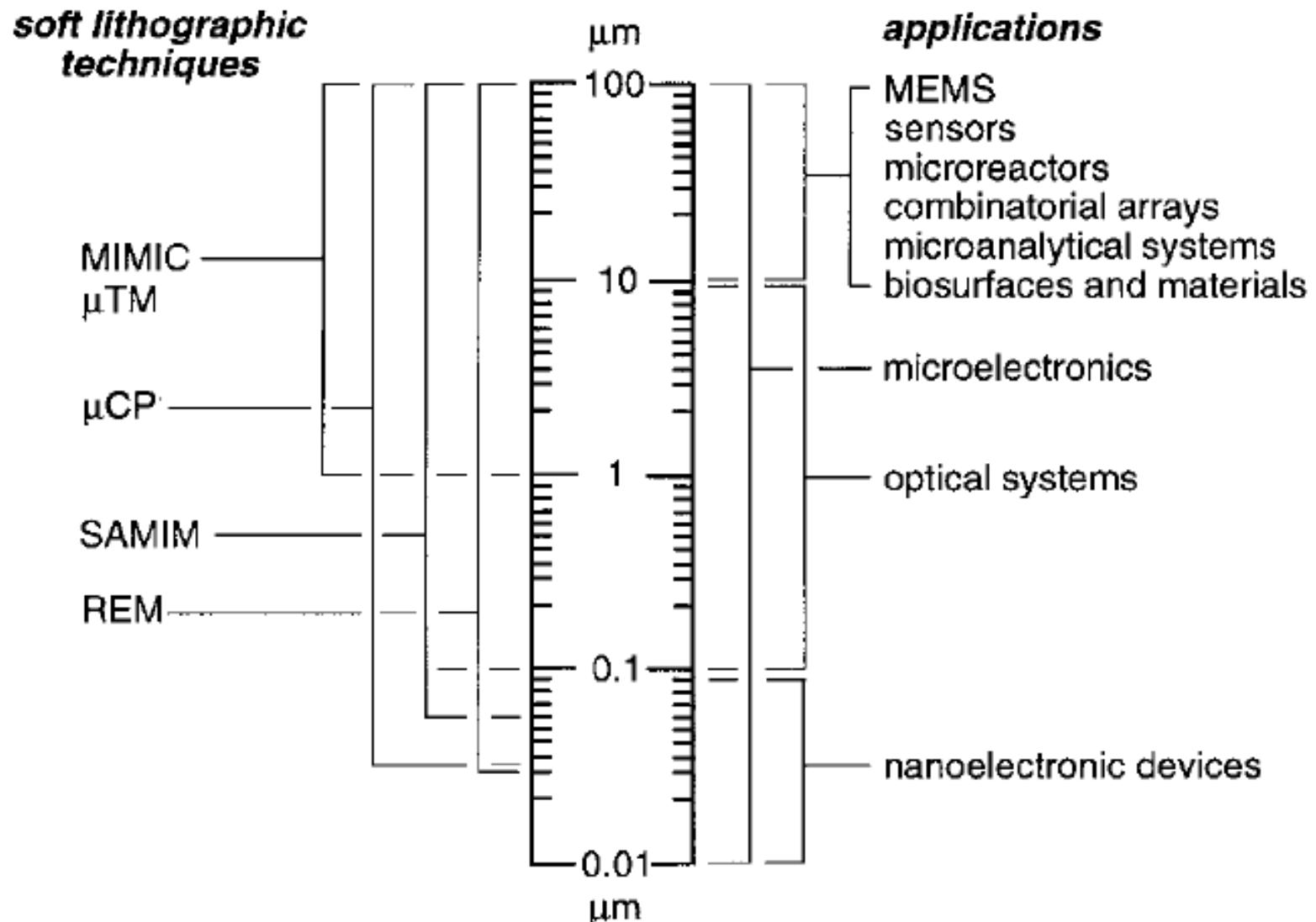


- Choice of solvent is critical - rapidly dissolve or swell polymer, but not PDMS mold.
- Solvent - high vapor pressure and a moderately high surface tension.
- Methanol, ethanol, acetone are good; toluene and dichloromethane can not be used.

# Five Components of Soft Lithography



# Applications of Soft Lithography



# Photolithography vs. Soft Lithography

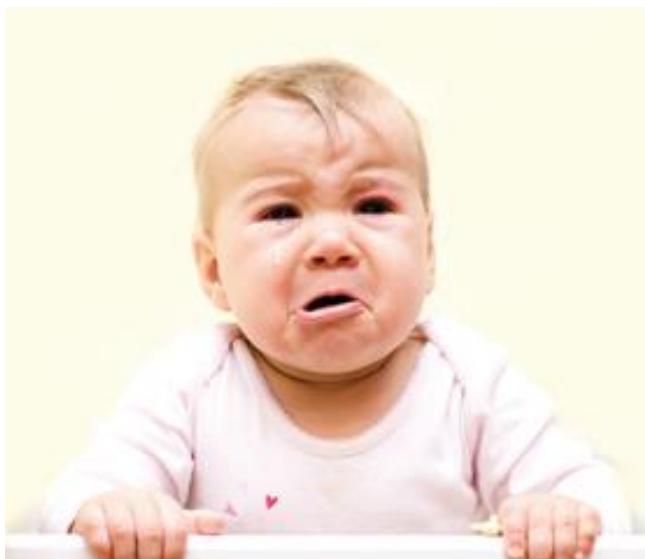
	Photolithography	Soft Lithography
Definition of patterns	Rigid photomask (patterned Cr supported on a quartz plate)	Elastomeric stamp or mold (a PDMS block patterned With relief features)
Materials that can be patterned directly	Photoresist (polymers with photosensitive additives) SAMs	SAMs Unsensitized polymers (epoxy, PU, PMMA, PPV, etc.) Polymer precursors Colloidal materials Sol-gel materials Organic and inorganic salts Biological macromolecules
Minimum feature size	ca. 60 nm	1 - 100 nm

# Advantages of Soft Lithography

- Convenient, inexpensive, accessible to chemists, biologists, and material scientists.
- Basis in self-assembly tends to minimize certain types of defects.
- Many soft lithographic processes are additive and minimize waste of materials.
- Isotropic mechanical deformation of PDMS mold or stamp provides routes to complex patterns.
- No diffraction limit; features as small as 30 nm have been fabricated.
- Nonplanar surfaces (lenses) can be used as substrates.
- Generation and replication of 3D topologies or structures are possible.
- Optical transparency of the mask allows through-mask registration and processing.
- Good control over surface chemistry, very useful for interfacial engineering.
- Applicable to manufacturing: production of indistinguishable copies at low cost.

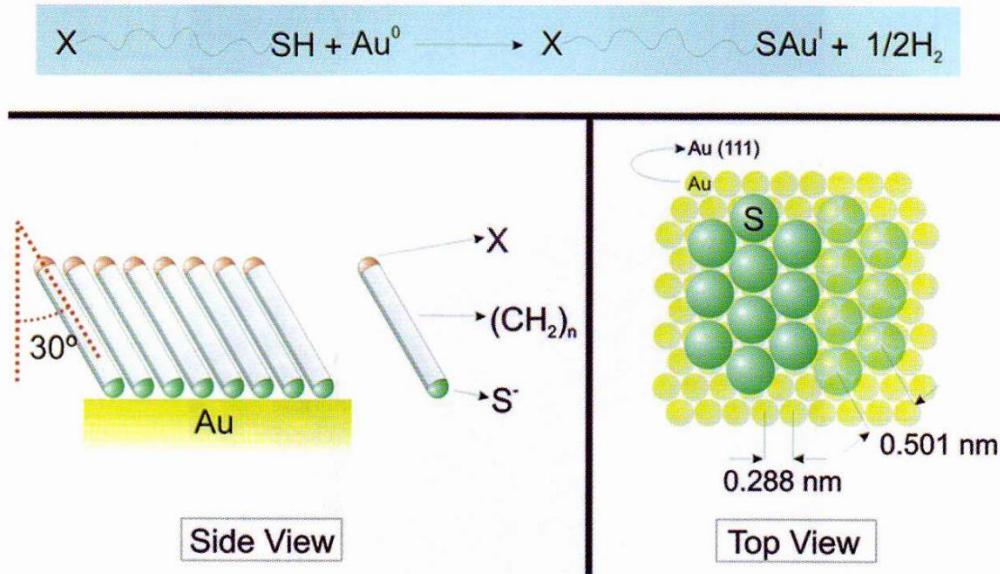
# Disadvantages of Soft Lithography

- Patterns in the stamp or mold may distort due to the deformation (pairing, sagging, swelling, and shrinking) of the elastomer used.
- Difficulty in achieving accurate registration with elastomers ( $<1 \mu\text{m}$ ).
- Compatibility with current integrate-circuit processes and materials must be demonstrated.
- Defect levels higher than photolithography.
- $\mu\text{CP}$  works well with only a limited range of surfaces; MIMIC is slow; REM,  $\mu\text{TM}$ , and SAMIM leave a thin film of polymer over the surface.

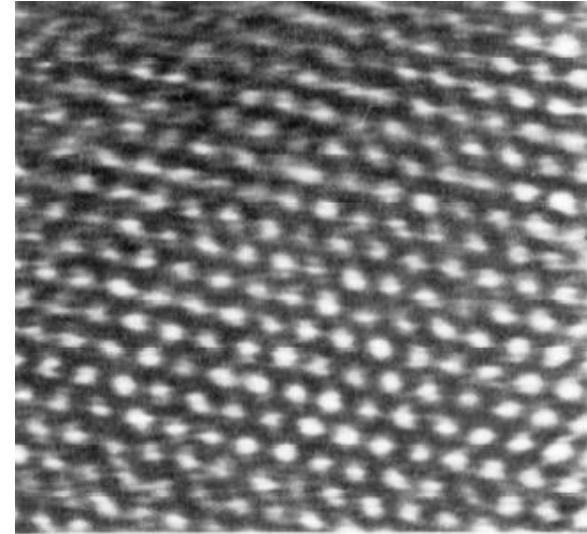


# Self-Assembled Monolayers (SAMs)

Oxidative addition:



Hexanethiolate SAM On Au (111)



(J. Am. Chem. Soc. 114, 1222, 1992)

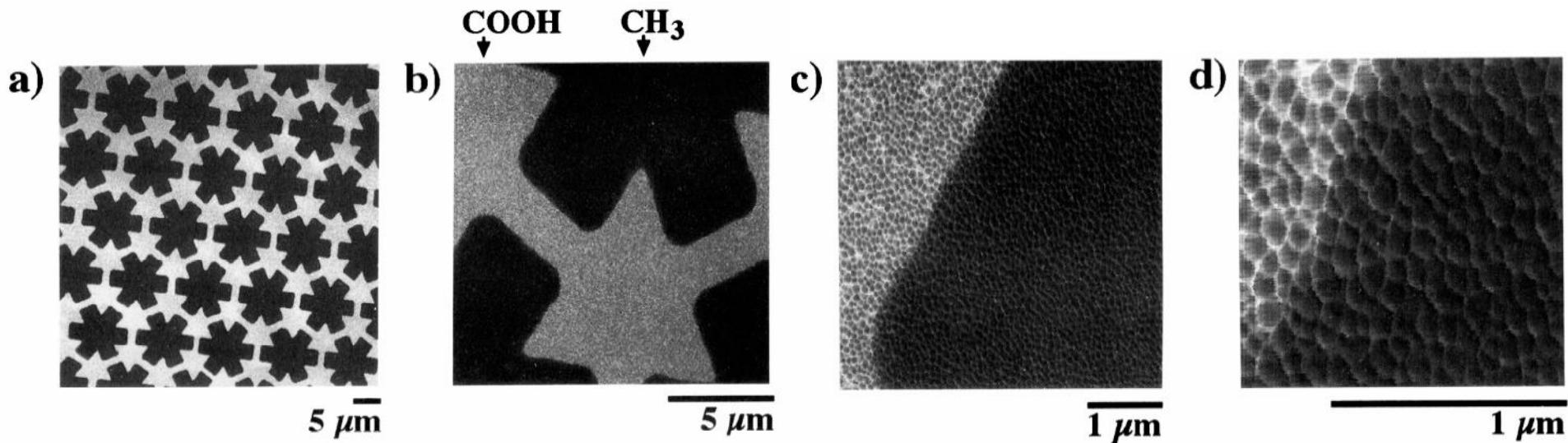
- The real power of SAMs stems from the ability to chemically tailor the terminal X groups of the alkanethiolate.
- Surface organic chemistry allows controlling surface wettability, adhesion, corrosion, etch protection, chemical and electrochemical reactivity, etc.

# Substrates and Ligands that Form SAMs

Substrate	Ligand or Precursor	Binding	
Au	RSH, ArSH (thiols)	RS-Au	Functionalized cubes and rods can be obtained by following the reactions of Au nanoparticles
Au	RSSR' (disulfides)	RS-Au	
Au	RSR' (sulfides)	RS-Au	
Au	RSO <sub>2</sub> H	RSO <sub>2</sub> -Au	
Au	R <sub>3</sub> P	R <sub>3</sub> P-Au	
Ag	RSH, ArSH	RS-Ag	
Cu	RSH, ArSH	RS-Cu	
Pd	RSH, ArSH	RS-Pd	
Pt	RNC	RNC-Pt	
GaAs	RSH	RS-GaAs	
InP	RSH	RS-InP	
SiO <sub>2</sub> , glass	RSiCl <sub>3</sub> , RSi(OR') <sub>3</sub>	siloxane	
Si/Si-H	(RCOO) <sub>2</sub> (neat)	R-Si	
Si/Si-H	RCH=CH <sub>2</sub>	RCH <sub>2</sub> CH <sub>2</sub> Si	Ligand exchange/conjugate reactions to provide quantum clusters with functional groups/molecules as shown to the right
Si/Si-Cl	RLi, RMgX	R-Si	
metal oxides	RCOOH	RCOO <sup>-</sup> ... MO <sub>n</sub>	
metal oxides	RCONHOH	RCONHOH ... MO <sub>n</sub>	
ZrO <sub>2</sub>	RPO <sub>3</sub> H <sub>2</sub>	RPO <sub>3</sub> <sup>2-</sup> ... Zr <sup>IV</sup>	
In <sub>2</sub> O <sub>3</sub> /SnO <sub>2</sub> (ITO)	RPO <sub>3</sub> H <sub>2</sub>	RPO <sub>3</sub> <sup>2-</sup> ... M <sup>n+</sup>	

# SAMs with Different Head Groups

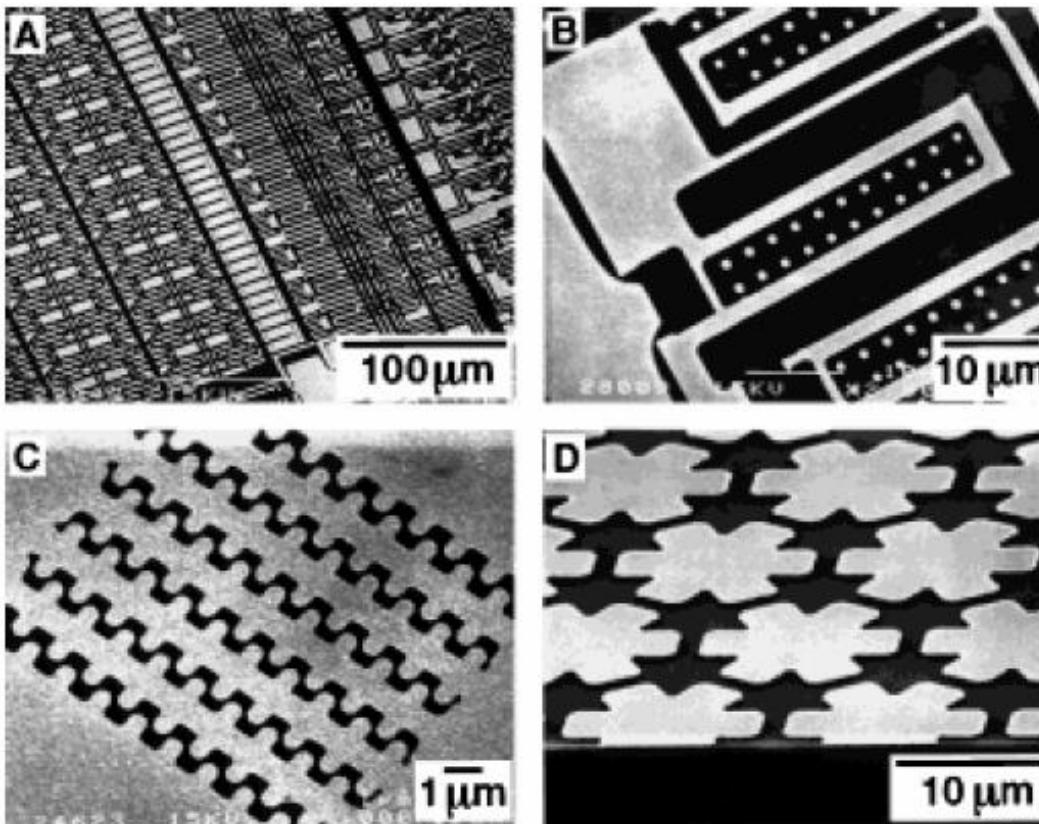
## Lateral Force Microscope (Contact Mode AFM)



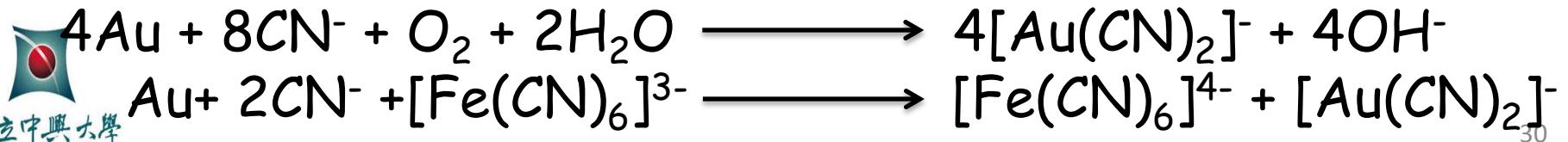
- $\mu\text{CPed HS}(\text{CH}_2)_{15}\text{CH}_3$  followed by adsorption of  $\text{HS}(\text{CH}_2)_{15}\text{COOH}$ .
- The contrast arose from differences in the fractional force between the AFM tip and the surface in each region.



# Patterned SAMs as Ultrathin Resists in Selective Wet Etching



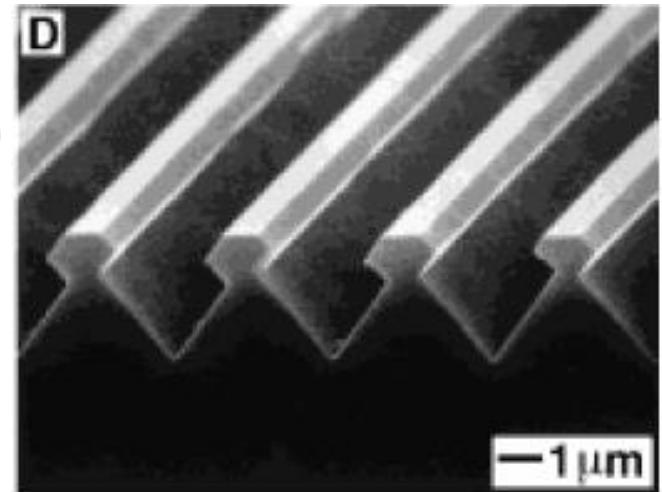
Representative Au wet-etch chemistry:



# Etchants Used with Patterned SAMs

Surface SAM      Etchant (approximate pH)

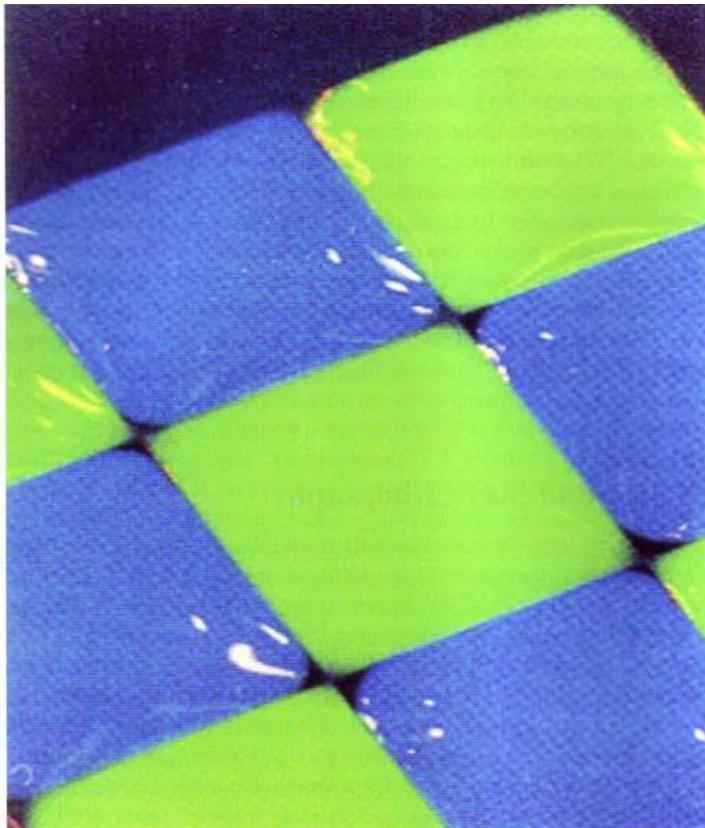
Au	$\text{RS}^-$	$\text{K}_2\text{S}_2\text{O}_3/\text{K}_3[\text{Fe}(\text{CN})_6]/\text{K}_4[\text{Fe}(\text{CN})_6]$ (14) $\text{KCN}/\text{O}_2$ (14) $\text{CS}(\text{NH}_2)_2/\text{H}_2\text{O}_2$ (1)
Ag	$\text{RS}^-$	$\text{Fe}(\text{NO}_3)_3$ (6) $\text{K}_2\text{S}_2\text{O}_3/\text{K}_3[\text{Fe}(\text{CN})_6]/\text{K}_4[\text{Fe}(\text{CN})_6]$ (7) $\text{NH}_4\text{OH}/\text{K}_3[\text{Fe}(\text{CN})_6]/\text{K}_4[\text{Fe}(\text{CN})_6]$ (12) $\text{NH}_4\text{OH}/\text{H}_2\text{O}_2$ (12) $\text{NH}_4\text{OH}/\text{O}_2$ (12) $\text{KCN}/\text{O}_2$ (14)
Cu	$\text{RS}^-$	$\text{FeCl}_3/\text{HCl}$ (1) $\text{FeCl}_3/\text{NH}_4\text{Cl}$ (6) $\text{H}_2\text{O}_2/\text{HCl}$ (1)
GaAs	$\text{RS}^-$	$\text{HCl}/\text{HNO}_3$ (1)
Pd	$\text{RS}^-$	$\text{HCl}/\text{HNO}_3$ (1)
Al	$\text{RPO}_3^{2-}$	$\text{HCl}/\text{HNO}_3$ (1)
Si/SiO <sub>2</sub>	$\text{RSiO}_{3/2}^{[a]}$	$\text{HF}/\text{NH}_4\text{F}$ (2)
glass	$\text{RSiO}_{3/2}^{[a]}$	$\text{HF}/\text{NH}_4\text{F}$ (partially selective)



Anisotropic etching of Si (100)

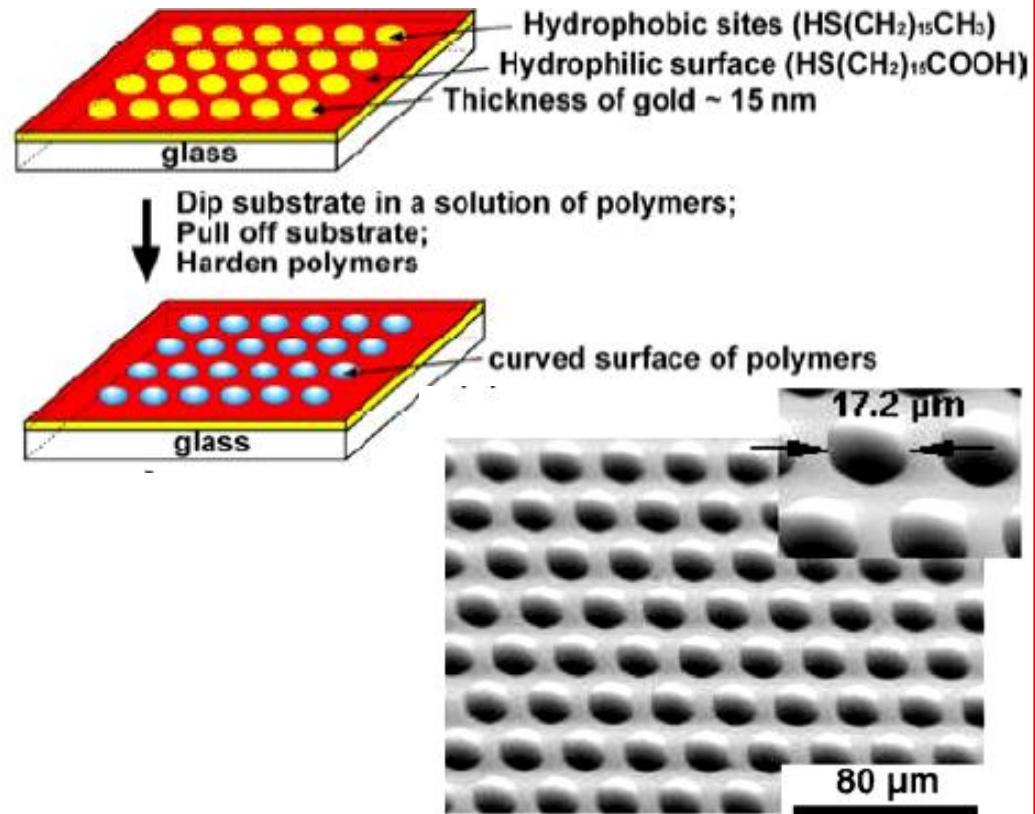
# Patterning Wettability

## Condensation Figures



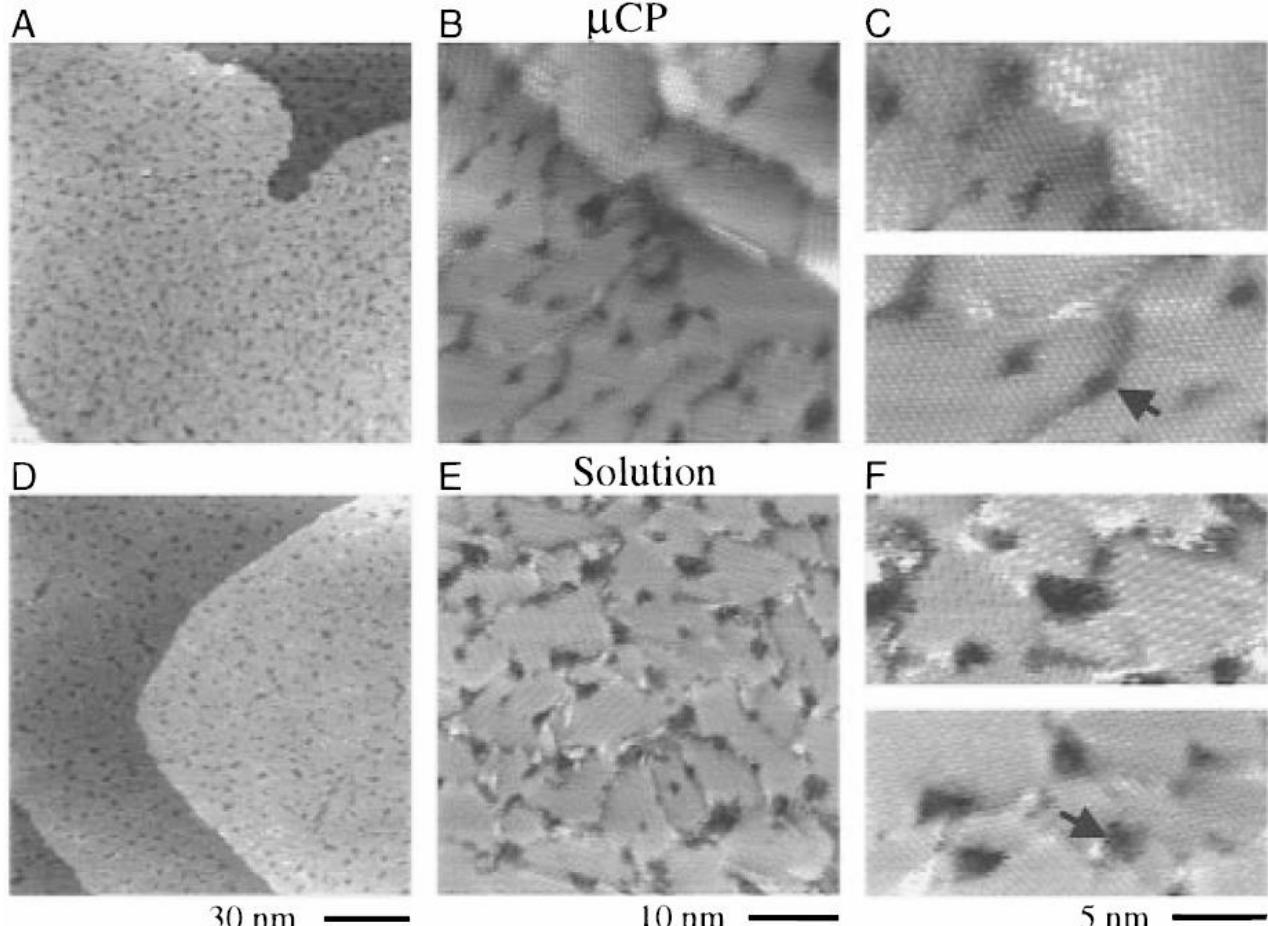
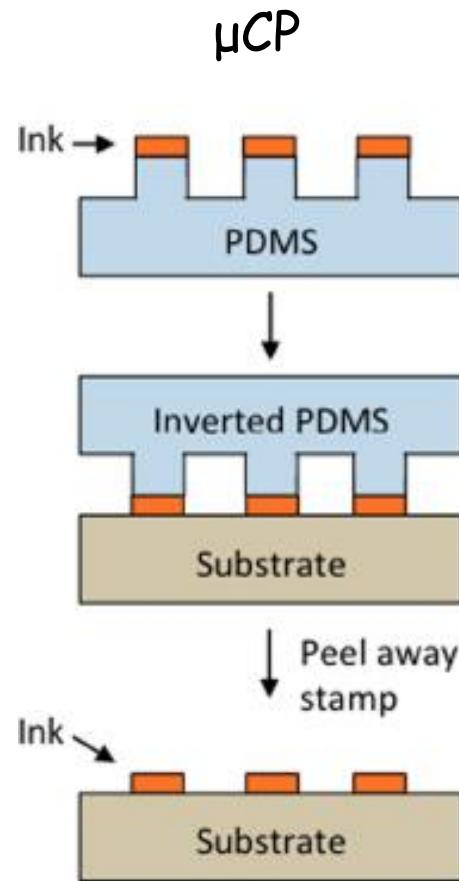
Square drops of water held by surrounding hydrophobic lines.

## Microlens Arrays



Polyurethane precursors selectively wet patterned hydrophobic regions

# SAMs Formed by $\mu$ CP vs. Adsorption



# $\mu$ CP vs. other SAMs Patterning Techniques

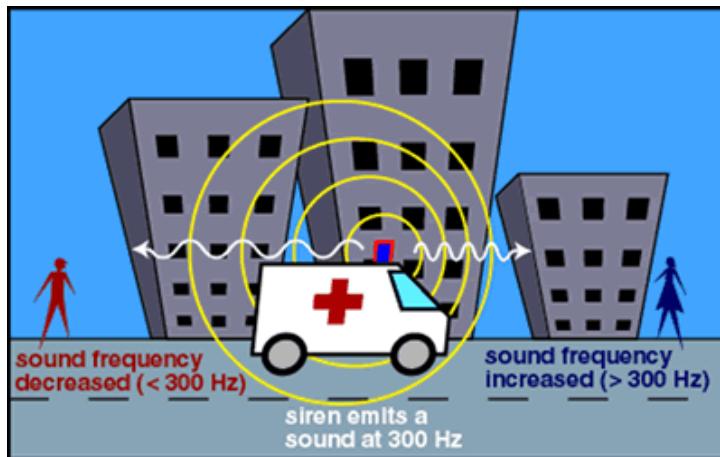
Technique	SAMs	Resolution
microcontact printing ( $\mu$ CP)	RSH/Au RSH/Ag RSH/Cu RSH/Pd $\text{RPO}_3\text{H}_2/\text{Al}$ siloxane/ $\text{SiO}_2$	35 nm 100 nm 500 nm 500 nm 500 nm 500 nm
photooxidation	RSH/Au	10 $\mu$ m
photo-cross-linking	RSH/Au	10 $\mu$ m
photoactivation	RSH/Au siloxane/glass	10 $\mu$ m 10 $\mu$ m
photolithography/plating	siloxane/ $\text{SiO}_2$	500 nm
electron-beam writing	RSH/Au RSH/GaAs siloxane/ $\text{SiO}_2$	75 nm 25 nm 5 nm
focused ion beam writing	RSH/Ag	10 $\mu$ m
neutral metastable atom writing	RSH/Au siloxane/ $\text{SiO}_2$	70 nm 70 nm
SPM lithography	RSH/Au	10 nm
micromachining	RSH/Au	100 nm
micropen writing	RSH/Au	10 $\mu$ m

# Invisible Materials



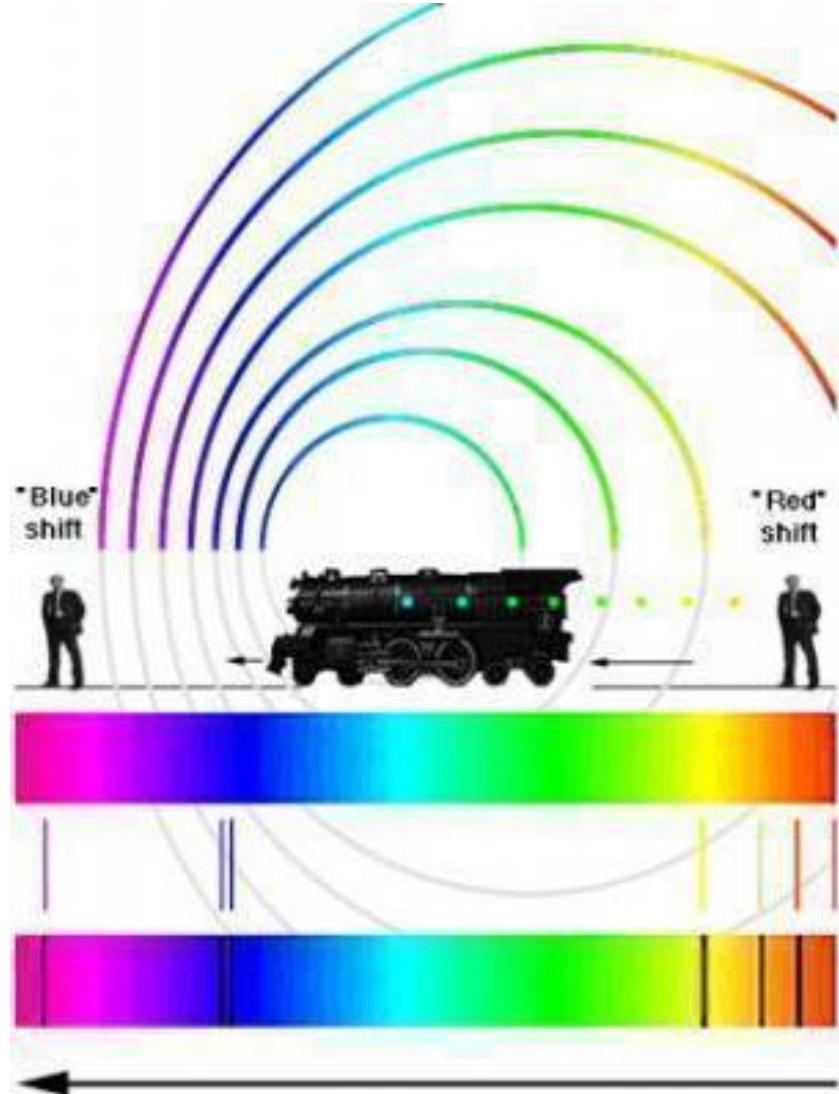


# Doppler Effect



## Doppler Effect

$$f_o = f_s \left( \frac{v \pm v_o}{v \mp v_s} \right)$$

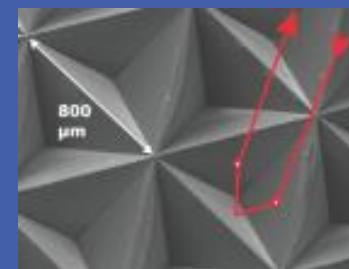


# Run! Run! The Flash Run!



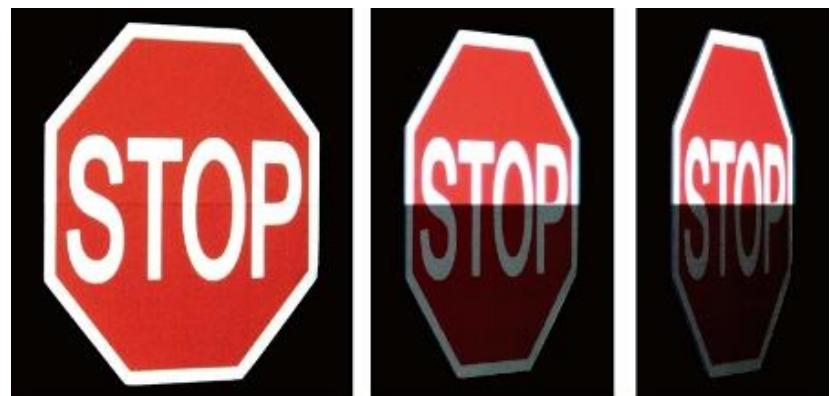
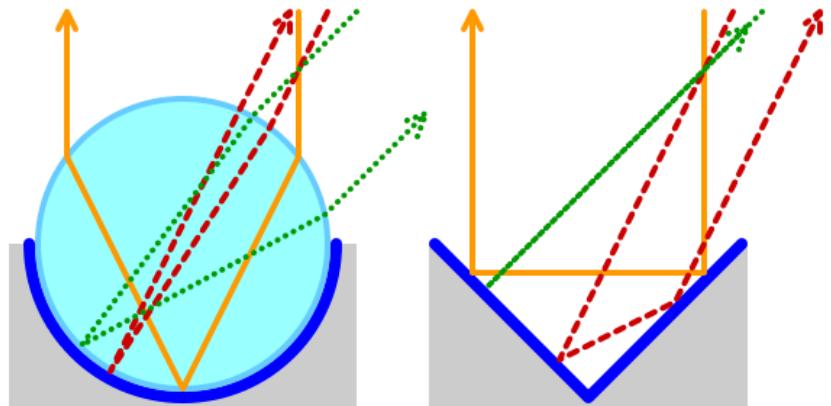
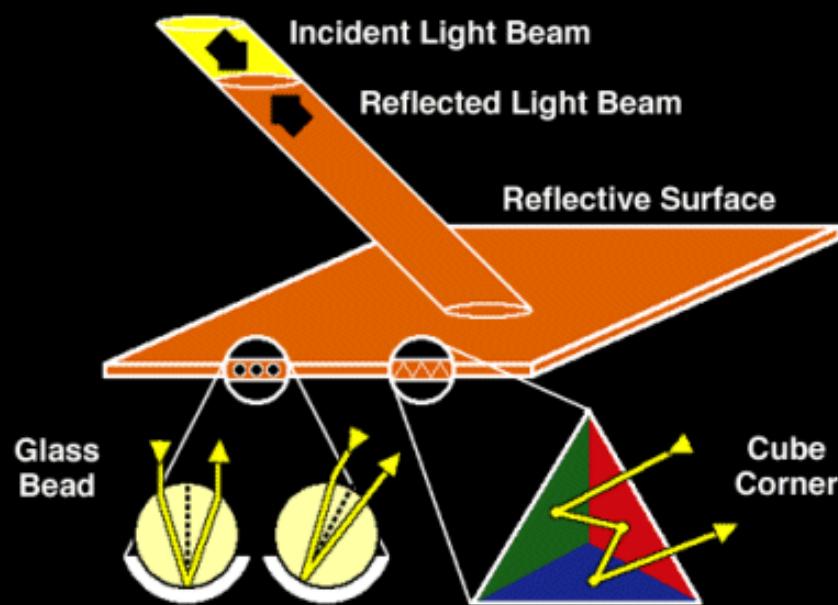


# Retroreflective Coatings

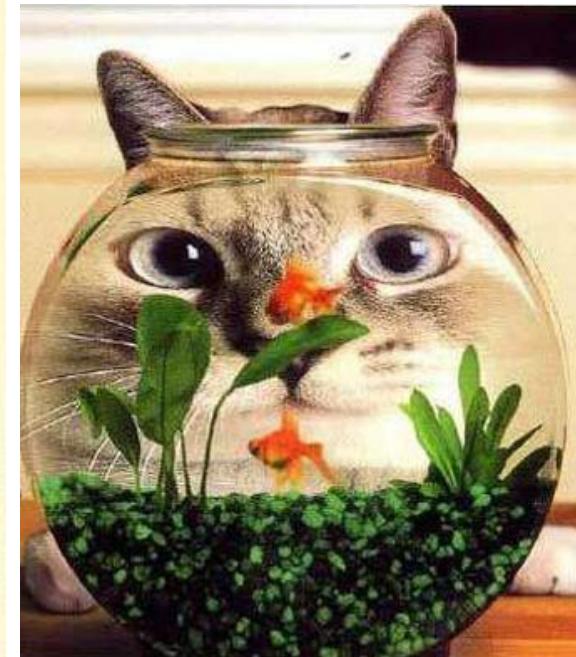
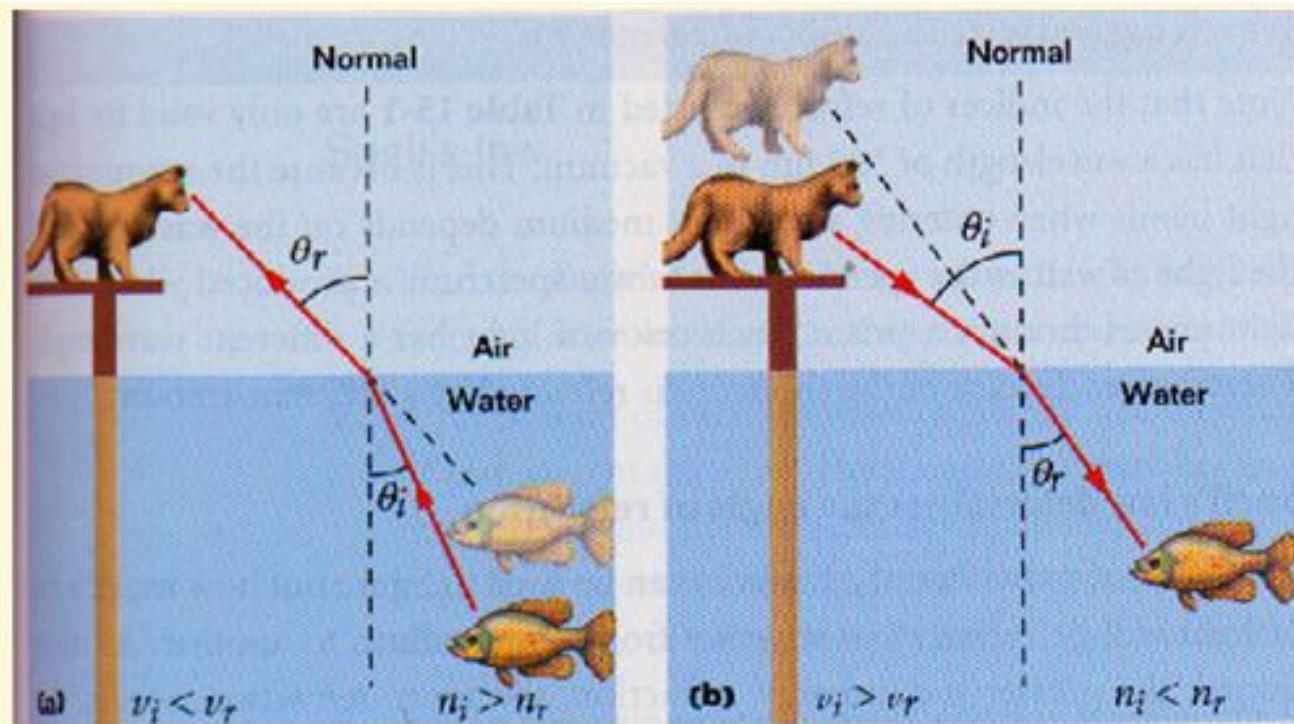


## Retroreflection Mechanism

Retroreflective sheetings use tiny glass beads or cube corner elements to reflect light.

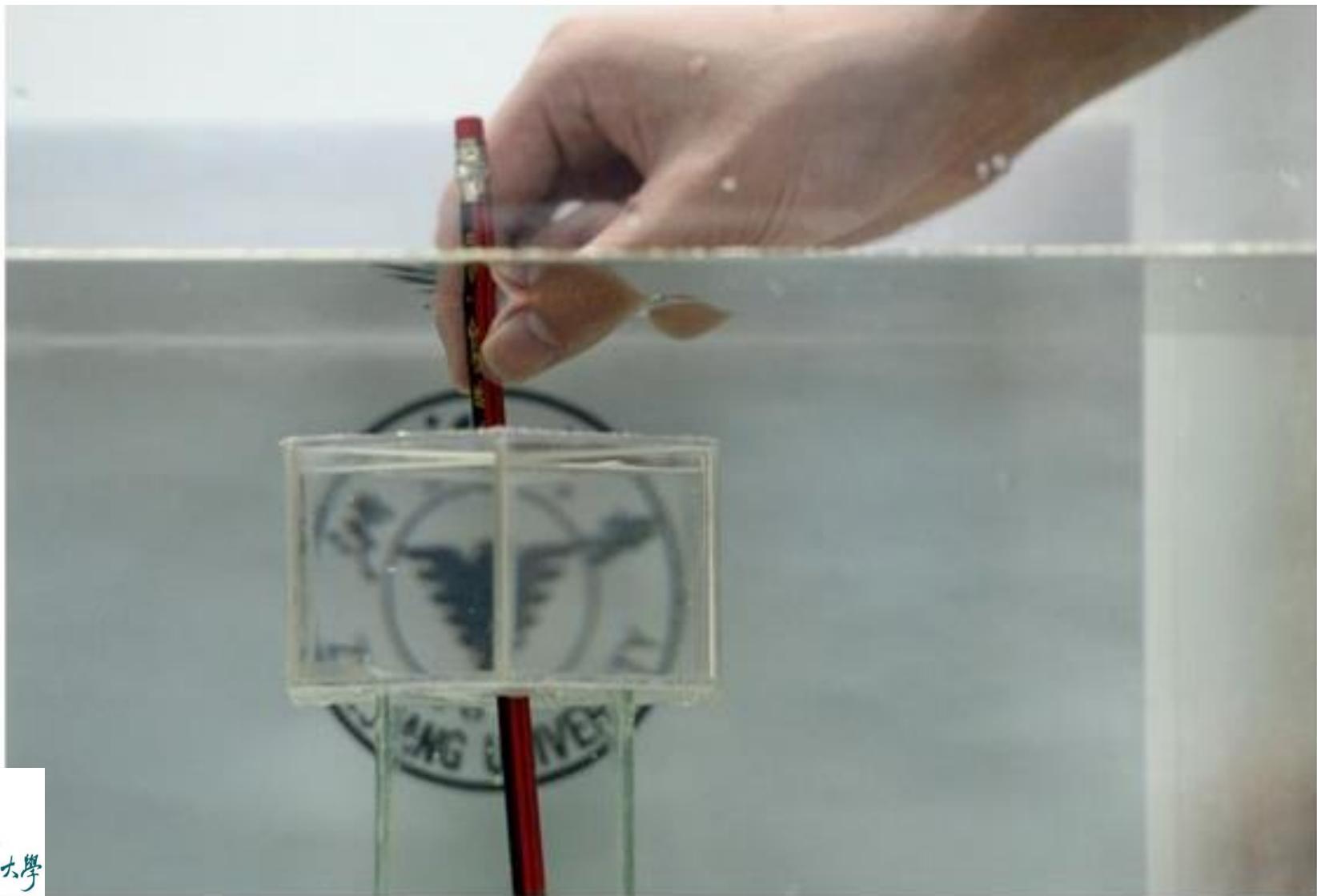


# The Cat and The Fish



The light is refracted toward the normal when it passes into a denser medium.

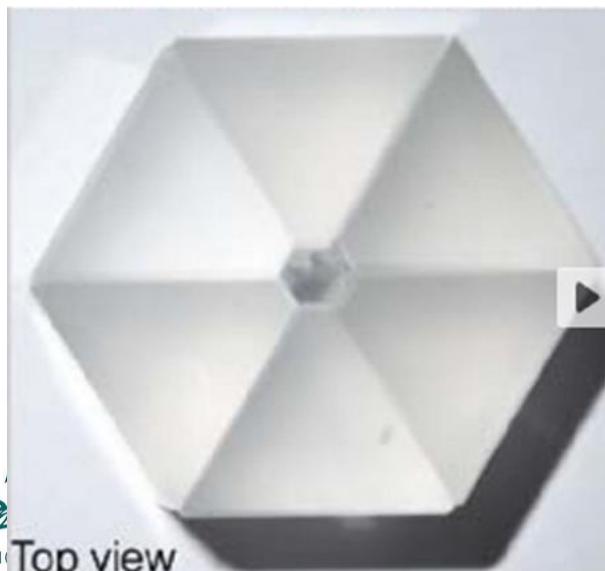
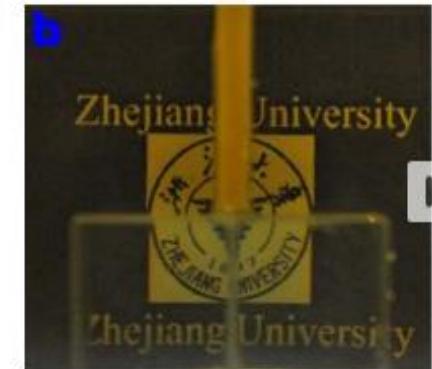
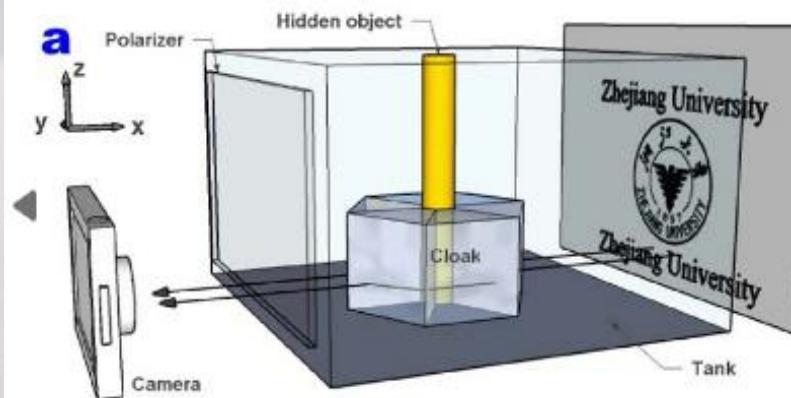
# Invisible Cloak by Zhejiang University



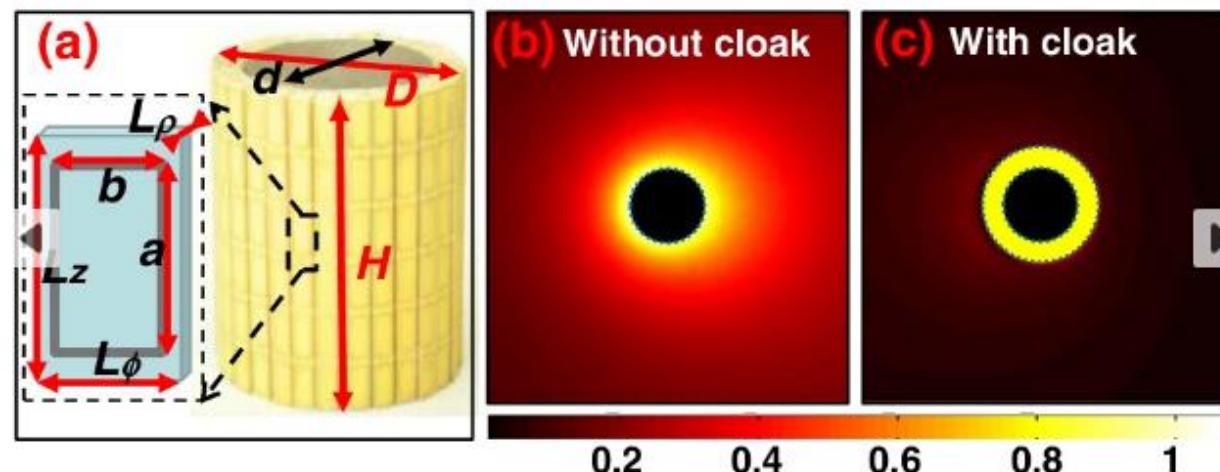
# Invisible Cloak by Zhejiang University



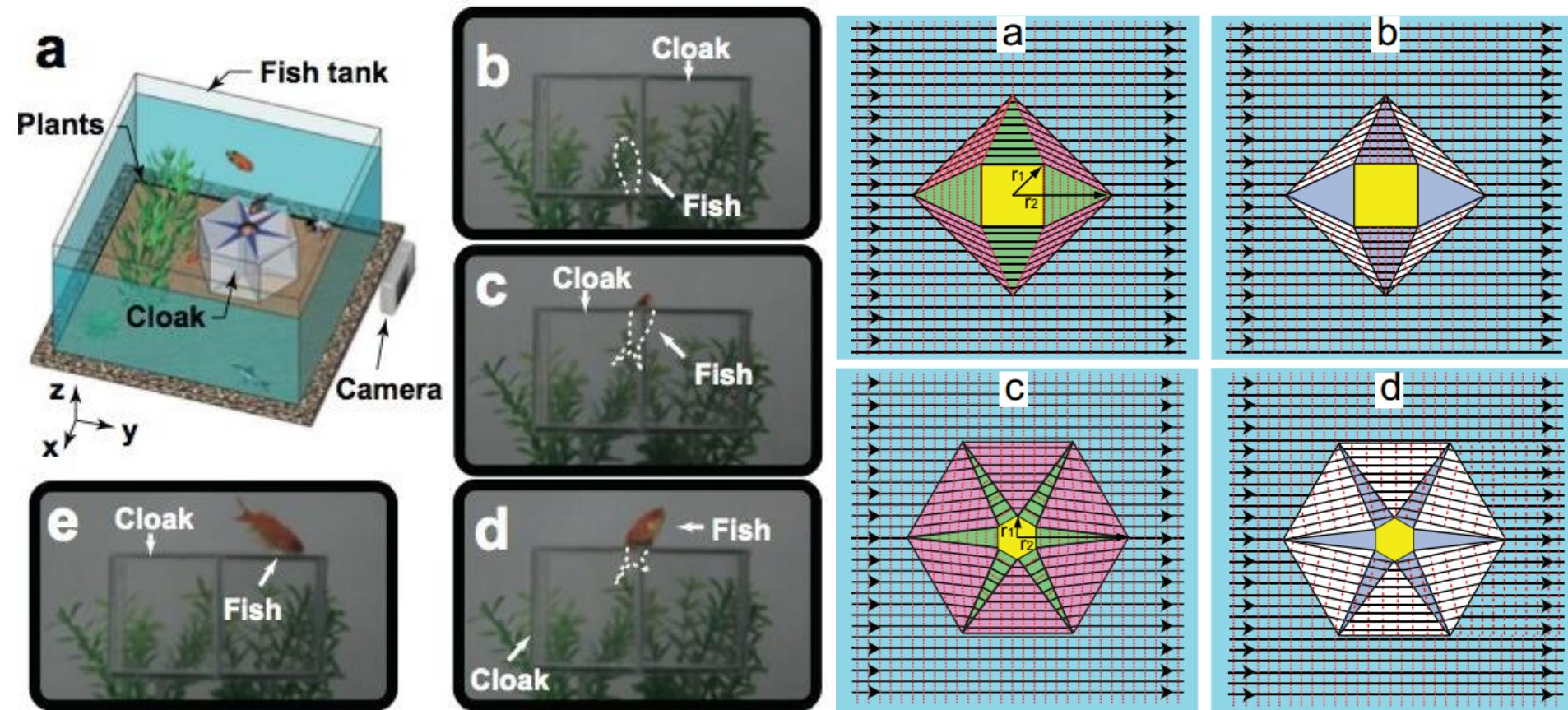
Side view



Top view



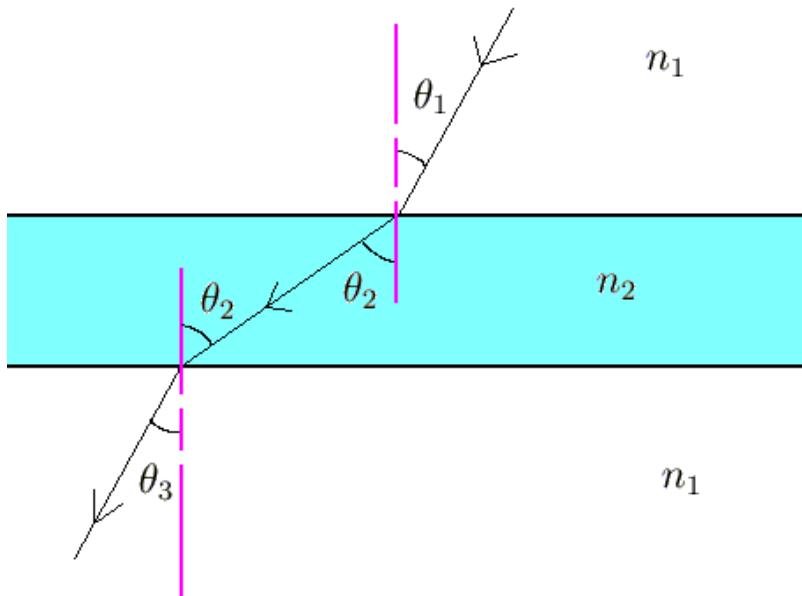
# Invisible Cloak by Zhejiang University



# Snell's Law of Refraction

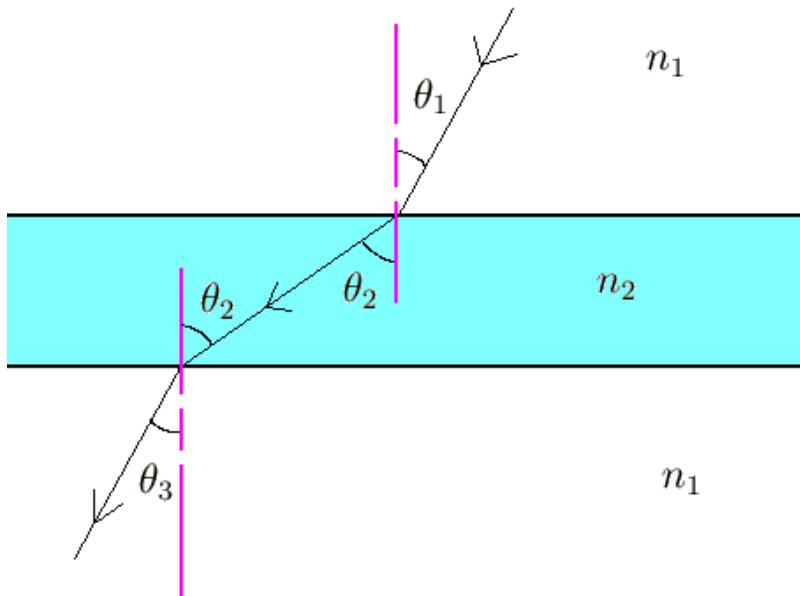
## Snell's Law of Refraction

$$n_1 \sin\theta_1 = n_2 \sin\theta_2$$



# Invisible Cloak Effect

What happens if " $n_2$ " is  $< 0$  ???

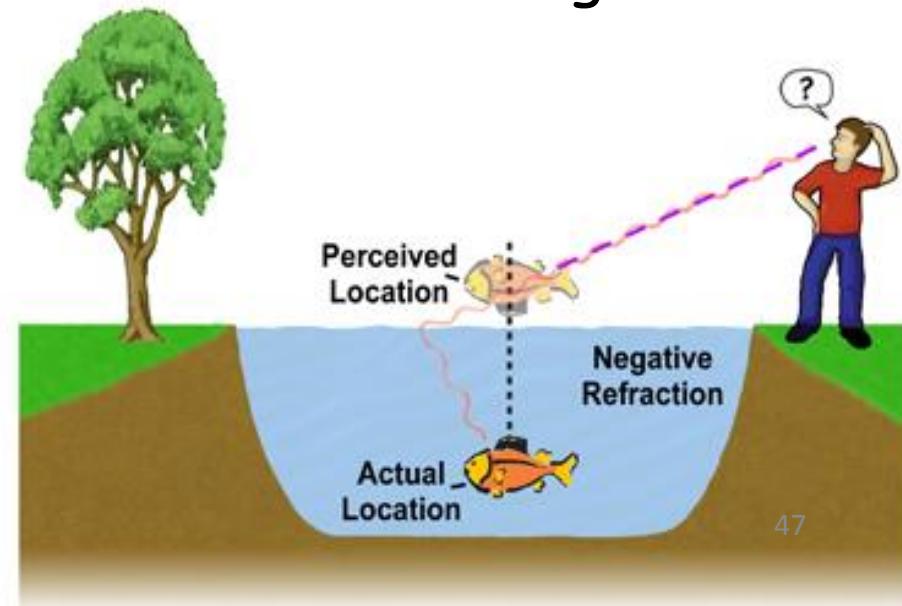
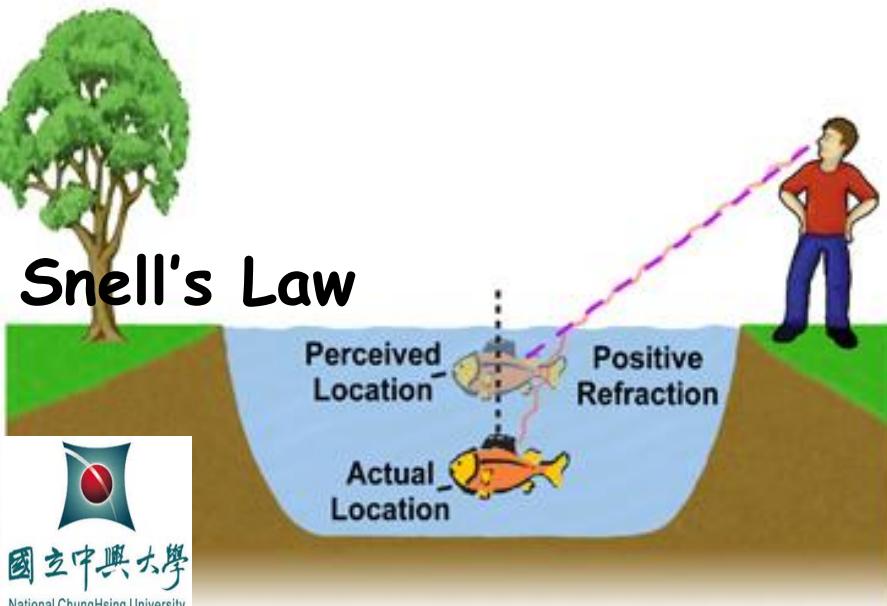


Harry Potter's invisible cloak

# Metamaterials

## Negative Refractive Index ( $n$ is $< 0$ )

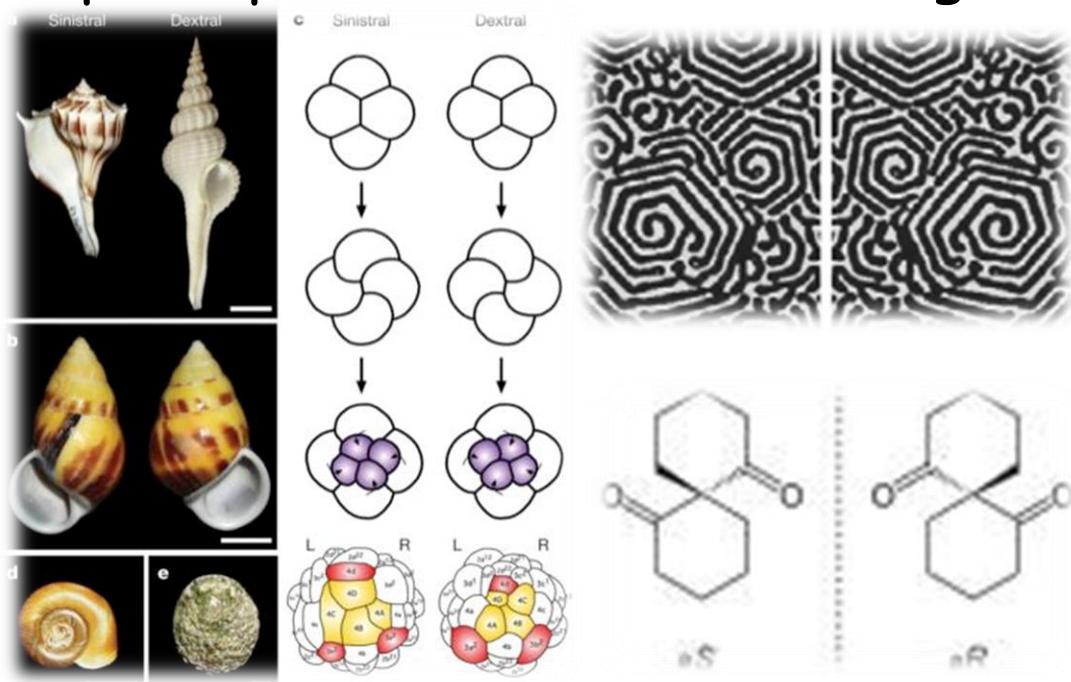
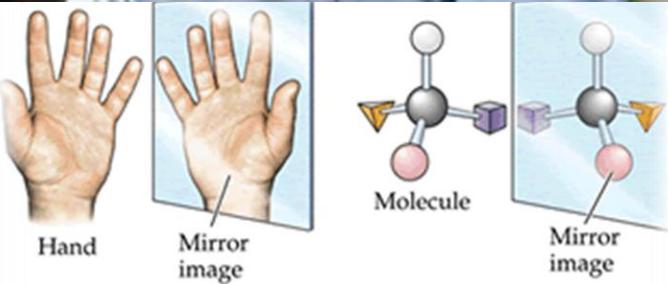
1. Two components:(S. Tretyakov)
  - 1) Negative permittivity
  - 2) Negative permeability
2. Novel metals based chiral structures (J. Pendry)
3. Applications:  
Invisible cloak; Invisible vehicles; Invisible coatings



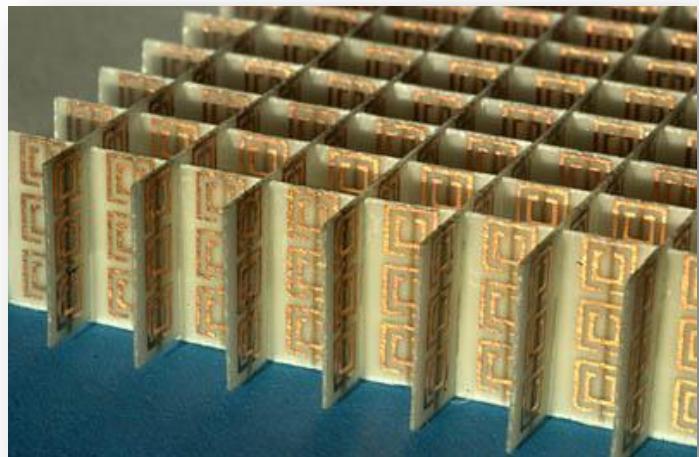
# Chiral Structures

Newton Medal Winner (2013)  
John Pendry, Imperial College London

**Chirality:**  
An object that can not be superimposed on its mirror image



# Metamaterials by Mask Etching

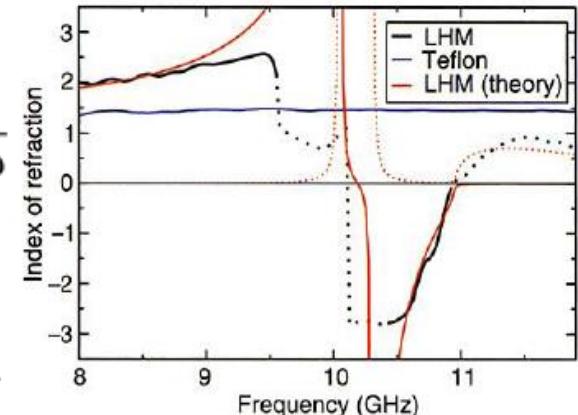


Permeability

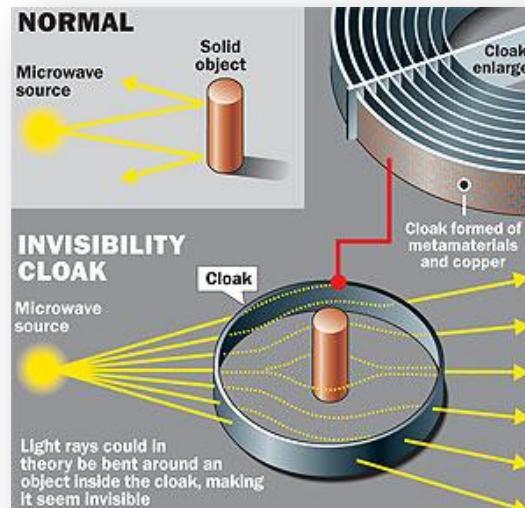
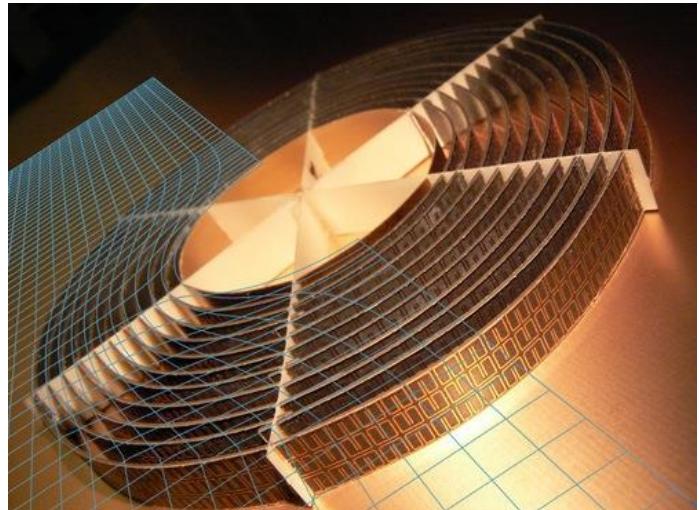
$$\frac{\mu(\omega)}{\mu_0} = 1 - \frac{\omega_{mp}^2 - \omega_{mo}^2}{\omega^2 - \omega_{mo}^2 + i\gamma\omega}$$

Permittivity

$$\frac{\epsilon(\omega)}{\epsilon_0} = 1 - \frac{\omega_{cp}^2 - \omega_{eo}^2}{\omega^2 - \omega_{eo}^2 + i\gamma\omega}$$

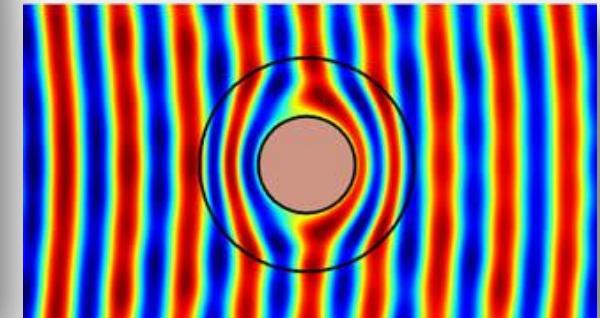


Copper mm-sized split rings

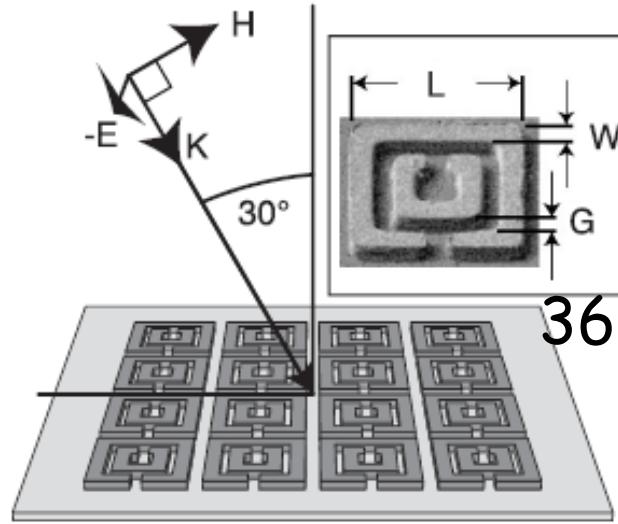


D. Smith et al., *Science*, 292, 77 (2001)

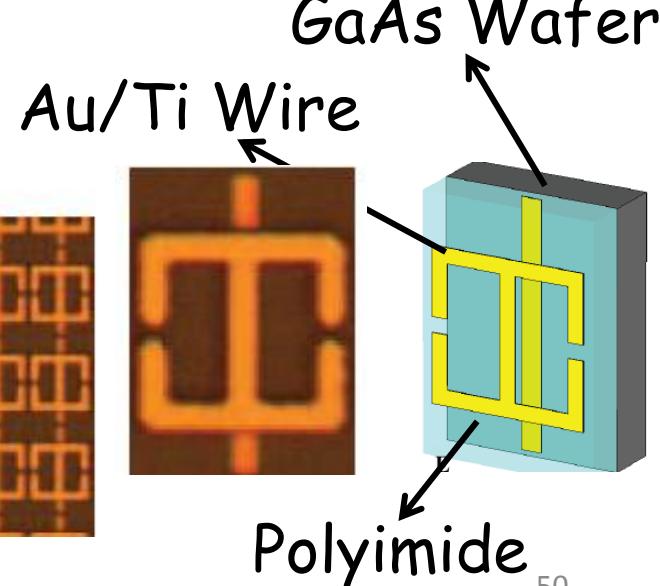
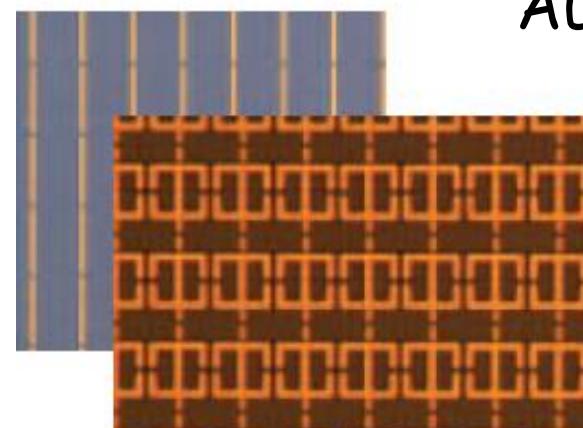
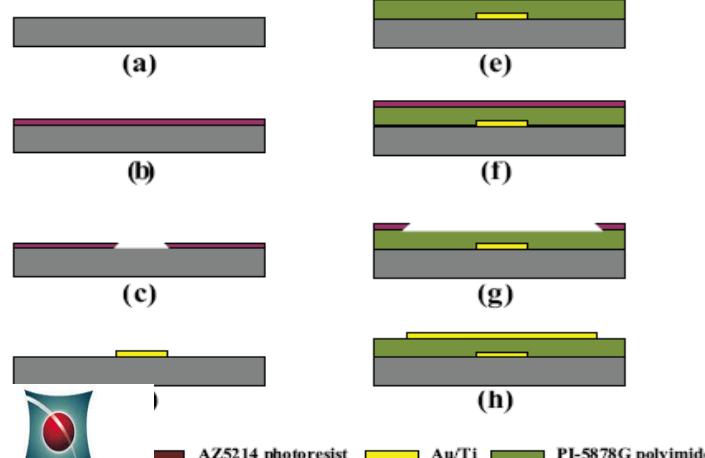
1. Microwave Region (>100 micrometer)
2. GHz



# Micrometer Scale Metamaterials



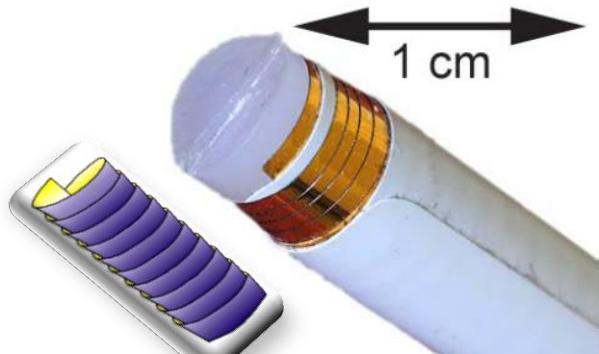
1. Negative reflective index at near-IR region.
2. THz
3. Small features results in higher frequency & narrowband wavelength.



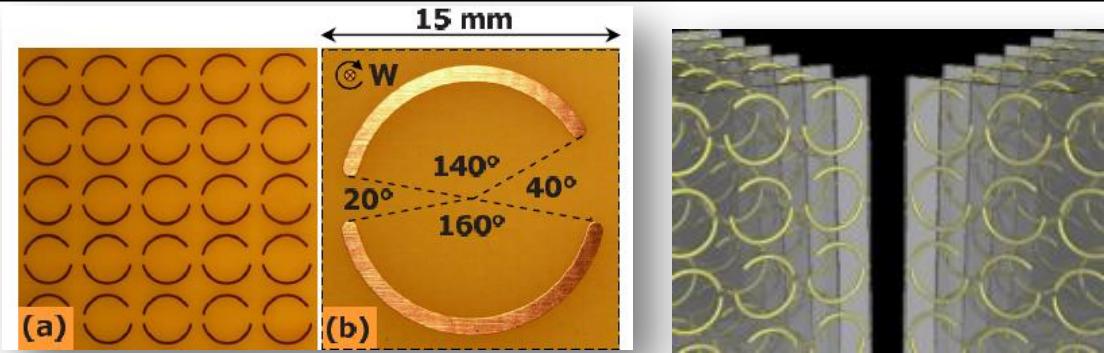
Polyimide

50

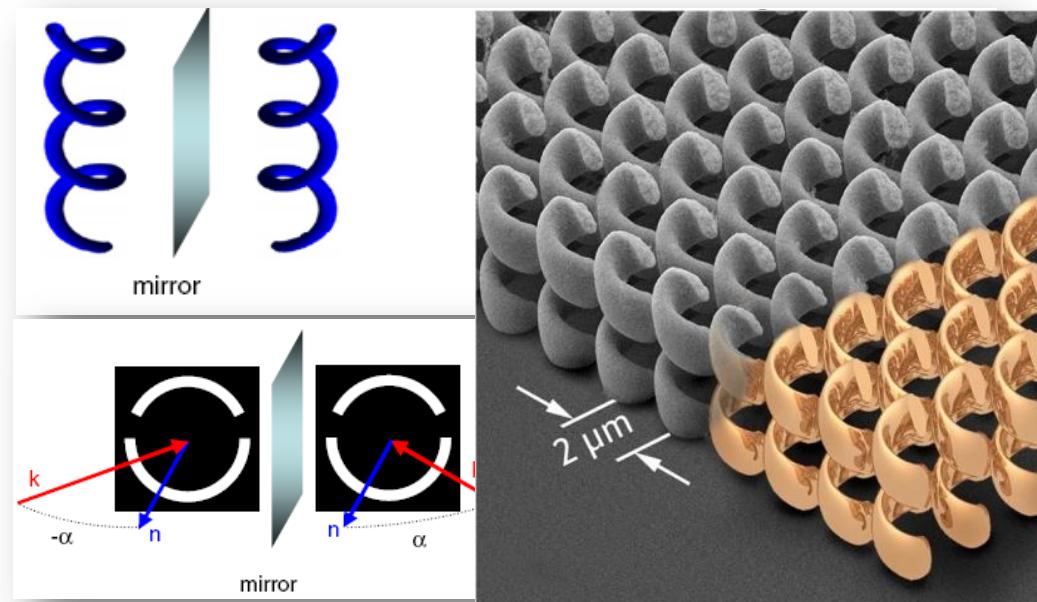
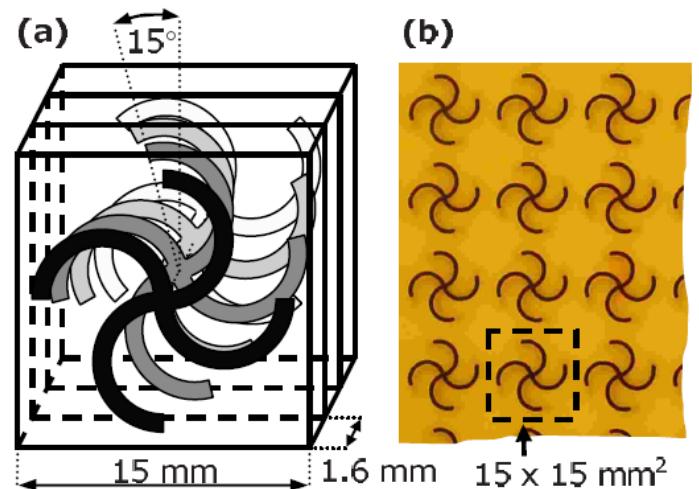
# Spiral Metamaterials



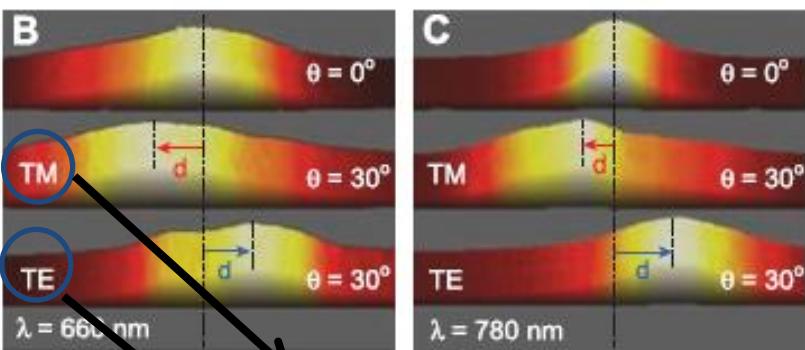
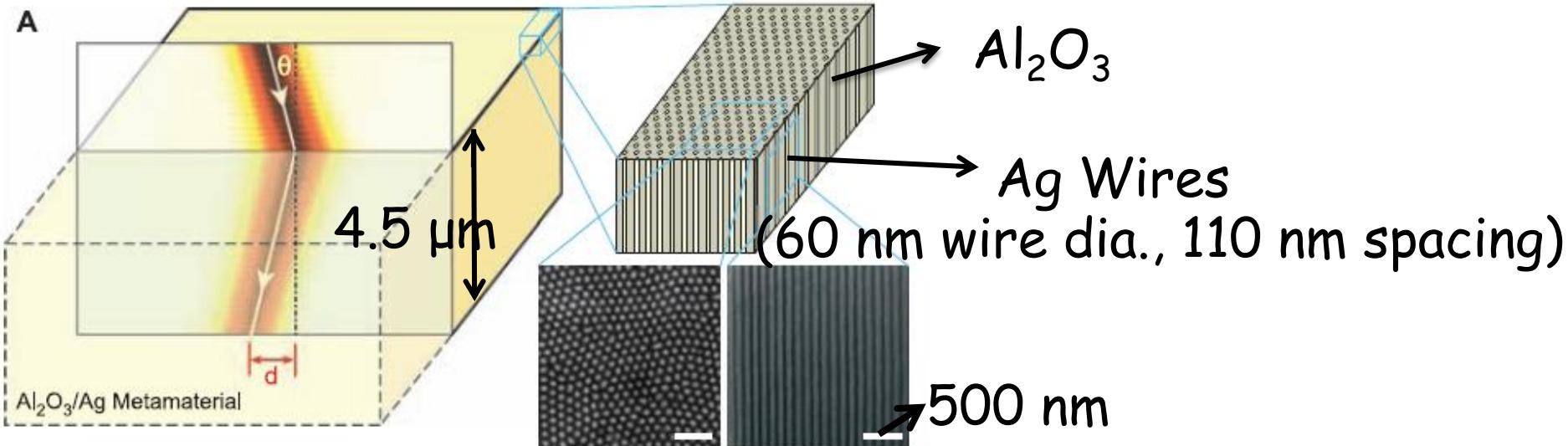
J. Pendry et al., *Science* (2004)



N. Zheludev et al., *Appl. Phys. Lett.* (2009)



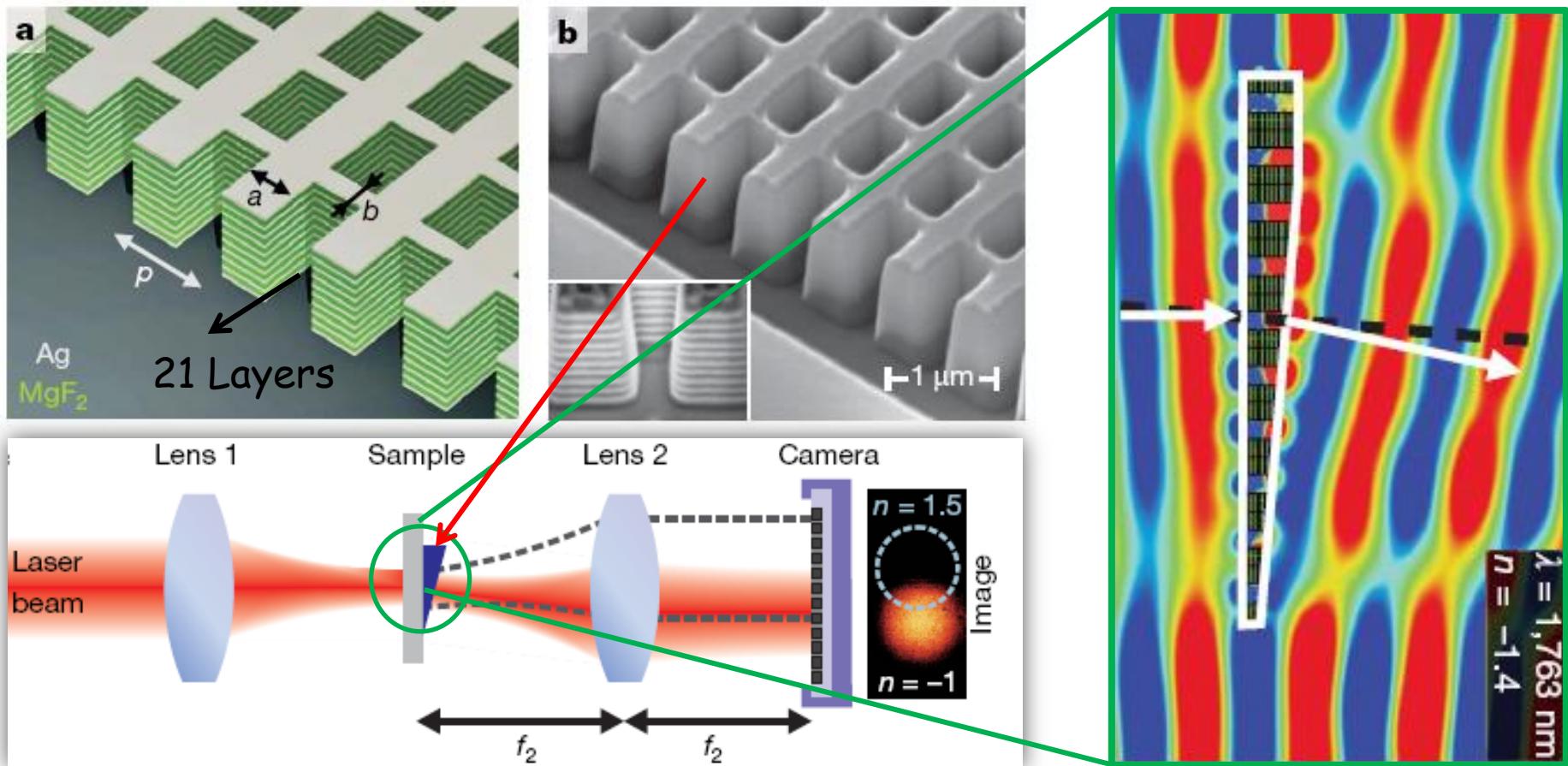
# Metamaterials by Anodization



transverse magnetic  
transverse electric

1. Negative R. I. at IR Region
2. Limitations
  - 1) 2 D
  - 2) Strong energy losses
  - 3) Fabrication difficult
  - 4) Limit band of frequency
  - 5) Angle dependent

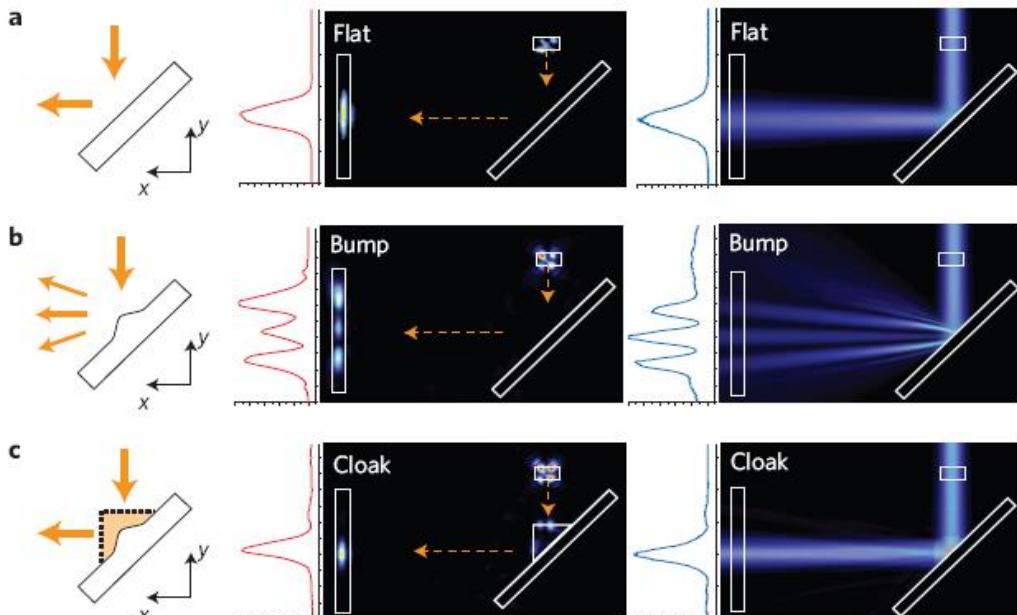
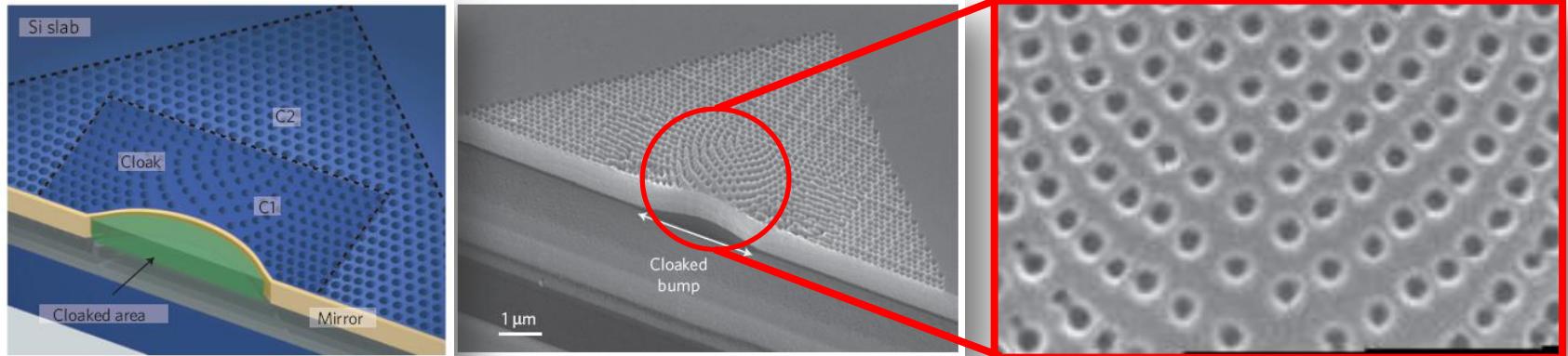
# Metamaterials by FIB Milling 1



1. 3D structures,
  2. R. I. shifts in microwave region (Fabry-Pérot effect).
- X. Zhang et al., *Nature*, 07247, 1 (2008)

# Metamaterials by FIB Milling 2

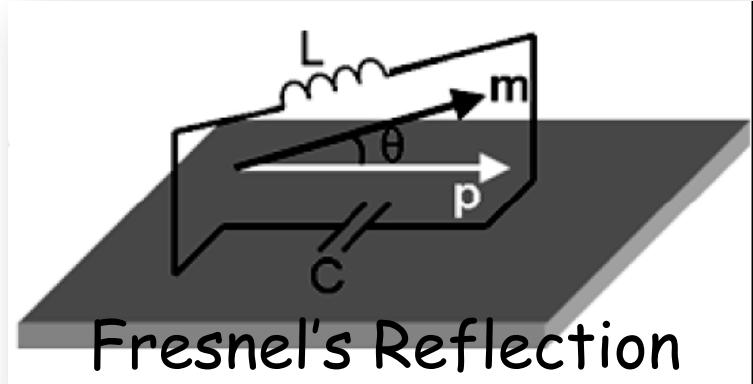
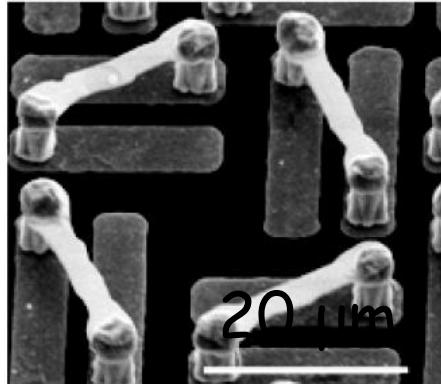
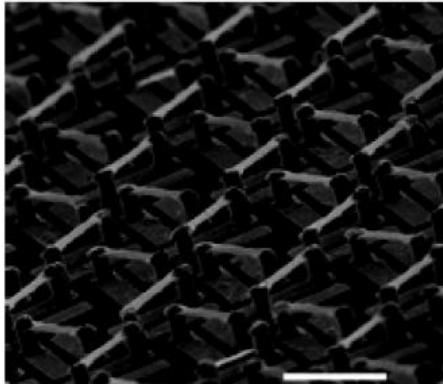
Imitate reflection of flat surface on dielectric substrate



1. Hole (110 nm) arrays
2. Low energy loss
3. Broadband wavelength  
( 1400 nm ~ 1800 nm )



# Circularly Polarized Wave Metamaterials



Fresnel's Reflection

$$\begin{pmatrix} D_x \\ D_y \end{pmatrix} = \varepsilon_0 \varepsilon \begin{pmatrix} E_x \\ E_y \end{pmatrix} + \frac{i}{c_0} \begin{pmatrix} \xi & \xi_{12} \\ -\xi_{12} & \xi \end{pmatrix} \begin{pmatrix} H_x \\ H_y \end{pmatrix},$$
$$\begin{pmatrix} B_x \\ B_y \end{pmatrix} = \frac{i}{c_0} \begin{pmatrix} -\xi & \xi_{12} \\ -\xi_{12} & -\xi \end{pmatrix} \begin{pmatrix} E_x \\ E_y \end{pmatrix} + \mu_0 \mu \begin{pmatrix} H_x \\ H_y \end{pmatrix},$$
$$(n_L/R \mp \xi)^2 = \varepsilon \mu - \xi_{12}^2,$$

Permittivity

Permeability

Refractive index

