

lecture_10.py



```

1 from sympy import symbols, oo
2 from execute_util import text, link, image
3 from lecture_util import article_link
4 from references import Reference, llama3, gqa, mla, longformer, sparse_transformer, mistral_7b
5
6 # Define symbols corresponding to the shape of the Transformer model
7 B, S, T, D, F, N, K, H, L, V = symbols("B S T D F N K H L V", positive=True)
8 c = symbols("c", positive=True) # Just a constant that helps with taking limits
9 memory_bandwidth = symbols("memory_bandwidth", positive=True)
10
11 scaling_book_transformers = Reference(title="[Scaling book chapter on Transformers]", url="https://jax-
ml.github.io/scaling-book/transformers/")
12 scaling_book_inference = Reference(title="[Scaling book chapter on Transformers]", url="https://jax-ml.github.io/scaling-
book/inference/")
13
14 def main():
15     Inference: given a fixed model, generate responses given prompts
16
17

```

Understanding the inference workload

```

18 landscape()
19 review_transformer()
20 review_of_arithmetic_intensity()
21 arithmetic_intensity_of_inference()
22 throughput_and_latency()
23
24

```

Taking shortcuts (lossy)

```

25 reduce_kv_cache_size()
26 alternatives_to_the_transformer()
27 quantization()
28 model_pruning()
29

```

Summary: reduce inference complexity without hurting accuracy

From scratch recipe:

1. Define faster model architecture
2. Train faster model

Distillation recipe:

1. Define faster model architecture
2. Initialize weights using original model (which has a different architecture)
3. Repair faster model (distillation)

Use shortcuts but double check (lossless)

```

42 speculative_sampling()
43
44

```

Handling dynamic workloads

Batching over sequences in live traffic is tricky because:

1. Requests arrive at different times (waiting for batch is bad for early requests)
2. Sequences have shared prefixes (e.g., system prompts, generating multiple samples)
3. Sequences have different lengths (padding is inefficient)

```

50 continuous_batching()
51 paged_attention()

```

Summary

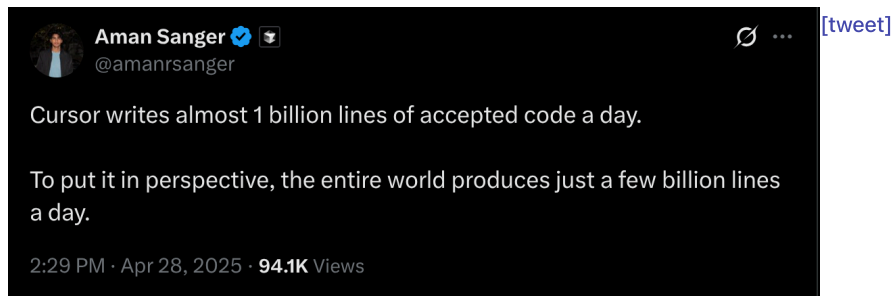
- Inference is important (actual use, evaluation, reinforcement learning)
- Different characteristics compared to training (memory-limited, dynamic)
- Techniques: new architectures, quantization, pruning/distillation, speculative decoding
- Ideas from systems (speculative execution, paging)
- New architectures have huge potential for improvement

```
def landscape():
```

Inference shows up in many places:

- Actual use (chatbots, code completion, batch data processing)
- Model evaluation (e.g., on instruction following)
- Test-time compute (thinking requires more inference)
- Training via reinforcement learning (sample generation, then score)

Why **efficiency** matters: training is one-time cost, inference is repeated many times



Metrics:

- Time-to-first-token (TTFT): how long user waits before any generation happens (matters for interactive applications)
- Latency (seconds/token): how fast tokens appear for a user (matters for interactive applications)
- Throughput (tokens/second): useful for batch processing applications

Key considerations in efficiency:

- Training (supervised): you see all tokens, can parallelize over sequence (matmul in Transformer)
- Inference: you have to generate sequentially, can't parallelize, so harder to fully utilize compute

Companies doing inference (a big deal for anyone who has a product or platform):

- Providers serving closed models (OpenAI, Anthropic, Google, etc.)
- Providers serving open-weight models (Together, Fireworks, DeepInfra, etc.)

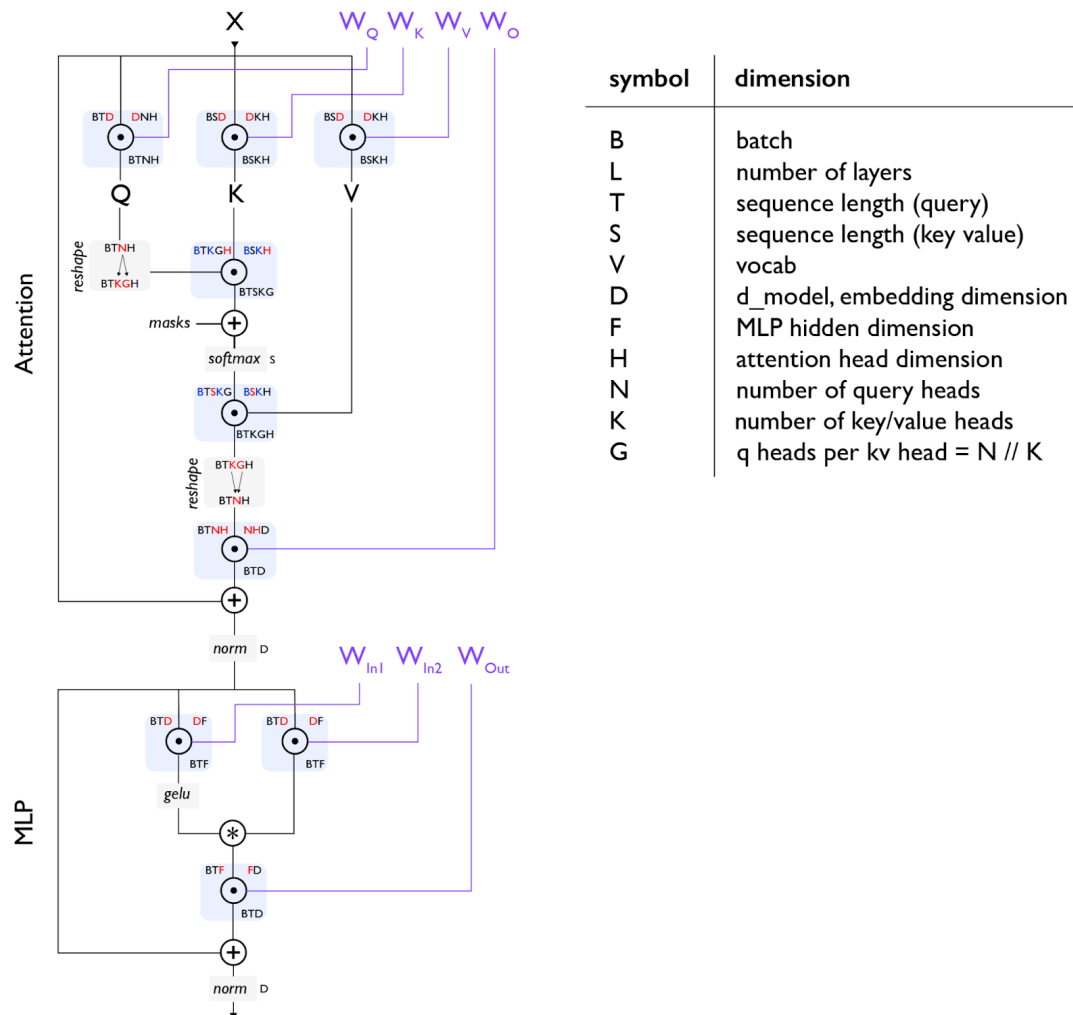
Open-source packages:

- vLLM (Berkeley) [\[talk\]](#)
- Tensor-RT (NVIDIA) [\[article\]](#)
- TGI (Hugging Face) [\[article\]](#)

```
def review_transformer():
```

[\[Scaling book chapter on Transformers\]](#)

93



Simplifications (following conventions): $F = 4 \times D$, $D = N \times H$, $N = K \times G$, $S = T$

FLOPs for a feedforward pass: $6 * (B \times T) * (\text{num_params} + O(T))$

```
def review_of_arithmetic_intensity():
```

Setup: multiply X ($B \times D$) and W ($D \times F$) matrix

Intuition: B is batch size, D is hidden dimension, F is up-projection dimension in MLP

Let's do FLOPs and memory read/write accounting for the matrix multiplication ($X * W$).

```
flops = 0
```

```
bytes_transferred = 0
```

Steps:

1. Read X ($B \times D$) from HBM

```
bytes_transferred += 2*B*D
```

2. Read W ($D \times F$) from HBM

```
bytes_transferred += 2*D*F
```

3. Compute $Y = X$ ($B \times D$) @ W ($D \times F$)

```
flops += 2*B*D*F
```

4. Write Y ($B \times F$) to HBM

```
bytes_transferred += 2*B*F
```

Let's take stock of the accounting results.

```
assert flops == 2*B*D*F
```

```
assert bytes_transferred == 2*B*D + 2*D*F + 2*B*F
```

Recall that **arithmetic intensity** is how much compute we do per byte transferred (want to be high).

```
intensity = (flops / bytes_transferred).simplify() # @inspect intensity
```

Assuming B is much less than D and F , then we can simplify:

```
intensity = intensity.subs(D, c*B).subs(F, c*B).limit(c, oo).simplify() # @inspect intensity
```

```
assert intensity == B
```

```

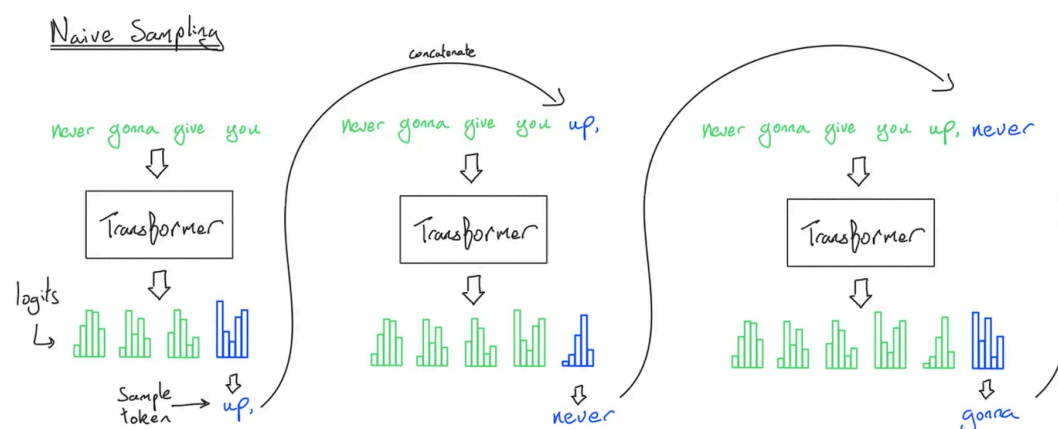
126 Accelerator intensity of H100:
127 flops_per_second = 989e12
128 memory_bandwidth = 3.35e12
129 accelerator_intensity = flops_per_second / memory_bandwidth # @inspect accelerator_intensity
130 assert round(accelerator_intensity) == 295
131
132 If computation intensity > accelerator intensity, compute-limited (good)
133 If computation intensity < accelerator intensity, memory-limited (bad)
134 Conclusion: compute-limited iff B > 295
135
136 Extreme case (B = 1, corresponding to matrix-vector product):
137 • Arithmetic intensity: 1
138 • Memory-limited (read D x F matrix, perform only 2DF FLOPs)
139 • This is basically what happens with generation...

```

```

142 def arithmetic_intensity_of_inference():
143     [Scaling book chapter on Transformers]

```

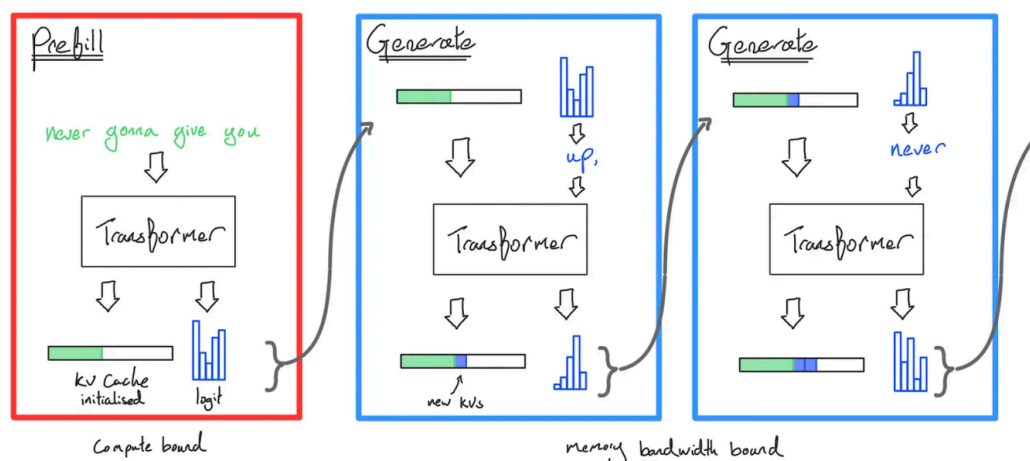


Naive inference: to generate each token, feed history into Transformer
 Complexity: generating T tokens requires $O(T^3)$ FLOPs (one feedforward pass is $O(T^2)$)

Observation: a lot of the work can be shared across prefixes

Solution: store **KV cache** in HBM

Sampling with KV cache



KV cache: for every sequence (B), token (S), layer (L), head (K), store an H-dimensional vector

Two stages of inference:

1. **Prefill**: given a prompt, encode into vectors (parallelizable like in training)
2. **Generation**: generate new response tokens (sequential)

Let's compute the FLOPs and memory IO for both the MLP and attention layers.

S is the number of tokens we're conditioning on, T is the number of tokens we're generating.

Later, we'll specialize to prefill (T = S) and generation (T = 1).

161

162

MLP layers (only looking at the matrix multiplications)

163 flops = 0

164 bytes_transferred = 0

165 Steps:

166 1. Read X ($B \times T \times D$) from HBM167 bytes_transferred += $2 \times B \times T \times D$ 168 2. Read Wup ($D \times F$), Wgate ($D \times F$), Wdown ($F \times D$) from HBM169 bytes_transferred += $3 \times 2 \times D \times F$ 170 3. Compute $U = X (B \times T \times D) @ Wup (D \times F)$ 171 flops += $2 \times B \times T \times D \times F$ 172 4. Write U ($B \times T \times F$) to HBM173 bytes_transferred += $2 \times B \times T \times F$ 174 5. Compute $G = X (B \times T \times F) @ Wgate (D \times F)$ 175 flops += $2 \times B \times T \times D \times F$ 176 6. Write G ($B \times T \times F$) to HBM177 bytes_transferred += $2 \times B \times T \times F$ 178 7. Compute $Y = \text{GeLU}(G) * U (B \times T \times F) @ Wdown (F \times D)$ 179 flops += $2 \times B \times T \times D \times F$ 180 8. Write Y ($B \times T \times D$) to HBM181 bytes_transferred += $2 \times B \times T \times D$

182

183 Let's take stock of the accounting results.

184 assert flops == $6 \times B \times T \times D \times F$ 185 assert bytes_transferred == $4 \times B \times T \times D + 4 \times B \times T \times F + 6 \times D \times F$

186 intensity = (flops / bytes_transferred).simplify() # @inspect intensity

187 Assume that $B \times T$ is much smaller than D and F .

188 intensity = intensity.subs(D, c*B*T).subs(F, c*B*T).limit(c, oo).simplify() # @inspect intensity

189 assert intensity == $B \times T$

190

191 For the two stages:

192 1. Prefill: easy to make compute-limited (good) by making $B \times T$ large enough

193 2. Generation:

- 194 • Generating one token at a time ($T = 1$)
- 195 • B is number of concurrent requests, hard to make large enough!

196

197

Attention layers (focusing on the matrix multiplications with FlashAttention)

198 flops = 0

199 bytes_transferred = 0

200 Steps:

201 1. Read Q ($B \times T \times D$), K ($B \times S \times D$), V ($B \times S \times D$) from HBM202 bytes_transferred += $2 \times B \times T \times D + 2 \times B \times S \times D + 2 \times B \times S \times D$ 203 2. Compute $A = Q (B \times T \times D) @ K (B \times S \times D)$ 204 flops += $2 \times B \times S \times T \times D$ 205 3. Compute $Y = \text{softmax}(A) (B \times S \times T \times K \times G) @ V (B \times S \times K \times H)$ 206 flops += $2 \times B \times S \times T \times D$ 207 4. Write Y ($B \times T \times D$) to HBM208 bytes_transferred += $2 \times B \times T \times D$

209

210 assert flops == $4 \times B \times S \times T \times D$ 211 assert bytes_transferred == $4 \times B \times S \times D + 4 \times B \times T \times D$

212 intensity = (flops / bytes_transferred).simplify() # @inspect intensity

213 assert intensity == $S \times T / (S + T)$

214

215 For the two stages:

216 1. Prefill: $T = S$

217 prefill_intensity = intensity.subs(T, S).simplify() # @inspect prefill_intensity

218 assert prefill_intensity == $S/2$ # Good!219 2. Generation: $T = 1$

220 generate_intensity = intensity.subs(T, 1).simplify() # @inspect generate_intensity

221 assert generate_intensity < 1 # Bad!

```

222
223 Unlike MLPs, no dependence on B, so batching doesn't help!
224 Why?
225 • In MLP layers, every sequence hits the same MLP weights (Wup, Wgate, Wdown don't depend on B)
226 • In attention layers, every sequence has its own vectors KV cache (Q, K, V all depend on B)
227
228 Summary
229 • Prefill is compute-limited, generation is memory-limited
230 • MLP intensity is B (requires concurrent requests), attention intensity is 1 (impossible to improve)
231
232
233 def compute_transformer_stats(config): # @inspect config
234     """Return symbols corresponding to various statistics of a Transformer."""
235     The memory, throughput, and latency depends on the shape of the Transformer.
236
237     Compute the number of parameters in the Transformer:
238     num_params = 2*V*D + D*F*3*L + (2*D*N*H + 2*D*K*H)*L
239     To store parameters, just use bf16 (training requires fp32)
240     parameter_size = num_params * 2 # 2 for bf16
241
242     We also don't need gradients and optimizer states since we're not training.
243     But we do have to store the KV cache (which are some of the activations) for each sequence (of length S):
244     How much we have to store per sequence:
245     kv_cache_size = S * (K*H) * L * 2 * 2 # 2 for key + value, 2 for bf16
246
247     Total memory usage:
248     memory = B * kv_cache_size + parameter_size
249     Latency is determined by memory IO (read all parameters and KV cache for each step)
250     latency = memory / memory_bandwidth
251     Throughput is the inverse of latency, but we're generating B tokens in parallel
252     throughput = B / latency
253
254     # Substitute
255     num_params = num_params.subs(config).simplify() # @inspect num_params
256     memory = memory.subs(config).simplify() # @inspect memory
257     latency = latency.subs(config).simplify() # @inspect latency
258     throughput = throughput.subs(config).simplify() # @inspect throughput
259
260     return num_params, memory, latency, throughput
261
262 def llama2_13b_config(args={}):
263     return {S: 1024, D: 5120, F: 13824, N: 40, K: 40, H: 128, L: 40, V: 32000, memory_bandwidth: 3.35e12, **args}
264
265 def throughput_and_latency():
266     So we have shown that inference is memory-limited.
267     Let us now compute the theoretical maximum latency and throughput of a single request.
268     Assumption: can overlap compute and communication perfectly and ignore various types of overhead.
269
270     Instantiate latency and throughput for Llama 2 13B on an H100:
271     config = llama2_13b_config()
272     num_params, memory, latency, throughput = compute_transformer_stats(config)
273
274     If we use a batch size of 1:
275     bs1_memory = memory.subs(B, 1).simplify() # @inspect bs1_memory
276     bs1_latency = latency.subs(B, 1).simplify() # @inspect bs1_latency
277     bs1_throughput = throughput.subs(B, 1).simplify() # @inspect bs1_throughput
278
279     If we use a batch size of 64 (worse latency, better throughput):
280     bs64_memory = memory.subs(B, 64).simplify() # @inspect bs64_memory
281     bs64_latency = latency.subs(B, 64).simplify() # @inspect bs64_latency
282     bs64_throughput = throughput.subs(B, 64).simplify() # @inspect bs64_throughput
283
284     If we use a batch size of 256:
285     bs256_memory = memory.subs(B, 256).simplify() # @inspect bs256_memory

```

```

286 bs256_latency = latency.subs(B, 256).simplify() # @inspect bs256_latency
287 bs256_throughput = throughput.subs(B, 256).simplify() # @inspect bs256_throughput
288 Doesn't fit into memory, but throughput gains are diminishing too...
289
290 Tradeoff between latency and throughput:
291 1. Smaller batch sizes yields better latency but worse throughput
292 2. Larger batch sizes yields better throughput but worse latency
293
294 Easy parallelism: if you launch M copies of the model, latency is the same, throughput increases by M!
295 Harder parallelism: shard the model and the KV cache [Scaling book chapter on Transformers]
296
297 Note: time-to-first-token (TTFT) is essentially a function of prefill
298 Use smaller batch sizes during prefill for faster TTFT
299 Use larger batch sizes during generation to improve throughput
300
301
302 def reduce_kv_cache_size():
303     Recall that memory is the bottleneck for inference.
304     So let's try to reduce the size of the KV cache
305     ...but make sure we don't lose too much accuracy.
306
307

```

Grouped-query attention (GQA)

[Ainslie+ 2023]

308

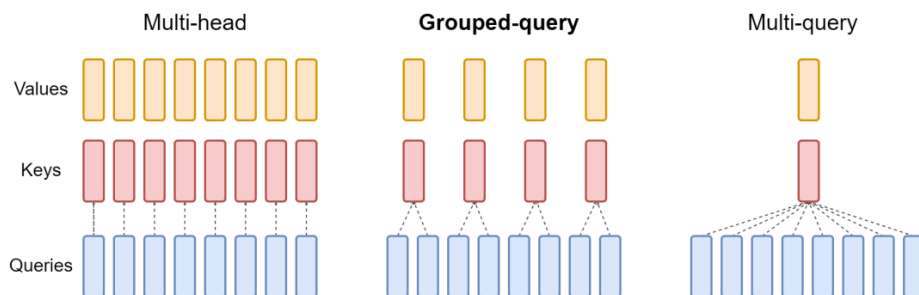


Figure 2: Overview of grouped-query method. Multi-head attention has H query, key, and value heads. Multi-query attention shares single key and value heads across all query heads. Grouped-query attention instead shares single key and value heads for each *group* of query heads, interpolating between multi-head and multi-query attention.

```

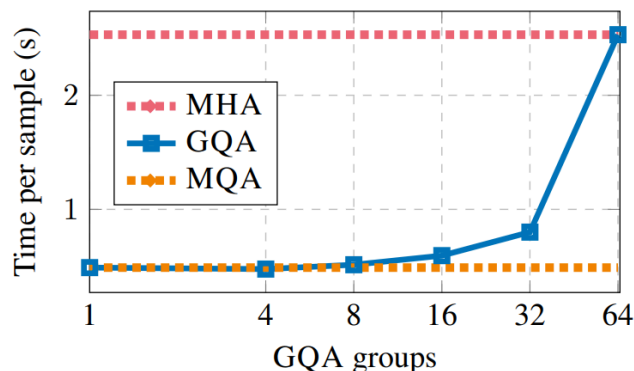
309 Idea:  $N$  query heads, but only  $K$  key and value heads, each interacting with  $N/K$  query heads
310 Multi-headed attention (MHA):  $K=N$ 
311 Multi-query attention (MQA):  $K=1$ 
312 Group-query attention (GQA):  $K$  is somewhere in between
313

```

Latency/throughput improvements:

314

[Ainslie+ 2023]



```

316 Reduce the KV cache by a factor of  $N/K$ 
317 config = llama2_13b_config({K: 40, B: 64}) # Original Llama 2 13B
318 k40_num_params, k40_memory, k40_latency, k40_throughput = compute_transformer_stats(config) # @inspect k40_memory,
@inspect k40_latency, @inspect k40_throughput
319
320 config = llama2_13b_config({K: 8, B: 64}) # Use GQA with 1:5 ratio

```



```

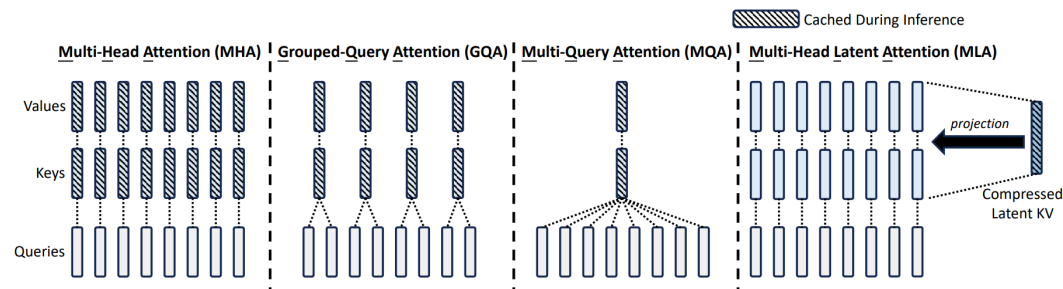
321 k8_num_params, k8_memory, k8_latency, k8_throughput = compute_transformer_stats(config) # @inspect k8_memory,
@inspect k8_latency, @inspect k8_throughput
322
323 This also means we can use a larger batch size:
324 config = llama2_13b_config({K: 8, B: 256}) # Increase batch size
325 k8_bs_num_params, k8_bs_memory, k8_bs_latency, k8_bs_throughput = compute_transformer_stats(config) # @inspect
k8_bs_memory, @inspect k8_bs_latency, @inspect k8_bs_throughput
326 Worse latency, but better throughput (and it fits in memory now!).
327
328 Check that accuracy doesn't drop: [Ainslie+ 2023]
329

```

Model	T _{infer}	Average	CNN	arXiv	PubMed	MediaSum	MultiNews	WMT	TriviaQA
	s		R ₁	R ₁	R ₁	R ₁	R ₁	BLEU	F1
MHA-Large	0.37	46.0	42.9	44.6	46.2	35.5	46.6	27.7	78.2
MHA-XXL	1.51	47.2	43.8	45.6	47.5	36.4	46.9	28.4	81.9
MQA-XXL	0.24	46.6	43.0	45.0	46.9	36.1	46.5	28.5	81.3
GQA-8-XXL	0.28	47.1	43.5	45.4	47.7	36.3	47.2	28.4	81.6

Multi-head latent attention (MLA)

[DeepSeek-AI+ 2024]



Key idea: project down each key and value vector from $N \times H$ dimensions to C dimensions

DeepSeek v2: reduce $N \times H = 16384$ to $C = 512$

Wrinkle: MLA is not compatible with RoPE, so need to add additional 64 dimensions for RoPE, so $512 + 64 = 576$ total dimensions

Latency/throughput improvements follow similarly from the KV cache reduction as argued earlier

Let's now check the accuracy.

First, MHA is better than GQA (though more expensive) [Table 8] [DeepSeek-AI+ 2024]

Benchmark (Metric)	# Shots	Dense 7B w/ MQA	Dense 7B w/ GQA (8 Groups)	Dense 7B w/ MHA
# Params	-	7.1B	6.9B	6.9B
BBH (EM)	3-shot	33.2	35.6	37.0
MMLU (Acc.)	5-shot	37.9	41.2	45.2
C-Eval (Acc.)	5-shot	30.0	37.7	42.9
CMMLU (Acc.)	5-shot	34.6	38.4	43.5

Table 8 | Comparison among 7B dense models with MHA, GQA, and MQA, respectively. MHA demonstrates significant advantages over GQA and MQA on hard benchmarks.

Second, MLA is a bit better than MHA (and much cheaper) [Table 9] [DeepSeek-AI+ 2024]

Benchmark (Metric)	# Shots	Small MoE w/ MHA	Small MoE w/ MLA	Large MoE w/ MHA	Large MoE w/ MLA
# Activated Params	-	2.5B	2.4B	25.0B	21.5B
# Total Params	-	15.8B	15.7B	250.8B	247.4B
KV Cache per Token (# Element)	-	110.6K	15.6K	860.2K	34.6K
BBH (EM)	3-shot	37.9	39.0	46.6	50.7
MMLU (Acc.)	5-shot	48.7	50.0	57.5	59.0
C-Eval (Acc.)	5-shot	51.6	50.9	57.9	59.2
CMMLU (Acc.)	5-shot	52.3	53.4	60.7	62.5

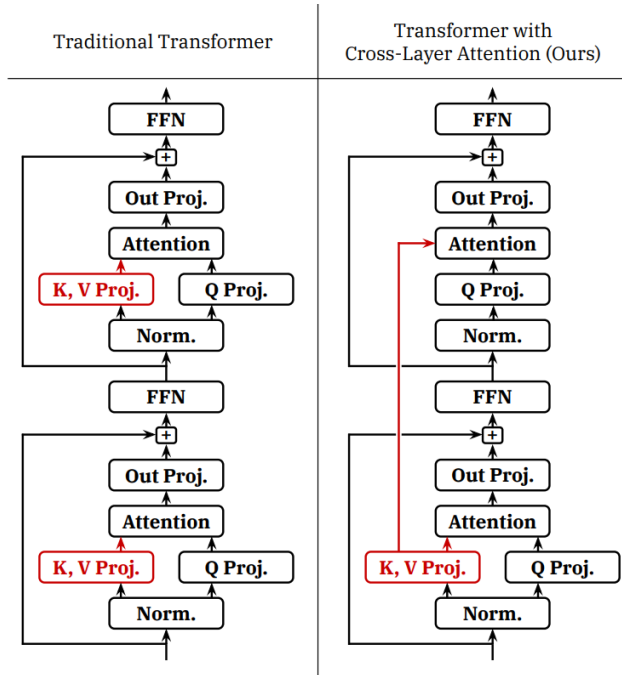
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Cross-layer attention (CLA)

[Brandon+ 2024]

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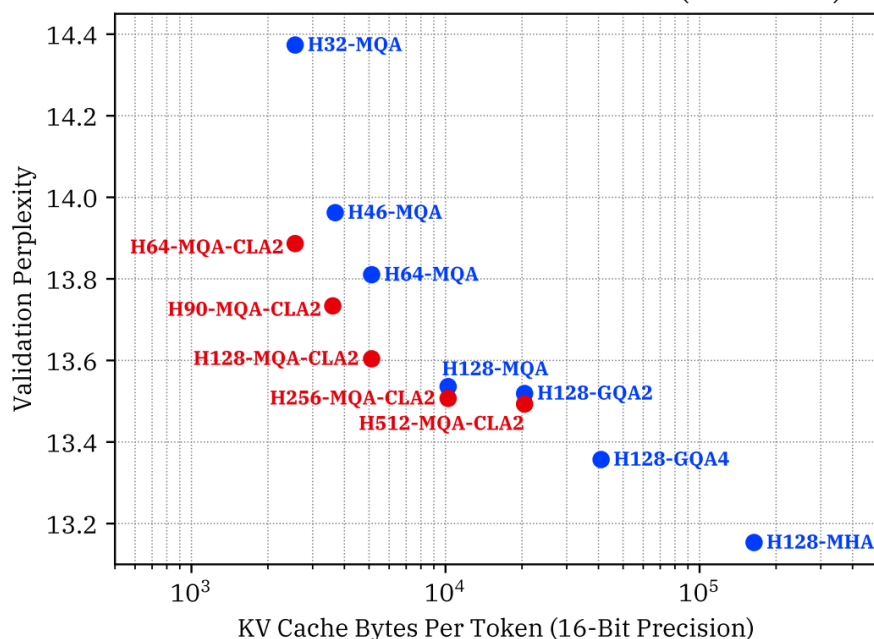
Idea: share KVs across **layers** (just as GQA shares KVs across heads)

347

Empirically improves the pareto frontier of accuracy and KV cache size (latency and throughput)

348

Pareto Frontier with and without CLA (1B Models)



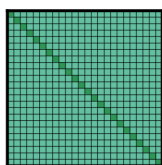
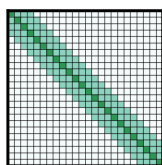
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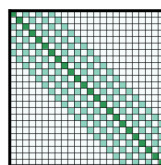
Local attention

[Beltagy+ 2020][Child+ 2019][Jiang+ 2023]

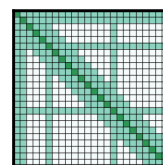
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(a) Full n^2 attention

(b) Sliding window attention



(c) Dilated sliding window



(d) Global+sliding window

352

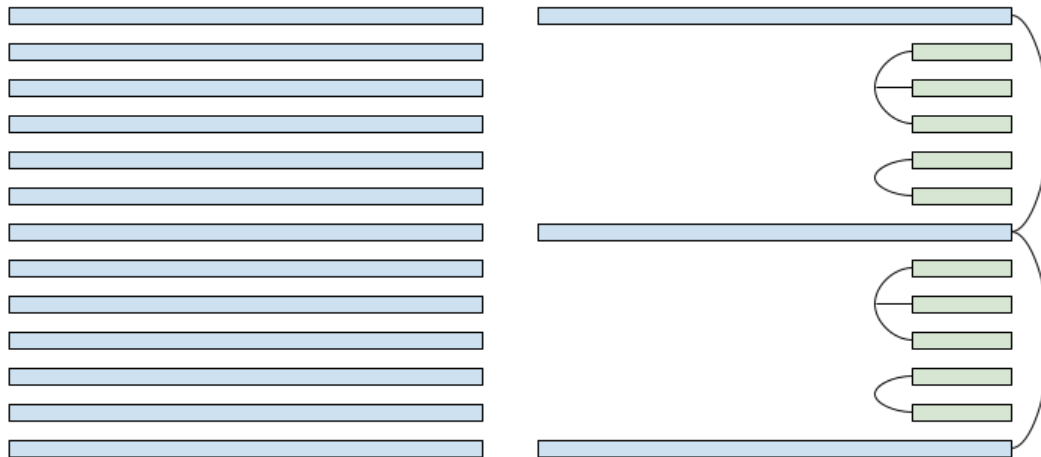
Idea: just look at the local context, which is most relevant for modeling

Effective context scales linearly with the number of layers
KV cache is independent of sequence length!

Problem: this can still hurt accuracy

Solution: interleave local attention with global attention (hybrid layers)

Example: character.ai uses 1 global layer every 6 layers (in addition to CLA) [\[article\]](#)



Summary:

- Goal: reduce the KV cache size (since inference is memory-limited) without hurting accuracy
- Lower-dimensional KV cache (GQA, MLA, shared KV cache)
- Local attention on some of the layers

```
def alternatives_to_the_transformer():
```

We have shown that tweaking the architecture of the Transformer, we can improve latency and throughput. Attention + autoregression is fundamentally memory-limited (Transformers were not designed with inference in mind).

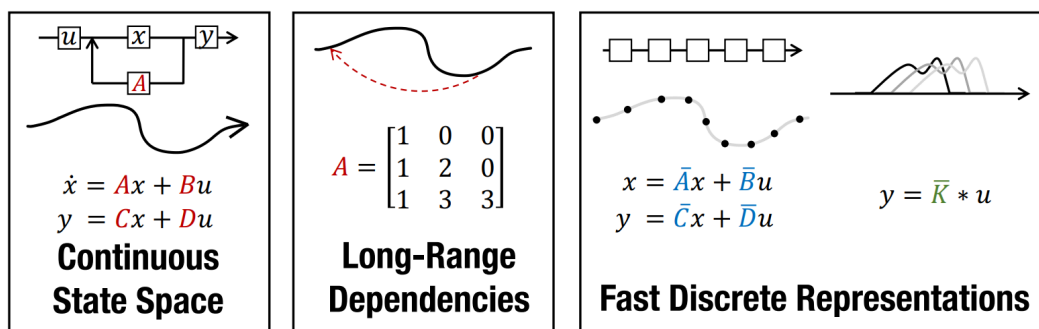
Can we substantially improve things if we go beyond the Transformer?

We will discuss two directions: state-space models and diffusion models.

State-space models

[\[presentation from CS229S\]](#)

- Idea: from signal processing to model long-context sequences in a sub-quadratic time
- S4: based on classic state space models, good at synthetic long-context tasks [\[Gu+ 2021\]](#)

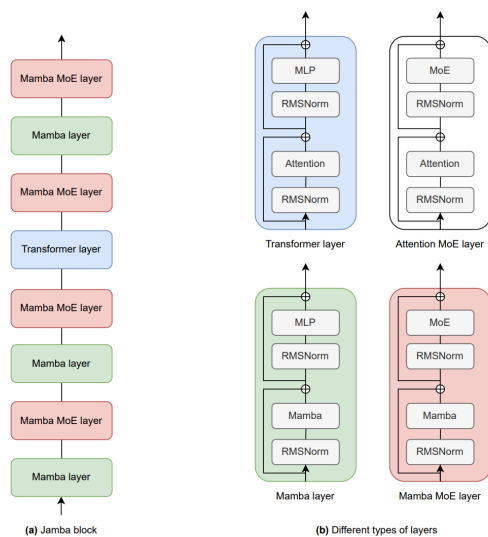


- Weaknesses: bad at solving associative recall tasks important for language (where Transformers do well)

A 4 B 3 C 6 $\underbrace{F 1 E 2}_{\text{Key-Value}} \rightarrow A ? C ? \underbrace{F ? E ? B ?}_{\text{Query}}$

- Mamba: allow SSM parameters to be input-dependent, match Transformers at 1B scale [\[Gu+ 2023\]](#)
- Jamba: interleave Transformer-Mamba layers (1:7 ratio) with a 52B MoE [\[Lieber+ 2024\]](#)

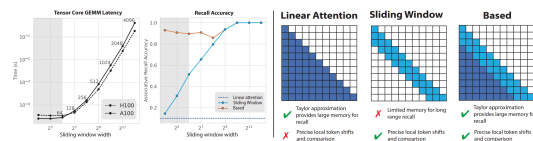
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383

- BASED: use linear attention + local attention [Arora+ 2024]

384



385

- MiniMax-01: use linear attention + full attention (456B parameter MoE) [MiniMax+ 2025]

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Takeaways:

388

- Linear + local attention (still need some full attention) yield serious SOTA models
- Replace O(T) KV cache with O(1) state => much more efficient for inference

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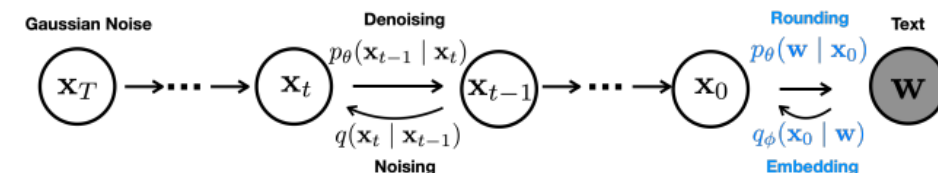
391

Diffusion models

392

- Popular for image generation, but harder to get working for text generation [Li+ 2022]

393



394

- Idea: generate each token in parallel (not autoregressively), refine multiple time steps
- Start with random noise (over entire sequence), iteratively refine it
- Results from Inception Labs [article]

396

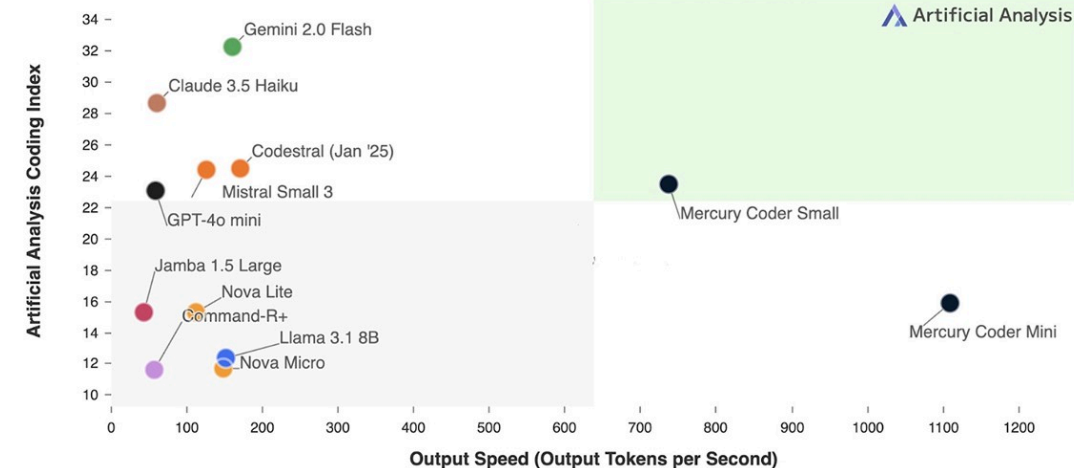
[demo video]

397

Much faster on coding benchmarks:

398

399



400

401

Overall, significant gains in inference to be made with more radical architecture changes!

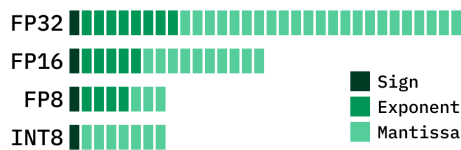
402

403

404 `def quantization():`

Key idea: reduce the precision of numbers
 Less memory means higher latency/throughput (since inference is memory-limited).
 Of course we have to worry about accuracy...

Comparing number formats [\[article\]](#)



- fp32 (4 bytes): needed for parameters and optimizer states during training
- bf16 (2 bytes): default for inference
- fp8 (1 byte) [-240, 240] for e4m3 on H100s: can train if you dare [\[Peng+ 2023\]](#)
- int8 (1 byte) [-128, 127]: less accurate but cheaper than fp8, but for inference only [\[Baaen+ 2023\]](#)
- int4 (0.5 bytes) [-8, 7]: cheaper, even less accurate [\[Baaen+ 2023\]](#)

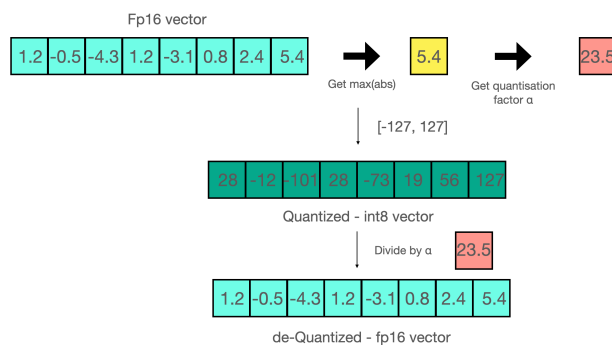
Quantization-aware training (QAT): train with quantization, but doesn't scale up

Post-training quantization (PTQ): run on sample data to determine scale and zero point for each layer or tensor
[\[Overview of approaches\]](#)

LLM.int8()

[\[Dettmers+ 2022\]](#)[\[article\]](#)

Standard quantization (scale by max of absolute values):



Problem: outliers (which appear in larger networks) screw everything up

Solution: extract outliers and process them in fp16



It works well (but is 15-23% slower than fp16):

428

benchmarks	-	-	-	-	difference - value
name	metric	value - int8	value - bf16	std err - bf16	-
hellaswag	acc_norm	0.7274	0.7303	0.0044	0.0029
hellaswag	acc	0.5563	0.5584	0.005	0.0021
piqa	acc	0.7835	0.7884	0.0095	0.0049
piqa	acc_norm	0.7922	0.7911	0.0095	0.0011
lambada	ppl	3.9191	3.931	0.0846	0.0119
lambada	acc	0.6808	0.6718	0.0065	0.009
winogrande	acc	0.7048	0.7048	0.0128	0

429

430

Activation-aware quantization

431

[Lin+ 2023]

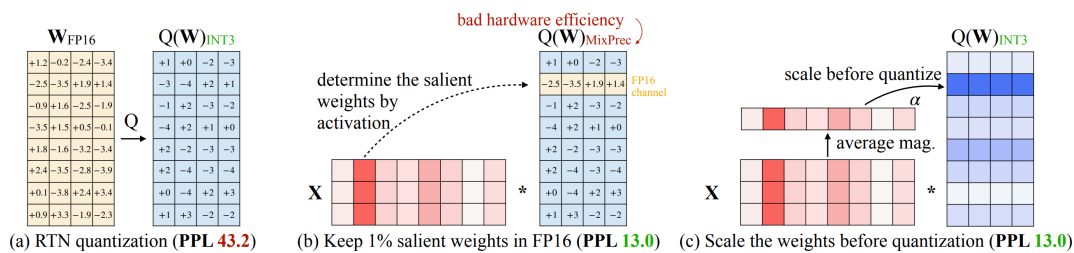
432

Idea: select which weights (0.1-1%) to keep in high precision based on activations

433

fp16 -> int3 produces 4x lower memory, 3.2x speedup

434



435

436

437 `def model_pruning():`

438

Key idea: just rip out parts of an expensive model to make it cheaper

439

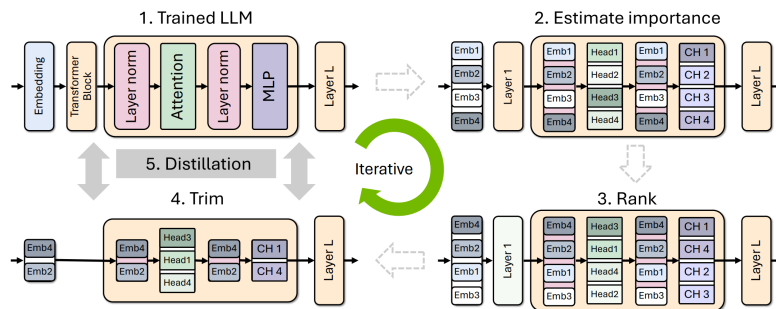
...and then fix it up.

440

441

Paper from NVIDIA [Muralidharan+ 2024]

442



443

Algorithm:

444

1. Identify important {layer, head, hidden dimension} on a small calibration dataset (1024 samples)

445

2. Remove unimportant layers to get a smaller model

446

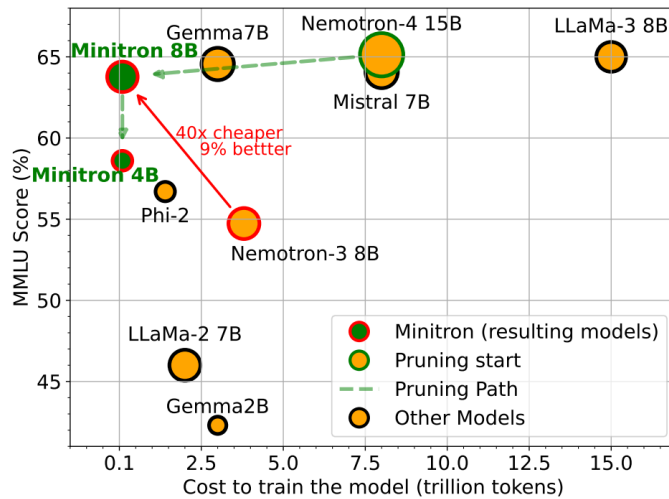
3. Distill the original model into pruned model

447

448

Results:

449



450

451

452 `def speculative_sampling():`

453 Recall the two stages of inference:

- Prefill: given a sequence, encode tokens in parallel (compute-limited) [note: also gives you probabilities]
- Generation: generate one token at a time (memory-limited)

456 In other words, checking is faster than generation.

457

458 Speculative sampling [Leviathan+ 2022][Chen+ 2023]

- Use a cheaper **draft model** p to guess a few tokens (e.g., 4)
- Evaluate with target model q (process tokens in parallel), and accept if it looks good

461 [Speculative sampling video]

462 [article]

463

464

Algorithm 2 Speculative Sampling (SpS) with Auto-Regressive Target and Draft Models

Given lookahead K and minimum target sequence length T .

Given auto-regressive target model $q(\cdot|\cdot)$, and auto-regressive draft model $p(\cdot|\cdot)$, initial prompt sequence x_0, \dots, x_t .

Initialise $n \leftarrow t$.

while $n < T$ **do**

for $t = 1 : K$ **do**

 Sample draft auto-regressively $\tilde{x}_t \sim p(x|x_1, \dots, x_n, \tilde{x}_1, \dots, \tilde{x}_{t-1})$

end for

 In parallel, compute $K + 1$ sets of logits from drafts $\tilde{x}_1, \dots, \tilde{x}_K$:

$$q(x|x_1, \dots, x_n), q(x|x_1, \dots, x_n, \tilde{x}_1), \dots, q(x|x_1, \dots, x_n, \tilde{x}_1, \dots, \tilde{x}_K)$$

for $t = 1 : K$ **do**

 Sample $r \sim U[0, 1]$ from a uniform distribution.

if $r < \min\left(1, \frac{q(x|x_1, \dots, x_{n+t-1})}{p(x|x_1, \dots, x_{n+t-1})}\right)$ **then**

 Set $x_{n+t} \leftarrow \tilde{x}_t$ and $n \leftarrow n + 1$.

else

 sample $x_{n+t} \sim (q(x|x_1, \dots, x_{n+t-1}) - p(x|x_1, \dots, x_{n+t-1}))_+$ and exit for loop.

end if

end for

 If all tokens x_{n+1}, \dots, x_{n+K} are accepted, sample extra token $x_{n+K+1} \sim q(x|x_1, \dots, x_n, x_{n+K})$ and set $n \leftarrow n + 1$.

end while

465

This is modified rejection sampling with proposal p and target q

466

Modification: always generate at least one candidate (rejection sampling will keep looping)

467

Key property: guaranteed to be an **exact sample** from the target model!

468

469 Proof by example: assume two vocabulary elements $\{A, B\}$

470

- Target model probabilities: $[q(A), q(B)]$

471

- Draft model probabilities: $[p(A), p(B)]$

472

- Assume $p(A) > q(A)$ [draft model oversamples A].

473

- Therefore $p(B) < q(B)$ [draft model undersamples B].

474

- Residual probabilities $\max(q-p, 0)$: $[0, 1]$

475

Compute the probabilities of speculatively sampling a token:

476

- $P[\text{sampling } A] = p(A) * (q(A) / p(A)) + p(B) * 1 * 0 = q(A)$

477

- $P[\text{sampling } B] = p(B) * 1 + p(A) * (1 - q(A) / p(A)) * 1 = q(B)$

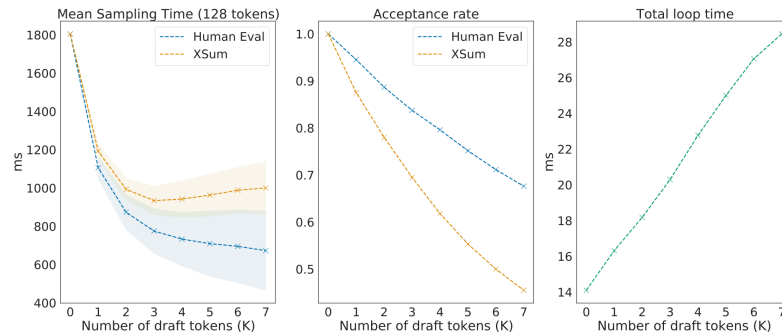
478

479

Table 1 | Chinchilla performance and speed on XSum and HumanEval with naive and speculative sampling at batch size 1 and $K = 4$. XSum was executed with nucleus parameter $p = 0.8$, and HumanEval with $p = 0.95$ and temperature 0.8.

Sampling Method	Benchmark	Result	Mean Token Time	Speed Up
ArS (Nucleus)	XSum (ROUGE-2)	0.112	14.1ms/Token	1×
SpS (Nucleus)		0.114	7.52ms/Token	1.92×
ArS (Greedy)	XSum (ROUGE-2)	0.157	14.1ms/Token	1×
SpS (Greedy)		0.156	7.00ms/Token	2.01×
ArS (Nucleus)	HumanEval (100 Shot)	45.1%	14.1ms/Token	1×
SpS (Nucleus)		47.0%	5.73ms/Token	2.46×

480



481

482 In practice:

- 483 • Target model has 70B parameters, draft model has 8B parameters
- 484 • Target model has 8B parameters, draft model has 1B parameters
- 485 • Try to make draft model as close to target (distillation)

486

487 Extensions to improve the draft model:

- 488 • Medusa: draft model generates multiple tokens in parallel [Cai+ 2024]
- 489 • EAGLE: draft model takes high-level features from target model [Li+ 2024]

490

491

492 Summary:

- 493 • Exact sampling from target model (thanks to math)!
- 494 • Exploits asymmetry between checking and generation
- 495 • Lots of room for innovation on the draft model (involves training)

496

497

498 `def continuous_batching():`499 [Orca: A Distributed Serving System for Transformer-Based Generative Models\[talk\]](#)

500

501 Problem:

- 502 • Training: get a dense block of tokens (batch size x sequence length)
- 503 • Inference: requests arrive and finish at different times, so you have a ragged array

504

505

506 Solution: iteration-level scheduling

- 507 • Decode step by step
- 508 • Add new requests to the batch as they arrive (so don't have to wait until generation completes)

509

510 Problem:

- 511 • Batching only works when all sequences have the same dimensionality (right?)
- 512 • But each request might have a different length

513

514 Solution: selective batching

- 515 • Training: when all sequences of the same length, operate on a $B \times S \times H$ tensor
- 516 • But we might have different lengths: $[3, H]$, $[9, H]$, $[5, H]$, etc.
- 517 • Attention computation: process each sequence separately
- 518 • Non-attention computation: concatenate all the sequences together to $[3 + 9 + 5, H]$

519

520

521 `def paged_attention():`522 Paper that introduced vLLM in addition to PagedAttention [\[Kwon+ 2023\]](#)

523

524 Previous status quo:

- 525 • Request comes in
- 526 • Allocate section of KV cache for prompt and response (up to a max length)

527

528 Problem: fragmentation (what happens to your hard drive)

- 529 • But this is wasteful since we might generate much fewer tokens (internal fragmentation)!
- 530 • Might be extra unused space between sections (external fragmentation)!

531

532 Solution: PagedAttention (remember operating systems)

- 533 • Divide the KV cache of a sequence into non-contiguous **blocks**

534

535

536 Two requests share the KV caches:

537

538

539 In general, multiples types of sharing KV caches across sequences:

540

- 541 • Sharing the system prompt
- 542 • Sampling multiple responses per prompt (e.g., for program synthesis)

543

544 Solution: share prefixes, copy-on-write at the block level

545

546

547 Other vLLM optimizations:

- 548 • Kernel to fuse block read and attention (reduce kernel launch overhead)
- 549 • Use latest kernels (FlashAttention, FlashDecoding)

```
550     • Use CUDA graphs to avoid kernel launch overhead
551
552     Summary: use ideas from operating systems (paging) to make use of memory for dynamic workloads
553
554
555     if __name__ == "__main__":
556         main()
```