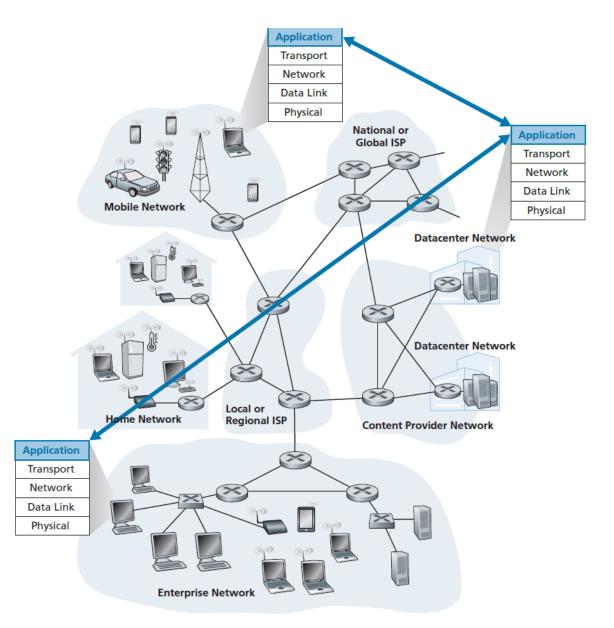
# **Application layer: overview**

- Principles of network applications
- Web and HTTP
- E-mail, SMTP, IMAP
- The Domain Name System DNS

- P2P applications
- Video streaming and content distribution networks
- Socket programming with UDP and TCP

# Some network apps

- social networking
- Web
- text messaging
- e-mail
- multi-user network games
- streaming stored video (YouTube, Netflix)
- P2P file sharing
- voice over IP (Skype)
- real-time video conferencing (Zoom)
- Internet search
- remote login
- ...



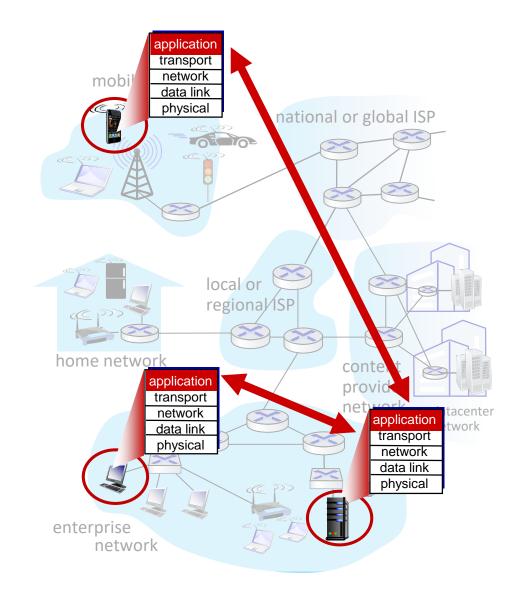
# Creating a network app

#### write programs that:

- run on (different) end systems
- communicate over network
- e.g., web server software communicates with browser software

# no need to write software for network-core devices

- network-core devices do not run user applications
- applications on end systems allows for rapid app development, propagation



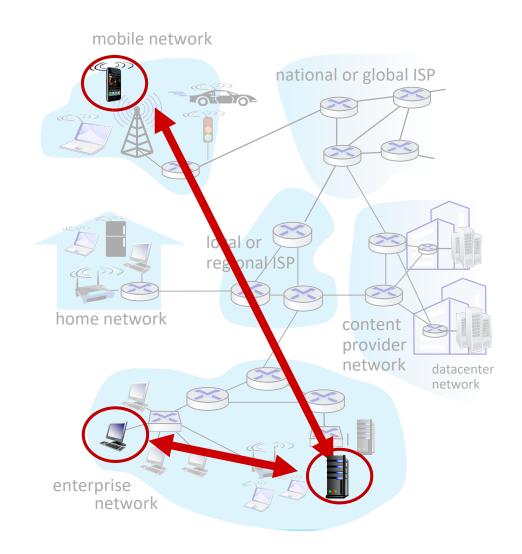
# Client-server paradigm

#### server:

- always-on host
- permanent IP address
- often in data centers, for scaling

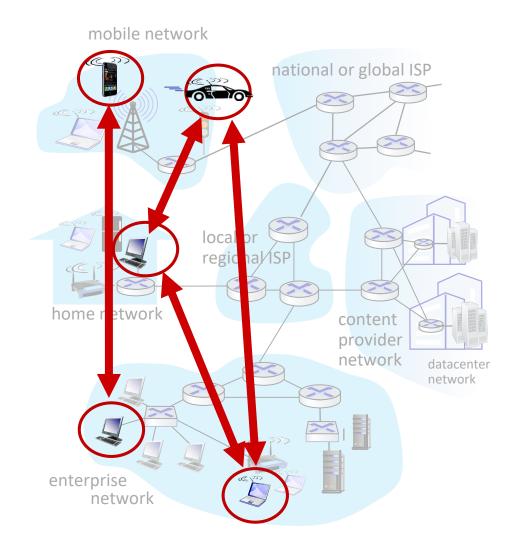
#### clients:

- contact, communicate with server
- may be intermittently connected
- may have dynamic IP addresses
- do not communicate directly with each other
- examples: HTTP, IMAP, FTP



### Peer-peer architecture

- no always-on server
- arbitrary end systems directly communicate
- peers request service from other peers, provide service in return to other peers
  - self scalability new peers bring new service capacity, as well as new service demands
- peers are intermittently connected and change IP addresses
  - complex management
- example: P2P file sharing



# **Processes communicating**

process: program running
 within a host

- within same host, two processes communicate using inter-process communication (defined by OS)
- processes in different hosts communicate by exchanging messages

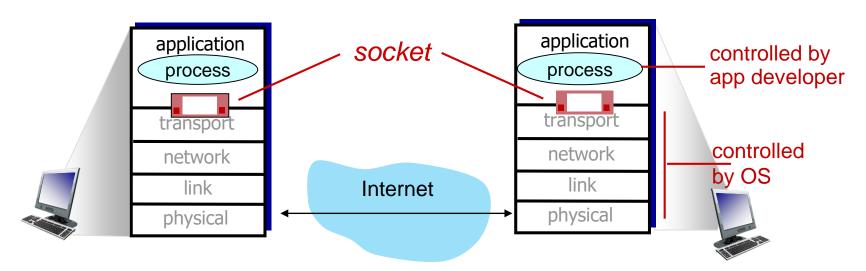
#### clients, servers

client process: process that
 initiates communication
server process: process
 that waits to be contacted

 note: applications with P2P architectures have client processes & server processes

### **Sockets**

- process sends/receives messages to/from its socket
- socket analogous to door
  - sending process shoves message out door
  - sending process relies on transport infrastructure on other side of door to deliver message to socket at receiving process
  - two sockets involved: one on each side



# **Addressing processes**

- to receive messages, process must have *identifier*
- host device has unique 32-bit
   IP address
- Q: does IP address of host on which process runs suffice for identifying the process?
  - A: no, many processes can be running on same host

- identifier includes both IP address and port numbers associated with process on host.
- example port numbers:

HTTP server: 80

mail server: 25

 to send HTTP message to gaia.cs.umass.edu web server:

• IP address: 128.119.245.12

port number: 80

### An application-layer protocol defines:

- types of messages exchanged,
  - e.g., request, response
- message syntax:
  - what fields in messages & how fields are delineated
- message semantics
  - meaning of information in fields
- rules for when and how processes send & respond to messages

#### open protocols:

- defined in RFCs, everyone has access to protocol definition
- allows for interoperability
- e.g., HTTP, SMTP

#### proprietary protocols:

• e.g., Skype, Zoom

# What transport service does an app need?

#### data integrity

- some apps (e.g., file transfer, web transactions) require
   100% reliable data transfer
- other apps (e.g., audio) can tolerate some loss

#### timing

 some apps (e.g., Internet telephony, interactive games) require low delay to be "effective"

### throughput

- some apps (e.g., multimedia) require minimum amount of throughput to be "effective"
- other apps ("elastic apps")
   make use of whatever
   throughput they get

#### security

encryption, data integrity,

### Transport service requirements: common apps

ар	plication	data loss	throughput	time sensitive?
file transfer/download		no loss	elastic	no
	e-mail	no loss	elastic	no
Web do	cuments	no loss	elastic	no
real-time aud	dio/video	loss-tolerant	audio: 5Kbps-1Mbps video:10Kbps-5Mbps	yes, 10's msec
streaming aud	dio/video	loss-tolerant	same as above	yes, few secs
interactiv	e games	loss-tolerant	Kbps+	yes, 10's msec
text m	essaging	no loss	elastic	yes and no

### Internet transport protocols services

#### TCP service:

- reliable transport between sending and receiving process
- flow control: sender won't overwhelm receiver
- congestion control: throttle sender when network overloaded
- connection-oriented: setup required between client and server processes
- does not provide: timing, minimum throughput guarantee, security

#### **UDP** service:

- unreliable data transfer
   between sending and receiving
   process
- does not provide: reliability, flow control, congestion control, timing, throughput guarantee, security, or connection setup.

### Internet applications, and transport protocols

application	application layer protocol	transport protocol
file transfer/download	FTP [RFC 959]	TCP
e-mail	SMTP [RFC 5321]	TCP
Web documents	HTTP 1.1 [RFC 7320]	TCP
Internet telephony	SIP [RFC 3261], RTP [RFC	TCP or UDP
	3550], or proprietary	
streaming audio/video	HTTP [RFC 7320], DASH	TCP
interactive games	WOW, FPS (proprietary)	UDP or TCP

# **Securing TCP**

#### Vanilla TCP & UDP sockets:

- no encryption
- cleartext passwords sent into socket traverse Internet in clear text

### Transport Layer Security (TLS)

- provides encrypted TCP connections
- data integrity
- end-point authentication

# TLS implemented in application layer

- apps use TLS libraries, that use TCP in turn
- cleartext sent into "socket" traverse Internet encrypted

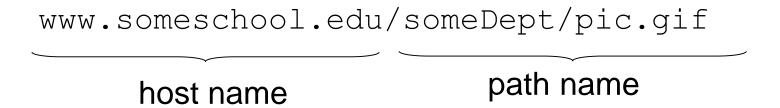
# **Application layer: overview**

- Principles of network applications
- Web and HTTP
- E-mail, SMTP, IMAP
- The Domain Name System
   DNS

- P2P applications
- video streaming and content distribution networks
- socket programming with UDP and TCP

### **Web and HTTP**

- web page consists of objects, each of which can be stored on different Web servers
- object can be HTML file, JPEG image, Java applet, audio file,...
- web page consists of base HTML-file which includes several referenced objects, each addressable by a URL, e.g.,



### **HTTP overview**

### HTTP: hypertext transfer protocol

- Web's application-layer protocol
- client/server model:
  - client: browser that requests, receives, (using HTTP protocol) and "displays" Web objects
  - server: Web server sends (using HTTP protocol) objects in response to requests



# HTTP overview (continued)

#### HTTP uses TCP:

- client initiates TCP connection (creates socket) to server, port 80
- server accepts TCP connection from client
- HTTP messages (application-layer protocol messages) exchanged between browser (HTTP client) and Web server (HTTP server)
- TCP connection closed

#### HTTP is "stateless"

 server maintains no information about past client requests

aside

# protocols that maintain "state" are complex!

- past history (state) must be maintained
- if server/client crashes, their views of "state" may be inconsistent, must be reconciled

### **HTTP connections: two types**

#### Non-persistent HTTP

- 1. TCP connection opened
- 2. at most one object sent over TCP connection
- 3. TCP connection closed

downloading multiple objects required multiple connections

#### Persistent HTTP

- TCP connection opened to a server
- multiple objects can be sent over single TCP connection between client, and that server
- TCP connection closed

# Non-persistent HTTP: example

User enters URL: www.someSchool.edu/someDepartment/home.index (containing text, references to 10 jpeg images)

- - 1a. HTTP client initiates TCP connection to HTTP server (process) at www.someSchool.edu on port 80
  - 2. HTTP client sends HTTP request message (containing URL) into TCP connection socket. Message indicates that client wants object someDepartment/home.index

- 1b. HTTP server at host www.someSchool.edu waiting for TCP connection at port 80 "accepts" connection, notifying client
  - 3. HTTP server receives request message, forms *response message* containing requested object, and sends message into its socket

time

# Non-persistent HTTP: example (cont.)

User enters URL: www.someSchool.edu/someDepartment/home.index (containing text, references to 10 jpeg images)



5. HTTP client receives response message containing html file, displays html. Parsing html file, finds 10 referenced jpeg objects



**4.** HTTP server closes TCP connection.

6. Steps 1-5 repeated for each of 10 jpeg objects

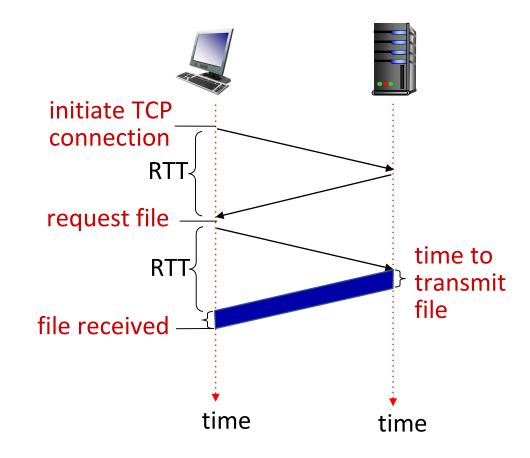


### Non-persistent HTTP: response time

RTT (definition): time for a small packet to travel from client to server and back

#### HTTP response time (per object):

- one RTT to initiate TCP connection
- one RTT for HTTP request and first few bytes of HTTP response to return
- object/file transmission time



Non-persistent HTTP response time = 2RTT+ file transmission time

### Persistent HTTP (HTTP 1.1)

#### Non-persistent HTTP issues:

- requires 2 RTTs per object
- OS overhead for each TCP connection
- browsers often open multiple parallel TCP connections to fetch referenced objects in parallel

#### Persistent HTTP (HTTP1.1):

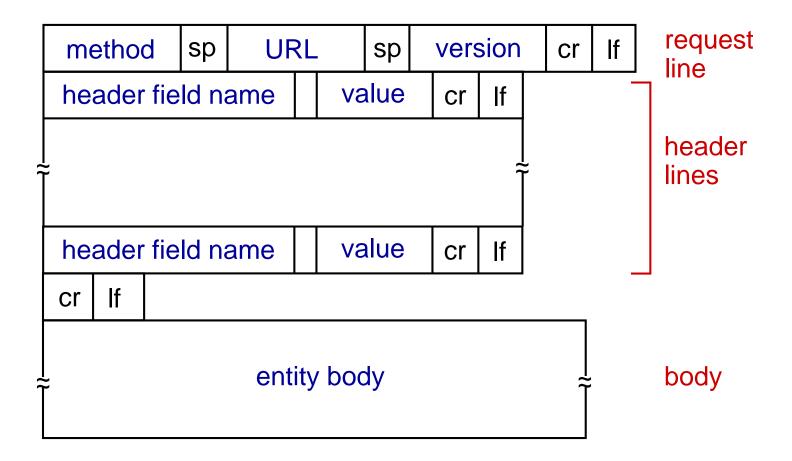
- server leaves connection open after sending response
- subsequent HTTP messages between same client/server sent over open connection
- client sends requests as soon as it encounters a referenced object
- as little as one RTT for all the referenced objects (cutting response time in half)

### **HTTP** request message

- two types of HTTP messages: request, response
- HTTP request message:
  - ASCII (human-readable format)

```
GET /somedir/page.html HTTP/1.1
Host: www.someschool.edu
Connection: close
User-agent: Mozilla/5.0
Accept-language: fr
```

# HTTP request message: general format



### Other HTTP request messages

#### **POST method:**

- web page often includes form input
- user input sent from client to server in entity body of HTTP POST request message

#### **GET method** (for sending data to server):

• include user data in URL field of HTTP GET request message (following a '?'):

www.somesite.com/search?name&telephoneNumber

#### **HEAD** method:

for debugging

#### PUT method:

uploads new file (object) to server

### HTTP response message

```
HTTP/1.1 200 OK
Connection: close
Date: Tue, 18 Aug 2015 15:44:04 GMT
Server: Apache/2.2.3 (CentOS)
Last-Modified: Tue, 18 Aug 2015 15:11:03 GMT
Content-Length: 6821
Content-Type: text/html
(data data data data data ...)
```

### **HTTP** response status codes

- status code appears in 1st line in server-to-client response message.
- some sample codes:

#### 200 OK

request succeeded, requested object later in this message

#### **301 Moved Permanently**

 requested object moved, new location specified later in this message (in Location: field)

#### 400 Bad Request

request msg not understood by server

#### 404 Not Found

requested document not found on this server

#### 505 HTTP Version Not Supported

# Maintaining user/server state: cookies

Web sites and client browser use cookies to maintain some state between transactions

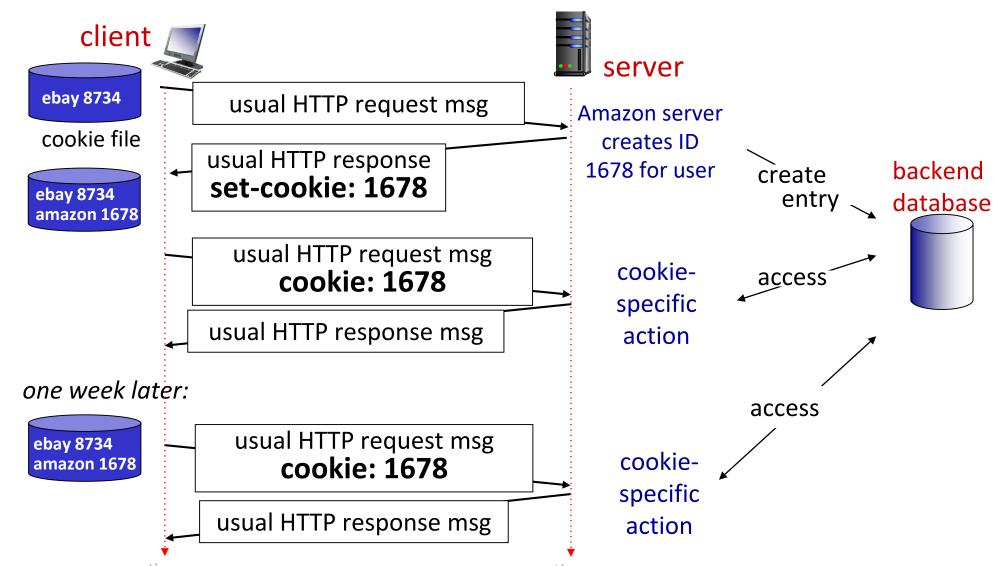
### four components:

- 1) cookie header line of HTTP *response* message
- 2) cookie header line in next HTTP request message
- 3) cookie file kept on user's host, managed by user's browser
- 4) back-end database at Web site

#### Example:

- Susan uses browser on laptop, visits specific e-commerce site for first time
- when initial HTTP requests arrives at site, site creates:
  - unique ID (aka "cookie")
  - entry in backend database for ID
- subsequent HTTP requests from Susan to this site will contain cookie ID value, allowing site to "identify" Susan

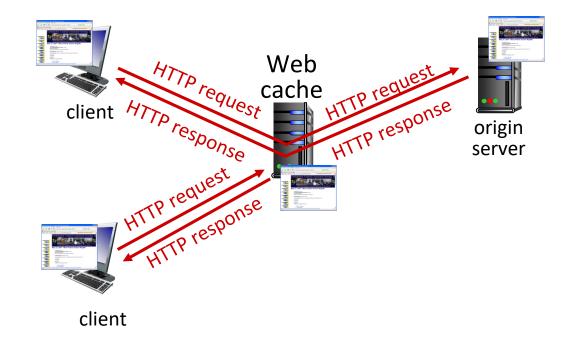
# Maintaining user/server state: cookies



### Web caches

### Goal: satisfy client requests without involving origin server

- user configures browser to point to a (local) Web cache
- browser sends all HTTP requests to cache
  - *if* object in cache: cache returns object to client
  - else cache requests object from origin server, caches received object, then returns object to client



# HTTP/2

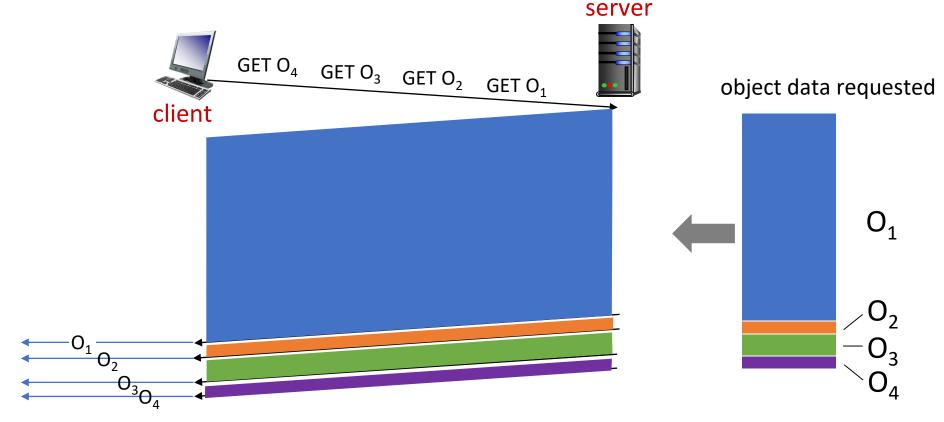
Key goal: decreased delay in multi-object HTTP requests

<u>HTTP/2:</u> [RFC 7540, 2015] increased flexibility at *server* in sending objects to client.

methods, status codes, most header fields unchanged from HTTP 1.1

# HTTP/2: mitigating HOL blocking

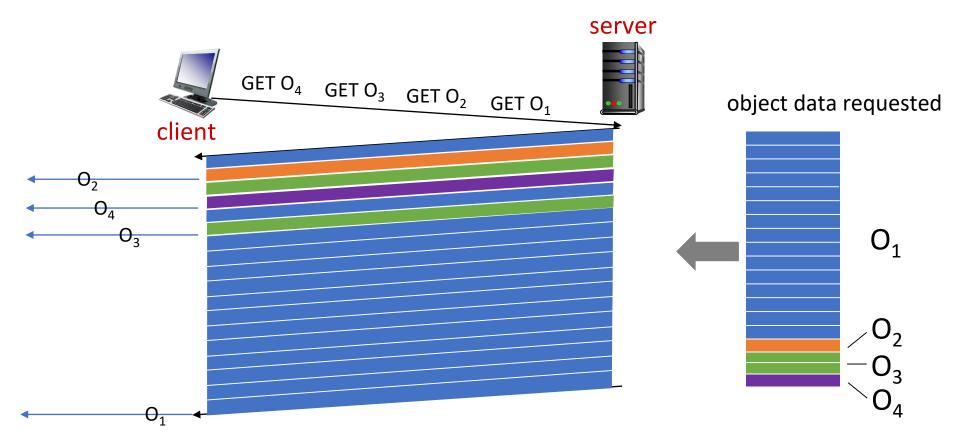
HTTP 1.1: client requests 1 large object (e.g., video file) and 3 smaller objects



objects delivered in order requested:  $O_2$ ,  $O_3$ ,  $O_4$  wait behind  $O_1$ 

# HTTP/2: mitigating HOL blocking

HTTP/2: objects divided into frames, frame transmission interleaved



 $O_2$ ,  $O_3$ ,  $O_4$  delivered quickly,  $O_1$  slightly delayed

# **Application layer: overview**

- Principles of network applications
- Web and HTTP
- E-mail, SMTP, IMAP
- The Domain Name System
   DNS

- P2P applications
- video streaming and content distribution networks
- socket programming with UDP and TCP

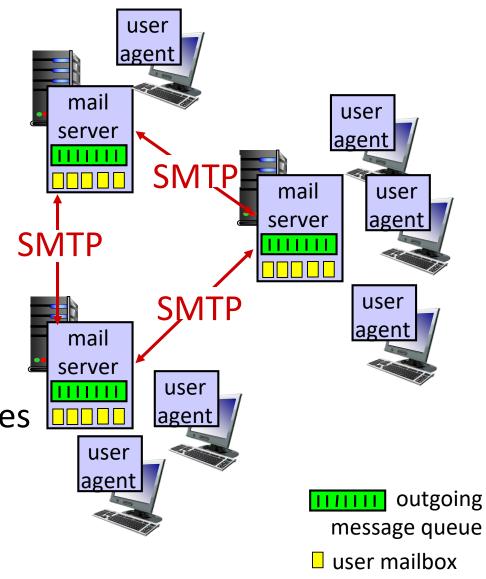
### E-mail

### Three major components:

- user agents
- mail servers
- simple mail transfer protocol: SMTP

### **User Agent**

- a.k.a. "mail reader"
- composing, editing, reading mail messages
- e.g., Outlook, iPhone mail client
- outgoing, incoming messages stored on server



Application Layer: 2-36

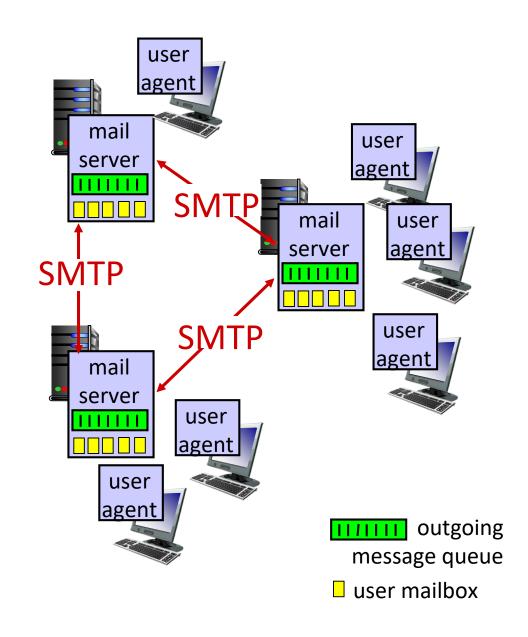
## E-mail: mail servers

#### mail servers:

- mailbox contains incoming messages for user
- message queue of outgoing (to be sent) mail messages

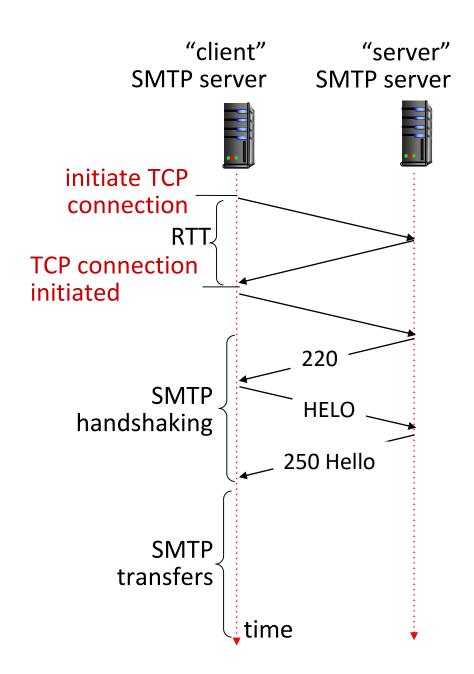
SMTP protocol between mail servers to send email messages

- client: sending mail server
- "server": receiving mail server



## **SMTP RFC** (5321)

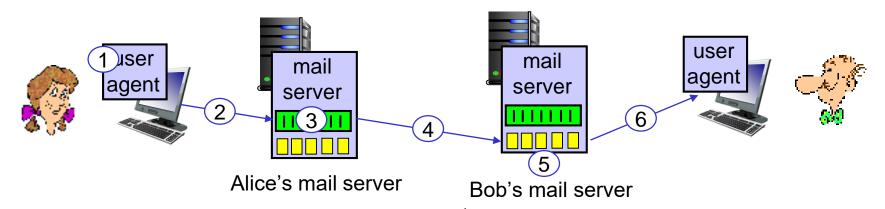
- uses TCP to reliably transfer email message from client (mail server initiating connection) to server, port 25
  - direct transfer: sending server (acting like client) to receiving server
- three phases of transfer
  - SMTP handshaking (greeting)
  - SMTP transfer of messages
  - SMTP closure
- command/response interaction (like HTTP)
  - commands: ASCII text
  - response: status code and phrase



## Scenario: Alice sends e-mail to Bob

- 1) Alice uses UA to compose e-mail message "to" bob@someschool.edu
- 2) Alice's UA sends message to her mail server using SMTP; message placed in message queue
- 3) client side of SMTP at mail server opens TCP connection with Bob's mail server

- 4) SMTP client sends Alice's message over the TCP connection
- 5) Bob's mail server places the message in Bob's mailbox
- 6) Bob invokes his user agent to read message



## Sample SMTP interaction

```
S: 220 hamburger.edu
C: HELO crepes.fr
S: 250 Hello crepes.fr, pleased to meet you
C: MAIL FROM: <alice@crepes.fr>
S: 250 alice@crepes.fr... Sender ok
C: RCPT TO: <bob@hamburger.edu>
S: 250 bob@hamburger.edu ... Recipient ok
C: DATA
S: 354 Enter mail, end with "." on a line by itself
C: Do you like ketchup?
C: How about pickles?
C: .
S: 250 Message accepted for delivery
C: QUIT
```

# Mail message format

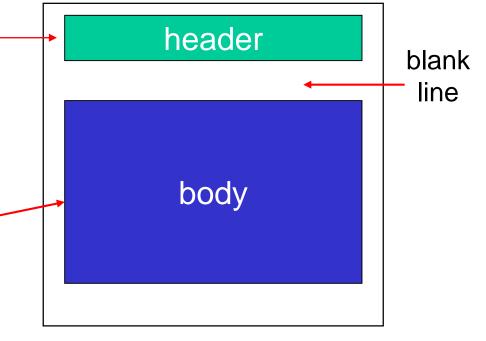
SMTP: protocol for exchanging e-mail messages, defined in RFC 5321 (like RFC 7231 defines HTTP)

RFC 2822 defines *syntax* for e-mail message itself (like HTML defines syntax for web documents)

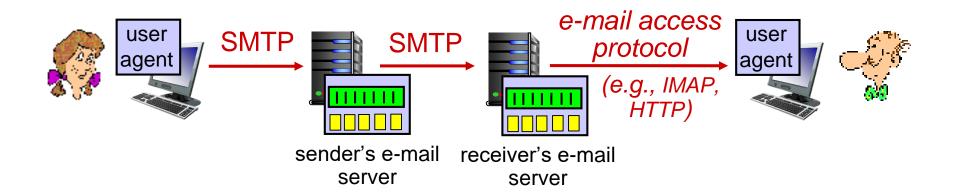
- header lines, e.g.,
  - To:
  - From:
  - Subject:

these lines, within the body of the email message area different from SMTP MAIL FROM:, RCPT TO: commands!

Body: the "message", ASCII characters only



## Retrieving email: mail access protocols



- SMTP: delivery/storage of e-mail messages to receiver's server
- mail access protocol: retrieval from server
  - IMAP: Internet Mail Access Protocol [RFC 3501]: messages stored on server, IMAP provides retrieval, deletion, folders of stored messages on server
- HTTP: gmail, Hotmail, Yahoo!Mail, etc. provides web-based interface on top of STMP (to send), IMAP (or POP) to retrieve e-mail messages

# **Application Layer: Overview**

- Principles of network applications
- Web and HTTP
- E-mail, SMTP, IMAP
- The Domain Name System DNS

- P2P applications
- video streaming and content distribution networks
- socket programming with UDP and TCP

## **DNS: Domain Name System**

#### people: many identifiers:

SSN, name, passport #

#### *Internet hosts, routers:*

- IP address (32 bit) used for addressing datagrams
- "name", e.g., cs.umass.edu used by humans

Q: how to map between IP address and name, and vice versa?

## Domain Name System (DNS):

- distributed database implemented in hierarchy of many name servers
- application-layer protocol: hosts, DNS servers communicate to resolve names (address/name translation)

## **DNS: services**

- hostname-to-IP-address translation
- host aliasing
  - canonical, alias names
     relay1.west-coast.enterprise.com
     enterprise.com, www.enterprise.com
- mail server aliasing relay1.west-coast.yahoo.com
  - yahoo.com
- load distribution
  - replicated Web servers: many IP addresses correspond to one name

# Thinking about the DNS

#### humongous distributed database:

~ billion records, each simple

#### handles many trillions of queries/day:

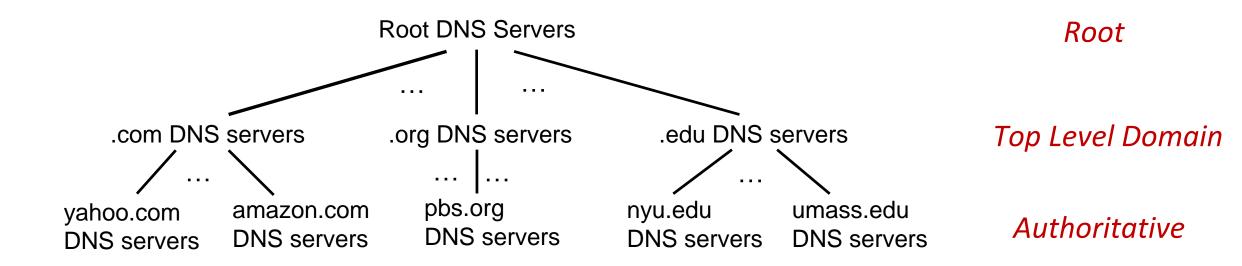
- many more reads than writes
- performance matters: almost every Internet transaction interacts with DNS - msecs count!

#### organizationally, physically decentralized:

 millions of different organizations responsible for their records



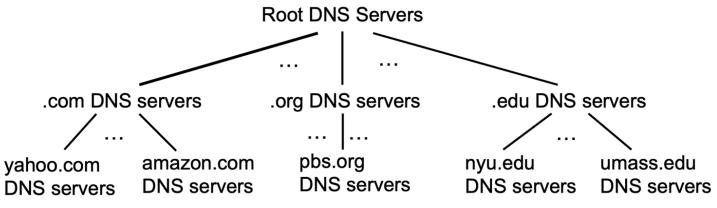
## DNS: a distributed, hierarchical database



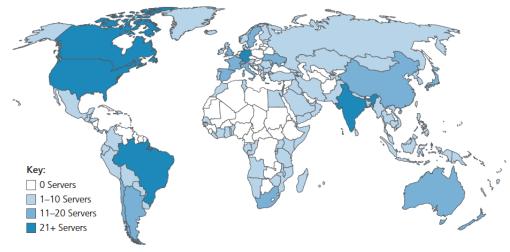
#### Client wants IP address for www.amazon.com

- client queries root server to find .com DNS server
- client queries .com DNS server to get amazon.com DNS server
- client queries amazon.com DNS server to get IP address for www.amazon.com

## **DNS: root name servers**



13 logical root name "servers" worldwide each "server" replicated many times (~200 servers in US)

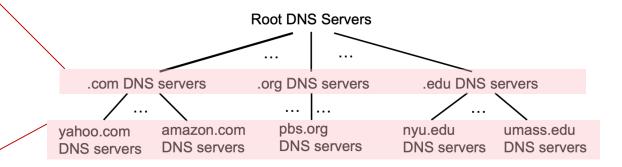


## Top-Level Domain, and authoritative servers

## Top-Level Domain (TLD) servers:

- responsible for .com, .org, net, .edu, .aero, .jobs, .museums, and all top-level country domains, e.g.: .cn, .uk, .fr, .ca, .jp
- Verisign: authoritative registry for .com

Educause: .edu TLD



#### authoritative DNS servers:

- organization's own DNS server(s), providing authoritative hostname to IP mappings for organization's named hosts
- can be maintained by organization or service provider

## **Local DNS name servers**

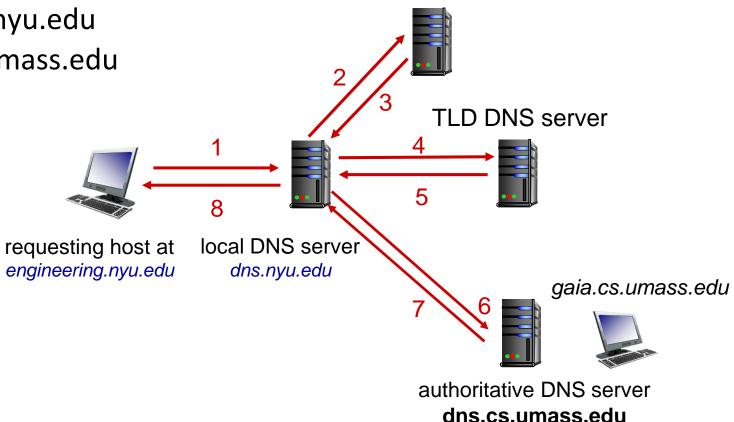
- when host makes DNS query, it is sent to its local DNS server
  - Local DNS server returns reply, answering:
    - from its local cache of recent name-to-address translation pairs (possibly out of date!)
    - forwarding request into DNS hierarchy for resolution
  - each ISP has local DNS name server; to find yours:
    - MacOS: % scutil --dns
    - Windows: >ipconfig /all
- local DNS server doesn't strictly belong to hierarchy

# DNS name resolution: iterated query

Example: host at engineering.nyu.edu wants IP address for gaia.cs.umass.edu

#### Iterated query:

- contacted server replies with name of server to contact
- "I don't know this name, but ask this server"



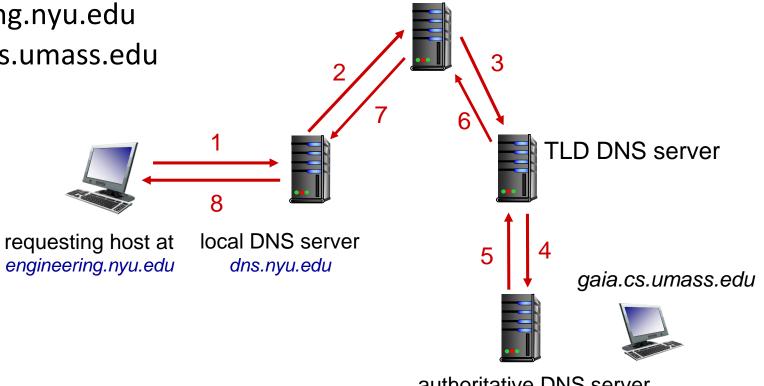
root DNS server

## DNS name resolution: recursive query

Example: host at engineering.nyu.edu wants IP address for gaia.cs.umass.edu

## Recursive query:

 puts burden of name resolution on contacted name server



root DNS server

authoritative DNS server dns.cs.umass.edu

# **Caching DNS Information**

- once (any) name server learns mapping, it caches mapping, and immediately returns a cached mapping in response to a query
  - caching improves response time
  - cache entries timeout (disappear) after some time (TTL)
  - TLD servers typically cached in local name servers
- cached entries may be out-of-date
  - if named host changes IP address, may not be known Internetwide until all TTLs expire!
  - best-effort name-to-address translation!

## **DNS** records

DNS: distributed database storing resource records (RR)

RR format: (name, value, type, ttl)

#### type=A

- name is hostname (e.g. relay1.bar.foo.com)
- value is IP address (e.g. 145.37.93.126)

#### type=NS

- name is domain (e.g., foo.com)
- value is hostname of authoritative name server for this domain (e.g. dns.foo.com)

#### type=CNAME

- name is alias name for some "canonical" (the real) name
- www.ibm.com is really servereast.backup2.ibm.com
- value is canonical name

## type=MX

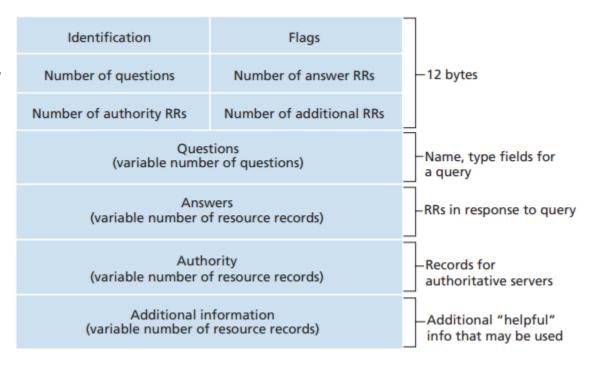
 value is name of SMTP mail server associated with name

# **DNS** protocol messages

DNS query and reply messages, both have same format:

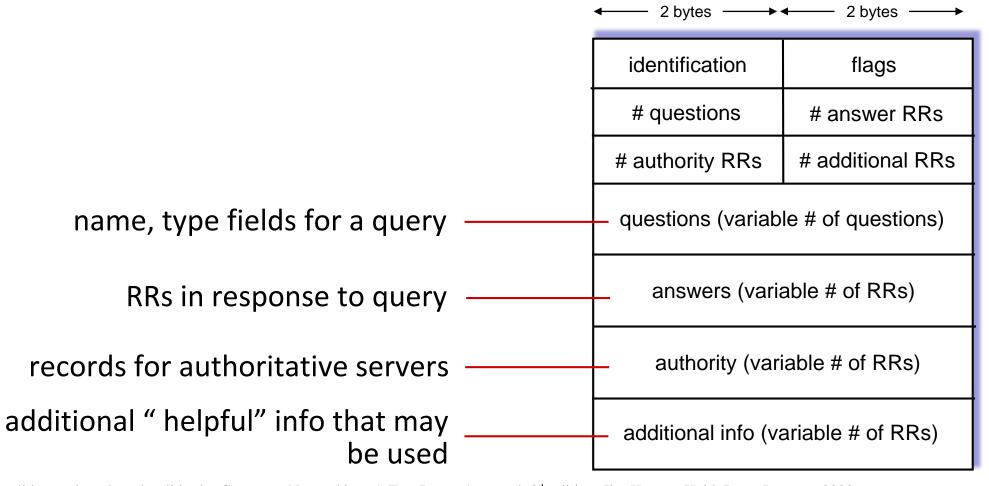
#### message header:

- identification: 16 bit # for query,
   reply to query uses same #
- flags:
  - query or reply
  - recursion desired
  - recursion available
  - reply is authoritative



# **DNS** protocol messages

DNS query and reply messages, both have same format:



## **Getting your info into the DNS**

example: new startup "Network Utopia"

- register name networkuptopia.com at DNS registrar (e.g., Network Solutions)
  - provide names, IP addresses of authoritative name server (primary and secondary)
  - registrar inserts NS, A RRs into .com TLD server:

```
(networkutopia.com, dns1.networkutopia.com, NS) (dns1.networkutopia.com, 212.212.212.1, A)
```

- create authoritative server locally with IP address 212.212.212.1
  - type A record for www.networkuptopia.com
  - type MX record for networkutopia.com

## **DNS** security

#### **DDoS** attacks

- bombard root servers with traffic
  - not successful to date
  - traffic filtering
  - local DNS servers cache IPs of TLD servers, allowing root server bypass
- bombard TLD servers
  - potentially more dangerous

## Spoofing attacks

- intercept DNS queries, returning bogus replies
  - DNS cache poisoning
  - RFC 4033: DNSSEC authentication services

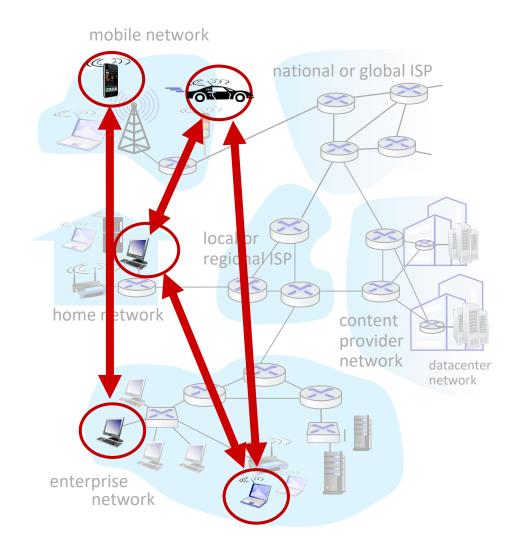
# **Application Layer: Overview**

- Principles of network applications
- Web and HTTP
- E-mail, SMTP, IMAP
- The Domain Name System
   DNS

- P2P applications
- video streaming and content distribution networks
- socket programming with UDP and TCP

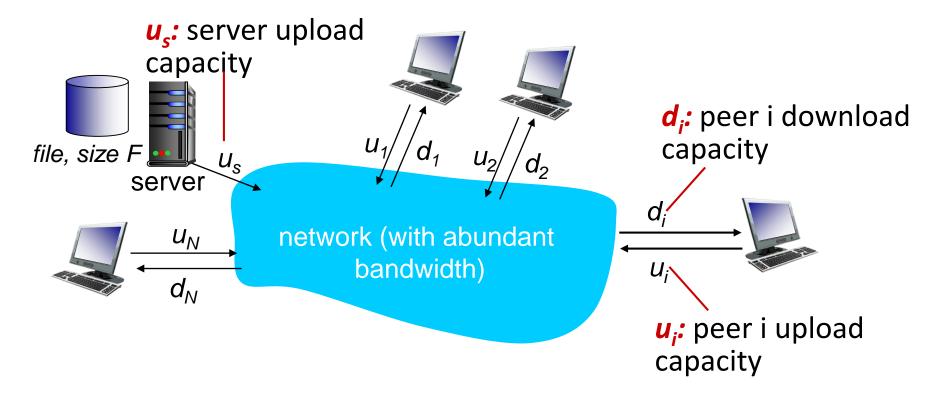
## Peer-to-peer (P2P) architecture

- no always-on server
- arbitrary end systems directly communicate
- peers request service from other peers, provide service in return to other peers
  - self scalability new peers bring new service capacity, and new service demands
- peers are intermittently connected and change IP addresses
  - complex management
- examples: P2P file sharing (BitTorrent), streaming (KanKan), VoIP (Skype)



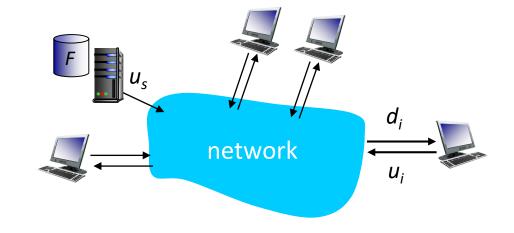
## File distribution: client-server vs P2P

- Q: how much time to distribute file (size F) from one server to N peers?
  - peer upload/download capacity is limited resource



## File distribution time: client-server

- server transmission: must sequentially send (upload) N file copies:
  - time to send one copy:  $F/u_s$
  - time to send N copies:  $NF/u_s$
- client: each client must download file copy
  - $d_{min}$  = min client download rate
  - min client download time: F/d<sub>min</sub>



time to distribute F to N clients using client-server approach

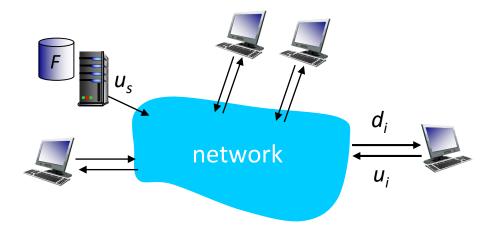
$$D_{c-s} \ge max\{NF/u_{s,}, F/d_{min}\}$$

## File distribution time: P2P

- server transmission: must upload at least one copy:
  - time to send one copy:  $F/u_s$
- client: each client must download file copy
  - min client download time:  $F/d_{min}$
- clients: as aggregate must download NF bits
  - max upload rate (limiting max download rate) is  $u_s + \Sigma u_i$

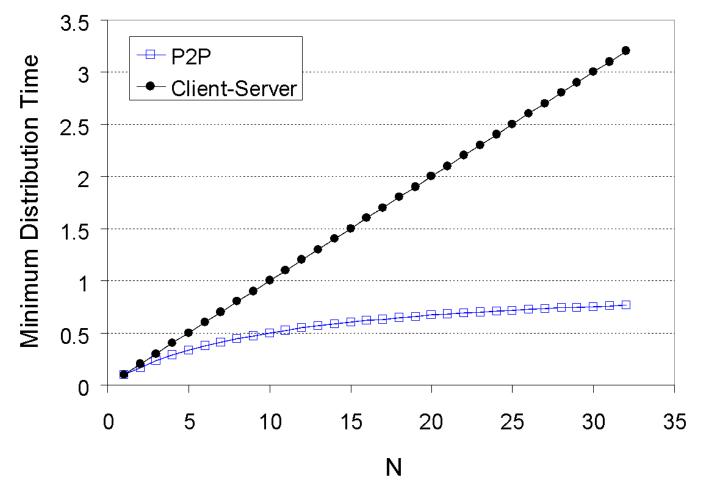
time to distribute F to N clients using P2P approach

$$D_{P2P} > max\{F/u_{s,}, F/d_{min,}, NF/(u_s + \Sigma u_i)\}$$



# Client-server vs. P2P: example

client upload rate = u, F/u = 1 hour,  $u_s = 10u$ ,  $d_{min} \ge u_s$ 



# **Application layer: overview**

- Principles of network applications
- Web and HTTP
- E-mail, SMTP, IMAP
- The Domain Name System
   DNS

- P2P applications
- video streaming and content distribution networks
- socket programming with UDP and TCP

## Video Streaming and CDNs: context

- stream video traffic: major consumer of Internet bandwidth
  - Netflix, YouTube, Amazon Prime: 80% of residential ISP traffic (2020)
- challenge: scale how to reach ~1B users?
- challenge: heterogeneity
  - different users have different capabilities (e.g., wired versus mobile; bandwidth rich versus bandwidth poor)
- *solution:* distributed, application-level infrastructure





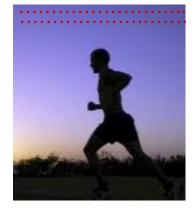




## Multimedia: video

- video: sequence of images displayed at constant rate
  - e.g., 24 images/sec
- digital image: array of pixels
  - each pixel represented by bits
- coding: use redundancy within and between images to decrease # bits used to encode image
  - spatial (within image)
  - temporal (from one image to next)

spatial coding example: instead of sending N values of same color (all purple), send only two values: color value (purple) and number of repeated values (N)



frame i

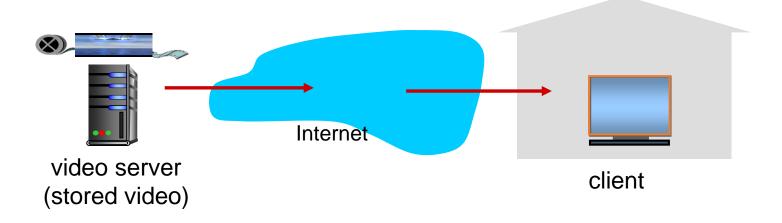
temporal coding example: instead of sending complete frame at i+1, send only differences from frame i



frame i+1

# Streaming stored video

simple scenario:



# Streaming multimedia: DASH

## Dynamic, Adaptive Streaming over HTTP

#### server:

- divides video file into multiple chunks
- each chunk encoded at multiple different rates
- different rate encodings stored in different files
- files replicated in various CDN nodes
- manifest file: provides URLs for different chunks

# clien

#### client:

- periodically estimates server-to-client bandwidth
- consulting manifest, requests one chunk at a time
  - chooses maximum coding rate sustainable given current bandwidth
  - can choose different coding rates at different points in time (depending on available bandwidth at time), and from different servers

# **Content distribution networks (CDNs)**

challenge: how to stream content (selected from millions of videos) to hundreds of thousands of simultaneous users?

- option 1: single, large "megaserver"
  - single point of failure
  - point of network congestion
  - long (and possibly congested) path to distant clients

....quite simply: this solution doesn't scale

# Content distribution networks (CDNs)

challenge: how to stream content (selected from millions of videos) to hundreds of thousands of simultaneous users?

- option 2: store/serve multiple copies of videos at multiple geographically distributed sites (CDN)
  - enter deep: push CDN servers deep into many access networks
    - close to users
    - Akamai: 240,000 servers deployed in > 120 countries (2015)
  - *bring home:* smaller number (10's) of larger clusters in POPs near access nets
    - used by Limelight





# **Application Layer: Overview**

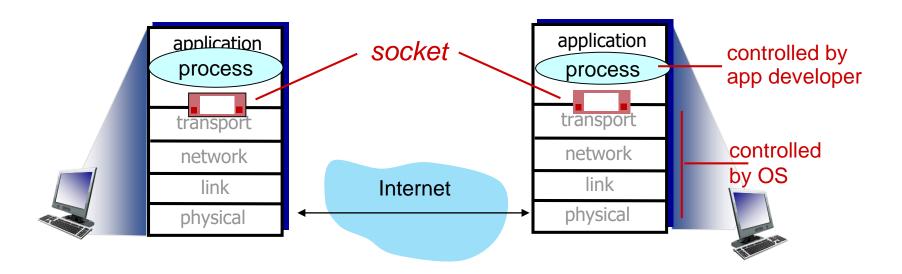
- Principles of network applications
- Web and HTTP
- E-mail, SMTP, IMAP
- The Domain Name System
   DNS

- P2P applications
- video streaming and content distribution networks
- socket programming with UDP and TCP

# **Socket programming**

*goal:* learn how to build client/server applications that communicate using sockets

socket: door between application process and end-end-transport protocol



# Socket programming

## Two socket types for two transport services:

- UDP: unreliable datagram
- TCP: reliable, byte stream-oriented

## **Application Example:**

- 1. client reads a line of characters (data) from its keyboard and sends data to server
- 2. server receives the data and converts characters to uppercase
- 3. server sends modified data to client
- 4. client receives modified data and displays line on its screen

# Socket programming with UDP

#### UDP: no "connection" between client and server:

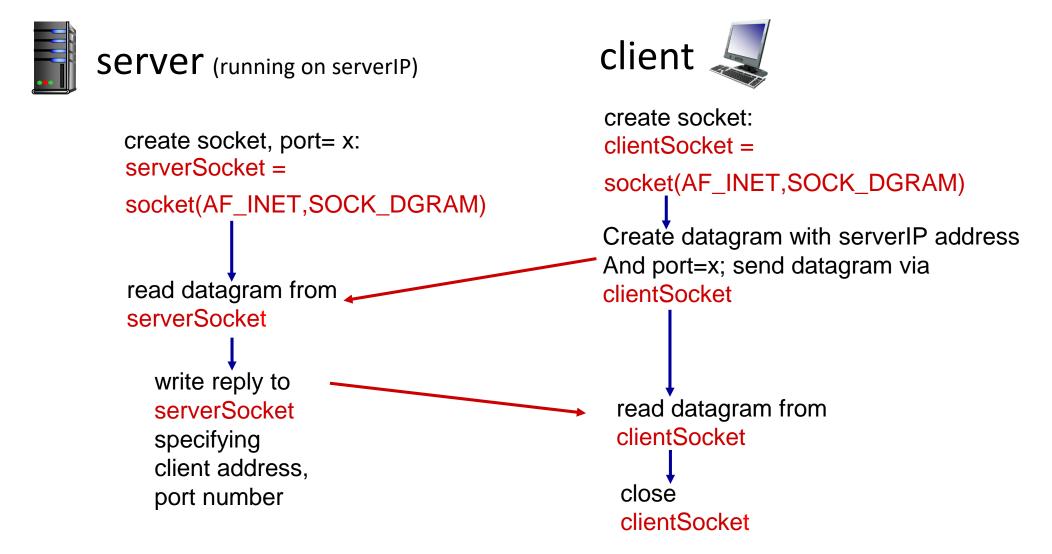
- no handshaking before sending data
- sender explicitly attaches IP destination address and port # to each packet
- receiver extracts sender IP address and port# from received packet

UDP: transmitted data may be lost or received out-of-order

## Application viewpoint:

UDP provides unreliable transfer of groups of bytes ("datagrams")
 between client and server processes

# Client/server socket interaction: UDP



## **Example app: UDP client**

#### Python UDPClient

```
include Python's socket library — from socket import *
                                              serverName = 'hostname'
                                              serverPort = 12000
                  create UDP socket for server — clientSocket = socket(AF_INET,
                                                                     SOCK_DGRAM)
                      get user keyboard input — message = raw_input('Input lowercase sentence:')
attach server name, port to message; send into socket --- clientSocket.sendto(message.encode(),
                                                                     (serverName, serverPort))
       read reply characters from socket into string — modifiedMessage, serverAddress =
                                                                     clientSocket.recvfrom(2048)
          print out received string and close socket — print modifiedMessage.decode()
                                              clientSocket.close()
```

## **Example app: UDP server**

#### Python UDPServer

```
from socket import *
serverPort = 12000
```

create UDP socket → serverSocket = socket(AF\_INET, SOCK\_DGRAM)

bind socket to local port number 12000 → serverSocket.bind((", serverPort))

print ("The server is ready to receive")

loop forever → while True:

Read from UDP socket into message, getting — message, clientAddress = serverSocket.recvfrom(2048) client's address (client IP and port) modifiedMessage = message.decode().upper()

send upper case string back to this client serverSocket.sendto(modifiedMessage.encode(),

clientAddress)

# **Socket programming with TCP**

#### Client must contact server

- server process must first be running
- server must have created socket (door) that welcomes client's contact

#### Client contacts server by:

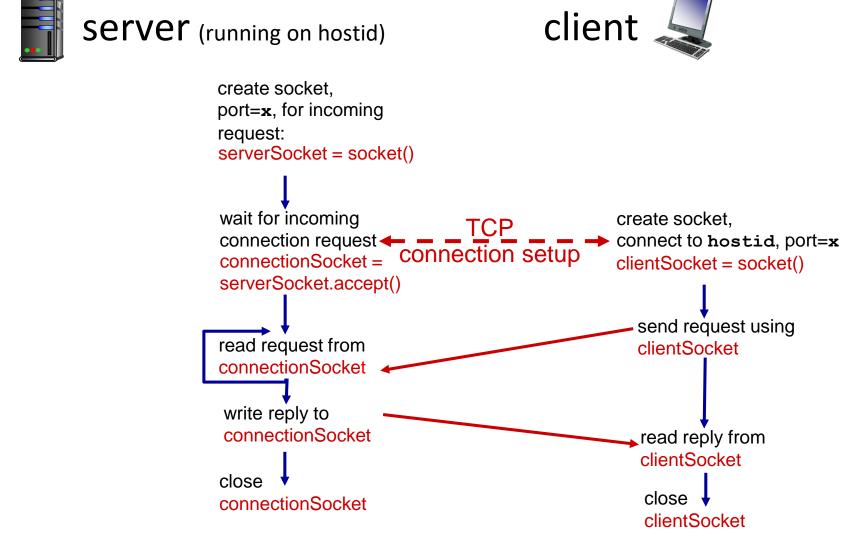
- Creating TCP socket, specifying IP address, port number of server process
- when client creates socket: client TCP establishes connection to server TCP

- when contacted by client, server
   TCP creates new socket for server
   process to communicate with that
   particular client
  - allows server to talk with multiple clients
  - source port numbers used to distinguish clients

#### Application viewpoint

TCP provides reliable, in-order byte-stream transfer ("pipe") between client and server processes

# Client/server socket interaction: TCP



# **Example app: TCP client**

create TCP socket for server, -

remote port 12000

No need to attach server name, port

#### Python TCPClient

from socket import \* serverName = 'servername' serverPort = 12000clientSocket = socket(AF\_INET(SOCK\_STREAM) clientSocket.connect((serverName,serverPort)) sentence = raw\_input('Input lowercase sentence:') clientSocket.send(sentence.encode()) modifiedSentence = clientSocket.recv(2048) print ('From Server:', modifiedSentence.decode()) clientSocket.close()

## **Example app: TCP server**

```
from socket import *
                                       serverPort = 12000
       create TCP welcoming socket --- serverSocket = socket(AF_INET,SOCK_STREAM)
                                       serverSocket.bind((",serverPort))
          server begins listening for _____ serverSocket.listen(1)
          incoming TCP requests
                                       print 'The server is ready to receive'
                                     while True:
                      loop forever
                                          connectionSocket, addr = serverSocket.accept()
server waits on accept() for incoming
requests, new socket created on return
                                          sentence = connectionSocket.recv(2048).decode()
         read bytes from socket (but
                                          capitalizedSentence = sentence.upper()
         not address as in UDP)
                                          connectionSocket.send(capitalizedSentence.
                                                                              encode())
 close connection to this client (but not
                                          connectionSocket.close()
 welcoming socket)
```

Python TCPServer

## **General flow**

