

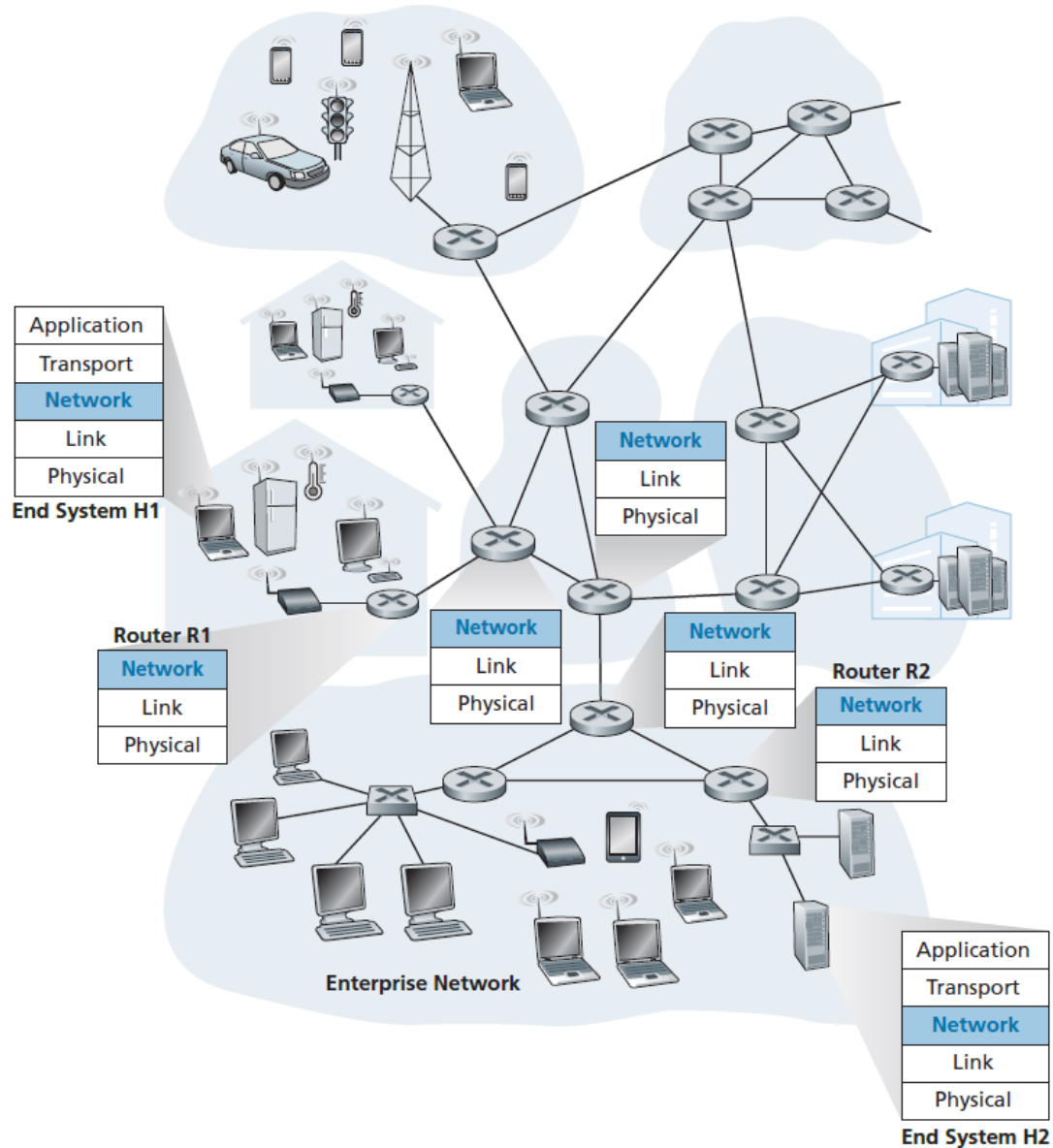
# Network layer

- most complex layer
- host-to-host communication service  
(TL: process-to-process)
- data and control planes:
  - intra (per router) functions,
  - inter (network wide) logic

# Network layer: “data plane” roadmap

- Network layer: overview
  - data plane
  - control plane
- What’s inside a router
  - input ports, switching, output ports
  - buffer management, scheduling
- IP: the Internet Protocol
  - datagram format
  - addressing
  - network address translation
  - IPv6
- Generalized Forwarding, SDN
  - Match+action
  - OpenFlow: match+action in action
- Middleboxes

# Network layer services and protocols



- sender: H1, receiver: H2
  - NL of H1: encapsulates segments into datagrams, passes to link layer
  - NL of H2: delivers segments to transport layer protocol
- network layer protocols run in *every Internet device*: hosts, routers
- **routers**:
  - examines header fields in all IP datagrams passing through it
  - moves datagrams from input ports to output ports (data plane role)
  - coordinate, per-router forwarding actions to provide transfers along paths of routers, between source and destination hosts (control plane role)

# Two key network layer functions

## network-layer functions:

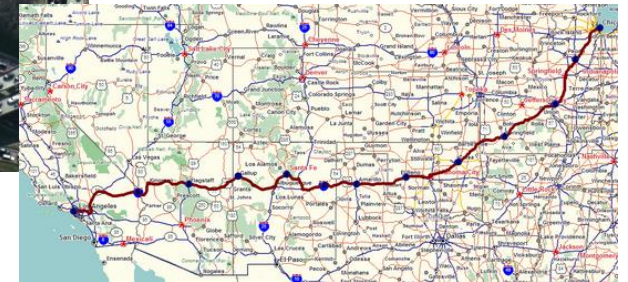
- *forwarding (data plane)*: move packets from a router's input link to appropriate router output link
- *routing (control plane)*: determine route taken by packets from source to destination
  - *routing algorithms: calculate the paths taken by packets to flow from a sender to a receiver*

## analogy: taking a trip

- *forwarding*: process of getting through single interchange
- *routing*: process of planning trip from source to destination



forwarding



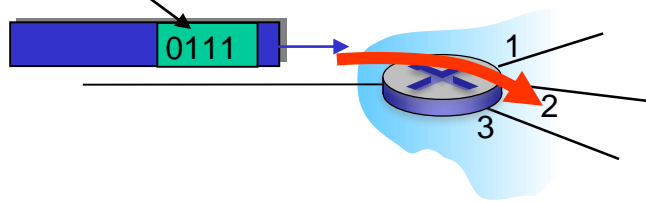
routing

# Network layer: data plane, control plane

## Data plane:

- *local*, per-router function
- determines how datagram arriving on router input port is forwarded to router output port

values in arriving  
packet header

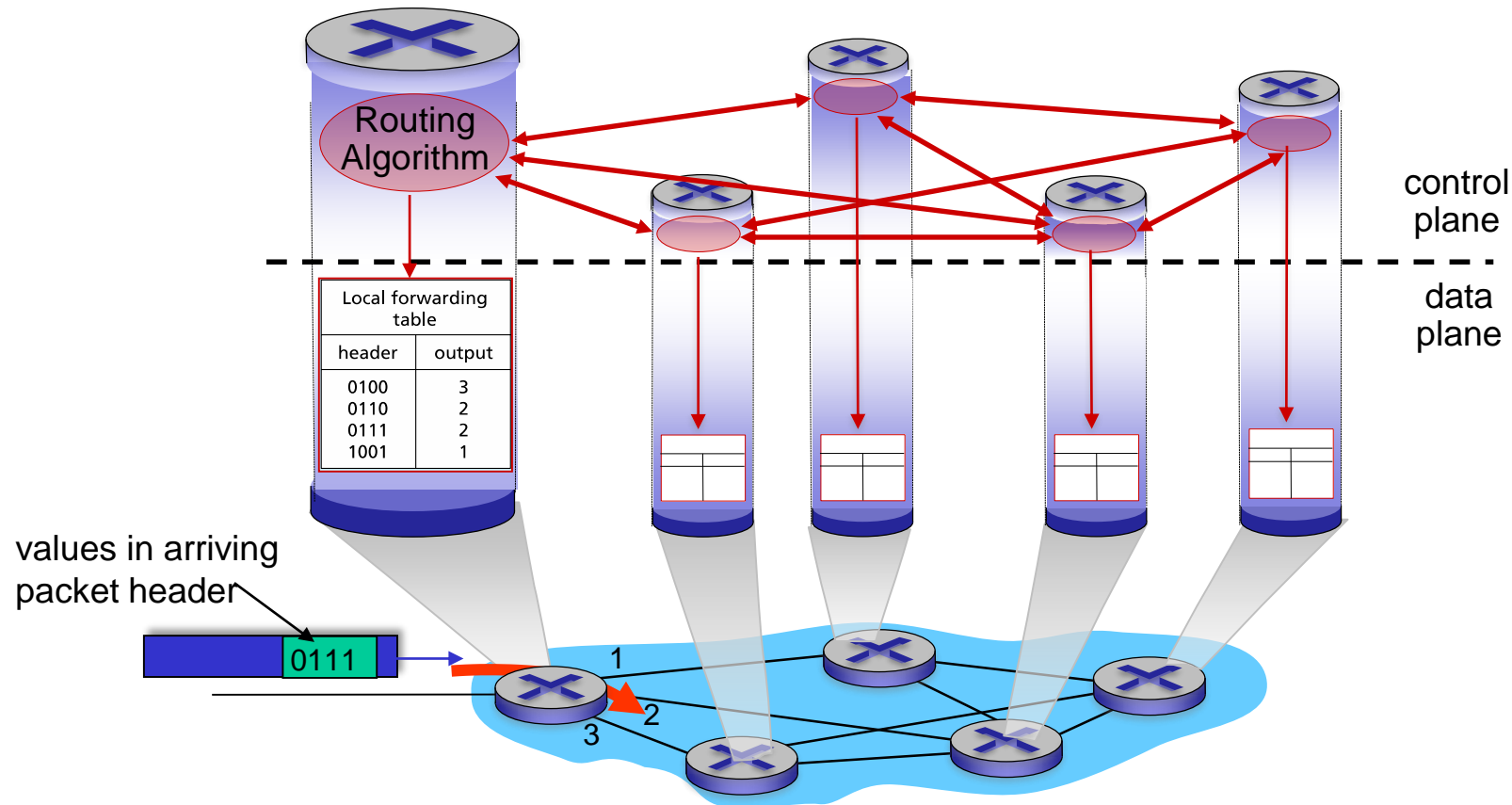


## Control plane

- *network-wide* logic
- determines how datagram is routed among routers along end-end path from source host to destination host
- two control-plane approaches:
  - *traditional routing algorithms*: implemented in routers
  - *software-defined networking (SDN)*: implemented in (remote) servers

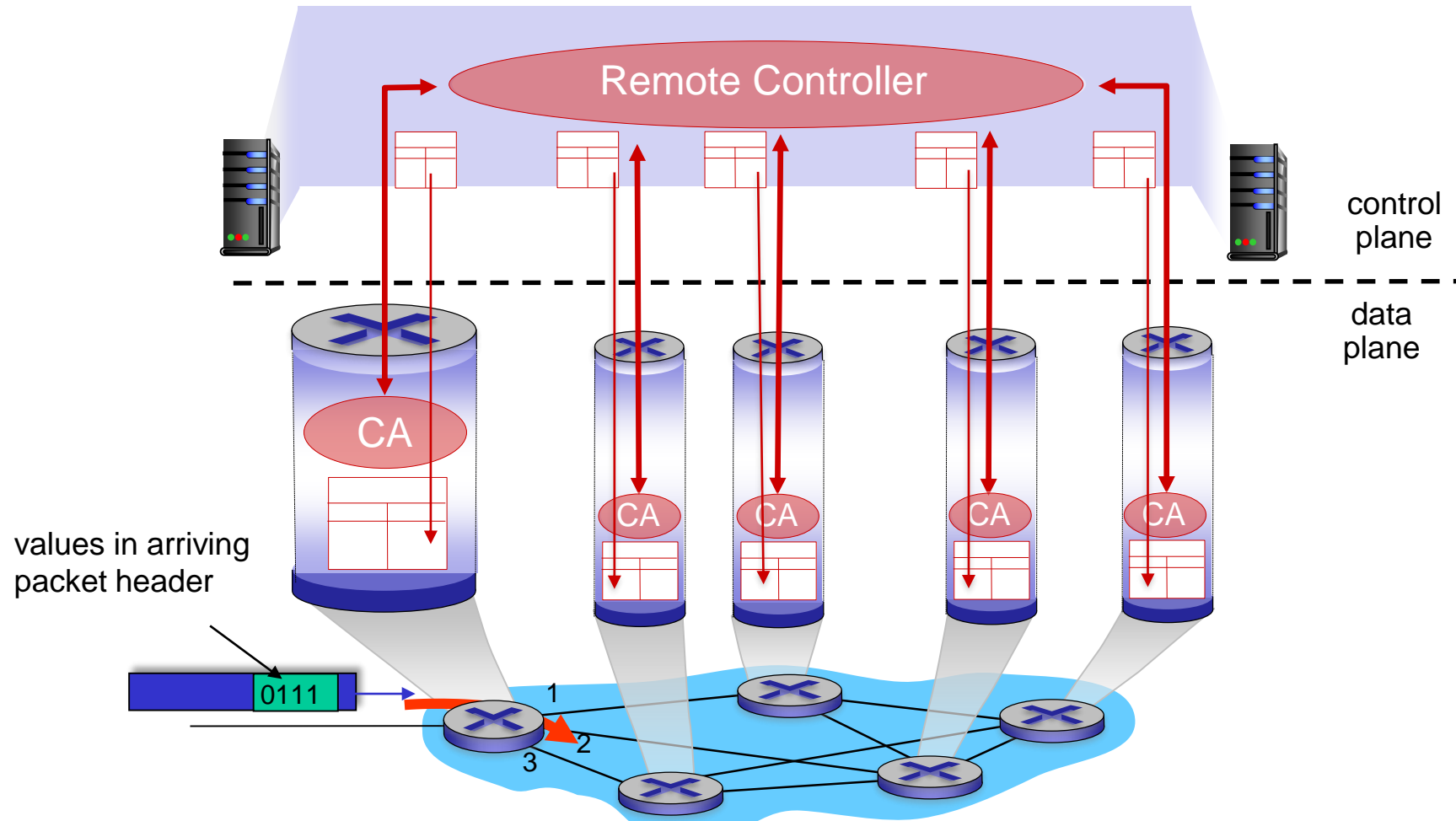
# Per-router control plane

Individual routing algorithm components *in each and every router* interact in the control plane (via the routing protocols)



# Software-Defined Networking (SDN) control plane

Routers perform forwarding only, remote controller computes and installs forwarding tables in routers (may be in a remote data center, may be managed by ISP or 3rd party)



# Network service model

Possible services that the network layer could provide:

example services for *individual* datagrams:

- guaranteed delivery: a packet sent by a source host will eventually arrive at the destination host
- guaranteed delivery with bounded delay: delivery within a specified host-to-host delay bound

example services for a *flow* of datagrams:

- in-order datagram delivery: arriving in the order that they were sent
- guaranteed minimum bandwidth to flow: emulates the behavior of a transmission link of a specified bit rate sending and receiving hosts
- security: encryption on network layer to provide confidentiality to all transport-layer segments



# Network service model

Network Architecture	Service Model	Quality of Service (QoS) Guarantees ?			
		Bandwidth	Loss	Order	Timing
Internet	best effort	none	no	no	no

## Internet “best effort” service model

*No* guarantees on:

- i. successful datagram delivery to destination
- ii. timing or order of delivery
- iii. bandwidth available to end-end flow

no service at all—a network that delivered no packets to the destination would satisfy the definition of best-effort delivery service

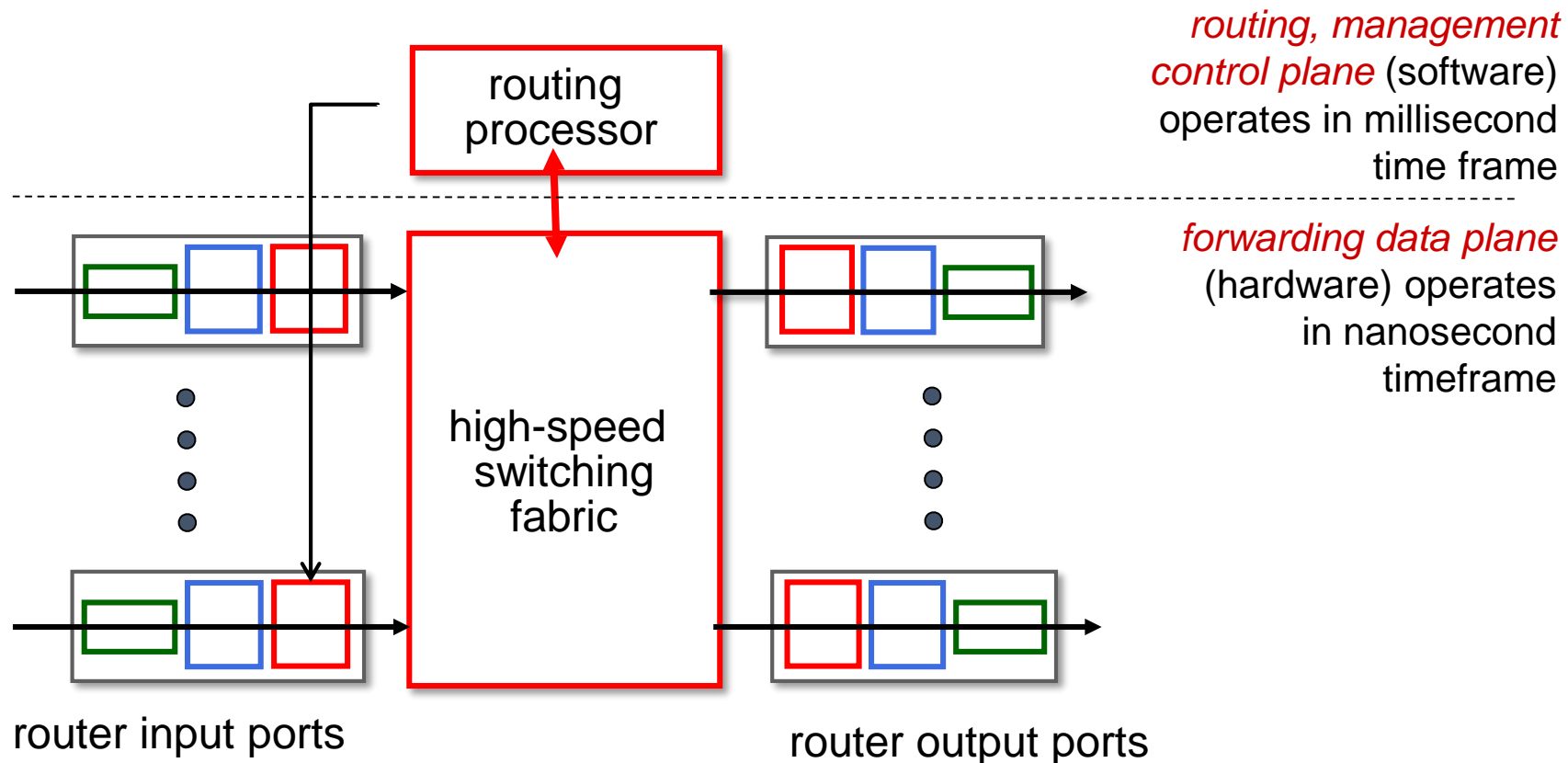
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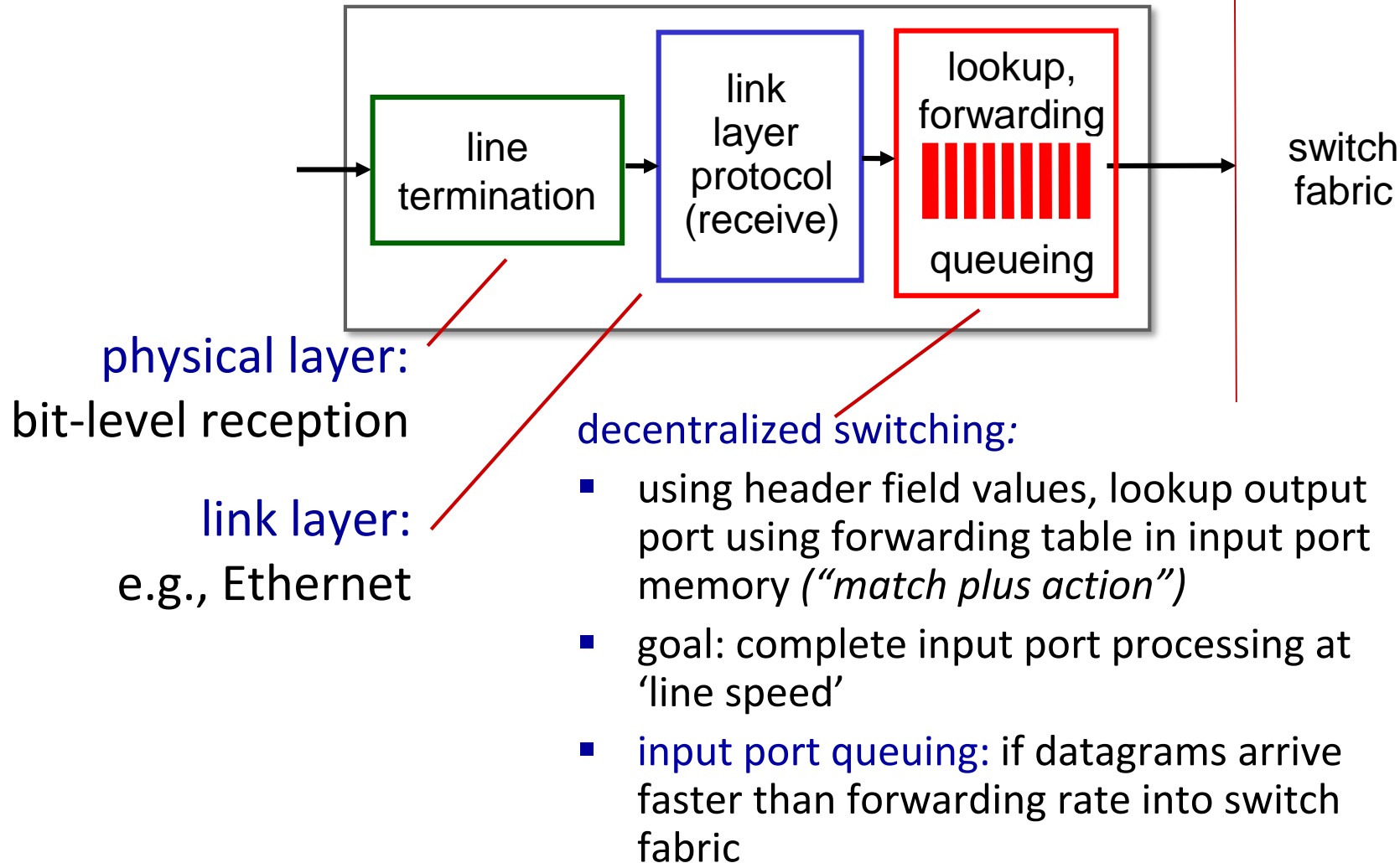
# Router architecture overview

high-level view of generic router architecture:

4 components: input & output ports, routing processor, switching fabric



# Input port functions



\* **physical layer function:**  
terminating an incoming physical link at a router

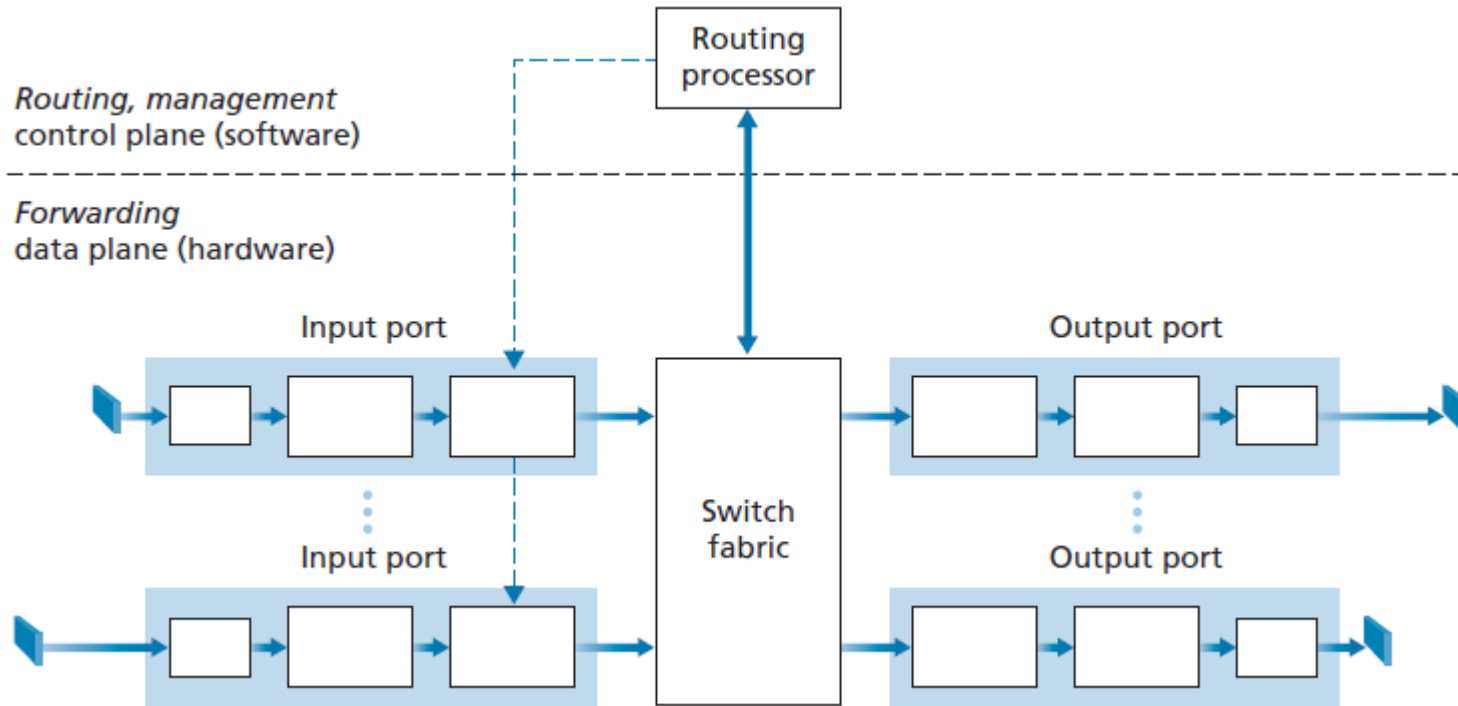
\* **link-layer functions:** needed to interoperate with the link layer

\* **lookup function:** forwarding table is consulted to determine output port (to which an arriving packet will be forwarded via the switching fabric).

Control packets (e.g. packets carrying routing protocol information) are forwarded from an input port to the routing processor.

\*\*\* port: physical, router interfaces, different from the software ports (network apps, sockets)

# Input port functions



**Destination based forwarding:** most common type, all forwarding decisions are made based on dest IP address (independent of the original sender).

**Generalized forwarding:** a router forwards based on header field values.

\* A router uses the forwarding table to look up the output port (to which an arriving packet will be forwarded via the switching fabric).

\* The forwarding table can be computed and updated by the routing processor or can be received from a remote SDN controller.

\* The forwarding table is copied from the routing processor to the line cards over a separate bus (dashed line from the routing processor to the input line cards).

*So, forwarding decisions can be made locally, at each input port.*

# Longest prefix matching

## longest prefix match

when looking for forwarding table entry for given destination address, use *longest* address prefix that matches destination address.

Destination Address Range	Link interface
11001000    00010111    00010***    *****	0
11001000    00010111    00011000    *****	1
11001000    00010111    00011***    *****	2
otherwise	3

examples:

11001000    00010111    00010110    10100001    which interface?

11001000    00010111    00011000    10101010    which interface?

# Longest prefix matching

## longest prefix match

when looking for forwarding table entry for given destination address, use *longest* address prefix that matches destination address.

Destination Address Range	Link interface
11001000 00010111 00010*** *****	0
11001000 00010111 00011000 *****	1
11001000 match! 1 00011*** *****	2
otherwise	3

examples:

11001000 00010111 00010110 10100001 which interface?  
11001000 00010111 00011000 10101010 which interface?

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otherwise	3

match!

examples:

11001000 00010111 00010110 10100001	which interface?
11001000 00010111 00011000 10101010	which interface?



# Longest prefix matching

## longest prefix match

When there are multiple matches, the router uses the longest prefix matching rule: finds the longest matching entry in the table and forwards the packet to the link interface associated with the longest prefix match.

Destination Address Range	Link interface
11001000 00010111 00010*** *****	0
11001000 00010111 00011000 *****	1
11001000 00010111 00011*** *****	2
otherwise	3

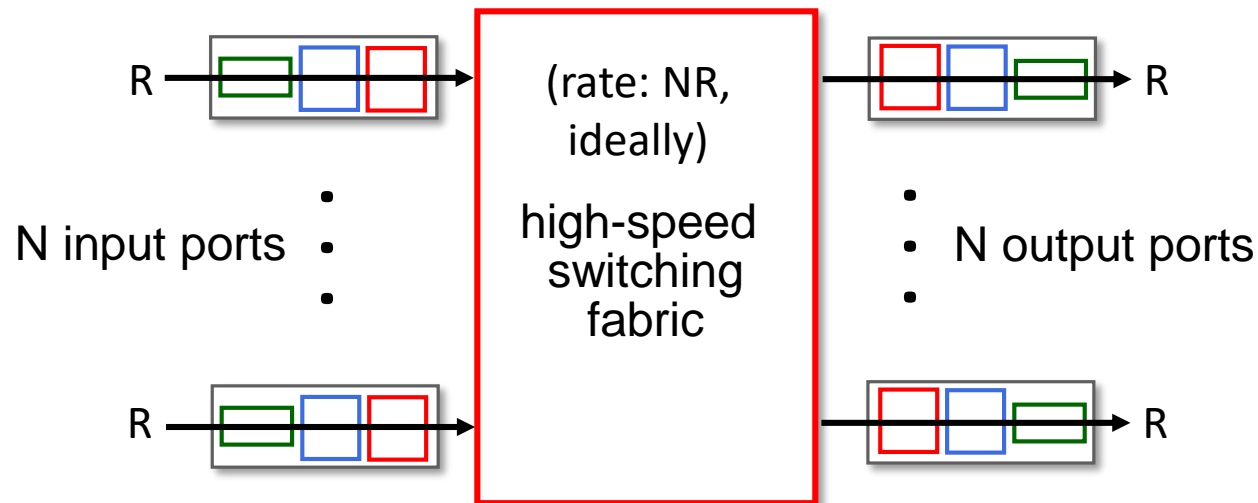
match!

examples:

11001000 00010111 00010110 10100001    which interface?  
11001000 00010111 00011000 10101010    which interface?

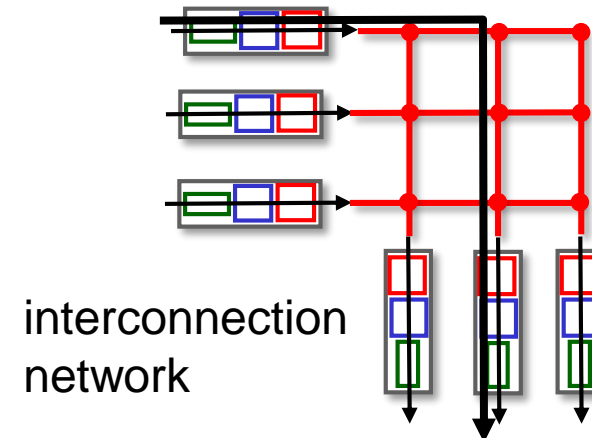
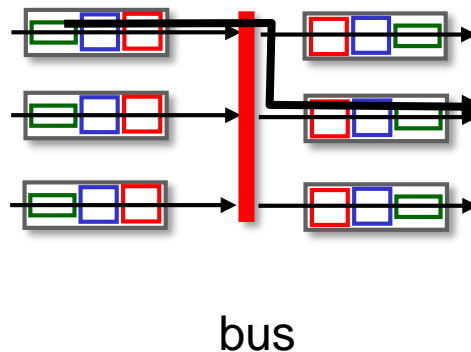
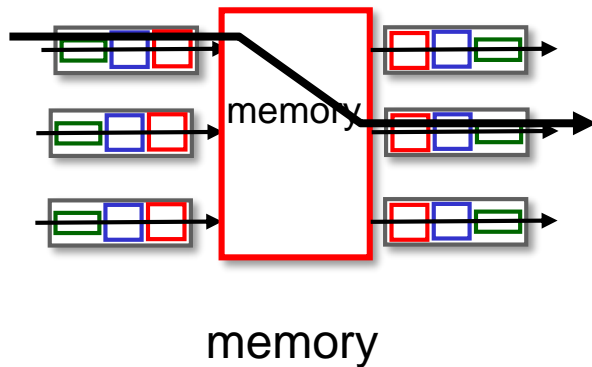
# Switching fabrics

- transfer packet from input link to appropriate output link
- **switching rate**: rate at which packets can be transfer from inputs to outputs
  - often measured as multiple of input/output line rate
  - N inputs: switching rate N times line rate desirable



# Switching fabrics

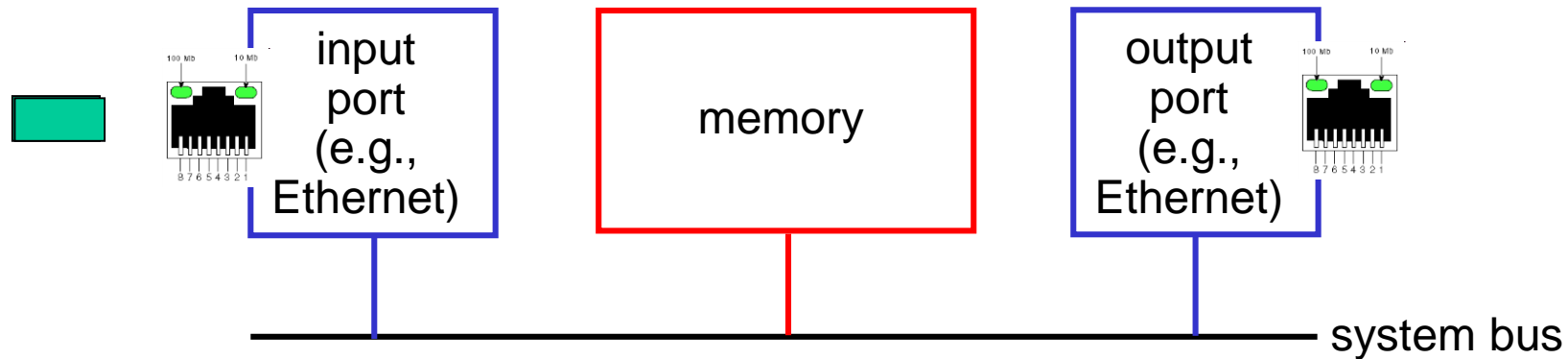
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  - often measured as multiple of input/output line rate
  - N inputs: switching rate N times line rate desirable
- three major types of switching fabrics:



# Switching via memory

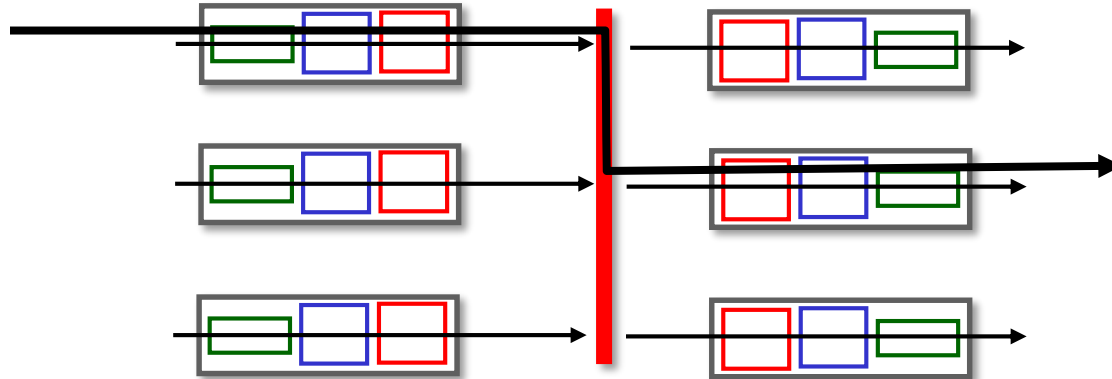
## first generation routers:

- switching between input and output ports being done under direct control of the CPU (routing processor)
- process:
  - an input port with an arriving packet first signals the routing processor via an interrupt
  - the packet is copied from the input port into processor memory
  - routing processor extracts the destination address from the header, finds the appropriate output port in the forwarding table, and copies the packet to the output port's buffers.



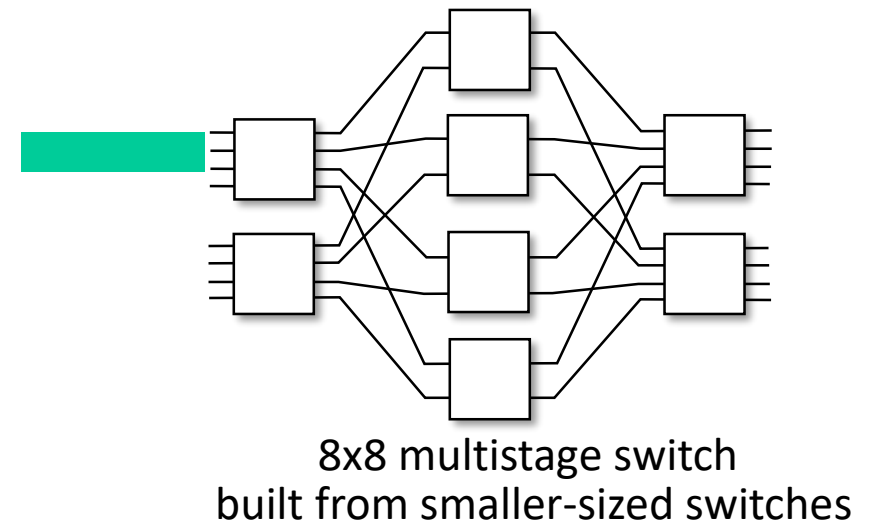
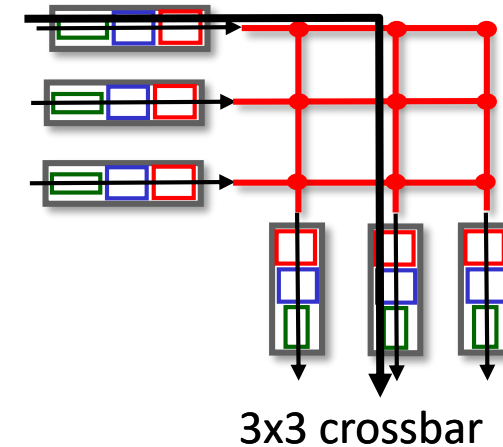
# Switching via a bus

- an input port transfers a packet directly to the output port over a shared bus (without intervention by the routing processor)
- *bus contention*: switching speed limited by bus bandwidth



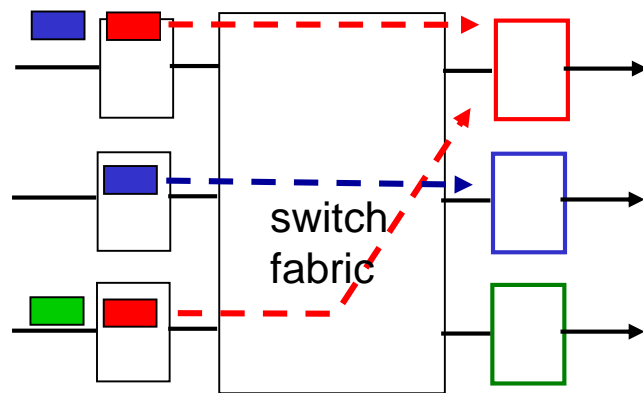
# Switching via interconnection network

- to overcome the bandwidth limitation of a single, shared bus
- uses interconnection network,
- consists of  $2N$  buses that connect  $N$  input ports to  $N$  output ports

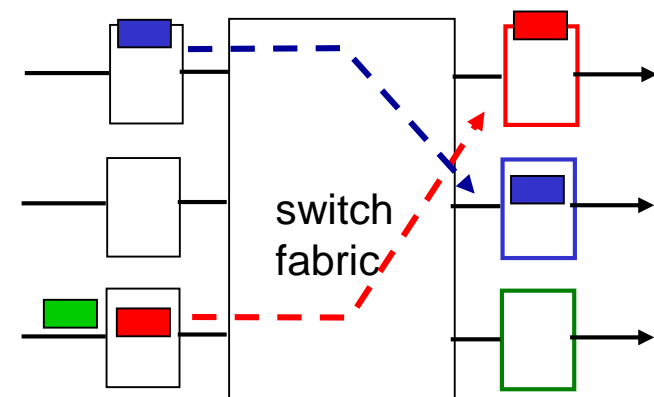


# Input port queuing

- switch fabric slower than input ports combined -> queueing at input queues
- queueing delay and loss due to input buffer overflow
- **Head-of-the-Line (HOL) blocking:** queued datagram at front of queue prevents others in queue from moving forward

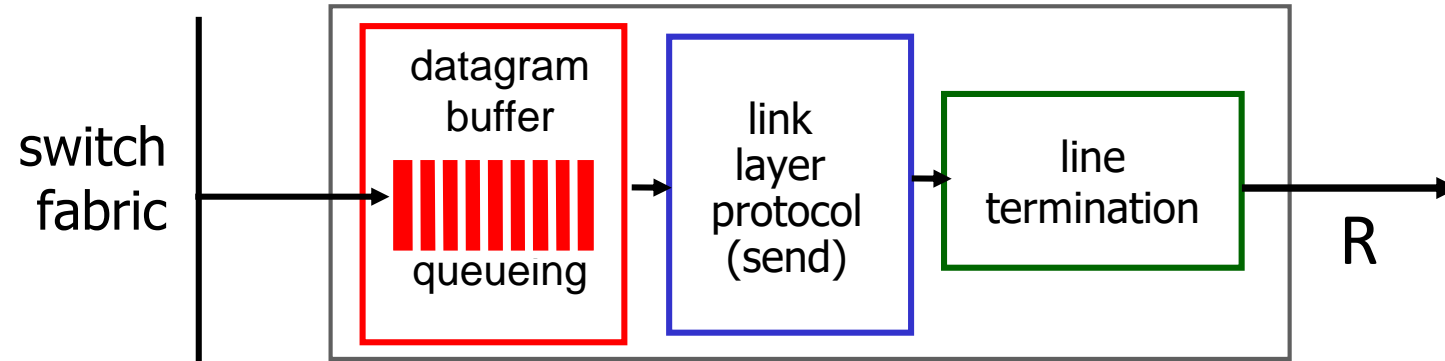


output port contention: only one red datagram can be transferred. lower red packet is *blocked*



one packet time later: green packet experiences HOL blocking

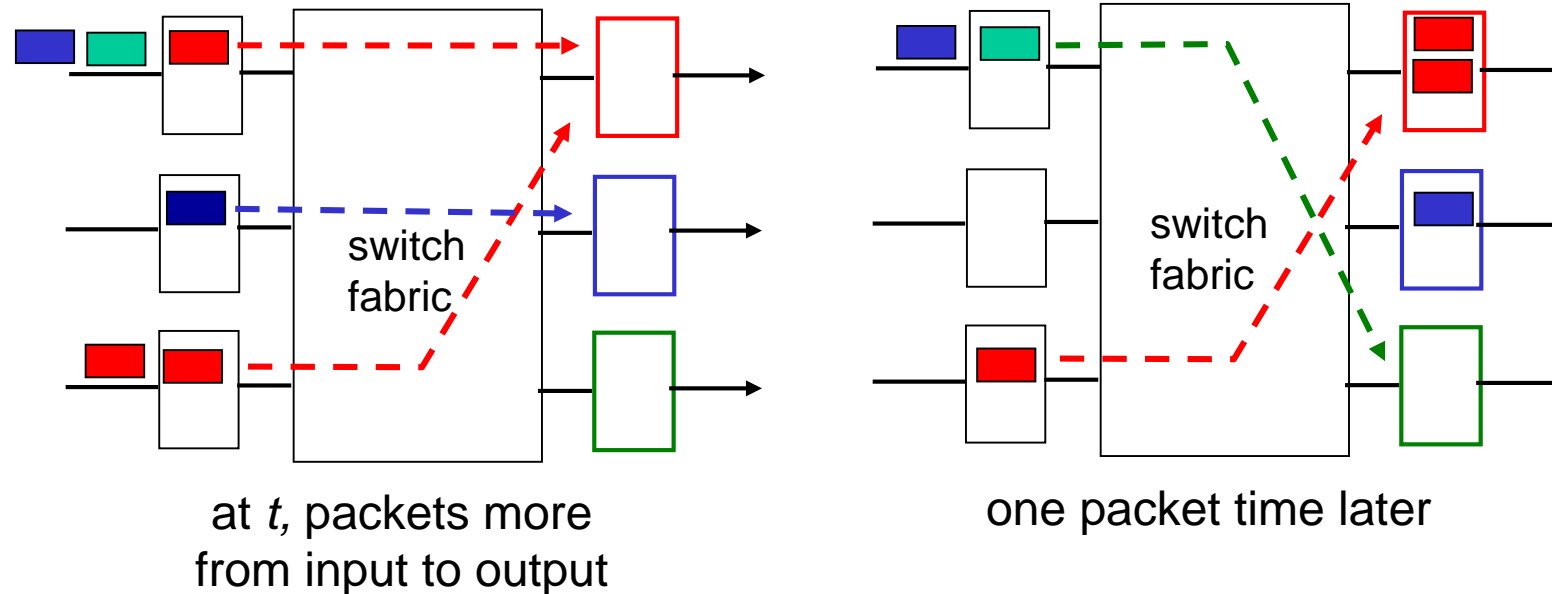
# Output port queuing



- *Buffering* required when datagrams arrive from fabric faster than outgoing link transmission rate.
- *Drop policy*: which datagrams to drop if no free buffers?



# Output port queuing



- buffering when arrival rate via switch exceeds output line speed
- *queueing (delay) and loss due to output port buffer overflow*
- a packet scheduler at the output port must choose one packet, among those queued, for transmission (one of the three red packets)

# Packet scheduling: FCFS

**packet scheduling:** deciding which packet to send next on link

- first come, first served
- priority
- round robin
- weighted fair queueing

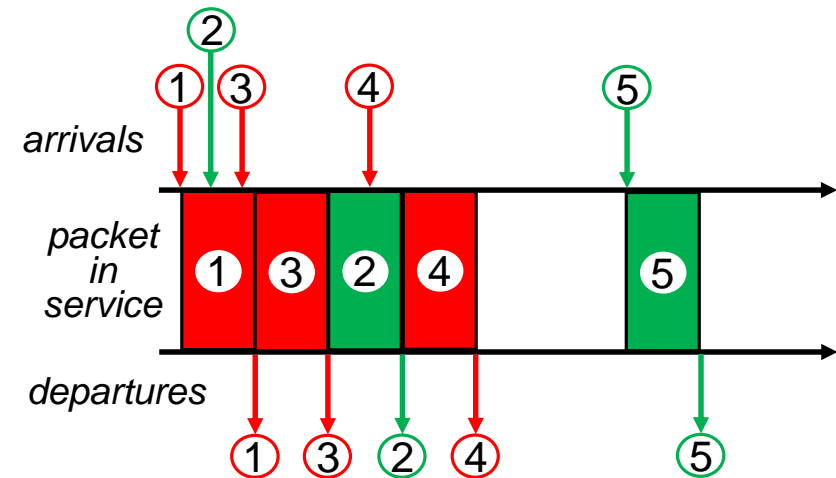
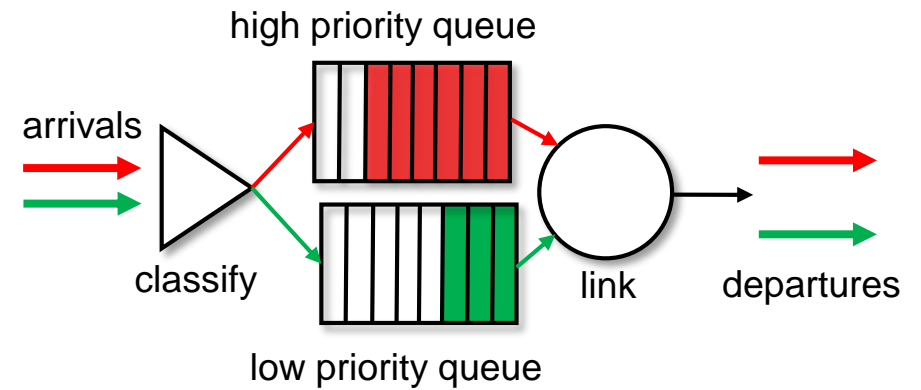
**FCFS:** packets transmitted in order of arrival to output port

- also known as: First-in-first-out (FIFO)

# Scheduling policies: priority

## *Priority scheduling:*

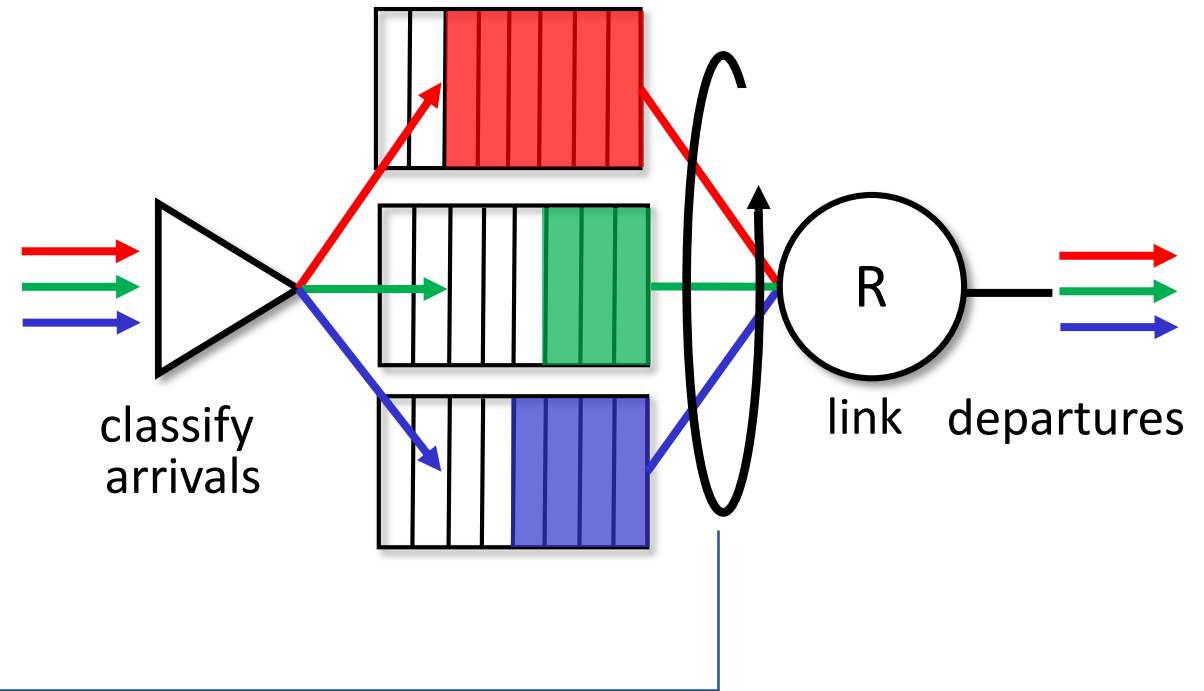
- arriving traffic classified, queued by class
  - any header fields can be used for classification
- send packet from highest priority queue that has buffered packets
  - FCFS within priority class



# Scheduling policies: round robin

## *Round Robin (RR) scheduling:*

- arriving traffic classified, queued by class
  - any header fields can be used for classification
- server cyclically, repeatedly scans class queues, sending one complete packet from each class (if available) in turn



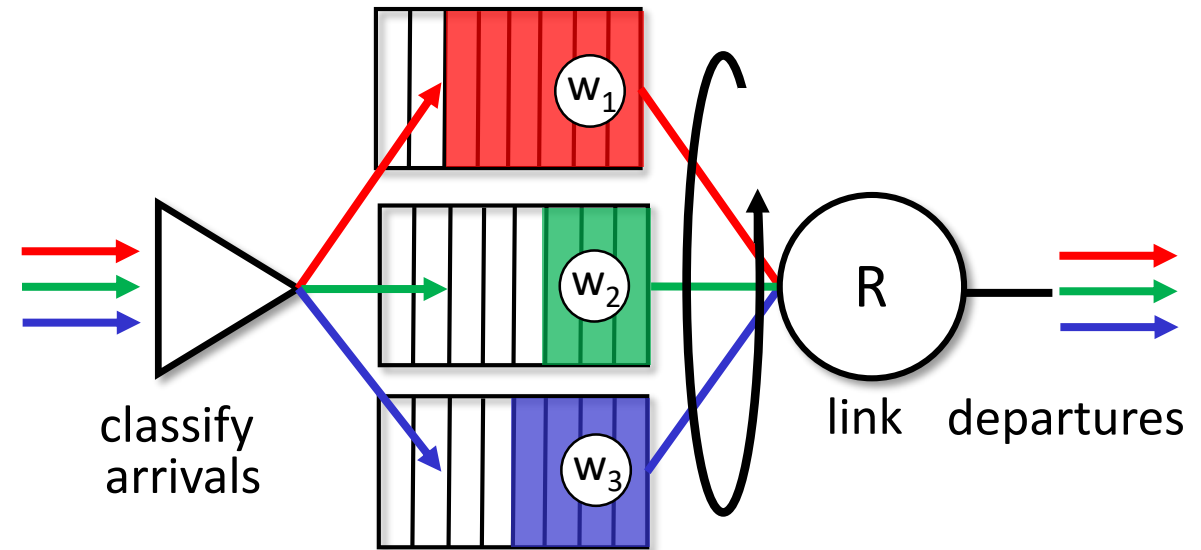
# Scheduling policies: weighted fair queueing

## *Weighted Fair Queuing (WFQ):*

- generalized Round Robin
- each class,  $i$ , has weight,  $w_i$ , and gets weighted amount of service in each cycle:

$$\frac{w_i}{\sum_j w_j}$$

- minimum bandwidth guarantee, a throughput of at least  $R \frac{w_i}{\sum_j w_j}$



# Router architecture summary

4 components: input & output ports, routing processor, switching fabric

- \* **Input ports:** physical layer function (terminating an incoming physical link), link-layer functions (needed to interoperate with the link layer) lookup function (determining output port)
- \* **Switching fabric:** connects the router's input ports to its output ports
- \* **Output ports:** store packets received from the switching fabric and transmit these packets on the outgoing link by performing the link-layer and physical-layer functions
- \* **Routing processor:** performs control-plane functions.

In traditional routers, it executes the routing protocols, maintains routing tables and attached link state information, and computes the forwarding table for the router.

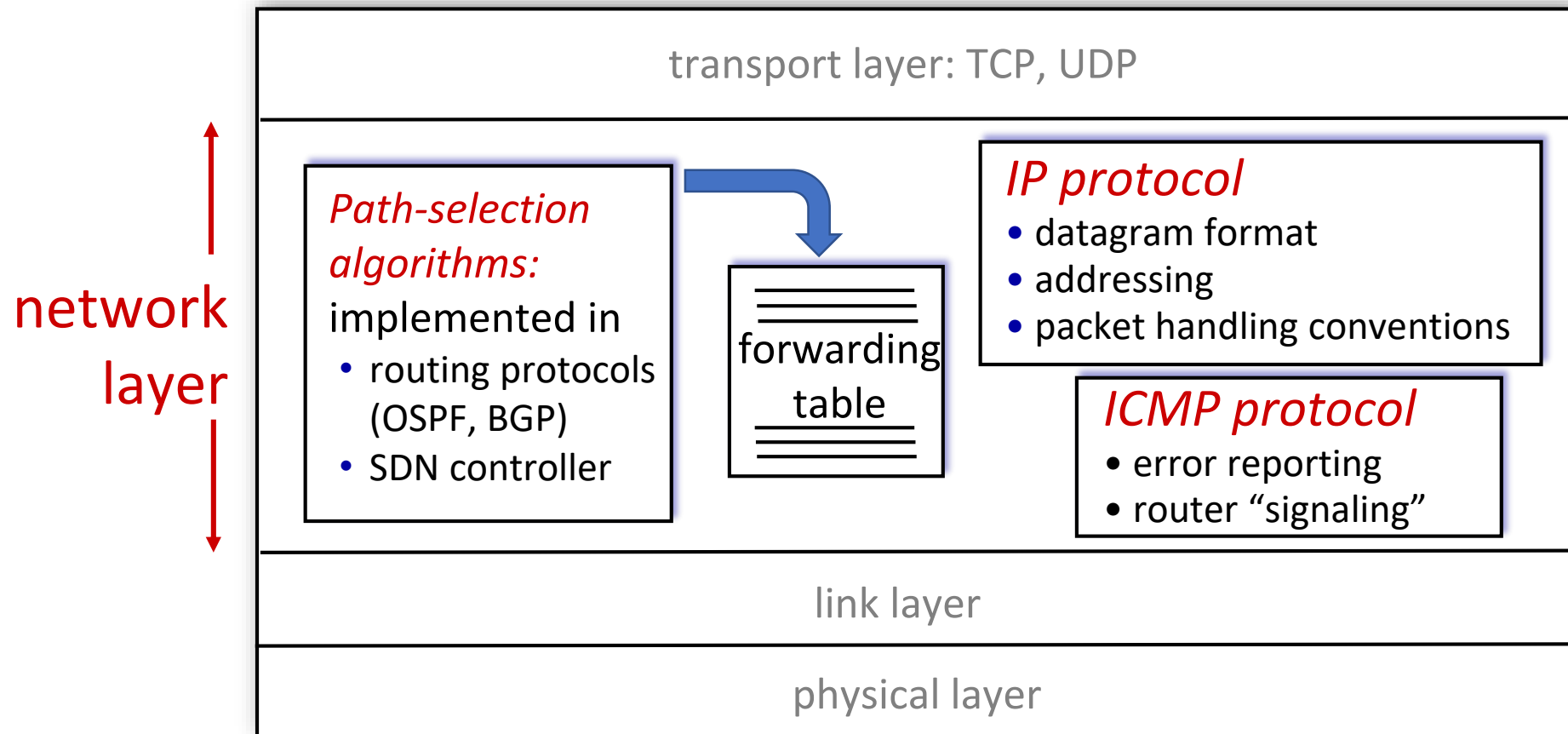
In SDN routers, it is responsible for communicating with the remote controller in order to receive forwarding table entries and install these entries in the router's input ports.

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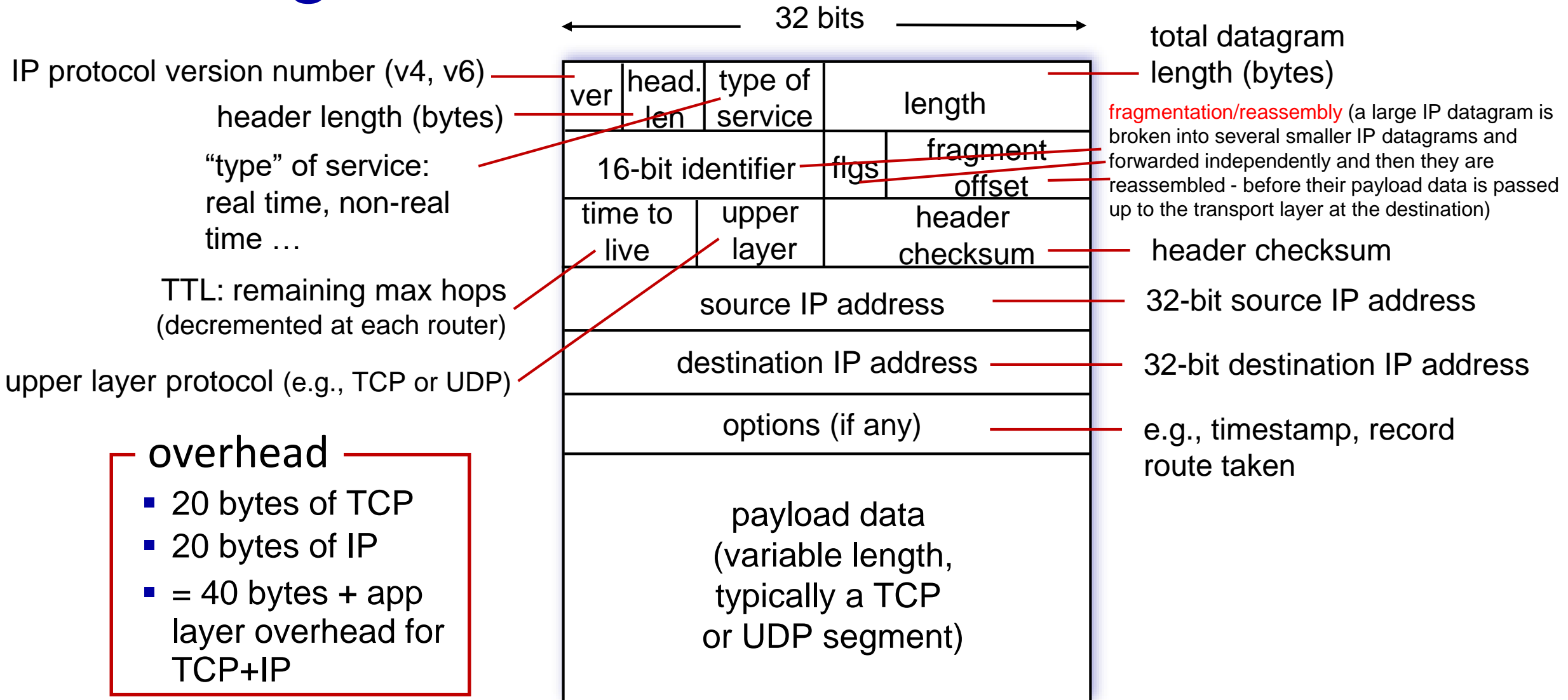
# Network layer: Internet

host, router network layer functions:



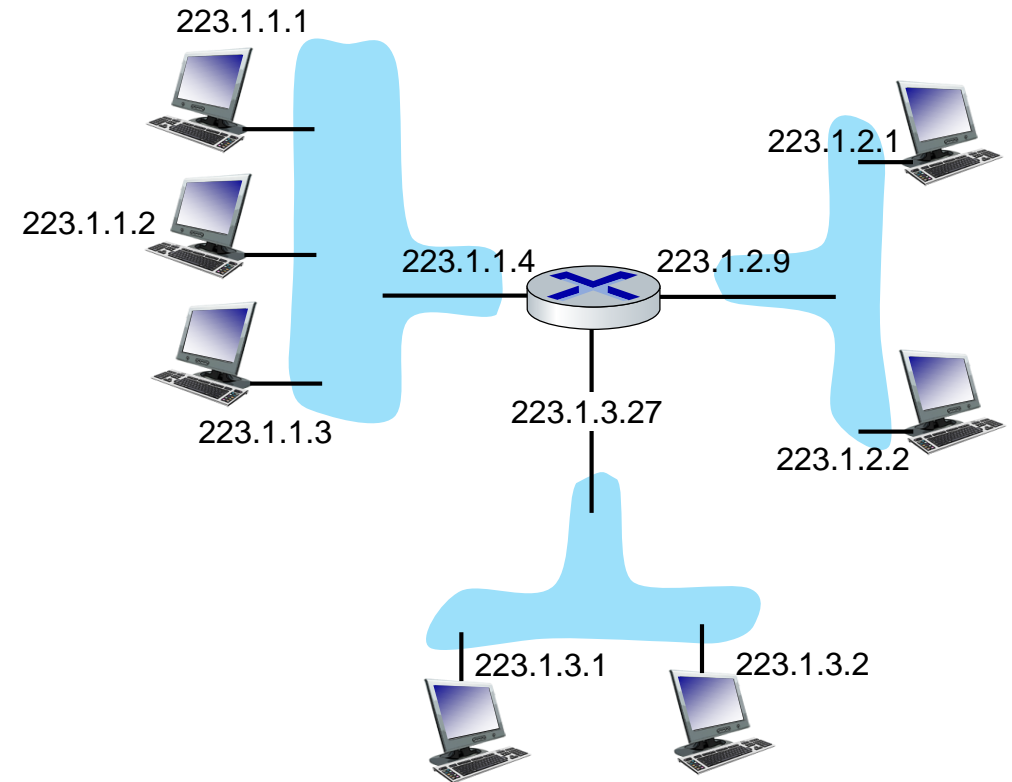


# IP Datagram format



# IP addressing: introduction

- **IP address:** 32-bit identifier associated with each host or router *interface* (rather than with the host or router containing that interface)
- **interface:** connection between host/router and physical link
  - routers typically have multiple interfaces
  - hosts typically have one or two interfaces (e.g., wired Ethernet, wireless 802.11)



dotted-decimal IP address notation:  
223.1.1.1 = 11011111 00000001 00000001 00000001

223	1	1	1
-----	---	---	---

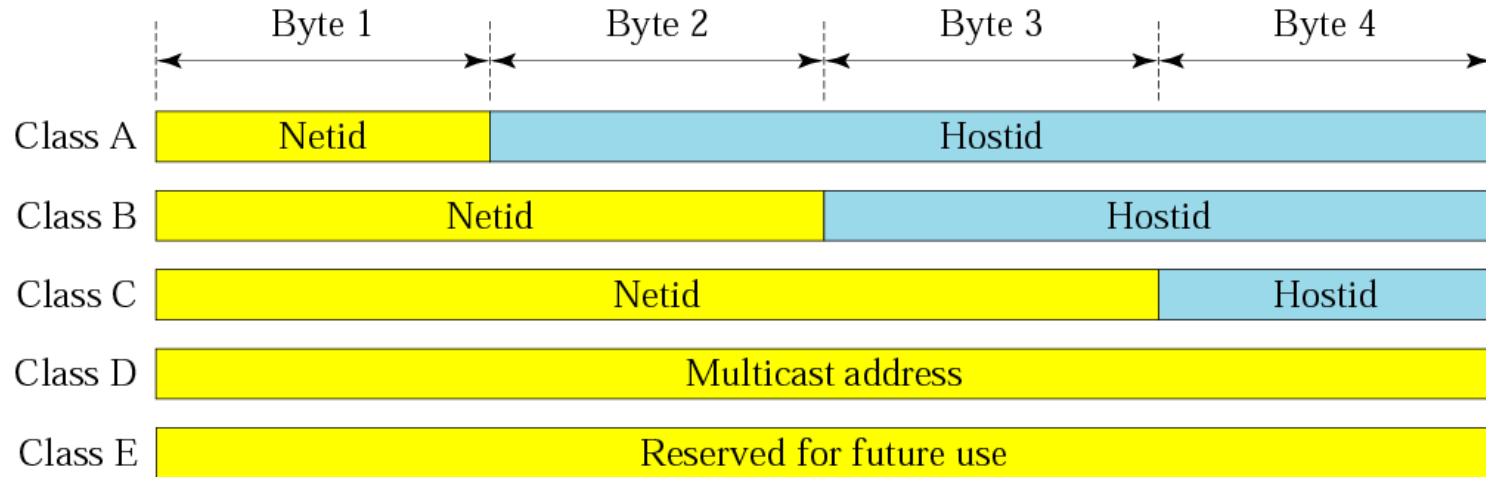
# IP addressing: introduction

	First byte	Second byte	Third byte	Fourth byte
Class A	<b>0</b>			
Class B	<b>10</b>			
Class C	<b>110</b>			
Class D	<b>1110</b>			
Class E	<b>1111</b>			

	First byte	Second byte	Third byte	Fourth byte
Class A	<b>0 to 127</b>			
Class B	<b>128 to 191</b>			
Class C	<b>192 to 223</b>			
Class D	<b>224 to 239</b>			
Class E	<b>240 to 255</b>			

- IPv4 address space is divided into 5 classes: A, B, C, and E
- Binary & decimal forms

# IP addressing: introduction



- the first address: network address
- defines the network to the rest of the Internet
- Given the network address, class of the address, the block, and the range of the addresses in the block can be found

	First byte	Second byte	Third byte	Fourth byte
Class A	<b>0 to 127</b>			
Class B	<b>128 to 191</b>			
Class C	<b>192 to 223</b>			
Class D	<b>224 to 239</b>			
Class E	<b>240 to 255</b>			

# IP addressing: introduction

Address Start Range	Address End Range	What Range is Used for
0.0.0.0	0.255.255.255	Reserved
10.0.0.0	10.255.255.255	Class A Private Address Block
127.0.0.0	127.255.255.255	Loopback Address Block
128.0.0.0	128.0.255.255	Reserved
169.254.0.0	169.254.255.255	Private Address Block Reserved for APIPA
172.16.0.0	173.31.255.255	Class B Private Address Block
191.255.0.0	191.255.255.255	Reserved
192.0.0.0	192.0.0.255	Reserved
192.168.0.0	192.168.255.255	Class C Private Address Block
223.255.255.0	223.255.255.255	Reserved

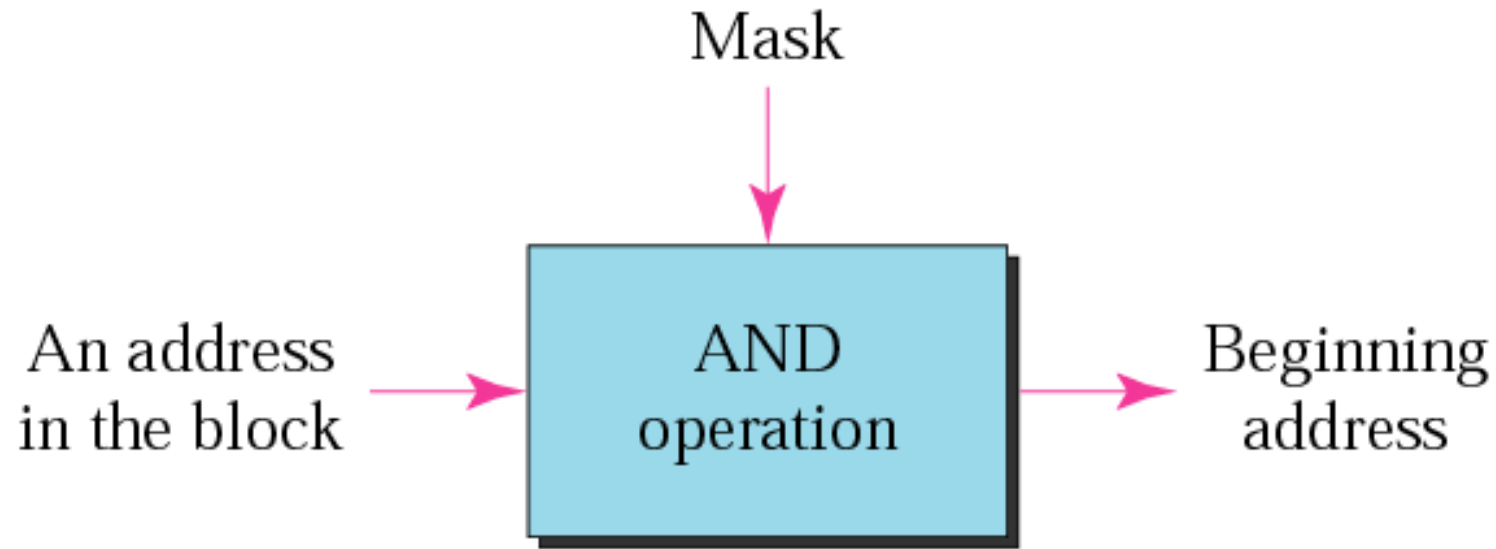
- Special purpose, reserved IP addresses
- APIPA - Automatic Private IP Addressing
- With APIPA, DHCP clients can automatically self-configure an IP address and subnet mask when a DHCP server isn't available
- When a DHCP client boots up, it first looks for a DHCP server in order to obtain an IP address and subnet mask
- If the client is unable to find the information, it uses APIPA to automatically configure itself with an IP address

# IP addressing: introduction

**Given the network address 132.21.0.0, find the **class**, the **block**, and the **range** of the addresses.**

- The 1st byte is between 128 and 191, Hence Class B
- The block has a netid of 132.21.
- The addresses range from 132.21.0.0 to 132.21.255.255

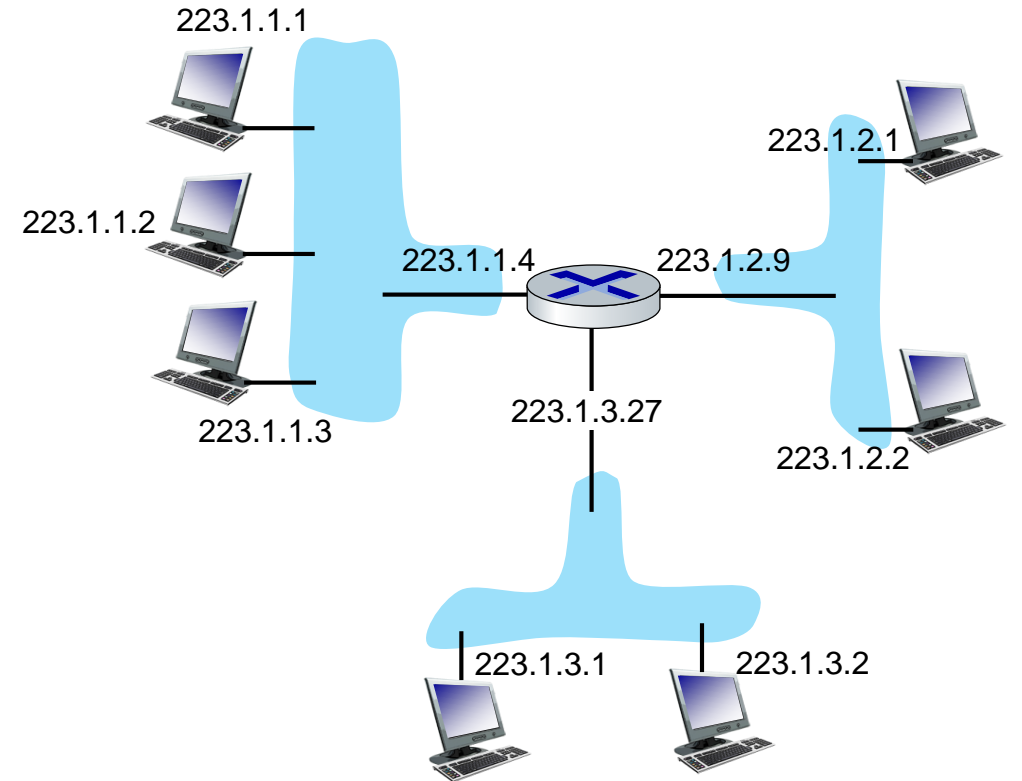
# IP addressing: introduction



- **A mask is a 32-bit binary number**
- **The mask is ANDed with IP address to get the block address (network address)**
- **Class A default mask is 255.0.0.0**
- **Class B default mask is 255.255.0.0**
- **Class C Default mask 255.255.255.0**

# Subnets

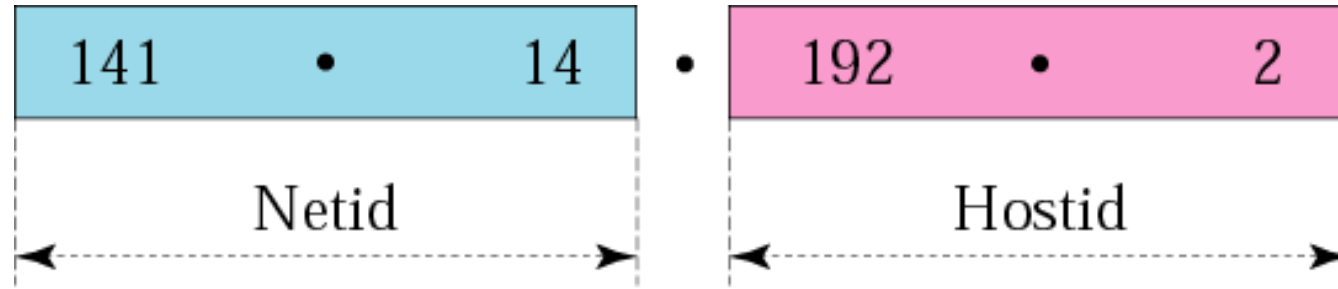
- *What's a subnet ?*
  - device interfaces that can physically reach each other **without passing through an intervening router**
- IP addresses have structure:
  - **subnet part**: devices in same subnet have common high order bits
  - **host part**: **remaining** low order bits
  - Subnetting is done by borrowing bits from the host part and add them the network part



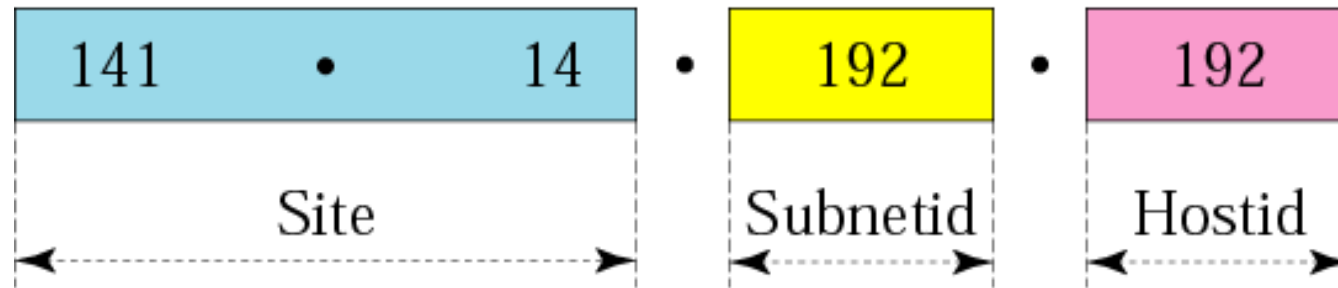
network consisting of 3 subnets



# Subnets

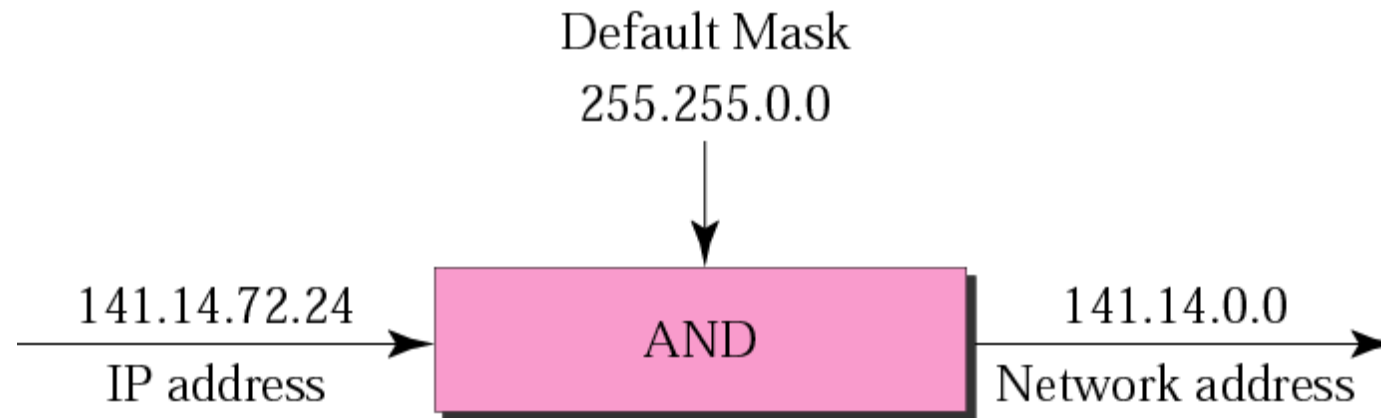


a. Without subnetting

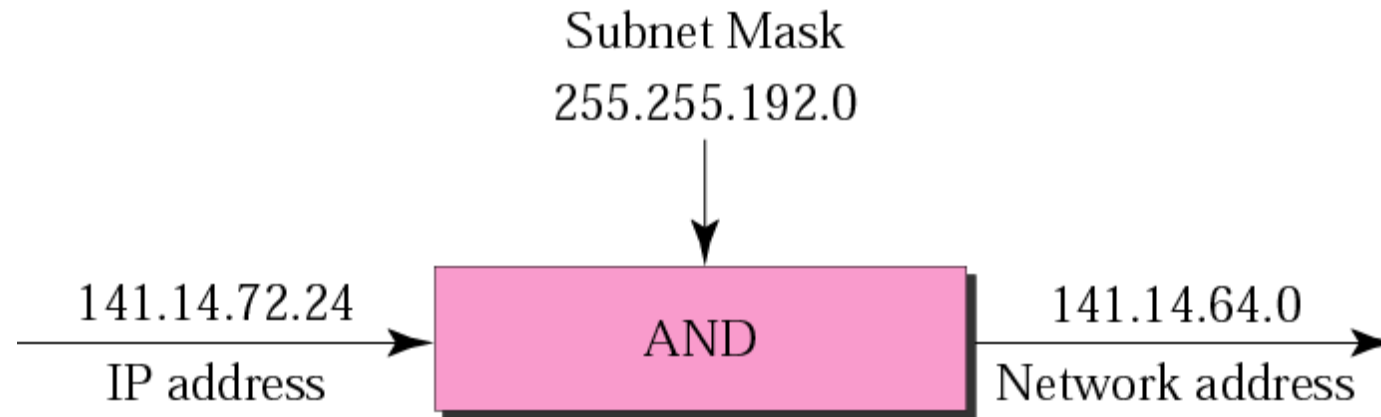


b. With subnetting

# Subnets



a. Without subnetting

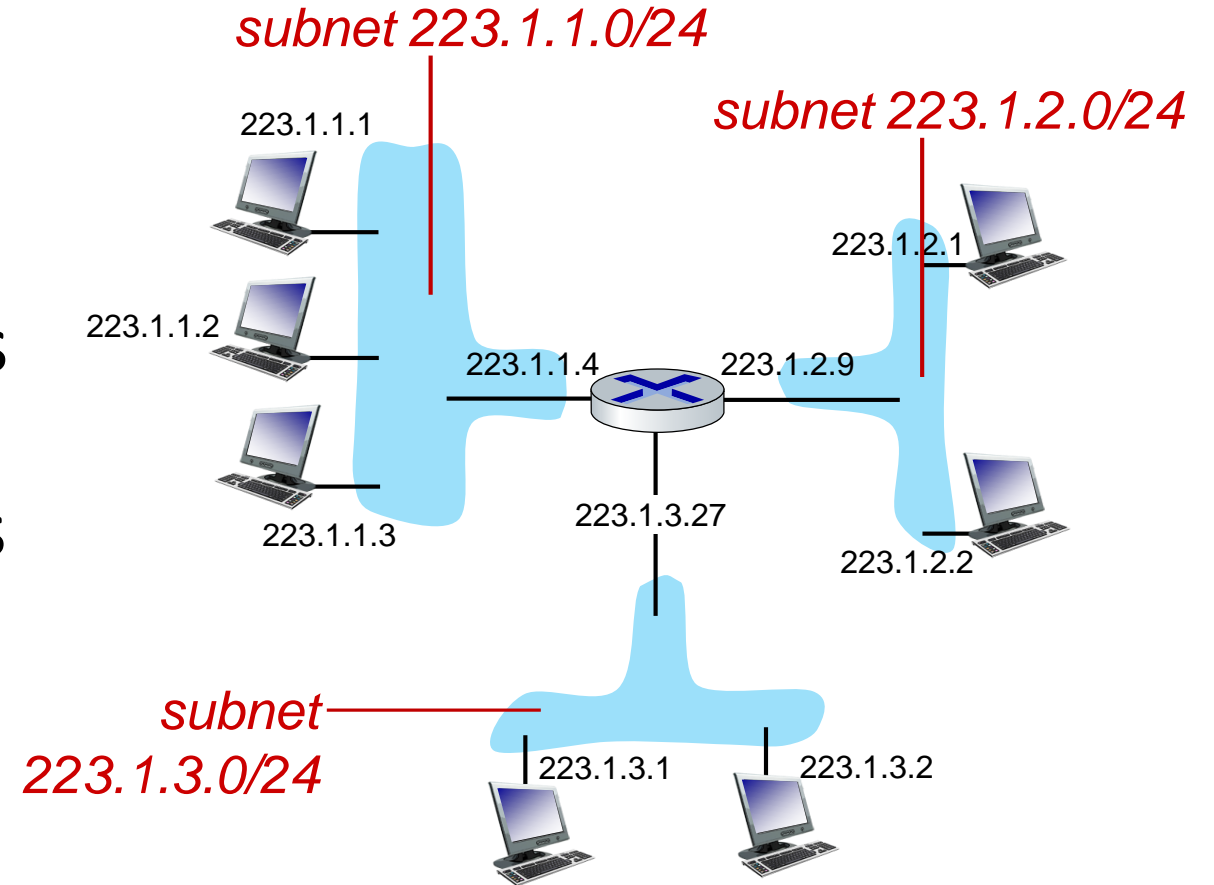


b. With subnetting

# Subnets

## *Recipe for defining subnets:*

- detach each interface from its host or router, creating “islands” of isolated networks
- each isolated network is called a *subnet*

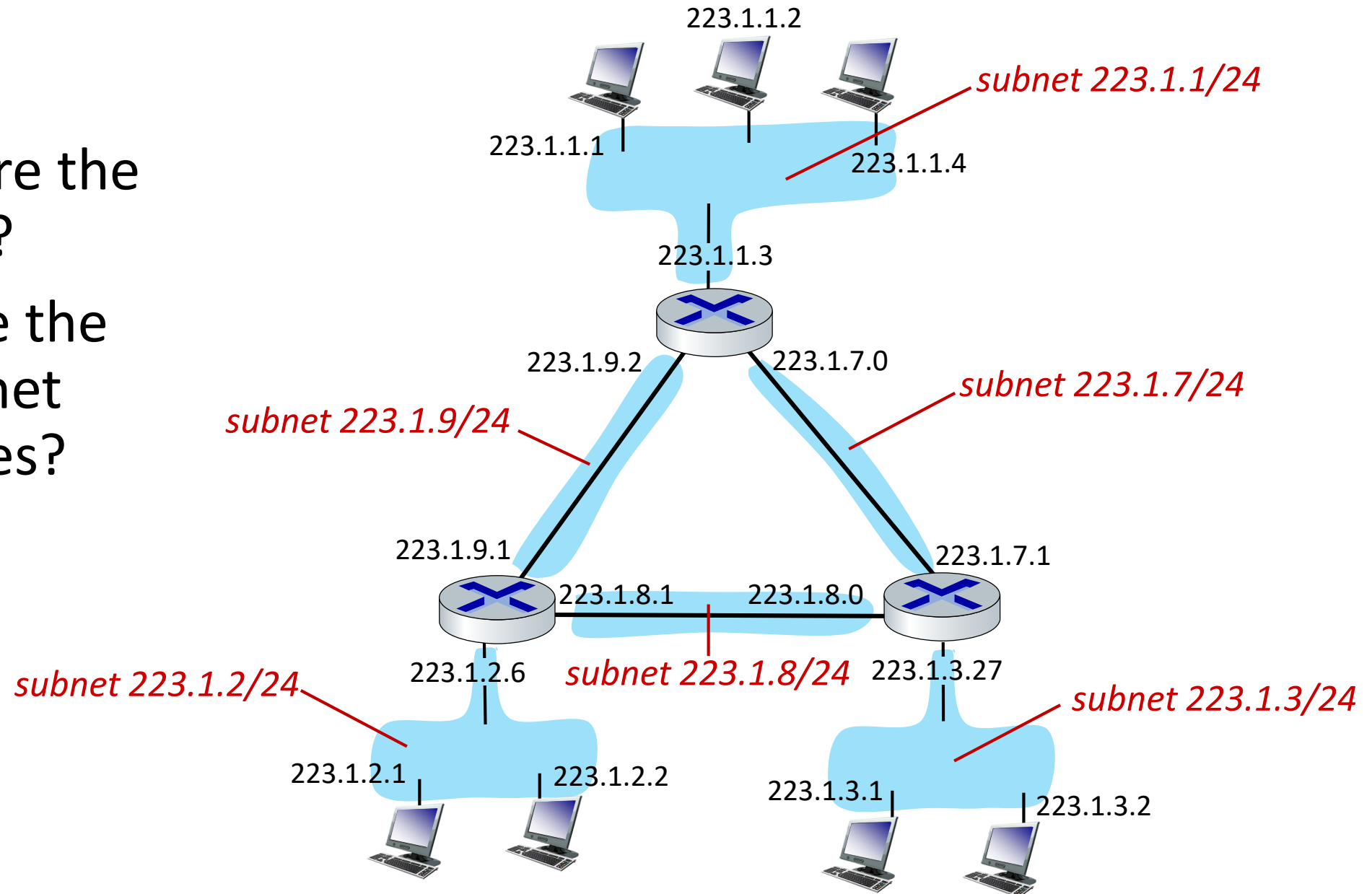


subnet mask: /24

(high-order 24 bits: subnet part of IP address)

# Subnets

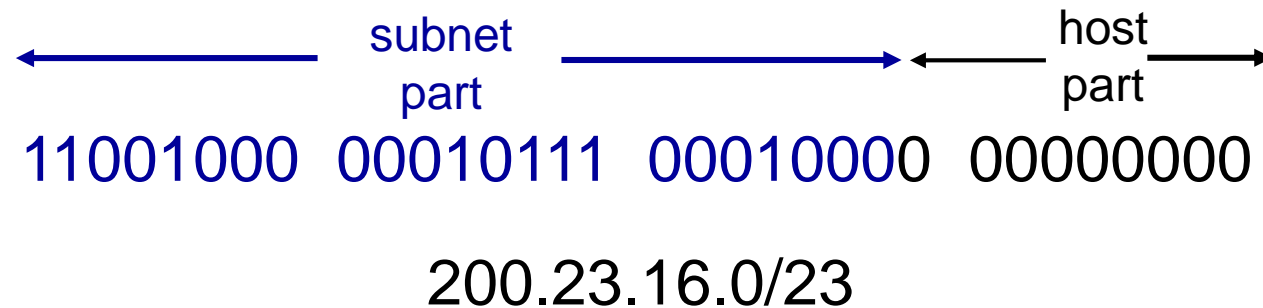
- where are the subnets?
- what are the /24 subnet addresses?



# IP addressing: CIDR

**CIDR:** **C**lassless **I**nter**D**omain **R**outing (pronounced “cider”), slash notation

- subnet portion of address of arbitrary length
- address format: **a.b.c.d/x**, where x is # bits in subnet portion of address



# IP addresses: how to get one?

Two questions:

1. Q: How does a *host* get IP address within its network (host part of address)?
2. Q: How does a *network* get IP address for itself (network part of address)

How does *host* get IP address?

- hard-coded by sysadmin in config file (e.g., /etc/rc.config in UNIX)
- **DHCP**: **D**ynamic **H**ost **C**onfiguration **P**rotocol: dynamically get address from as server
  - “plug-and-play”

# DHCP: Dynamic Host Configuration Protocol

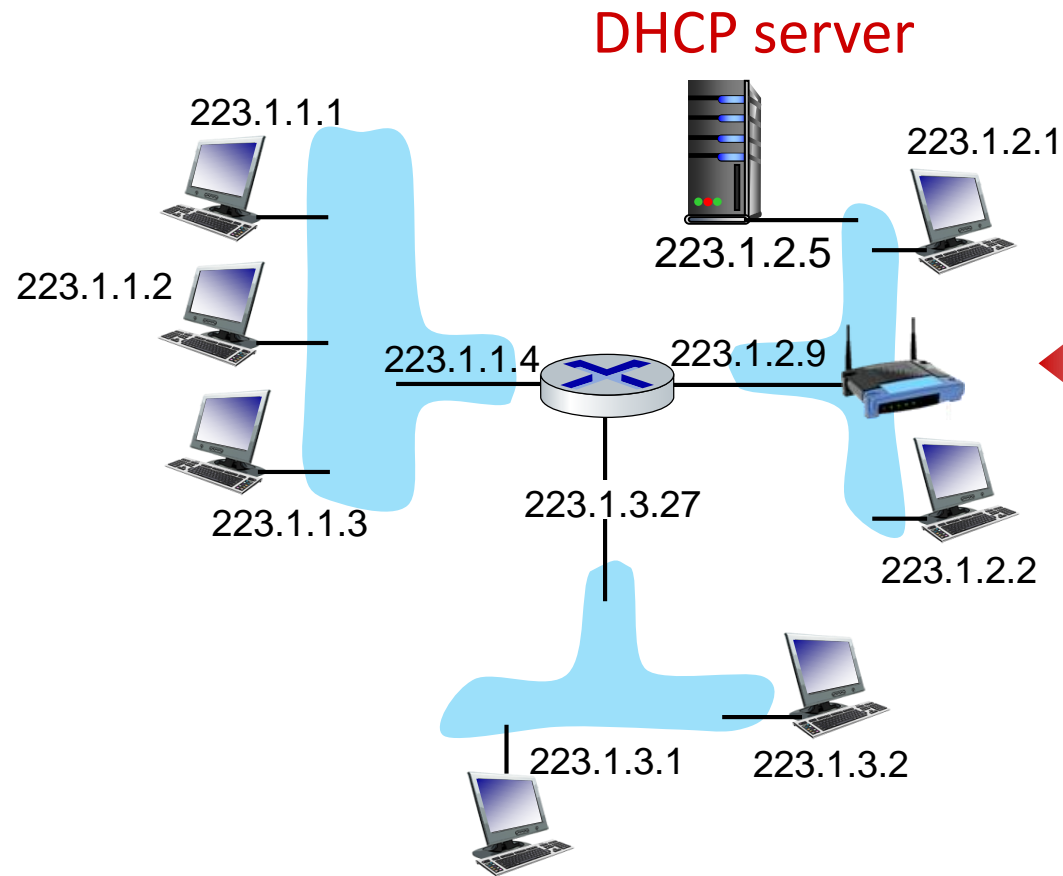
**goal:** host *dynamically* obtains IP address from network server when it “joins” network

- can renew its lease on address in use
- allows reuse of addresses (only hold address while connected/on)
- support for mobile users who join/leave network

## DHCP overview:

- host broadcasts **DHCP discover** msg [optional]
- DHCP server responds with **DHCP offer** msg [optional]
- host requests IP address: **DHCP request** msg
- DHCP server sends address: **DHCP ack** msg

# DHCP client-server scenario



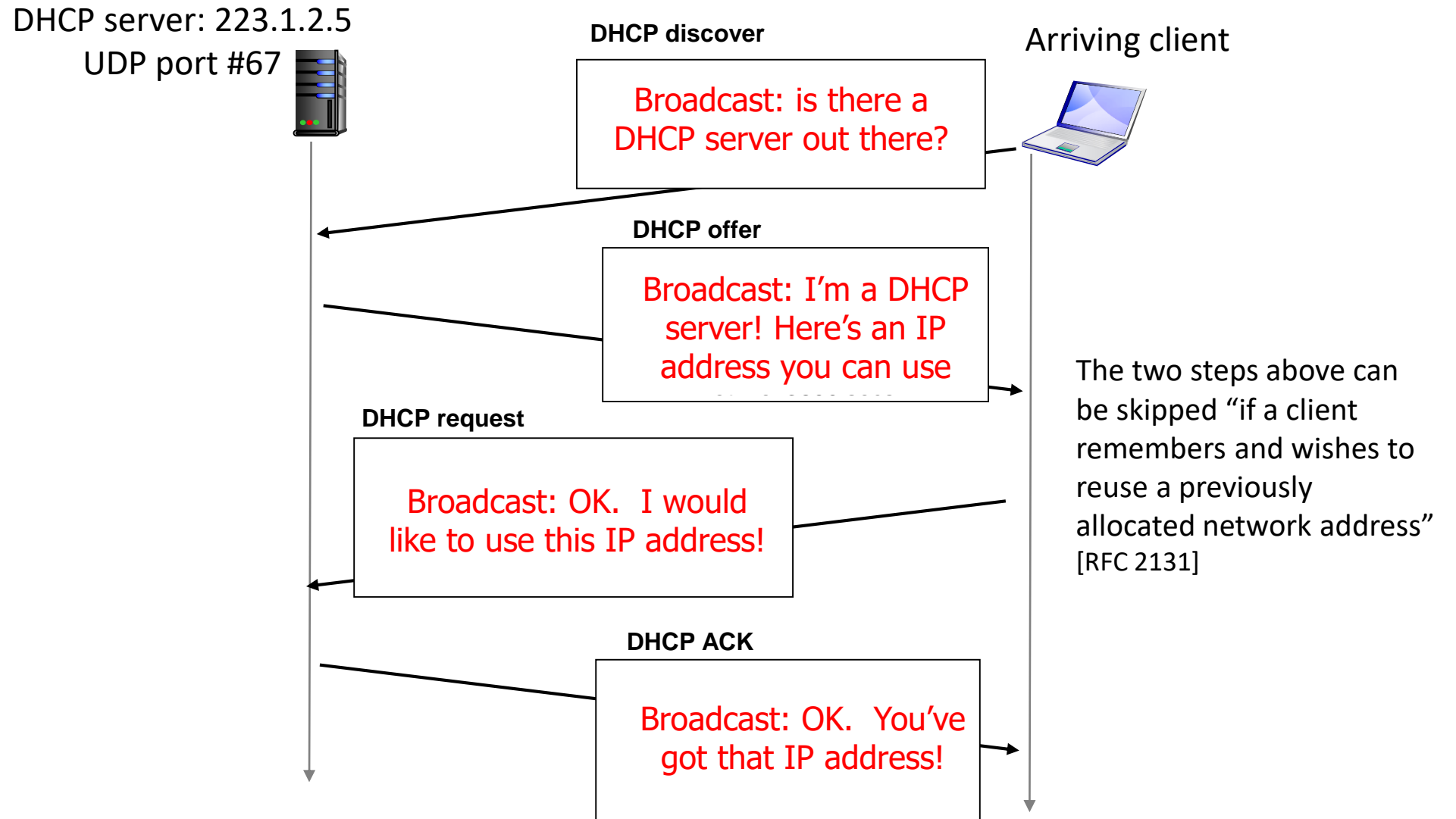
Typically, DHCP server will be co-located in router, serving all subnets to which router is attached



arriving **DHCP client** needs address in this network



# DHCP client-server scenario



# DHCP: more than IP addresses

DHCP can return more than just allocated IP address on subnet:

- address of first-hop router for client
- name and IP address of DNS sever
- network mask (indicating network versus host portion of address)

# IP addresses: how to get one?

**Q:** how does *network* get subnet part of IP address?

**A:** to obtain a block of IP addresses for use within an organization's subnet, a network administrator might first contact its ISP, which would provide addresses from a larger block of addresses that had already been allocated to the ISP.

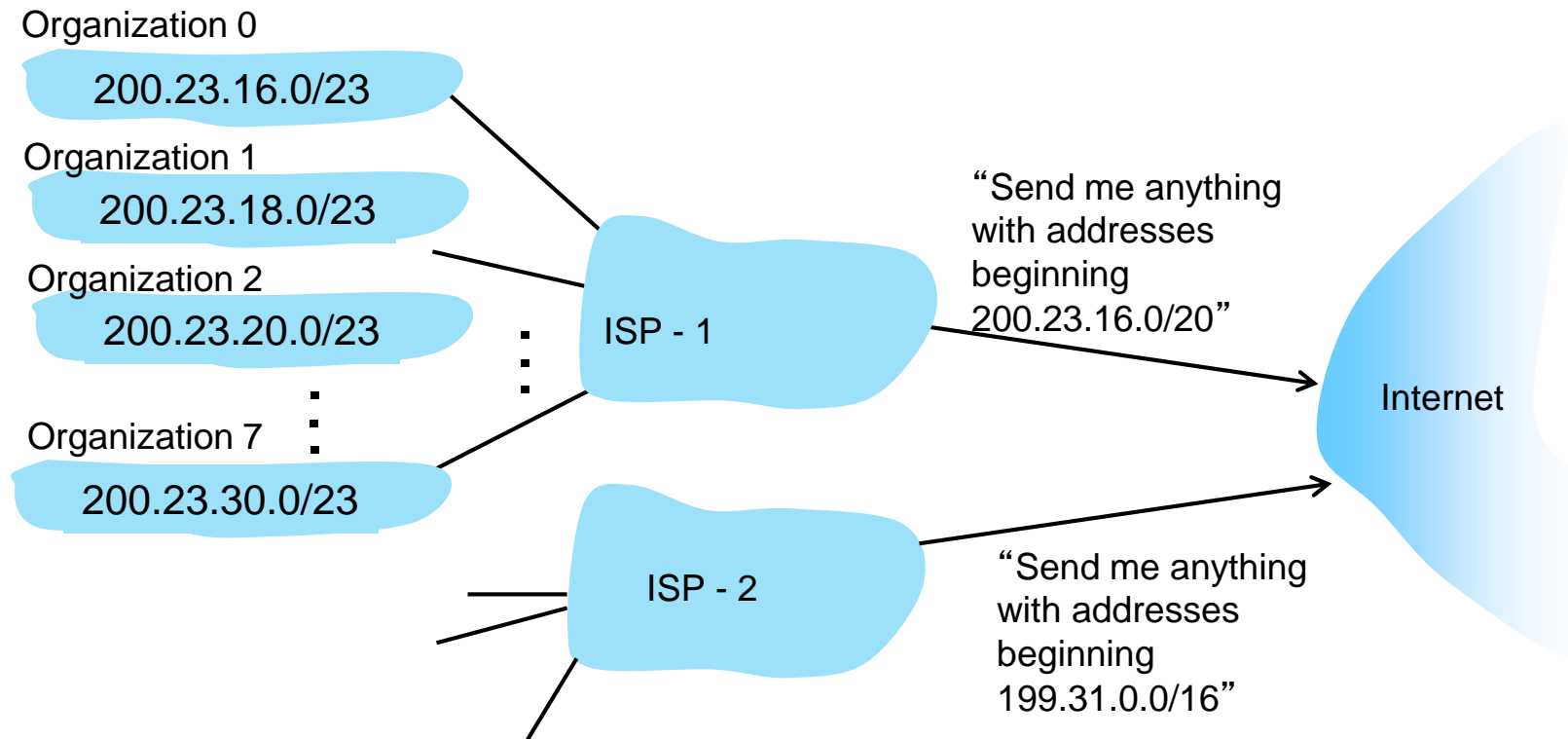
ISP's block      11001000 00010111 00010000 00000000    200.23.16.0/20

for example: ISP can allocate out its address space in 8 blocks:

Organization 0	<u>11001000 00010111 00010000</u>	00000000	200.23.16.0/23
Organization 1	<u>11001000 00010111 00010010</u>	00000000	200.23.18.0/23
Organization 2	<u>11001000 00010111 00010100</u>	00000000	200.23.20.0/23
...	.....	....	....
Organization 7	<u>11001000 00010111 00011110</u>	00000000	200.23.30.0/23

# Hierarchical addressing: route aggregation

hierarchical addressing allows efficient advertisement of routing information:



# IP addressing: last words ...

**Q:** how does an ISP get block of addresses?

**A:** ICANN: Internet Corporation for Assigned Names and Numbers  
<http://www.icann.org/>

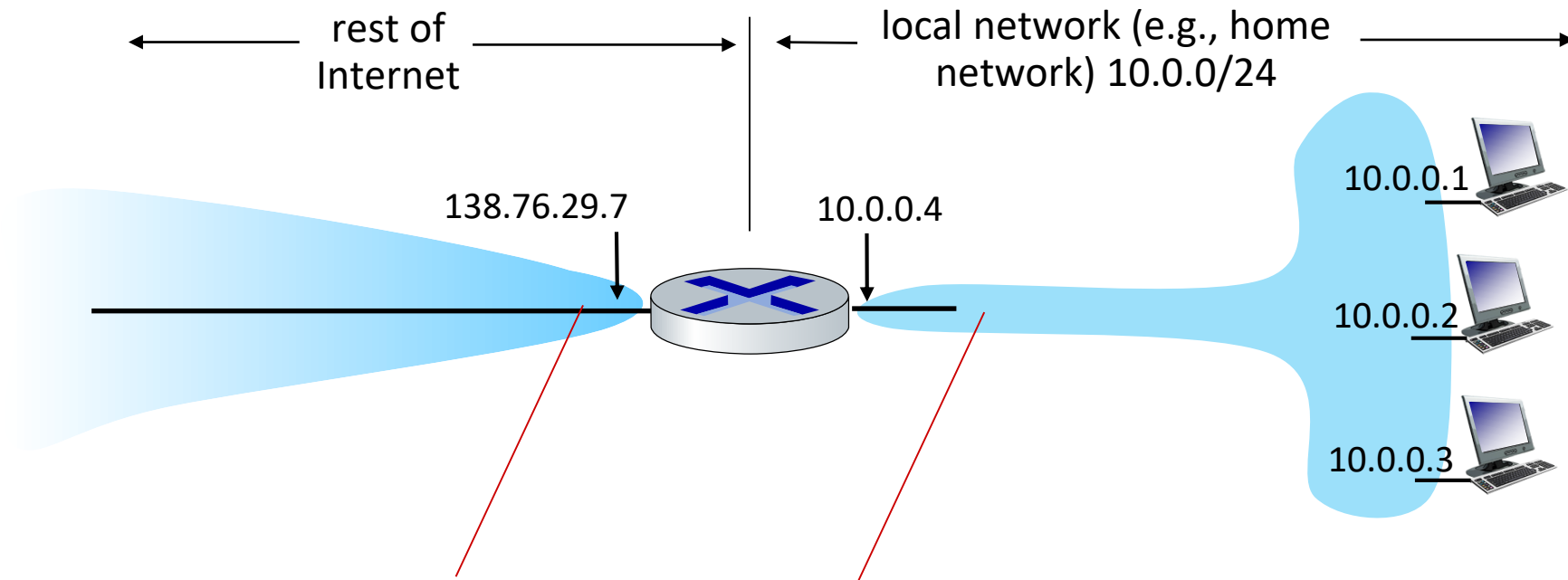
- allocates IP addresses, through 5 regional registries (RRs) (who may then allocate to local registries)
- manages DNS root zone, including delegation of individual TLD (.com, .edu , ...) management

**Q:** are there enough 32-bit IP addresses?

- NAT (next) helps IPv4 address space exhaustion
- IPv6 has 128-bit address space

# NAT: network address translation

**NAT:** all devices in local network share just **one** IPv4 address as far as outside world is concerned



*all* datagrams *leaving* local network have *same* source NAT IP address: 138.76.29.7, but *different* source port numbers

datagrams with source or destination in this network have 10.0.0/24 address for source, destination

# NAT: network address translation

- all devices in local network have 32-bit addresses in a “private” IP address space (10/8, 172.16/12, 192.168/16 prefixes) that can only be used in local network
- advantages:
  - just **one** IP address needed from provider ISP for *all* devices
  - can change addresses of host in local network without notifying outside world
  - can change ISP without changing addresses of devices in local network
  - security: devices inside local net not directly addressable, visible by outside world

# NAT: network address translation

**implementation:** NAT router must (transparently):

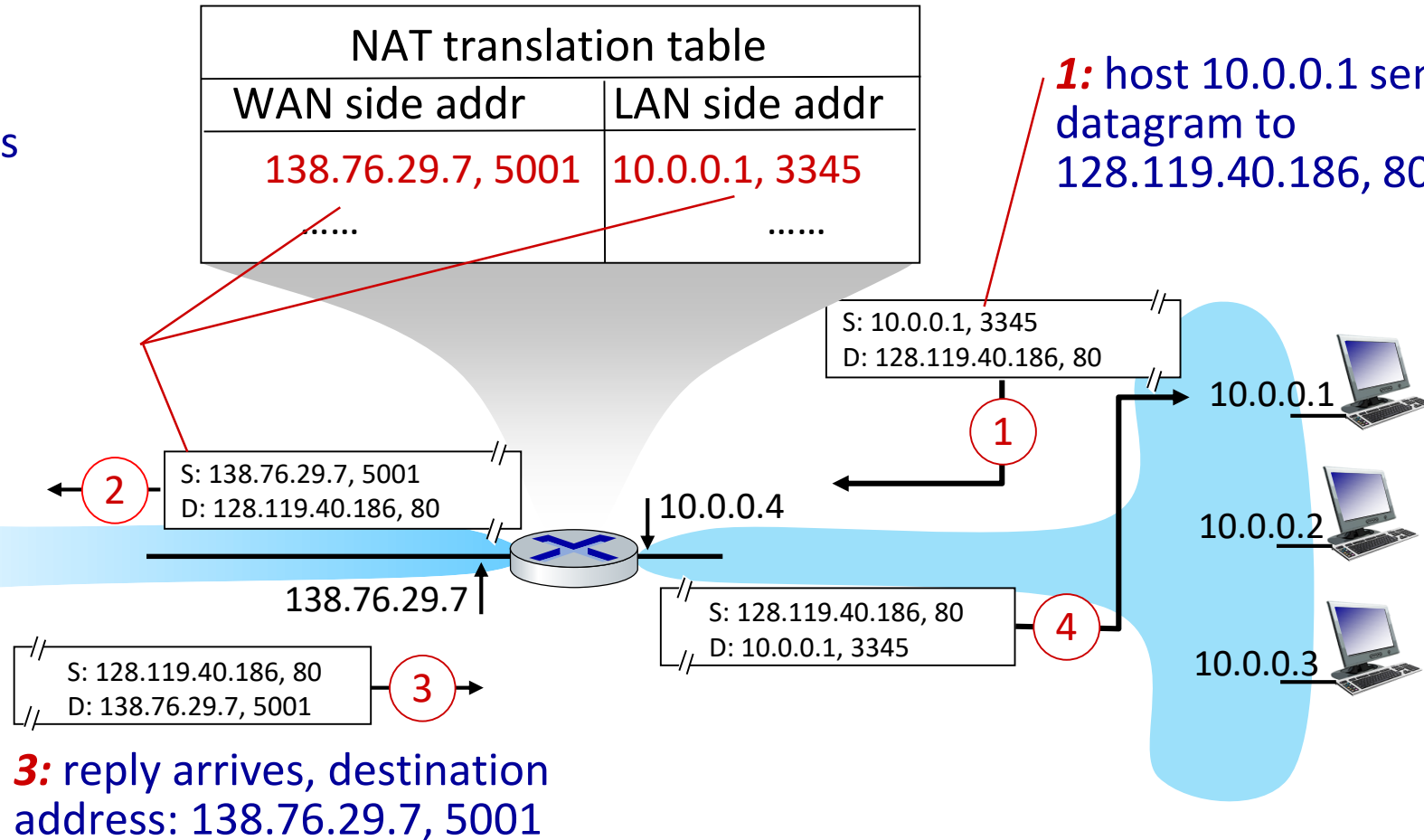
- **outgoing datagrams: replace** (source IP address, port #) of every outgoing datagram to (NAT IP address, new port #)
  - remote clients/servers will respond using (NAT IP address, new port #) as destination address
- **remember (in NAT translation table)** every (source IP address, port #) to (NAT IP address, new port #) translation pair
- **incoming datagrams: replace** (NAT IP address, new port #) in destination fields of every incoming datagram with corresponding (source IP address, port #) stored in NAT table



# NAT: network address translation

**2:** NAT router changes datagram source address from 10.0.0.1, 3345 to 138.76.29.7, 5001, updates table

**1:** host 10.0.0.1 sends datagram to 128.119.40.186, 80



# IPv6: motivation

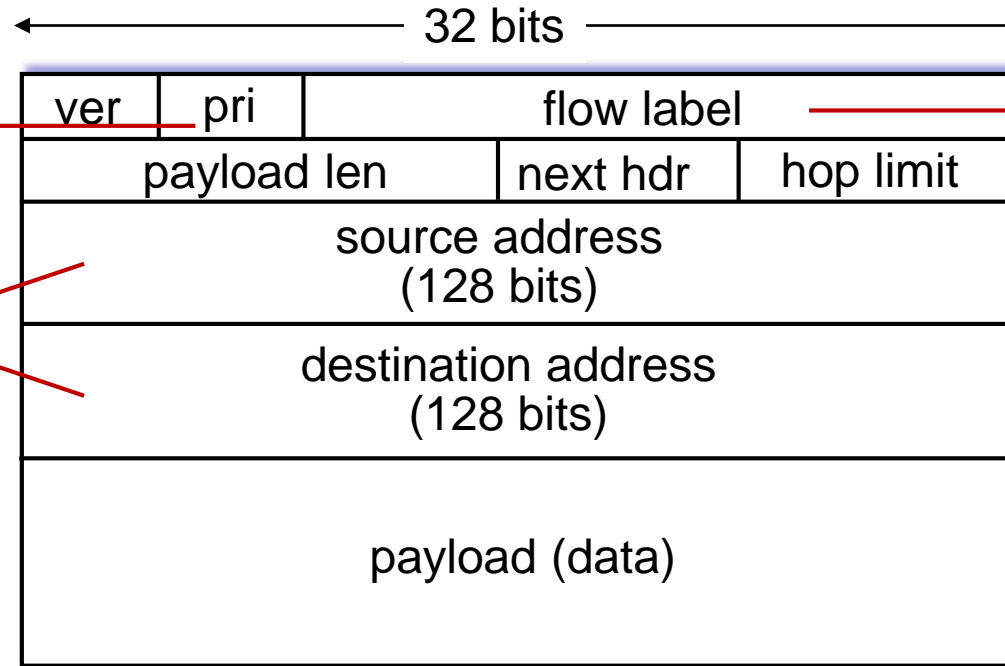
- **initial motivation:** 32-bit IPv4 address space would be completely allocated
- additional motivation:
  - speed processing/forwarding: 40-byte fixed length header
  - enable different network-layer treatment of “flows”

# IPv6 datagram format

(to respond the need for a large IP address space)

**priority:** identify  
priority among  
datagrams in flow

**128-bit**  
IPv6 addresses



**flow label:** identify datagrams in same "flow." For example, audio and video transmission might likely be treated as a flow. The more traditional applications, such as file transfer and e-mail, might not be treated as flows. Concept of "flow" not well defined.

What's missing (compared with IPv4):

- no checksum (to speed processing at routers)
- no fragmentation/reassembly
- no options

# Network layer: “data plane” roadmap

- Network layer: overview
  - data plane
  - control plane
- What’s inside a router
  - input ports, switching, output ports
  - buffer management, scheduling
- IP: the Internet Protocol
  - datagram format
  - addressing
  - network address translation
  - IPv6
- Generalized Forwarding, SDN
  - Match+action
  - OpenFlow: match+action in action
- Middleboxes

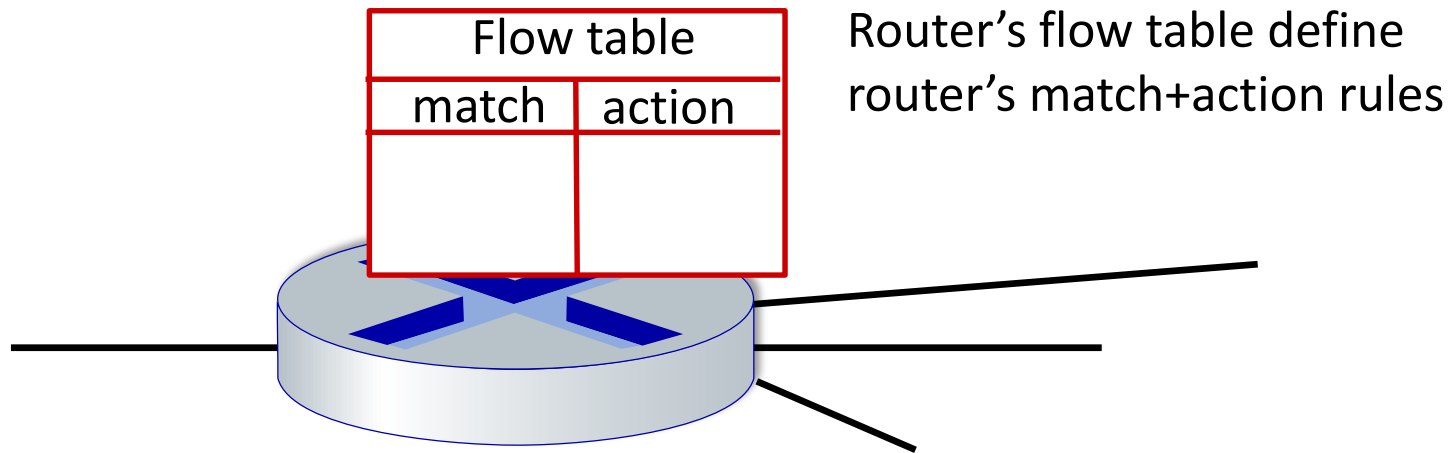
# Generalized forwarding: match plus action

*Review:* each router contains a **forwarding table** (aka: **flow table**)

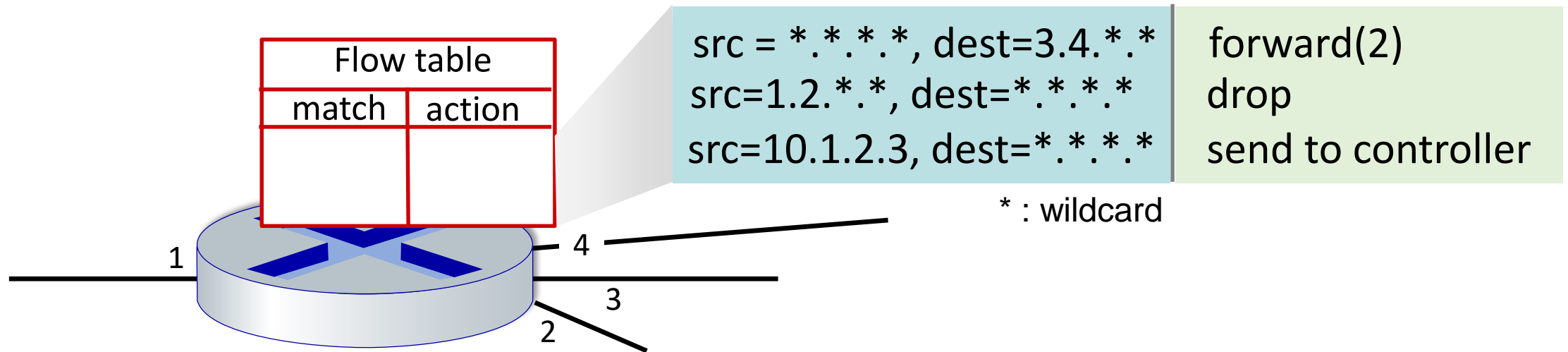
- “**match plus action**” abstraction: match bits in arriving packet, take action
  - *destination-based forwarding*: forward based on dest. IP address
  - *generalized forwarding*: generalizes matches and actions
    - many header fields can determine action
    - many action possible: drop/copy/modify/log packet

# Flow table abstraction

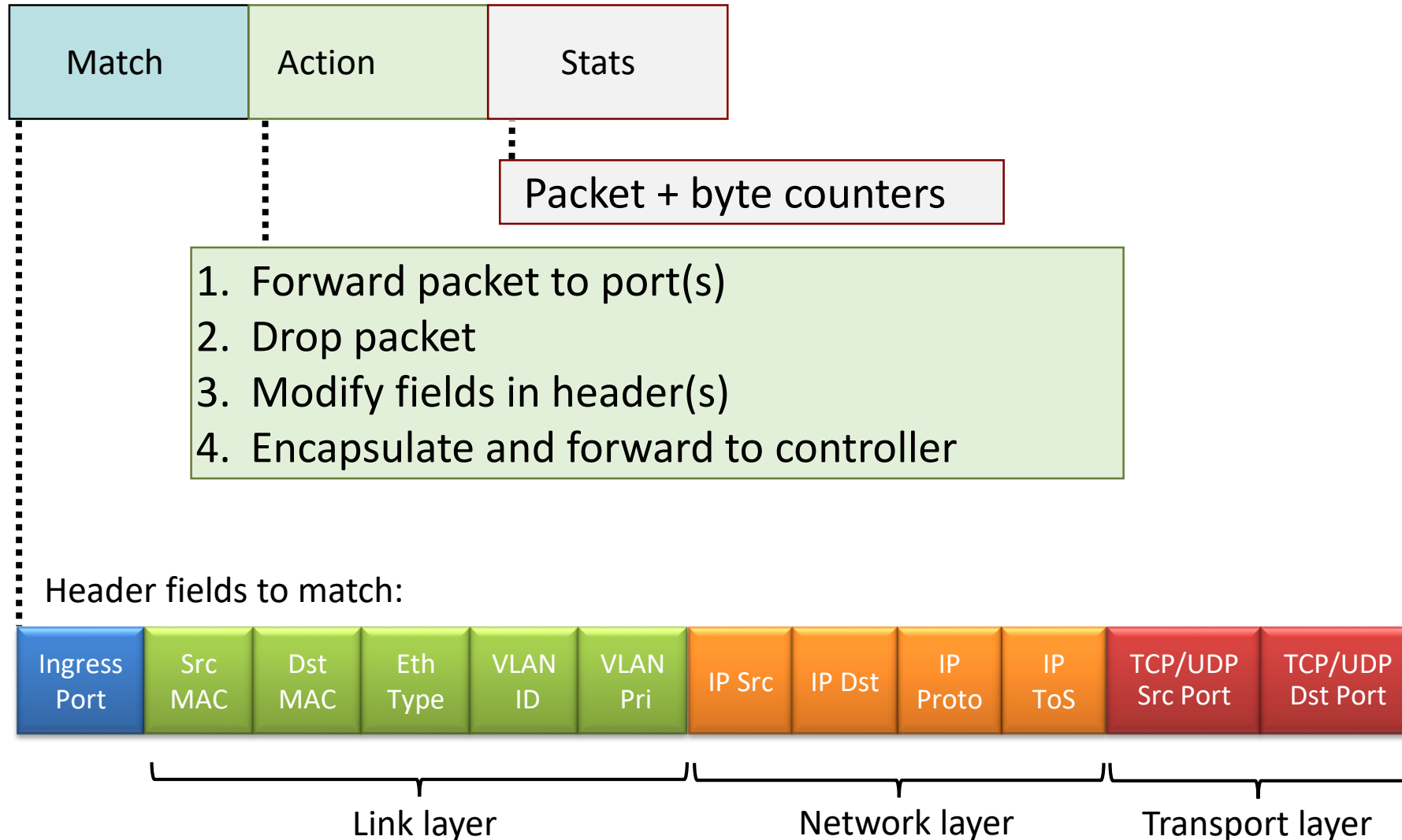
- **flow**: defined by header field values (in link-, network-, transport-layer fields)
- **generalized forwarding**: simple packet-handling rules
  - **match**: pattern values in packet header fields
  - **actions**: for matched packet: drop, forward, modify, matched packet or send matched packet to controller



# Flow table abstraction



# OpenFlow: flow table entries





# OpenFlow: examples

## Destination-based forwarding:

Switch Port	MAC src	MAC dst	Eth type	VLAN ID	VLAN Pri	IP Src	IP Dst	IP Prot	IP ToS	TCP s-port	TCP d-port	Action
*	*	*	*	*	*	*	51.6.0.8	*	*	*	*	port6

IP datagrams destined to IP address 51.6.0.8 should be forwarded to router output port 6

## Firewall:

Switch Port	MAC src	MAC dst	Eth type	VLAN ID	VLAN Pri	IP Src	IP Dst	IP Prot	IP ToS	TCP s-port	TCP d-port	Action
*	*	*	*	*	*	*	*	*	*	*	22	drop

Block (do not forward) all datagrams destined to TCP port 22 (ssh port #)

Switch Port	MAC src	MAC dst	Eth type	VLAN ID	VLAN Pri	IP Src	IP Dst	IP Prot	IP ToS	TCP s-port	TCP d-port	Action
*	*	*	*	*	*	128.119.1.1	*	*	*	*	*	drop

Block (do not forward) all datagrams sent by host 128.119.1.1

# OpenFlow: examples

## Layer 2 destination-based forwarding:

Switch Port	MAC src	MAC dst	Eth type	VLAN ID	VLAN Pri	IP Src	IP Dst	IP Prot	IP ToS	TCP s-port	TCP d-port	Action
*	*	22:A7:23: 11:E1:02	*	*	*	*	*	*	*	*	*	port3

layer 2 frames with destination MAC address 22:A7:23:11:E1:02 should be forwarded to output port 3

# OpenFlow abstraction

- **match+action**: abstraction unifies different kinds of devices

## Router

- *match*: longest destination IP prefix
- *action*: forward out a link

## Switch

- *match*: destination MAC address
- *action*: forward or flood

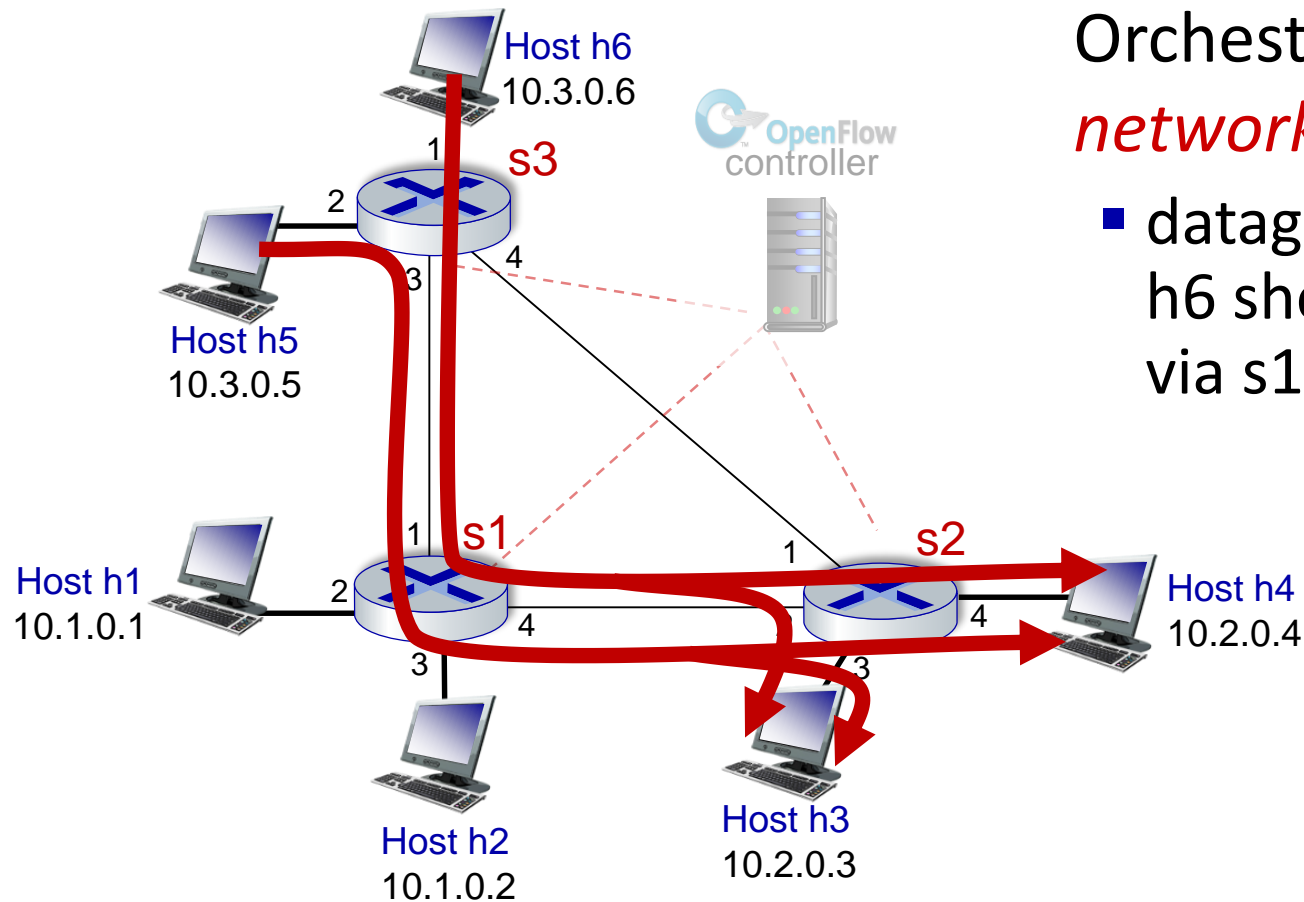
## Firewall

- *match*: IP addresses and TCP/UDP port numbers
- *action*: permit or deny

## NAT

- *match*: IP address and port
- *action*: rewrite address and port

# OpenFlow example

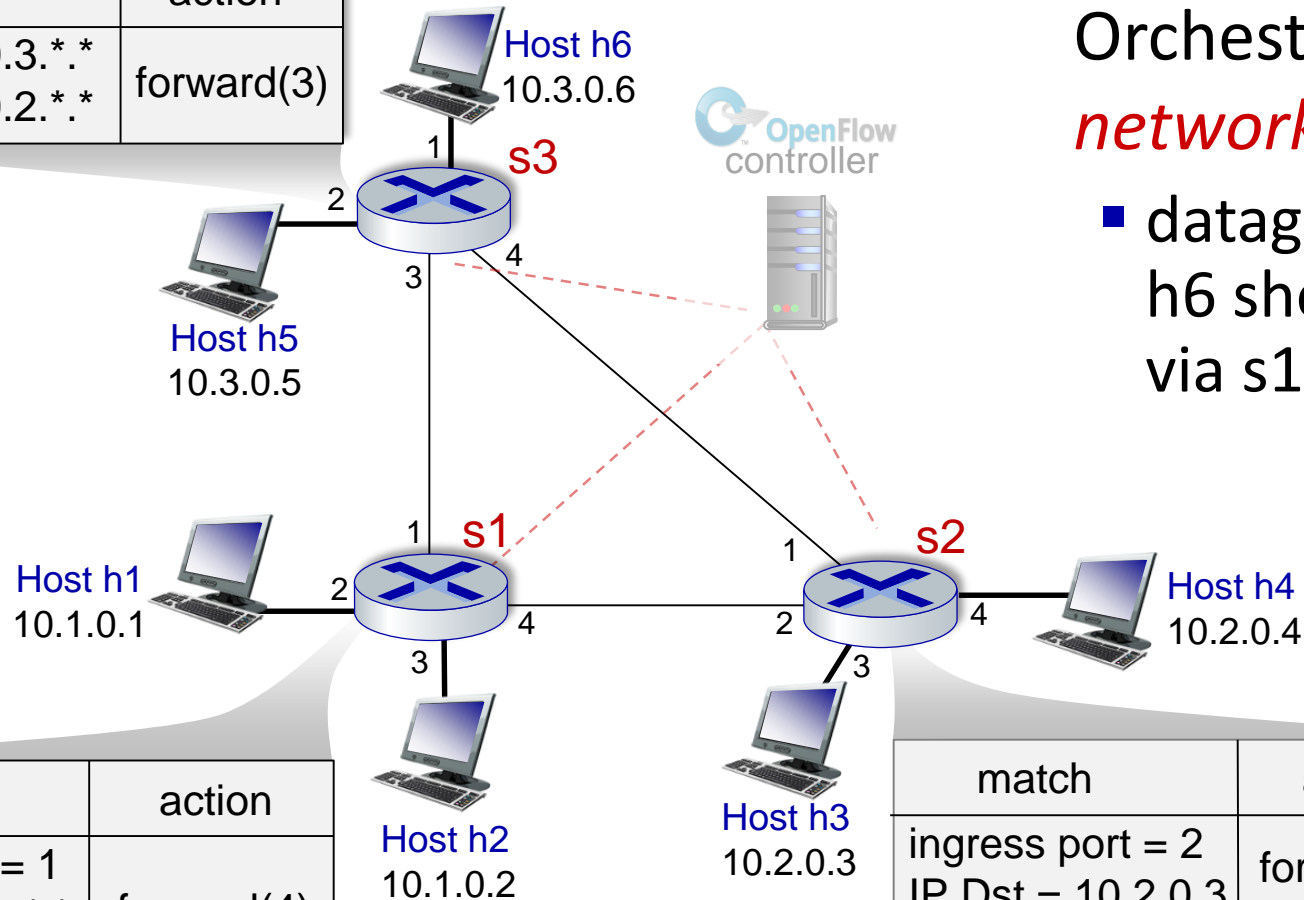


Orchestrated tables can create *network-wide* behavior, e.g.,:

- datagrams from hosts h5 and h6 should be sent to h3 or h4, via s1 and from there to s2

# OpenFlow example

match	action
IP Src = 10.3.*.* IP Dst = 10.2.*.*	forward(3)



match	action
ingress port = 1 IP Src = 10.3.*.* IP Dst = 10.2.*.*	forward(4)

match	action
ingress port = 2 IP Dst = 10.2.0.3	forward(3)
ingress port = 2 IP Dst = 10.2.0.4	forward(4)

Orchestrated tables can create *network-wide* behavior, e.g.,:

- datagrams from hosts h5 and h6 should be sent to h3 or h4, via s1 and from there to s2

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# Middleboxes

Middlebox (RFC 3234)

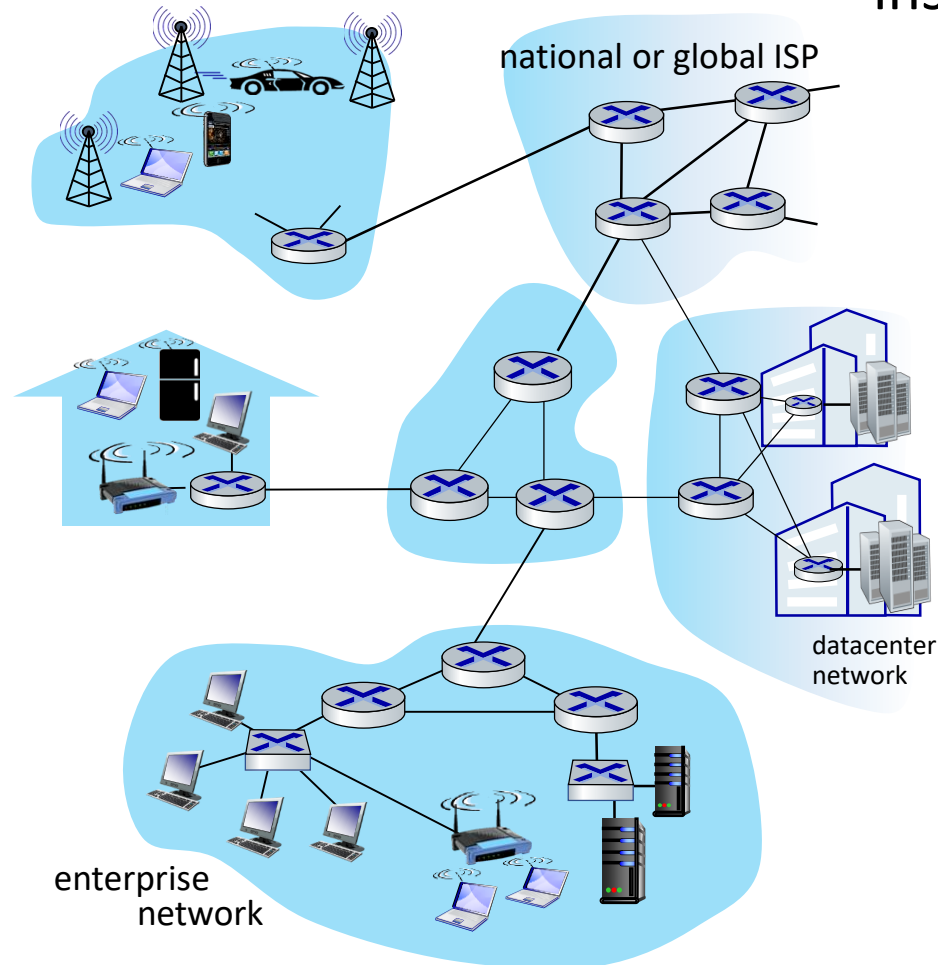
“any intermediary box performing functions apart from normal, standard functions of an IP router on the data path between a source host and destination host”

*perform functions other than forwarding*

# Middleboxes everywhere!

**NAT:** home,  
cellular,  
institutional

**Application-specific:** service  
providers,  
institutional,  
CDN



**Firewalls, IDS:** corporate,  
institutional, service providers,  
ISPs

**Load balancers:**  
corporate, service  
provider, data center,  
mobile nets

**Caches:** service  
provider, mobile, CDNs