



# Towards Universal Sequence Representation Learning for Recommender Systems

Yupeng Hou<sup>1</sup>, Shanlei Mu<sup>1</sup>, Wayne Xin Zhao<sup>1</sup>✉, Yaliang Li<sup>2</sup>, Bolin Ding<sup>2</sup>, Ji-Rong Wen<sup>1</sup>

1 Renmin University of China

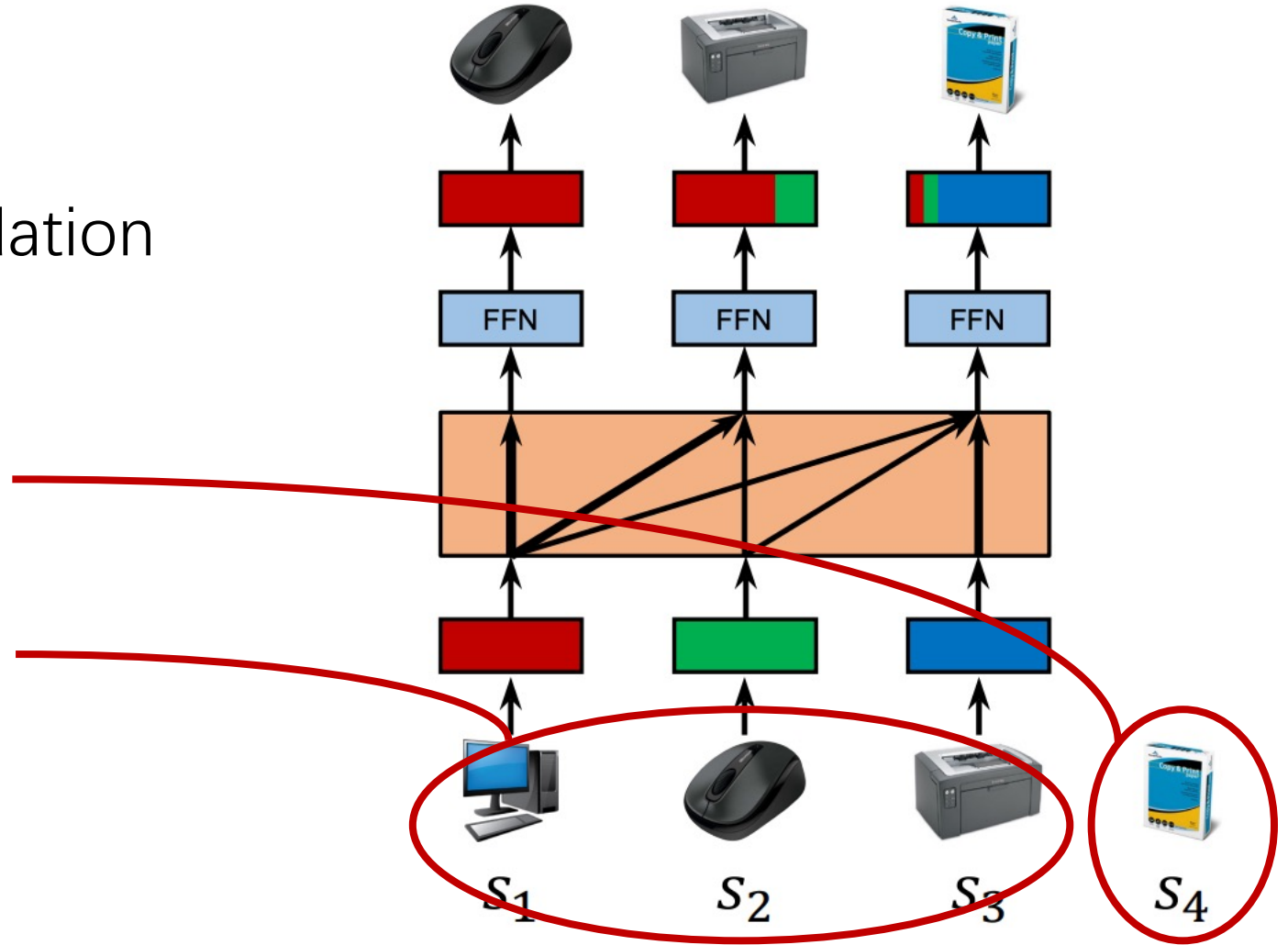
2 Alibaba Group

# Motivation

- Sequential Recommendation

*Next Item Prediction*

*Historical Behavior Sequences*



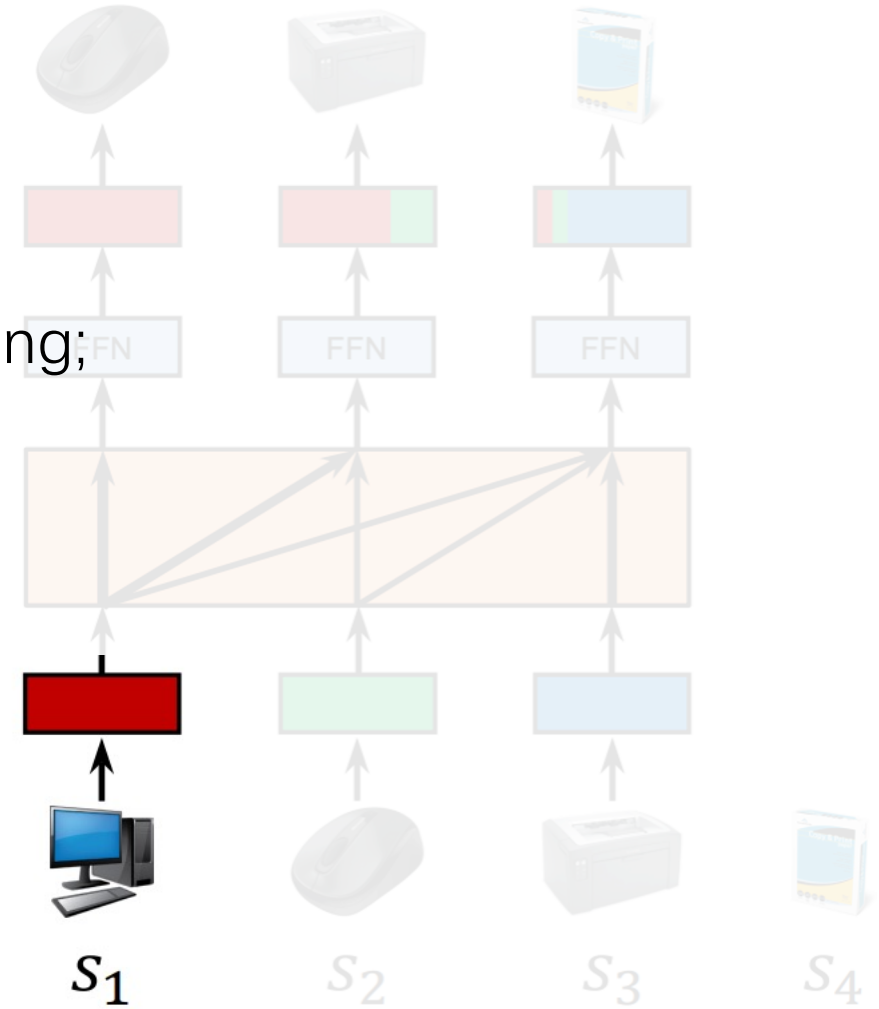
# Motivation

- Sequential Recommendation
  - Existing methods: explicit **item ID** modeling;

*Sequence Model*

*ID Embedding*

*Item ID*, B00TNNWMXI



# Motivation

- Sequential Recommendation
  - Existing methods: explicit **item ID** modeling;
- Issues
  - **Cold-start items;**



New Item!



Well-trained ID Sequence Model



$S_1$



$S_2$



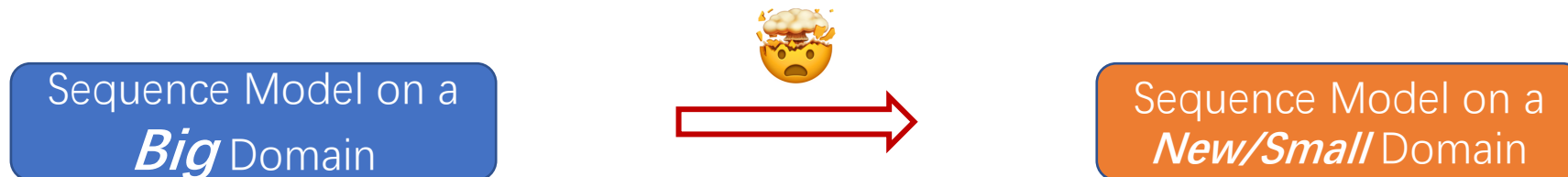
$S_3$



$S_4$

# Motivation

- Sequential Recommendation
  - Existing methods: explicit **item ID** modeling;
- Issues
  - Cold-start items;
  - **New domains;**



# Motivation

- Solution for **small** data: Transfer Learning
  - Foundation Models
    - Pre-training on large scale corpus;
    - Transfer to downstream tasks;








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- Solution for **small** data: Transfer Learning
  - Foundation Models
    - Pre-training on large scale corpus;
    - Transfer to downstream tasks;
- Can we build foundation models for RecSys? 🤔





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- Solution for **small** data: Transfer Learning
  - Foundation Models
    - Pre-training on large scale corpus;
    - Transfer to downstream tasks;
- Can we build foundation models for RecSys? 🤔
  -  **Same data format;**
    -   $s_1$
    -   $s_2$
    -   $s_3$
    -   $s_4$








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- Solution for **small** data: Transfer Learning
  - Foundation Models
    - Pre-training on large scale corpus;
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- Can we build foundation models for RecSys? 🤔
  -  Same data format;
  -  **Large corpus;**



# Motivation

- Solution for **small** data: Transfer Learning
  - Foundation Models
    - Pre-training on large scale corpus;
    - Transfer to downstream tasks;
- Can we build foundation models for RecSys? 🤔
  -  Same data format;
  -  Large corpus;
  -  **Different item ID dictionaries;**



# Motivation

- Foundation Models for NLP (**Universal**)

好 好 学 习

Word ID



$h_1$   
 $h_1$   
 $h_3$   
 $\cdot$   
 $\cdot$   
 $h_N$

Word Emb

# Motivation

- Foundation Models for NLP (Universal)

好 好 学 习

Word ID



$h_1$   
 $h_1$   
 $h_3$   
 $\cdot$   
 $\cdot$   
 $h_N$

Word Emb

- **Universal Sequence/Item Representations** for RecSys?

?



$h_1$   
 $h_1$   
 $h_3$   
 $\cdot$   
 $\cdot$   
 $h_N$

Item Emb

Sequence Emb

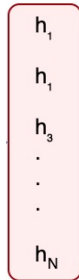
# Idea

- **Universal Sequence/Item Representations** for RecSys?



# Idea

- Item text  $\rightarrow$  Transferable representations
- Challenges:

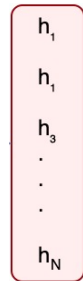


$h_1$   
 $h_1$   
 $h_3$   
 $\cdot$   
 $\cdot$   
 $h_N$

Textual representations **for Rec?**

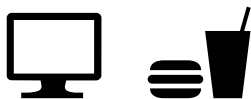
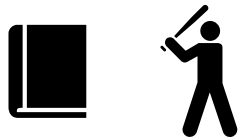
# Idea

- Item text  $\rightarrow$  Transferable representations
- Challenges:



$h_1$   
 $h_1$   
 $h_3$   
 $\cdot$   
 $\cdot$   
 $h_N$

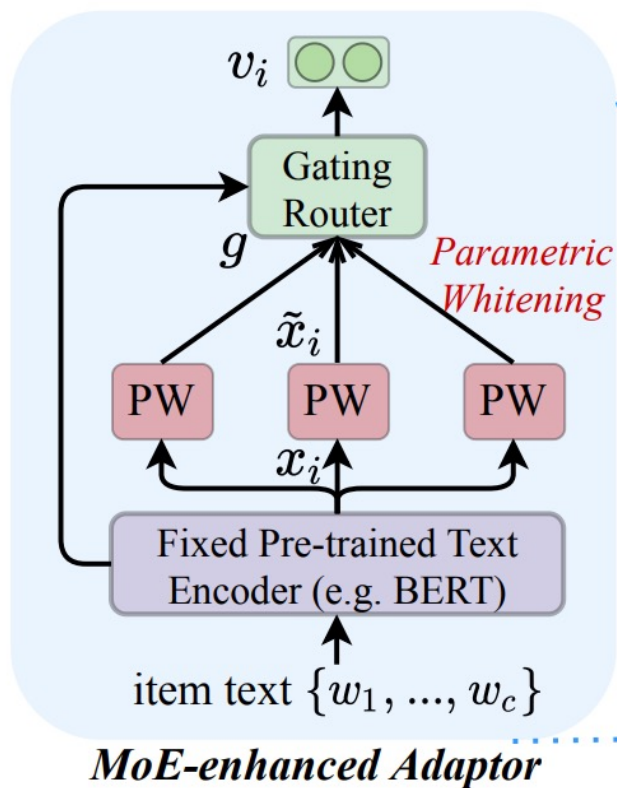
Textual representations **for Rec?**



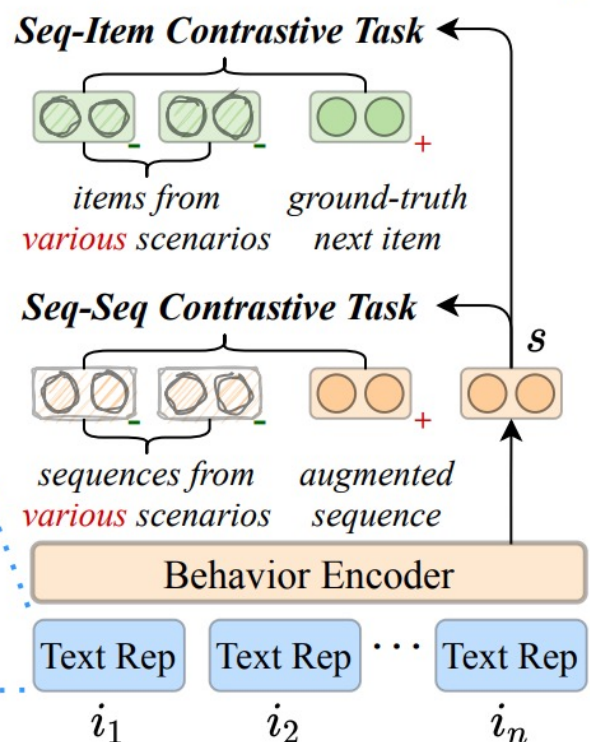
Learning from **multiple domains?**

# UniSRec, universal sequence representation learning approach

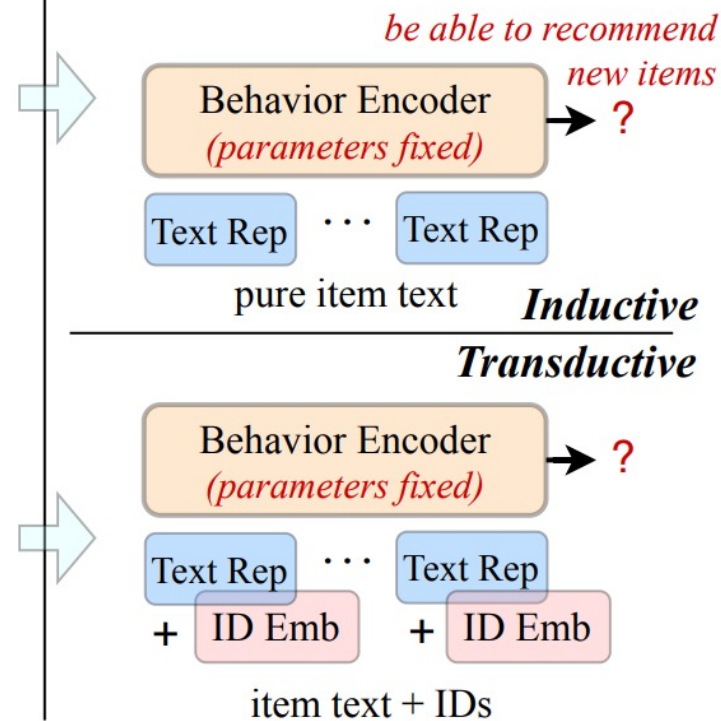
## Universal Item Representation



## Universal Sequence Representation Pre-training



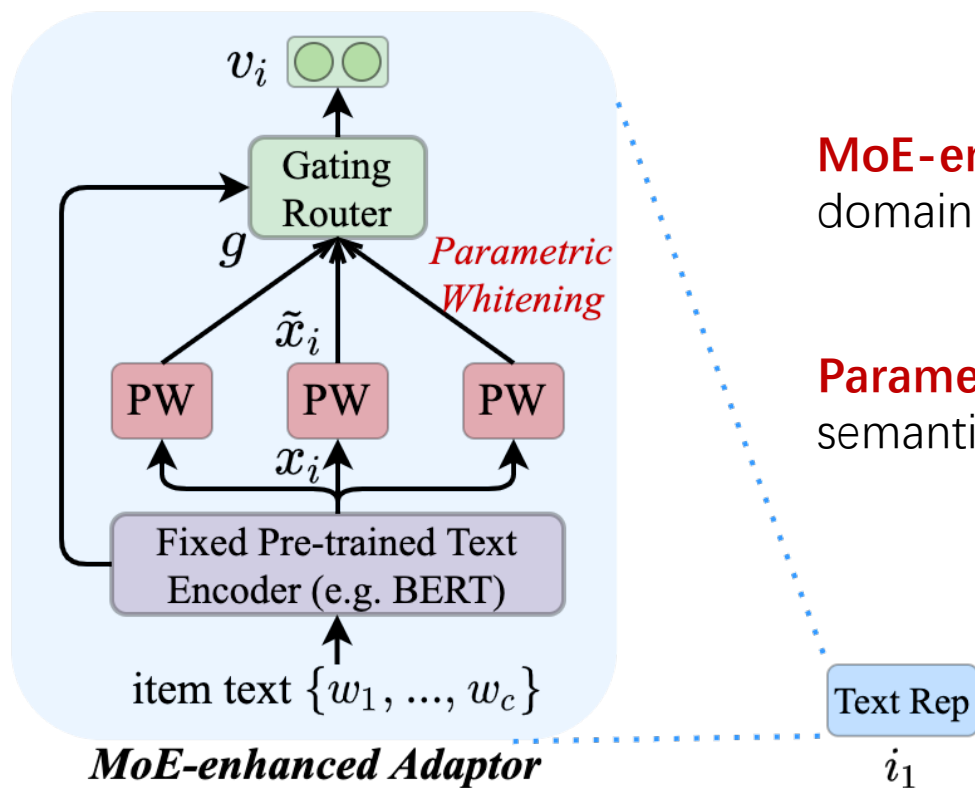
## Parameter-Efficient Fine-tuning





# UniSRec

## Universal Item Representation



**MoE-enhanced Adaptor** for  
domain fusion & adaptation

**Parametric Whitening** for  
semantic transformation

$$v_i = \sum_{k=1}^G g_k \cdot \tilde{x}_i^{(k)},$$

$$\tilde{x}_i = (x_i - b) \cdot W_1,$$

# UniSRec

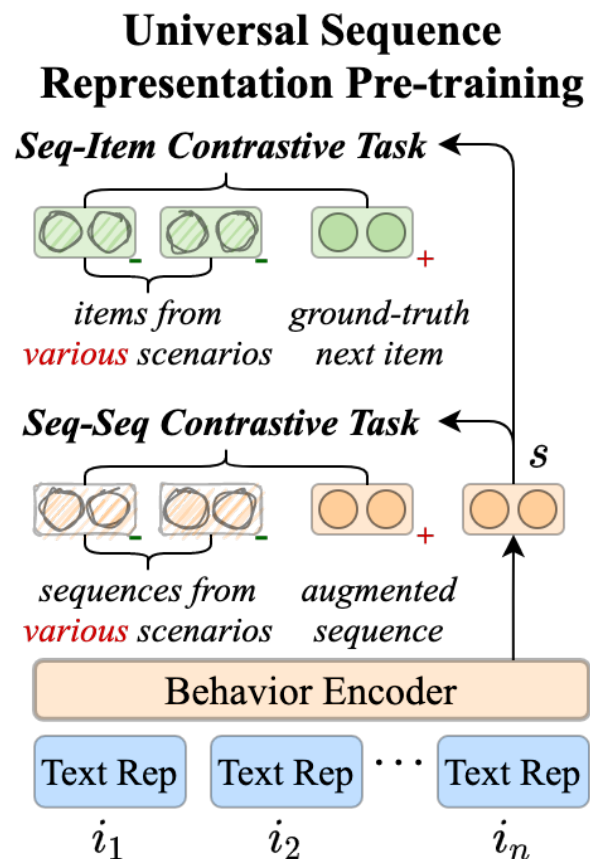
## Pre-training on multi-domain sequences

$$\ell_{S-I} = - \sum_{j=1}^B \log \frac{\exp(s_j \cdot v_j / \tau)}{\sum_{j'=1}^B \exp(s_j \cdot \boxed{v_{j'}} / \tau)},$$

$$\ell_{S-S} = - \sum_{j=1}^B \log \frac{\exp(s_j \cdot \tilde{s}_j / \tau)}{\sum_{j'=1}^B \exp(s_j \cdot \boxed{s_{j'}} / \tau)}.$$

## Negative samples from multiple domains

alleviate the seesaw phenomenon and capture their semantic correlation

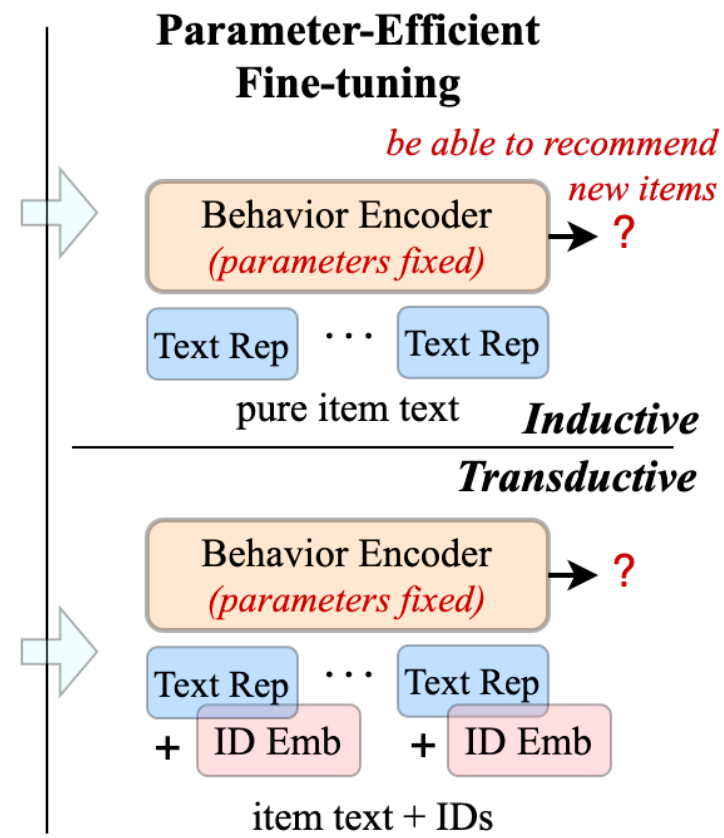
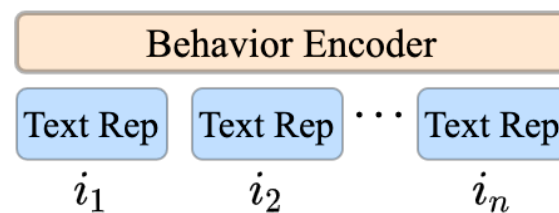


## Sequence model

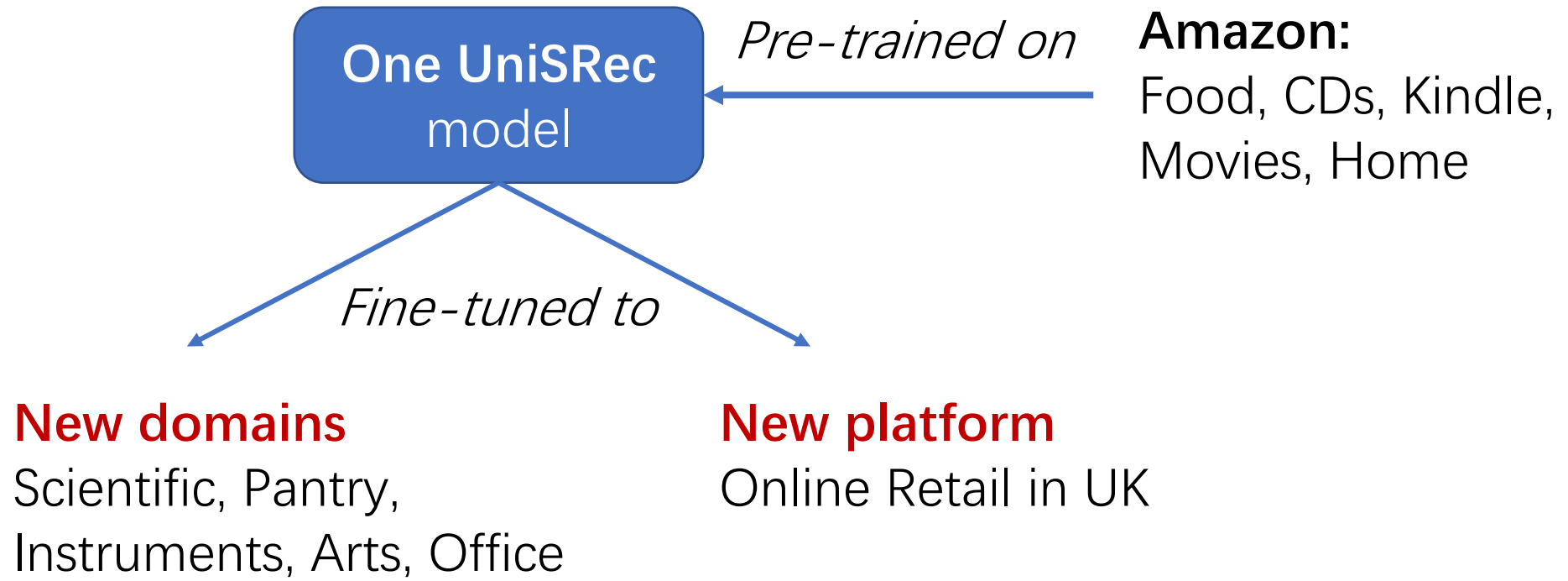
e.g., Transformer encoder

# UniSRec

**Parameters in behavior encoder are fixed**  
while fine-tuning the pre-trained model



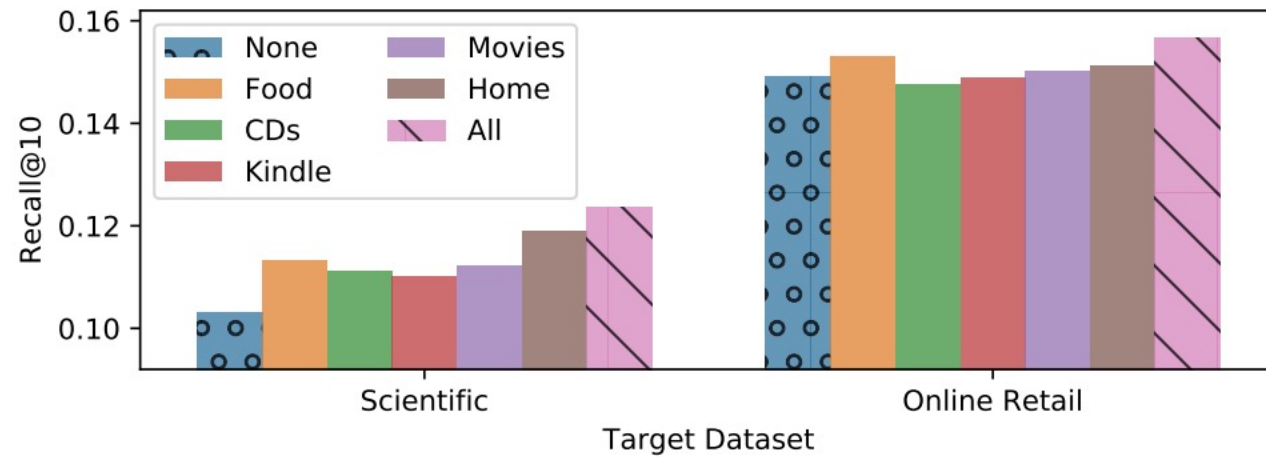
# Experiments – Setting



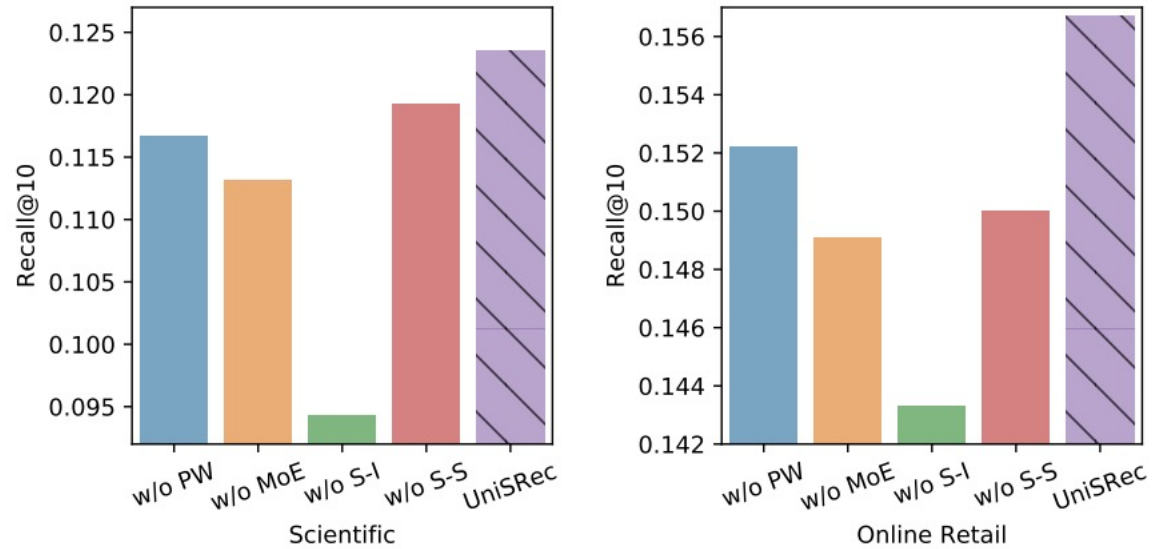
# Experiments – Overall

Scenario	Dataset	Metric	SASRec	BERT4Rec	FDSA	S <sup>3</sup> -Rec	CCDR	RecGURU	ZESRec	UniSRec <sub>t</sub>	UniSRec <sub>t+ID</sub>	Improv.
Cross-Domain	Scientific	Recall@10	0.1080	0.0488	0.0899	0.0525	0.0695	0.1023	0.0851	<u>0.1188</u> *	<b>0.1235</b> *	+14.35%
		NDCG@10	0.0553	0.0243	0.0580	0.0275	0.0340	0.0572	0.0475	<b>0.0641</b> *	<u>0.0634</u> *	+10.52%
		Recall@50	0.2042	0.1185	0.1732	0.1418	0.1647	0.2022	0.1746	<u>0.2394</u> *	<b>0.2473</b> *	+21.11%
		NDCG@50	0.0760	0.0393	0.0759	0.0468	0.0546	0.0786	0.0670	<u>0.0903</u> *	<b>0.0904</b> *	+15.01%
	Pantry	Recall@10	0.0501	0.0308	0.0395	0.0444	0.0408	0.0469	0.0454	<u>0.0636</u> *	<b>0.0693</b> *	+38.32%
		NDCG@10	0.0218	0.0152	0.0209	0.0214	0.0203	0.0209	0.0230	<u>0.0306</u> *	<b>0.0311</b> *	+35.22%
		Recall@50	0.1322	0.1030	0.1151	0.1315	0.1262	0.1269	0.1141	<u>0.1658</u> *	<b>0.1827</b> *	+38.20%
		NDCG@50	0.0394	0.0305	0.0370	0.0400	0.0385	0.0379	0.0378	<u>0.0527</u> *	<b>0.0556</b> *	+39.00%
	Instruments	Recall@10	0.1118	0.0813	0.1070	0.1056	0.0848	0.1113	0.0783	<u>0.1189</u> *	<b>0.1267</b> *	+13.33%
		NDCG@10	0.0612	0.0620	<b>0.0796</b>	0.0713	0.0451	0.0681	0.0497	0.0680	<u>0.0748</u> *	–
		Recall@50	0.2106	0.1454	0.1890	0.1927	0.1753	0.2068	0.1387	<u>0.2255</u> *	<b>0.2387</b> *	+13.34%
		NDCG@50	0.0826	0.0756	<u>0.0972</u>	0.0901	0.0647	0.0887	0.0627	0.0912	<b>0.0991</b> *	+1.95%
	Arts	Recall@10	<u>0.1108</u>	0.0722	0.1002	0.1003	0.0671	0.1084	0.0664	0.1066	<b>0.1239</b> *	+11.82%
		NDCG@10	0.0587	0.0479	<b>0.0714</b>	0.0601	0.0348	0.0651	0.0375	0.0586	<u>0.0712</u>	–
		Recall@50	0.2030	0.1367	0.1779	0.1888	0.1478	0.1979	0.1323	<u>0.2049</u> *	<b>0.2347</b> *	+15.62%
		NDCG@50	0.0788	0.0619	<u>0.0883</u>	0.0793	0.0523	0.0845	0.0518	0.0799	<b>0.0955</b> *	+8.15%
	Office	Recall@10	0.1056	0.0825	0.1118	0.1030	0.0549	<u>0.1145</u>	0.0641	0.1013	<b>0.1280</b> *	+11.79%
		NDCG@10	0.0710	0.0634	<b>0.0868</b>	0.0653	0.0290	0.0768	0.0391	0.0619	<u>0.0831</u>	–
		Recall@50	0.1627	0.1227	0.1665	0.1613	0.1095	<u>0.1757</u>	0.1113	0.1702	<b>0.2016</b> *	+14.74%
		NDCG@50	0.0835	0.0721	<u>0.0987</u>	0.0780	0.0409	0.0901	0.0493	0.0769	<b>0.0991</b>	+0.41%
Cross-Platform	Online Retail	Recall@10	0.1460	0.1349	<u>0.1490</u>	0.1418	0.1347	0.1467	0.1103	0.1449	<b>0.1537</b> *	+3.15%
		NDCG@10	0.0675	0.0653	<u>0.0719</u>	0.0654	0.0620	0.0658	0.0535	0.0677	<b>0.0724</b>	+0.70%
		Recall@50	0.3872	0.3540	0.3802	0.3702	0.3587	<b>0.3885</b>	0.2750	0.3604	<b>0.3885</b>	0.00%
		NDCG@50	0.1201	0.1131	<u>0.1223</u>	0.1154	0.1108	0.1188	0.0896	0.1149	<b>0.1239</b> *	+1.31%

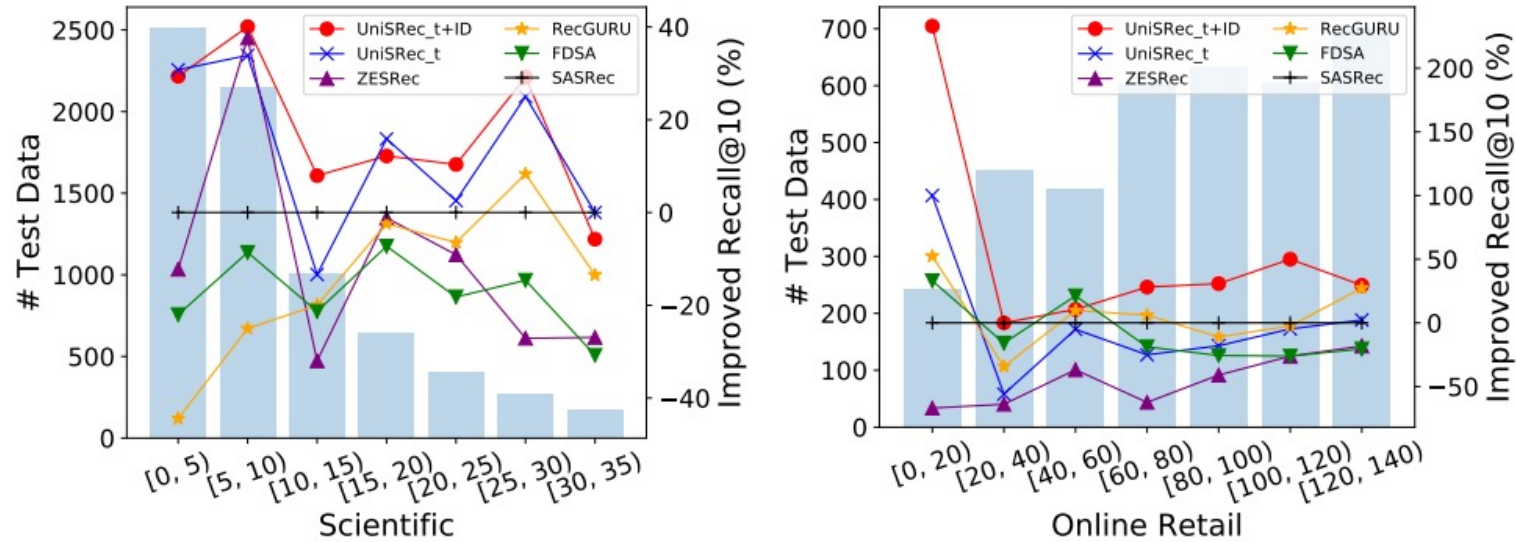
# Experiments – Pre-trained datasets



# Experiments – Ablation study

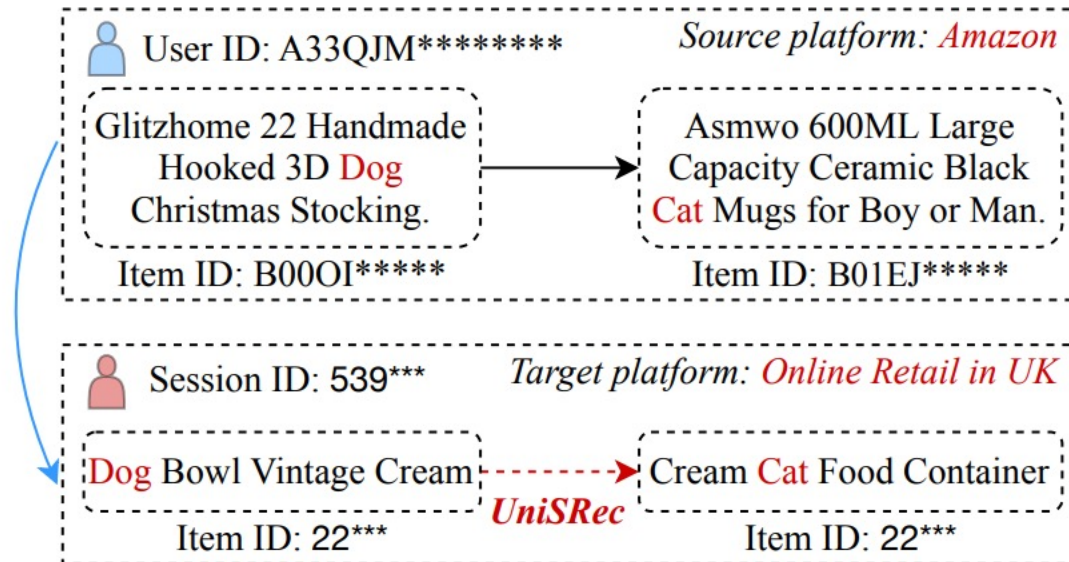


# Experiments – Long-tail items





# Experiments – Case study



# Conclusion & QA

presented by Yupeng Hou, [houyupeng@ruc.edu.cn](mailto:houyupeng@ruc.edu.cn)

<https://github.com/RUCAIBox/UniSRec> 

All implemented by  **RecBole**

## • Issues

### • Cold-start items;



New Item!

### • New domains;

Sequence Model on a  
**Big** Domain

Well-trained ID Sequence Model



$s_1$



$s_2$



$s_3$



$s_4$

Sequence Model on a  
**New/Small** Domain

## • Universal Sequence/Item Representations for RecSys?



Item ID



**Item Text**

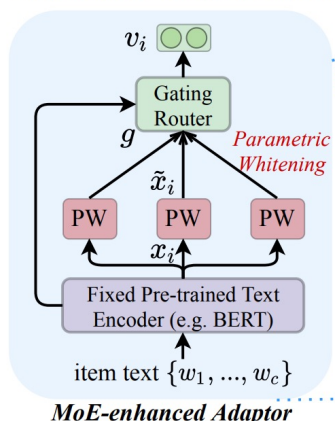
(natural language,  
e.g., Title, Description, Brand, ...)



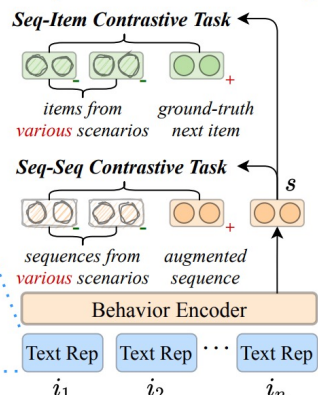
$h_1$   
 $h_2$   
 $h_3$   
 $\vdots$   
 $h_n$

Item Emb  
Sequence Emb

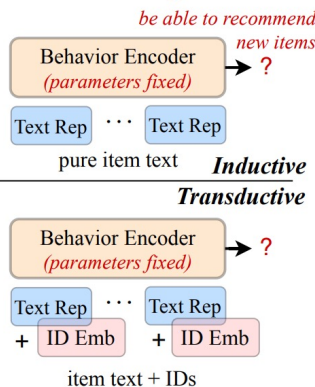
## Universal Item Representation



## Universal Sequence Representation Pre-training



## Parameter-Efficient Fine-tuning



One UniSRec  
model

Pre-trained on

**Amazon:**  
Food, CDs, Kindle,  
Movies, Home

Fine-tuned to

**New domains**  
Scientific, Pantry,  
Instruments, Arts, Office

**New platform**  
Online Retail in UK