Search for squarks and gluinos using final states with jets and missing transverse momentum with the ATLAS detector in $\sqrt{s} = 7$ TeV proton-proton collisions

The ATLAS Collaboration

Abstract

A search for squarks and gluinos in final states containing jets, missing transverse momentum and no electrons or muons is presented. The data were recorded by the ATLAS experiment in $\sqrt{s} = 7$ TeV proton-proton collisions at the Large Hadron Collider. No excess above the Standard Model background expectation was observed in 35 pb⁻¹ of analysed data. Gluino masses below 500 GeV are excluded at the 95% confidence level in simplified models containing only squarks of the first two generations, a gluino octet and a massless neutralino. The exclusion increases to 870 GeV for equal mass squarks and gluinos. In MSUGRA/CMSSM models with $\tan \beta = 3$, $A_0 = 0$ and $\mu > 0$, squarks and gluinos of equal mass are excluded below 775 GeV. These are the most stringent limits to date.

1. Introduction

Many extensions of the Standard Model (SM) include heavy coloured particles, some of which could be accessible at the LHC. The squarks and gluinos of supersymmetric theories [1] are one example of such particles. This letter presents the first ATLAS search for squarks and gluinos in final states containing only jets and large missing transverse momentum. Interest in this final state is motivated by the large number of R-parity conserving models in which squarks and gluinos can be produced in pairs $\{\tilde{g}\tilde{g}, \tilde{q}\tilde{q}, \tilde{q}\tilde{g}\}$ and can generate that final state in their decays $\tilde{q} \to q\tilde{\chi}_1^0$ and $\tilde{g} \to qq\tilde{\chi}_1^0$ to weakly interacting neutralinos, $\tilde{\chi}_1^0$, which escape the detector unseen. The analysis presented here is based on a study of purely hadronic final states; events with reconstructed electrons and muons are vetoed to avoid overlap with a related ATLAS search [2] which requires a lepton. The search strategy was optimised for maximum exclusion in the $(m_{\tilde{g}}, m_{\tilde{q}})$ -plane for a set of simplified models in which all other supersymmetric particles (except for the lightest neutralino) were given masses beyond the reach of the LHC. Though interpreted in terms of supersymmetric models, the main results of this analysis (the data and expected background event counts in the signal regions) are relevant for excluding any model of new physics that predicts jets in association with missing transverse momentum. Currently, the most stringent limits on squark and gluino masses are obtained at the LHC [3] and at the Tevatron [4].

2. The ATLAS Detector and Data Samples

The ATLAS detector [5] is a multipurpose particle physics apparatus with a forward-backward symmetric cylindrical geometry and nearly 4π coverage in solid angle. The layout

of the detector is dominated by four superconducting magnet systems, which comprise a thin solenoid surrounding inner tracking detectors and three large toroids supporting a large muon tracker. The calorimeters are of particular importance to this analysis. In the pseudorapidity region $|\eta| < 3.2$, high-granularity liquid-argon (LAr) electromagnetic (EM) sampling calorimeters are used. An iron-scintillator tile calorimeter provides hadronic coverage over $|\eta| < 1.7$. The end-cap and forward regions, spanning $1.5 < |\eta| < 4.9$, are instrumented with LAr calorimetry for both EM and hadronic measurements.

The data sample used in this analysis was taken in 2010 with the LHC operating at a centre-of-mass energy of 7 TeV. Application of beam, detector and data-quality requirements resulted in a total integrated luminosity of 35 pb⁻¹. The detailed trigger specification varied throughout the data-taking period, partly as a consequence of the rapidly increasing LHC luminosity, but always guarantees a trigger efficiency above 97% for events with a reconstructed jet with transverse momentum (p_T) exceeding 120 GeV and more than 100 GeV of missing p_T .

3. Object Reconstruction

Jet candidates are reconstructed by using the anti- k_t jet clustering algorithm [6] with a distance parameter of 0.4. The inputs to this algorithm are clusters of calorimeter cells seeded by those with energy significantly above the measured noise. Jet momenta are constructed by performing a four-vector sum over these cell clusters, treating each as an (E, \vec{p}) four-vector with zero mass. These jets are corrected for the effects of calorimeter non-compensation and inhomogeneities by using p_T - and η -dependent calibration factors based on Monte Carlo (MC) corrections validated with extensive test-beam and collision-data studies [7]. Only jet candidates with $p_T > 20$ GeV and $|\eta| < 4.9$ are retained hereafter.

Electron candidates are required to have $p_T > 10$ GeV, to have $|\eta| < 2.47$, to pass the 'medium' electron shower shape and track selection criteria of Ref. [8], and to be outside problem-

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¹ ATLAS uses a right-handed coordinate system with its origin at the nominal interaction point in the centre of the detector and the *z*-axis along the beam pipe. Cylindrical coordinates (r, ϕ) are used in the transverse plane, ϕ being the azimuthal angle around the beam pipe. The pseudorapidity η is defined in terms of the polar angle θ by $\eta = -\ln \tan(\theta/2)$.

atic regions of the calorimeter. Muon candidates are required to have $p_{\rm T} > 10$ GeV and $|\eta| < 2.4$. The sum of the transverse momenta of charged particle tracks within a cone of radius $\Delta R = \sqrt{(\Delta \eta)^2 + (\Delta \phi)^2} = 0.2$ around the muon trajectory is required to be less than 1.8 GeV.

Following the steps above, overlaps between candidate jets with $|\eta| < 2.5$ and leptons are resolved using the method of Ref. [9] as follows. First, any such jet candidate lying within a distance $\Delta R < 0.2$ of an electron is discarded. Then the whole event is rejected if any electron candidate remains in the calorimeter transition region $1.37 < |\eta| < 1.52$ between barrel and end-cap. Finally, any lepton candidate remaining within a distance $\Delta R = 0.4$ of such a jet candidate is discarded.

The measurement of the missing transverse momentum twovector $\vec{P}_{\rm T}^{\rm miss}$ (and its magnitude $E_{\rm T}^{\rm miss}$) is then based on the transverse momenta of all remaining jet and lepton candidates and all calorimeter clusters not associated to such objects. Following this, all jet candidates with $|\eta| > 2.5$ are discarded.

Thereafter, the remaining lepton and jet candidates are considered "reconstructed", and the term "candidate" is dropped.

4. Event Selection

Following the object reconstruction described above, events are discarded if any electrons or muons remain, or if they have any jets failing quality selection criteria against detector noise and against non-collision backgrounds [10], or if they lack a reconstructed primary vertex associated with five or more tracks.

In order to achieve maximal reach over the $(m_{\tilde{e}}, m_{\tilde{q}})$ -plane, several signal regions are defined. When production of squark pairs $\tilde{q}\tilde{q}$ is dominant, only a small number of jets (one per squark from $\tilde{q} \to q\tilde{\chi}^0_1$) is expected. The optimal strategy for the $\tilde{q}\tilde{q}$ region therefore makes requirements on two jets only. When production involves gluinos ($\tilde{g}\tilde{g}$ and $\tilde{q}\tilde{g}$), extra jets are expected from $\tilde{g} \to qq\tilde{\chi}_1^0$. In these regions, requiring at least three jets yields better sensitivity. The higher total cross section in the associated $\tilde{q}\tilde{g}$ region where both species are accessible permits the use of tighter criteria than in the $\tilde{g}\tilde{g}$ region. Four signal regions A, B, C and D are thus defined (targeting light- $\tilde{q}\tilde{q}$, heavy- $\tilde{q}\tilde{q}$, $\tilde{g}\tilde{g}$ and $\tilde{g}\tilde{q}$ production, respectively) as shown in Table 1. In this table, $\Delta \phi(\text{jet}, \vec{P}_{\text{T}}^{\text{miss}})_{\text{min}}$ is the smallest of the azimuthal separations between $\vec{P}_{\text{T}}^{\text{miss}}$ and jets with $p_{\text{T}} > 40$ GeV (up to a maximum of three, in descending order of p_T , whether pre-selected or not). The variable m_{T2} [11] is defined to be the maximal lower bound on the mass of a pair produced particle which decays into one of the pre-selected jets and a massless undetected particle, assuming the two undetected particles are the only source of the event $\vec{P}_{\rm T}^{\rm miss}$. The effective mass, $m_{\rm eff}$, is defined as the sum of $E_{\rm T}^{\rm miss}$ and the magnitudes of the transverse momenta of the two highest p_T jets (in signal region A) or three highest p_T jets (in signal regions C and D). The $\tilde{q}\tilde{q}$ channel has two signal regions, A and B, because the m_{T2} distribution has the best expected reach in $m_{\tilde{q}}$, but m_{eff} offers better coverage for lighter squarks.

5. Backgrounds, Simulation and Normalisation

Standard Model background processes contribute to the event counts in the signal regions. The dominant sources are

		A	В	С	D
Pre-selection	Number of required jets	≥ 2	≥ 2	≥ 3	≥ 3
	Leading jet p_T [GeV]	> 120	> 120	> 120	> 120
	Other jet(s) p_T [GeV]	> 40	> 40	> 40	> 40
	$E_{\mathrm{T}}^{\mathrm{miss}}$ [GeV]	> 100	> 100	> 100	> 100
Final selection	$\Delta \phi(\text{jet}, \vec{P}_{\text{T}}^{\text{miss}})_{\text{min}}$	> 0.4	> 0.4	> 0.4	> 0.4
	$E_{\mathrm{T}}^{\mathrm{miss}}/m_{\mathrm{eff}}$	> 0.3	-	> 0.25	> 0.25
	$m_{\rm eff}$ [GeV]	> 500	-	> 500	> 1000
	m_{T2} [GeV]	-	> 300	-	-

Table 1: Criteria for admission to each of the four overlapping signal regions A to D. All variables are defined in §4.

W+jets, Z+jets, top pair, QCD multi-jet (hereafter, the expression "multi-jet" is dropped) and single top production. Noncollision backgrounds are negligible. The majority of the W+jets background is composed of $W \to \tau \nu$ events, or $W \to l\nu$ events in which no electron or muon candidate is reconstructed. The largest part of the Z+jets background comes from the irreducible component in which $Z \to \nu \bar{\nu}$ generates large $E_{\rm T}^{\rm miss}$. Hadronic τ decays in $t\bar{t} \to b\bar{b}\tau\nu qq$ can generate large $E_{\rm T}^{\rm miss}$ and pass the jet and lepton requirements at a non-negligible rate. The QCD background in the signal regions is predominantly caused by poor reconstruction of jet energies in calorimeters leading to 'fake' missing transverse momentum. There is also a contribution from neutrinos when events contain leptonic decays of heavy quarks. Extensive validation of MC against data has been performed for each of these background sources and for a wide variety of control regions. The excellent agreement found motivates an approach in which both the shape and the normalisation of the W+jets, Z+jets and top backgrounds are taken from MC simulation. In contrast, the QCD background is normalised to data in control regions as described below.

Production of W and Z bosons, in association with jets, was simulated with ALPGEN [12] v2.13 at leading order (LO) and up to $2 \rightarrow 5$ partons using CTEQ6L1 PDFs [13]. Both were separately normalised to the next-to-next-to-leading-order inclusive W and Z cross sections from FEWZ [14] v2.0. Both resulting samples were found to be consistent with a variety of data-derived estimates, including methods based on: re-simulation of reconstructed leptons as hadronically decaying taus; removal of leptons from $W(l\nu)$ +jet and Z(ll)+jet events; and by comparing MC predictions to data in control regions enriched with background events.

Production of top quarks (both singly and in pairs, assuming $m_{\rm top} = 172.5$ GeV) was simulated with MC@NLO [15] v3.41 using CTEQ6.6 next-to-leading-order (NLO) PDFs [16]. This estimate was found to be consistent with a data-driven cross-check based on replacement of reconstructed muons in the corresponding single lepton channels with simulated hadronic τ decays. Agreement was also found after reweighting the $t\bar{t}$ MC according to experimentally measured b-tag weights.

Simulated QCD events were generated both with PYTHIA [17] v6.4.21, which uses $2 \rightarrow 2$ LO matrix elements (ME) with the MRST2007 LO* PDF set [18], and with ALPGEN implement-

	Signal region A	Signal region B	Signal region C	Signal region D
QCD	7 ⁺⁸ ₋₇ [u+j]	$0.6^{+0.7}_{-0.6}[u+j]$	9 ⁺¹⁰ ₋₉ [u+j]	$0.2^{+0.4}_{-0.2}[u+j]$
W+jets	$50 \pm 11[u]_{-10}^{+14}[j] \pm 5[\mathcal{L}]$	$4.4 \pm 3.2[u]_{-0.8}^{+1.5}[j] \pm 0.5[\mathcal{L}]$	$35 \pm 9[u]_{-8}^{+10}[j] \pm 4[\mathcal{L}]$	$1.1 \pm 0.7[u]_{-0.3}^{+0.2}[j] \pm 0.1[\mathcal{L}]$
Z+jets	$52 \pm 21[u]_{-11}^{+15}[j] \pm 6[\mathcal{L}]$	$4.1 \pm 2.9[u]_{-0.8}^{+2.1}[j] \pm 0.5[\mathcal{L}]$	$27 \pm 12[u]_{-6}^{+10}[j] \pm 3[\mathcal{L}]$	$0.8 \pm 0.7[u]_{-0.0}^{+0.6}[j] \pm 0.1[\mathcal{L}]$
$t\bar{t}$ and t	$10 \pm 0[u] + {3 \atop -2}[j] \pm 1[\mathcal{L}]$	$0.9 \pm 0.1[u]_{-0.3}^{+0.4}[j] \pm 0.1[\mathcal{L}]$	$17 \pm 1[u]_{-4}^{+6}[j] \pm 2[\mathcal{L}]$	$0.3 \pm 0.1[u]^{+0.2}_{-0.1}[j] \pm 0.0[\mathcal{L}]$
Total SM	$118 \pm 25[u] {}^{+32}_{-23}[j] \pm 12[\mathcal{L}]$	$10.0 \pm 4.3[u]^{+4.0}_{-1.9}[j] \pm 1.0[\mathcal{L}]$	$88 \pm 18[u]_{-18}^{+26}[j] \pm 9[\mathcal{L}]$	2.5 ± 1.0 [u] $^{+1.0}_{-0.4}$ [j] ± 0.2 [\mathcal{L}]
Data	87	11	66	2

Table 2: Expected and observed numbers of events in the four signal regions. Uncertainties shown are due to "MC statistics, statistics in control regions, other sources of uncorrelated systematic uncertainty, and also the jet energy resolution and lepton efficiencies" [u], the jet energy scale [j], and the luminosity [\mathcal{L}].

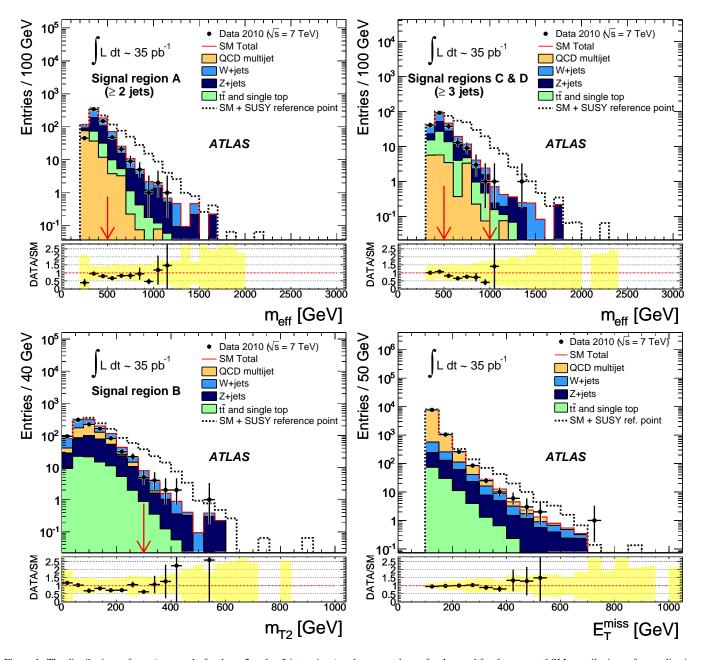


Figure 1: The distributions of $m_{\rm eff}$ (separately for the ≥ 2 and ≥ 3 jet regions) and $m_{\rm T2}$ are shown for data and for the expected SM contributions after application of all selection criteria – cuts on the variables themselves are indicated by the red arrows. Also shown is the $E_{\rm T}^{\rm miss}$ distribution after the ≥ 2 jet preselection cuts only. For comparison, each plot includes a curve showing the expectation for an MSUGRA/CMSSM reference point with $m_0 = 200$ GeV, $m_{1/2} = 190$ GeV, $m_{1/2} = 190$ GeV, $m_{1/2} = 190$ GeV, and $m_{1/2}$

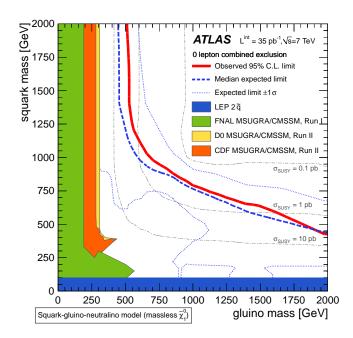


Figure 2: 95% C.L. exclusion limits in the $(m_{\tilde{g}}, m_{\tilde{q}})$ plane together with existing limits [4]. Comparison with existing limits is illustrative only as some are derived in the context of MSUGRA/CMSSM or may not assume $m_{\tilde{\chi}_1^0} = 0$.

ing the exact LO ME for up to $2 \rightarrow 5$ partons. The normalisation of these samples was fixed by a scaling designed to achieve a match to data in control regions obtained by reversing the $\Delta\phi$ requirements. After this scaling, both sets of simulations were in agreement within the experimental uncertainties, and therefore only PYTHIA QCD simulations are used further in this analysis. The resulting QCD simulation was found to be consistent with a data-driven QCD estimate in which high $E_{\rm T}^{\rm miss}$ events were generated from data by smearing low $E_{\rm T}^{\rm miss}$ events on a jet-by-jet basis with measured jet energy resolution functions. This latter technique has no MC dependencies; it provides a completely independent determination of the QCD background using only quantities measured from the data. Additional control regions having reversed $E_{\rm T}^{\rm miss}/m_{\rm eff}$ requirements were used as further checks on the normalisation.

Supersymmetric events were generated with HERWIG++ [19] v2.4.2. These samples were normalised using NLO cross sections determined by PROSPINO [20] v2.1.

All non-PYTHIA samples used HERWIG++ or HERWIG-6.510 [21] to simulate parton showering and fragmentation, while JIMMY [22] v4.31 was used to generate the underlying event. All samples were produced using an ATLAS 'tune' [23] and a full detector simulation [24].

6. Systematic Uncertainties

The primary sources of systematic uncertainties in the background estimates are: the jet energy scale (JES), the jet energy resolution (JER), the luminosity determination, the MC modelling, the lepton efficiencies, the extrapolation from control regions into signal regions, and the finite statistics of the MC samples and control regions. The uncertainty on the luminosity determination is estimated to be 11% [25]. The JES uncertainty has been measured from the complete 2010 data set using the techniques described in Ref. [7] and, though $p_{\rm T}$ and η

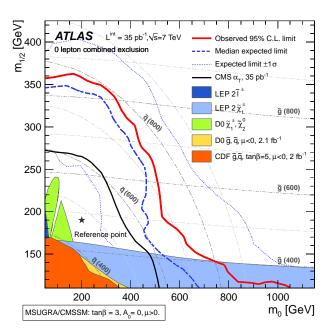


Figure 3: 95% C.L. exclusion limits in the $\tan \beta = 3$, $A_0 = 0$ and $\mu > 0$ slice of MSUGRA/CMSSM, together with existing limits [3, 4] with the different model assumptions given in the legend.

dependent, is around 7%. The JER measured in data [26] was applied to all MC simulated jets and was propagated to $\vec{P}_{\rm T}^{\rm miss}$. The difference between the re-calibrated and nominal MC is taken as the systematic uncertainty due to this effect. The uncertainty on the estimated top background is dominated by the JES uncertainty. Systematic uncertainties associated with misidentification of leptons, jet energy scale inter-calibration, the rate of leptonic *b*-decays and the non-Gaussian tail of the jet response function have also been incorporated where appropriate.

Systematic uncertainties on the SUSY signal were estimated by variation of the factorisation and renormalisation scales in PROSPINO between half and twice their default values and by considering the PDF uncertainties provided by CTEQ6. Uncertainties were calculated for individual production processes (e.g. $\tilde{q}\tilde{q}, \tilde{g}\tilde{g}$, etc.).

7. Results, Interpretation and Limits

The number of observed data events and the number of SM events expected to enter each of the signal regions are shown in Table 2. The background model is found to be in good agreement with the data, and the distributions of $m_{\rm eff}$, $m_{\rm T2}$ and $E_{\rm T}^{\rm miss}$ are shown in Figure 1.

An interpretation of the results is presented in Figure 2 as a 95% confidence exclusion region in the $(m_{\tilde{g}}, m_{\tilde{q}})$ -plane for the simplified set of models with $m_{\tilde{\chi}_1^0} = 0$ for which the analysis was optimised. In these models the gluino mass and the masses of the squarks of the first two generations are set to the values shown in the figure. All other supersymmetric particles, including the squarks of the third generation, are decoupled by being given masses of 5 TeV. ISASUSY from ISAJET [27] v7.80 was used to calculate the decay tables, and to guarantee consistent electroweak symmetry breaking. The SUSY Les Houches Accord files for the models used may be found online [28]. The results are also interpreted in the $\tan \beta = 3$, $A_0 = 0$, $\mu > 0$ slice

of MSUGRA/CMSSM [29] in Figure 3.

These figures also show the variation of the expected limit in response to $\pm 1\sigma$ fluctuations of the SM expectation including the stated systematic uncertainties. The exclusion regions are constructed using a profile likelihood ratio method. Pseudoexperiments are used to compute one-sided upper limits on the signal contribution, which is assumed to be non-negative. At each point in the $(m_{\tilde{g}}, m_{\tilde{g}})$ and CMSSM planes, the chosen test statistic is the likelihood ratio corresponding to the number of observed events in the signal region whose expected sensitivity was largest. Plots showing where each signal region is dominant may be found in [30]. All signal regions contribute to the exclusion and to its boundary in the $(m_{\tilde{g}}, m_{\tilde{q}})$ -plane. Region D is dominant near the CMSSM boundary. In the simplified model, changing the $\tilde{\chi}_1^0$ mass from 0 to 100 GeV reduces the number of selected events by only $\leq 20\%$ near the exclusion curve so only slightly modifies the excluded region in the $(m_{\tilde{e}}, m_{\tilde{a}})$ -plane. In the CMSSM, varying A_0 to 300 GeV, $\tan \beta$ to 30 or μ to $-\mu$ leads to significant (~ 5%) changes, among the strongly interacting particles, only in the stop and sbottom masses. Accordingly, the exclusion limits are not strongly sensitive to these parameters.

8. Summary

This letter reports a search for new physics in final states containing high- $p_{\rm T}$ jets, missing transverse momentum and no electrons or muons. Good agreement is seen between the numbers of events observed in the four signal regions and the numbers of events expected from SM sources. Signal regions A, B, C and D exclude non-SM cross sections within acceptance of 1.3, 0.35, 1.1 and 0.11 pb respectively at 95% confidence.

The results are interpreted in both a simplified model containing only squarks of the first two generations, a gluino octet and a massless neutralino, as well as in MSUGRA/CMSSM models with $\tan \beta = 3$, $A_0 = 0$ and $\mu > 0$. In the simplified model, gluino masses below 500 GeV are excluded at the 95% confidence level with the limit increasing to 870 GeV for equal mass squarks and gluinos. In the MSUGRA/CMSSM models equal mass squarks and gluinos below 775 GeV are excluded.

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The ATLAS Collaboration

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G. Aad<sup>48</sup>, B. Abbott<sup>111</sup>, J. Abdallah<sup>11</sup>, A.A. Abdelalim<sup>49</sup>,
A. Abdesselam<sup>118</sup>, O. Abdinov<sup>10</sup>, B. Abi<sup>112</sup>, M. Abolins<sup>88</sup>,
H. Abramowicz<sup>153</sup>, H. Abreu<sup>115</sup>, E. Acerbi<sup>89a,89b</sup>,
B.S. Acharya<sup>164a,164b</sup>, D.L. Adams<sup>24</sup>, T.N. Addy<sup>56</sup>,
J. Adelman<sup>175</sup>, M. Aderholz<sup>99</sup>, S. Adomeit<sup>98</sup>, P. Adragna<sup>75</sup>,
T. Adye<sup>129</sup>, S. Aefsky<sup>22</sup>, J.A. Aguilar-Saavedra<sup>124b,a</sup>,
M. Aharrouche<sup>81</sup>, S.P. Ahlen<sup>21</sup>, F. Ahles<sup>48</sup>, A. Ahmad<sup>148</sup>,
M. Ahsan<sup>40</sup>, G. Aielli<sup>133a,133b</sup>, T. Akdogan<sup>18a</sup>,
T.P.A. Åkesson<sup>79</sup>, G. Akimoto<sup>155</sup>, A.V. Akimov <sup>94</sup>,
M.S. Alam<sup>1</sup>, M.A. Alam<sup>76</sup>, S. Albrand<sup>55</sup>, M. Aleksa<sup>29</sup>
I.N. Aleksandrov<sup>65</sup>, M. Aleppo<sup>89a,89b</sup>, F. Alessandria<sup>89a</sup>,
C. Alexa<sup>25a</sup>, G. Alexander<sup>153</sup>, G. Alexandre<sup>49</sup>,
T. Alexopoulos<sup>9</sup>, M. Alhroob<sup>20</sup>, M. Aliev<sup>15</sup>, G. Alimonti<sup>89a</sup>,
J. Alison<sup>120</sup>, M. Aliyev<sup>10</sup>, P.P. Allport<sup>73</sup>.
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M. Tylmad<sup>146a,146b</sup>, M. Tyndel<sup>129</sup>, D. Typaldos<sup>17</sup>,
H. Tyrvainen<sup>29</sup>, G. Tzanakos<sup>8</sup>, K. Uchida<sup>20</sup>, I. Ueda<sup>155</sup>,
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L. Vacavant<sup>1-1</sup>, V. Vacek , B. Vachon , G. Vansen , C. Valderanis<sup>99</sup>, J. Valenta<sup>125</sup>, P. Valente<sup>132a</sup>, S. Valentinetti<sup>19a,19b</sup>, S. Valkar<sup>126</sup>, E. Valladolid Gallego<sup>167</sup>, S. Vallecorsa<sup>152</sup>, J.A. Valls Ferrer<sup>167</sup>, H. van der Graaf<sup>105</sup>,
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F. Vannucci<sup>78</sup>, F. Varela Rodriguez<sup>29</sup>, R. Vari<sup>132a</sup>,
E.W. Varnes<sup>6</sup>, D. Varouchas<sup>14</sup>, A. Vartapetian<sup>7</sup>,
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E. Vinek<sup>29</sup>, V.B. Vinogradov<sup>65</sup>, M. Virchaux<sup>136</sup>, S. Viret<sup>33</sup>, J. Virzi<sup>14</sup>, A. Vitale <sup>19a,19b</sup>, O. Vitells<sup>171</sup>, M. Viti<sup>41</sup>,
I. Vivarelli<sup>48</sup>, F. Vives Vaque<sup>11</sup>, S. Vlachos<sup>9</sup>, M. Vlasak<sup>127</sup>,
N. Vlasov<sup>20</sup>, A. Vogel<sup>20</sup>, P. Vokac<sup>127</sup>, M. Volpi<sup>11</sup>,
G. Volpini<sup>89a</sup>, H. von der Schmitt<sup>99</sup>, J. von Loeben<sup>99</sup>,
H. von Radziewski<sup>48</sup>, E. von Toerne<sup>20</sup>, V. Vorobel<sup>126</sup>,
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T.T. Voss<sup>174</sup>, J.H. Vossebeld<sup>73</sup>, A.S. Vovenko<sup>128</sup>, N. Vranjes<sup>12a</sup>,
```

M. Vranjes Milosavljevic^{12a}, V. Vrba¹²⁵, M. Vreeswijk¹⁰⁵, T. Vu Anh⁸¹, R. Vuillermet²⁹, I. Vukotic¹¹⁵, W. Wagner¹⁷⁴, P. Wagner¹²⁰, H. Wahlen¹⁷⁴, J. Wakabayashi¹⁰¹, J. Walbersloh⁴², S. Walch⁸⁷, J. Walder⁷¹, R. Walker⁹⁸, W. Walkowiak¹⁴¹, R. Wall¹⁷⁵, P. Waller⁷³, C. Wang⁴⁴, H. Wang¹⁷², J. Wang¹⁵¹, J. Wang^{32d}, J.C. Wang¹³⁸, R. Wang¹⁰³, S.M. Wang¹⁵¹, A. Warburton⁸⁵, C.P. Ward²⁷, M. Warsinsky⁴⁸, P.M. Watkins¹⁷, A.T. Watson¹⁷, M.F. Watson¹⁷, G. Watts¹³⁸, S. Watts⁸², A.T. Waugh¹⁵⁰, B.M. Waugh⁷⁷, J. Weber⁴², M. Weber¹²⁹, M.S. Weber¹⁶, P. Weber⁵⁴, A.R. Weidberg¹¹⁸, P. Weigell⁹⁹, J. Weingarten⁵⁴, C. Weiser⁴⁸, H. Wellenstein²², P.S. Wells²⁹, M. Wen⁴⁷, T. Wenaus²⁴, S. Wendler¹²³, Z. Weng^{151,q}, T. Wengler²⁹, S. Wenig²⁹, N. Wermes²⁰, M. Werner⁴⁸, P. Werner²⁹, M. Werth¹⁶³, M. Wessels^{58a}, K. Whalen²⁸, S.J. Wheeler-Ellis¹⁶³, S.P. Whitaker²¹, A. White⁷, M.J. White⁸⁶, S. White²⁴, S.R. Whitehead¹¹⁸, D. Whiteson¹⁶³, D. Whittington⁶¹, F. Wicek¹¹⁵, D. Wicke¹⁷⁴, F.J. Wickens¹²⁹, W. Wiedenmann¹⁷², M. Wielers¹²⁹, P. Wienemann²⁰, C. Wiglesworth⁷³, L.A.M. Wiik⁴⁸, P.A. Wijeratne⁷⁷, A. Wildauer¹⁶⁷, M.A. Wildt^{41,o}, I. Wilhelm¹²⁶, H.G. Wilkens²⁹, J.Z. Will⁹⁸, E. Williams³⁴, H.H. Williams¹²⁰, W. Willis³⁴, S. Willocq⁸⁴, J.A. Wilson¹⁷, M.G. Wilson¹⁴³, A. Wilson⁸⁷, I. Wingerter-Seez⁴, S. Winkelmann⁴⁸, F. Winklmeier²⁹, M. Wittgen¹⁴³, M.W. Wolter³⁸, H. Wolters^{124a,g}, G. Wooden¹¹⁸, B.K. Wosiek³⁸, J. Wotschack²⁹, M.J. Woudstra⁸⁴, K. Wraight⁵³, C. Wright⁵³, B. Wrona⁷³, S.L. Wu¹⁷², X. Wu⁴⁹, Y. Wu^{32b}, E. Wulf³⁴, R. Wunstorf⁴², B.M. Wynne⁴⁵, L. Xaplanteris⁹, S. Xella³⁵, S. Xie⁴⁸, Y. Xie^{32a}, C. Xu^{32b}, D. Xu¹³⁹, G. Xu^{32a}, B. Yabsley¹⁵⁰, M. Yamada⁶⁶, A. Yamamoto⁶⁶, K. Yamamoto⁶⁴, S. Yamamoto¹⁵⁵ T. Yamamura¹⁵⁵, J. Yamaoka⁴⁴, T. Yamazaki¹⁵⁵, Y. Yamazaki⁶⁷, Z. Yan²¹, H. Yang⁸⁷, U.K. Yang⁸², Y. Yang⁶¹, Y. Yang^{32a}, Z. Yang^{146a,146b}, S. Yanush⁹¹, W-M. Yao¹⁴, Y. Yasu⁶⁶, J. Ye³⁹, S. Ye²⁴, M. Yilmaz^{3c}, R. Yoosoofmiya¹²³, K. Yorita¹⁷⁰, R. Yoshida⁵, C. Young¹⁴³, C.J. Young¹¹⁸, S. Youssef²¹, D. Yu²⁴, J. Yu⁷, J. Yu^{32c,z}, L. Yuan^{32a,aa}, A. Yurkewicz¹⁴⁸, V.G. Zaets ¹²⁸, R. Zaidan⁶³, A.M. Zaitsev¹²⁸, Z. Zajacova²⁹, Yo.K. Zalite ¹²¹ L. Zanello^{132a,132b}, P. Zarzhitsky³⁹, A. Zaytsev¹⁰⁷, C. Zeitnitz¹⁷⁴, M. Zeller¹⁷⁵, P.F. Zema²⁹, A. Zemla³⁸, C. Zendler²⁰, A.V. Zenin¹²⁸, O. Zenin¹²⁸, T. Ženiš^{144a}, Z. Zenonos^{122a,122b}, S. Zenz¹⁴, D. Zerwas¹¹⁵, G. Zevi della Porta⁵⁷, Z. Zhan^{32d}, D. Zhang^{32b}, H. Zhang⁸⁸ J. Zhang⁵, X. Zhang^{32d}, Z. Zhang¹¹⁵, L. Zhao¹⁰⁸, T. Zhao¹³⁸, Z. Zhao^{32b}, A. Zhemchugov⁶⁵, S. Zheng^{32a}, J. Zhong¹⁵¹, *ab*, B. Zhou⁸⁷, N. Zhou¹⁶³, Y. Zhou¹⁵¹, C.G. Zhu^{32d}, H. Zhu⁴¹, Y. Zhu¹⁷², X. Zhuang⁹⁸, V. Zhuravlov⁹⁹, D. Zieminska⁶¹, B. Zilka^{144a}, R. Zimmermann²⁰, S. Zimmermann²⁰, S. Zimmermann⁴⁸, M. Ziolkowski¹⁴¹, R. Zitoun⁴, L. Živković³⁴, V.V. Zmouchko^{128,*}, G. Zobernig¹⁷², A. Zoccoli^{19a,19b}, Y. Zolnierowski⁴, A. Zsenei²⁹, M. zur Nedden¹⁵, V. Zutshi¹⁰⁶, L. Zwalinski²⁹.

3 (a) Department of Physics, Ankara University, Ankara;
 (b) Department of Physics, Dumlupinar University, Kutahya;
 (c) Department of Physics, Gazi University, Ankara;
 (d) Division of Physics, TOBB University of Economics and Technology, Ankara;
 (e) Turkish Atomic Energy Authority, Ankara, Turkey
 4 LAPP, CNRS/IN2P3 and Université de Savoie, Annecy-le-Vieux, France
 5 High Energy Physics Division, Argonne National

 High Energy Physics Division, Argonne National Laboratory, Argonne IL, United States of America
 Department of Physics, University of Arizona, Tucson AZ, United States of America

Department of Physics, The University of Texas at Arlington, Arlington TX, United States of America

 Physics Department, University of Athens, Athens, Greece
 Physics Department, National Technical University of Athens, Zografou, Greece

¹⁰ Institute of Physics, Azerbaijan Academy of Sciences, Baku, Azerbaijan

¹¹ Institut de Física d'Altes Energies and Universitat Autònoma de Barcelona and ICREA, Barcelona, Spain ¹² ^(a)Institute of Physics, University of Belgrade, Belgrade; ^(b)Vinca Institute of Nuclear Sciences, Belgrade, Serbia

¹³ Department for Physics and Technology, University of Bergen, Bergen, Norway

¹⁴ Physics Division, Lawrence Berkeley National Laboratory and University of California, Berkeley CA, United States of America

¹⁵ Department of Physics, Humboldt University, Berlin, Germany

¹⁶ Albert Einstein Center for Fundamental Physics and Laboratory for High Energy Physics, University of Bern, Bern, Switzerland

¹⁷ School of Physics and Astronomy, University of Birmingham, Birmingham, United Kingdom

18 (a) Department of Physics, Bogazici University, Istanbul;

(b) Division of Physics, Dogus University, Istanbul;

^(c)Department of Physics Engineering, Gaziantep University, Gaziantep; ^(d)Department of Physics, Istanbul Technical University, Istanbul, Turkey

¹⁹ (a) INFN Sezione di Bologna; (b) Dipartimento di Fisica, Università di Bologna, Bologna, Italy

Physikalisches Institut, University of Bonn, Bonn, Germany
 Department of Physics, Boston University, Boston MA,

United States of America

²² Department of Physics, Brandeis University, Waltham MA, United States of America

²³ (a) Universidade Federal do Rio De Janeiro COPPE/EE/IF, Rio de Janeiro; (b) Instituto de Fisica, Universidade de Sao Paulo, Sao Paulo, Brazil

²⁴ Physics Department, Brookhaven National Laboratory, Upton NY, United States of America

²⁵ (a) National Institute of Physics and Nuclear Engineering, Bucharest; (b) University Politehnica Bucharest, Bucharest; (c) West University in Timisoara, Timisoara, Romania

²⁶ Departamento de Física, Universidad de Buenos Aires, Buenos Aires, Argentina

²⁷ Cavendish Laboratory, University of Cambridge,

University at Albany, Albany NY, United States of America
 Department of Physics, University of Alberta, Edmonton
 AB, Canada

Cambridge, United Kingdom

- ²⁸ Department of Physics, Carleton University, Ottawa ON, Canada
- ²⁹ CERN, Geneva, Switzerland
- ³⁰ Enrico Fermi Institute, University of Chicago, Chicago IL, United States of America
- ³¹ (a) Departamento de Fisica, Pontificia Universidad Católica de Chile, Santiago; (b) Departamento de Física, Universidad Técnica Federico Santa María, Valparaíso, Chile
- ³² (a)Institute of High Energy Physics, Chinese Academy of Sciences, Beijing; (b)Department of Modern Physics,

University of Science and Technology of China, Anhui;

- (c) Department of Physics, Nanjing University, Jiangsu; (d) High Energy Physics Group, Shandong University, Shandong, China
- ³³ Laboratoire de Physique Corpusculaire, Clermont Université and Université Blaise Pascal and CNRS/IN2P3, Aubiere Cedex, France
- ³⁴ Nevis Laboratory, Columbia University, Irvington NY, United States of America
- ³⁵ Niels Bohr Institute, University of Copenhagen, Kobenhavn, Denmark
- ³⁶ (a)INFN Gruppo Collegato di Cosenza; (b)Dipartimento di Fisica, Università della Calabria, Arcavata di Rende, Italy ³⁷ Faculty of Physics and Applied Computer Science,

AGH-University of Science and Technology, Krakow, Poland

- ³⁸ The Henryk Niewodniczanski Institute of Nuclear Physics, Polish Academy of Sciences, Krakow, Poland
- ³⁹ Physics Department, Southern Methodist University, Dallas TX, United States of America
- 40 University of Texas at Dallas, Richardson TX, United States of America
- ⁴¹ DESY, Hamburg and Zeuthen, Germany
- ⁴² Institut für Experimentelle Physik IV, Technische Universität Dortmund, Dortmund, Germany
- ⁴³ Institut für Kern- und Teilchenphysik, Technical University Dresden, Dresden, Germany
- ⁴⁴ Department of Physics, Duke University, Durham NC, United States of America
- ⁴⁵ SUPA School of Physics and Astronomy, University of Edinburgh, Edinburgh, United Kingdom
- ⁴⁶ Fachhochschule Wiener Neustadt, Wiener Neustadt, Austria
- ⁴⁷ INFN Laboratori Nazionali di Frascati, Frascati, Italy
- ⁴⁸ Fakultät für Mathematik und Physik,

Albert-Ludwigs-Universität, Freiburg i.Br., Germany

- ⁴⁹ Section de Physique, Université de Genève, Geneva, Switzerland
- ⁵⁰ (a) INFN Sezione di Genova; (b) Dipartimento di Fisica, Università di Genova, Genova, Italy
- ⁵¹ Institute of Physics and HEP Institute, Georgian Academy of Sciences and Tbilisi State University, Tbilisi, Georgia
- ⁵² II Physikalisches Institut, Justus-Liebig-Universität Giessen, Giessen, Germany
- 53 SUPA School of Physics and Astronomy, University of Glasgow, Glasgow, United Kingdom
- ⁵⁴ II Physikalisches Institut, Georg-August-Universität, Göttingen, Germany
- ⁵⁵ Laboratoire de Physique Subatomique et de Cosmologie,

Université Joseph Fourier and CNRS/IN2P3 and Institut National Polytechnique de Grenoble, Grenoble, France ⁵⁶ Department of Physics, Hampton University, Hampton VA,

⁵⁷ Laboratory for Particle Physics and Cosmology, Harvard University, Cambridge MA, United States of America

⁵⁸ (a) Kirchhoff-Institut für Physik, Ruprecht-Karls-Universität Heidelberg, Heidelberg; (b) Physikalisches Institut, Ruprecht-Karls-Universität Heidelberg, Heidelberg; (c) ZITI

Ruprecht-Karls-Universität Heidelberg, Heidelberg; ©ZITI Institut für technische Informatik, Ruprecht-Karls-Universität Heidelberg, Mannheim, Germany

- ⁵⁹ Faculty of Science, Hiroshima University, Hiroshima, Japan ⁶⁰ Faculty of Applied Information Science, Hiroshima Institute of Technology, Hiroshima, Japan
- ⁶¹ Department of Physics, Indiana University, Bloomington IN, United States of America
- ⁶² Institut für Astro- und Teilchenphysik,

United States of America

Leopold-Franzens-Universität, Innsbruck, Austria

- ⁶³ University of Iowa, Iowa City IA, United States of America
- ⁶⁴ Department of Physics and Astronomy, Iowa State University, Ames IA, United States of America
- ⁶⁵ Joint Institute for Nuclear Research, JINR Dubna, Dubna, Russia
- ⁶⁶ KEK, High Energy Accelerator Research Organization, Tsukuba, Japan
- ⁶⁷ Graduate School of Science, Kobe University, Kobe, Japan
- ⁶⁸ Faculty of Science, Kyoto University, Kyoto, Japan
- ⁶⁹ Kyoto University of Education, Kyoto, Japan
- ⁷⁰ Instituto de Física La Plata, Universidad Nacional de La Plata and CONICET, La Plata, Argentina
- 71 Physics Department, Lancaster University, Lancaster, United Kingdom
- ⁷² (a) INFN Sezione di Lecce; (b) Dipartimento di Fisica, Università del Salento, Lecce, Italy
- ⁷³ Oliver Lodge Laboratory, University of Liverpool, Liverpool, United Kingdom
- ⁷⁴ Department of Physics, Jožef Stefan Institute and University of Ljubljana, Ljubljana, Slovenia
- ⁷⁵ Department of Physics, Queen Mary University of London, London, United Kingdom
- ⁷⁶ Department of Physics, Royal Holloway University of London, Surrey, United Kingdom
- ⁷⁷ Department of Physics and Astronomy, University College London, London, United Kingdom
- ⁷⁸ Laboratoire de Physique Nucléaire et de Hautes Energies, UPMC and Université Paris-Diderot and CNRS/IN2P3, Paris, France
- ⁷⁹ Fysiska institutionen, Lunds universitet, Lund, Sweden
- ⁸⁰ Departamento de Fisica Teorica C-15, Universidad Autonoma de Madrid, Madrid, Spain
- 81 Institut für Physik, Universität Mainz, Mainz, Germany
- 82 School of Physics and Astronomy, University of Manchester, Manchester, United Kingdom

Manchester, Manchester, United Kingdom

- ⁸³ CPPM, Aix-Marseille Université and CNRS/IN2P3, Marseille, France
- ⁸⁴ Department of Physics, University of Massachusetts, Amherst MA, United States of America

- 85 Department of Physics, McGill University, Montreal QC, Canada
- 86 School of Physics, University of Melbourne, Victoria, Australia
- ⁸⁷ Department of Physics, The University of Michigan, Ann Arbor MI, United States of America
- ⁸⁸ Department of Physics and Astronomy, Michigan State University, East Lansing MI, United States of America ⁸⁹ (a) INFN Sezione di Milano; (b) Dipartimento di Fisica, Università di Milano, Milano, Italy
- ⁹⁰ B.I. Stepanov Institute of Physics, National Academy of Sciences of Belarus, Minsk, Republic of Belarus
- ⁹¹ National Scientific and Educational Centre for Particle and High Energy Physics, Minsk, Republic of Belarus
- ⁹² Department of Physics, Massachusetts Institute of Technology, Cambridge MA, United States of America
- ⁹³ Group of Particle Physics, University of Montreal, Montreal OC, Canada
- ⁹⁴ P.N. Lebedev Institute of Physics, Academy of Sciences, Moscow, Russia
- ⁹⁵ Institute for Theoretical and Experimental Physics (ITEP), Moscow, Russia
- ⁹⁶ Moscow Engineering and Physics Institute (MEPhI), Moscow, Russia
- ⁹⁷ Skobeltsyn Institute of Nuclear Physics, Lomonosov Moscow State University, Moscow, Russia
- ⁹⁸ Fakultät für Physik, Ludwig-Maximilians-Universität München, München, Germany
- 99 Max-Planck-Institut für Physik
- (Werner-Heisenberg-Institut), München, Germany
- ¹⁰⁰ Nagasaki Institute of Applied Science, Nagasaki, Japan
- ¹⁰¹ Graduate School of Science, Nagoya University, Nagoya, Japan
- ¹⁰² (a) INFN Sezione di Napoli; (b) Dipartimento di Scienze Fisiche, Università di Napoli, Napoli, Italy
- 103 Department of Physics and Astronomy, University of New Mexico, Albuquerque NM, United States of America
 104 Institute for Mathematics, Astrophysics and Particle
- Physics, Radboud University Nijmegen/Nikhef, Nijmegen, Netherlands
- Nikhef National Institute for Subatomic Physics and
 University of Amsterdam, Amsterdam, Netherlands
 Department of Physics, Northern Illinois University,
- DeKalb IL, United States of America ¹⁰⁷ Budker Institute of Nuclear Physics (BINP), Novosibirsk,
- Russia Russia
- ¹⁰⁸ Department of Physics, New York University, New York NY, United States of America
- ¹⁰⁹ Ohio State University, Columbus OH, United States of America
- Faculty of Science, Okayama University, Okayama, JapanHomer L. Dodge Department of Physics and Astronomy,
- University of Oklahoma, Norman OK, United States of America

 112 Department of Physics, Oklahoma State University,
- 112 Department of Physics, Oklahoma State University, Stillwater OK, United States of America
- ¹¹³ Palacký University, RCPTM, Olomouc, Czech Republic

- ¹¹⁴ Center for High Energy Physics, University of Oregon, Eugene OR, United States of America
- LAL, Univ. Paris-Sud and CNRS/IN2P3, Orsay, FranceGraduate School of Science, Osaka University, Osaka,Japan
- ¹¹⁷ Department of Physics, University of Oslo, Oslo, Norway¹¹⁸ Department of Physics, Oxford University, Oxford, United Kingdom
- 119 (a) INFN Sezione di Pavia; (b) Dipartimento di Fisica Nucleare e Teorica, Università di Pavia, Pavia, Italy
 120 Department of Physics, University of Pennsylvania, Philadelphia PA, United States of America
- Petersburg Nuclear Physics Institute, Gatchina, Russia
 (a) INFN Sezione di Pisa;
 (b) Dipartimento di Fisica E.
 Fermi, Università di Pisa, Pisa, Italy
- 123 Department of Physics and Astronomy, University of Pittsburgh, Pittsburgh PA, United States of America
 124 (a) Laboratorio de Instrumentacao e Fisica Experimental de Particulas LIP, Lisboa, Portugal; (b) Departamento de Fisica Teorica y del Cosmos and CAFPE, Universidad de Granada, Granada, Spain
- ¹²⁵ Institute of Physics, Academy of Sciences of the Czech Republic, Praha, Czech Republic
- ¹²⁶ Faculty of Mathematics and Physics, Charles University in Prague, Praha, Czech Republic
- 127 Czech Technical University in Prague, Praha, Czech Republic
- ¹²⁸ State Research Center Institute for High Energy Physics, Protvino, Russia
- ¹²⁹ Particle Physics Department, Rutherford Appleton Laboratory, Didcot, United Kingdom
- ¹³⁰ Physics Department, University of Regina, Regina SK, Canada
- ¹³¹ Ritsumeikan University, Kusatsu, Shiga, Japan
 ¹³² (a) INFN Sezione di Roma I; (b) Dipartimento di Fisica,
 Università La Sapienza, Roma, Italy
- 133 (a) INFN Sezione di Roma Tor Vergata; (b) Dipartimento di Fisica, Università di Roma Tor Vergata, Roma, Italy
 134 (a) INFN Sezione di Roma Tre; (b) Dipartimento di Fisica, Università Roma Tre, Roma, Italy
- 135 (a) Faculté des Sciences Ain Chock, Réseau Universitaire de Physique des Hautes Energies Université Hassan II,
 Casablanca; (b) Centre National de l'Energie des Sciences
 Techniques Nucleaires, Rabat; (c) Faculté des Sciences,
- Université Mohamed Premier and LPTPM, Oujda; (d) Faculté des Sciences, Université Mohamed V, Rabat, Morocco 136 DSM/IRFU (Institut de Recherches sur les Lois
- Fondamentales de l'Univers), CEA Saclay (Commissariat a l'Energie Atomique), Gif-sur-Yvette, France
- ¹³⁷ Santa Cruz Institute for Particle Physics, University of California Santa Cruz, Santa Cruz CA, United States of America
- ¹³⁸ Department of Physics, University of Washington, Seattle WA, United States of America
- ¹³⁹ Department of Physics and Astronomy, University of Sheffield, Sheffield, United Kingdom
- ¹⁴⁰ Department of Physics, Shinshu University, Nagano, Japan

- ¹⁴¹ Fachbereich Physik, Universität Siegen, Siegen, Germany
 ¹⁴² Department of Physics, Simon Fraser University, Burnaby
 BC, Canada
- ¹⁴³ SLAC National Accelerator Laboratory, Stanford CA, United States of America
- ¹⁴⁴ (a) Faculty of Mathematics, Physics & Informatics,
 Comenius University, Bratislava; (b) Department of Subnuclear Physics, Institute of Experimental Physics of the Slovak Academy of Sciences, Kosice, Slovak Republic
 ¹⁴⁵ (a) Department of Physics, University of Johannesburg,
- Johannesburg; (b) School of Physics, University of the Witwatersrand, Johannesburg, South Africa
- ¹⁴⁶ (a) Department of Physics, Stockholm University; (b) The Oskar Klein Centre, Stockholm, Sweden
- ¹⁴⁷ Physics Department, Royal Institute of Technology, Stockholm, Sweden
- Department of Physics and Astronomy, Stony Brook
 University, Stony Brook NY, United States of America
 Department of Physics and Astronomy, University of
- Department of Physics and Astronomy, University of Sussex, Brighton, United Kingdom
- ¹⁵⁰ School of Physics, University of Sydney, Sydney, Australia
- ¹⁵¹ Institute of Physics, Academia Sinica, Taipei, Taiwan
- ¹⁵² Department of Physics, Technion: Israel Inst. of Technology, Haifa, Israel
- ¹⁵³ Raymond and Beverly Sackler School of Physics and Astronomy, Tel Aviv University, Tel Aviv, Israel
- ¹⁵⁴ Department of Physics, Aristotle University of Thessaloniki, Thessaloniki, Greece
- 155 International Center for Elementary Particle Physics and
 Department of Physics, The University of Tokyo, Tokyo, Japan
 156 Graduate School of Science and Technology, Tokyo
- Metropolitan University, Tokyo, Japan
 ¹⁵⁷ Department of Physics, Tokyo Institute of Technology, Tokyo, Japan
- ¹⁵⁸ Department of Physics, University of Toronto, Toronto ON, Canada
- ¹⁵⁹ (a) TRIUMF, Vancouver BC; (b) Department of Physics and Astronomy, York University, Toronto ON, Canada
- ¹⁶⁰ Institute of Pure and Applied Sciences, University of Tsukuba, Ibaraki, Japan
- ¹⁶¹ Science and Technology Center, Tufts University, Medford MA, United States of America
- ¹⁶² Centro de Investigaciones, Universidad Antonio Narino, Bogota, Colombia
- ¹⁶³ Department of Physics and Astronomy, University of California Irvine, Irvine CA, United States of America ¹⁶⁴ (*a*) INFN Gruppo Collegato di Udine; (*b*) ICTP, Trieste;
- (c) Dipartimento di Fisica, Università di Udine, Udine, Italy
- ¹⁶⁵ Department of Physics, University of Illinois, Urbana IL, United States of America
- ¹⁶⁶ Department of Physics and Astronomy, University of Uppsala, Uppsala, Sweden
- ¹⁶⁷ Instituto de Física Corpuscular (IFIC) and Departamento de Física Atómica, Molecular y Nuclear and Departamento de Ingenierá Electrónica and Instituto de Microelectrónica de Barcelona (IMB-CNM), University of Valencia and CSIC, Valencia, Spain

- ¹⁶⁸ Department of Physics, University of British Columbia, Vancouver BC, Canada
- ¹⁶⁹ Department of Physics and Astronomy, University of Victoria, Victoria BC, Canada
- ¹⁷⁰ Waseda University, Tokyo, Japan
- ¹⁷¹ Department of Particle Physics, The Weizmann Institute of Science, Rehovot, Israel
- ¹⁷² Department of Physics, University of Wisconsin, Madison WI, United States of America
- ¹⁷³ Fakultät für Physik und Astronomie,
- Julius-Maximilians-Universität, Würzburg, Germany
- ¹⁷⁴ Fachbereich C Physik, Bergische Universität Wuppertal, Wuppertal, Germany
- ¹⁷⁵ Department of Physics, Yale University, New Haven CT, United States of America
- ¹⁷⁶ Yerevan Physics Institute, Yerevan, Armenia
- ¹⁷⁷ Domaine scientifique de la Doua, Centre de Calcul CNRS/IN2P3, Villeurbanne Cedex, France
- ^a Also at Laboratorio de Instrumentacao e Fisica Experimental de Particulas LIP, Lisboa, Portugal
- b Also at Faculdade de Ciencias and CFNUL, Universidade de Lisboa, Lisboa, Portugal
- ^c Also at CPPM, Aix-Marseille Universite and CNRS/IN2P3, Marseille, France
- ^d Also at TRIUMF, Vancouver BC, Canada
- ^e Also at Department of Physics, California State University, Fresno CA, United States of America
- Also at Faculty of Physics and Applied Computer Science,
 AGH-University of Science and Technology, Krakow, Poland
 Also at Department of Physics, University of Coimbra,
 Coimbra, Portugal
- ^h Also at Università di Napoli Parthenope, Napoli, Italy
- ⁱ Also at Institute of Particle Physics (IPP), Canada
- ^j Also at Louisiana Tech University, Ruston LA, United States of America
- ^k Also at California Institute of Technology, Pasadena CA, United States of America
- Also at Louisiana Tech University, Ruston LA, Unites States of America
- ^m Also at Group of Particle Physics, University of Montreal, Montreal QC, Canada
- ⁿ Also at Institute of Physics, Azerbaijan Academy of Sciences, Baku, Azerbaijan
- o Also at Institut für Experimentalphysik, Universität Hamburg, Hamburg, Germany
- P Also at Manhattan College, New York NY, United States of America
- $^{\it q}$ Also at School of Physics and Engineering, Sun Yat-sen University, Guangzhou, China
- ^r Also at Academia Sinica Grid Computing, Institute of Physics, Academia Sinica, Taipei, Taiwan
- ^s Also at High Energy Physics Group, Shandong University, Shandong, China
- ^t Also at Particle Physics Department, Rutherford Appleton Laboratory, Didcot, United Kingdom
- ^u Also at Departamento de Fisica, Universidade de Minho, Braga, Portugal

- $^{\nu}$ Also at Department of Physics and Astronomy, University of South Carolina, Columbia SC, United States of America
- ^w Also at KFKI Research Institute for Particle and Nuclear Physics, Budapest, Hungary
- ^x Also at Institute of Physics, Jagiellonian University, Kracow, Poland
- y Also at Department of Physics, Oxford University, Oxford, United Kingdom
- ^z Also at DSM/IRFU, CEA Saclay, Gif-sur-Yvette, France
- aa Also at Laboratoire de Physique Nuclaire et de HautesEnergies, UPMC and Universit Paris-Diderot andCNRS/IN2P3, Paris, France
- ab Also at Department of Physics, Nanjing University, Jiangsu, China
- * Deceased