

ECS 122A: Algorithm Design and Analysis

Week 8 Discussion

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Fall 2020

Logistics

- ▶ Quiz 4 will be up this week.
- ▶ Regrades must be submitted on Gradescope within two lectures of the day the work was first returned to the whole class.
- ▶ No discussion next week, but TAs' office hours remain as usual up to and including Wednesday.

Outline

- ▶ Divide and Conquer VS Dynamic Programming
- ▶ Variant of LCS: Edit Distance¹
- ▶ Graph Basics: Appendix *B* and Section 22.1

¹by courtesy of Professor Z. Bai

Divide and Conquer VS Dynamic Programming

Dynamic Programming

- ▶ Subproblems usually **overlap**
- ▶ Use a lookup table and backtrace this table (memoization) in a bottom-up (iteration) manner

Divide and Conquer

- ▶ Subproblems are **disjoint**, mostly smaller instances of the **same** type
- ▶ Solve the subproblems recursively in a top-down (recursion) fashion

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A “Recipe” for Dynamic Programming

1. Characterize the structure of an optimal solution, and recursively define the value of an optimal solution. In other word, come up with a **formula**
2. Compute the value of an optimal solution in a **bottom-up** fashion, and make use of the computed information (**memoization**)

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- ▶ How to express $e(i, j)$ in terms of its subproblems, *recursively*?
- ▶ **key observation**: the rightmost column of an alignment of $x[1 \cdots i]$ and $y[1 \cdots j]$ can only be one of the following three cases:

Case 1

$x[i]$

—

or

Case 2

—

$y[j]$

or

Case 3

$x[i]$

$y[j]$

Edit distance

- By the above key observation, then

$$e(i, j) = \min \left\{ \underbrace{1 + e(i-1, j)}_{\text{case 1}}, \underbrace{1 + e(i, j-1)}_{\text{case 2}}, \underbrace{\text{diff}(i, j) + e(i-1, j-1)}_{\text{case 3}} \right\}$$

where

$$\text{diff}(i, j) = \begin{cases} 0 & \text{if } x[i] = y[j] \\ 1 & \text{if } x[i] \neq y[j] \end{cases}$$

- **Question:** how to find the corresponding optimal alignment?

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	0	1	2	3	4	5
s	1	0	1	2	3	4
n	2	1	1	1	2	3
o	3	2	2	2	2	3
w	4	3	3	3	3	3
y	5	4	4	4	4	3

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w	4	3	3	3	3	3
y	5	4	4	4	4	3

Therefore, the edit distance between x and $y = e(5, 5) = 3$.

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Example 2. $x = \text{'heroically'}$, $y = \text{'scholarly'}$

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		s	c	h	o	l	a	r	l	y
	0	1	2	3	4	5	6	7	8	9
h	1	1	2	2	3	4	5	6	7	8
e	2	2	2	3	3	4	5	6	7	8
r	3	3	3	3	4	4	5	5	6	7
o	4	4	4	4	3	4	5	6	6	7
i	5	5	5	5	4	4	5	6	7	7
c	6	6	5	6	5	5	5	6	7	8
a	7	7	6	6	6	6	5	6	7	8
l	8	8	7	7	7	6	6	6	6	7
l	9	9	8	8	8	7	7	7	6	7
y	10	10	9	9	9	8	8	8	7	6

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o	4	4	4	4	3	4	5	6	6	7
i	5	5	5	5	4	4	5	6	7	7
c	6	6	5	6	5	5	5	6	7	8
a	7	7	6	6	6	6	5	6	7	8
l	8	8	7	7	7	6	6	6	6	7
l	9	9	8	8	8	7	7	7	6	7
y	10	10	9	9	9	8	8	8	7	6

Therefore, the edit distance between x and $y = e(10, 9) = 6$

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o	4	4	4	4	3	4	5	6	6	7
i	5	5	5	5	4	4	5	6	7	7
c	6	6	5	6	5	5	5	6	7	8
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Note: $LCS(x, y) = 5$

Edit Distance: Suboptimality Proof

Given that O is optimal for $e(x_m, y_n)$.

Proof.

Assume that O' is not an optimal solution to $e(x_{m-1}, y_n)$.

$\implies \exists$ an optimal solution A to $e(x_{m-1}, y_n)$ such that $|A| < |O'|$.

$\implies |A| + 1 < |O'| + 1$

$\implies |A| + 1 < |O|$

This contradicts with the fact that O is optimal.

$\implies O'$ is optimal for aligning x_{m-1} and y_n . □

Graph Basics: Notation

Undirected Graph and Directed Graph

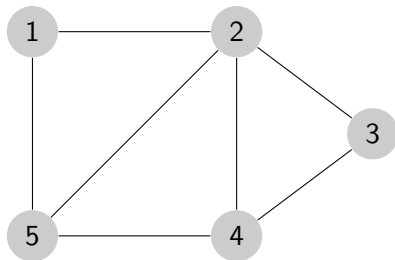


Figure: An undirected graph G_1 with 5 vertices and 7 edges

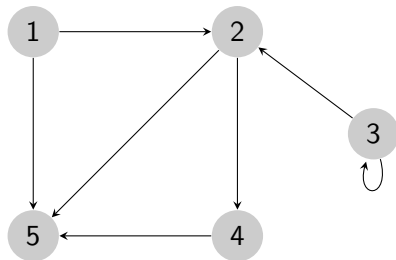


Figure: A directed graph G_2 with 5 vertices and 7 edges.

Self-loops—edges from a vertex to itself—are possible in a directed graph, but are forbidden in an undirected graph.

Graph Basics: Notation

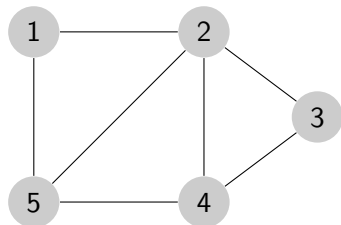


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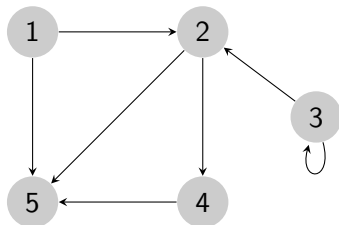


Figure: A directed graph G_2 with 5 vertices and 7 edges.

1. If (u, v) is an edge in an undirected graph G , we say that (u, v) is **incident** on vertices u and v .
2. If (u, v) is an edge in a directed graph G , we say that (u, v) is incident from or leaves vertex u and is incident to or enters vertex v .

Graph Basics: Notation

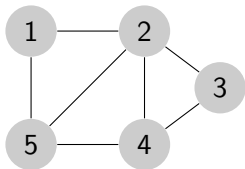


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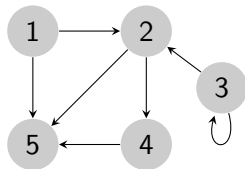


Figure: A directed graph G_2 with 5 vertices and 7 edges.

1. The **degree** of a vertex in an undirected graph is the number of edges incident on it. $\sum_{u \in V} \text{degree}(u) = 2|E|$.
2. In a directed graph, the **out-degree** of a vertex is the number of edges leaving it, and the **in-degree** of a vertex is the number of edges entering it. The degree of a vertex in a directed graph is its in-degree plus its out-degree. The whole graph has $\sum_{u \in V} \text{out-degree}(u) = \sum_{u \in V} \text{in-degree}(u) = |E|$.
3. A vertex whose degree is 0 is **isolated**.

Graph Basics: Notation

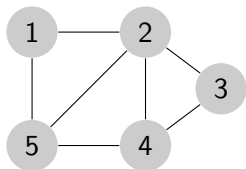


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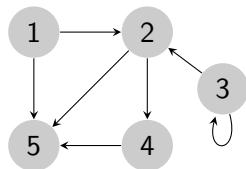


Figure: A directed graph G_2 with 5 vertices and 7 edges.

1. A **path** of length k from a vertex u to a vertex u' in a graph G is a sequence $\langle v_0, v_1, \dots, v_k \rangle$ of vertices such that $u = v_0$, $u' = v_k$, and $(v_i, v_{i+1}) \in E$ for $i = 1, 2, \dots, k - 1$.
2. If there is a path p from u to u' , we say that u' is **reachable** from u via p .
3. In a directed graph, a path $\langle v_0, v_1, \dots, v_k \rangle$ forms a **cycle** if $v_0 = v_k$ and the path contains at least one edge. Any graph with no cycles is acyclic. If the graph is directed, we call it dag ("directed acyclic graph").

Graph Basics: Representation

Adjacency List and Adjacency Matrix

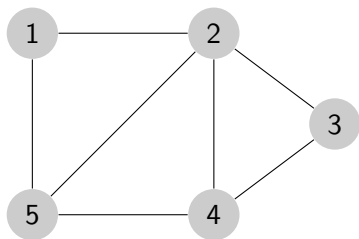


Figure: Graph G_1

Graph Basics: Representation

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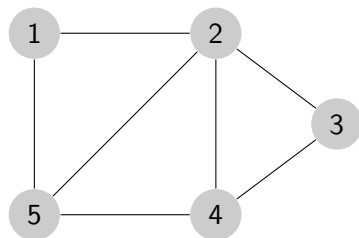
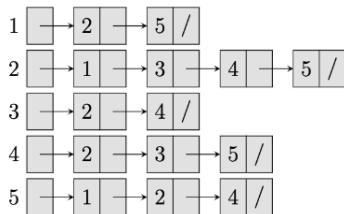


Figure: Graph G_1



	1	2	3	4	5
1	0	1	0	0	1
2	1	0	1	1	1
3	0	1	0	1	0
4	0	1	1	0	1
5	1	1	0	1	0

Figure: Adjacency list and adjacency matrix representation of G_1

Graph Basics: Representation

How about G_2 ? It's your turn now!

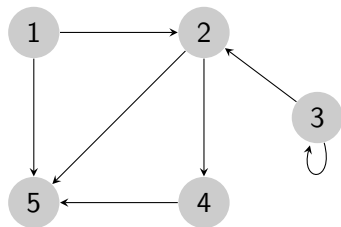


Figure: Graph G_2

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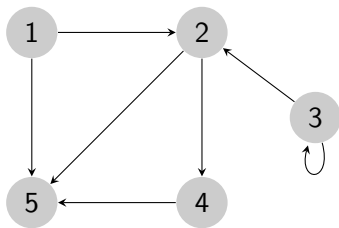


Figure: Graph G_2

1. Adjacency list is of size $\Theta(|V| + |E|)$ while adjacency matrix needs $|V| \times |V|$ space.
2. If G is undirected, its adjacency matrix A is **symmetric**. Namely, $A^T = A$. Further, the main diagonal entries of A are all zeros.