

# CSC373 Worksheet 3 Solution

July 30, 2020

1. Using the following formula

$$M[i, j] = \begin{cases} 0 & \text{if } i = j \\ \min_{i \leq k \leq j} M[i, k] + M[k + 1, j] + p_{i-1}p_kp_j & \text{if } i < j \end{cases} \quad (1)$$

we have

C	1	2	3	4	5	6
1	0	350	770	612	1212	1422
2	x	0	840	462	1662	1362
3	x	x	0	252	1092	1098
4	x	x	x	0	1440	936
5	x	x	x	x	0	720
6	x	x	x	x	x	0

And an optimal parenthesization is

**My Work:**

$(A_1A_2)(A_3A_4)(A_5A_6)$

**Correct Solution:**

Using the following formula

$$M[i, j] = \begin{cases} 0 & \text{if } i = j \\ \min_{i \leq k \leq j} M[i, k] + M[k + 1, j] + p_{i-1}p_kp_j & \text{if } i < j \end{cases} \quad (2)$$

we have

m (i/j)	1	2	3	4	5	6
1	0	350	770	612	1212	1422
2	x	0	840	462	1662	1362
3	x	x	0	252	1092	1098
4	x	x	x	0	1440	936
5	x	x	x	x	0	720
6	x	x	x	x	x	0

s (i/j)	1	2	3	4	5	6
1	0	1	2	1	4	4
2	x	0	2	2	4	4
3	x	x	0	3	4	4
4	x	x	x	0	4	4
5	x	x	x	x	0	5
5	x	x	x	x	x	0

And an optimal parenthesization is

**My Work:**

$(A_1(A_2(A_3A_4)))(A_5A_6)$

### Notes:

- Sequence of Dimensions

The sequence of dimensions  $\langle p_0 = 5, p_1 = 10, p_2 = 3, p_3 = 12, p_4 = 5, p_5 = 50, p_6 = 6 \rangle$  means there are 6 matrices with dimensions  $p_{i-1} \times p_i$

- $A_1 \rightarrow 5 \times 10$
- $A_2 \rightarrow 10 \times 3$
- $A_3 \rightarrow 3 \times 12$
- $A_4 \rightarrow 12 \times 5$
- $A_5 \rightarrow 5 \times 50$
- $A_6 \rightarrow 50 \times 6$

- Dynamic Programming

- Is applied to optimization problems
- Applies when the subproblems overlap
- Uses the following sequence of steps
  1. Characterize the structure of an optimal solution

2. Recursively define the value of an optimal solution
  3. Construct an optimal solution from computed information
- Matrix-chain Multiplication
    - Is an optimization problem solved using dynamic programming
    - Goal is to find matrix parenthesis with fewest number of operations

### Example:

Given chain of matrices  $\langle A, B, C \rangle$ , it's fully parenthesized product is:

- \*  $(AB)C$  needs  $(10 \times 30 \times 5) + (10 \times 5 \times 60) = 1500 + 3000 = 4500$  operations
- \*  $A(BC)$  needs  $(30 \times 5 \times 60) + (10 \times 30 \times 60) = 27000$  operations

Thus,  $(AB)C$  performs more efficiently than  $A(BC)$ .

- Is stated as: given a chain  $\langle A_1, A_2, \dots, A_n \rangle$  of  $n$  matrices, where for  $i = 1, 2, \dots, n$  matrix  $A_i$  has dimension  $p_{i-1} \times p_i$ , fully parenthesize the product  $A_1 A_2 \dots A_n$  in a way that minimizes the number of scalar multiplications.
- Steps

#### 1. Check is the problem has Optimal Substructure

Let us adopt the notation  $A_{i\dots j}$  where  $i \leq j$ , for the matrix that results from evaluating the product  $A_i A_{i+1} \dots A_j$ .

Assume the solution has the following parentheses:

$$(A_{i\dots k})(A_{k+1\dots j})$$

If there is a better way to multiply  $(A_{i\dots k})$ , then we would have a more optimal solution.

This would be a contradiction, as we already stated that we have the optimal solution for  $A_{i\dots j}$ .

Therefore, this problem has optimal substructure.

#### 2. Find the Recursive Solution

Let  $M[i, j]$  be the cost of multiplying matrices from  $A_i$  to  $A_j$

We want to find out at which ' $k$ ' returns the fewest number of multiplications, or the minimum number of  $M$ .

The recursive formula for the cost of multiplying from  $A_i$  to  $A_j$  is

$$M[i, j] = \begin{cases} 0 & \text{if } i = j \\ \min_{i \leq k \leq j} M[i, k] + M[k + 1, j] + p_{i-1}p_kp_j & \text{if } i < j \end{cases} \quad (3)$$

### 3. Computing the Estimated Cost

\* Steps

- 1) Fill the table for  $i = j$
- 2) Fill the table for  $i < j$  with a spread of 1
- 3) Repeat 2 with the increased value of spread

#### Example:

Given

$\langle A_1, A_2, A_3, A_4, A_5 \rangle$

where

- \*  $A_1 \rightarrow 4 \times 10$
- \*  $A_2 \rightarrow 10 \times 3$
- \*  $A_3 \rightarrow 3 \times 12$
- \*  $A_4 \rightarrow 12 \times 20$
- \*  $A_5 \rightarrow 20 \times 7$

we have:

- 1) Fill the table for  $i = j$

1)  $i = j$

$i \backslash j$	1	2	3	4	5
1	0				
2	x	0			
3	x	x	0		
4	x	x	x	0	
5	x	x	x	x	0

$$M[i, j] = \begin{cases} 0 & \text{if } i = j \\ \min_{i \leq k \leq j} M[i, k] + M[k + 1, j] + p_{i-1}p_kp_j & \text{if } i < j \end{cases}$$

- 2) Fill the table for  $i < j$  with a spread of 1

2)  $(i = 1, j = 2), (i = 2, j = 3), (i = 3, j = 4), (i = 4, j = 5)$

i \ j	1	2	3	4	5
1	0	120			
2	x	0	360		
3	x	x	0	720	
4	x	x	x	0	1680
5	x	x	x	x	0

since

\*  $i = 1, j = 2$

$$M[1, 2] = \min_{1 \leq k \leq 2} (M[1, 1] + M[1, 2] + p_{i-1}p_kp_j) \quad (4)$$

$$= \min_{1 \leq k \leq 2} (0 + 0 + p_0p_1p_2) \quad (5)$$

$$= \min_{1 \leq k \leq 2} (0 + 0 + 4 \cdot 10 \cdot 3) \quad (6)$$

$$= 120 \quad (7)$$

where  $p_0 = 3$  is from the dimension  $3 \times 10$  of  $A_1$ ,  $p_k = 10$  is from the dimension of  $3 \times 10$  of  $A_1$ .

\*  $i = 2, j = 3$

$$M[2, 3] = \min_{2 \leq k \leq 3} (M[2, 2] + M[3, 3] + p_{i-1}p_kp_j) \quad (8)$$

$$= \min_{2 \leq k \leq 3} (0 + 0 + p_1p_2p_3) \quad (9)$$

$$= \min_{2 \leq k \leq 3} (0 + 0 + 10 \cdot 3 \cdot 12) \quad (10)$$

$$= 360 \quad (11)$$

\*  $i = 3, j = 4$

$$M[3, 4] = \min_{3 \leq k \leq 4} (M[3, 3] + M[4, 4] + p_{i-1}p_kp_j) \quad (12)$$

$$= \min_{3 \leq k \leq 4} (0 + 0 + p_2p_3p_4) \quad (13)$$

$$= \min_{3 \leq k \leq 4} (0 + 0 + 3 \cdot 12 \cdot 20) \quad (14)$$

$$= 720 \quad (15)$$

\*  $i = 4, j = 5$

$$M[4, 5] = \min_{4 \leq k \leq 5} (M[4, 4] + M[5, 5] + p_{i-1}p_kp_j) \quad (16)$$

$$= \min_{4 \leq k \leq 5} (0 + 0 + p_3p_4p_5) \quad (17)$$

$$= \min_{4 \leq k \leq 5} (0 + 0 + 12 \cdot 20 \cdot 7) \quad (18)$$

$$= 1680 \quad (19)$$

3) Repeat 2 with the increased value of spread

2)  $(i = 1, j = 2), (i = 2, j = 3), (i = 3, j = 4), (i = 4, j = 5)$

i \ j	1	2	3	4	5
1	0	120	264	1080	1344
2	x	0	360	1320	1350
3	x	x	0	720	1140
4	x	x	x	0	1680
5	x	x	x	x	0

\*  $i = 1, j = 3$

$k = 1$

$$M[1, 3] = M[1, 1] + M[2, 3] + p_{i-1}p_kp_j \quad (20)$$

$$= 0 + 360 + p_0p_1p_3 \quad (21)$$

$$= 0 + 360 + 4 \cdot 10 \cdot 12 \quad (22)$$

$$= 0 + 360 + 480 \quad (23)$$

$$= 840 \quad (24)$$

$k = 2$

$$M[1, 3] = M[1, 2] + M[3, 3] + p_{i-1}p_kp_j \quad (25)$$

$$= 120 + 0 + p_0p_2p_3 \quad (26)$$

$$= 120 + 0 + 4 \cdot 10 \cdot 12 \quad (27)$$

$$= 120 + 0 + 144 \quad (28)$$

$$= 264 \quad (29)$$

Thus,  $\min_{1 \leq k \leq 3} M[1, 3] = 264$ .

$$* \ i = 2, j = 4$$

$$\underline{k = 2}$$

$$M[2, 4] = M[2, 2] + M[3, 4] + p_{i-1}p_kp_j \quad (30)$$

$$= 0 + 720 + p_1p_2p_4 \quad (31)$$

$$= 0 + 720 + 10 \cdot 3 \cdot 20 \quad (32)$$

$$= 0 + 720 + 600 \quad (33)$$

$$= 1320 \quad (34)$$

$$\underline{k = 3}$$

$$M[2, 4] = M[2, 2] + M[3, 4] + p_{i-1}p_kp_j \quad (35)$$

$$= 360 + 0 + p_1p_3p_4 \quad (36)$$

$$= 360 + 0 + 10 \cdot 12 \cdot 20 \quad (37)$$

$$= 360 + 0 + 2400 \quad (38)$$

$$= 2760 \quad (39)$$

$$\text{Thus, } \min_{2 \leq k \leq 4} M[2, 4] = 1320.$$

$$* \ i = 3, j = 5$$

$$\underline{k = 3}$$

$$M[3, 5] = M[3, 3] + M[3, 5] + p_{i-1}p_kp_j \quad (40)$$

$$= 0 + 1680 + p_2p_3p_5 \quad (41)$$

$$= 0 + 1680 + 3 \cdot 12 \cdot 7 \quad (42)$$

$$= 0 + 1680 + 252 \quad (43)$$

$$= 1932 \quad (44)$$

$$\underline{k = 4}$$

$$M[3, 5] = M[3, 4] + M[5, 5] + p_{i-1}p_kp_j \quad (45)$$

$$= 720 + 0 + p_2p_4p_5 \quad (46)$$

$$= 720 + 0 + 3 \cdot 20 \cdot 7 \quad (47)$$

$$= 720 + 420 \quad (48)$$

$$= 1140 \quad (49)$$

$$\text{Thus, } \min_{3 \leq k \leq 5} M[3, 5] = 1140.$$

$$* \ i = 2, j = 5$$

$$\underline{k = 2}$$

$$M[2, 5] = M[2, 2] + M[3, 5] + p_{i-1}p_kp_j \quad (50)$$

$$= 0 + 1140 + p_1p_2p_5 \quad (51)$$

$$= 0 + 1140 + 10 \cdot 3 \cdot 7 \quad (52)$$

$$= 0 + 1140 + 210 \quad (53)$$

$$= 1350 \quad (54)$$

$$\underline{k = 3}$$

$$M[2, 5] = M[2, 3] + M[4, 5] + p_{i-1}p_kp_j \quad (55)$$

$$= 360 + 1680 + p_1p_3p_5 \quad (56)$$

$$= 2040 + 10 \cdot 12 \cdot 7 \quad (57)$$

$$= 2040 + 840 \quad (58)$$

$$= 2880 \quad (59)$$

$$\underline{k = 4}$$

$$M[2, 5] = M[2, 4] + M[5, 5] + p_{i-1}p_kp_j \quad (60)$$

$$= 1320 + p_1p_3p_5 \quad (61)$$

$$= 1320 + 10 \cdot 20 \cdot 7 \quad (62)$$

$$= 1320 + 1400 \quad (63)$$

$$= 2720 \quad (64)$$

$$\text{Thus, } \min_{2 \leq k \leq 5} M[2, 5] = 1350.$$

$$* \ i = 1, j = 5$$

$$\underline{k = 1}$$

$$M[1, 5] = M[1, 1] + M[3, 5] + p_{i-1}p_kp_j \quad (65)$$

$$= 0 + 1350 + p_0p_1p_5 \quad (66)$$

$$= 0 + 1350 + 4 \cdot 10 \cdot 7 \quad (67)$$

$$= 0 + 1350 + 280 \quad (68)$$

$$= 1630 \quad (69)$$



$$\underline{k = 2}$$

$$M[1, 5] = M[1, 2] + M[3, 5] + p_{i-1}p_kp_j \quad (70)$$

$$= 120 + 1140 + p_0p_2p_5 \quad (71)$$

$$= 120 + 1140 + 4 \cdot 3 \cdot 7 \quad (72)$$

$$= 1260 + 84 \quad (73)$$

$$= 1344 \quad (74)$$

$$\underline{k = 3}$$

$$M[1, 5] = M[1, 3] + M[4, 5] + p_{i-1}p_kp_j \quad (75)$$

$$= 264 + 1680 + p_0p_3p_5 \quad (76)$$

$$= 264 + 1680 + 4 \cdot 12 \cdot 7 \quad (77)$$

$$= 1944 + 336 \quad (78)$$

$$= 2280 \quad (79)$$

$$\underline{k = 4}$$

$$M[1, 5] = M[1, 4] + M[5, 5] + p_{i-1}p_kp_j \quad (80)$$

$$= 1080 + 0 + p_0p_4p_5 \quad (81)$$

$$= 1080 + 4 \cdot 20 \cdot 7 \quad (82)$$

$$= 1080 + 560 \quad (83)$$

$$= 1640 \quad (84)$$

Thus,  $\min_{1 \leq k \leq 5} M[1, 5] = 1344$ .

#### 4. Constructing the Optimal Solution (Needs revision)

3)

i \ j	1	2	3	4	5
1	0	120	264	1080	1344
2	x	0	360	1320	1350
3	x	x	0	720	1140
4	x	x	x	0	1680
5	x	x	x	x	0

$(A_1A_2)((A_3A_4)A_5)$

So, the optimal solution is  $(A_1A_2)((A_3A_4)A_5)$

### References:

- 1) CSBreakdown, Chain Multiplication - Dynamic Programming, link
- 2) University of Maryland, CMSC351 - Fall 2014 Homework # 4, link

```

21 procedure MATRIX-CHAIN-MULTIPLY(A,s,i,j)
2   if i == j then
3       return A[i]
4   end if
5
6   if i < j
7       a = MATRIX-CHAIN-MULTIPLY(A,s,i,s[i,j])
8       b = MATRIX-CHAIN-MULTIPLY(A,s,s[i,j] + 1,j)
9
10      return MATRIX-CHAIN-MULTIPLY(a,b)
11
12   end if
13
14 end procedure
15

```

### Example:

- *MATRIX-CHAIN-ORDER* computes the table  $s$  containing optimal costs
- $s$  table consists of  $k$  value at which  $m[i,j]$  is minimum!!

$$A_{1..s[1,n]}A_{s[1,n]+1..n}$$

- Table of optimal costs  $m$  is used with table  $s$  to construct solution to matrix-chain multiplication problem

3. First, we need to determine the total number of times  $m[i,j]$  is referred in the innermost loop.

We know from the header that the loop runs from  $k = i$  to  $k = j - 1$ .

Using this fact, we can write the innermost loop has  $j - i = l - 1$  iterations.

Since  $m[i,j]$  is referred twice, the total number of  $m[i,j]$  referred in the loop is:

$$(l - 1)2 \tag{1}$$

Second, we need to determine the total number of times  $m[i, j]$  is referred in the intermediate loop

We know from the header that the loop runs from  $i = 1$  to  $i = n - l + 1$ .

Using this fact, we can write the intermediate loop runs  $n - l + 1$  iterations.

Since each iteration refers  $m[i, j]$   $(l - 1)2$  many times, the total number of times  $m[i, j]$  is referred in the intermediate loop is:

$$(n - l + 1)(l - 1)2 \tag{2}$$

Finally, we need to determine the total number of times  $m[i, j]$  is referenced in the outermost loop.

We know from the header that the loop runs from  $l = 2$  to  $n$ .

Since each iteration refers  $m[i, j]$   $(n - l + 1)(l - 1)2$  many times, the total number of times  $m[i, j]$  is referred in the outermost loop is:

$$\sum_{l=2}^n (n-l+1)(l-1)2 = 2 \sum_{l'=1}^{n-1} (n-l')(l') \quad (3)$$

$$= 2 \left[ \sum_{l'=1}^{n-1} nl' - (l')^2 \right] \quad (4)$$

$$= 2 \left[ n \sum_{l'=1}^{n-1} l' - \sum_{l'=1}^{n-1} (l')^2 \right] \quad (5)$$

$$= 2 \left[ n \sum_{l'=1}^{n-1} l' - \sum_{l'=1}^{n-1} (l')^2 \right] \quad (6)$$

$$= 2 \left[ n \sum_{l'=0}^{n-1} l' - \sum_{l'=0}^{n-1} (l')^2 \right] \quad (7)$$

$$= 2 \left[ \frac{(n-1)n^2}{2} - \frac{(n-1)n(2n-1)}{6} \right] \quad (8)$$

$$= 2 \left[ \frac{n^3 - n^2}{2} - \frac{(n-1)n(2n-1)}{6} \right] \quad (9)$$

$$= 2 \left[ \frac{n^3 - n^2}{2} - \frac{(n^2 - n)(2n-1)}{6} \right] \quad (10)$$

$$= 2 \left[ \frac{n^3 - n^2}{2} - \frac{(3n^3 - 3n^2 + n)}{6} \right] \quad (11)$$

$$= 2 \left[ \frac{3n^3 - 3n^2}{6} - \frac{(3n^3 - 3n^2 + n)}{6} \right] \quad (12)$$

$$= 2 \left[ \frac{n^3 - n}{6} \right] \quad (13)$$

$$= \frac{n^3 - n}{3} \quad (14)$$

**Notes:**

- Hint from equation A.3

$$\sum_{k=0}^n k^2 = \frac{n(n+1)(2n+1)}{6}$$

- I feel the need to gain more insight regarding the question's 'other table entries in a call of MATRIX-CHAIN-ORDER'. What does 'other table entries' mean?

**Answer:**

MATRIX-CHAIN-ORDER( $p$ )  
 1  $n = p.length - 1$   
 2 let  $m[1..n, 1..n]$  and  $s[1..n-1, 2..n]$  be new tables  
 3 **for**  $i = 1$  **to**  $n$   
 4      $m[i, i] = 0$   
 5 **for**  $l = 2$  **to**  $n$                     //  $l$  is the chain length  
 6     **for**  $i = 1$  **to**  $n - l + 1$   
 7          $j = i + l - 1$   
 8          $m[i, j] = \infty$   
 9         **for**  $k = i$  **to**  $j - 1$   
 10              $q = m[i, k] + m[k + 1, j] + p_{i-1}p_kp_j$   
 11             **if**  $q < m[i, j]$   
 12                  $m[i, j] = q$   
 13                  $s[i, j] = k$   
 14 **return**  $m$  and  $s$

The other entries  
:)

- In the problem ‘ $m[i, j]$  is referenced’ refers to  $m[i, j]$  used in assignments  $q = m[i, j]...$

MATRIX-CHAIN-ORDER( $p$ )  
 1  $n = p.length - 1$   
 2 let  $m[1..n, 1..n]$  and  $s[1..n-1, 2..n]$  be new tables  
 3 **for**  $i = 1$  **to**  $n$   
 4      $m[i, i] = 0$   
 5 **for**  $l = 2$  **to**  $n$                     //  $l$  is the chain length  
 6     **for**  $i = 1$  **to**  $n - l + 1$   
 7          $j = i + l - 1$   
 8          $m[i, j] = \infty$   
 9         **for**  $k = i$  **to**  $j - 1$   
 10              $q = m[i, k] + m[k + 1, j] + p_{i-1}p_kp_j$   
 11             **if**  $q < m[i, j]$   
 12                  $m[i, j] = q$   
 13                  $s[i, j] = k$   
 14 **return**  $m$  and  $s$

#### 4. Solution



Memoization fails to speed up the algorithm because it lacks overlapping subproblems.

### Notes:

- Elements of Dynamic Programming
  - Optimal Substructure
    - \* Is the first step to solving dynamic programming problem
    - \* Exists if an optimal solution to the problem contains within it optimal solution to subproblems
  - Overlapping Subproblems
    - \* Is the second step to solving dynamic programming
    - \* Exists when an algorithm revisits the same problem repeatedly
  - Memoization
    - \* Maintains an entry in a table for the solution to each subproblem
    - \* Ensures that a method doesn't run for the same inputs more than once
- Top-Down Dynamic Programming
  - Uses recursion
  - Is preferred
    - \* When all sub-solutions need to be solved
    - \* Because it's easier



- Bottom-Up Dynamic Programming
  - Uses for-loop
  - Is preferred
    - \* When all sub-solutions need to be solved
    - \* Because it is sometimes faster (No recursive call and no unnecessary Random Memory access)
  - Is preferred when not all sub-solutions need to be computed



- Merge Sort
  - How it works
    1. Find the middle point to divide the array into two halves
    2. Call mergeSort for first half
    3. Call mergeSort for second half
    4. Merge two halves in sorted order



## References

1)

5. Yes. This problem does exhibit optimal substructure

*Proof.* Assume that the optimal structure of  $A_i A_{i+1} \dots A_j$  exists. That is, there exists some  $k \in \mathbb{N} - \{0\}$  such that the following parenthesis  $(A_{i..k})(A_{k+1..j})$  produces maximum operation cost.

I need to show that the substructures  $A_{i..k}$  and  $A_{k+1..j}$  are also optimal.

I will do so in parts.

### Part 1 (Proving optimal substructure of $A_{i..k}$ )

Assume for the sake of contradiction that the substructure  $A_{i..k}$  is not optimal.

Then, we can write that there exists some  $k' \in \mathbb{N} - \{0\}$  such that  $(A_{i..k'})(A_{k'+1..k})$  has larger operation cost than  $(A_{i..k})$ .

Then, we can write that  $((A_{i..k'})(A_{k'+1..k}))(A_{k+1..j})$  has larger operation costs than  $(A_{i..k})(A_{k+1..j})$ , which contradicts the original assumption that  $(A_{i..k})(A_{k+1..j})$  has maximum operation cost.

Thus,  $(A_{i..k})$  must be optimal.

### Part 2 (Proving optimal substructure of $A_{k+1..j}$ )

This proof is nearly verbatim as part 1, where the only difference is using  $A_{k+1..j}$  instead of  $A_{i..j}$ .  $\square$



**Notes:**

- A problem has **optimal substructure** if an optimal solution can be constructed from optimal solutions of its subproblems. <sup>[3]</sup>
- Showing optimal substructure for the original Matrix-Chain Multiplication problem

Assume that the optimal structure of  $A_i A_{i+1} \dots A_j$  exists. That is, there exists some  $k \in \mathbb{N} - \{0\}$  such that the following parenthesis  $(A_{i..k})(A_{k+1..j})$  produces minimum operation cost.

I need to show that the substructures  $A_{i..k}$  and  $A_{k+1..j}$  are also optimal.

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**Part 1 (Proving optimal substructure of  $A_{i..k}$ )**

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**References:**

- 1) CSBreakdown, Chain Multiplication - Dynamic Programming, link
- 2) CodeScope, Dynamic Programming, link
- 3) Wikipedia, Optimal Substructure, link

**6. Rough Work:**

- $\langle 8, 10, 20, 5 \rangle \rightarrow$  Meets Professor Capulet's Claim & Not solution

**Notes:**

- I need to find the matrices  $A_i, \dots, A_j$  where the total operating cost of Professor Capulet's method is bigger than the properly parenthesized solution
- I feel the need for clarification regarding the phrase 'always choosing the matrix  $A_k$  at which to split the product...' Is  $k$  in  $A_k$  the same in any matrix multiplications  $A_i A_{i+1} \dots A_j$ ?

**Answer:**

No.  $k$  in  $A_k$  is the value that makes  $p_{i-1} p_k p_j$  minimum.