### Worksheet 16 Review

### April 2, 2020

## Question 1

a. Let  $k \in \mathbb{N}$ .

Here, the minimum possible change occurs for the loop variable in a single iteration when i = i + 1.

The maximum possible change occurs for the loop variable in a single iteration when i = i + 6.

The exact upper bound of the variable after k iteration is

$$i_k \le 6k \tag{1}$$

The exact lower bound of the variable after k iteration is

$$k \le i_k \tag{2}$$

Using the fact that the termination occurs when  $i_k = n$ , we can calculate that for the upper bound, the loop terminates when

$$6k \ge n \tag{3}$$

$$k \ge \frac{n}{6} \tag{4}$$

Because we know  $\frac{n}{6}$  may be a decimal, we can conclude the closest value at which the loop terminates is when

$$k = \left\lceil \frac{n}{6} \right\rceil \tag{5}$$

Using the same fact, we can calculate that for the lower bound, the loop terminates when

$$k \ge n \tag{6}$$

It follows from above that for the lower bound, the smallest value of k at which the loop termination occurs is when

$$k = n \tag{7}$$

Then, we can conclude the function has asymptotic lower bound of  $\Omega(n)$ , and asymptotic upper bound of  $\mathcal{O}(n)$ .

Then, since both  $\Omega$  and  $\mathcal{O}$  have the same value,  $\Theta(n)$  is also true.

#### **Correct Solution:**

Here, the minimum possible change occurs for the loop variable in a single iteration when i = i + 1.

The maximum possible change occurs for the loop variable in a single iteration when i = i + 6.

The exact upper bound of the variable after k iteration is

$$i_k \le 6k \tag{8}$$

The exact lower bound of the variable after k iteration is

$$k \le i_k \tag{9}$$

Using the fact that the termination occurs when  $i_k = n$ , we can calculate that for the upper bound, the loop terminates when

$$6k \ge n \tag{10}$$

$$6k \ge n \tag{10}$$

$$k \ge \frac{n}{6} \tag{11}$$

Because we know  $\frac{n}{6}$  may be a decimal, we can conclude the closest value at which the loop terminates is when

$$k = \left\lceil \frac{n}{6} \right\rceil + 1 \tag{12}$$

Using the same fact, we can calculate that for the lower bound, the loop terminates when

$$k \ge n \tag{13}$$

It follows from above that for the lower bound, the smallest value of k at which the loop termination occurs is when

$$k = n + 1 \tag{14}$$

Then, we can conclude the function has asymptotic lower bound of  $\Omega(n)$ , and asymptotic upper bound of  $\mathcal{O}(n)$ .

Since both  $\Omega$  and  $\mathcal{O}$  have the same value,  $\Theta(n)$  is also true.

#### Notes:

• where is +1 coming from? Is it coming from the loop variable i=0?

#### b. Let $k \in \mathbb{N}$ .

# Part 1 (Determining maximum and minimum possible change in a single iteration):

It follows from observation that the minimum possible change occurs when  $i = i \cdot 2$ , and the maximum possible change when  $i = i \cdot 3$ .

# Part 2 (Determining lower bound and upper bound of loop iteration):

Because we know the smallest possible change occurs when  $i = i \cdot 2$  occurs repeatedly, we can conclude that at  $k^{th}$  iteration  $i_k$  has the lower bound of  $2^k$ .

Similarly, because we know largest possible change occurs when  $i = i \cdot 3$  occurs repeatedly, we can conclude that at  $k^{th}$  iteration,  $i_k$  has the upper bound of  $3^k$ .

Then, by putting together, we can conclude that

$$2^k \le i_k \le 3^k \tag{1}$$

# Part 3 (Determining exact number of iterations for the lower bound and upper bound):

Because we know the loop runs until  $i_k < n$ , we can conclude that at lower bound, termination occurs when

$$i_k \ge n$$
 (2)

$$2^k \ge n \tag{3}$$

$$\log_2 2^k \ge \log_2 n \tag{4}$$

$$k \ge \log_2 n \tag{5}$$

Using the fact that we are looking for smallest value of k, we can calculate that for lower bound

$$k = \lceil \log_2 n \rceil + 1 \tag{6}$$

Similarly, for the upper bound, loop terminates when

$$i_k \ge n$$
 (7)

$$3^k \ge n \tag{8}$$

$$\log_3 3^k \ge \log_3 n \tag{9}$$

$$k \ge \log_3 n \tag{10}$$

Using the fact, we can calculate that for upper bound,

$$k = \lceil \log_3 n \rceil + 1 \tag{11}$$

#### Part 4 (Determining Big-Oh and Omega):

Because we know  $\log_2 n$  dominates  $\log_3 n$ , we can conclude  $\log_2 n$  is the asymptotic upper bound, and  $\log_3 n$  is the asymptotic lower bound.

Then, we can conclude the algorithm has  $\mathcal{O}(\log_2 n)$  and  $\Omega(\log_3 n)$ .

#### Notes:

• How come in solution, +1 doesn't exist? What rules of thumb i can follow to better determine whether +1 should be included?

### Question 2

a. Because we know  $n \in \Theta(n^2)$ , we can conclude the algorithm has runtime of  $\Theta(n^2)$ .

#### Correct Solution:

Since **helper1** has cost of n and **helper2** has cost of  $n^2$ , we can conclude the algorithm has total cost of  $n + n^2$ .

It follows from above the algorithm has runtime of  $\Theta(n^2)$ .

#### Notes:

• When is  $\in$  in  $n \in \Theta(n^2)$  used?

Is  $\in \Theta$  used when  $\mathcal{O}$  and  $\Omega$  exists with different values to choose which value works for both lower and upper bound of the algorithm?

- Noticed that professor evaluates total runtime before Theta
- b. Because we know loop 1 starts at i = 0 and finishes at i = n 1 with i increasing by 2 per iteration, we can conclude loop 1 has

$$\left\lceil \frac{n-1-0+1}{2} \right\rceil = \left\lceil \frac{n}{2} \right\rceil \tag{1}$$

iterations.

Since each iteration in loop 1 takes n step, as required by **helper 1** function, we can conclude loop 1 has total cost of

$$n \cdot \left\lceil \frac{n}{2} \right\rceil \tag{2}$$

steps.

For loop 2, because we know it starts at j=0 and finishes at j=9, we can conclude loop 2 has

$$\lceil 9 - 0 + 1 \rceil = 10 \tag{3}$$

iterations.

Since each iteration in loop 2 takes  $n^2$  step as required by **helper 2** function, we can conclude loop 2 has total of

$$10 \cdot n^2 \tag{4}$$

steps.

Since i=0 and j=0 have cost of 1 step each, the total cost of algorithm is

$$n \cdot \left\lceil \frac{n}{2} \right\rceil + 10n^2 + 2 \tag{5}$$

Then, we can conclude the algorithm has running time of  $\Theta(n^2)$ 

#### **Correct Solution:**

Because we know loop 1 starts at i = 0 and finishes at i = n - 1 with i increasing by 2 per iteration, we can conclude loop 1 has

$$\left\lceil \frac{n-1-0+1}{2} \right\rceil = \left\lceil \frac{n}{2} \right\rceil \tag{1}$$

iterations.

Since each iteration in loop 1 takes n step, as required by **helper 1** function, we can conclude loop 1 has total cost of

$$n \cdot \left\lceil \frac{n}{2} \right\rceil \tag{2}$$

steps.

For loop 2, because we know it starts at j = 0 and finishes at j = 9, we can conclude loop 2 has

$$\lceil 9 - 0 + 1 \rceil = 10 \tag{3}$$

iterations.

Since each iteration in loop 2 takes  $n^2$  step as required by **helper 2** function, we can conclude loop 2 has total of

$$10 \cdot n^2 \tag{4}$$

steps.

Combining together, the total cost of algorithm is

$$n \cdot \left\lceil \frac{n}{2} \right\rceil + 10n^2 \tag{5}$$

Then, we can conclude the algorithm has running time of  $\Theta(n^2)$ 

#### Notes:

• Noticed professor doesn't count loop variables toward the total cost of algorithm.

If other lines such as **return False** and n = len(lst) are included, would these count towards the total cost of the algorithm?

c. For loop 1, because we know it starts at i = 0 and finishes at i = n - 1 with each iteration having cost of i steps, we can conclude loop 1 has cost of

$$\sum_{i=0}^{n-1} i = \frac{n(n-1)}{2} \tag{1}$$

steps.

For loop 2, because we know it starts at j=0 and finishes at j=9 with each iteration costing  $j^2$  steps, we can conclude loop 2 has

$$\sum_{j=0}^{9} j^2 = \frac{9(9-1)(2(9)-1)}{6} \tag{2}$$

$$=\frac{9\cdot 8\cdot 17}{6}\tag{3}$$

$$=204\tag{4}$$

steps.

Combining together, the total cost of algorithm is

$$\frac{n(n-1)}{2} + 204\tag{5}$$

steps.

Then, we can conclude the running time of algorithm is  $\Theta(n^2)$ .

## Question 3