CSC343 Worksheet 13 Solution

July 5, 2020

| | A | В | C | D | \mathbf{E} |
|-------|-------|-------|---|-------|--------------|
| 1 a) | a | b | c | d_1 | e_1 |
| 1. a) | a_1 | b | c | d | e_2 |
| | a | b_1 | c | d_2 | e |

Step 1 $(B \rightarrow E)$:

| A | В | С | D | Е |
|-------|-------|---|-------|-------|
| a | b | c | d_1 | e_1 |
| a_1 | b | c | d | e_1 |
| a | b_1 | c | d_2 | e |

Step 2 $(CE \rightarrow A)$:

| A | В | С | D | Е |
|---|-------|---|-------|-------|
| a | b | c | d_1 | e_1 |
| a | b | c | d | e_1 |
| a | b_1 | c | d_2 | e |

So in this case, an example of an instance of R that is not lossless is:

| Title | Studio Name | President | Year | President Address |
|--------------------|-------------|-----------------|------|-------------------|
| Toy Story | Pixar | Steve Jobs | 2000 | 123 ABC Street |
| Star Wars | Fox | Lachlan Murdoch | 1977 | Hollywood |
| Return of the Jedi | Fox | Lachlan Murdoch | 1983 | Hollywood |

$\bullet \ S_1 = \{A, B, C\}$

| Title | Studio Name | President |
|--------------------|-------------|-----------------|
| Toy Story | Pixar | Steve Jobs |
| Star Wars | Fox | Lachlan Murdoch |
| Return of the Jedi | Fox | Lachlan Murdoch |

• $S_2 = \{C, D, E\}$

| President | Year | President Address |
|-----------------|------|-------------------|
| Steve Jobs | 2000 | 123 ABC Street |
| Lachlan Murdoch | 1977 | Hollywood |
| Lachlan Murdoch | 1983 | Hollywood |

 $\bullet \ \overline{S_3 = \{C, E, A\}}$

| Title | President | President Address | |
|--------------------|-----------------|-------------------|--|
| Toy Story | Steve Jobs | 123 ABC Street | |
| Star Wars | Lachlan Murdoch | Hollywood | |
| Return of the Jedi | Lachlan Murdoch | Hollywood | |

• $\overline{S_1 \bowtie S_2}$

| Title | Studio Name | President | Year | President Address |
|--------------------|-------------|-----------------|------|-------------------|
| Toy Story | Pixar | Steve Jobs | 2000 | 123 ABC Street |
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| Return of the Jedi | Fox | Lachlan Murdoch | 1977 | Hollywood |
| Return of the Jedi | Fox | Lachlan Murdoch | 1983 | Hollywood |

• $\overline{S_1 \bowtie S_2 \bowtie S_3}$

| _ 1 2 0 | | | | |
|--------------------|-------------|-----------------|------|-------------------|
| Title | Studio Name | President | Year | President Address |
| Toy Story | Pixar | Steve Jobs | 2000 | 123 ABC Street |
| Star Wars | Fox | Lachlan Murdoch | 1977 | Hollywood |
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| Return of the Jedi | Fox | Lachlan Murdoch | 1977 | Hollywood |
| Return of the Jedi | Fox | Lachlan Murdoch | 1983 | Hollywood |

Notes:

- Decomposition: The good bad and ugly
 - 1) Elimination of Anomalies by decomposition as in Section 3
 - 2) **Recoverability of Information** Can we recover the original relation from the tuples in its decomposition?
 - 3) Preservation of Dependences (lossless join): Can we be sure that after reconstructing the original relation from the decompositions, the original FD's satisfy?

BCNF: \rightarrow satisfies 1) and 2) Not good. NONO

- The Chase Test for Lossless Join
 - Tests whether the decomposition is lossless

Input:

- A relation R

- A decomposition of R
- A set of functional dependencies

Output:

- Whether the decomposition is loseless or not
- $\Pi_{S_1}(R) \bowtie \Pi_{S_2}(R) \bowtie \cdots \prod_{S_i}(R) = R$

Three things to remember:

- 1. The natural join is associate and commutative
- 2. Any tuple t in R is surely in $\pi_{S_1}(R) \bowtie \pi_{S_2}(R) \bowtie \cdots \bowtie \pi_{S_k}(R)$.
- 3. We have to check to see any tuple in the $\pi_{S_1}(R) \bowtie \pi_{S_2}(R) \bowtie \cdots \bowtie \pi_{S_k}(R)$.

Example:

$$S_1 = \{A, D\}, S_2 = \{B, C\}, S_3 = \{A, C\}$$

$$A \to B, B \to C, CD \to A$$



Step 1: $A \rightarrow B$

Set the value b with the same value of a to be the same. (e.g. $b_2 \rightarrow b_1$)



Step 2: $B \rightarrow C$

Set the value c with the same value of b to be the same. (e.g. $b_2 \rightarrow b_1$)



Step 3: $CD \rightarrow A$

Set the value a with the same value of c and d to be the same. (e.g. $a_3 \rightarrow a$)



So, we can conclude the join is lossless.

| | Α | В | С | D | E |
|----|-------|-------|---|-------|-------|
| b) | a | b | c | d_1 | e_1 |
| D) | a_1 | b | c | d | e_2 |
| | a | b_1 | c | d_2 | e |

Step 1 ($AC \rightarrow E$):

| A | В | С | D | Е |
|-------|-------|---|-------|-------|
| a | b | c | d_1 | e |
| a_1 | b | c | d | e_2 |
| a | b_1 | c | d_2 | e |

Step 2 ($BC \rightarrow D$):

| A | В | С | D | Е |
|-------|-------|---|-------|-------|
| a | b | c | d | e |
| a_1 | b | c | d | e_2 |
| a | b_1 | c | d_2 | e |

a, b, c, d, e exists. So by the Chast test, the decomposition of $R(A, B, C, D, E) : AC \rightarrow E, BC \rightarrow D$ into $\{A, B, C\}, \{B, C, D\}, \{A, C, E\}$ is lossless.

| | Α | В | С | D | Е |
|----|-------|-------|---|-------|-------|
| c) | a | b | c | d_1 | e_1 |
| c) | a_1 | b | c | d | e_2 |
| | a | b_1 | c | d_2 | e |

Step 1 $(A \rightarrow D)$:

| A | В | С | D | Е |
|----------------|-------|---|-------|-------|
| a | b | c | d_1 | e_1 |
| a_1 | b | c | d | e_2 |
| \overline{a} | b_1 | c | d_1 | e |

Step 2 $(D \rightarrow E)$:

| A | В | С | D | E |
|-------|-------|---|-------|-------|
| a | b | c | d_1 | e |
| a_1 | b | c | d | e_2 |
| a | b_1 | c | d_1 | e |

Step 3 $(B \rightarrow D)$:

| A | В | С | D | Е |
|-------|-------|---|---|-------|
| a | b | c | d | e |
| a_1 | b | c | d | e_2 |
| a | b_1 | c | d | e |

a,b,c,d,e exists. So by the Chast test, the decomposition of $R(A,B,C,D,E):A\to D,D\to E,B\to D$ into $\{A,B,C\},\{B,C,D\},\{A,C,E\}$ is lossless.

| | , | | , | | |
|----|-------|-------|---|-------|-------|
| | A | В | С | D | E |
| d) | a | b | c | d_1 | e_1 |
| u) | a_1 | b | c | d | e_2 |
| | a | b_1 | c | d_2 | e |

Step 1 $(A \rightarrow D)$:

| A | В | С | D | Е |
|-------|-------|---|-------|-------|
| a | b | c | d_1 | e_1 |
| a_1 | b | c | d | e_2 |
| a | b_1 | c | d_1 | e |

Step 2 ($CD \rightarrow E$):

| A | В | С | D | E |
|-------|-------|---|-------|-------|
| a | b | c | d_1 | e |
| a_1 | b | c | d | e_2 |
| a | b_1 | c | d_1 | e |

Step 3 $(E \rightarrow D)$:

| A | В | С | D | Е |
|-------|-------|---|-------|-------|
| a | b | c | d_1 | e |
| a_1 | b | c | d | e_2 |
| a | b_1 | c | d_1 | e |

So in this case, the relation is not lossless.

An example of an instance of R that is not lossless is:

| Phone ID | Grade | Student Name | Phone # | Physical Address |
|----------|-------|--------------|--------------|------------------|
| 1 | 89 | John Doe | 111-222-3333 | 123 ABC Street |
| 2 | 89 | John Doe | 222-222-3333 | 123 ABC Street |
| 1 | 62 | Josh Doe | 111-222-3333 | 123 ABC Street |
| 3 | 94 | Frank McKay | 444-555-6666 | 234 ABC Street |

$$\bullet \ S_1 = \{A, B, C\}$$

| Phone ID | Grade | Student Name |
|----------|-------|--------------|
| 1 | 89 | John Doe |
| 2 | 89 | John Doe |
| 1 | 62 | Josh Doe |
| 3 | 94 | Frank McKay |

$$\bullet \ S_2 = \{C, D, E\}$$

| Student Name | Phone # | Physical Address |
|--------------|--------------|------------------|
| John Doe | 111-222-3333 | 123 ABC Street |
| John Doe | 222-222-3333 | 123 ABC Street |
| Josh Doe | 111-222-3333 | 123 ABC Street |
| Frank McKay | 444-555-6666 | 234 ABC Street |

$$\bullet \ S_3 = \{A, C, E\}$$

| Phone ID | Student Name | Physical Address |
|----------|--------------|------------------|
| 1 | John Doe | 123 ABC Street |
| 2 | John Doe | 123 ABC Street |
| 1 | Josh Doe | 123 ABC Street |
| 3 | Frank McKay | 234 ABC Street |

• $S_1 \bowtie S_2$

| Phone ID | Grade | Student Name | Phone # | Physical Address |
|----------|-------|--------------|--------------|------------------|
| 1 | 89 | John Doe | 111-222-3333 | 123 ABC Street |
| 2 | 89 | John Doe | 111-222-3333 | 123 ABC Street |
| 1 | 89 | John Doe | 222-222-3333 | 123 ABC Street |
| 2 | 89 | John Doe | 222-222-3333 | 123 ABC Street |
| 1 | 62 | Josh Doe | 111-222-3333 | 123 ABC Street |
| 3 | 94 | Frank McKay | 444-555-6666 | 234 ABC Street |

• $S_1 \bowtie S_2 \bowtie S_3$

| Phone ID | Grade | Student Name | Phone # | Physical Address |
|----------|-------|--------------|--------------|------------------|
| 1 | 89 | John Doe | 111-222-3333 | 123 ABC Street |
| 2 | 89 | John Doe | 111-222-3333 | 123 ABC Street |
| 1 | 89 | John Doe | 222-222-3333 | 123 ABC Street |
| 2 | 89 | John Doe | 222-222-3333 | 123 ABC Street |
| 1 | 62 | Josh Doe | 111-222-3333 | 123 ABC Street |
| 3 | 94 | Frank McKay | 444-555-6666 | 234 ABC Street |

- 2. The sets of FDs in 1.b) and 1.c) are the ones where the dependencies are preserved from decomposition.
- 3. a) i) Find 3NF Violations
 - $\{A, B\}^+ = \{A, B\}$
 - Violates 3NF
 - Doesn't have C required for $AB \to C$
 - Second and third don't imply first
 - $\bullet \ \{C\}^+ = \{C\}$
 - Violates 3NF
 - Doesn't have D required for $C \to D$
 - First and third don't imply second
 - $\{D\}^+ = \{D\}$
 - Violates 3NF
 - Doesn't have A required for $D \to A$
 - First and second don't imply third
 - ii) Decompose relations, as necessary, into collections as a part of 3NF
 - 1. Find a minimal basis for F, say G

A possible FD to simplify is $AB \to C$. But since $\{B\}^+ = \{B\}$, and $\{A\}^+ = \{A\}$, and both has C missing, the FD cannot be simplified further.

so the minimal basis for F is

$$AB \to C, C \to D, D \to A$$

2. For each functional dependency $X \to A$ in G, use XA as the schema of one of the relations in the decomposition

$$S_1(A, B, C), S_2(C, D), S_3(D, A)$$

3. If none of the relation schemas from Step 2 is a superkey for R, add another relation whose schema is a key for R. And drop redundant relations.

The keys for this relation are $\{A, B, C\}, \{B, C, D\}, \{D, A, B\}$

The attributes in $S_1(A, B, C)$ is a key. So, this step can be skipped.

Notes:

- 3NF
 - Definition
 - * A elation R is in 3NF if

For each nontrivial FD, the left side is a superkey (BCNF), or the right side consists of prime attributes only.

- Our expectation after decomposing are:
 - 1. Elimination of Anomalies
 - 2. Recoverability of Information (Recovering original relation after decomposition)
 - 3. Preservation of Information (Recovering original tuples after decomposition)

Key: 3NF guarentees 2) and 3) but not 1)

- Violations
 - $-X \rightarrow A$ violates 3NF if and only if X is not a superkey and also A is not prime.

Prime Attributes are attributes that are part of a key.

- Synthesis algorithm for 3NF Schemas
 - 1. Check if the FD's are minimal
 - To verify, we should show that we cannot eliminate any of FD's. That is, using algorithm 3.7, no two of the FD's imply the third
 - 2. Find a minimal basis for F, say G
 - 3. For each functional dependency $X \to A$ in G, use XA as the schema of one of the relations in the decomposition
 - 4. If none of the relation schemas from Step 3 is a superky for R, add another relation whose schema is a key for R. And drop redundant relations.

Example:

$$R(A, B, C, D, E) : AB \rightarrow C, C \rightarrow B, A \rightarrow D$$

- 1. Check if the FD's are minimal
 - To verify, we should show that we cannot eliminate any of FD's. That is, using algorithm 3.7, no two of the FD's imply the third
 - 1) $\{A, B\}^+$

Using the FDs $C \to B$, $A \to D$, we have $\{A, B\}^+ = \{A, B, D\}$.

Since C is required for $AB \to C$, we can conclude second and third doesn't imply the first

2) $\{C\}^+$

Using the FDs $AB \to C$, $A \to D$, we have $\{C\}^+ = \{C\}$.

Since B is required for $C \to B$ but not in $\{C\}^+ = \{C\}$, we can conclude first and third doesn't imply the second

 $(3) \{A\}^+$

Using the FDs $AB \to C$, $C \to D$, we have $\{A\}^+ = \{A\}$.

Since D is required for $C \to D$ but not in $\{A\}^+ = \{A\}$, we can conclude first and second doesn't imply the third

2. Find a minimal basis for F, say G

$$AB \to C$$
, $C \to B$ and $A \to D$

3. For each functional dependency $X \to A$ in G, use XA as the schema of one of the relations in the decomposition

$$S_1(A, B, C), S_2(C, B), S_3(A, D)$$

4. If none of the relation schemas from Step 3 is a superky for R, add another relation whose schema is a key for R. And drop redundant relations.

Take all combinations of attributes A, B, C, D, E. We have $\{A, B, C\}$ and $\{A, B, E\}$ as keys.

Thus, adding one, the extra relation we have is $S_4(A, B, E)$.

And since B, C in $S_2(B, C)$ is also in $S_1(A, B, C)$, S_2 needs to be dropped.

So, we have $S_1(A, B, C)$, $S_3(A, D)$, $S_4(A, B, E)$

- b) i) Find 3NF Violations
 - $\{B\}^+ = \{B, C, D\}$
 - Violates 3NF

- Doesn't have C required for $B \to C$
- Doesn't have C required for $B \to D$
- Second and third don't imply first
- ii) Decompose relations, as necessary, into collections as a part of 3NF
 - 1. Find a minimal basis for F, say G

$$B \to D$$
 and $B \to C$

2. For each functional dependency $X \to A$ in G, use XA as the schema of one of the relations in the decomposition

$$S_1(B,D), S_2(B,C)$$

3. If none of the relation schemas from Step 2 is a superkey for R, add another relation whose schema is a key for R. And drop redundant relations.

The keys for this relation are $\{A, B\}$

So, the new sets of relation are $S_1(B, D)$, $S_2(B, C)$, $S_3(A, B)$

- c) i) Find 3NF Violations
 - $\{A, B\}^+ = \{A, B\}$
 - Violates 3NF
 - Doesn't have C required for $AB \to C$
 - Second, third and fourth don't imply first
 - $\{B,C\}^+ = \{B,C\}$
 - Violates 3NF
 - Doesn't have D required for $BC \to D$
 - first, third and fourth don't imply second
 - $\{C, D\}^+ = \{C, D\}$
 - Violates 3NF
 - Doesn't have A required for $CD \to A$
 - first, second and fourth don't imply third
 - $\{A, D\}^+ = \{A, D\}$
 - Violates 3NF
 - Doesn't have B required for $AD \rightarrow B$
 - first, second and third don't imply fourth
 - ii) Decompose relations, as necessary, into collections as a part of 3NF

1. Find a minimal basis for F, say G

$$AB \rightarrow C$$
, $BC \rightarrow D$, $CD \rightarrow A$ and $AD \rightarrow B$

2. For each functional dependency $X \to A$ in G, use XA as the schema of one of the relations in the decomposition

$$S_1(A, B, C), S_2(B, C, D), S_3(C, D, A), S_4(A, D, B)$$

3. If none of the relation schemas from Step 2 is a superkey for R, add another relation whose schema is a key for R. And drop redundant relations.

The keys for this relation are $\{A, B, C\}$, $\{B, C, D\}$, $\{C, D, A\}$, $\{A, D, B\}$

The attributes in $S_1(A, B, C)$ is a key. So, this step can be skipped.