# CSC373 Worksheet 0 Solution

July 19, 2020

1. Recurrence: T(n) = T(n-1) + n

Guess:  $T(n) = \mathcal{O}(n^2)$ .

I need to show  $T(n) \leq c \cdot n^2$ .

$$T(n) \le c(n-1)^2 + n \tag{1}$$

$$= c(n^2 - 2n + 1) + n (2)$$

$$=cn^2 - c2n + c + n \tag{3}$$

$$\leq cn^2 - c2n + cn + n \tag{4}$$

$$=cn^2 - cn + n \tag{5}$$

$$\leq cn^2 - cn + cn \tag{6}$$

$$=cn^2\tag{7}$$

# $\underline{\text{Notes:}}$

- Substitution method
  - Solves recurrences
    - \* Recurrence characters the running time of divide-and-conquer algorithm
  - How it works:
    - 1. Make a guess for the solution
    - 2. Use mathematical induction to prove the guess is correct or incorrect.

# Example:

Recurrence:  $T(n) = 2T(\lfloor n/2 \rfloor) + n$ 

Guess:  $T(n) = \mathcal{O}(n \log n)$ ,

We need to show  $T(n) \le cn \lg n$ .

- 1. Assume the bound holds for all positive m < n, in particular  $m = \lfloor n/2 \rfloor$
- 2. Find the upper bound of T(m)

$$T(\lfloor n/2 \rfloor) \le c \lfloor n/2 \rfloor \lg(\lfloor n/2 \rfloor)$$

3. Show  $T(n) = 2T(\lfloor n/2 \rfloor) + n$  leads to  $T(n) \le cn \lg n$ 

$$T(n) \le 2(c|n/2|\lg(|n/2|)) + n$$
 (8)

$$\leq cn\lg(n/2) + n \tag{9}$$

$$= cn\lg(n) - cn\lg 2 + n \tag{10}$$

$$= cn \lg(n) - cn + n \tag{11}$$

$$\leq cn\lg(n) - cn + cn \tag{12}$$

$$\leq cn \lg(n)$$
(13)

4. Show that the boundary holds using mathematical induction

Doesn't have information in detail. Skipping this for now.

- Making good guess
  - \* Three suggestions
    - 1. Using recursion tree
    - 2. Through practice
    - 3. prove loose upper and lower bounds on the recurrence and then reduce the range of uncertainty
- 2. Recurrence:  $T(n) = T(\lceil n/2 \rceil) + 1$

$$\underline{\text{Guess:}}\ T(n) = \mathcal{O}(\lg n).$$

I need to show  $T(n) \leq c \cdot \lg n$ .

$$T(n) \le c \lg(\lceil n/2 \rceil) + 1 \tag{1}$$

$$\leq c\lg(n/2) + 1 

\tag{2}$$

$$=c(\lg n - \lg 2) + 1 \tag{3}$$

$$=c(\lg n-1)+1\tag{4}$$

$$=c\lg n - c + 1\tag{5}$$

$$\leq c \lg n - c + c \tag{6}$$

## **Correct Solution:**

Recurrence:  $T(n) = T(\lceil n/2 \rceil) + 1$ 

Guess:  $T(n) = \mathcal{O}(\lg n)$ .

I need to show  $T(n) \leq c \cdot \lg n$ .

$$T(n) \le c \lg(\lceil n/2 \rceil) + 1 \tag{1}$$

$$\leq c\lg(n/2) + 1 \tag{2}$$

$$=c(\lg n - \lg 2) + 1 \tag{3}$$

$$=c(\lg n-1)+1\tag{4}$$

$$=c\lg n - c + 1\tag{5}$$

$$\leq c \lg n - c + c \tag{6}$$

The solution holds for  $c \geq 1$ .

3. Recurrence:  $T(n) = 2T(\lfloor n/2 \rfloor) + n$ 

Guess (Upperbound):  $T(n) = \mathcal{O}(n \lg n)$ .

I first need to show  $T(n) \leq c \cdot n \lg n$ .

$$T(n) = 2T(\lfloor n/2 \rfloor) + n \tag{1}$$

$$= 2c|n/2|\lg|n/2| + n \tag{2}$$

$$\leq 2c \cdot (n/2)\lg(n/2) + n \tag{3}$$

$$= c \cdot n(\lg n - 1) + n \tag{4}$$

$$= cn \lg n - cn + n \tag{5}$$

$$\leq cn \lg n - cn + cn \tag{6}$$

$$\leq cn \lg n$$
(7)

The above inequality holds for  $c \geq 1$ .

Guess (Lowerbound):  $T(n) = \Omega(n \lg n)$ .

I first need to show  $d \cdot (n-2) \lg(n-2) \le T(n)$ .

$$T(n) = 2T(\lfloor (n-2)/2 \rfloor) + n \tag{8}$$

$$\geq 2d|(n-2)/2|\lg|(n-2)/2| + n \tag{9}$$

$$> 2d \cdot ((n-2)/2) \lg((n-2)/2) + n$$
 (10)

$$= d \cdot (n-2)(\lg(n-2)-1) + n \tag{11}$$

$$= d \cdot (n-2)\lg(n-2) - d \cdot (n-2) + n \tag{12}$$

$$\geq d \cdot (n-2)\lg(n-2) - d \cdot (n-2) + (n-2) \tag{13}$$

$$\geq d \cdot (n-2) \lg(n-2) - d \cdot (n-2) + d \cdot (n-2) \tag{14}$$

$$= d \cdot (n-2)\lg(n-2) \tag{15}$$

The above inequality holds for  $0 \le d < 1$ .

#### Notes:

• Both upper bound and lower bound don't need to be the same

#### 4.3-3

We saw that the solution of  $T(n)=2T(\lfloor n/2\rfloor)+n$  is  $O(n\lg n)$ . Show that the solution of this recurrence is also  $\Omega(n\lg n)$ . Conclude that the solution is  $\Theta(n\lg n)$ .

First, we guess 
$$T(n) \le cn \lg n$$
, upper bound 
$$T(n) \le 2c \lfloor n/2 \rfloor \lg \lfloor n/2 \rfloor + n$$
 
$$\le cn \lg (n/2) + n$$
 
$$= cn \lg n - cn \lg 2 + n$$
 
$$= cn \lg n + (1-c)n$$
 
$$\le cn \lg n$$
,

where the last step holds for  $c \geq 1$ .

- lower bound

Next, we guess 
$$T(n) \geq c(n+2)\lg(n+2)$$
, 
$$T(n) \geq 2c(\lfloor n/2 \rfloor + 2)(\lg(\lfloor n/2 \rfloor + 2) + n)$$
 
$$\geq 2c(n/2 - 1 + 2)(\lg(n/2 - 1 + 2) + n)$$
 
$$= 2c\frac{n+2}{2}\lg\frac{n+2}{2} + n$$
 
$$= c(n+2)\lg(n+2) - c(n+2)\lg 2 + n$$
 
$$= c(n+2)\lg(n+2) + (1-c)n - 2c$$
 
$$\geq c(n+2)\lg(n+2),$$

where the last step holds for  $n \geq \frac{2c}{1-c}$ ,  $0 \leq c < 1$ .

### 4. Recurrence (Merge sort):

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1\\ T(\lceil n/2 \rceil) + T(\lfloor n/2 \rfloor) + \Theta(n) & \text{if } n > 1 \end{cases}$$

Guess (upper bound):  $T(n) \le c \cdot (n-2) \cdot \lg(n-2)$ 

$$T(n) \le c(\lceil n/2 \rceil - 2)\lg(\lceil n/2 \rceil - 2) + c(\lfloor n/2 \rfloor - 2)\lg(\lfloor n/2 \rfloor - 2) + dn \tag{1}$$

$$= c(n/2 + 1 - 2)\lg(n/2 + 1 - 2) + c(n/2 + 1 - 2)\lg(n/2 + 1 - 2) + dn$$
 (2)

$$= c((n-2)/2)\lg((n-2)/2) + c((n-2)/2)\lg((n-2)/2) + dn$$
(3)

$$= c(n-2)\lg((n-2)/2) + dn \tag{4}$$

$$= c(n-2)\lg(n-2) - c(n-2) + dn$$
(5)

$$= c(n-2)\lg(n-2) - (d-c)n + 2c \tag{6}$$

$$=c(n-2)\lg(n-2)\tag{7}$$

The bound holds as long as c > d.

Guess (lower bound):  $c \cdot (n-2) \cdot \lg(n-2) \le T(n)$ 

$$T(n) \le c(\lceil n/2 \rceil + 1)\lg(\lceil n/2 \rceil + 1) + c(\lceil n/2 \rceil + 1)\lg(\lceil n/2 \rceil + 1) + dn \tag{8}$$

$$\leq c(n/2 - 1 + 1)\lg(n/2 - 1 + 1) + c(n/2 - 1 + 1)\lg(n/2 - 1 + 1) + dn$$
 (9)

$$= c(n/2)\lg(n/2) + c(n/2)\lg(n/2) + dn \tag{10}$$

$$= cn\lg(n/2) + dn \tag{11}$$

$$= cn\lg(n) - cn + dn \tag{12}$$

$$= cn\lg(n) + (d-c)n \tag{13}$$

$$\leq c(n-1)\lg(n-1) \tag{14}$$

The bound holds as long as d > c, and  $0 \le c < 1$ 

## Notes:

 $\bullet$  the *n* here is asymptotically large