

# Midterm 2 Version 3 Solution

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## Question 1

a.

$$165 \div 2 = 82, \text{ remainders } \mathbf{1}$$

$$82 \div 2 = 41, \text{ remainders } \mathbf{0}$$

$$41 \div 2 = 20, \text{ remainders } \mathbf{1}$$

$$20 \div 2 = 10, \text{ remainders } \mathbf{0}$$

$$10 \div 2 = 5, \text{ remainders } \mathbf{0}$$

$$5 \div 2 = 2, \text{ remainders } \mathbf{1}$$

$$2 \div 2 = 1, \text{ remainders } \mathbf{0}$$

$$1 \div 2 = 0, \text{ remainders } \mathbf{1}$$

From the above, we can conclude the binary representation of the decimal number 165 is  $(10100101)_2$

b. The largest number that can be expressed by an  $n$ -digit balanced ternary representation is

$$\sum_{i=0}^{n-1} 3^i = \frac{1}{2} \cdot (3^n - 1) \quad (1)$$

**Notes:**

- Geometric Series

$$\sum_{i=0}^n r^i = \frac{1 - r^{n+1}}{1 - r}, \text{ where } |r| > 1$$

c.

$f(n) \in \mathcal{O}(n)$	True	$g(n) \in \Omega(n)$	True	$f(n) \in \Omega(g(n))$	True
$f(n) \in \Theta(g(n))$	False	$g(n) \in \Theta(n)$	False	$f(n) + g(n) \in \Theta(g(n))$	False

**Correct Solution:**

$f(n) \in \mathcal{O}(n)$	True	$g(n) \in \Omega(n)$	True	$f(n) \in \Omega(g(n))$	True
$f(n) \in \Theta(g(n))$	False	$g(n) \in \Theta(n)$	False	$f(n) + g(n) \in \Theta(g(n))$	True

**Notes:**

- Note that for  $f(n) + g(n) \in \Theta(g(n))$ , large values of  $n$  causes  $g(n) = n^{\log_2 n}$  to dominate  $f(n) = \frac{3n}{\log_2 n + 8}$ . This causes the inequality to be simplified to

$$c_1 \cdot n^{\log_2 n} \leq n^{\log_2 n} \leq c_2 \cdot n^{\log_2 n} \quad (1)$$

It follows from above the answer is True.

d.

$k$	0	1	2
$i * i$	$3 = 3^{2^0}$	$9 = 3^{2^1}$	$81 = 3^{2^4}$

From the rough work, we can deduce the value of  $i$  after  $k$  iterations is

$$3^{2^k} \quad (1)$$

- e. Loop termination occurs when  $i_k \geq n^3$ .

We need to find the smallest value of  $k$ , and the value is

$$\lceil \log_2 3 \log_3 n \rceil \quad (1)$$

## Question 2

- **Statement:**  $\forall n \in \mathbb{N}, n \geq 1 \Rightarrow \sum_{i=1}^n \frac{1}{\sqrt{i}} > \sqrt{n} - 1$

*Proof.* Let  $n \in \mathbb{N}$ . Assume  $n \geq 1$ .

We will prove the statement using induction on  $n$ .

**Base Case ( $n = 1$ ):**

Let  $n = 1$ .

We want to show  $\sum_{i=1}^1 \frac{1}{\sqrt{i}} > \sqrt{1} - 1$

Starting from the left hand side of the inequality, we can calculate

$$\sum_{i=1}^1 \frac{1}{\sqrt{i}} = \frac{1}{\sqrt{1}} \quad (1)$$

$$= 1 \quad (2)$$

$$> 0 \quad (3)$$

$$= \sqrt{1} - 1 \quad (4)$$

**Inductive Case:**

Let  $n \in \mathbb{N}$ . Assume  $\sum_{i=1}^n \frac{1}{\sqrt{i}} > \sqrt{n} - 1$ .

We want to show  $\sum_{i=1}^{n+1} \frac{1}{\sqrt{i}} > \sqrt{n+1} - 1$ .

Starting from the left hand side of the inequality, we can conclude

$$\sum_{i=1}^{n+1} \frac{1}{\sqrt{i}} = \sum_{i=1}^n \frac{1}{\sqrt{i}} + \frac{1}{\sqrt{n+1}} \quad (5)$$

Then, it follows from induction hypothesis (i.e.  $\sum_{i=1}^n \frac{1}{\sqrt{i}} > \sqrt{n} - 1$ ) that

$$\sum_{i=1}^{n+1} \frac{1}{\sqrt{i}} > \sqrt{n+1} - 1 + \frac{1}{\sqrt{n+1}} \quad (6)$$

The hint tells us the following

$$\forall n \in \mathbb{Z}^+, \sqrt{n+1} - \sqrt{n} = \frac{1}{\sqrt{n+1} + \sqrt{n}}, \text{ and } \frac{1}{\sqrt{n+1} + \sqrt{n}} < \frac{1}{\sqrt{n+1}} \quad (7)$$

Using the hint, we can calculate

$$\sum_{i=1}^{n+1} \frac{1}{\sqrt{i}} > (\sqrt{n} - 1) + \frac{1}{\sqrt{n+1} + \sqrt{n}} \quad (8)$$

$$= (\sqrt{n} - 1) + (\sqrt{n+1} - \sqrt{n}) \quad (9)$$

$$= \sqrt{n+1} - 1 \quad (10)$$

□

### Question 3

- **Statement:**  $\forall a \in \mathbb{R}^+, a > 1 \Rightarrow a^n + 3 \in \Theta(2^n)$

**Negation Statement:**  $\exists a \in \mathbb{R}^+, (a > 1) \wedge \left[ \forall c_1, c_2, n_0 \in \mathbb{R}^+, \exists n \in \mathbb{N}, (n \geq n_0) \wedge ((c_1 \cdot (a^n + 3) > 2^n) \vee (2^n > c_2 \cdot (a^n + 3))) \right]$

*Proof.* Let  $a = \frac{3}{2}$ . Let  $c_1, c_2, n_0 \in \mathbb{R}^+$ , and  $n = \left\lceil \max(n_0, \frac{\log(2c_1)}{\log \frac{4}{3}}, \frac{\log(6c_1)}{2}) \right\rceil + 1$ .

We need to show  $a > 1$ ,  $n > n_0$  and  $a^n + 3 < \frac{1}{c_1} \cdot 2^n$ .

We will do so in parts.

**Part 1 (showing  $a > 1$ ):**

We need to show  $a > 1$ .

Because we know  $a = \frac{3}{2}$  from header, we can conclude

$$a > 1 \quad (1)$$

**Part 2 (showing  $n > n_0$ ):**

We need to show  $n \geq n_0$ .

Using the fact  $\left\lceil \max(n_0, \frac{\log(2c_1)}{\log \frac{4}{3}}, \frac{\log(6c_1)}{2}) \right\rceil \geq n_0$ , we can conclude

$$n = \left\lceil \max(n_0, \frac{\log(2c_1)}{\log \frac{4}{3}}, \frac{\log(6c_1)}{2}) \right\rceil + 1 > n_0 \quad (2)$$

**Part 3 (Showing  $a^n + 3 < \frac{1}{c_1} \cdot 2^n$ ):**

We need to show  $a^n + 3 < \frac{1}{c_1} \cdot 2^n$ .

We will do so by showing  $a^n < \frac{2^n}{2c_1}$ ,  $3 < \frac{2^n}{2c_1}$ , and then combining the two.

For the inequality  $a^n < \frac{2^n}{2c_1}$ , using the following fact

$$\frac{\log(2c_1)}{\log \frac{4}{3}} < \left\lceil \max(n_0, \frac{\log(2c_1)}{\log \frac{4}{3}}, \frac{\log(6c_1)}{2}) \right\rceil + 1 = n \quad (3)$$

we can calculate

$$\log(2c_1) < n \log \frac{4}{3} \quad (4)$$

$$2c_1 < \left(\frac{4}{3}\right)^n \quad (5)$$

$$2c_1 < \frac{2^n}{\left(\frac{3}{2}\right)^n} \quad (6)$$

$$\left(\frac{3}{2}\right)^n < \frac{2^n}{2c_1} \quad (7)$$

$$(8)$$

Then, since  $a = \frac{3}{2}$ , we can conclude

$$a^n < \frac{2^n}{2c_1} \quad (9)$$

For the inequality  $3 < \frac{2^n}{2c_1}$ , using the following fact

$$\frac{\log(6c_1)}{2} < \left\lceil \max(n_0, \frac{\log(2c_1)}{\log \frac{4}{3}}, \frac{\log(6c_1)}{2}) \right\rceil + 1 = n \quad (10)$$

we can conclude

$$6c_1 < 2^n \quad (11)$$

$$3 < \frac{2^n}{2c_1} \quad (12)$$

Finally, by combining the two, we can conclude

$$a^n + 3 < \frac{2^n}{2c_1} + \frac{2^n}{2c_1} \quad (13)$$

$$a^n + 3 < \frac{2^n}{c_1} \quad (14)$$

□

## Question 4

a. *Proof.* Let  $n \in \mathbb{N}$ .

First, we need to analyze the number of iterations of loop 2 per iteration of loop 1

The code tells us loop 2 starts at  $j = 0$ , ends at  $j = i - 1$ , and  $j$  increases by 2 per iteration.

Using the fact, we can conclude that the number of iterations of loop 2 is

$$\left\lceil \frac{i - 1 - 0 + 1}{2} \right\rceil = \left\lceil \frac{i}{2} \right\rceil \quad (1)$$

or

$$\frac{i}{2} \quad (2)$$

since we are ignoring floor and ceiling symbols.

Next, we need to add this number over all iterations of loop 1.

The code tell us us it starts at  $i = 0$  and ends at  $i = \sqrt{n-1}$  with  $i$  increasing by 1 per iteration.

Using this fact, the total number of iterations of loop 2 is

$$\frac{0}{2} + \frac{1}{2} + \dots + \frac{\sqrt{n-1}}{2} = \sum_{i=0}^{\sqrt{n-1}} \frac{i}{2} \quad (3)$$

$$= \frac{1}{2} \cdot \sum_{i=0}^{\sqrt{n-1}} i \quad (4)$$

Then, it follows from the fact  $\sum_{i=0}^n i = \frac{n(n+1)}{2}$  that,

$$\frac{1}{2} \cdot \sum_{i=0}^{\sqrt{n-1}} i = \frac{1}{2} \cdot \frac{(\sqrt{n-1})(\sqrt{n-1}+1)}{2} \quad (5)$$

$$= \frac{(\sqrt{n-1})(\sqrt{n-1}+1)}{4} \quad (6)$$

□

### Correct Solution:

Let  $n \in \mathbb{N}$ .

First, we need to analyze the number of iterations of loop 2 per iteration of loop 1

The code tells us loop 2 starts at  $j = 0$ , ends at  $j = i - 1$ , and  $j$  increases by 2 per iteration.

Using the fact, we can conclude that the number of iterations of loop 2 is

$$\left\lceil \frac{i-1-0+1}{2} \right\rceil = \left\lceil \frac{i}{2} \right\rceil \quad (1)$$

or

$$\frac{i}{2} \quad (2)$$

since we are ignoring floor and ceiling symbols.

Next, we need to add this number over all iterations of loop 1.

The code tell us us it starts at  $i = 0$  with  $i$  increasing by 1 per iteration until the terminating condition of  $i^2 \geq n$ .

And from the inequality, we know the loop finishes at  $i = \sqrt{n} - 1$ .

Using these facts, the total number of iterations of loop 2 is

$$\frac{0}{2} + \frac{1}{2} + \dots + \frac{\sqrt{n}-1}{2} = \sum_{i=0}^{\sqrt{n}-1} \frac{i}{2} \quad (3)$$

$$= \frac{1}{2} \cdot \sum_{i=0}^{\sqrt{n}-1} i \quad (4)$$

Then, it follows from the fact  $\sum_{i=0}^n i = \frac{n(n+1)}{2}$  that,

$$\frac{1}{2} \cdot \sum_{i=0}^{\sqrt{n}-1} i = \frac{1}{2} \cdot \frac{(\sqrt{n}-1)(\sqrt{n}-1+1)}{2} \quad (5)$$

$$= \frac{(\sqrt{n}-1)\sqrt{n}}{4} \quad (6)$$

#### Notes:

- How can I construct a proof in a situation where the slight change in a problem causes the flow of proof to deviate from the main?

One example of how I mean by above is the following

The code tell us us it starts at  $i = 0$  with  $i$  increasing by 1 per iteration until the terminating condition of  $i^2 \geq n$ .

And from the inequality, we know the loop finishes at  $i = \sqrt{n} - 1$ .

- I get the same feeling when solving question 4.b

#### b. *Proof.* Part 1 (Determining the upper bound worst case running time):

Let  $n \in \mathbb{N}$ .

First, we need to analyze the number of iterations in loop 2 per iteration in loop 1.

The code tells us loop 2 starts at  $j = 0$  and ends at  $j = n - 1$  with  $j$  increasing by 1 per iteration.

Using these facts, the number of iterations in loop 2 is



$$\lceil n - 1 - (i + 1) - 1 \rceil = n - i - 1 \quad (1)$$

Next, we need to add this number over all iterations in loop 1 to calculate the total number of loop 2.

Because we know the if condition **if** `lst[i] > 1` will be satisfied at most  $n$  times, from  $i = 0$  to  $i = n - 1$  with  $i$  increasing by 1 per iteration, we can conclude the total number of iterations of loop 2 is at most

$$(n - 0 - 1) + (n - 1 - 1) + \cdots + (n - (n - 1) - 1) = \sum_{i=0}^{n-1} i \quad (2)$$

$$= \frac{n(n - 1)}{2} \quad (3)$$

Then, we can conclude the upper bound worst case running time is  $\mathcal{O}(n^2)$ .

## **Part 2 (Determining the lower bound worst case running time):**

Let  $n \in \mathbb{N}$ , and  $lst = [4n + 0, 4n + 1, 4n + 2, \dots, 4n + (n - 1)]$ .

First, we need to analyze the number of iterations in loop 2 per iteration in loop 1.

The code tells us loop 2 starts at  $j = i + 1$  and ends at  $j = n - 1$  with  $j$  increasing by 1 per iteration.

Using these facts, we can calculate that the number of iterations in loop 2 is

$$\lceil n - 1 - (i + 1) + 1 \rceil = n - i - 1 \quad (4)$$

Next, we need to evaluate the total number of iterations of loop 2 over loop 1.

Because we know the if condition **if** `list[i] > i` is true for all  $i$  (i.e.  $i = 0, 1, 2, 3, \dots, n - 1$ ), we can conclude the total number of iterations of loop 2 is

$$(n - 0 - 1) + (n - 1 - 1) + \cdots + (n - (n - 1) - 1) = \sum_{i=0}^{n-1} i \quad (5)$$

$$= \frac{n(n - 1)}{2} \quad (6)$$

Then, we can conclude the lower bound worst-case running time of algorithm is  $\Omega(n^2)$ .

Furthermore, since the value in  $\mathcal{O}$  and  $\Omega$  are the same,  $\Theta(n^2)$  is also true.

□