

## 1. ISOTYPICITY AND NON-UNITARITY

The main result of this section is ??, which states that counterexamples to Putman-Wieland in genus  $\geq 3$  cannot be isotypic, i.e., there exists an element of  $H^1(\Sigma_{g'}, \mathbb{C})^\rho$  with infinite orbit under the action of a finite index subgroup of the mapping class group. We show more, namely ??: if  $X \rightarrow Y$  is an  $H$ -cover, where  $Y$  has genus at least 3, the virtual action of the mapping class group of  $Y$  on an  $H$ -isotypic component of the cohomology of  $X$  is non-unitary.

In Corollary 1.4 we use this to show how a result from the retracted paper of Boggi-Looijenga [?] would imply the Putman-Wieland conjecture.

Our main tool for proving this is a natural bilinear pairing, which we next introduce. Let  $C$  be a smooth proper connected curve of genus  $g$ ,  $D \subset C$  a reduced divisor,  $E_\star$  a parabolic vector bundle on  $(C, D)$ , and  $E := E_0$ . As described in [?, (4.5)], there is a bilinear pairing

$$(1.1) \quad B_E : (E \otimes \omega_C(D)) \times (E^\vee \otimes \omega_C) \rightarrow \omega_C^{\otimes 2}(D)$$

given as the composition

$$B_E : (E \otimes \omega_C(D)) \times (E^\vee \otimes \omega_C) \xrightarrow{\otimes} (E \otimes E^\vee) \otimes \omega_C^{\otimes 2}(D) \xrightarrow{\text{tr} \otimes \text{id}} \omega_C^{\otimes 2}(D),$$

where  $\text{tr}$  denotes the trace pairing  $E \otimes E^\vee \rightarrow \mathcal{O}_C$ . By restriction to  $H^0(C, \widehat{E}_0 \otimes \omega_C(D)) \subset H^0(C, E \otimes \omega_C(D))$ , we also obtain an induced pairing

$$H^0(C, \widehat{E}_0 \otimes \omega_C(D)) \times H^0(C, E^\vee \otimes \omega_C) \rightarrow H^0(C, \omega_C^{\otimes 2}(D)).$$

**Theorem 1.1.** *Let  $E_\star$  be a semistable parabolic bundle on  $(C, D)$  of parabolic degree zero, with underlying vector bundle  $E := E_0$ . Suppose  $g \geq 2$  and that the pairing  $H^0(\widehat{E}_0 \otimes \omega_C(D)) \otimes H^0(E^\vee \otimes \omega_C) \rightarrow H^0(C, \omega_C^{\otimes 2}(D))$  vanishes. Then  $g = 2$ .*

*Proof.* First, we may assume  $\text{rk } E > 1$  by [?, Proposition 4.2.3], as  $g \geq 2$ . If  $F_\star$  is a parabolic sheaf, we call the image of  $H^0(C, F_0) \otimes \mathcal{O}_C \rightarrow F_0 \subset F_\star$  the globally generated subsheaf of  $F_\star$ , which is by definition also a subsheaf of  $F_0$ . Let  $U \subset \widehat{E}_\star \otimes \omega_C(D)$  denote the globally generated subsheaf of  $\widehat{E}_\star \otimes \omega_C(D)$  and  $V$  denote the globally generated subsheaf of  $\overline{((E_\star)^\vee \otimes \omega_C(D))}_0$ . Under the identifications

$$\begin{aligned} \left( \widehat{E}_\star \otimes \omega_C(D) \right)_0 &= \widehat{E}_0 \otimes \omega_C(D) \\ \overline{((E_\star)^\vee \otimes \omega_C(D))}_0 &= E^\vee \otimes \omega_C, \end{aligned}$$

(see [?, Definition 2.6.1] for the notion of a dual of a parabolic bundle)  $U$  and  $V$  are also the globally generated subsheaves of  $\widehat{E}_0 \otimes \omega_C(D)$  and  $E^\vee \otimes \omega_C$  respectively.

Let  $c_V := \text{rk } E - \text{rk } V$  and  $c_U := \text{rk } E - \text{rk } U$ . Using ?? applied to the bundles  $E_\star \otimes \omega_C(D)$  and  $E_\star^\vee \otimes \omega_C(D)$ , we know  $\text{rk } E \geq gc_V$  and  $\text{rk } E \geq gc_U$ .

On the other hand, we claim  $\text{rk } U + \text{rk } V \leq \text{rk } E$ . Granting this claim, we find  $c_V + c_U \geq \text{rk } E$ . Since  $\text{rk } E \geq gc_V$  and  $\text{rk } E \geq gc_U$ , adding these gives

$$2 \text{rk } E \geq g(c_V + c_U) \geq g \text{rk } E,$$

implying  $2 \geq g$ .

It remains to show  $\text{rk } U + \text{rk } V \leq \text{rk } E$ . We will argue this using the fact that an isotropic subsheaf for a non-degenerate quadratic form on a vector bundle of rank  $2 \text{rk } E$  has rank at most  $\text{rk } E$ . Indeed, consider the quadratic form  $q_E$  on  $E \otimes \omega_C(D) \oplus E^\vee \otimes \omega_C$  associated to the nondegenerate bilinear form  $B_E$  of (1.1):

$$\begin{aligned} q_E : E \otimes \omega_C(D) \oplus E^\vee \otimes \omega_C &\rightarrow \omega_C^{\otimes 2}(D) \\ (v, w) &\mapsto B_E(v, w). \end{aligned}$$

Any vector bundle subsheaf of  $E \otimes \omega_C(D) \oplus E^\vee \otimes \omega_C$  isotropic for this quadratic form has rank at most  $\text{rk } E$ , as may be verified on the generic fiber using that isotropic subspaces of a rank  $2 \text{rk } E$  non-degenerate quadratic space have dimension at most  $\text{rk } E$ . Therefore, it is enough to show  $U \oplus V$  is killed under  $q_E$ . Using  $q_E(U \oplus V) = B_E(U \times V)$ , it is enough to show  $B_E(U \times V) = 0$ . We have a commutative diagram

$$(1.2) \quad \begin{array}{ccc} (H^0(C, U) \otimes \mathcal{O}_C) \times (H^0(C, V) \otimes \mathcal{O}_C) & \longrightarrow & H^0(C, \omega_C^{\otimes 2}(D)) \otimes \mathcal{O}_C \\ \downarrow & & \downarrow \\ U \times V & \xrightarrow{B_E} & \omega_C^{\otimes 2}(D) \end{array}$$

where the top horizontal map vanishes by assumption. Since the vertical maps are surjective, the bottom horizontal map satisfies  $B_E(U \times V) = 0$ , as desired.  $\square$

**1.2. Proof of isotypicity.** We now deduce our desired isotypicity consequence, ?? and ??, for the Putman-Wieland conjecture. With setup as in ??, we have a cover  $\Sigma_{g', n'} \rightarrow \Sigma_{g, n}$  and an action of a finite index subgroup  $\Gamma \subset \text{Mod}_{g, n+1}$  on  $H^1(\Sigma_{g', n'}, \mathbb{C})$ . We aim to show that if  $\rho$  is any irreducible  $H$  representation so that every element of the characteristic subspace  $H^1(\Sigma_{g'}, \mathbb{C})^\rho \subset H^1(\Sigma_{g', n'}, \mathbb{C})$  has finite orbit under  $\Gamma$ , then  $g \leq 2$ .

*Proof of ?? and ??. We first prove ??.*

Assume to the contrary that the virtual action of the mapping class group on  $H^1(\Sigma_{g'}, \mathbb{C})^\rho$  is unitary. Let  $\mathcal{X}^\circ \xrightarrow{\tilde{f}^\circ} \mathcal{C}^\circ \xrightarrow{\pi^\circ} \mathcal{M}$  be a versal family of  $H$ -covers, and  $\mathcal{X} \rightarrow \mathcal{C} \rightarrow \mathcal{M}$  the associated families of proper curves. Let  $m \in \mathcal{M}$  be a point, and  $X \rightarrow Y$  the fiber over  $\mathcal{M}$ . Let  $E_\star^\rho$  be the parabolic bundle on  $Y$  corresponding to the representation  $\rho$  as in ??.

We claim the map  $\bar{\nabla}_m^\rho$  vanishes identically, as  $W^1 R^1 \pi_\star^\circ \rho$ , the variation of Hodge structure on  $\mathcal{M}$  associated to  $H^1(X, \mathbb{C})^\rho$ , is unitary by assumption. Indeed, by [?, 1.13], we may write

$$W^1 R^1 \pi_\star^\circ \rho = \bigoplus_i \mathbb{V}_i \otimes W_i,$$

where the  $\mathbb{V}_i$  are complex variations of Hodge structure with irreducible monodromy and the  $W_i$  are constant variations. The  $\mathbb{V}_i$  carry a unique structure of a  $\mathbb{C}$ -VHS, up to renumbering. As  $W^1 R^1 \pi_\star^\circ \rho$  is unitary by assumption, the same is true of each  $\mathbb{V}_i$ , and so the Hodge filtration of each  $\mathbb{V}_i$  has length at most one. This proves the claim that  $\bar{\nabla}_m^\rho = 0$ .

Note that  $\bar{\nabla}_m^\rho$  is the weight 1 part of the map adjoint to the multiplication map

$$H^0(Y, E_0^\rho \otimes \omega_Y(D)) \otimes H^0((E_0^\rho)^\vee \otimes \omega_Y) \rightarrow H^0(Y, \omega_Y^{\otimes 2}(D))$$

by [?, Theorem 4.1.6]. Since the subspace of  $H^0(Y, E_0^\rho \otimes \omega_Y(D))$  corresponding to  $W^1 \cap F^1$  is  $H^0(Y, \hat{E}_0^\rho \otimes \omega_Y(D))$  by ??, we obtain that the multiplication map

$$H^0(Y, \hat{E}_0^\rho \otimes \omega_Y(D)) \otimes H^0((E_0^\rho)^\vee \otimes \omega_Y) \rightarrow H^0(Y, \omega_Y^{\otimes 2}(D))$$

also vanishes. Using Theorem 1.1, this implies  $g \leq 2$ .

?? is immediate, as representations with finite image are unitary.  $\square$

As a consequence, we show how a claimed result from a paper of Boggi-Looijenga (which has since been retracted by the authors) implies the Putman-Wieland conjecture.

**Corollary 1.3.** *Suppose [?, Theorem B(i)] were true. Then the Putman-Wieland conjecture, ??, would hold for all  $g \geq 3$ .*

**Remark 1.4.** We note that, unfortunately, there is a fatal error in the proof of [?, Theorem B(i)], appearing in [?, Lemma 1.6] (which is used in the proof of [?, Theorem 1.1], and hence of [?, Theorem B(i)]). The error comes in the penultimate sentence of the proof of [?, Lemma 1.6], where it is claimed that

“It follows...,” but in fact no argument is given for this claim. It is for this reason that the authors of [?] retracted that paper.

*Proof.* Suppose  $g \geq 3$ , and we are given a finite étale  $H$ -cover of Riemann surfaces  $f : \Sigma_{g',n'} \rightarrow \Sigma_{g,n}$  furnishing a counterexample to Putman-Wieland. This means some subrepresentation  $\chi \subset H^1(X, \mathbb{C})$  has finite orbit under the action of the mapping class group  $\text{Mod}_{g,n+1}$ . The isotypicity statement of [?, Theorem B(i)] implies that if  $\chi \subset H^1(X, \mathbb{C})$  has finite orbit under the action of the mapping class group  $\text{Mod}_{g,n+1}$ , every element of the  $\chi$ -isotypic component  $H^1(X, \mathbb{C})^\chi$  has finite orbit under the action of  $\text{Mod}_{g,n+1}$ . By definition, this means  $f : \Sigma_{g',n'} \rightarrow \Sigma_{g,n}$  is  $\chi$ -isotypic in the sense of ??, which contradicts ??.  $\square$