# Attention Is All You Need

How Transformers and GPT work

## Not only ChatGPT, Gemini, Grok, Deepseek, etc

Transformer Architecture introduced in 2017 paper named "Attention is All You Need" and initially was intended for Language Translation

#### Found also usages in

- AlphaFold, AlphaGenome
- Robotics (Google RT-1 (Robotics Transformer 1))
- Weather Forecast (<u>TENT</u>, etc)
- Anomaly Detection, <u>Trajectory Prediction</u>
- Audio, Video
- etc

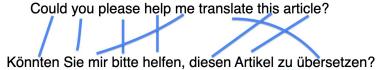


## Translation problems

Different input and output length



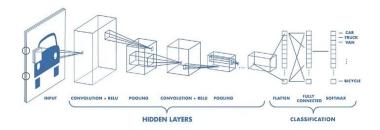
Changing ordering of the words



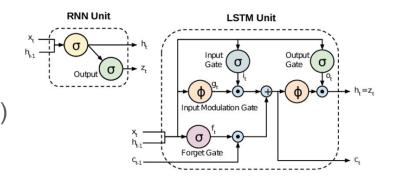
- Morphologically rich languages
- Multiple meanings
- etc

## Common Neural Networks for Sequential Data

- RNN Recurrent Neural Network (1982)
  - LSTM Long Short-Term Memory
  - o GRU Gated Recurrent Unit
- CNN Convolutional Neural Network (1989)
  - o TCN Temporal Convolutional Network



Transformers (2017)





Yann LeCun, CNN inventor

## Generating Text using RNN

#### **Generating Text with Recurrent Neural Networks**

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#### 6.1.1. Samples from the Wikipedia model

We now present a sample from the Wikipedia model. We use? to indicate the "unknown" character. The sample below was obtained by running the MRNN less than 10 times and selecting the most intriguing sample. The beginning of the paragraph and the parentheses near the end are particularly interesting. The MRNN was initialized with the phrase "The meaning of life is":

The meaning of life is the tradition of the ancient human reproduction: it is less favorable to the good boy for when to remove her bigger. In the show's agreement unanimously resurfaced. The wild pasteured with consistent street forests were incorporated by the 15th century BE. In 1996 the primary rapford undergoes an effort that the reserve conditioning, written into Jewish cities, sleepers to incorporate the .5t Eurasia that activates the population. Mar?'?a Nationale, Kelli, Zedlat-Dukastoe, Florendon, Ptu's thought is. To adapt in most parts of North America, the dynamic fairy Dan please believes, the free speech are much related to the

June 12, 2017 - Transformers June 11, 2018 - GPT-1



**Geoffrey Hinton** 

https://icml.cc/2011/papers/524\_icmlpaper.pdf

Image from <a href="https://www.nobelprize.org/prizes/physics/2024/hinton/facts/">https://www.nobelprize.org/prizes/physics/2024/hinton/facts/</a>

### **Bahdanau Attention**

NEURAL MACHINE TRANSLATION
BY JOINTLY LEARNING TO ALIGN AND TRANSLATE

**Dzmitry Bahdanau** 

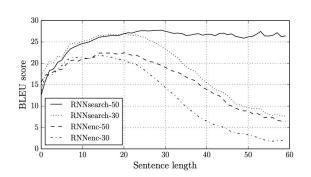
Jacobs University Bremen, Germany

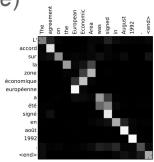
KyungHyun Cho Yoshua Bengio\* Université de Montréal

#### https://arxiv.org/abs/1409.0473

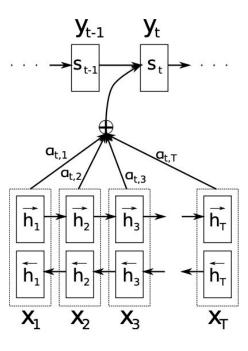
by D Bahdanau · 2014 · Cited by 39758

Introduced attention mechanism (additive)





Images from PDF



### Transformer architecture

Introduced in 2017, paper "Attention Is All You Need"

- For text translation
- Consists from Encoder and Decoder
  - GPT is Decoder only architecure
- Introduced Dot-Product Attention
- Introduced Multi-Head Attention
- RNN -> FNN (MLP)
- Autoregressive Decoder
- Scalable

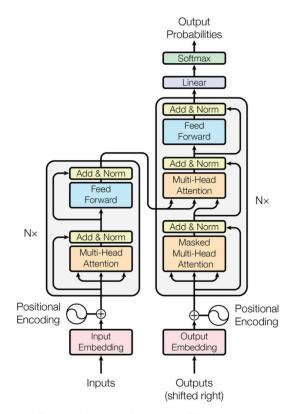


Figure 1: The Transformer - model architecture.

### **Tokenization**

- Algorithm: BPE (byte-pair encoding)
  - originally designed for data compression
- Online: <a href="https://platform.openai.com/tokenizer">https://platform.openai.com/tokenizer</a>

```
Many words map to one token, but some don't: indivisible.
```

- Spaces are included!
- <bos> <eos> and other special tokens.

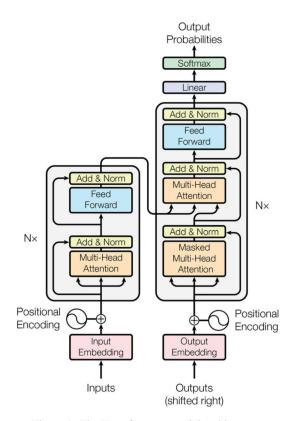


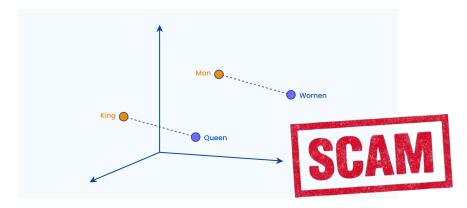
Figure 1: The Transformer - model architecture.

## Embedding

Token -> Vector

Vector size: Transformer - 512, GPT3 - 12288

- Learnable parameter (Initially random)
- Word2Vec, GLoVe are not used!



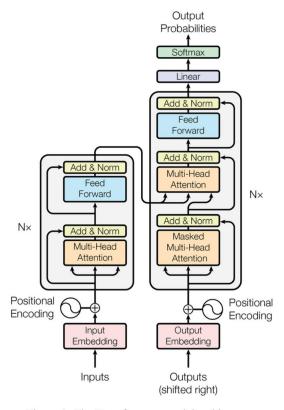


Figure 1: The Transformer - model architecture.

Image from <a href="https://www.searchunify.com/su/sudo-technical-blogs/demystifying-contextual-query-embedding/">https://www.searchunify.com/su/sudo-technical-blogs/demystifying-contextual-query-embedding/</a>

## Positional Encoding

 The cat likes to chase the mouse not equal to

The mouse likes to chase the cat

 $\bullet$  X = X + PE

$$PE_{(pos,2i)} = sin(pos/10000^{2i/d_{\text{model}}})$$
  
 $PE_{(pos,2i+1)} = cos(pos/10000^{2i/d_{\text{model}}})$ 

Details: <a href="https://kazemnejad.com/blog/transformer\_architecture\_positional\_encoding/">https://kazemnejad.com/blog/transformer\_architecture\_positional\_encoding/</a>

Better: RoPE (LLaMa, Deepseek), ALiBi

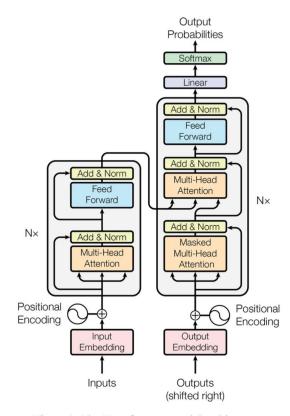


Figure 1: The Transformer - model architecture.

## Data format. Scalability

Transformer accepts data as 3d matrix:

- Embeddings (fixed size)
- Sequences
- Batches (max load to GPU)

Uses matrix tricks inside.

https://www.calculator.net/matrix-calculator.html

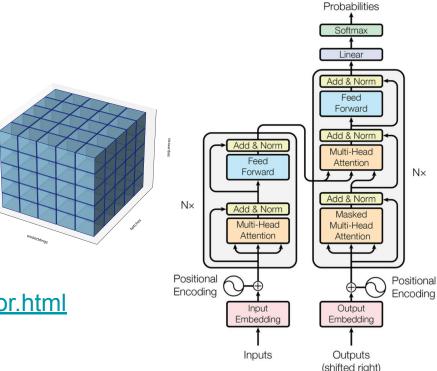


Figure 1: The Transformer - model architecture.

Output

## Add ( = Residual Connection)

$$X = X + attention(X)$$

attention: embeddings see each other

and

$$X = X + ffn(X)$$

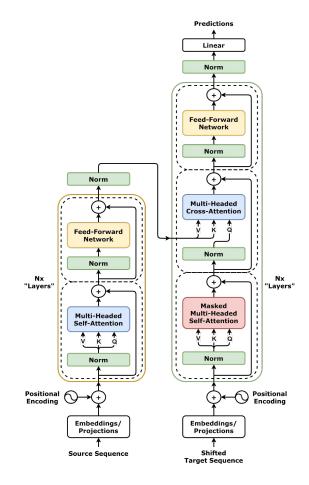
ffn: embeddings do not see each other

**Residual function** refers to the idea that instead of learning a direct mapping from input to output, the network learns the difference (or residual) between the input and the desired output.

Introduced in ResNet (2015, <a href="https://arxiv.org/pdf/1512.03385">https://arxiv.org/pdf/1512.03385</a>)

#### **Deep Residual Learning for Image Recognition**

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Microsoft Research
{kahe, v-xiangz, v-shren, jiansun}@microsoft.com



## Scaled Dot-Product Attention (original name)

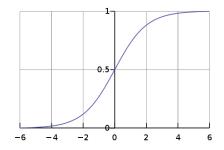
Attention
$$(Q, K, V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d_k}})V$$

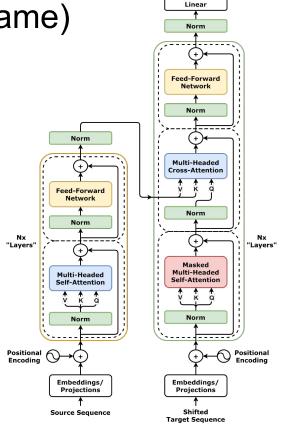
where  $Q = X^*Wq$ ,  $K = X^*Wk$ ,  $V = X^*Wv$ 

Wq, Wk, Wv - Learnable parameters

#### softmax:

$$\sigma(ec{z})_i = rac{e^{z_i}}{\sum_{j=1}^K e^{z_j}}$$



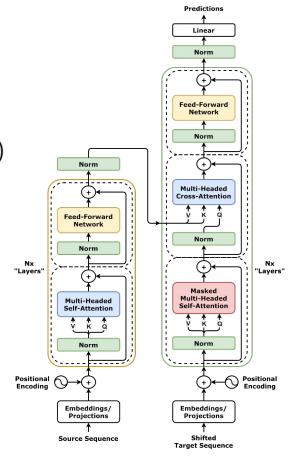


**Predictions** 

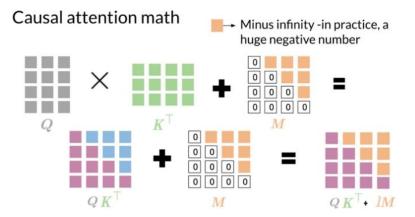
### **Multi-Head Attention**

 $\begin{aligned} \text{MultiHead}(Q, K, V) &= \text{Concat}(\text{head}_1, ..., \text{head}_h) W^O \\ \text{where head}_i &= \text{Attention}(QW_i^Q, KW_i^K, VW_i^V) \end{aligned}$ 

W° returns matrix back to embeddings dimension

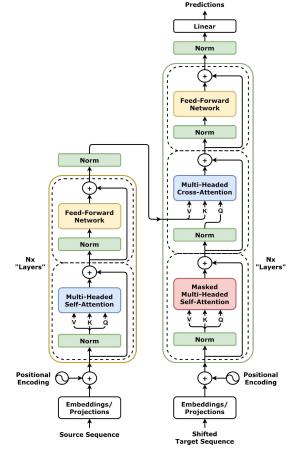


## Masked (Casual) Attention



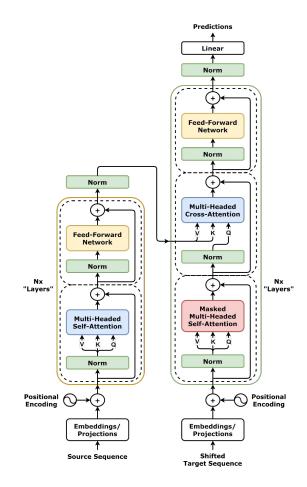
For progressive learning:

The cat likes
The cat likes to



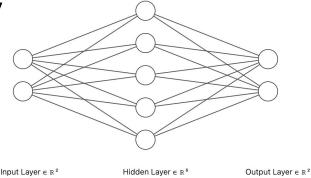
### **Cross-Attention and Self-Attention**

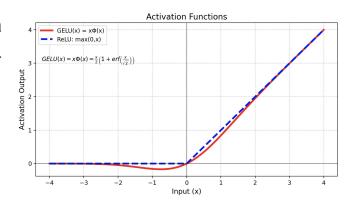
In Cross Attention decoder is calculating K and V from encoder sequence.



## Feed-Forward Neural Network Theory

- Forward Propagation
- Weights and Biases (W and b)
- Activation Functions
  - o ReLU, GeLU, tanh, sigmoid, ...
- Loss Functions
  - measure how well predictions match the actual data
    - Mean Squared Error (MSE), Cross-Entropy, ...
- Backpropagation
- Learning Rate
- Dropout (2016, <u>Hinton paper</u>)
  - o prevents overfitting, neuron dying





### **FNN** in Transformer

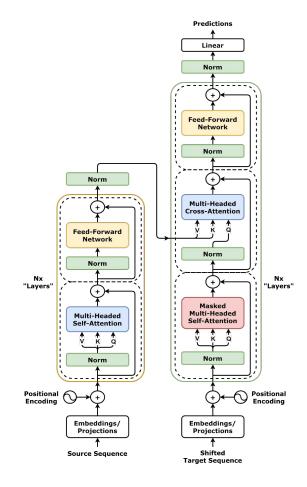
#### **Minimalistic**



$$FFN(x) = \max(0, xW_1 + b_1)W_2 + b_2$$

Uses matrix tricks inside.

https://www.calculator.net/matrix-calculator.html



### Norm

$$X = layer_norm(X)$$

The key of layer norm is to normalize the input to the layer using the mean and standard deviation.

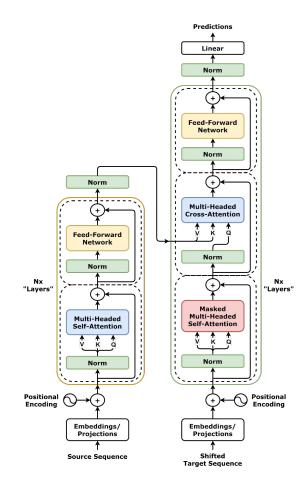
Layer norm plays two roles in neural networks:

- Projects the key vectors onto a hyperplane.
- Scales the key vectors to have the same length.

Paper: <a href="https://arxiv.org/abs/1607.06450">https://arxiv.org/abs/1607.06450</a> (2016)

#### **Layer Normalization**

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### Inference in the Transformer Model

Or back to the next token (classification task)

#### Actions:

- logits = X \* Head
   Head has the same dimension like vocabulary!
- softmaxConvert to probabilities
- argmax / multinomial (if inference) to find next index for embedding (forward) or
- cross entropy (if learning) and backpropogation if learning

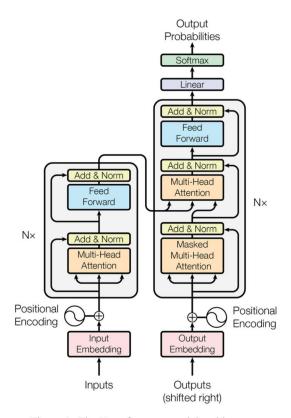


Figure 1: The Transformer - model architecture.

## 90 gigawatts needed

#### Schmidt Warns Congress: U.S. Must Win Race to Superintelligent Al

"The typical nuclear power plant is 1 gigawatt. We have roughly 90 of them. We're talking about [an additional] 90 gigawatts needed in the next 3-5 years in order to maintain this leadership," Schmidt said, highlighting the massive burden AI is putting on the energy grid. He said that supporting the energy needs of AI will require using every form of energy.

Sam Altman Proposes 5-Gigawatt Al Data Center



## Deep Learning. Backprop.

Autograd (automatic differentiation) is a system that automatically computes gradients (derivatives) of tensors in machine learning frameworks like PyTorch, TensorFlow.

- TensorFlow (2015 by Google)
  - define-then-run
- PyTorch (2016 by Facebook)
  - define-by-run

Letter Published: 09 October 1986

#### Learning representations by back-propagating errors

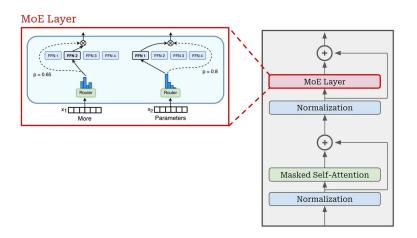
Output **Probabilities** Softmax Linear Add & Norm Feed Forward Add & Norm Add & Norm Multi-Head Attention Forward N× Add & Norm N× Add & Norm Masked Multi-Head Positional Positional Encoding Encodina Input Output Embedding Embeddina Inputs Outputs (shifted right)

Figure 1: The Transformer - model architecture.

## MoE - Mixture of Experts (GPT)

The concept of Mixture of Experts (MoE) was first introduced in 1991 by Robert Jacobs and *Geoffrey Hinton* in the paper <u>Adaptive Mixtures of Local Experts</u>

Used in DeepSeek, Mixtral, etc



## Demo. Q&A

Code and presentaion available at

https://github.com/hza/askGPT