

Sorting in Linear Time: fastest (but special purpose) sorting algorithms



AUBURN UNIVERSITY

Hugh Kwon

- Sorting by comparing pairs of numbers
- Algorithms that sort n numbers in $O(n^2)$ time
 - Insertion, Selection, Bubble
- Algorithms that sort n numbers in $O(n \lg n)$ time
 - Merge, Heap and Quick

- Any comparison sort must make $\Omega(n \lg n)$ comparisons.
- So, $O(n \lg n)$ is the most efficient they can be!
- Now we will talk about three sorting algorithms – counting, radix and bucket – that are linear
- So, they must use a strategy other than comparing pairs of numbers.

- *Assume that each of the n input elements is an integer in the range 0 to k for some integer k .*

COUNTING_SORT(A, B, k)

 let $C[0\dots k]$ be a new array

1 **for** $i = 0$ **to** k

2 $c[i] = 0$

3 **for** $j = 1$ **to** $A.length$

4 $c[A[j]] = c[A[j]] + 1$

 // $c[i]$ now contains the number of
 elements equal to i

5 **for** $i = 1$ **to** k

6 $c[i] = c[i] + c[i-1]$

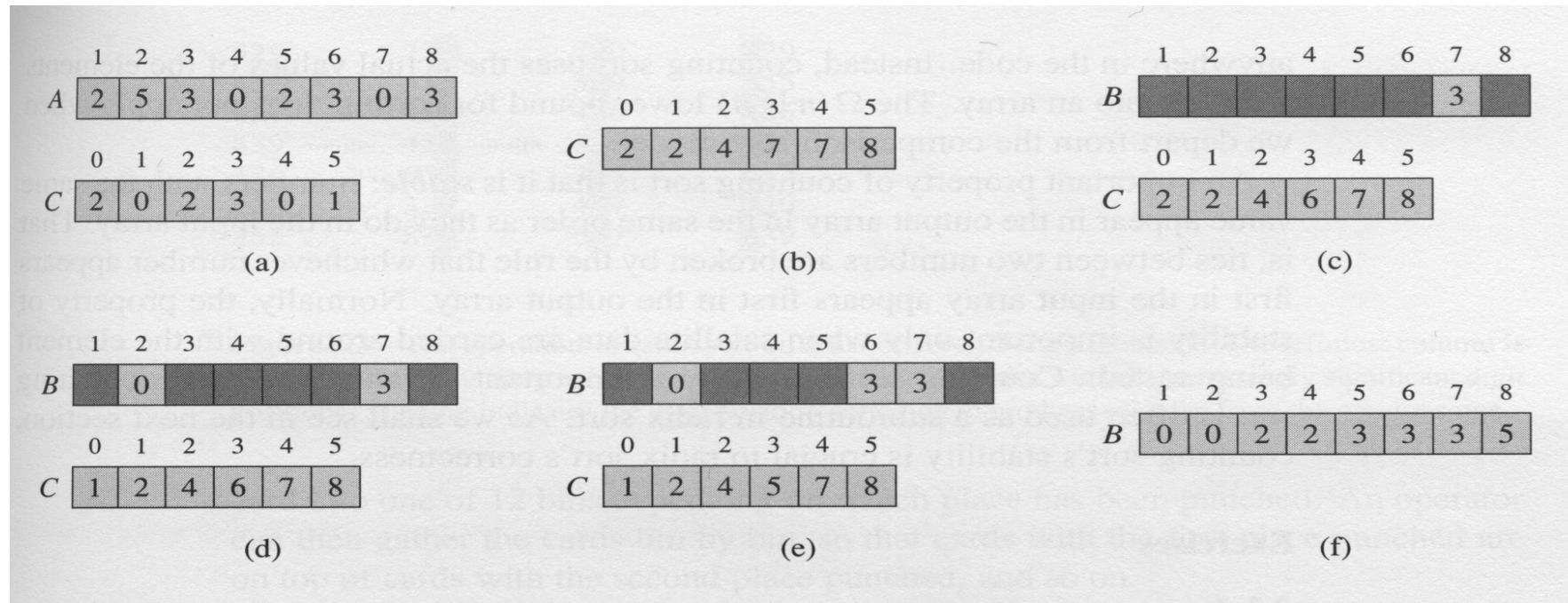
 // $c[i]$ now contains the number of
 elements less than or equal to i

7 **for** $j = A.length$ **downto** 1

8 $B[c[A[j]]] = A[j]$

9 $c[A[j]] = c[A[j]] - 1$

The operation of Counting-sort on an input array A[1..8]



COUNTING_SORT(A, B, k)

let $C[0 \dots k]$ be a new array

1 **for** $i = 0$ **to** k

2 $c[i] = 0$

3 **for** $j = 1$ **to** $A.length$

4 $c[A[j]] = c[A[j]] + 1$

// $c[i]$ now contains the number of
elements equal to i

5 **for** $i = 1$ **to** k

6 $c[i] = c[i] + c[i-1]$

// $c[i]$ now contains the number of
elements less than or equal to i

7 **for** $j = A.length$ **downto** 1

8 $B[c[A[j]]] = A[j]$

9 $c[A[j]] = c[A[j]] - 1$

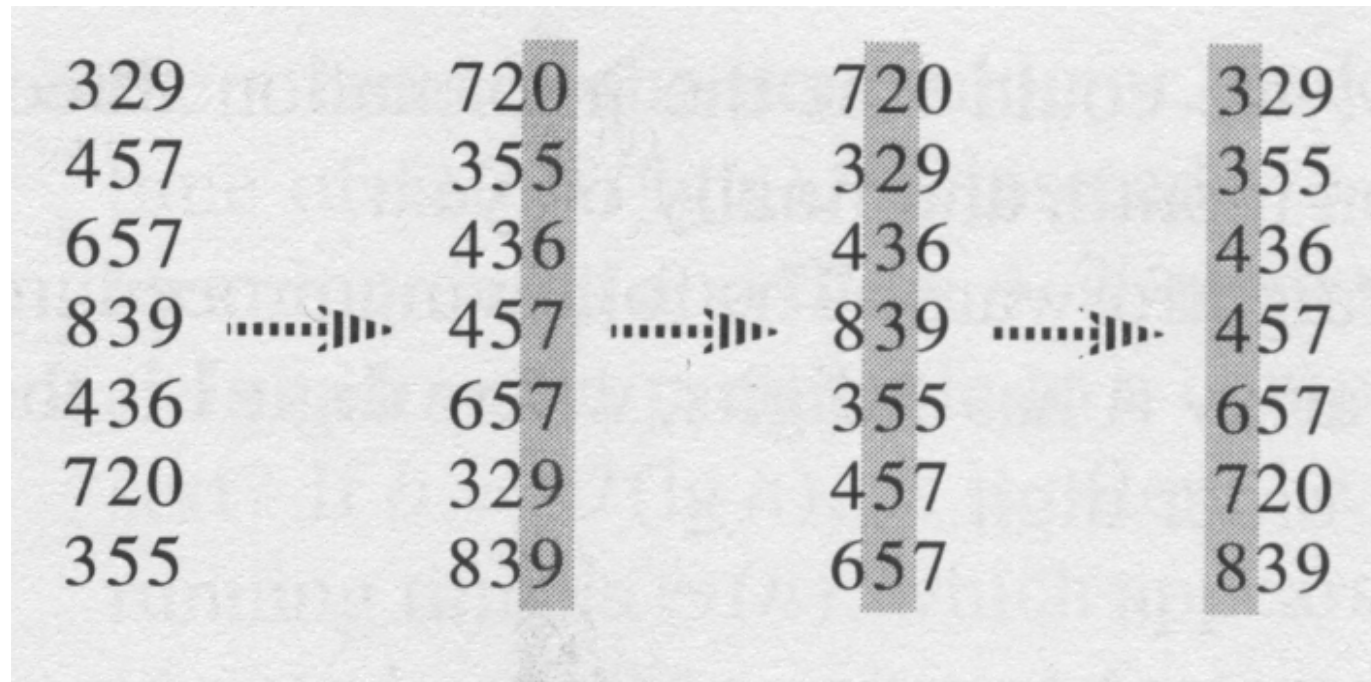
- Approximate Analysis: $\Theta(k + n)$
- $\Theta(n)$ when $k < n$
- $\Theta(k)$ when $k > n$
- What if $k \gg n$?
- Counting sort is *not an in-place* algorithm.
- Counting sort is **stable** (numbers with the same value appear in the output array in the same order as they do in the input array.)

- Modify the algorithm to sort n integers in the range $i-j$, $i \leq j$, where $i \geq 0$
- Modify the algorithm to sort n integers in the range $i-j$, $i \leq j$, where $i \neq 0$ and either or both of i and j may be negative

$\text{RADIX_SORT}(A, d)$

1 **for** $i = 1$ **to** d

2 use a stable sort to sort array A on digit i



Given n d -digit numbers in which each digit can take on up to k possible values, Radix sort correctly sorts these number in $\Theta(d(n + k))$ time if Counting Sort is used.

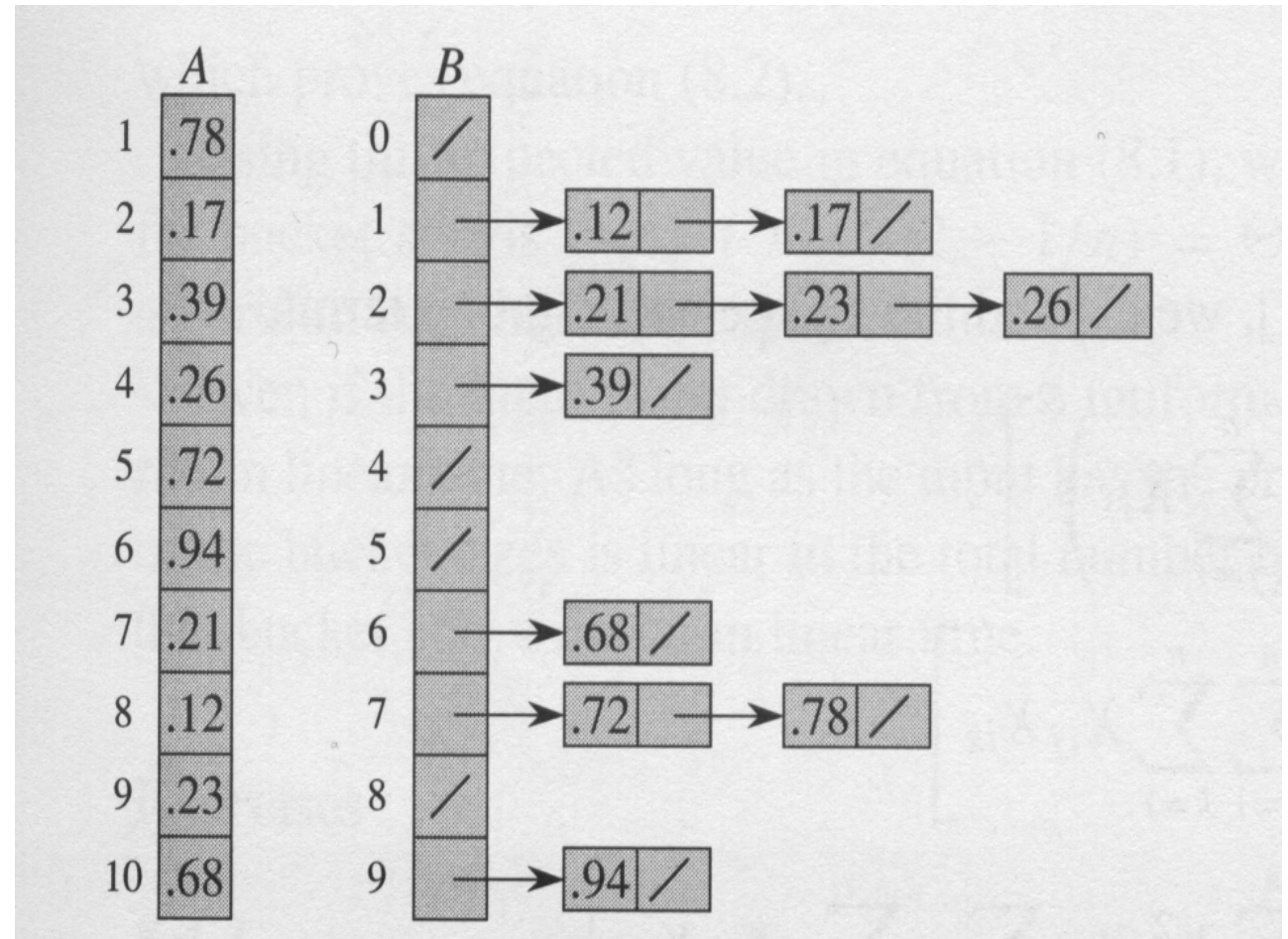
$k = 10$ so for large n , $n+k$ can be approximated as n , Radix sort runs in $\Theta(dn) = \Theta(n)$ time because d is also a small constant.

- Why is it important that the sorting algorithm used by Radix sort be stable?
- Radix sort is not an in-place algorithm...why?

- Suppose you were to use the Counting Sort algorithm in step 2 of Radix Sort. Will Radix Sort work correctly for all negative integers? Why or why not? If not, can you modify Radix and/or Counting Sort to make this work?
- Suppose you were to use the Counting Sort algorithm in step 2 of Radix Sort. Will Radix Sort work correctly for mixed +ve and -ve integers? Why or why not? If not, can you modify Radix and/or Counting Sort to make this work?

BUCKET_SORT(A)

```
1   $n = A.length$ 
2  let  $B[0 \dots n-1]$  be a new array
3  for  $i = 0$  to  $n-1$ 
4      make  $B[i]$  an empty list
5  for  $i = 1$  to  $n$ 
6      insert  $A[i]$  into
          list  $B[\lfloor nA[i] \rfloor]$ 
7  for  $i = 0$  to  $n-1$ 
8      sort list  $B[i]$  with insertion sort
9  concatenate  $B[0], B[1], \dots, B[n-1]$  in order
```



Bucket sort is linear, $O(n)$, because if the numbers are distributed uniformly across each of the n buckets, each bucket will only have on average **one** number in it.

- The algorithm requires an input of real numbers uniformly distributed over the interval $[0,1)$. Why is it important that the input to Bucket sort be uniformly distributed over this interval?
- Instead of simply adding new numbers to the head of the linked lists and later sorting the lists using Insertion Sort, you can maintain sorted linked lists by adding a new number in its correct sorted location in step 6 and do away with steps 7 and 8. Will this change the complexity of the algorithm? If so, how? If not, why not?

- The algorithm uses n buckets for n input numbers in the range $[0,1)$ and some buckets may be empty while others contain more than one number and need to be sorted. But if we restrict the input to n integers in a range $[0,k]$ as was the case for Counting Sort, and if you use $k+1$ buckets, you can avoid having to use Insertion sort. Why?
- How will you modify the Bucket Sort algorithm to accomplish this? Compare this modified algorithm with Counting Sort in terms of space and time efficiency.
- How will you modify the algorithm step 6 to accommodate real number input from some interval $[0,p)$, $p>1$, not $[0,1)$?
- How will you modify the algorithm step 6 to accommodate real number input from some interval $[i,j)$, not $[0,1)$?

- Omit 8.1
- Read 8.2
- Read 8.3 (omit lemmas and proofs)
- Read 8.4 (omit average case analysis p.202-203)

- Do Exercises:
- 8.2-1:8.2-4
- 8.3-1:8.3-3
- 8.4-1:8.4-2

- A universal problem! Many applications incorporate sorting.
- There is a wide variety of sorting algorithms, and they use a rich set of techniques. So sorting provides a good case study of a variety of algorithm design techniques resulting in algorithms of differing complexities.

Popular Sorting Algorithms

- Quadratic Sorts $O(n^2)$
 - Bubble, Insertion, Selection
- A sort that improved on this (of historical interest only)
 - Shell Sort $O(n^{1.5})$
- Efficient Sorts $O(n \lg n)$
 - Merge, Heap, Quick
- Linear (specialized) sorts $O(n)$
 - Counting, Radix, Bucket
- For a larger list, see https://en.wikipedia.org/wiki/Sorting_algorithm

- Time Efficiency
- Space Efficiency: in-place
- Stability
- Recursive/Non-recursive

- Thinking Assignments
 - Which of the sorting algorithms we discussed are in-place?
 - Which of the sorting algorithms we discussed are stable?



AUBURN UNIVERSITY
