Explaining away in semantic/pragmatic adaptation

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Abstract

Previous work has shown that listeners deal with variability in language use through adaptation; they update expectations about a specific speaker's productions based on the interactions with that speaker. We explore whether contextual information such as a speaker's mood can influence the extent to which listeners adapt to variable use of uncertainty expressions like *might* and *probably*. We find that information about the speaker's mood influences participants' expectations about a generic speaker's use of uncertainty expressions (Exp. 1). We further find that information about the speaker's mood influences adaptation behavior: listeners adapt less to a speaker if they are provided with a reason for the observed language use (Exp. 2), though not more when the behavior is highly unexpected (Exp. 3). These results suggest that listeners explain away otherwise unexpected behavior when presented with a reason, and that the extent of adaptation depends on prior expectations about language use.

Keywords: adaptation; psycholinguistics; semantics; pragmatics; uncertainty expressions

Introduction

Speakers exhibit production variability at all levels of linguistic representation. Listeners deal with this variability by adapting to speakers and forming speaker-specific expectations about language use (e.g., Norris, McQueen, & Cutler, 2003; Kraljic & Samuel, 2005; Bradlow & Bent, 2008; Kurumada, Brown, & Tanenhaus, 2012; Kamide, 2012; Kleinschmidt & Jaeger, 2015; Fine & Jaeger, 2016; Roettger & Franke, 2019). For example, at the lexical level, Yildirim, Degen, Tanenhaus, and Jaeger (2016) found that participants were uncertain whether a generic speaker would use the utterance Some of the candies are green or the utterance Many of the candies are green to describe a bowl in which there were approximately equal numbers of green and blue candies. However, if participants briefly observed a speaker describing this scene either consistently using some or consistently using many, they updated their expectations about how that speaker would use quantifiers to describe different proportions. Similarly, Schuster and Degen (2019, henceforth S&D) found that listeners update their expectations about a speaker's use of uncertainty expressions like might and probably after brief exposure to that speaker.

While previous work shows that listeners *can* adapt to variable language use, the contextual conditions and limits on adaptation are under-explored. In principle, it is possible that listeners might update their production expectations regardless of the context of utterance. Alternatively, listeners' production expectations, and consequently their adaptive behav-

ior, might be modulated by non-linguistic contextual factors. To illustrate this, consider a speaker S who is in a very good mood and wants to be encouraging. If S tells a listener L "you'll *probably* win the sweepstake" when there is only a 60% chance of winning, L may consider S's use of *probably* instead of a weaker alternative such as *might* to be the result of S's mood. Consequently, L would not necessarily expect S to use *probably* to describe the same event probability when S is in a worse or more discouraging mood.

Recent computational models suggest that adaptation may be modulated by contextual factors. S&D proposed a computational model of adaptation to variable use of uncertainty expressions based on Bayesian belief updating. According to this model, when interacting with a speaker and observing their language use, listeners integrate their prior beliefs about the speaker's semantic representations and lexical preferences with the observed utterances to arrive at updated speaker-specific production expectations. Similar Bayesian belief-updating models have been proposed for adaptation in other linguistic domains, including in phonetic adaptation (Kleinschmidt & Jaeger, 2015), syntactic adaptation (Kleinschmidt, Fine, & Jaeger, 2012), and prosodic adaptation (Roettger & Franke, 2019). All of these models predict that the extent to which listeners adapt depends on how they initially expect a speaker to use language. Consequently, contextual factors that affect listeners' expectations about language use should also affect how much listeners adapt to specific speakers. If, given contextual information, a speaker's behavior matches prior expectations, there is no need to adapt.

In addition to the model-predicted influence of contextual factors on adaptation, there is empirical evidence from phonetic adaptation: Kraljic, Samuel, and Brennan (2008) used a lexical retuning paradigm to investigate adaptation to a speaker who produced a sound that was ambiguous between /s/ and /sh/. They found that without additional information listeners adapted, such that their perceptual boundary between /s/ and /sh/ shifted. However, when participants were shown a picture of the speaker with a pencil in their mouth, they explained away the observed signal as a pencil-distorted /sh/-sounding /s/ rather than as an intentionally produced /sh/-sounding /s/. Consequently, they did not adapt, i.e., their perceptual boundary between /s/ and /sh/ did not shift.

In this work, we investigate for the first time whether listeners explain away otherwise unexpected behavior at the

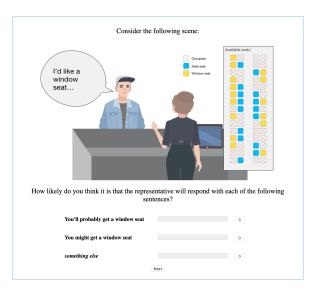


Figure 1: Example trial from Experiment 1 and the post-exposure blocks from Experiments 2 and 3.

lexical level if they are presented with contextual information that provides a reason for a speaker's productions. Concretely, we investigate whether one contextual factor – the speaker's mood – provides such a reason for otherwise less expected uses of the uncertainty expressions *might* and *probably* (EXPLAINING AWAY HYPOTHESIS). However, considering that previous experimental studies on semantic adaptation (Yildirim et al., 2016; Schuster & Degen, 2019) kept all aspects of the context constant between the exposure and test phase, it could also be that listeners simply learn associations between the use of uncertainty expressions and speakers, independent of other contextual information (ASSOCIATIVE HYPOTHESIS).

We investigate this issue as follows. We first establish that language users have different expectations about a generic speaker's use of uncertainty expressions depending on their beliefs about the speaker's mood (Exp. 1). We then investigate how much participants adapt when they are provided with information about the speaker's mood that makes their use of uncertainty expressions more expected, and compare participants' adaptation behavior to a neutral adaptation setting in which participants do not receive any information about the speaker's mood (Exp. 2). Finally, we investigate the relationship between adaptation and highly unexpected behavior by exposing participants to a speaker whose use of uncertainty expressions is incongruent with their mood (Exp. 3). We find that listeners adapt less when they are presented with a reason for the speaker's behavior. However, surprisingly, we also find that listeners do not adapt more when the behavior is highly unexpected given contextual information, potentially suggesting that there are limits on adaptation.

Experiment 1: Effect of speaker mood

In Exp. 1, we investigated how one contextual factor, the speaker's mood, affects listeners' expectations about a

speaker's use of the uncertainty expressions *might* and *probably* for a range of event probabilities. The choice to manipulate the speaker's mood was guided by the intuition that listeners expect a speaker in a good mood to use uncertainty expressions differently from a speaker in a bad mood. Moreover, mood is a non-inherent property of speakers that can change over time, which is important for Exps. 2 and 3.

Procedure, materials, analyses, exclusions and predictions were pre-registered on OSF (http://osf.io/anonymized).

Methods

Participants We recruited 60 participants (20 per condition) from Amazon's Mechanical Turk.

Materials and procedure At the beginning of the experiment, participants were introduced to an airline representative. Depending on the condition, the instructions explained that the representative was having a particularly bad day and feeling pessimistic and angry (pessimist condition); that she was having a particular great day and feeling optimistic and helpful (optimist condition); or that she was having a normal day (neutral condition). In addition to the textual mood information, the drawing of the representative showed her with an angry face (pessimist), a big smile (optimist), or a neutral facial expression (neutral).

Participants were then instructed that they would see scenes in which a customer of a cheap airline, who had the choice between getting a seat assigned at random or paying \$50 to pick their seat, would ask the representative about their possible seat assignment, to determine the likelihood of getting their preferred seat without paying. As shown in Fig. 1, participants could see the seat map and thus determine the number of available window and aisle seats and estimate the probability of getting the preferred seat. On each trial, participants had to indicate how likely they considered the representative to respond with one of the following two utterances:

- You might get a window seat/an aisle seat. (MIGHT)
- You'll probably get a window seat/an aisle seat.
 (PROBABLY)

Participants indicated their production expectations by distributing 100 points across these two utterances using a slider. If they thought that neither of the two utterances were likely responses, they could assign points to a blanket *something else* option. Participants completed 36 trials: they provided 4 ratings for each of 9 different probabilities of getting a preferred seat, ranging from 0% to 100% as indicated by the seat map. Trials were counterbalanced on whether the customer asked for a window or an aisle seat and trial order was randomized.

Analysis and exclusions Following S&D, we quantified the production expectations for MIGHT and PROBABLY by fitting a spline with 4 knots for each participant and expression and computing the area under the curve (AUC) for each of these splines. A larger AUC indicates that an expression

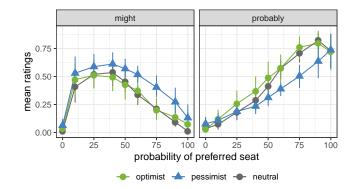


Figure 2: Mean ratings for MIGHT and PROBABLY for each condition in Exp. 1. Error bars correspond to bootstrapped 95%-confidence intervals.

was rated highly for a larger range of event probabilities. To compare production expectations across conditions, we computed the difference in AUC between MIGHT and PROBABLY and compared the average difference across participants in the two conditions.

We excluded participants who provided random responses. Concretely, we excluded participants whose ratings for different event probabilities highly correlated (r > 0.75) with their average rating, suggesting that they always provided approximately the same rating independent of the event probability. Based on this criterion, we excluded 7 participants (*optimist*: 1, *pessimist*: 3, and *neutral*: 3).

Predictions We predicted that participants expect the *optimistic* speaker to be encouraging and therefore to use PROB-ABLY for a wider range of event probabilities than the *pessimistic* speaker. Conversely, we predicted that participants expect the *pessimistic* speaker to use MIGHT for a wider range of probabilities than the *optimistic* speaker. This prediction should be reflected in larger AUC differences in the *pessimist* condition than in the *optimist* condition. Since it was unclear what mood participants attributed to the *neutral* speaker, we only predicted that the mean AUC difference for this third condition should lie between the mean AUC differences of the *optimist* and *pessimist* conditions, with the possibility of being equal to one of the two conditions.

Results and discussion

Fig. 2 shows participants' mean ratings for MIGHT and PROB-ABLY across the three conditions. As expected, we observe ratings close to 0 for both utterances when there is a 0% chance of getting the preferred seat (where there is a preference for the *something else* option, not shown), high ratings for MIGHT for low event probabilities, and high ratings for PROBABLY for high event probabilities. At the same time however, there are also differences across conditions. As the left panel shows, MIGHT was rated higher in the *pessimist* condition than in the *optimist* condition for a large range of event probabilities; as the right panel shows, the opposite was true for PROBABLY. Ratings in the *neutral* condition were

Condition Mood	pessimist bad	cautious neutral	confident neutral	optimist good
p = 25%	_		MIGHT x5	
p = 60%	MIGHT x5		PROBABLY x5	
p = 90% p = 100%	PROBABLY x5		_	
p = 100%	BARE x3		BARE x3	

Table 1: Overview of exposure utterances in Exp. 2. *p* indicates the proportion of preferred available seats shown on the seat map while the speaker produced the utterance. Critical trials are highlighted in gray.

almost identical to the ratings in the *optimist* condition. All these observations were also reflected in the AUC differences: The AUC differences in the *pessimist* condition were greater than in the *optimist* condition (t(34) = 2.51, p < 0.05). The AUC differences in the *neutral* condition – while numerically slightly larger – were not significantly different from the differences in the *optimist* condition (t(34) = 0.35, p > 0.7).

These results provide evidence that listeners have mood-dependent expectations about a generic speaker's use of uncertainty expressions. In Exp. 2 we investigate whether speaker mood affects the extent to which listeners adapt to that speaker's use of uncertainty expressions.

Experiment 2: Explaining away

In an exposure-and-test paradigm, we investigated whether adaptation to a specific speaker is modulated by knowledge about the speaker's mood. We follow S&D and either exposed participants to a speaker who always used MIGHT to describe an event probability of 60% (henceforth a "cautious" speaker) or a speaker who always used PROBABLY to describe an event probability of 60% (a "confident" speaker). Based on the results of Exp. 1, the behavior of a cautious speaker is more expected of a speaker who is having a bad day, and the behavior of a confident speaker is more expected of a speaker who is having a good day. We thus hypothesized that participants' beliefs about the speaker's mood influence how much they adapt: If the speaker's behavior is mood-congruent, we expected participants to experience a weaker expectation violation and adapt less than in the neutral conditions.

Procedure, materials, analyses, exclusions and predictions were pre-registered on OSF (http://osf.io/anonymized).

Methods

Participants We recruited 320 participants (80 per condition) from Amazon's Mechanical Turk.

Materials and procedure The first block of the experiment consisted of an exposure phase with 13 trials (5 critical, 8 filler). On each trial, participants first saw a scene in which a customer asked about a specific seat and a seat map which indicated the number of available window and aisle seats (see top part of Fig. 1). To make sure participants paid attention to the seat map, they were then asked to rate how likely the

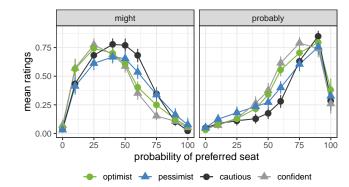


Figure 3: Mean ratings for MIGHT and PROBABLY for each condition in Exp. 2. Error bars correspond to bootstrapped 95%-confidence intervals.

customer was to get the preferred seat. They then listened to a pre-recorded response from the airline representative. Exposure trials were identical across the pessimist and cautious speaker conditions and identical across the optimist and confident speaker conditions but differed across these two pairs of conditions (see Table 1 for an overview): In the pessimist and cautious speaker condition, there were 5 critical trials in which the representative described a 60% probability of getting the preferred seat with "You might get one" (MIGHT); in the optimist and confident speaker conditions, the speaker responded with "You'll probably get one" (PROBABLY). 5 filler trials in the pessimist/cautious speaker conditions consisted of probably responses when there was a 90% preferred seat probability, and 5 filler trials in the optimist/confident speaker conditions combined might with a 25% preferred seat probability. Finally, 3 additional filler trials in all four conditions consisted of the response "You'll get one" (BARE) when it was 100% likely for the customer to get their preferred seat. Filler trials were intended to boost credibility of the speaker.

The exposure block was followed by another instruction, informing participants in all conditions that it was a week later and that the airline representative was having a normal day, followed by another manipulation check asking participants to rate how they thought the representative was feeling.

The last block of the experiment was identical to the trials in Exp. 1: participants completed 36 trials and rated how likely they thought it was that the speaker produced MIGHT, PROBABLY or *something else* for 9 different preferred seat probabilities.

Analysis and exclusions We computed the AUC difference between the splines for MIGHT and PROBABLY for each participant as in Exp. 1. We again excluded data from participants providing random responses, which led to 52 exclusions (pessimist: 9, cautious: 14, optimist: 18, confident: 11).

Predictions We expected that participants would adapt to the use of uncertainty expressions by the different speakers and update their expectations. Further, in line with the EXPLAINING AWAY HYPOTHESIS, participants in the *pessimist* and *optimist* conditions, who should experience less of an

expectation violation, should adapt less than participants in the other two conditions. In terms of the AUC difference (AUC(might) - AUC(probably), we therefore expected the following ordering: $cautious\ speaker < pessimist \leq optimist < confident\ speaker$.

However, in Exp. 1, we also found that the ratings in the *neutral* condition did not significantly differ from the ratings in the *optimist* condition. This suggests that listeners' initial expectations about the speaker's mood and the associated production expectations only slightly differ across the *optimist* and the *confident speaker* conditions and therefore we also expected similar adaptation behavior in these two conditions. We therefore, while expecting the numerical ordering described above, expected and pre-registered only significant differences between the *pessimist* and *confident speaker* conditions, and between the *cautious speaker* and *confident speaker* conditions.

If listeners' adaptation behavior is not affected by contextual information, in accordance with the ASSOCIATIVE HYPOTHESIS, there should be no difference between the *cautious speaker* and *pessimist* conditions and no difference between the *confident speaker* and *optimist* conditions.

Results and discussion

Fig. 3 shows the mean ratings for MIGHT and PROBABLY for the four conditions. The results are consistent with the predictions according to the EXPLAINING AWAY HYPOTH-ESIS: First, participants in the *confident speaker* condition rated PROBABLY higher for a larger range of event probabilities than in the *cautious speaker* condition and the opposite was true for MIGHT. This pattern is also reflected in the mean AUC difference, which is larger in the *cautious speaker* condition than in the *confident speaker* condition (t(133) = 5.18, p < 0.001). This result replicates the adaptation effect found by S&D and suggests our seat map paradigm is suited for studying adaptation in the use of uncertainty expressions.

Second, we also observe differences between the *cautious* speaker and pessimist conditions. The mean AUC difference is larger in the *cautious* speaker condition than in the pessimist condition (t(135) = 2.38, p < 0.02).

Third, we also observe a numeric difference between the *confident speaker* and *optimist* conditions. Numerically, the AUC difference is larger in the *optimist* condition than in the *confident speaker* condition, but not significantly so (t(129) = 1.61, p = 0.11).

Lastly, as shown in Fig. 4, participants in the *optimist* and *pessimist* condition updated their beliefs about the mood after we instructed them that the speaker was now in a normal mood, suggesting that this instruction was sufficient to update participants' beliefs about the speaker's mood. As expected,

 $^{^1}$ This intuition was further confirmed in a pilot study with 10 participants per condition, which we conducted prior to pre-registration. In the pilot, we found the expected ordering for the *cautious speaker*, *pessimist*, and *confident speaker* conditions but the difference between the *optimist* and *confident speaker* condition was so small that we would have needed more than 205 participants per condition to achieve power of $\beta = 0.8$.

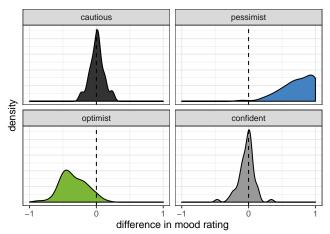


Figure 4: Differences in mood ratings in Exp. 2. The x-axis indicates the difference between the mood rating before the exposure block and the mood rating before the test block.

participants in the two neutral conditions did not change their beliefs about the speaker's mood.

The results from this experiment provide evidence for listeners explaining away otherwise unexpected productions if they are presented with a reason for the speaker's behavior, and are predicted by the EXPLAINING AWAY account.

However, with additional stipulations, these results are also compatible with the ASSOCIATIVE account. One aspect of the context, the speaker's mood, changed between the exposure block and the test block in the *pessimist* and *optimist* conditions but not in the other two conditions. Therefore, it could be that this difference between the blocks leads to weaker associations between utterances and the context and therefore we observe less adaptation in the *pessimist* and *optimist* conditions. To evaluate this possibility, we conducted Exp. 3.

Experiment 3: Incongruent conditions

In Exp. 3, we investigated participants' adaptation behavior when the speaker's use of uncertainty expressions was incongruent with the information about the speaker's mood, i.e., a speaker in a bad mood using uncertainty expressions like the confident speaker in Exp. 2, or a speaker in a good mood behaving like the cautious speaker. According to the EXPLAIN-ING AWAY account, this should lead listeners to experience a stronger expectation violation than in the neutral and congruent conditions in the previous experiment and therefore listeners should adapt more. According to the ASSOCIATIVE account, on the other hand, listeners should adapt less than in the neutral conditions because according to this account, the smaller adaptation effect that we found in the optimist and pessimist conditions in the previous experiment was caused by a difference between the exposure phase and the test phase, which is still present in this experiment.

Methods

Participants We recruited 160 participants (80 per condition) from Amazon's Mechanical Turk.

Condition Mood	pessimist incongr. bad	optimist incongr.	
p = 25%	MIGHT x5	_	
p = 60%	PROBABLY x5	MIGHT x5	
p = 90%	_	PROBABLY	
p = 100%	BARE x3	BARE x3	

Table 2: Overview of exposure utterances in Exp. 3. *p* indicates the proportion of preferred available seats shown on the seat map while the speaker produced the utterance. Critical trials highlighted in gray.

Materials and procedure The procedure was identical as in Exp. 2. There were two conditions: *optimist incongruent* and *pessimist incongruent*. The *optimist incongruent* condition showed a speaker in a good mood who produced the same utterances as the *pessimist* and *cautious* speaker from the previous experiment. The *pessimist incongruent* condition showed a speaker in a bad mood who produced the same utterances as the *optimist* and *confident* speakers in Exp. 2. See Table 2 for an overview of the exposure trials.

Analysis and exclusions Analyses and exclusions were identical to the ones of Exp. 2. We excluded 27 participants (*optimist incongruent*: 15, *pessimist incongruent*: 12).

Predictions We predicted that participants would adapt to different uses of uncertainty expressions: We expected the AUC difference in the *optimist incongruent* condition to be larger than in the *pessimist incongruent* condition. We further predicted that listeners experience a stronger expectation violation than in the neutral conditions in Exp. 2. We therefore also predicted the AUC difference in the *optimist incongruent* condition to be larger than in the *cautious speaker* condition, and the AUC difference in the *pessimist incongruent* condition to be smaller than in the *confident speaker* condition.

Results and discussion

Fig. 5 shows the mean ratings for MIGHT and PROBABLY for the two conditions in this experiment as well as the mean ratings from the neutral conditions from Exp. 2. As this plot shows, participants adapted to the different uses of uncertainty expressions. The mean AUC difference in the *optimist incongruent* condition was larger than in the *pessimist incongruent* condition (t(131) = 5.90, p < 0.001). However, unexpectedly, participants did not adapt more in the incongruent conditions than in the neutral conditions. The mean AUC difference in the *optimist incongruent* condition was not larger than in *cautious* condition (t(129) = 0.004, p = 0.99), and the mean AUC difference in the *pessimist incongruent* condition was not significantly smaller than in the *confident* condition (t(135) = -1.18, p = 0.24).

In this experiment, we again replicated the adaptation effect. However, we did not find a significantly stronger adaptation effect across the two incongruent conditions in this ex-

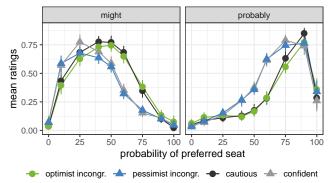


Figure 5: Mean ratings for MIGHT and PROBABLY for the two conditions in Exp. 3 as well as the neutral conditions in Exp. 2. Error bars correspond to bootstrapped 95%-confidence intervals.

periment as compared to the neutral conditions from the previous experiment, despite the fact that listeners should have experienced a stronger expectation violation.

What do these results imply for the EXPLAINING AWAY and ASSOCIATIVE accounts that we presented above? Together with the results from Exp. 2, the results from this experiment are unexpected under the ASSOCIATIVE account: if the reason for participants adapting less in the *pessimist* condition had been the difference in context between the exposure and test blocks, we would have expected less adaptation in this experiment as well.

However, we also did not find stronger adaptation, as we would have expected under the EXPLAINING AWAY account. We can only speculate about the reasons for this, but two explanations seem likely. First, given that there was a numerical difference between the *pessimist incongruent* and *confident* conditions in the expected direction, it could be that our experiment was underpowered to detect a potentially very small effect. However, a power analysis suggests we would need more than 500 participants per condition to achieve power of $\beta=0.8$ and therefore we did not explore this option further.

Second, it could be that there is a limit on how much listeners can adapt and that this limit is already reached in the neutral conditions. If this was the case, listeners could still experience a stronger expectation violation when the behavior is incongruent with contextual factors but this stronger violation still does not lead to stronger adaptation.

General Discussion

In three experiments, we showed that language users have different expectations about the use of uncertainty expressions depending on their beliefs about the speaker's mood, and that this difference in expectations affects the extent of semantic adaptation. The results suggest listeners explain away otherwise unexpected behavior when they are presented with a cause, similarly as Kraljic et al. (2008) found for phonetic adaptation.

Our results further largely confirm a prediction made by computational models of adaptation, namely that the extent of adaptation depends on how much observed behavior deviates from prior expectations. Similar results have been found for syntactic priming (Jaeger & Snider, 2013) and are predicted by connectionist models of syntactic learning (Chang, Dell, & Bock, 2006).

However, the results from Exp. 3 suggest that expectation violation is not the only factor that influences adaptation and that there potentially exist limits to how much listeners can adapt. Investigating the limits of adaptation and developing quantitative linking functions between prior expectations and the extent of adaptation will therefore be important avenues for future work.

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