

Evaluation of Pointer Swizzling Techniques for DBMS Buffer Management

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Table of Contents

Pointer Swizzling as in “In-Memory Performance for Big Data”

- Some Tasks of the DBMS Buffer Management

- Locate Pages in the Buffer Pool without Pointer Swizzling

- Locate Pages in the Buffer Pool with Pointer Swizzling

Performance Evaluation of the Buffer Management Utilizing Pointer Swizzling

- Expected Performance

- Measured Performance

- Conclusion

Page Eviction Strategies in the Context of Pointer Swizzling

- Probable pitfalls when Implementing a Page Eviction Strategy for a DBMS Buffer Manager

- Different Page Replacement Strategies

- Performance Evaluation

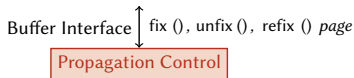
- Conclusion

Section 1

Pointer Swizzling as in “In-Memory Performance for Big Data”

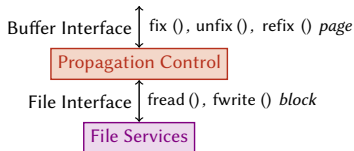
Five Layer Architecture of a DBMS ([HR83] [HR85])

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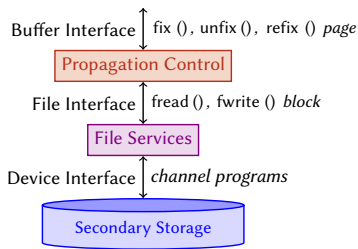
- ▶ Mainly consists of the *DBMS buffer pool*
- ▶ Pages can be fixed and un-fixed by upper layers
- ▶ Offers copies of persistent data in main memory

Five Layer Architecture of a DBMS ([HR83] [HR85])



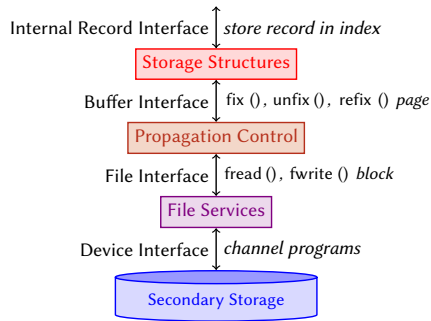
- ▶ Also called *file management*
- ▶ Offers dynamically growing files and blocks of different length
- ▶ Manages file addresses, block borders and unused space
- ▶ Abstracts from the different characteristics of *secondary storage devices*

Five Layer Architecture of a DBMS ([HR83] [HR85])



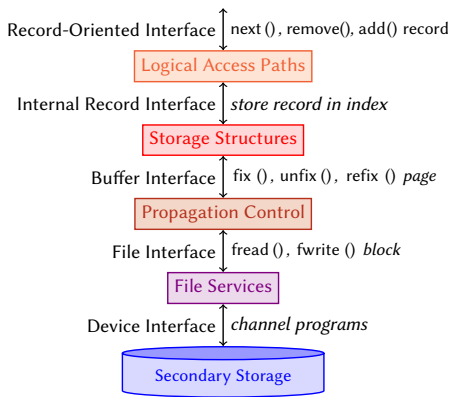
- ▶ HDDs, SSDs etc.
- ▶ Interface is partially device specific

Five Layer Architecture of a DBMS ([HR83] [HR85])



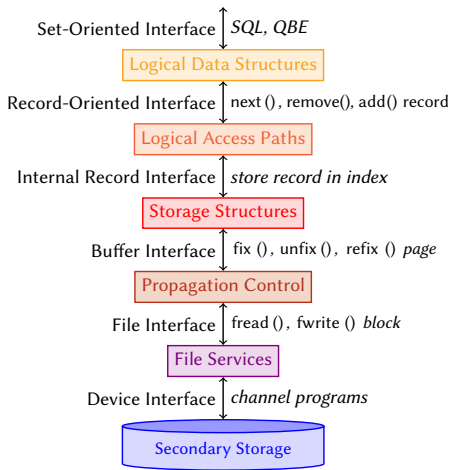
- ▶ Also called *record and access path management*
- ▶ B* trees, hash indexes etc.
- ▶ Allows to navigate through those storage structures
- ▶ Maps records to pages
- ▶ Commonly offers variable-sized fields, large fields and references between records

Five Layer Architecture of a DBMS ([HR83] [HR85])



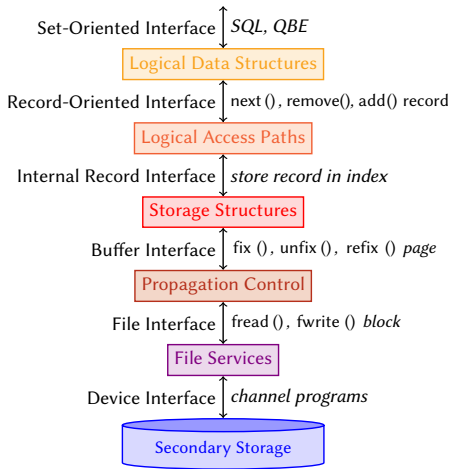
- ▶ Also called *navigational access layer*
- ▶ Offers an iterator interface over the resulting records
- ▶ Allows sorting and selection but no more complex operations
- ▶ Introduces data types
- ▶ Uppermost interface of *navigational or hierarchical databases*

Five Layer Architecture of a DBMS ([HR83] [HR85])



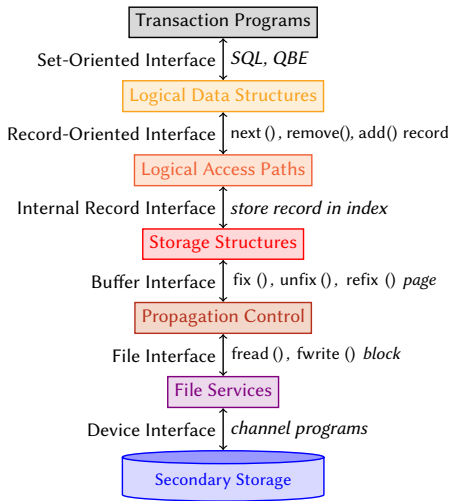
- ▶ Also called *non-procedural access layer*
- ▶ Offers complex descriptive query languages like *SQL*, *QBE* or *XQuery*
- ▶ Maps external identifiers of e.g. relations, views and tuples to internal identifiers using the *metadata management*

Five Layer Architecture of a DBMS ([HR83] [HR85])



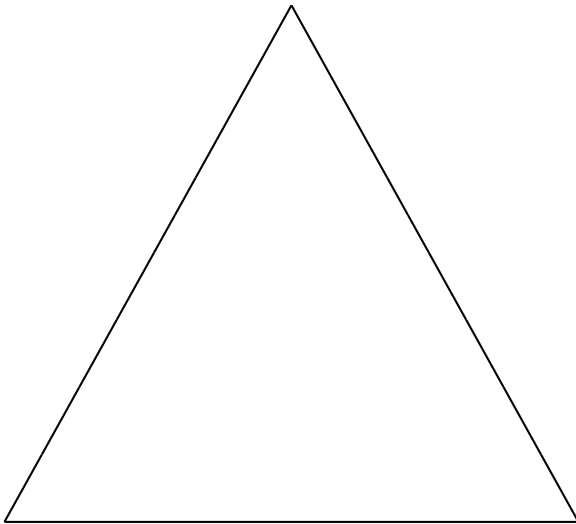
- Offers complex set operations
- Offers *data integrity*, *access control* and *transaction management*
- Most interesting target for the *query optimizer*

Five Layer Architecture of a DBMS ([HR83] [HR85])

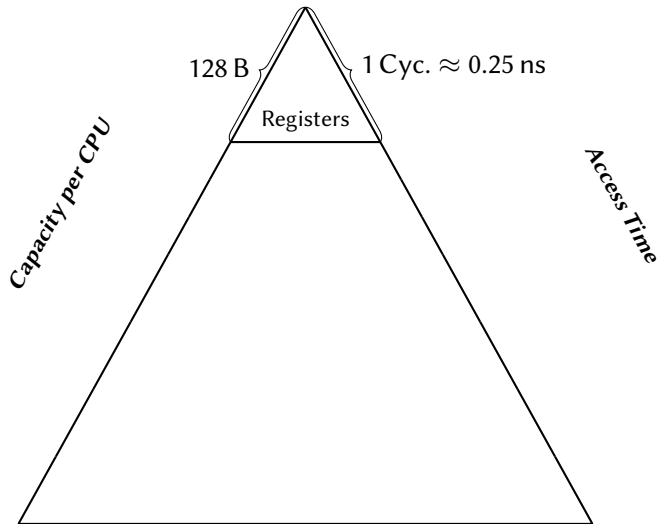


- ▶ Application programs use the service of the DBMS to store persistent data

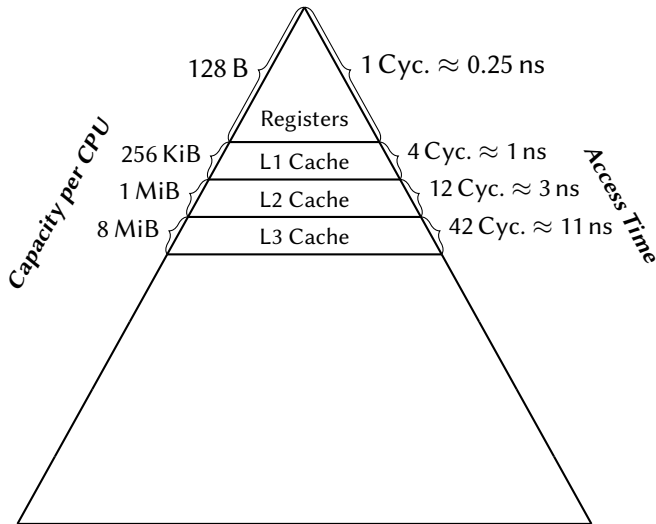
Motivation for the Usage of a Buffer Pool (1)



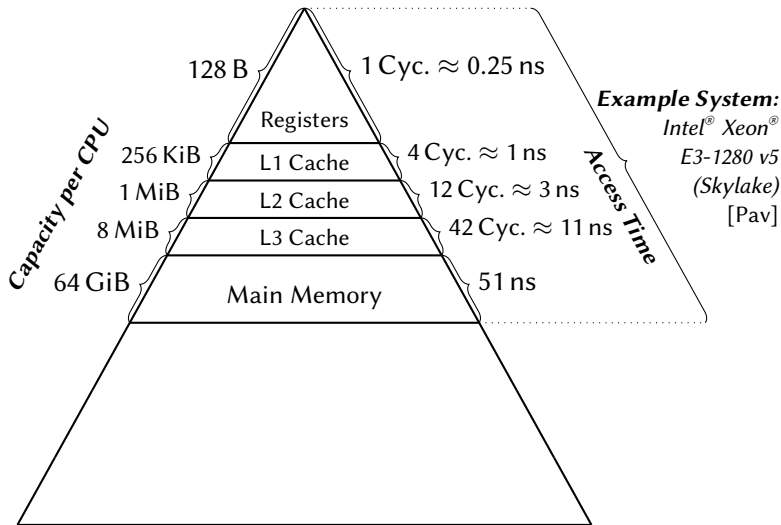
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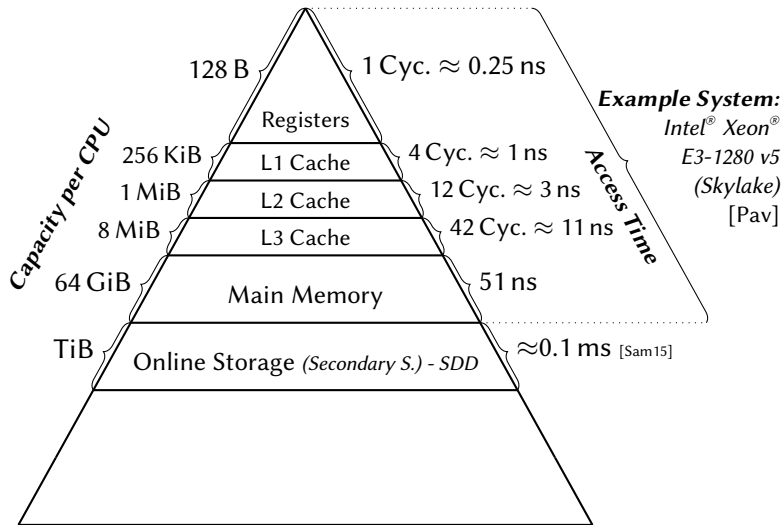
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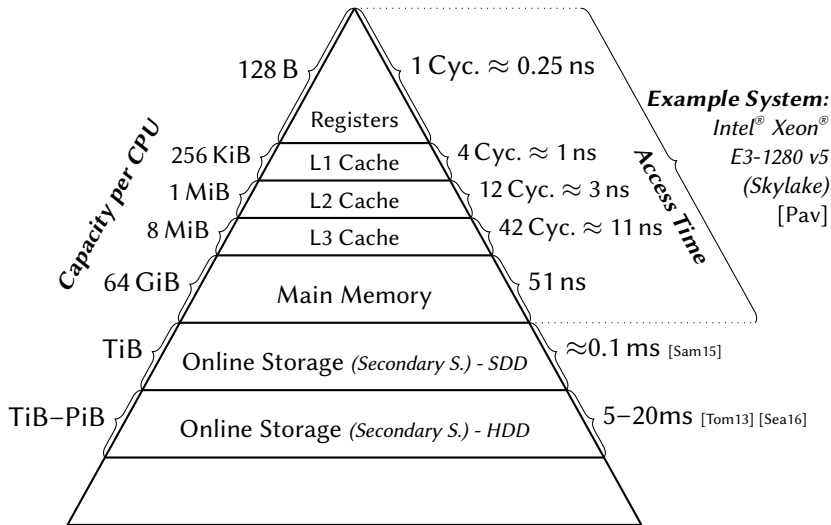
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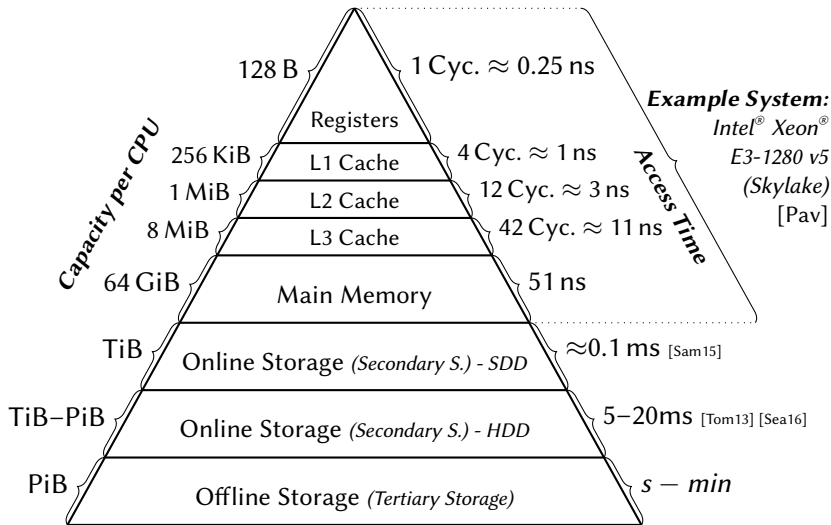
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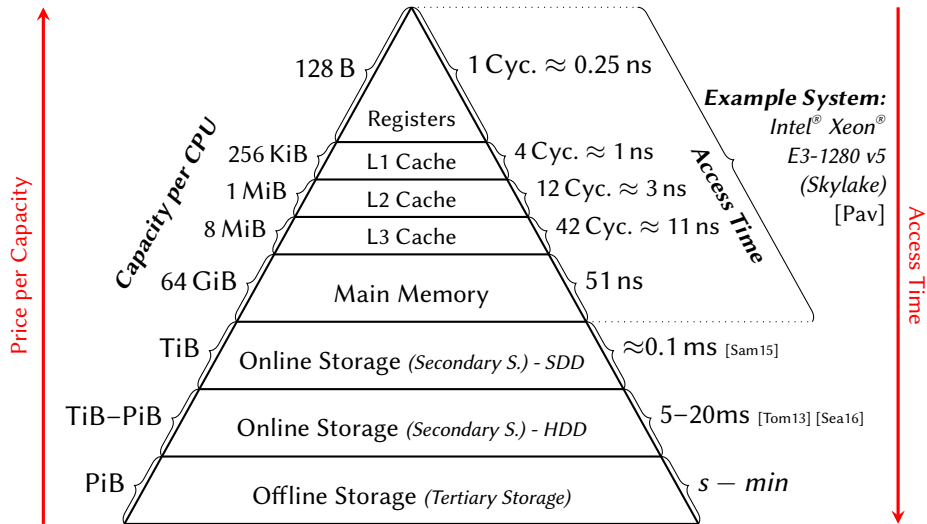
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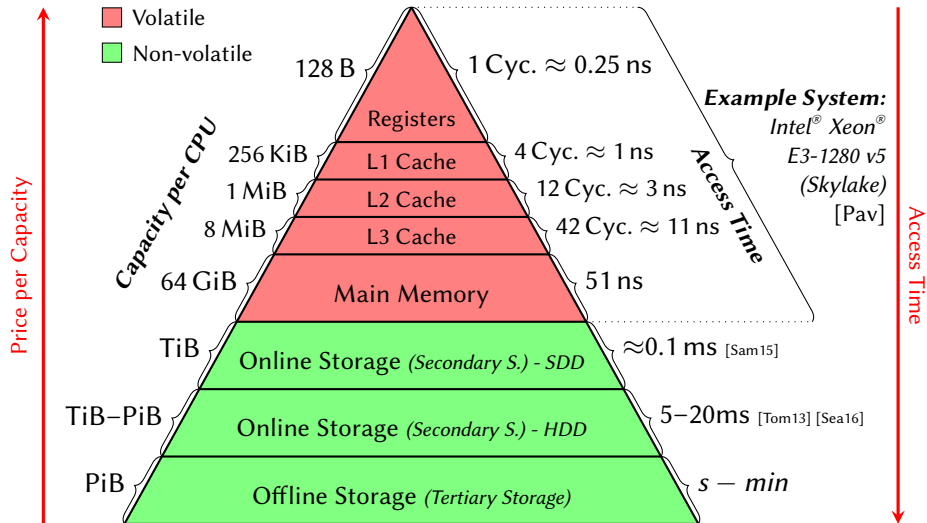
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Motivation for the Usage of a Buffer Pool (2)

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Motivation for the Usage of a Buffer Pool (2)

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⇒ **Caching:** Persistently store the database on *secondary storage* and cache a subset of it in *main memory*.

Subsection 1

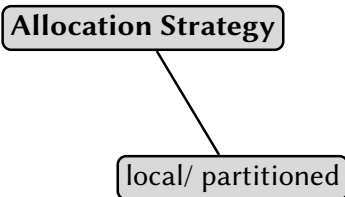
Some Tasks of the DBMS Buffer Management

Memory Allocation in the Buffer Pool ([HR01])

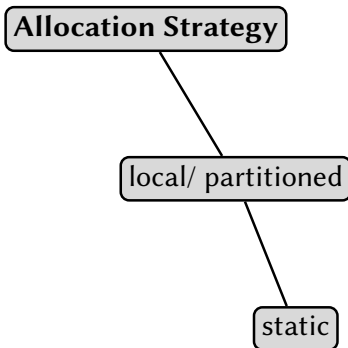
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Allocation Strategy

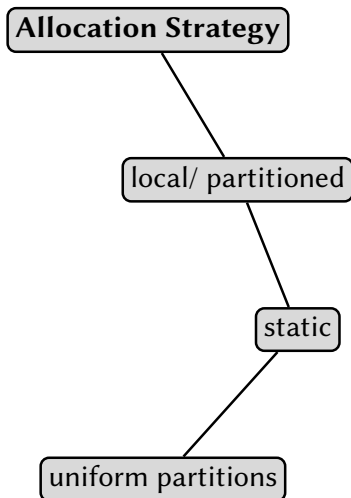
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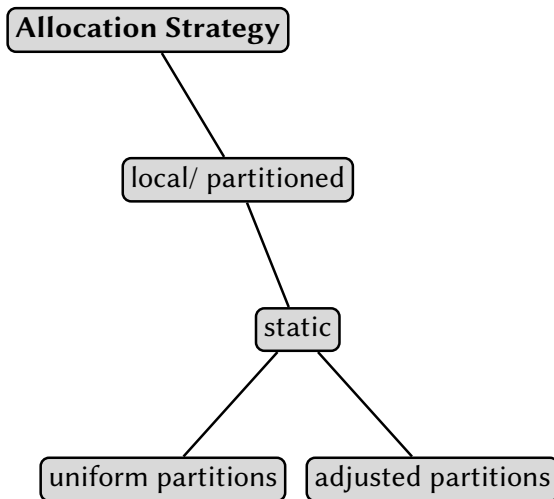
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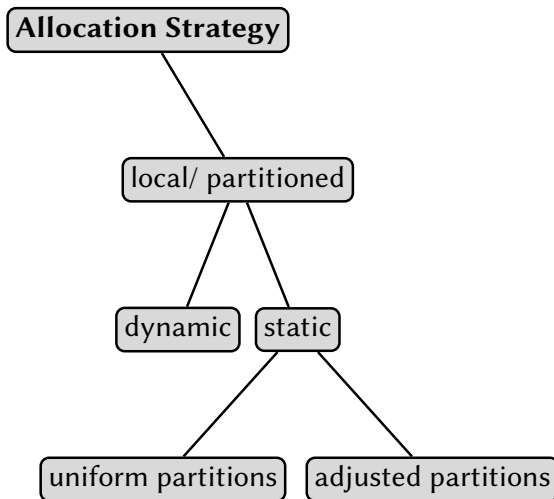
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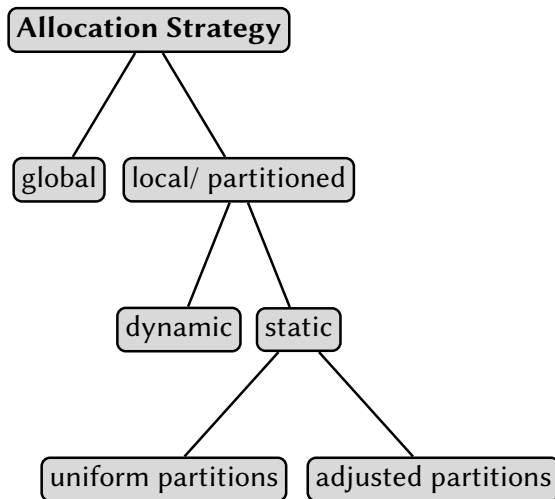
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Concurrency Control

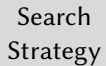
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 - ▶ Mutually exclusive write accesses
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- ▶ **Auxiliary data** gets accessed concurrently
 - ▶ Latching for the auxiliary data structures
 - ▶ ... or the usage of special concurrent implementations of the data structures

Subsection 2

Locate Pages in the Buffer Pool without Pointer Swizzling

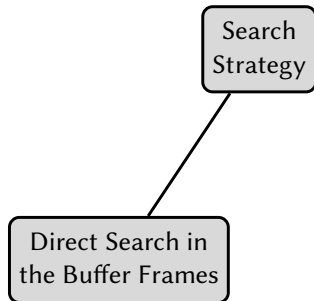
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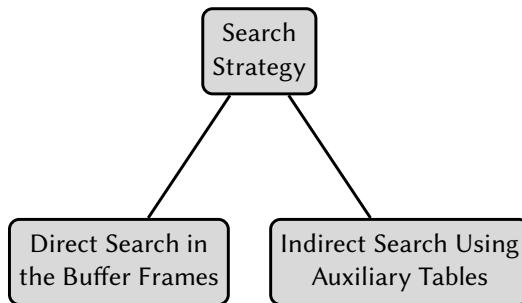


Search
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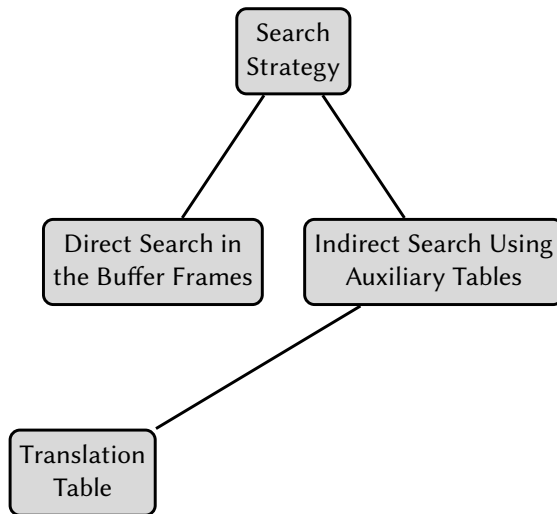
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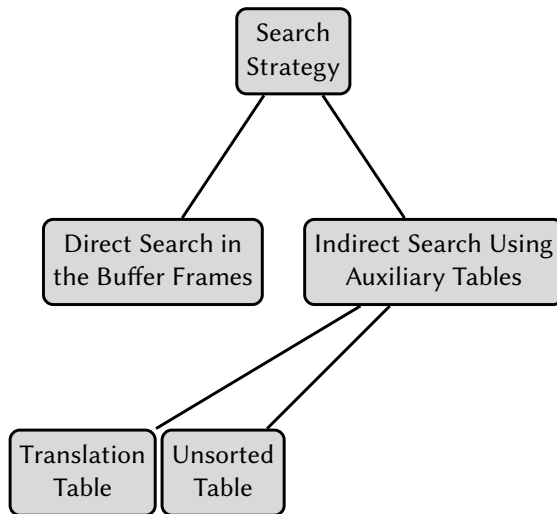
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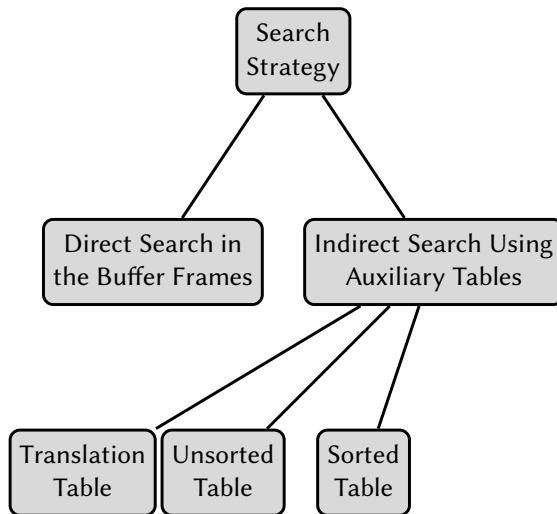
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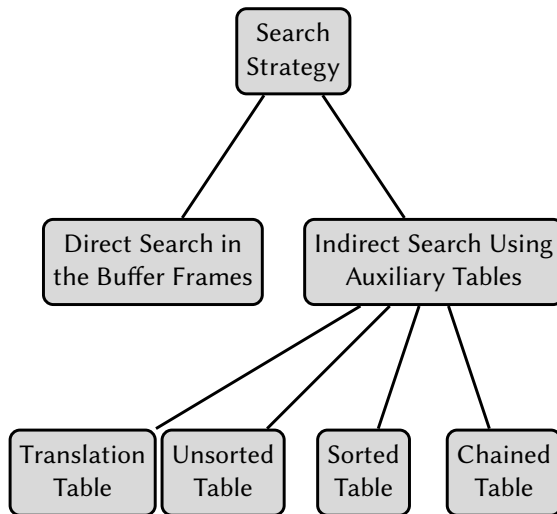
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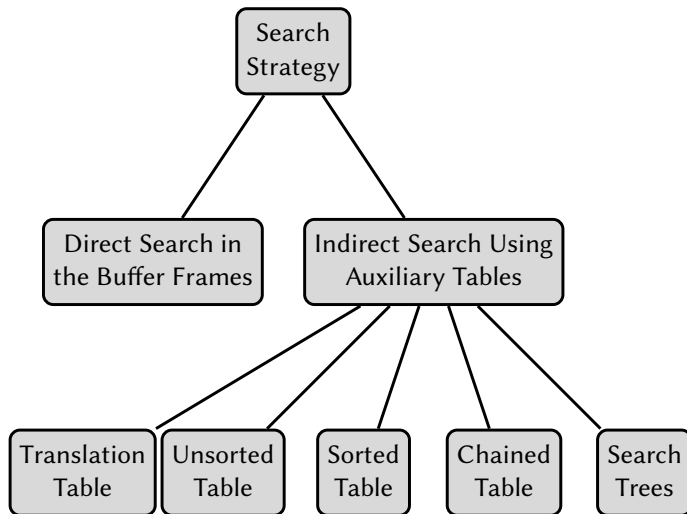
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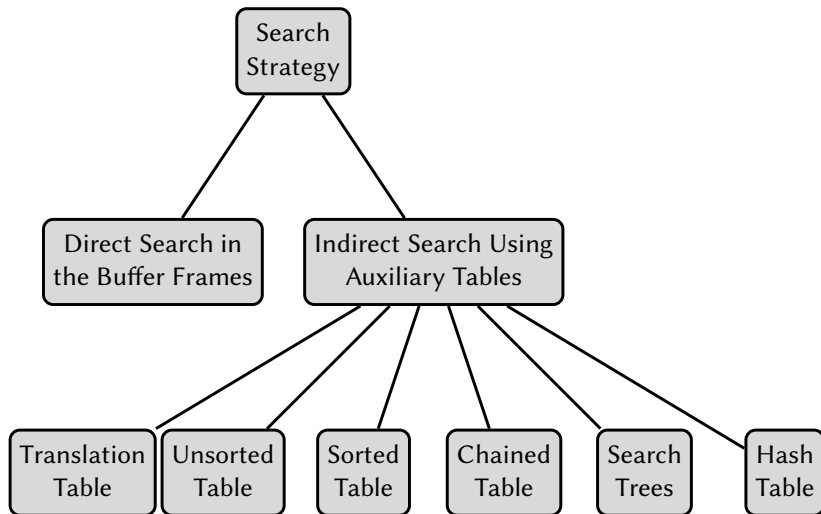
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Unsorted Table

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Unsorted Table

- Auxiliary data structure of size $S_{\text{pace}} \in \mathcal{O}(n)$

0	1	2	3	4	5	6	7	8
7785	6977	4347	3380	5610	6376	4877	3332	3354

Figure: An unsorted table used to map buffer frames to page IDs.

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Translation Table

Translation Table

- ▶ Auxiliary data structure with one entry per page in the database
 $\implies S_{\text{pace}} \in \mathcal{O}(p)$
- ▶ $T^{\text{search}} \in \mathcal{O}(1), T^{\text{insert}} \in \mathcal{O}(1)$

0	.	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮						
⋮	⋮	3352	.	3378	.	4345	.	4875	.	5608	.	6374	.	6975	.	7783	.
3331	.	3353	.	3379	.	4346	.	4876	.	5609	.	6375	.	6976	.	7784	.
3332	7	3354	8	3380	3	4347	2	4877	6	5610	4	6376	5	6977	1	7785	0
3333	.	3355	.	3381	.	4348	.	4878	.	5611	.	6377	.	6978	.	7786	.
⋮	⋮	3356	.	3382	.	4349	.	4879	.	5612	.	6378	.	6979	.	7787	.
⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮

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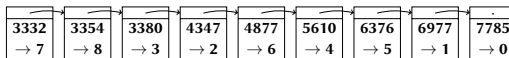


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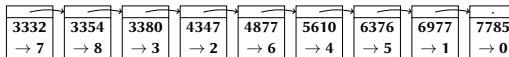


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- ▶ Binary search requires more links!

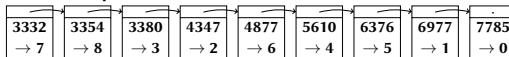


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Search Trees

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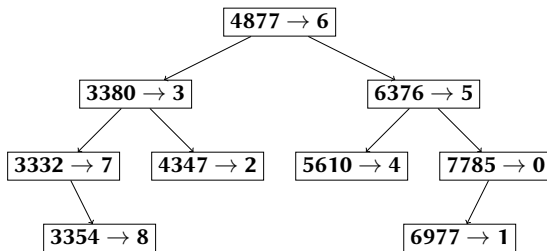


Figure: A balanced search tree used to map page IDs to buffer frames.

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- ▶ Many different data structures like AVL-trees, red-black trees or splay trees can be used

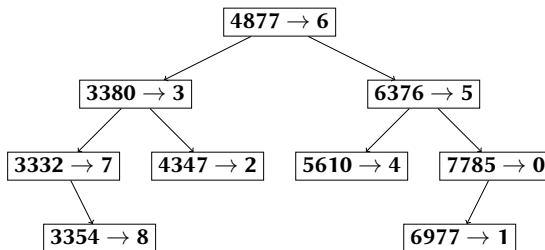


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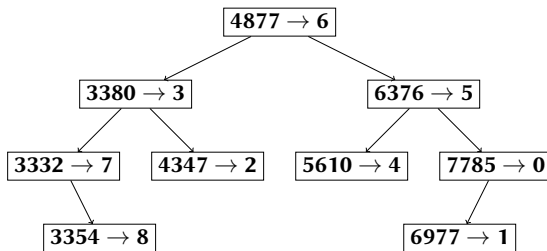


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- ▶ $T_{\text{avg}}^{\text{search}} \in \mathcal{O}(\log n)$, $T_{\text{avg}}^{\text{insert}} \in \mathcal{O}(\log n)$
- ▶ The worst case costs and the worst cases vary between the different search tree data structures

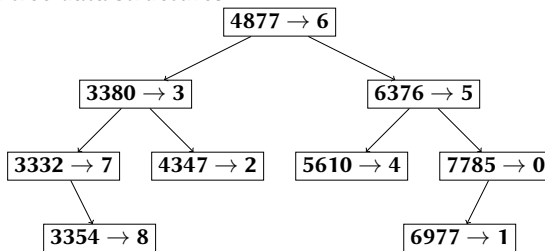
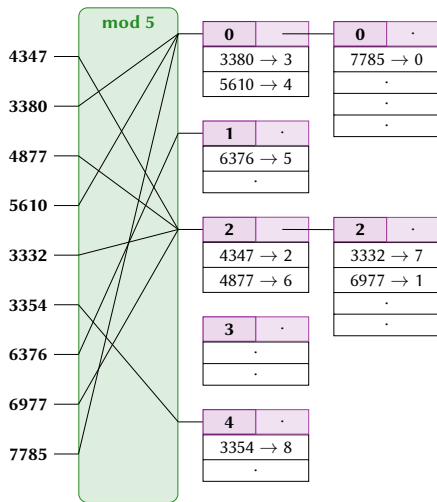


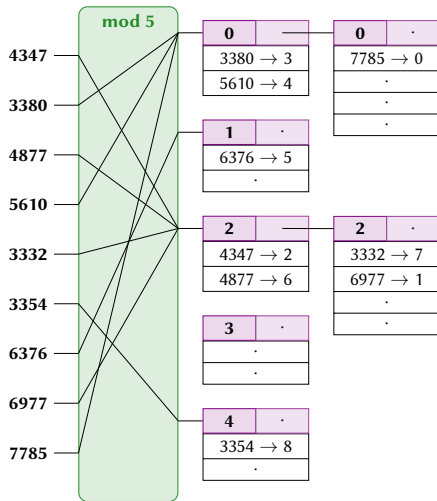
Figure: A balanced search tree used to map page IDs to buffer frames.

Hash Table

Hash Table

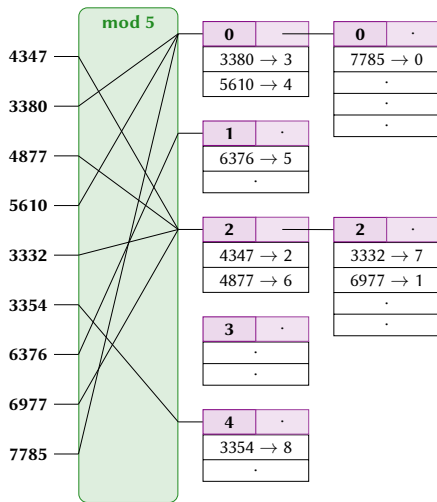


Hash Table



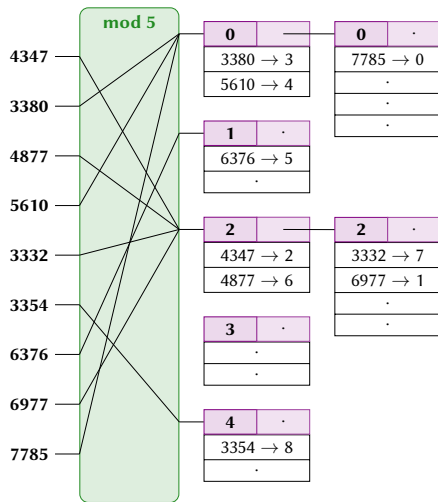
- Each page ID is mapped to a hash bucket using a hash function

Hash Table



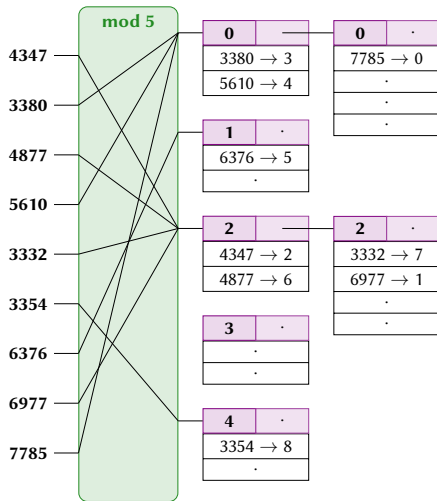
- ▶ Each page ID is mapped to a hash bucket using a hash function
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Hash Table



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Hash Table



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- ▶ Only the page IDs of buffered pages are in the hash table
- ▶ If a hash bucket is full, a chained bucket gets added
- ▶ $T_{avg}^{search} \in \mathcal{O}(1)$,
 $T_{avg}^{insert} \in \mathcal{O}(1)$,
 $T_{worst}^{search} \in \mathcal{O}(n)$

Locate Pages in Buffer Pool with Hash Table ([Gra+14])

Locate Pages in Buffer Pool with Hash Table ([Gra+14])



Buffer pool
page image

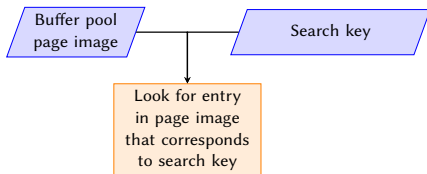
Locate Pages in Buffer Pool with Hash Table ([Gra+14])



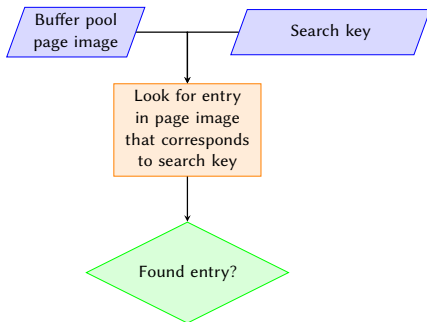
Buffer pool
page image

Search key

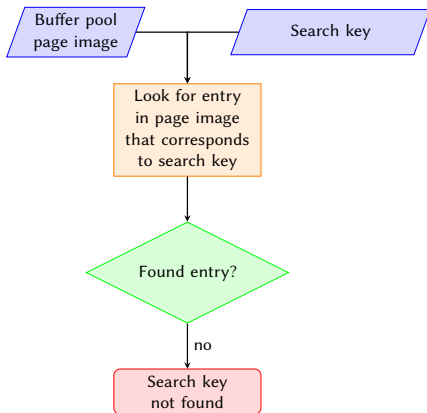
Locate Pages in Buffer Pool with Hash Table ([Gra+14])



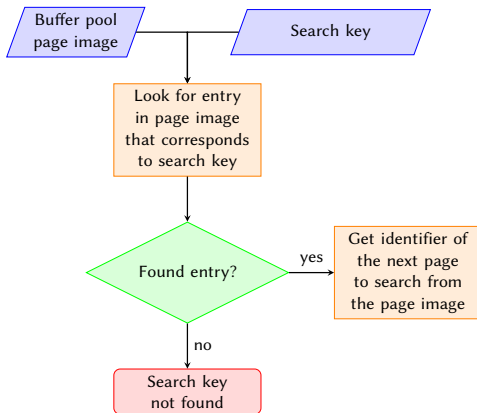
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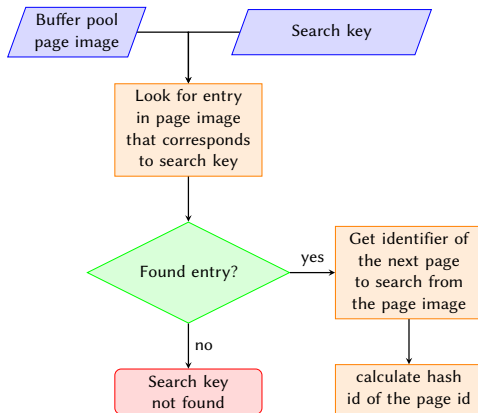
Locate Pages in Buffer Pool with Hash Table ([Gra+14])



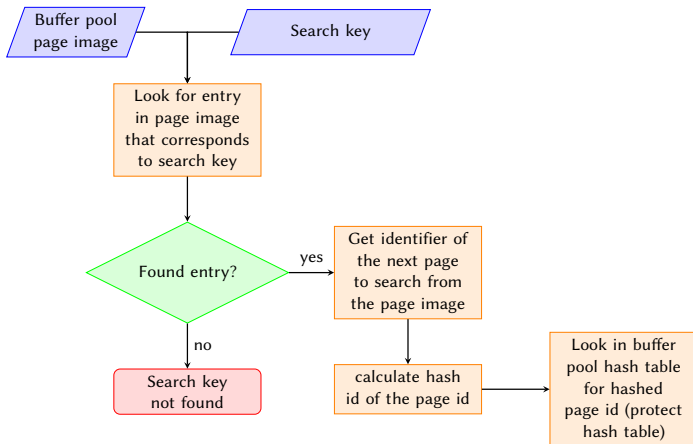
Locate Pages in Buffer Pool with Hash Table ([Gra+14])



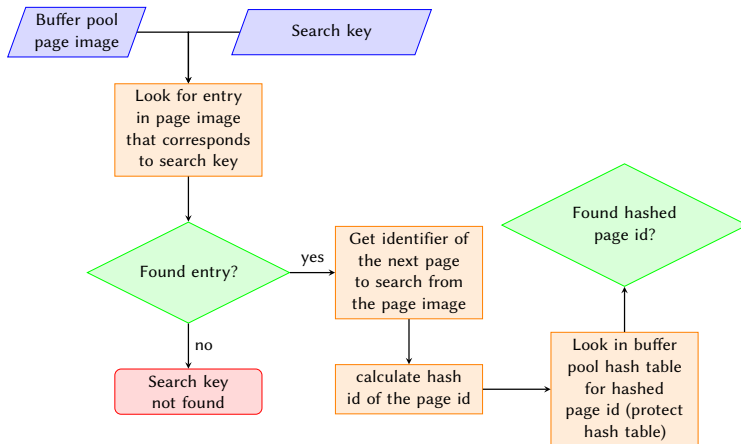
Locate Pages in Buffer Pool with Hash Table ([Gra+14])



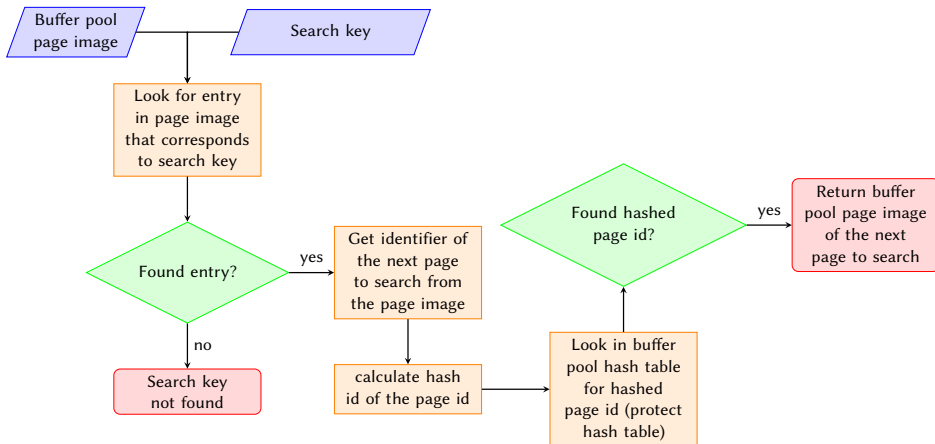
Locate Pages in Buffer Pool with Hash Table ([Gra+14])



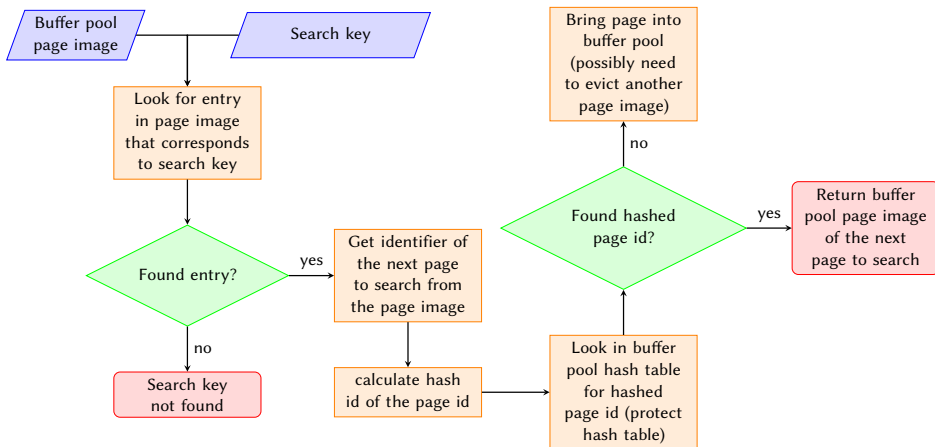
Locate Pages in Buffer Pool with Hash Table ([Gra+14])



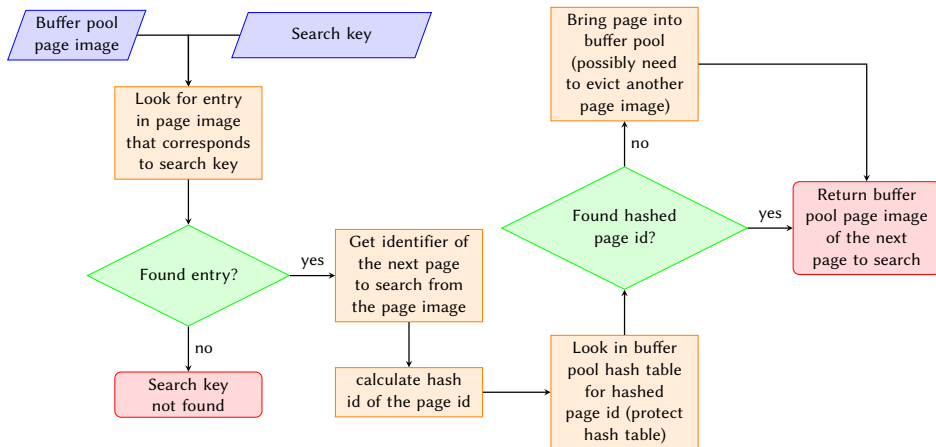
Locate Pages in Buffer Pool with Hash Table ([Gra+14])



Locate Pages in Buffer Pool with Hash Table ([Gra+14])



Locate Pages in Buffer Pool with Hash Table ([Gra+14])



Subsection 3

Locate Pages in the Buffer Pool with Pointer Swizzling

Pointer Swizzling

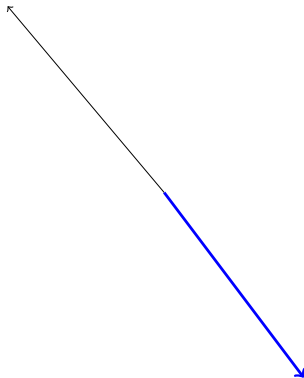
Definition

To swizzle a pointer means to transform the address of the persistent object referenced there to a more direct address of the transient object in a way that this transformation could be used during multiple indirections of this pointer ([Mos92]).

Classification of the Pointer Swizzling Approach following [WD95]

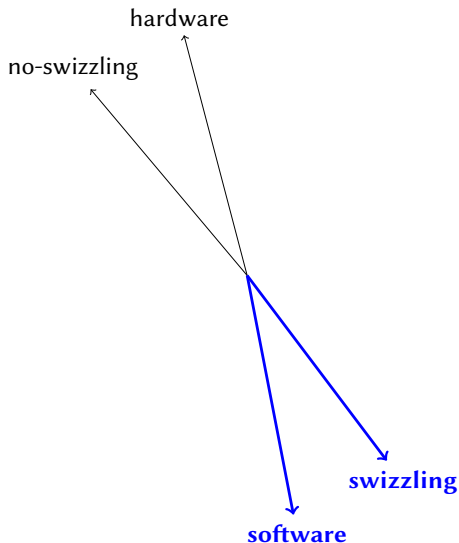
Classification of the Pointer Swizzling Approach following [WD95]

no-swizzling

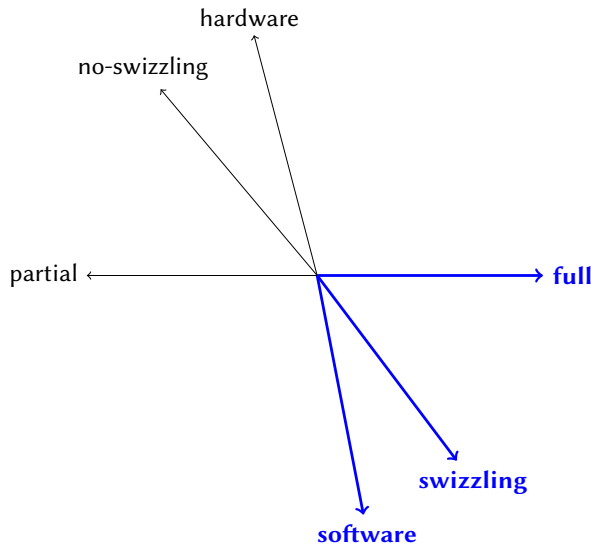


swizzling

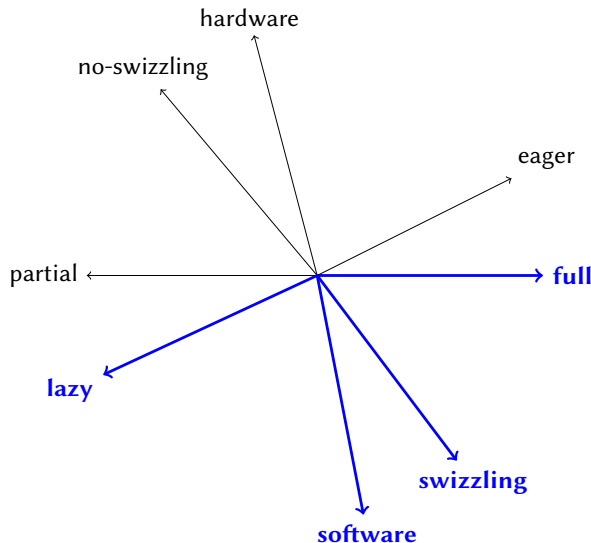
Classification of the Pointer Swizzling Approach following [WD95]



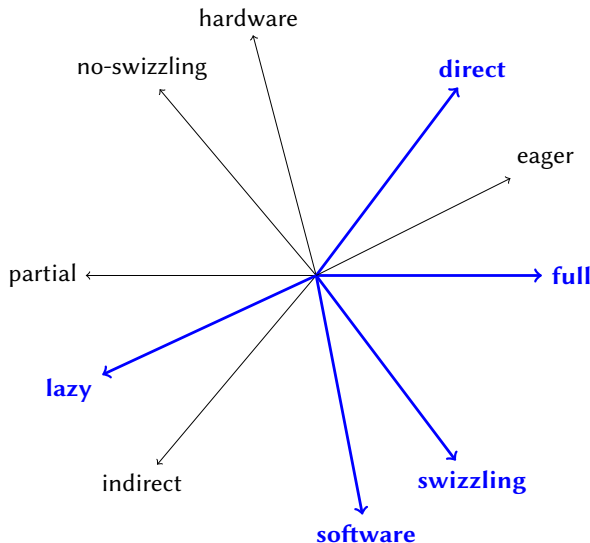
Classification of the Pointer Swizzling Approach following [WD95]



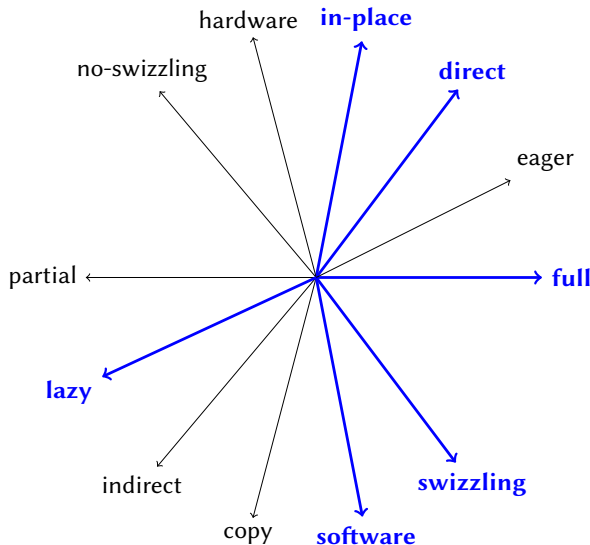
Classification of the Pointer Swizzling Approach following [WD95]



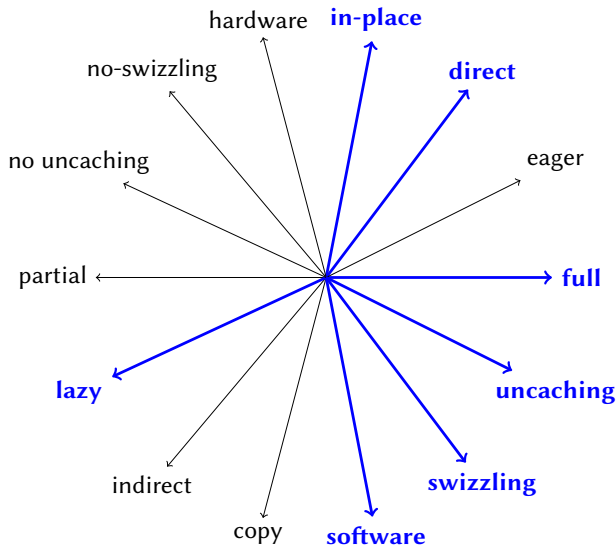
Classification of the Pointer Swizzling Approach following [WD95]



Classification of the Pointer Swizzling Approach following [WD95]



Classification of the Pointer Swizzling Approach following [WD95]



Locate Pages in Buffer Pool w/ Pointer Swizzling ([Gra+14])

Locate Pages in Buffer Pool w/ Pointer Swizzling ([Gra+14])



Buffer pool
page image

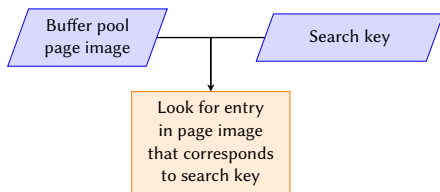
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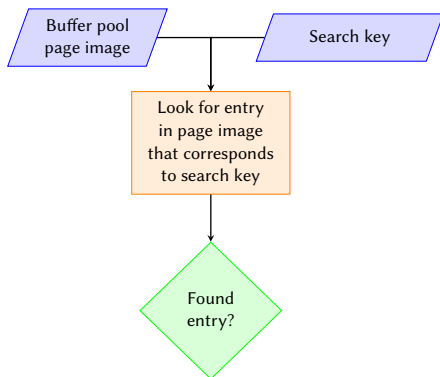
Buffer pool
page image

Search key

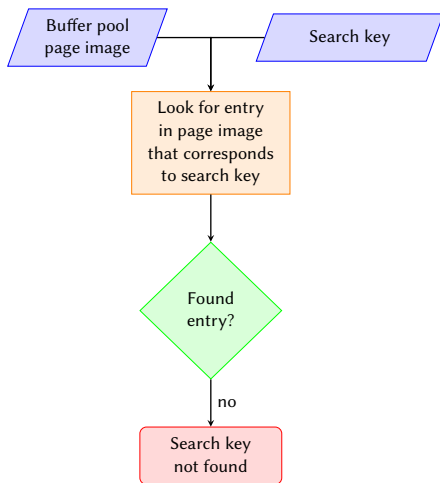
Locate Pages in Buffer Pool w/ Pointer Swizzling ([Gra+14])



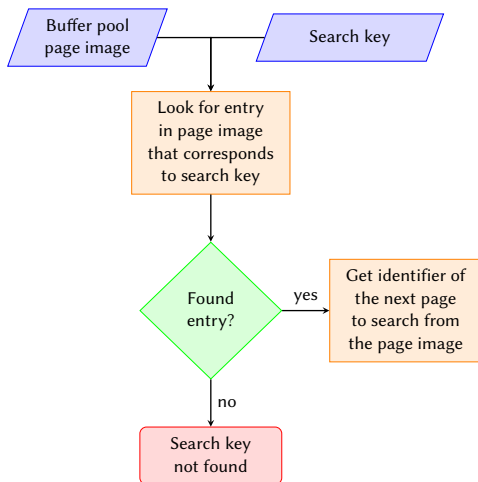
Locate Pages in Buffer Pool w/ Pointer Swizzling ([Gra+14])



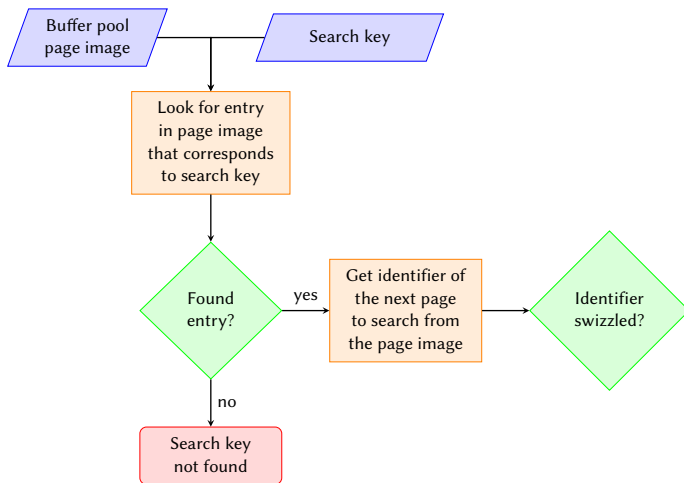
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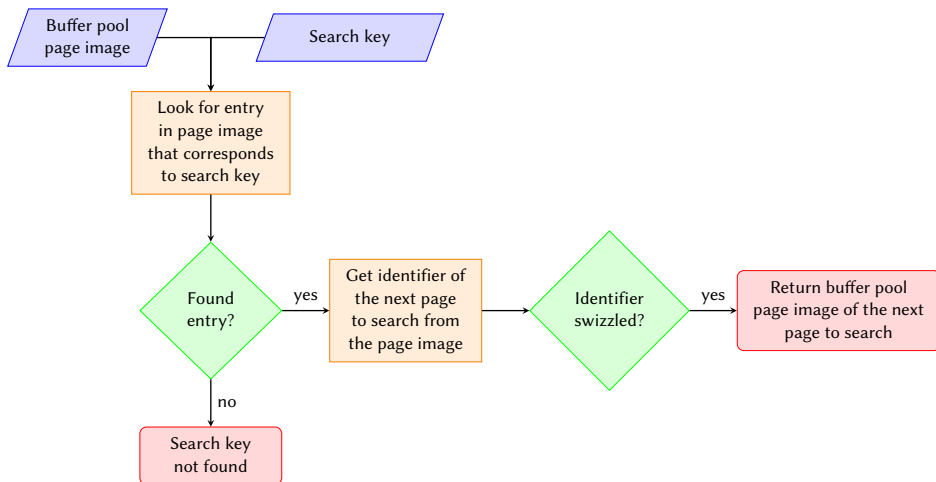
Locate Pages in Buffer Pool w/ Pointer Swizzling ([Gra+14])



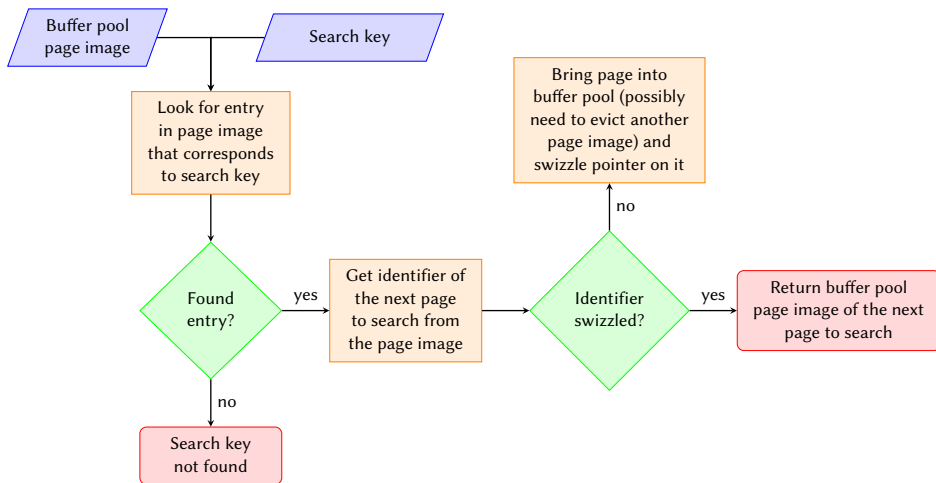
Locate Pages in Buffer Pool w/ Pointer Swizzling ([Gra+14])



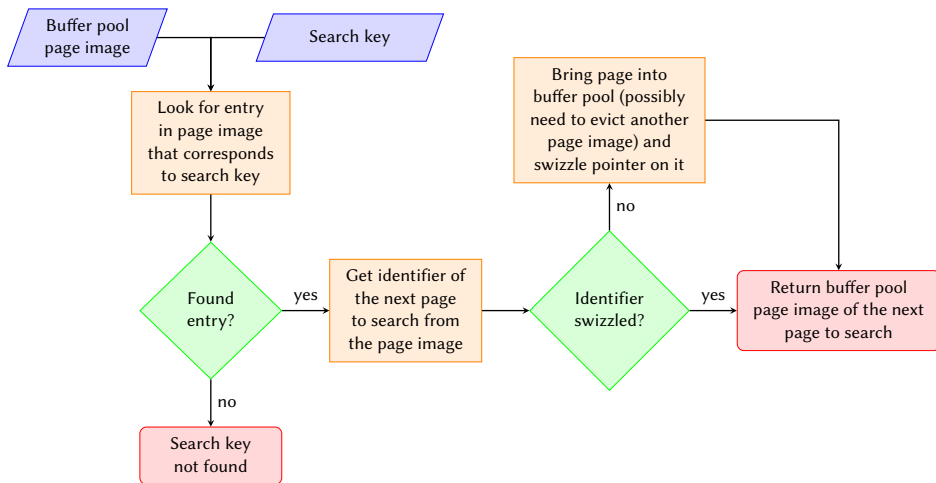
Locate Pages in Buffer Pool w/ Pointer Swizzling ([Gra+14])



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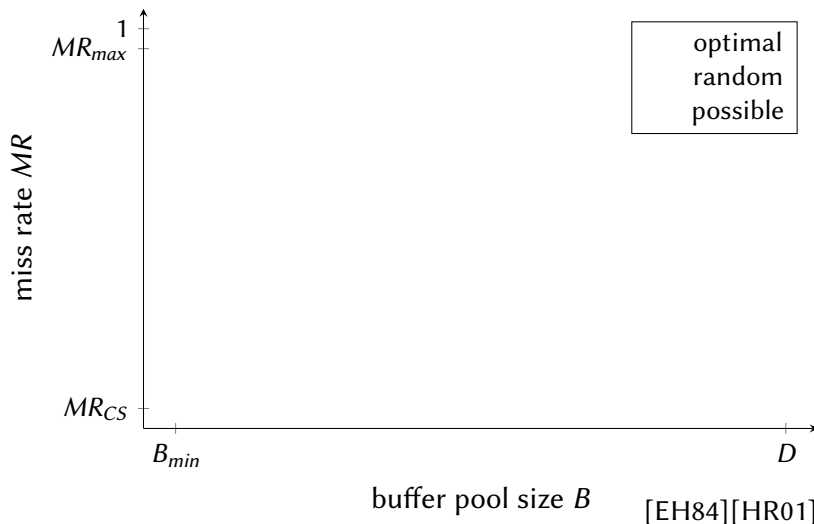
Section 2

Performance Evaluation of the Buffer Management Utilizing Pointer Swizzling

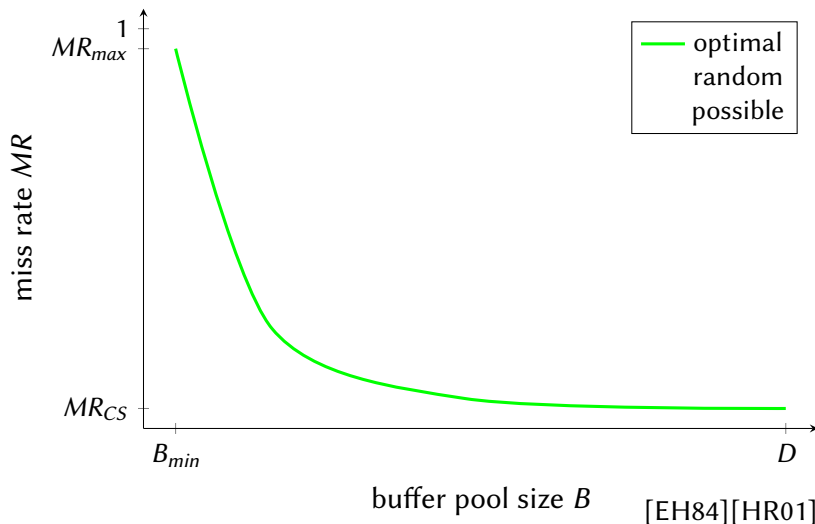
Subsection 1

Expected Performance

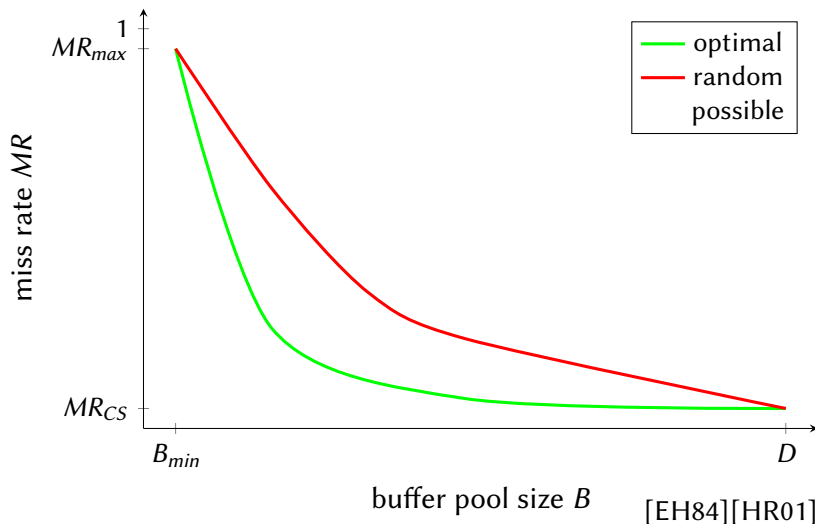
Performance of Different Buffer Pool Sizes



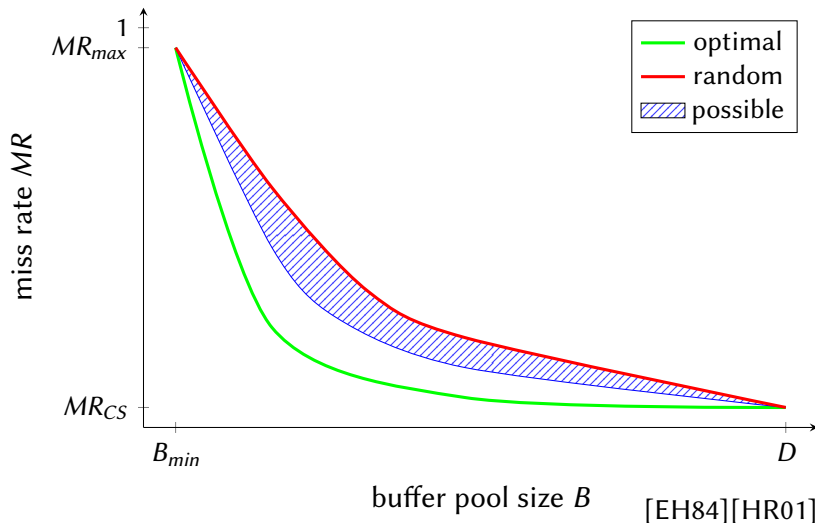
Performance of Different Buffer Pool Sizes



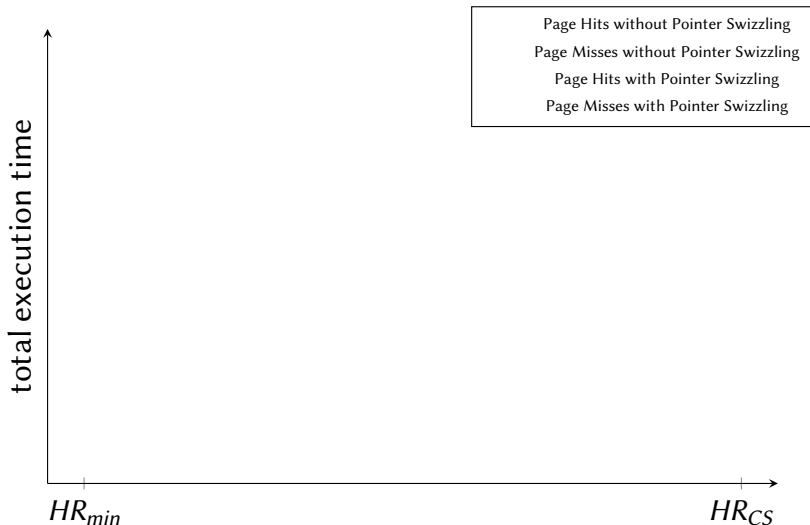
Performance of Different Buffer Pool Sizes



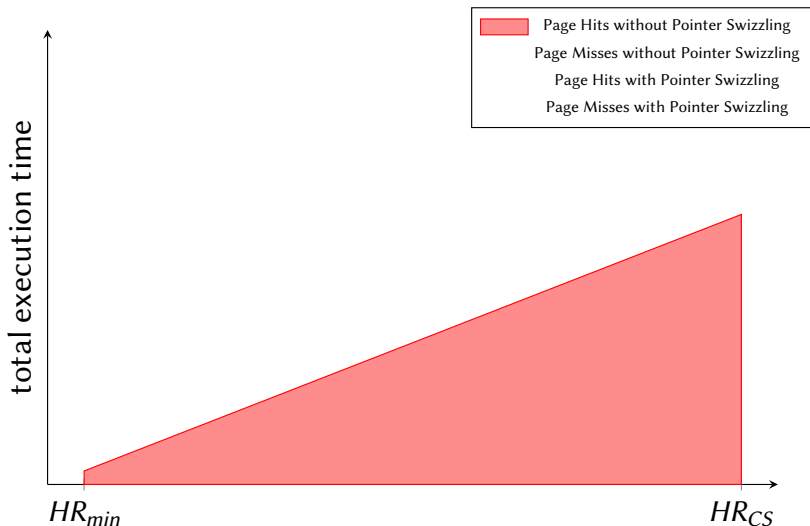
Performance of Different Buffer Pool Sizes



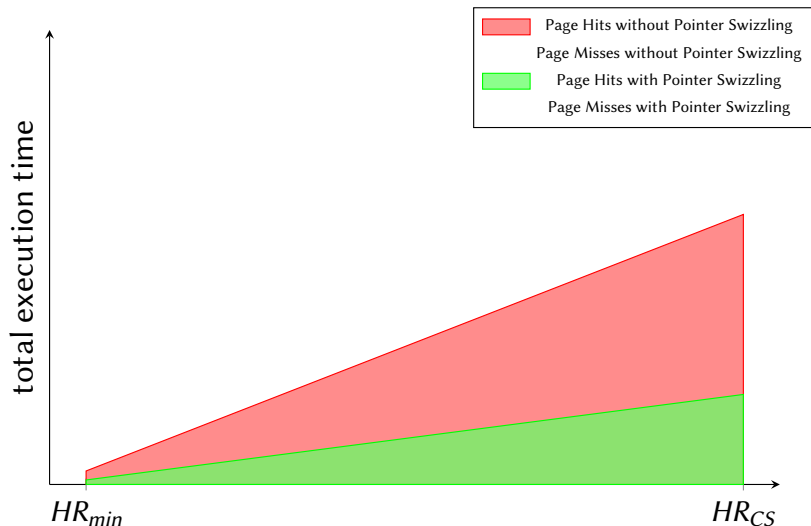
Buffer Management with and without Pointer Swizzling



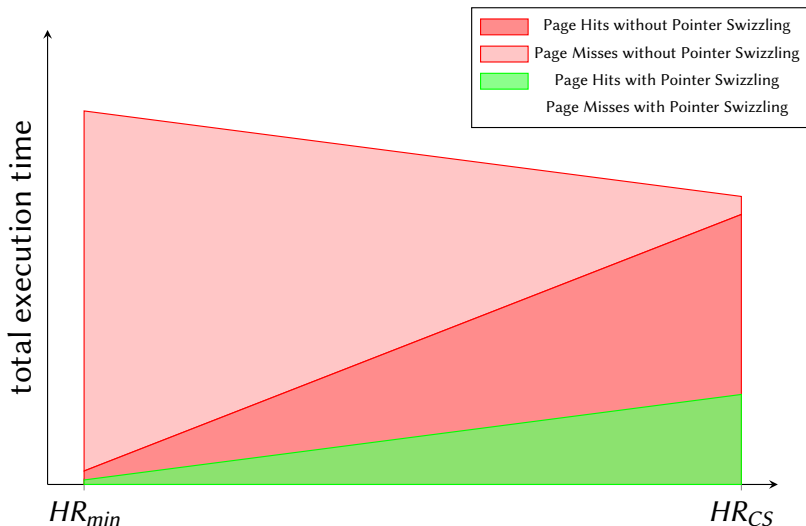
Buffer Management with and without Pointer Swizzling



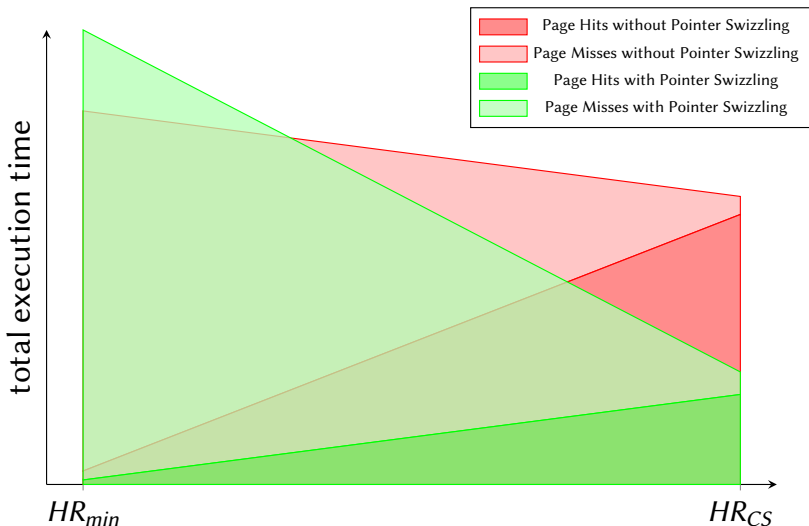
Buffer Management with and without Pointer Swizzling



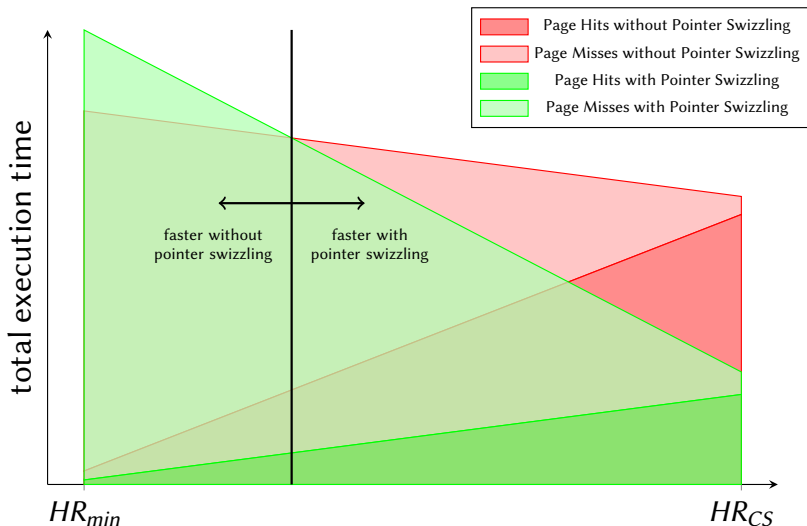
Buffer Management with and without Pointer Swizzling



Buffer Management with and without Pointer Swizzling



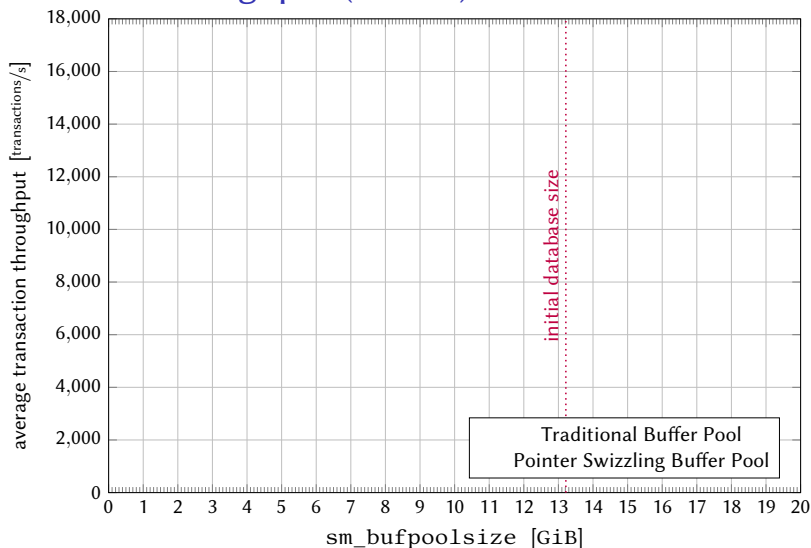
Buffer Management with and without Pointer Swizzling



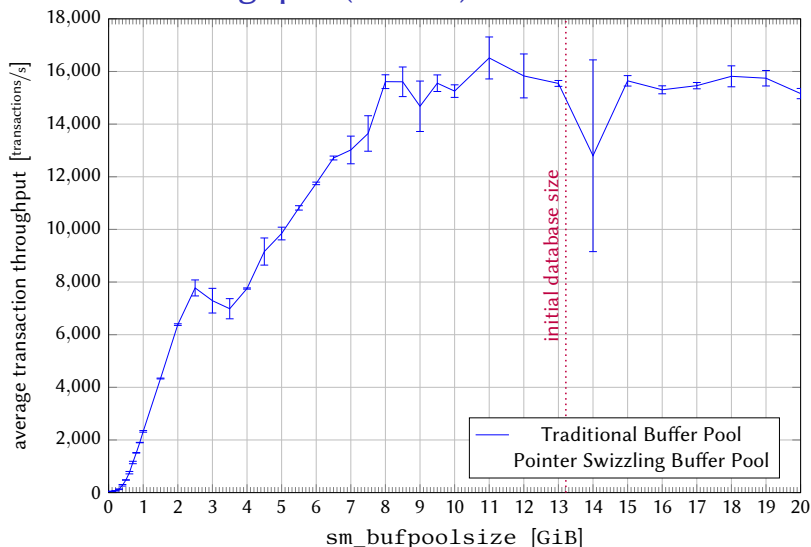
Subsection 2

Measured Performance

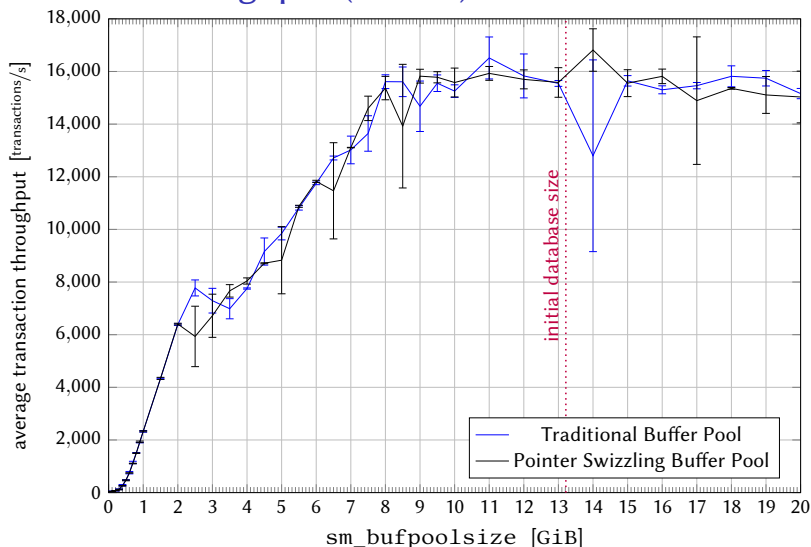
Transaction Throughput (TPC-C)



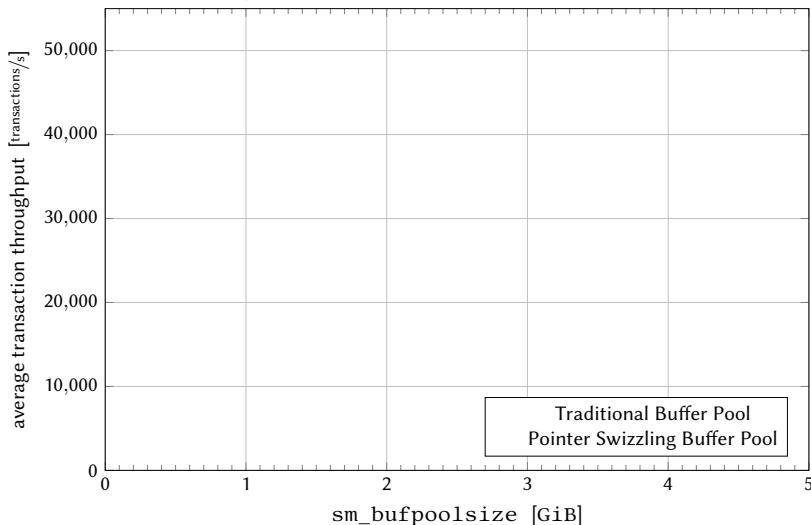
Transaction Throughput (TPC-C)



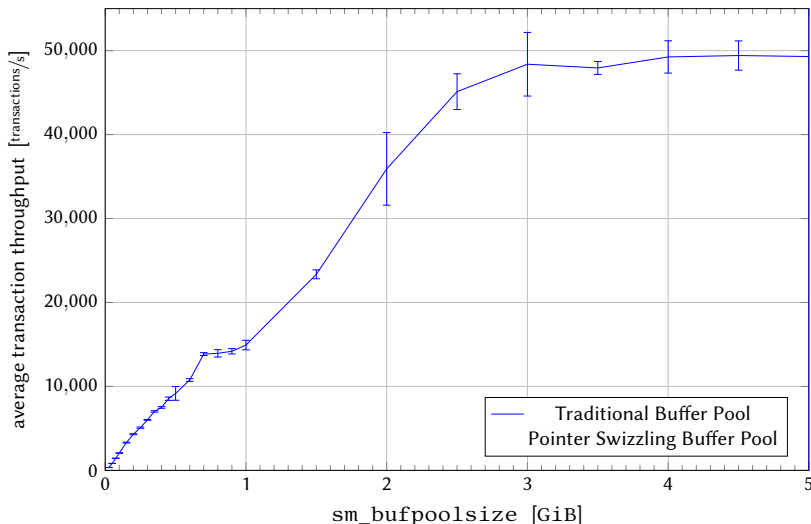
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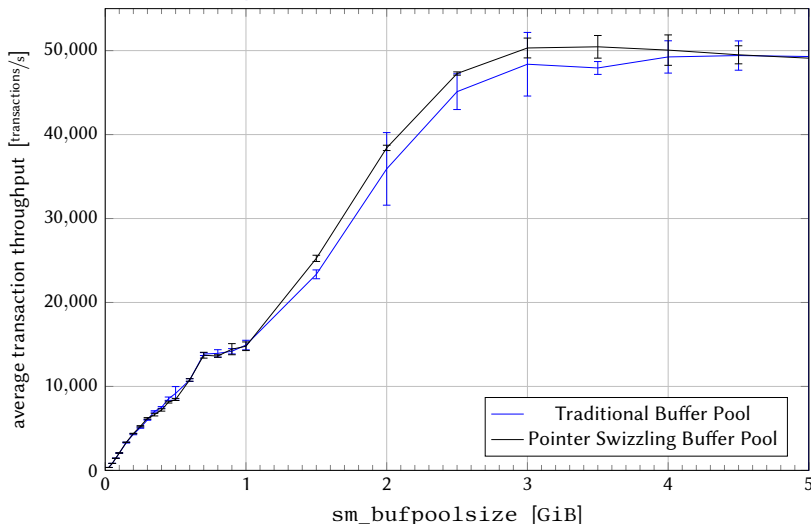
Transaction Throughput (TPC-B)



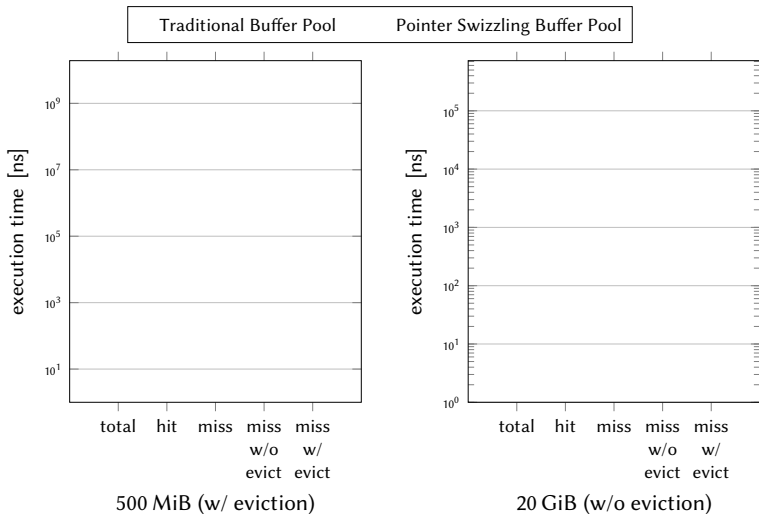
Transaction Throughput (TPC-B)



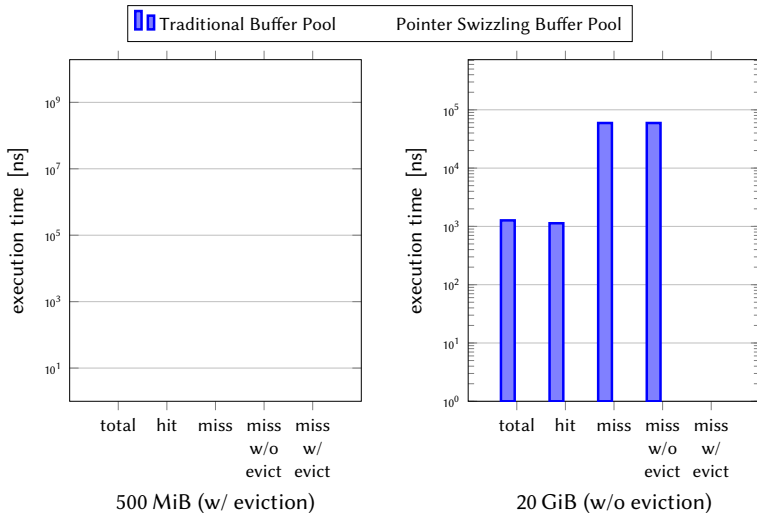
Transaction Throughput (TPC-B)



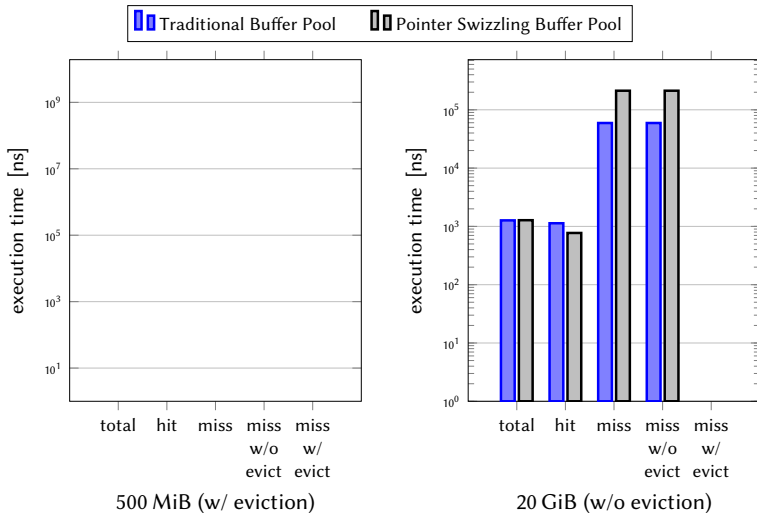
Buffer Pool Performance Acquiring Shared Latches



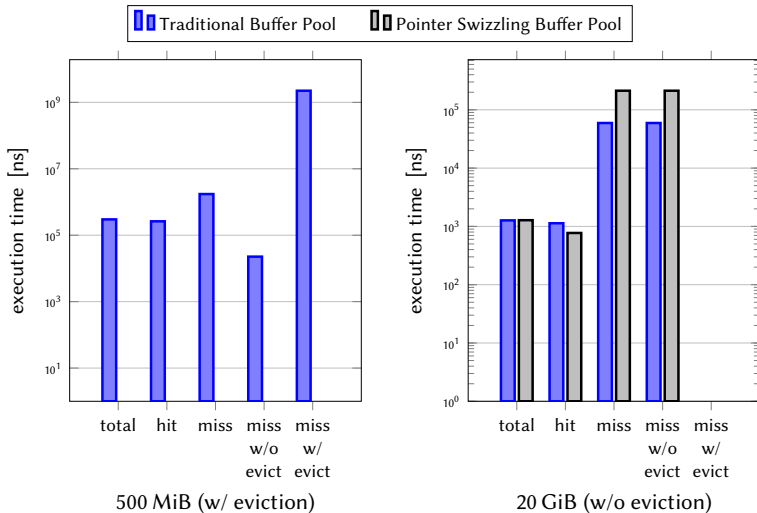
Buffer Pool Performance Acquiring Shared Latches



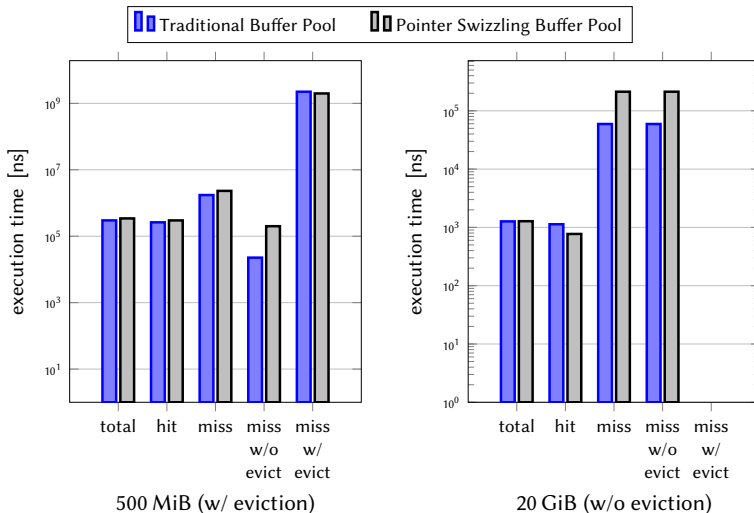
Buffer Pool Performance Acquiring Shared Latches



Buffer Pool Performance Acquiring Shared Latches



Buffer Pool Performance Acquiring Shared Latches



Subsection 3

Conclusion

Conclusion

Conclusion

Overall Performance

Conclusion

Overall Performance

- Pointer swizzling couldn't improve the performance on TPC-C benchmark runs with a duration of 10 min.

Conclusion

Overall Performance

- ▶ Pointer swizzling couldn't improve the performance on TPC-C benchmark runs with a duration of 10 min.
- ▶ The page hits after the cold start couldn't compensate the overhead of pointer swizzling during the cold start.

Conclusion

Overall Performance

- ▶ Pointer swizzling couldn't improve the performance on TPC-C benchmark runs with a duration of 10 min.
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- ▶ A continuously running DB with large buffer pool could profit from pointer swizzling.

Conclusion

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Buffer Pool Performance

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- ▶ A page hit is faster when pointer swizzling is activated.

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Buffer Pool Performance

- ▶ A page hit is faster when pointer swizzling is activated.
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Buffer Pool Performance

- ▶ A page hit is faster when pointer swizzling is activated.
- ▶ A page miss is slower when pointer swizzling is activated.
- ▶ After the cold start phase, activated pointer swizzling will improve the buffer pool performance for large buffer pools.

Section 3

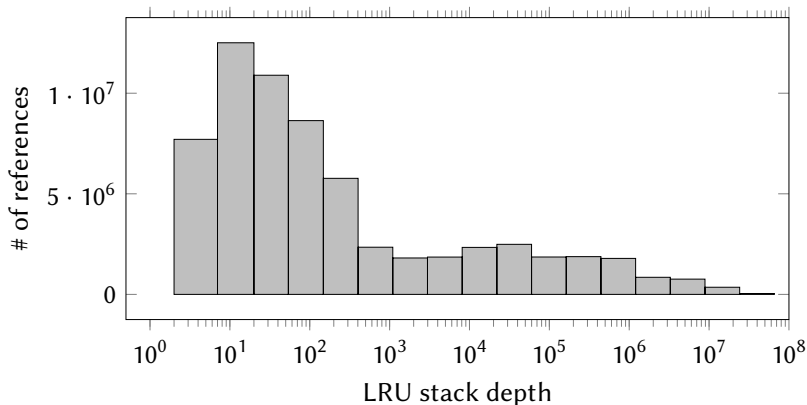
Page Eviction Strategies in the Context of Pointer Swizzling

Motivation not to Analyze Different Page Eviction Strategies

Motivation not to Analyze Different Page Eviction Strategies

- Even LRU results in decent hit rates

TPC-C with Warehouses: 100, Threads: 25



But ...

But ...

- ▶ Page reference pattern containing a loop slightly to long to fit in the buffer pool:

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 - ▶ **LRU**: Hit rate of 0

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 - ▶ **OPT**: Hit rate close to 1
 - ▶ **LRU**: Hit rate of 0
- ▶ Some pages gets referenced very frequent for a limited time:

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 - ▶ **LFU**: Pages waste buffer frames probably during the whole running time of the DB
- ▶ Huge access time gap \implies Every saved page miss significantly improves the performance
- ▶ Pointer swizzling even amplifies that effect

Subsection 1

Probable pitfalls when Implementing a Page Eviction Strategy for a DBMS Buffer Manager

General Problems Concerning DBMS Buffer Managers

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- Fixed pages cannot be evicted but a long timespan between a fix and an unfix of a page could make it a candidate for eviction.

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General Problems Concerning DBMS Buffer Managers

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- ▶ A page pinned for refix cannot be evicted but a long timespan in which a page is pinned could make it a candidate for eviction.
- ▶ Dirty pages cannot be evicted but a page being dirty for a long timespan due to the update propagation using write-back policy could make it a candidate for eviction.

Additional Problem When Using Pointer Swizzling

Additional Problem When Using Pointer Swizzling

- ▶ A page containing swizzled pointer cannot be evicted but a page unfixed before the last unfix of one of its child pages could make it a candidate for eviction before its child pages got evicted.

Solutions

Solutions

- Check each of the restrictions before the eviction of a page.

Solutions

- ▶ Check each of the restrictions before the eviction of a page.
- ▶ Update the statistics of the eviction strategy during an unfix, too.

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Solutions

- ▶ Check each of the restrictions before the eviction of a page.
- ▶ Update the statistics of the eviction strategy during an unfix, too.
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- ▶ Use write-thru for update propagation or a page cleaner decoupled from the buffer pool as proposed in [SHG16].

Solutions

- ▶ Check each of the restrictions before the eviction of a page.
- ▶ Update the statistics of the eviction strategy during an unfix, too.
- ▶ Update the statistics of the eviction strategy during an pin and unpin, too.
- ▶ Use write-thru for update propagation or a page cleaner decoupled from the buffer pool as proposed in [SHG16].
- ▶ Use a page eviction strategy that takes into account the content of pages (like the structure of an B tree).

Subsection 2

Different Page Replacement Strategies

Some Page Replacement Algorithms

Consideration during selection decision				

[EH84][HR01]

Some Page Replacement Algorithms

Consideration during selection decision		Age		

[EH84][HR01]

Some Page Replacement Algorithms

Consideration during selection decision		Age		
		No consideration		

[EH84][HR01]

Some Page Replacement Algorithms

Consideration during selection decision		Age		
		No consideration		
References				

[EH84][HR01]

Some Page Replacement Algorithms

Consideration during selection decision		Age		
		No consideration		
References	No consideration			

[EH84][HR01]

Some Page Replacement Algorithms

Consideration during selection decision		Age		
		No consideration	Since most recent reference	Since first reference
References	No consideration			

[EH84][HR01]

Some Page Replacement Algorithms

Consideration during selection decision		Age		
		No consideration	Since most recent reference	Since first reference
References	No consideration			
	Most recent reference			
	All references			

[EH84][HR01]

Some Page Replacement Algorithms

Consideration during selection decision		Age		
		No consideration	Since most recent reference	Since first reference
References	No consideration			FIFO
	Most recent reference		LRU CLOCK	
	All references	LFU	GCLOCK-V1 DGCLOCK LRU-K LRD-V2	LRD-V1

[EH84][HR01]

Some Page Replacement Algorithms

Consideration during selection decision		Age		
		No consideration	Since most recent reference	Since first reference
References	No consideration	RANDOM		FIFO
	Most recent reference		LRU CLOCK	
	All references	LFU	GCLOCK-V1 DGCLOCK LRU-K LRD-V2	LRD-V1

[EH84][HR01]

Some Page Replacement Algorithms

Consideration during selection decision		Age		
		No consideration	Since most recent reference	Since first reference
References	No consideration	RANDOM		FIFO
	Most recent reference		LRU CLOCK GCLOCK-V2	
	All references	LFU	GCLOCK-V1 DGCLOCK LRU-K LRD-V2	LRD-V1

[EH84][HR01]

Some More Page Replacement Algorithms

PRIORITY-LRU

MRU

LIRS

Clock-Pro

WSclock

SEQ

CART

LRFU

MQ

HSS

ARC

EELRU

PLRU

LFV

SLRU

VAR-PAGE-LRU

NFU

Pannier

DEAR

[HR01][Wan01][HSS11][Wik17]

Some More Page Replacement Algorithms

PRIORITY-LRU

MRU

LIRS

Clock-Pro

CAR

WSclock

SEQ

CART

LRFU

MQ

HSS

ARC

EELRU

PLRU

LFV

SLRU

VAR-PAGE-LRU

NFU

Pannier

DEAR

[HR01][Wan01][HSS11][Wik17]

RANDOM

Overview

RANDOM

Overview

- ▶ Simplest page eviction strategy

RANDOM

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- ▶ Simplest page eviction strategy
- ▶ Evicts a random page that can be evicted

RANDOM

Overview

- ▶ Simplest page eviction strategy
- ▶ Evicts a random page that can be evicted
- ▶ Won't evict frequently used pages as they're latched all the time

GCLOCK

Overview

GCLOCK

Overview

- ▶ Slight enhancement of the CLOCK algorithm: *generalized CLOCK*

GCLOCK

Overview

- ▶ Slight enhancement of the CLOCK algorithm: *generalized CLOCK*
- ▶ Uses finer-grained statistics about the recency of page references

GCLOCK

Overview

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- ▶ Parameter k defines granulation of statistics

GCLOCK

Overview

- ▶ Slight enhancement of the CLOCK algorithm: *generalized CLOCK*
- ▶ Uses finer-grained statistics about the recency of page references
- ▶ Parameter k defines granulation of statistics
 - ▶ $k = 1$: CLOCK

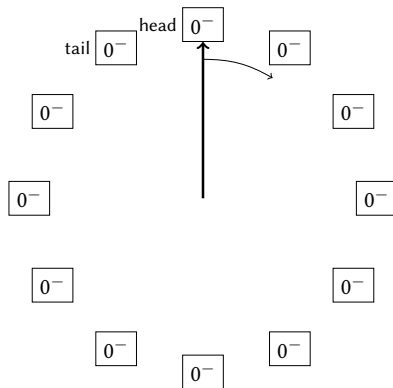
GCLOCK

Overview

- ▶ Slight enhancement of the CLOCK algorithm: *generalized CLOCK*
- ▶ Uses finer-grained statistics about the recency of page references
- ▶ Parameter k defines granulation of statistics
 - ▶ $k = 1$: CLOCK
 - ▶ $k = \#frames$: Similar to LRU

GCLOCK

Example



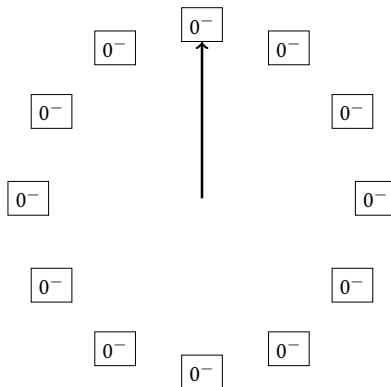
GCLOCK

Example

Cold Starting the Buffer Pool!

GCLOCK

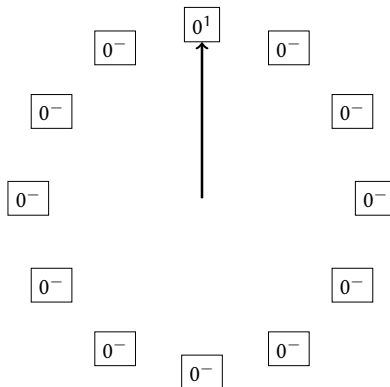
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, 5

GCLOCK

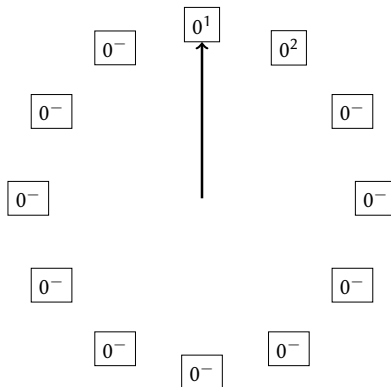
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, 5

GCLOCK

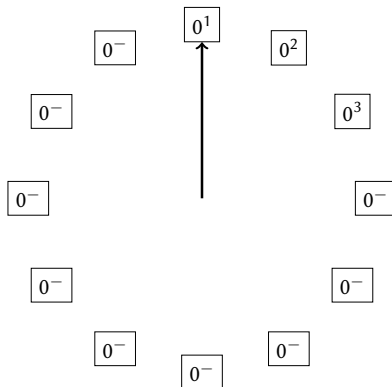
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, 5

GCLOCK

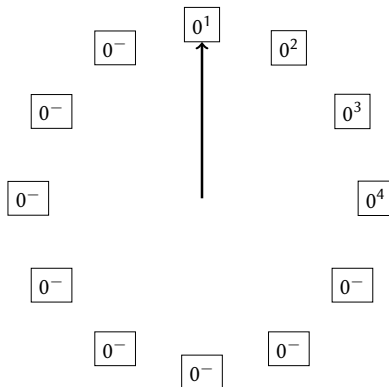
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, 5

GCLOCK

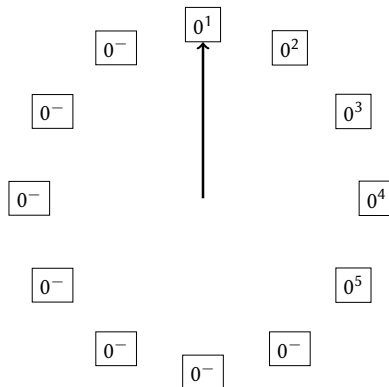
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, 5

GCLOCK

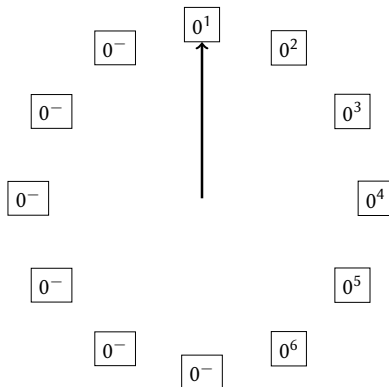
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, 5

GCLOCK

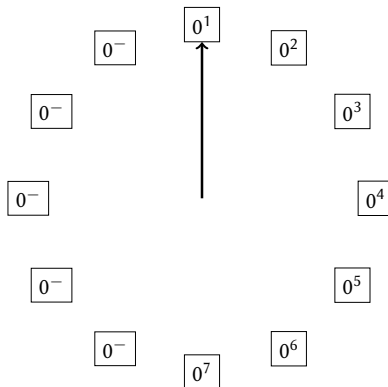
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, 5

GCLOCK

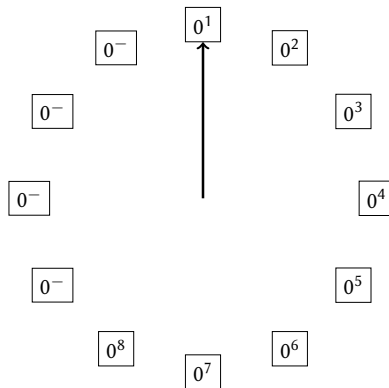
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, 5

GCLOCK

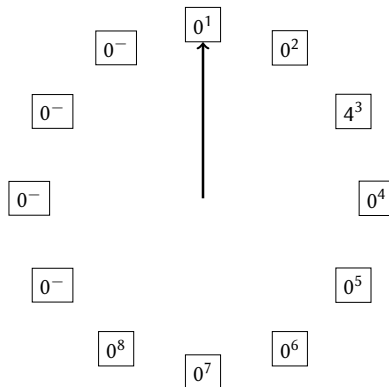
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, 5

GCLOCK

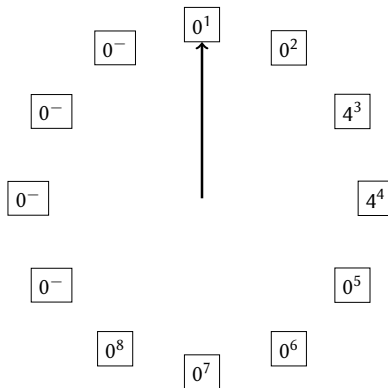
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, 5

GCLOCK

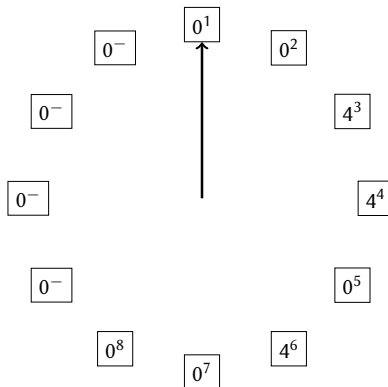
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, 5

GCLOCK

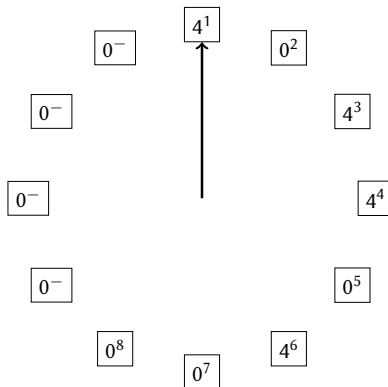
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, **6**, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, 5

GCLOCK

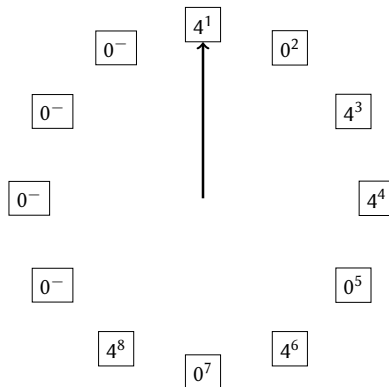
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, 5

GCLOCK

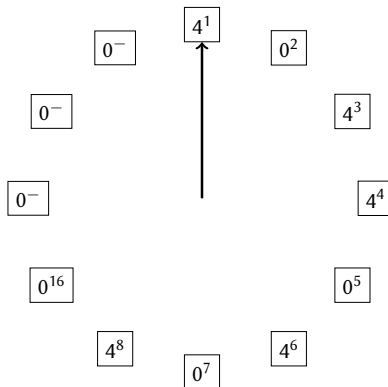
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, **8**, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, 5

GCLOCK

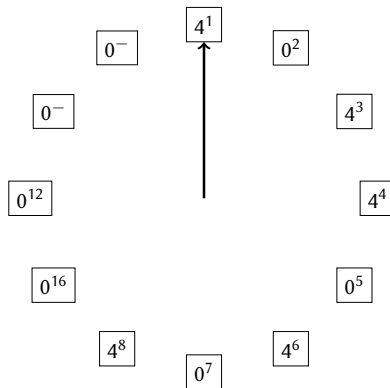
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, 5

GCLOCK

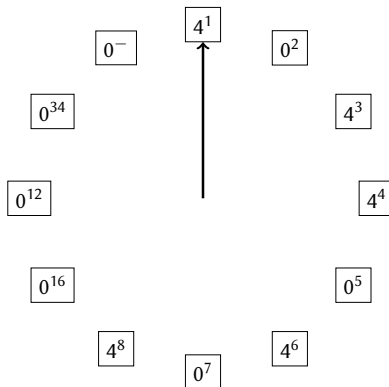
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, **12**, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, 5

GCLOCK

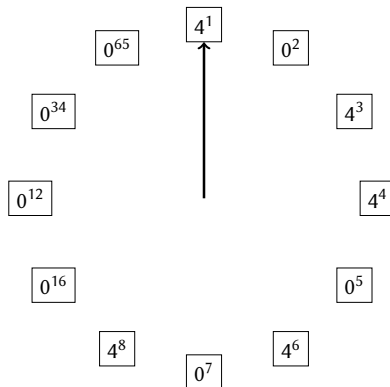
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, **34**, 65, 3, 4, 7, 2, 65, 23, 2, 3, 5

GCLOCK

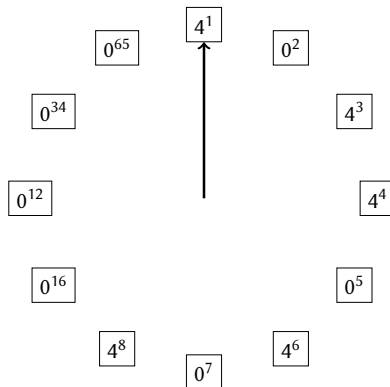
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, 5

GCLOCK

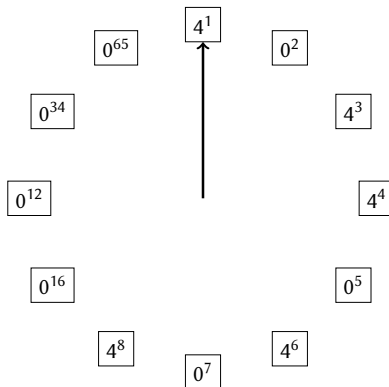
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, 5

GCLOCK

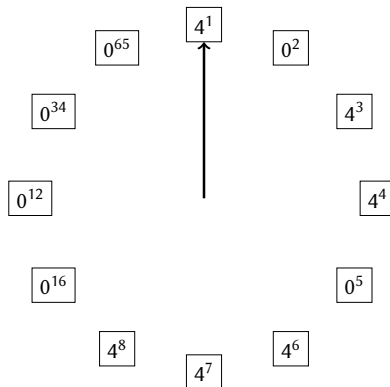
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, 5

GCLOCK

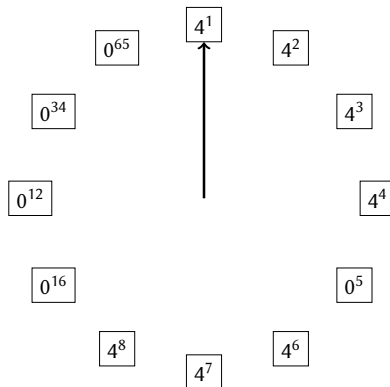
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, **7**, 2, 65, 23, 2, 3, 5

GCLOCK

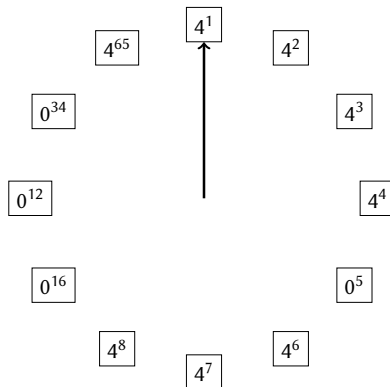
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, **2**, 65, 23, 2, 3, 5

GCLOCK

Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, **65**, 23, 2, 3, 5

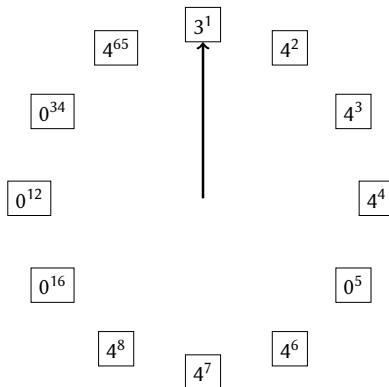
GCLOCK

Example

Evicting Pages!

GCLOCK

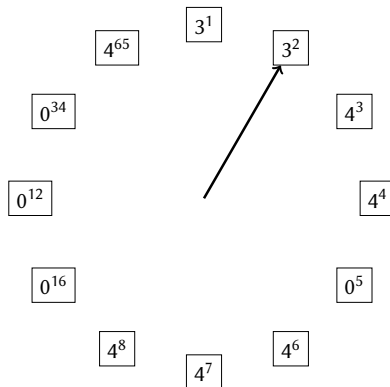
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, **23**, 2, 3, 5

GCLOCK

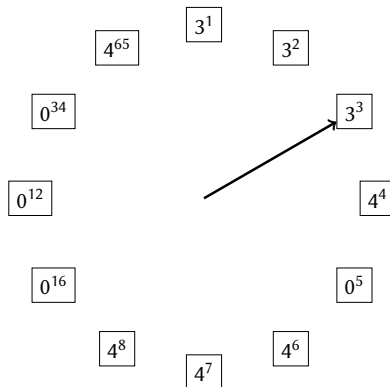
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, **23**, 2, 3, 5

GCLOCK

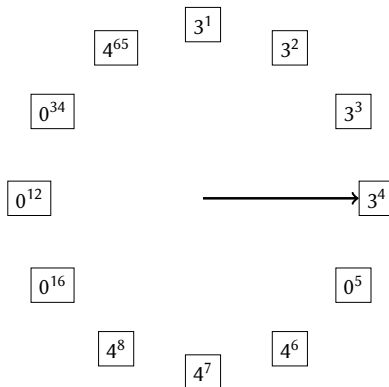
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, **23**, 2, 3, 5

GCLOCK

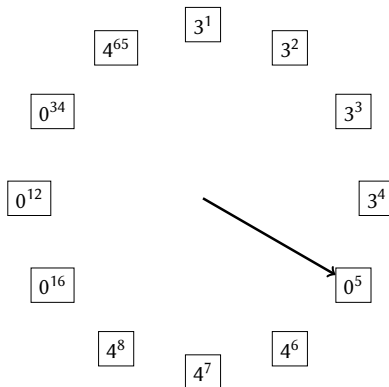
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, **23**, 2, 3, 5

GCLOCK

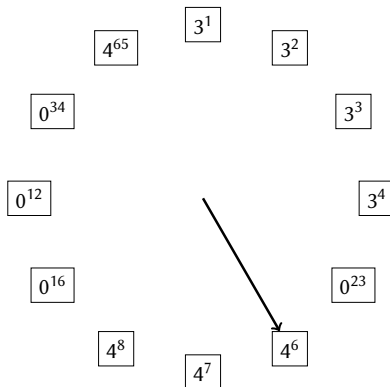
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, **23**, 2, 3, 5

GCLOCK

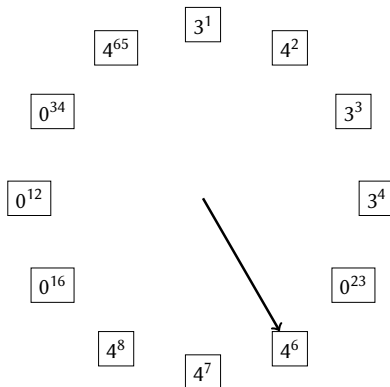
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, **23**, 2, 3, 5

GCLOCK

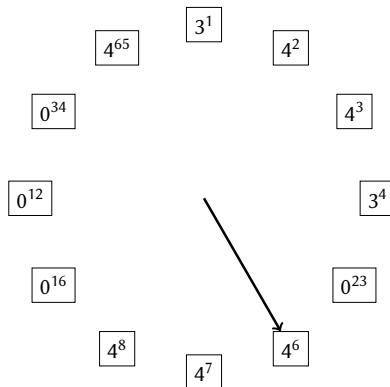
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, **2**, 3, 5

GCLOCK

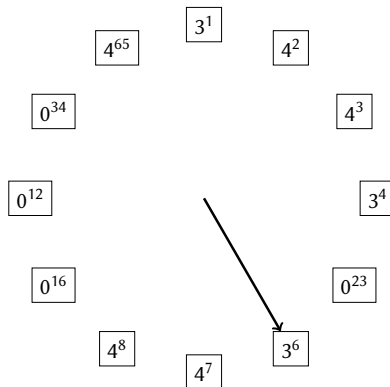
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, **3**, 5

GCLOCK

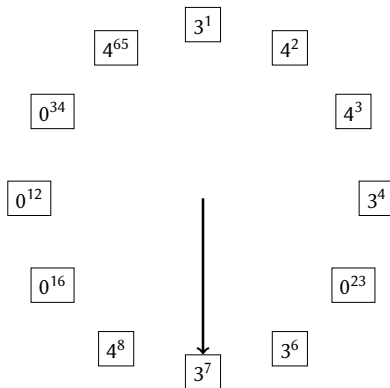
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, **5**

GCLOCK

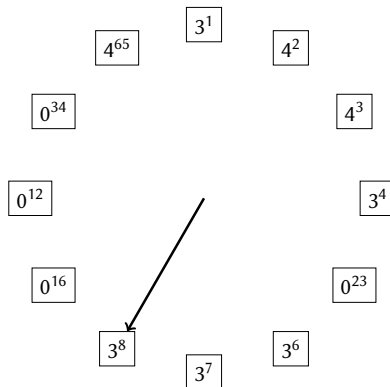
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, **5**

GCLOCK

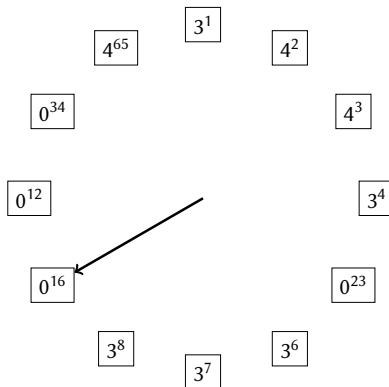
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, **5**

GCLOCK

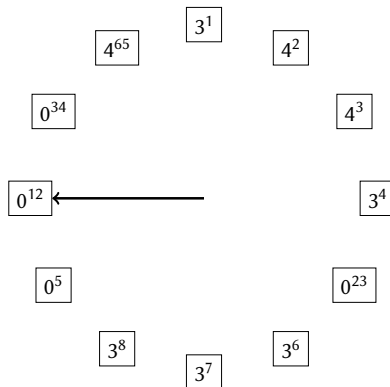
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, **5**

GCLOCK

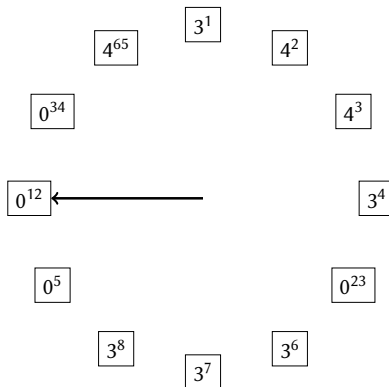
Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, **5**

GCLOCK

Example



Page References: 1, 2, 3, 4, 5, 6, 7, 8, 3, 4, 6, 1, 8, 16, 12, 34, 65, 3, 4, 7, 2, 65, 23, 2, 3, 1

GCLOCK

Algorithm (1)

GCLOCK

Algorithm (1)

1: **procedure** GET_PAGE(x)

12: **end procedure**

GCLOCK

Algorithm (1)

```
1: procedure GET_PAGE( $x$ )  
2:   if  $x \in$  buffer pool then  
  
  
  
  
  
  
  
  
  
  
11:   end if  
12: end procedure
```

GCLOCK

Algorithm (1)

```
1: procedure GET_PAGE( $x$ )  
2:   if  $x \in$  buffer pool then  
3:     referenced [ $x$ ]  $\leftarrow k$   
  
11:   end if  
12: end procedure
```

GCLOCK

Algorithm (1)

```
1: procedure GET_PAGE( $x$ )  
2:   if  $x \in$  buffer pool then  
3:      $referenced[x] \leftarrow k$   
4:   else if buffer pool is full then  
  
  
  
  
  
  
  
  
  
11:  end if  
12: end procedure
```

GCLOCK

Algorithm (1)

```
1: procedure GET_PAGE( $x$ )  
2:   if  $x \in$  buffer pool then  
3:      $referenced[x] \leftarrow k$   
4:   else if buffer pool is full then  
5:     EVICT  
6:     INSERT( $x$ )  
7:      $referenced[x] \leftarrow 0$   
  
11:  end if  
12: end procedure
```

GCLOCK

Algorithm (1)

```
1: procedure GET_PAGE( $x$ )  
2:   if  $x \in$  buffer pool then  
3:      $referenced[x] \leftarrow k$   
4:   else if buffer pool is full then  
5:     EVICT  
6:     INSERT( $x$ )  
7:      $referenced[x] \leftarrow 0$   
8:   else  
  
11:  end if  
12: end procedure
```

GCLOCK

Algorithm (1)

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1: procedure GET_PAGE( $x$ )
2:   if  $x \in$  buffer pool then
3:      $referenced[x] \leftarrow k$ 
4:   else if buffer pool is full then
5:     EVICT
6:     INSERT( $x$ )
7:      $referenced[x] \leftarrow 0$ 
8:   else
9:     INSERT( $x$ )
10:     $referenced[x] \leftarrow 0$ 
11:  end if
12: end procedure
```

GCLOCK

Algorithm (2)

GCLOCK

Algorithm (2)

1: **procedure** EVICT

13: **end procedure**

GCLOCK

Algorithm (2)

```
1: procedure EVICT
2:   found  $\leftarrow$  false
3:   while found  $\neq$  true do

12:   end while
13: end procedure
```

GCLOCK

Algorithm (2)

```
1: procedure EVICT
2:   found  $\leftarrow$  false
3:   while found  $\neq$  true do
4:     x  $\leftarrow$  GET_NEXT

12:   end while
13: end procedure
```

GCLOCK

Algorithm (2)

```
1: procedure EVICT
2:   found  $\leftarrow$  false
3:   while found  $\neq$  true do
4:     x  $\leftarrow$  GET_NEXT
5:     if referenced[x] = 0 then

11:       end if
12:   end while
13: end procedure
```

GCLOCK

Algorithm (2)

```
1: procedure EVICT
2:   found  $\leftarrow$  false
3:   while found  $\neq$  true do
4:     x  $\leftarrow$  GET_NEXT
5:     if referenced[x] = 0 then
6:       found  $\leftarrow$  true
7:       REMOVE_NEXT

11:    end if
12:  end while
13: end procedure
```

GCLOCK

Algorithm (2)

```
1: procedure EVICT
2:   found  $\leftarrow$  false
3:   while found  $\neq$  true do
4:     x  $\leftarrow$  GET_NEXT
5:     if referenced[x] = 0 then
6:       found  $\leftarrow$  true
7:       REMOVE_NEXT
8:     else

11:      end if
12:    end while
13: end procedure
```

GCLOCK

Algorithm (2)

```
1: procedure EVICT
2:   found  $\leftarrow$  false
3:   while found  $\neq$  true do
4:     x  $\leftarrow$  GET_NEXT
5:     if referenced[x] = 0 then
6:       found  $\leftarrow$  true
7:       REMOVE_NEXT
8:     else
9:       referenced[x]  $\leftarrow$  referenced[x] - 1
10:      MOVE_HAND
11:    end if
12:  end while
13: end procedure
```

GCLOCK

Advantage of Higher k -Values

GCLOCK

Advantage of Higher k -Values

Advantages of Lower k -Values

GCLOCK

Advantage of Higher k -Values

- ▶ More detailed statistics about page references
 - ⇒ Higher hit rate
 - ⇒ Higher performance

Advantages of Lower k -Values

GCLOCK

Advantage of Higher k -Values

- ▶ More detailed statistics about page references
 - ⇒ Higher hit rate
 - ⇒ Higher performance

Advantages of Lower k -Values

- ▶ Lower processing time required to find an eviction victim
 - ⇒ Higher performance

GCLOCK

Advantage of Higher k -Values

- ▶ More detailed statistics about page references
 - ⇒ Higher hit rate
 - ⇒ Higher performance

Advantages of Lower k -Values

- ▶ Lower processing time required to find an eviction victim
 - ⇒ Higher performance
- ▶ Lower memory overhead due to shorter referenced-numbers

GCLOCK

Advantage of Higher k -Values

- ▶ More detailed statistics about page references
 - ⇒ Higher hit rate
 - ⇒ Higher performance

Advantages of Lower k -Values

- ▶ Lower processing time required to find an eviction victim
 - ⇒ Higher performance
- ▶ Lower memory overhead due to shorter referenced-numbers

⇒ Trade-off between CPU- and I/O-optimization

CAR

Overview

CAR

Overview

- ▶ Extensive enhancement of the CLOCK algorithm: *Clock with Adaptive Replacement* [BM04]

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 - ▶ Weighted consideration of reference recency and frequency

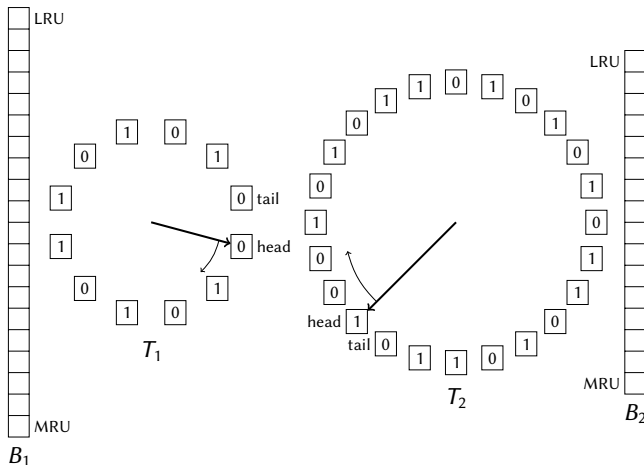
CAR

Overview

- ▶ Extensive enhancement of the CLOCK algorithm: *Clock with Adaptive Replacement* [BM04]
- ▶ Approximation of the ARC page eviction strategy
- ▶ Uses two clocks and two LRU-lists
- ▶ Advantages of CAR compared to CLOCK:
 - ▶ Weighted consideration of reference recency and frequency
 - ▶ Scan-resistance

CAR

Example



CAR

Algorithm (1)

CAR

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1: **procedure** GET_PAGE(x)

CAR

Algorithm (1)

```
1: procedure GET_PAGE( $x$ )  
2:   if  $x \in T_1 \cup T_2$  then
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CAR

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CAR

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4:   else
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CAR

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5:     if  $|T_1| + |T_2| = c$  then
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12:    end if
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6:       EVICT
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7:       if  $(x \notin B_1 \cup B_2) \wedge (|T_1| + |B_1| = c)$  then
8:         REMOVE_NEXT( $B_1$ )

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7:       if  $(x \notin B_1 \cup B_2) \wedge (|T_1| + |B_1| = c)$  then
8:         REMOVE_NEXT( $B_1$ )
9:       else if  $(x \notin B_1 \cup B_2) \wedge (|T_1| + |T_2| + |B_1| + |B_2| = 2c)$  then
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11:     end if
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```

CAR

Algorithm (2)

```
25:   end if  
26: end procedure
```


CAR

Algorithm (2)

13: **if** $x \notin B_1 \cup B_2$ **then**

24: **end if**

25: **end if**

26: **end procedure**

CAR

Algorithm (2)

```
13:    if  $x \notin B_1 \cup B_2$  then  
14:        INSERT_INTO( $T_1, x$ )  
15:        referenced [ $x$ ]  $\leftarrow$  false
```

```
24:    end if  
25:  end if  
26: end procedure
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CAR

Algorithm (2)

```
13:    if  $x \notin B_1 \cup B_2$  then  
14:        INSERT_INT0( $T_1, x$ )  
15:        referenced [ $x$ ]  $\leftarrow$  false  
16:    else if  $x \in B_1$  then
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24:        end if  
25:    end if  
26: end procedure
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CAR

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16:    else if  $x \in B_1$  then
17:         $p \leftarrow \min \left\{ p + \max \left\{ 1, \frac{|B_2|}{|B_1|} \right\}, c \right\}$ 

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18:       INSERT_INT0( $T_2, x$ )
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18:       INSERT_INT0( $T_2, x$ )
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20:   else
21:        $p \leftarrow \max \left\{ p - \max \left\{ 1, \frac{|B_1|}{|B_2|} \right\}, 0 \right\}$ 

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22:       INSERT_INT0( $T_2, x$ )
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```


CAR

Algorithm (3)

CAR

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1: **procedure** EVICT

CAR

Algorithm (3)

```
1: procedure EVICT  
2:   found  $\leftarrow$  false  
3:   while found  $\neq$  true do
```

CAR

Algorithm (3)

```
1: procedure EVICT
2:   found  $\leftarrow$  false
3:   while found  $\neq$  true do
4:     if  $|T_1| \geq \max\{1, p\}$  then
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CAR

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4:     if  $|T_1| \geq \max\{1, p\}$  then
5:        $x \leftarrow \text{GET\_NEXT\_FROM}(T_1)$ 
```

CAR

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3:   while found  $\neq$  true do
4:     if  $|T_1| \geq \max\{1, p\}$  then
5:        $x \leftarrow \text{GET\_NEXT\_FROM}(T_1)$ 
6:       if referenced [ $x$ ] = false then

13:       end if
```

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5:        $x \leftarrow \text{GET\_NEXT\_FROM}(T_1)$ 
6:       if referenced [ $x$ ] = false then
7:         found  $\leftarrow$  true
8:         REMOVE_NEXT( $T_1$ )
9:         INSERT_INTO( $B_1, x$ )

13:    end if
```

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10:      else
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12:
13:    end if

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8:         REMOVE_NEXT( $T_1$ )
9:         INSERT_INTO( $B_1, x$ )
10:      else
11:        referenced [x]  $\leftarrow$  false
12:        MOVE_HAND( $T_1$ )
13:      end if
```

CAR

Algorithm (4)

```
24:     end if  
25: end while  
26: end procedure
```

CAR

Algorithm (4)

14: **else**

24: **end if**

25: **end while**

26: **end procedure**

CAR

Algorithm (4)

```
14:      else  
15:           $X \leftarrow \text{GET\_NEXT\_FROM}(T_2)$ 
```

```
24:      end if  
25:  end while  
26: end procedure
```

CAR

Algorithm (4)

```
14:      else  
15:           $x \leftarrow \text{GET\_NEXT\_FROM}(T_2)$   
16:          if referenced  $[x] = \text{false}$  then
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23:          end if  
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Algorithm (4)

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14:      else
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18:              REMOVE_NEXT( $T_2$ )
19:              INSERT_INTO( $B_2, x$ )

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19:              INSERT_INTO( $B_2, x$ )
20:          else

23:      end if
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CAR

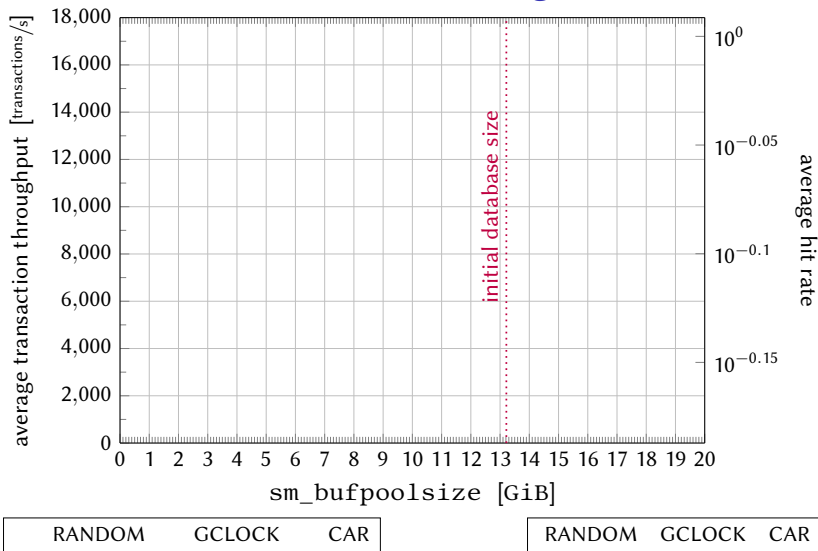
Algorithm (4)

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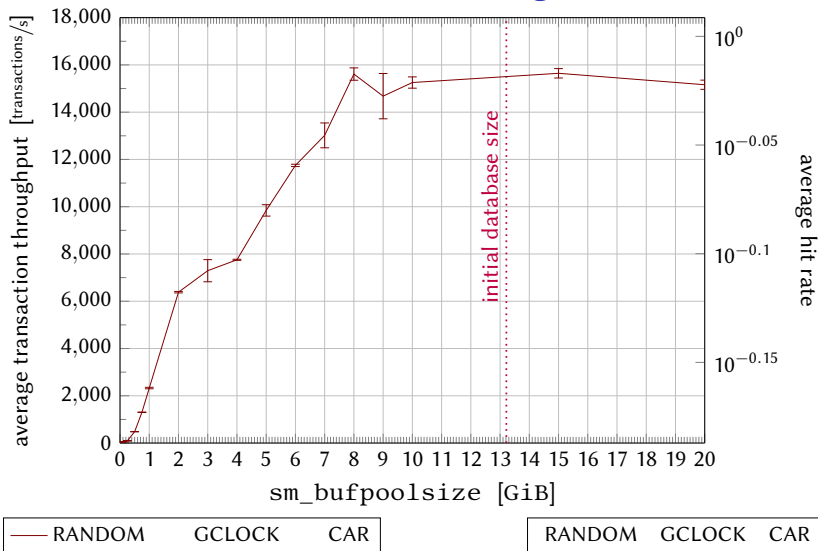
Subsection 3

Performance Evaluation

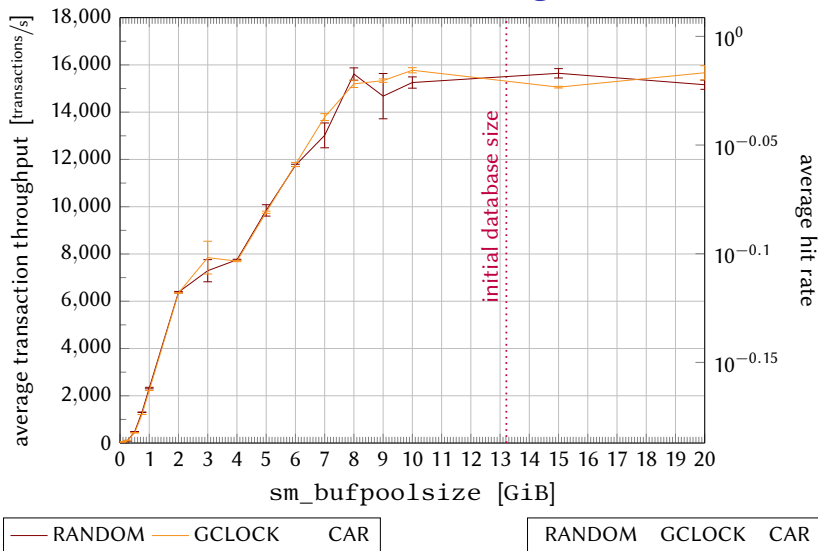
Buffer Pool Without Pointer Swizzling (TPC-C)



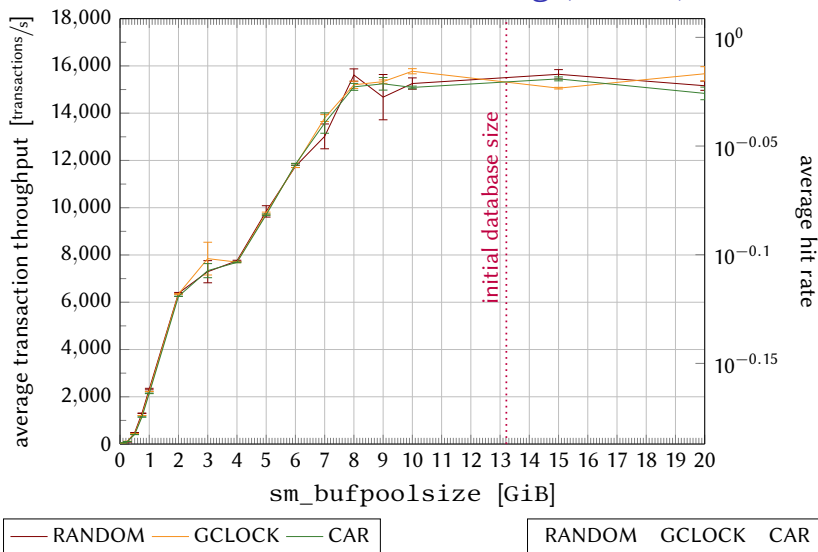
Buffer Pool Without Pointer Swizzling (TPC-C)



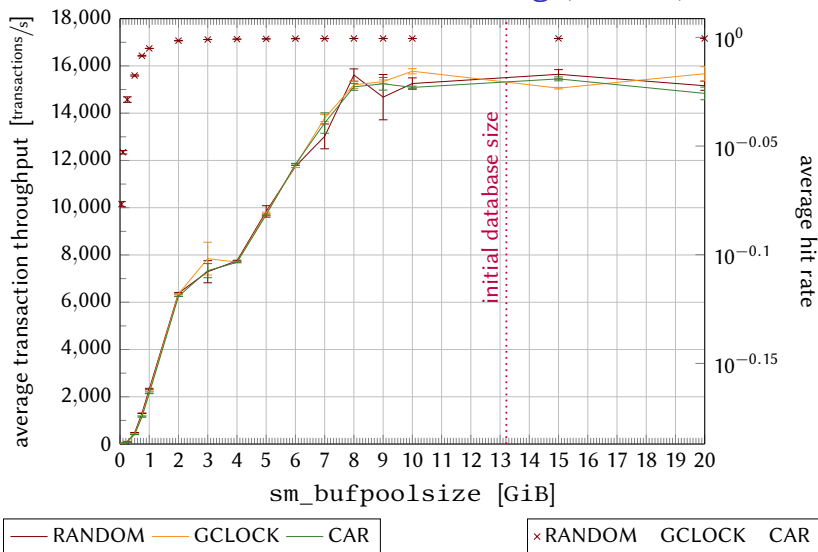
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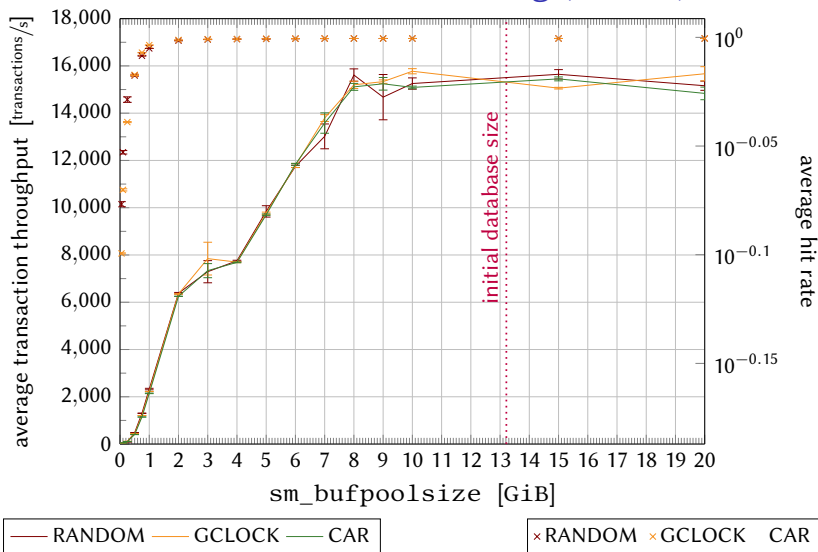
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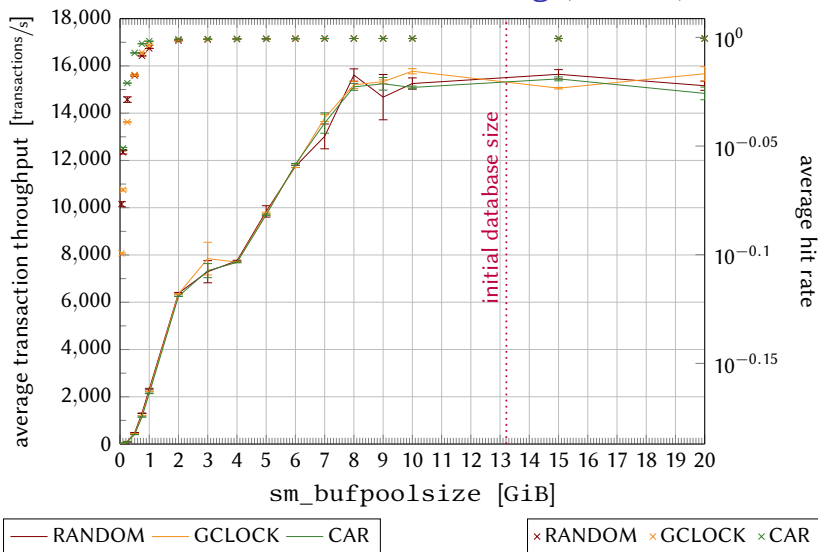
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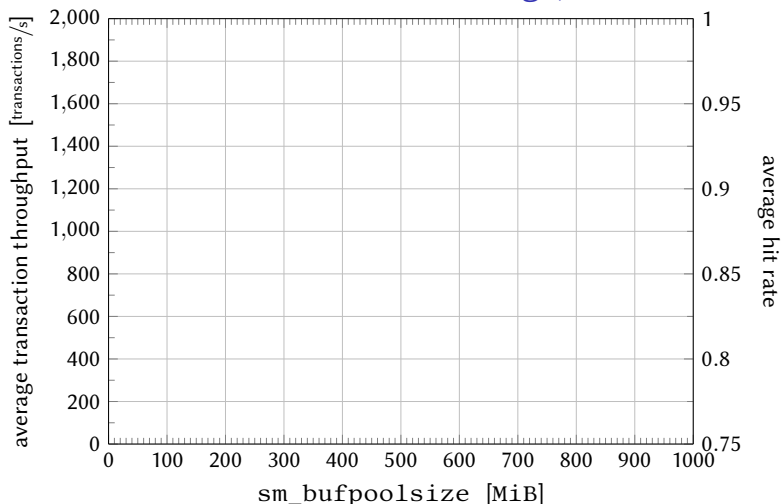
Buffer Pool Without Pointer Swizzling (TPC-C)



Buffer Pool Without Pointer Swizzling (TPC-C)



Buffer Pool Without Pointer Swizzling (≤ 1 GiB, TPC-C)



RANDOM

GCLOCK

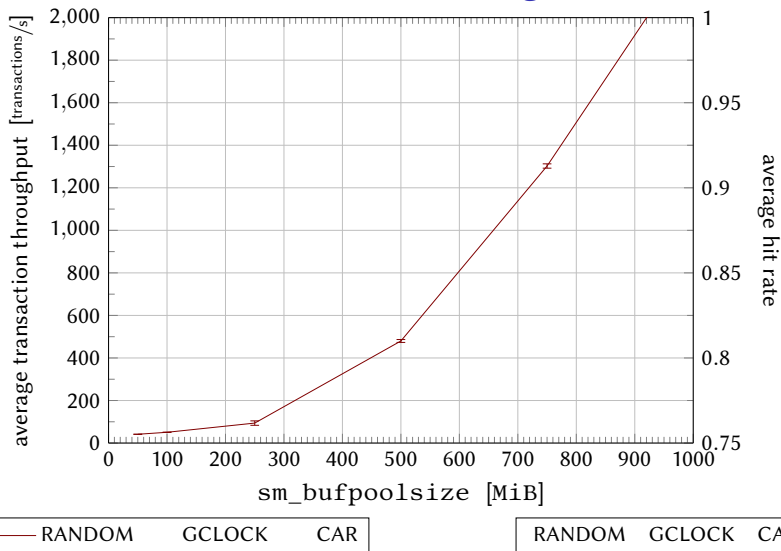
CAR

RANDOM

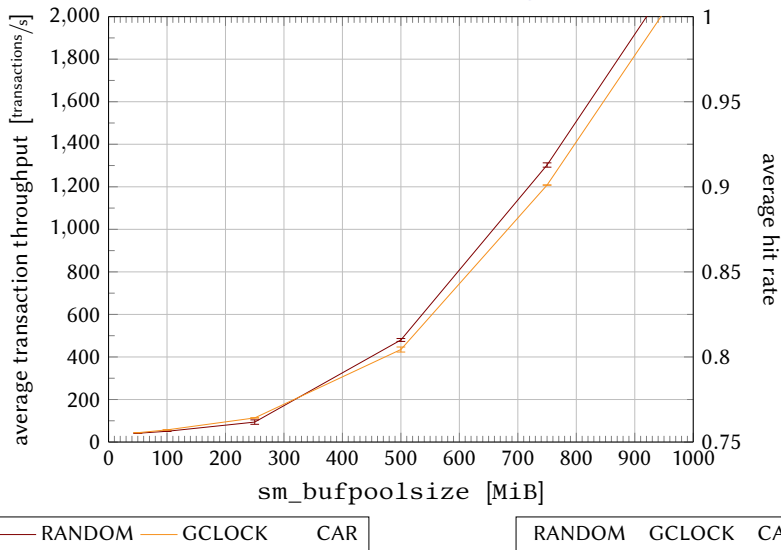
GCLOCK

CAR

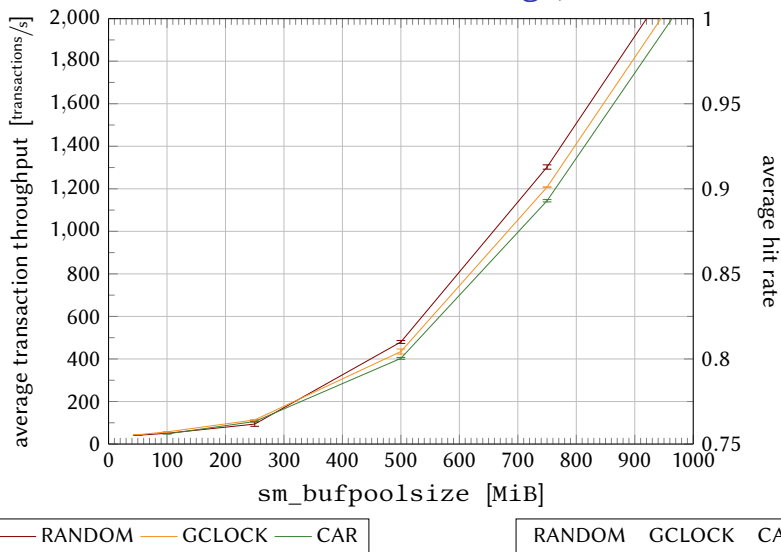
Buffer Pool Without Pointer Swizzling (≤ 1 GiB, TPC-C)



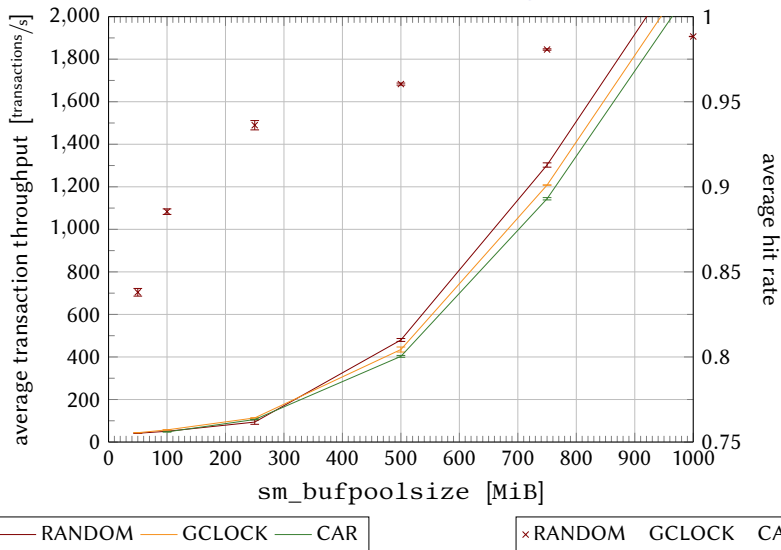
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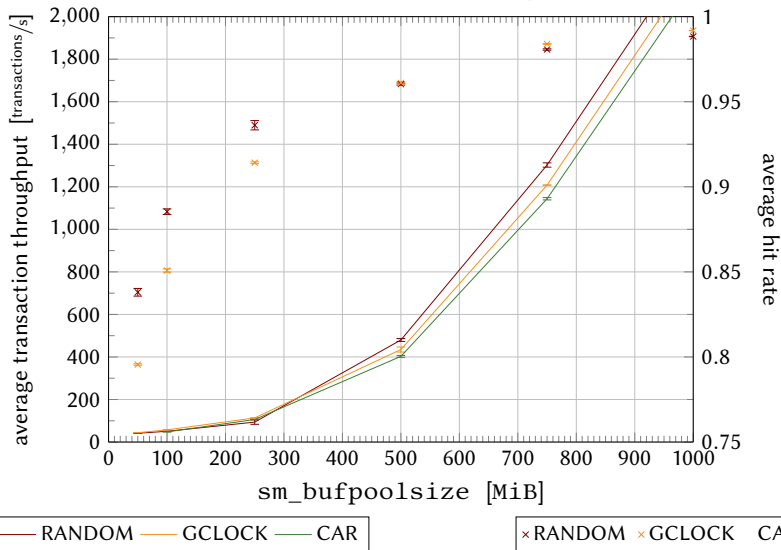
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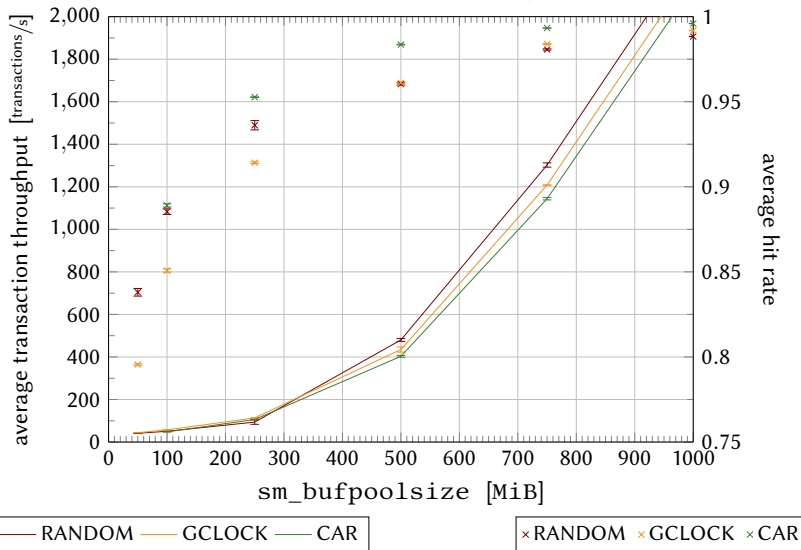
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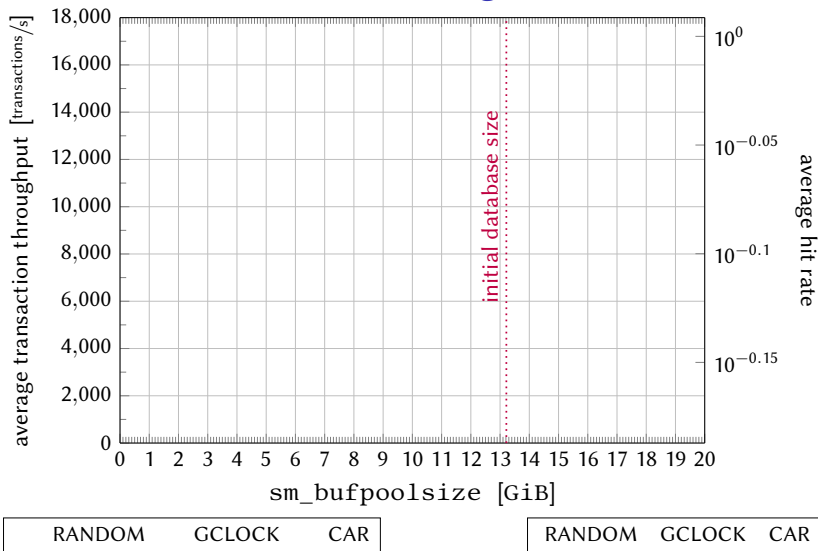
Buffer Pool Without Pointer Swizzling (≤ 1 GiB, TPC-C)



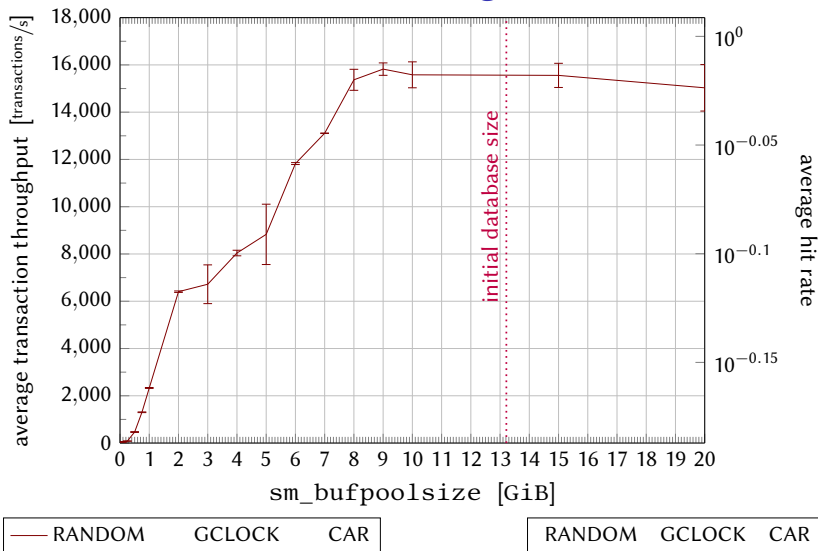
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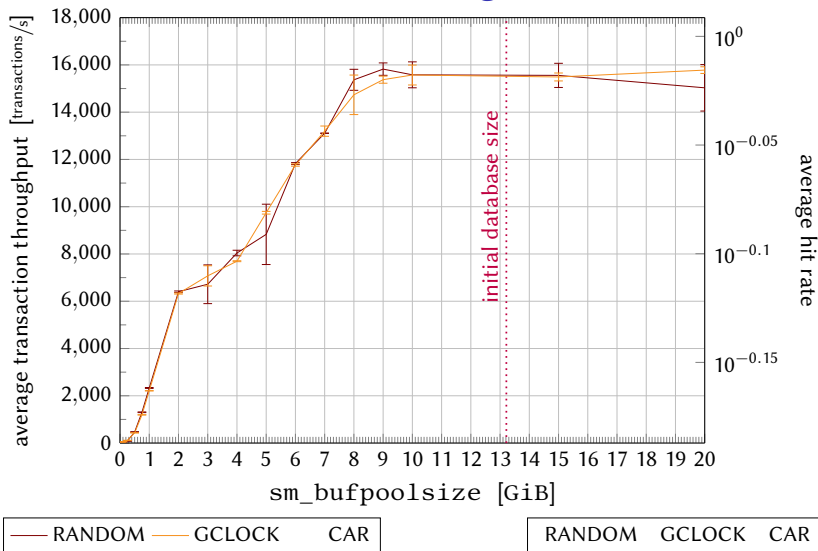
Buffer Pool With Pointer Swizzling (TPC-C)



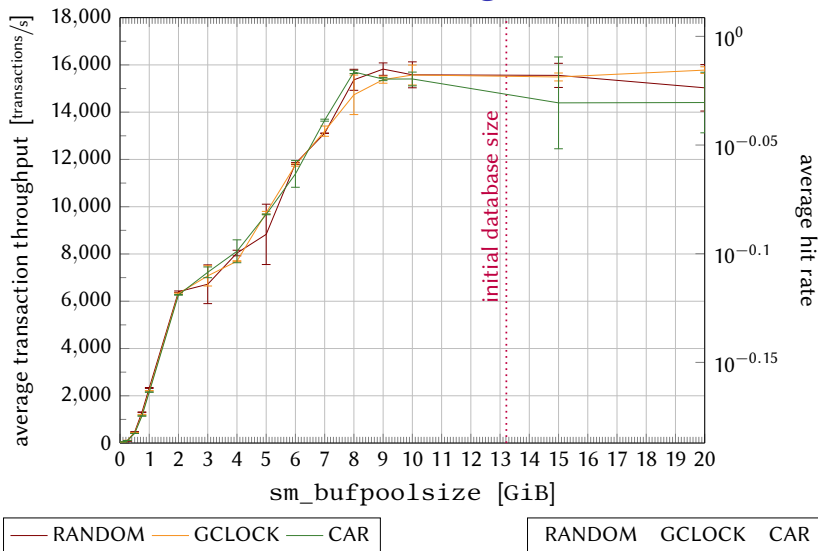
Buffer Pool With Pointer Swizzling (TPC-C)



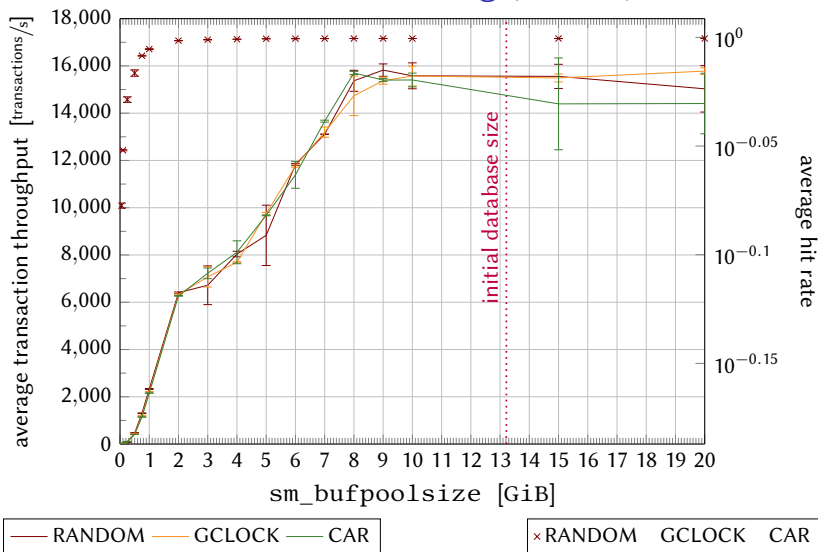
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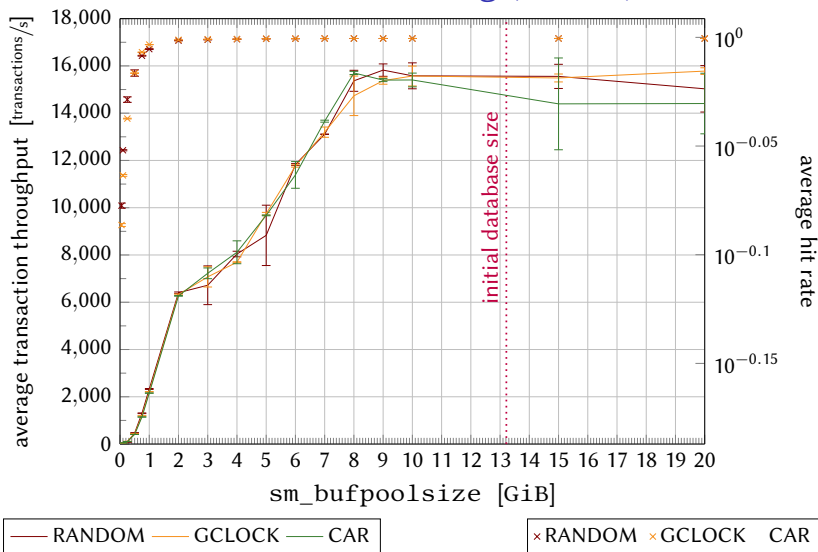
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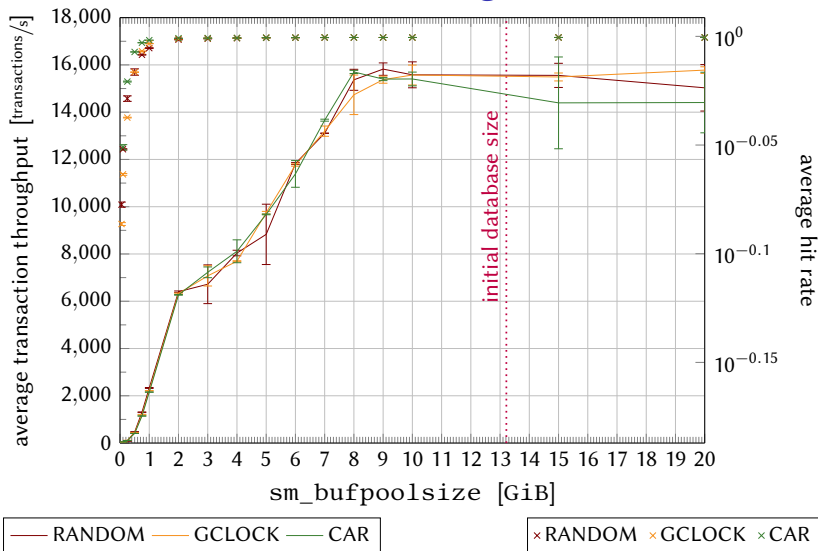
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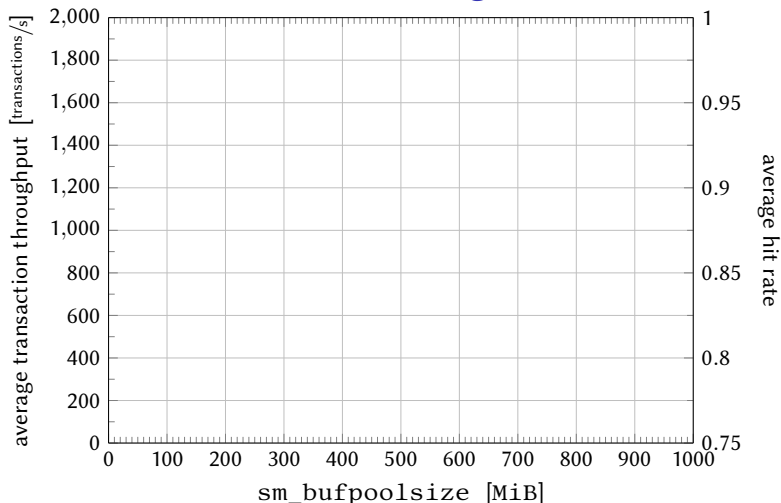
Buffer Pool With Pointer Swizzling (TPC-C)



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Buffer Pool With Pointer Swizzling (≤ 1 GiB, TPC-C)



RANDOM

GCLOCK

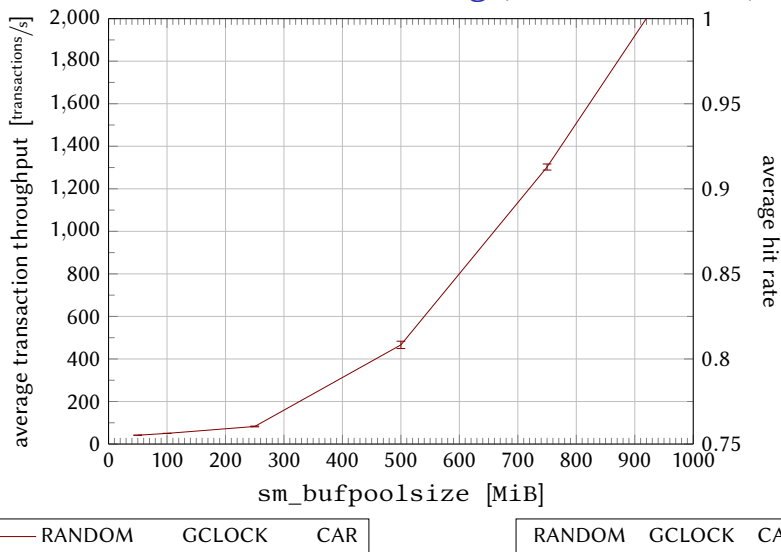
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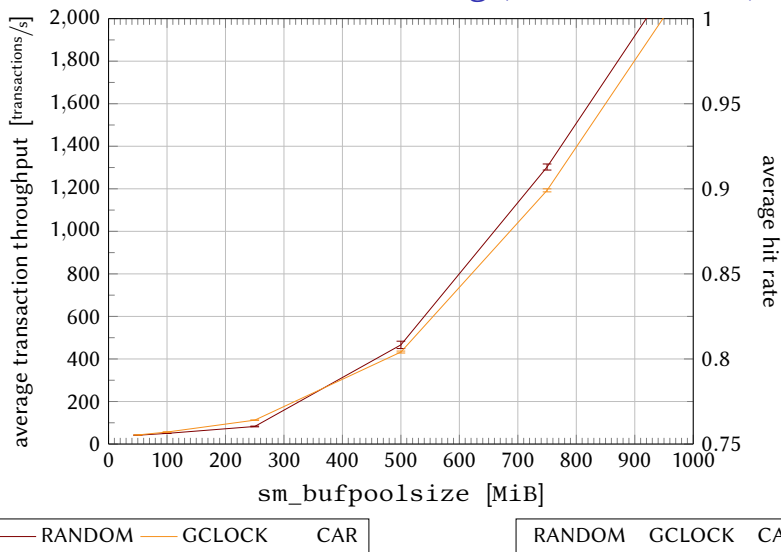
GCLOCK

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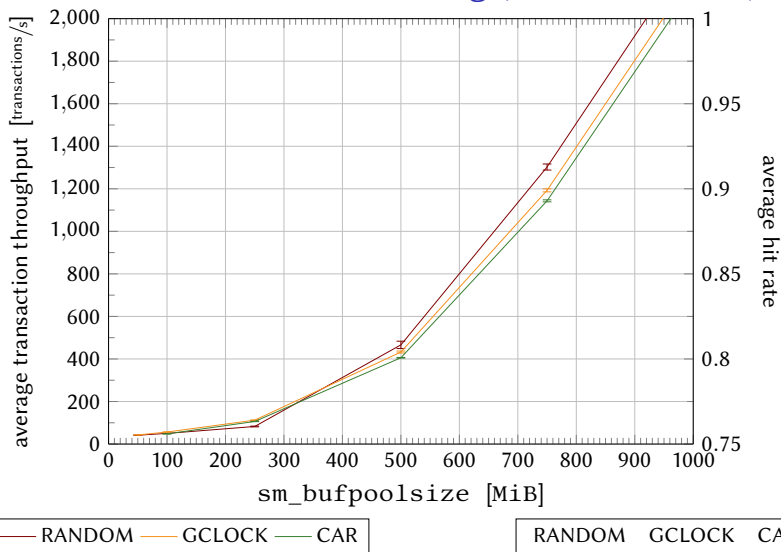
Buffer Pool With Pointer Swizzling (≤ 1 GiB, TPC-C)



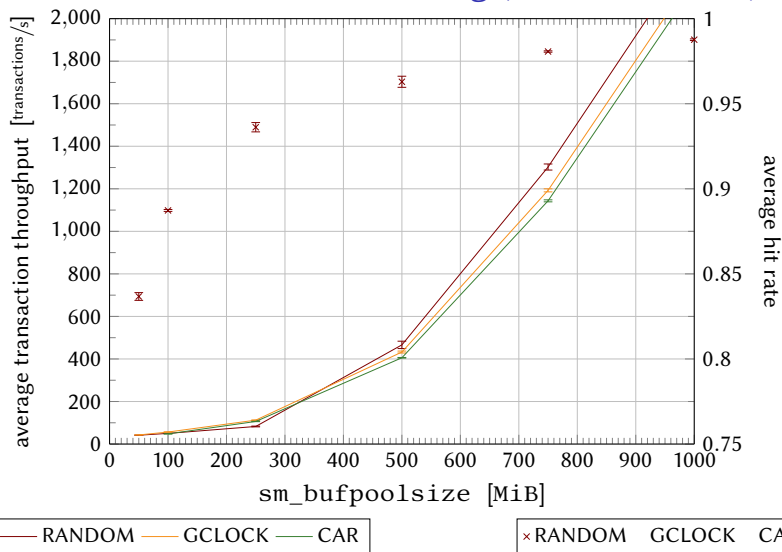
Buffer Pool With Pointer Swizzling (≤ 1 GiB, TPC-C)



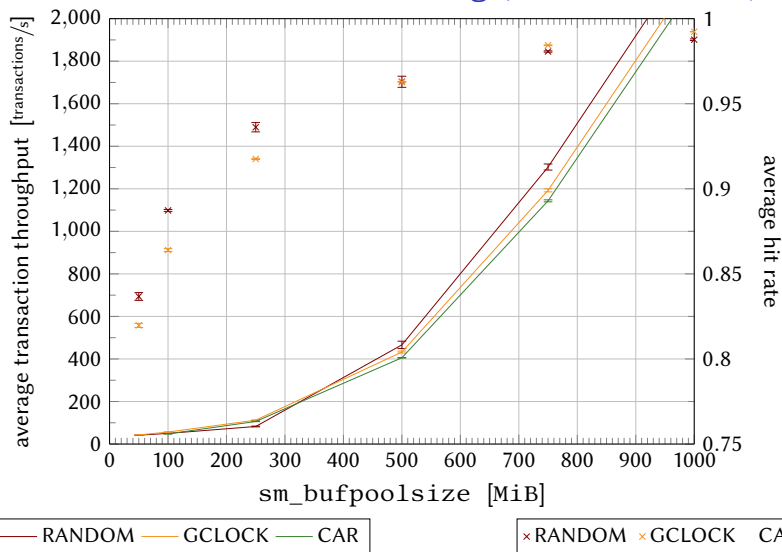
Buffer Pool With Pointer Swizzling (≤ 1 GiB, TPC-C)



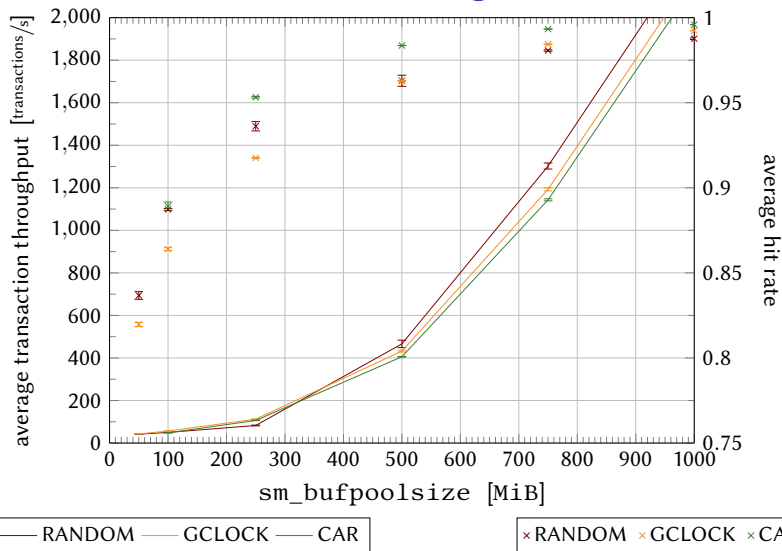
Buffer Pool With Pointer Swizzling (≤ 1 GiB, TPC-C)



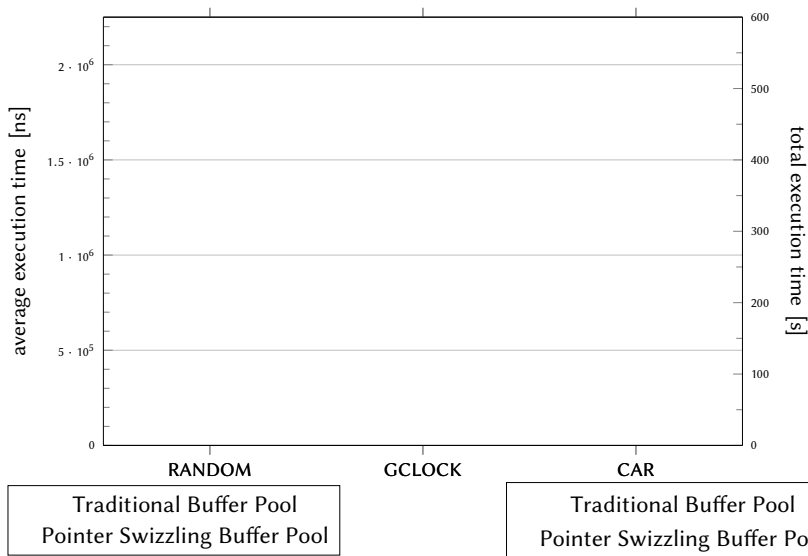
Buffer Pool With Pointer Swizzling (≤ 1 GiB, TPC-C)



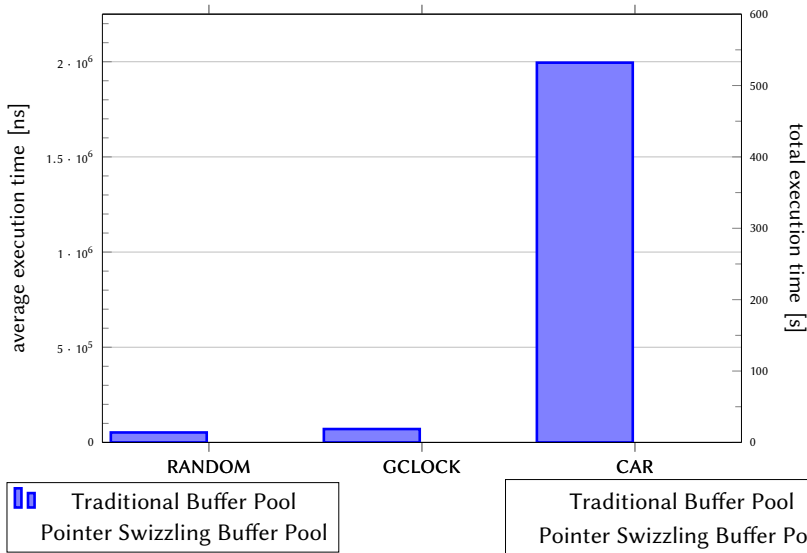
Buffer Pool With Pointer Swizzling (≤ 1 GiB, TPC-C)



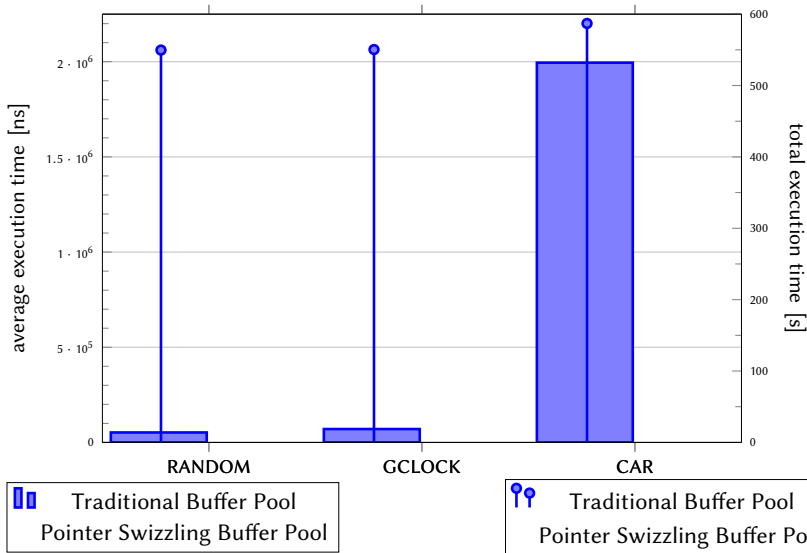
Operation Performance



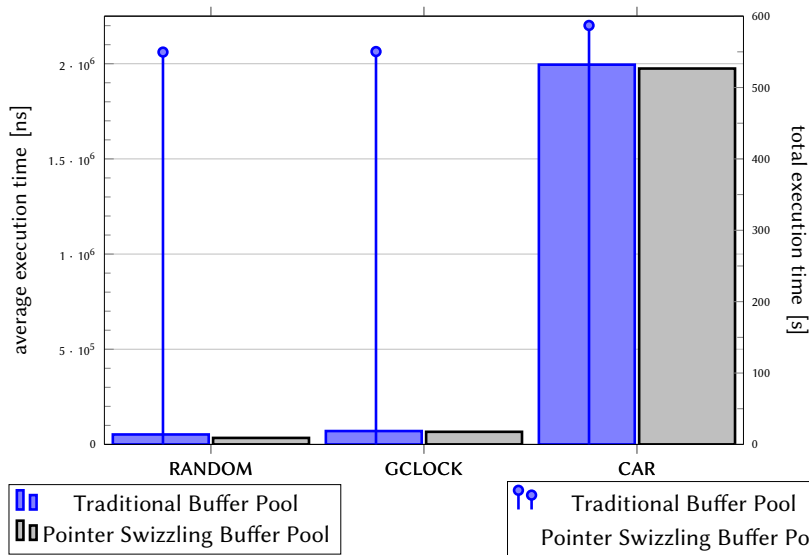
Operation Performance



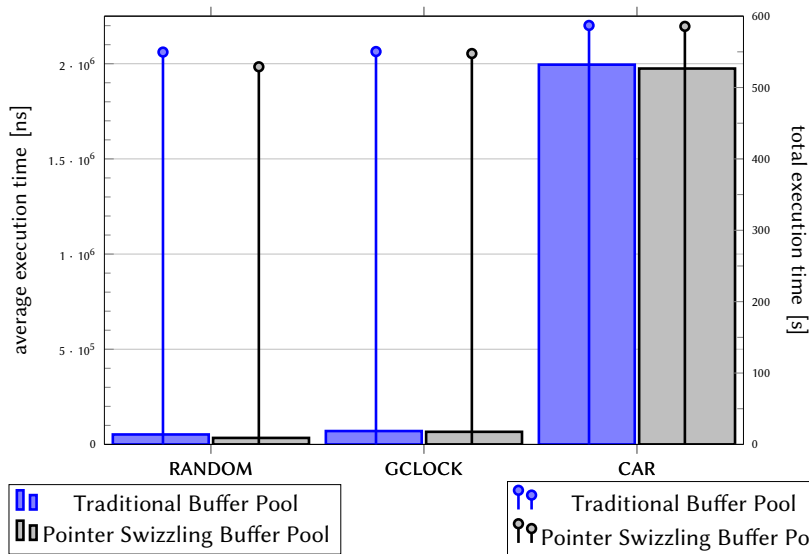
Operation Performance



Operation Performance



Operation Performance



Subsection 4

Conclusion

Conclusion

Performance

Conclusion

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- ▶ Major differences in hit rate are only for buffer pool sizes of $\leq \frac{1}{10}$ of the database size
- ▶ The computational effort spent to do CAR eviction is 27–58 times higher
- ▶ The overall performance of CAR isn't better than the one of RANDOM or GCLOCK

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