

Analyzing the Impact of System Architecture on the Scalability of OLTP Engines for High-Contention Workloads

by R. Appuswamy, A. Anadiotis, D. Porobic, M.
Iman, A. Ailamaki

Max Gilbert

m_gilbert13@cs.uni-kl.de



Lehrgebiet Informationssysteme

Technische Universität Kaiserslautern

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Section 1

Introduction

Requirements for a DBMS

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- ▶ Reliability

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- ▶ Functionality

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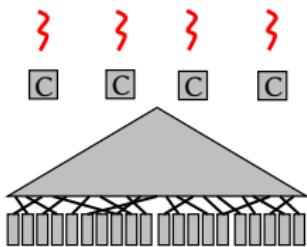
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- **Limits concurrency for high-contention workloads!**

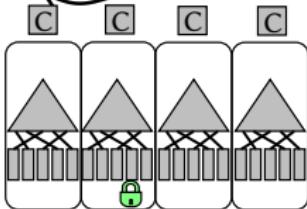
Section 2

Database Architectures

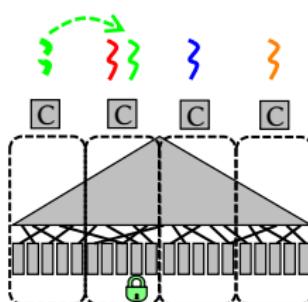
Shared
Every-
thing/
Non-
Partitioned



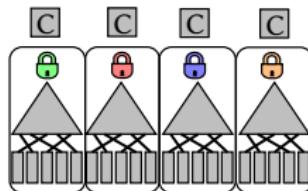
Delegation



Data-
Oriented
Trans-
action
Execution
(DORA)

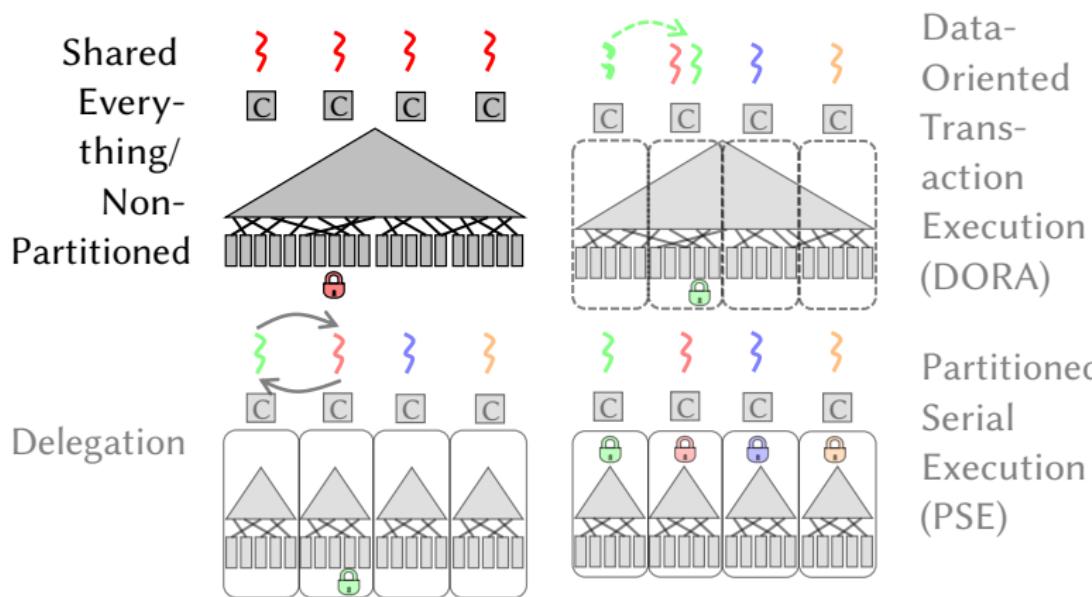


Partitioned
Serial
Execution
(PSE)



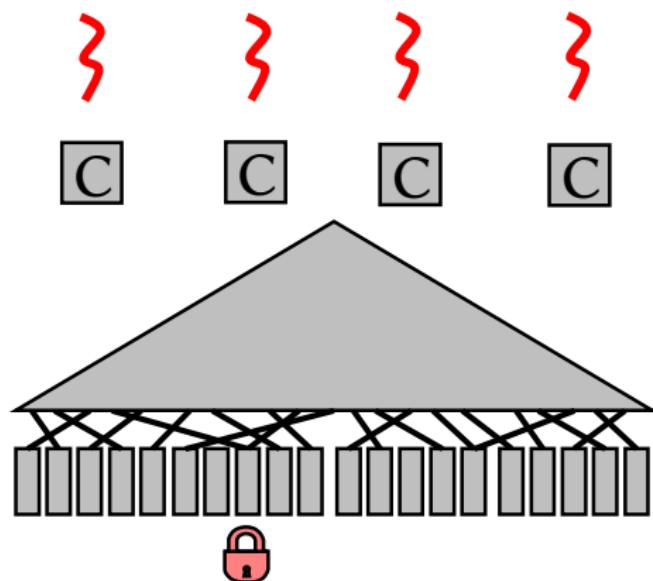
Subsection 1

Shared Everything/Non-Partitioned (SE/NP)



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- logical synchronization using a concurrency control protocol also required
- ▶ transactions completely executed by one thread
- ▶ thread-assignment depends only on load

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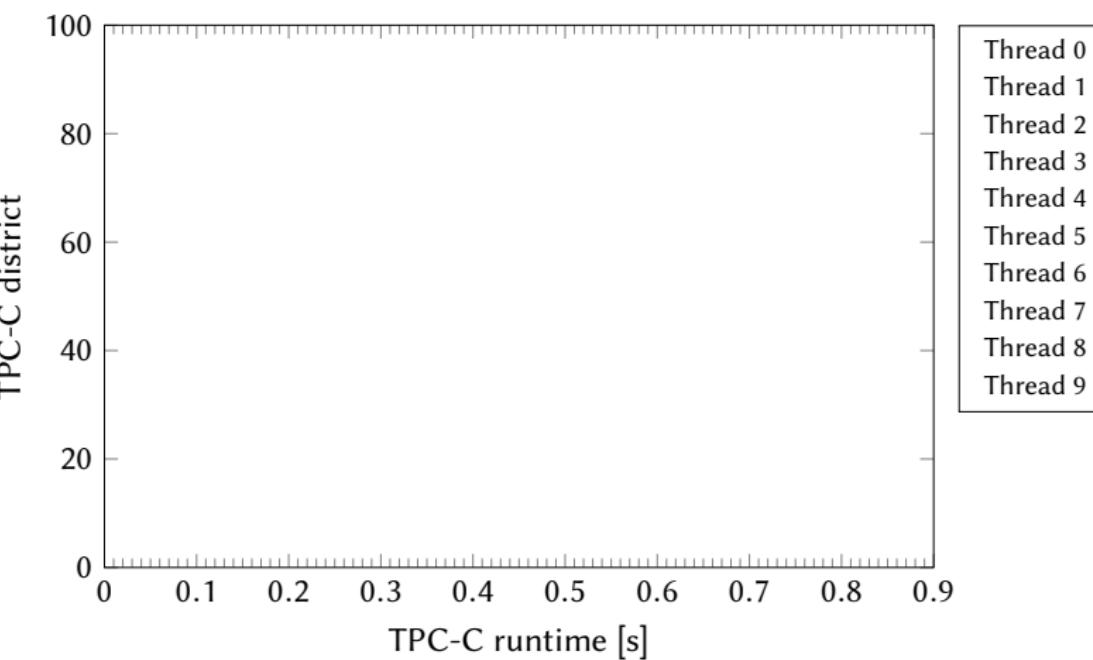
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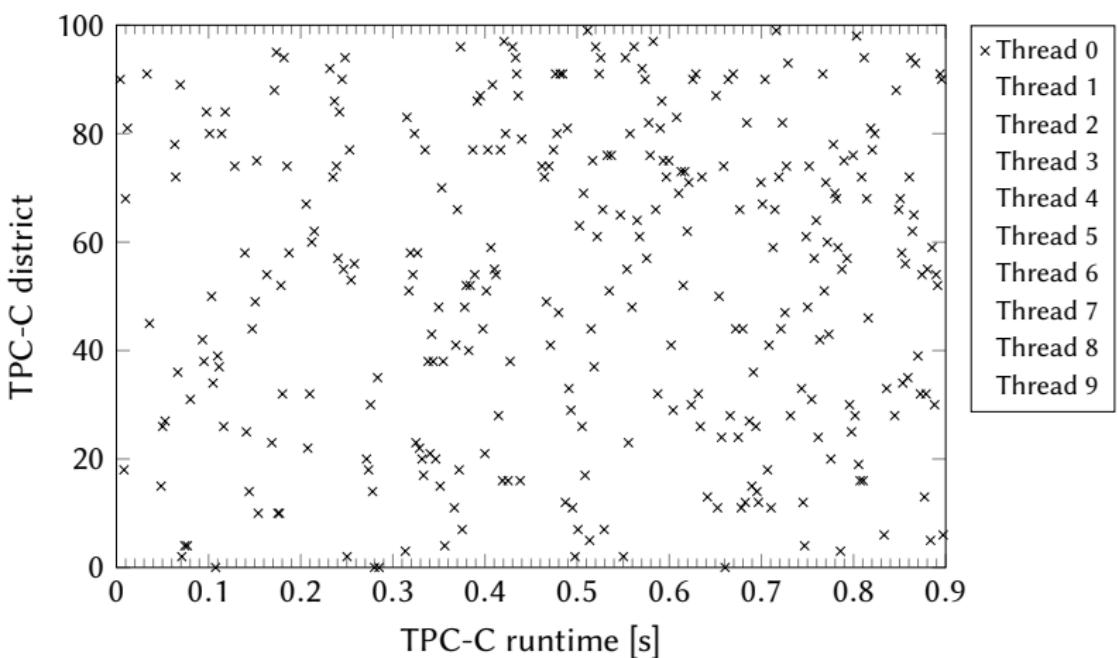
Pro

Record Accesses of Conventional DB Threads ([Pan+10])



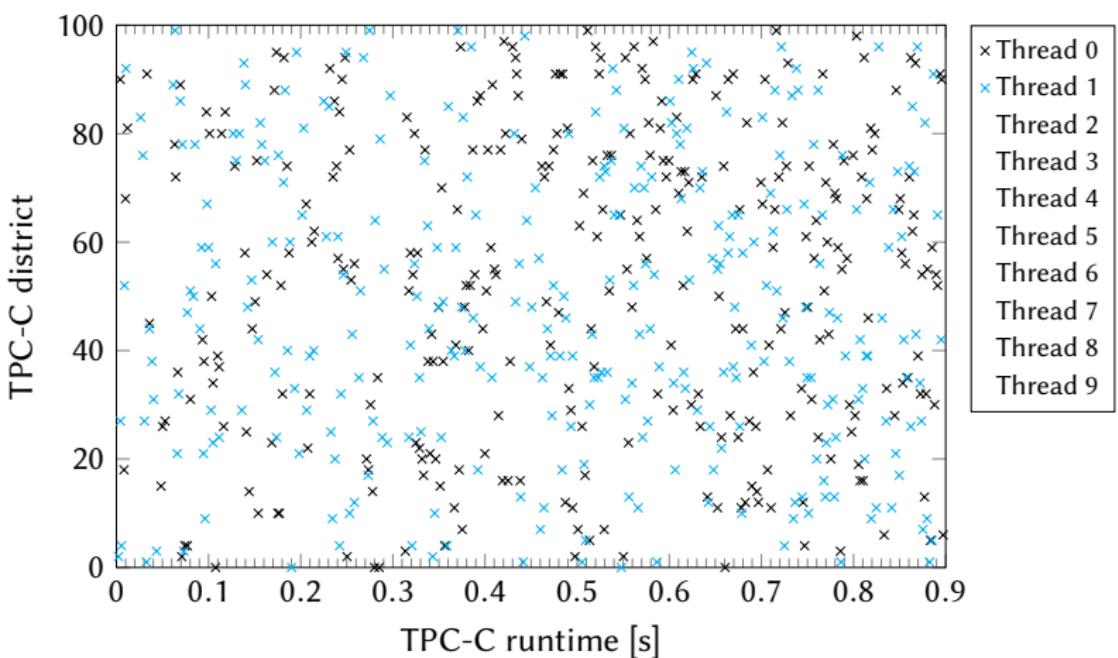
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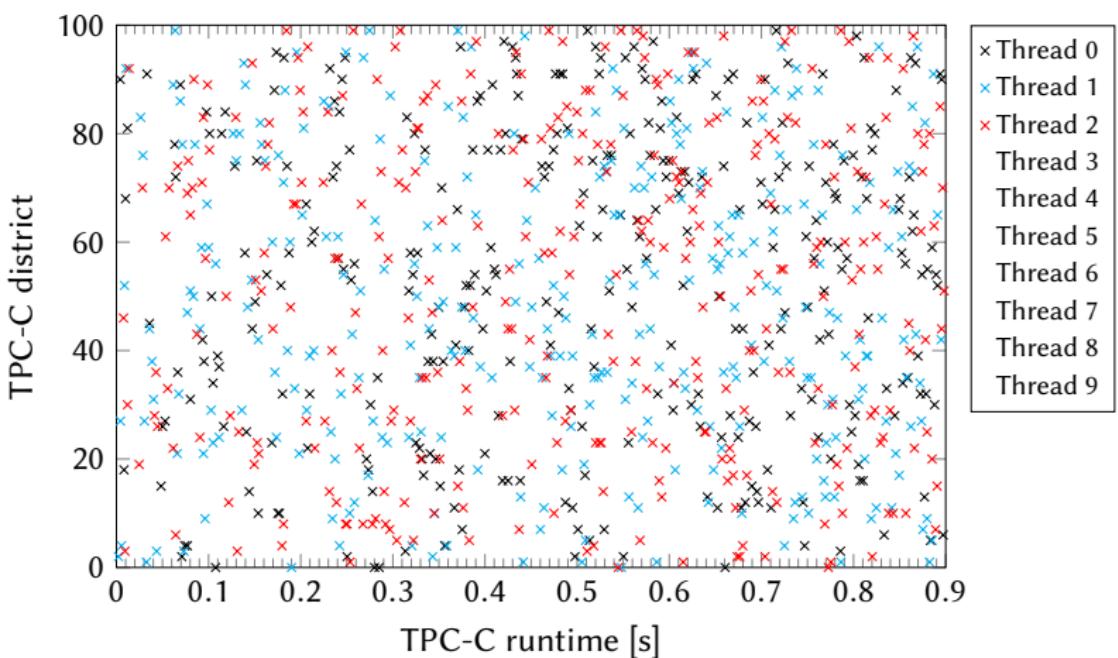
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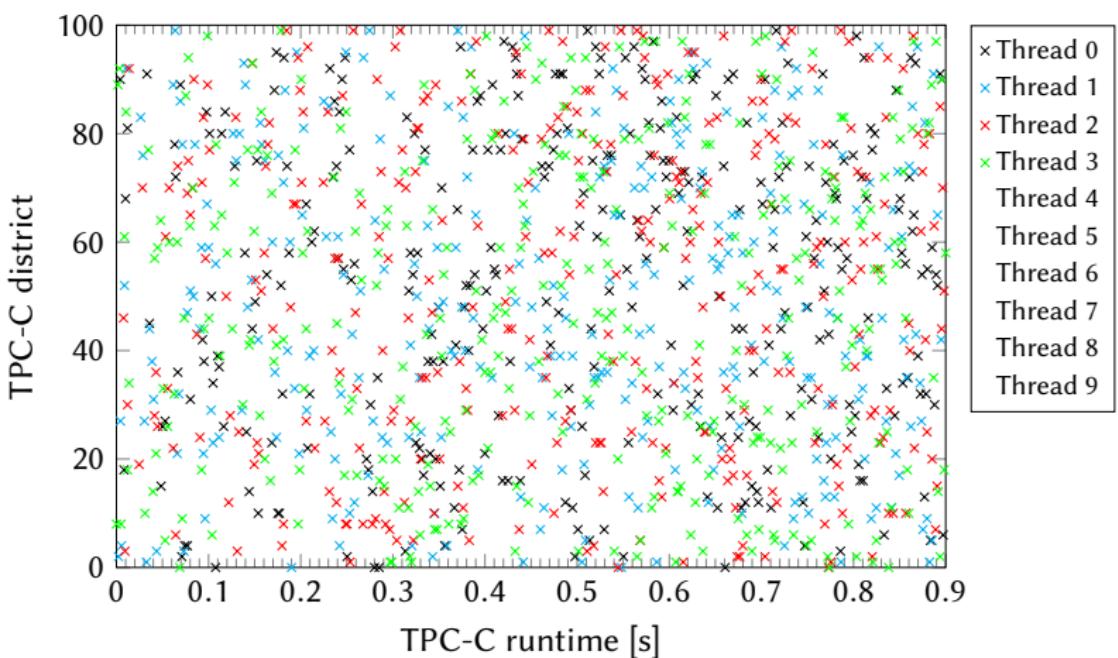
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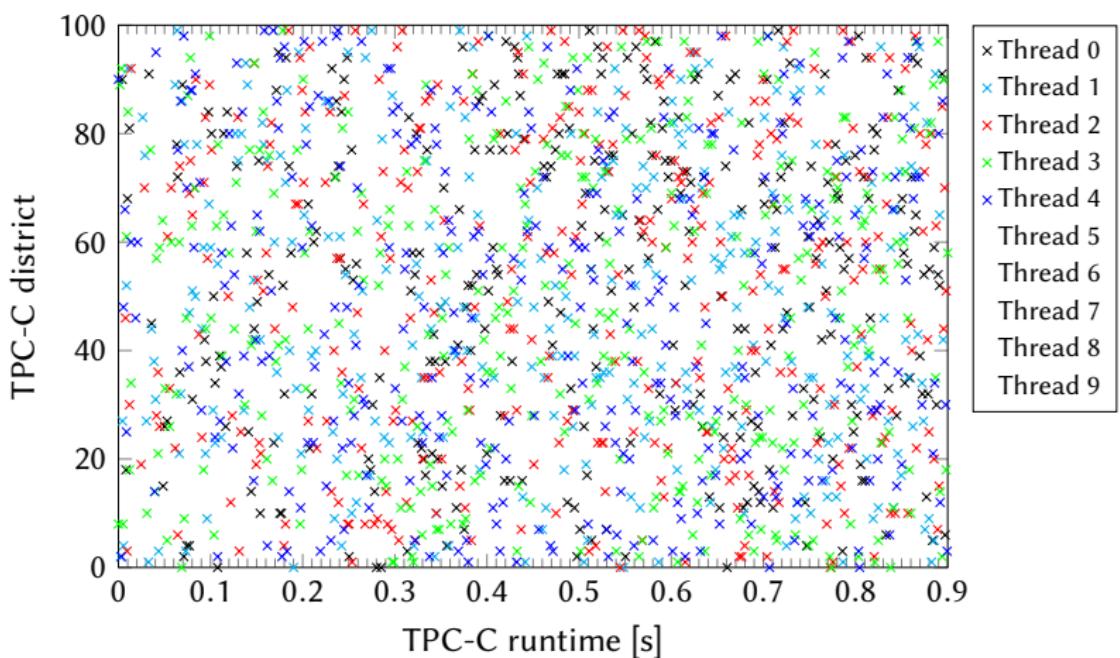
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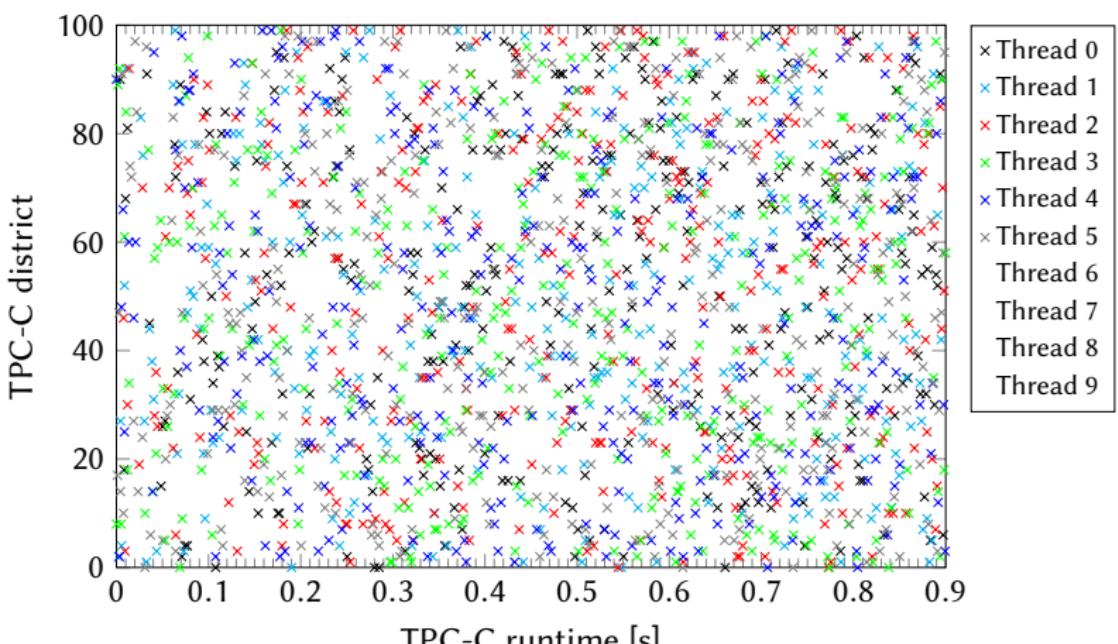
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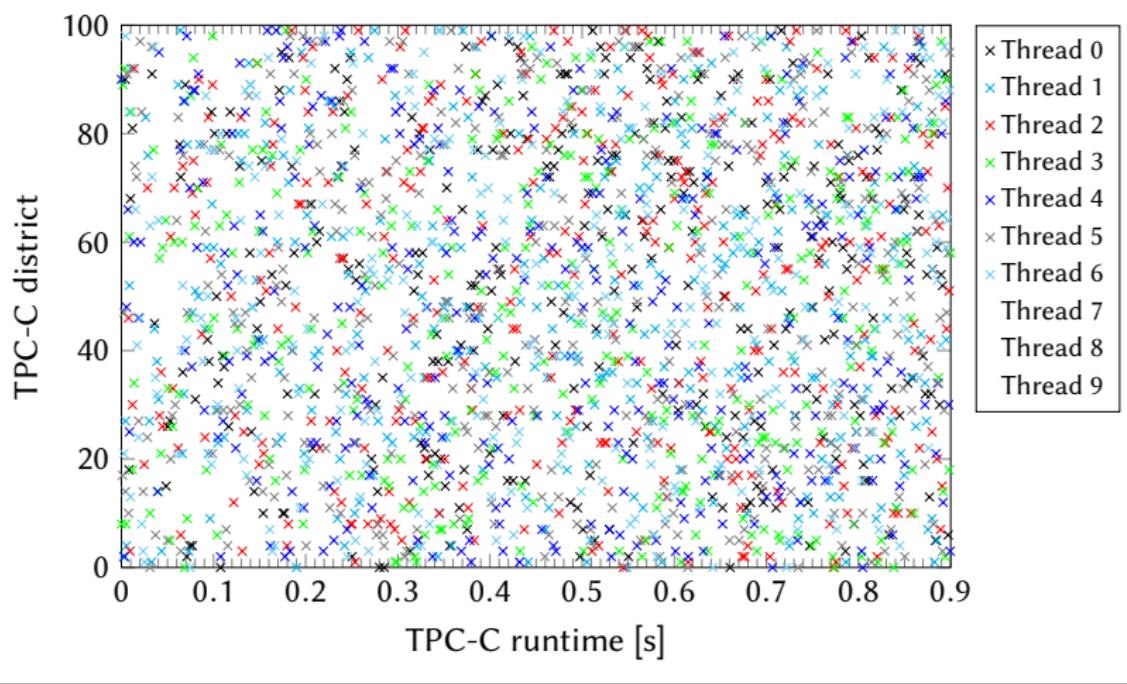
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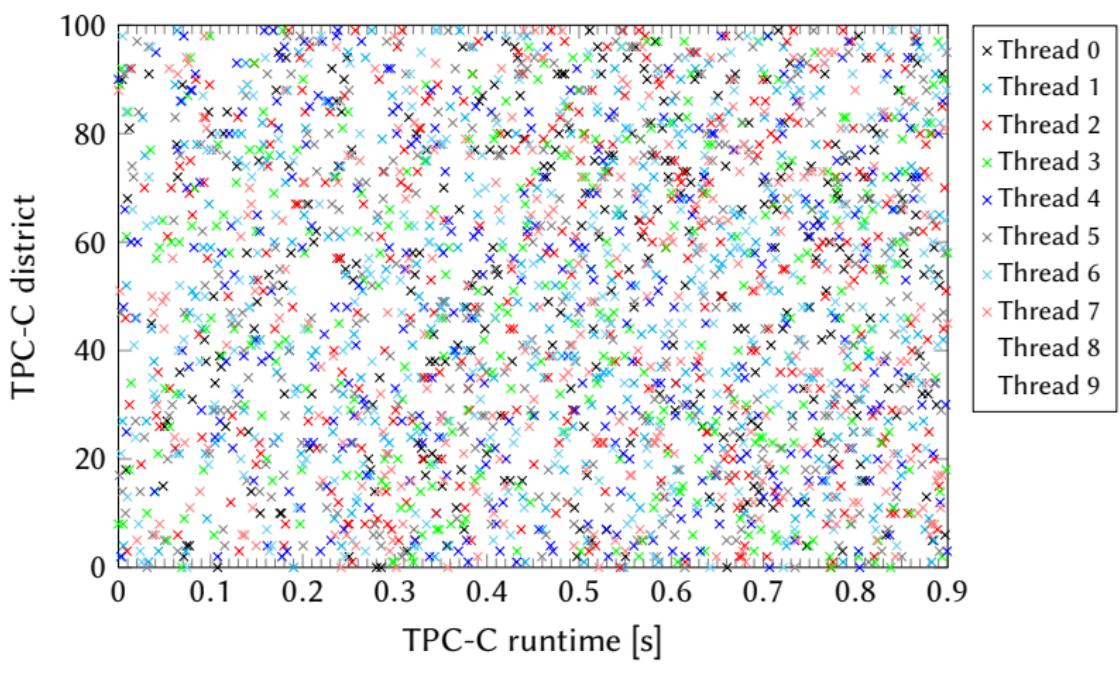
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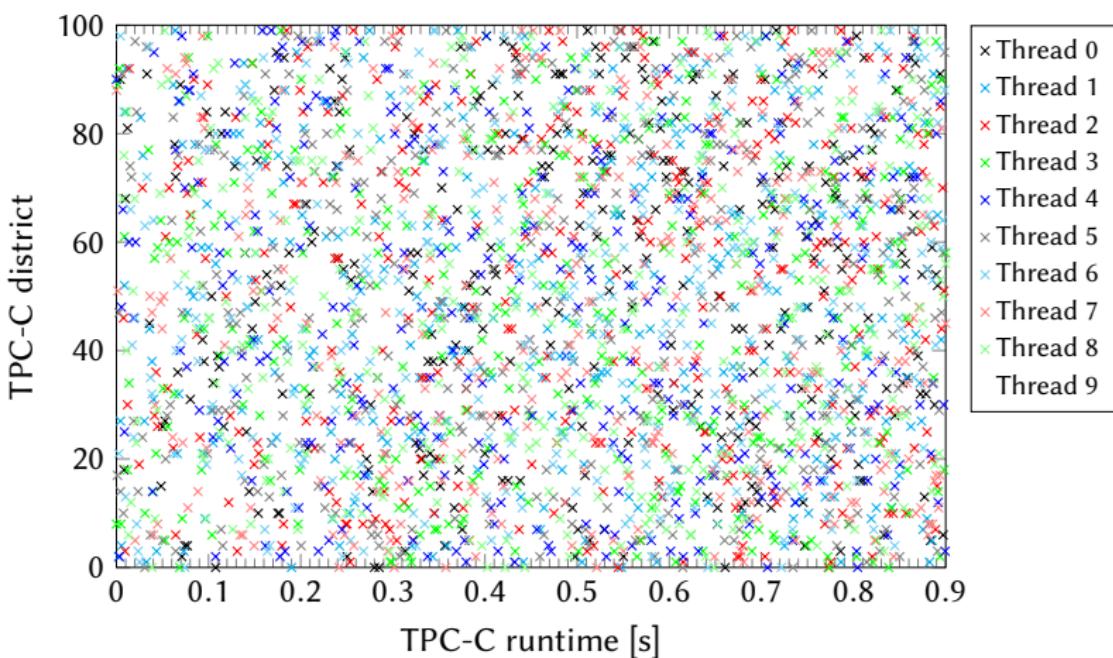
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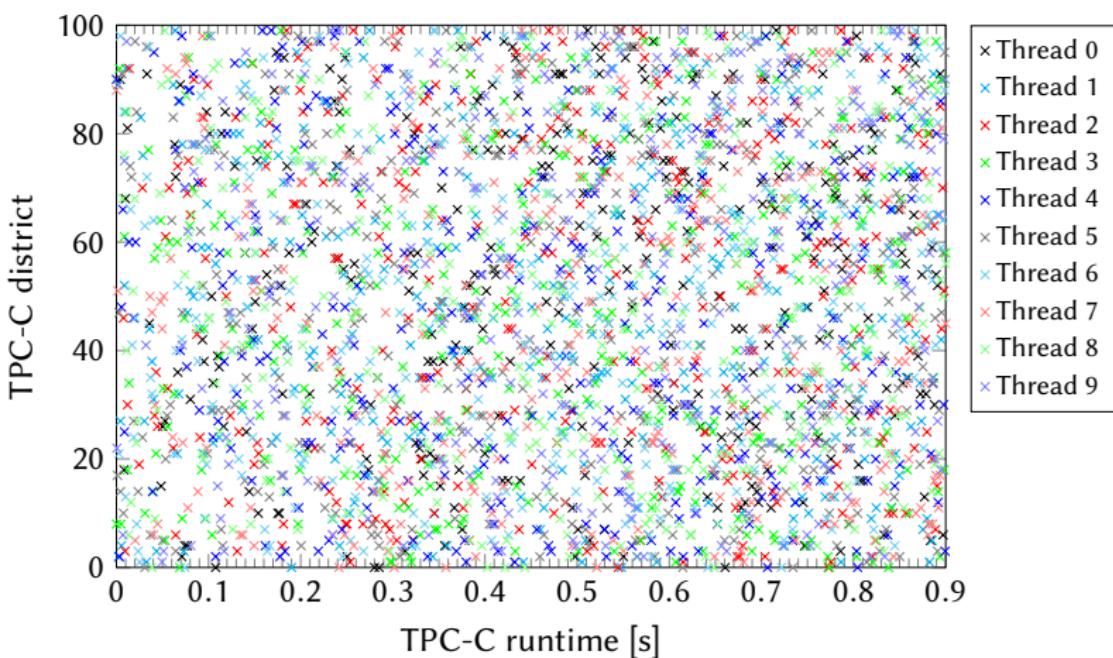
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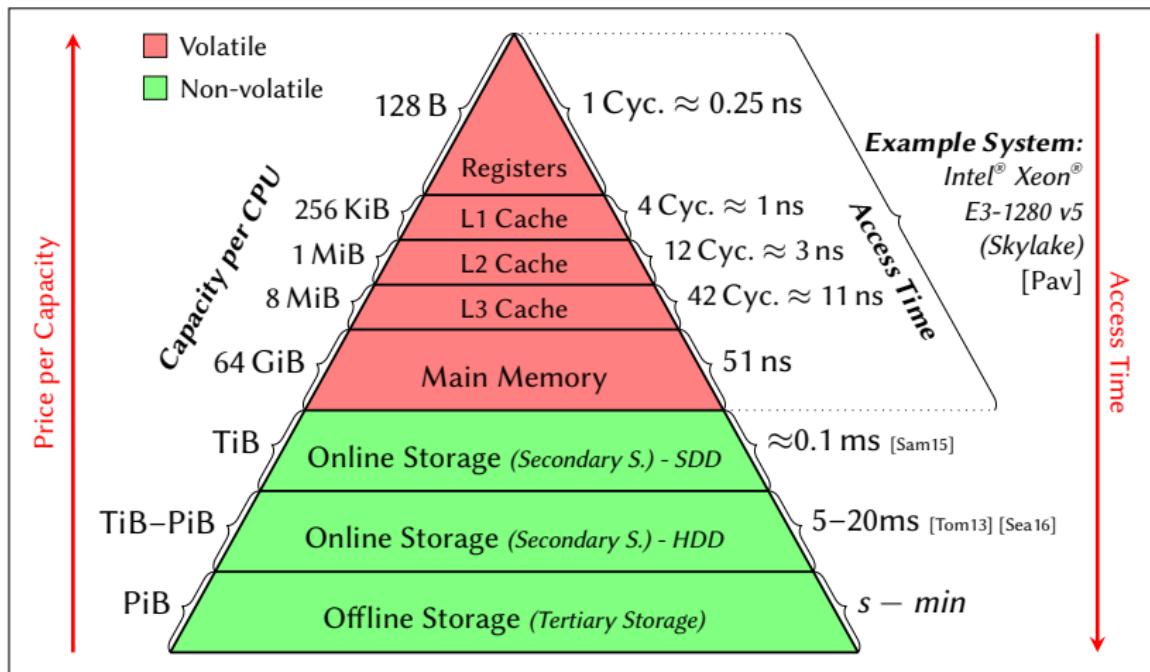
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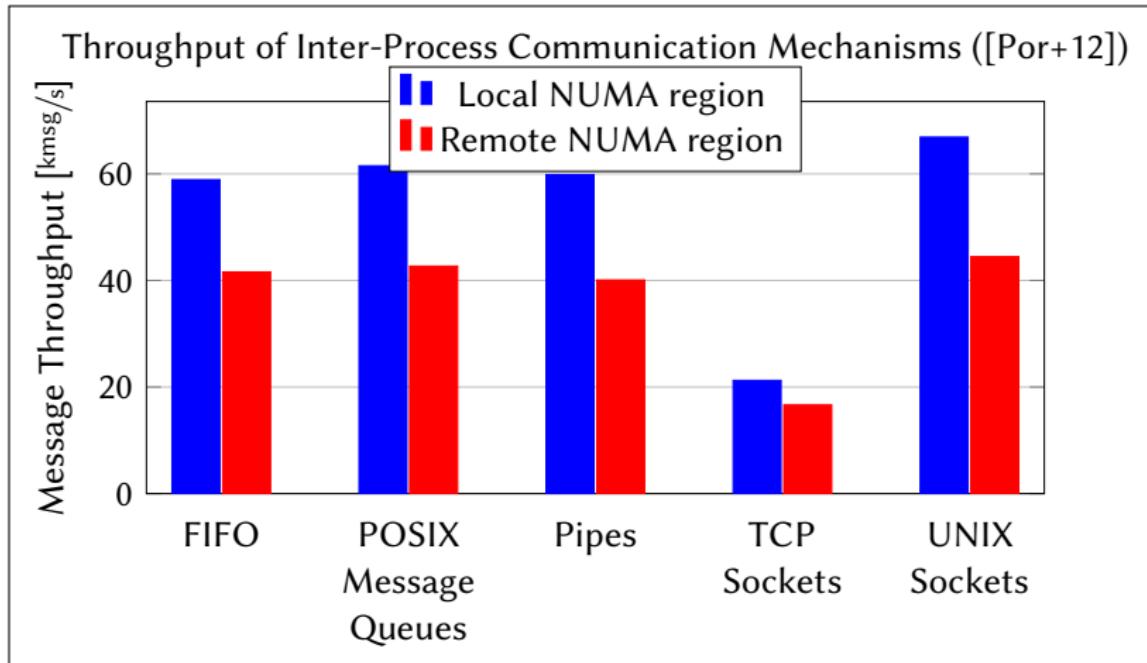
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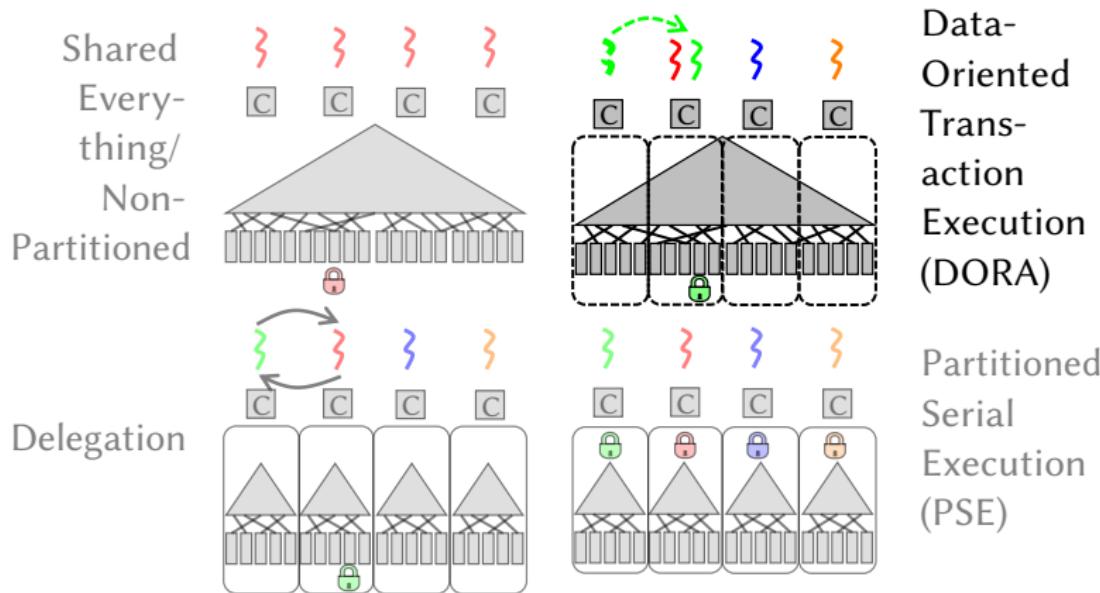
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 - each CPU may atomically write to any semaphore
→ hardware cache coherence overhead

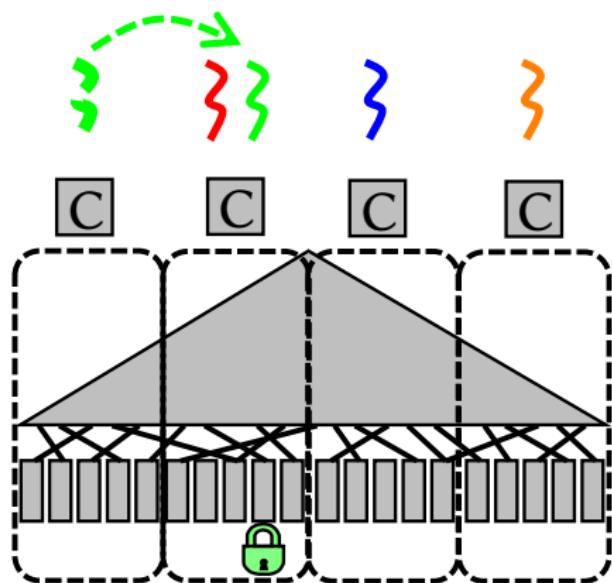
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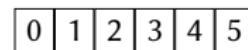
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- logical synchronization using a concurrency control protocol only locally required
- ▶ threads are assigned to data
- ▶ transactions migrate to threads owning the accessed data

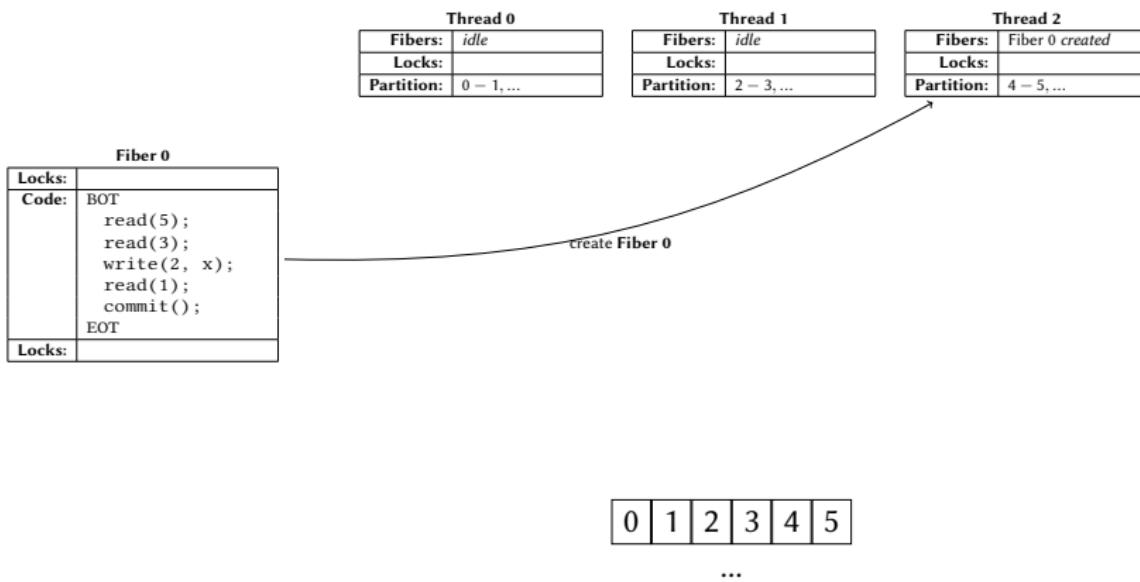
Example

Thread 0		Thread 1		Thread 2	
Fibers:	idle	Fibers:	idle	Fibers:	idle
Locks:		Locks:		Locks:	
Partition:	0 – 1, ...	Partition:	2 – 3, ...	Partition:	4 – 5, ...



...

Example



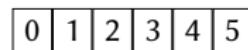
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Fibers:	idle	
Locks:		
Partition:	0 – 1, ...	

Thread 1		
Fibers:	idle	
Locks:		
Partition:	2 – 3, ...	

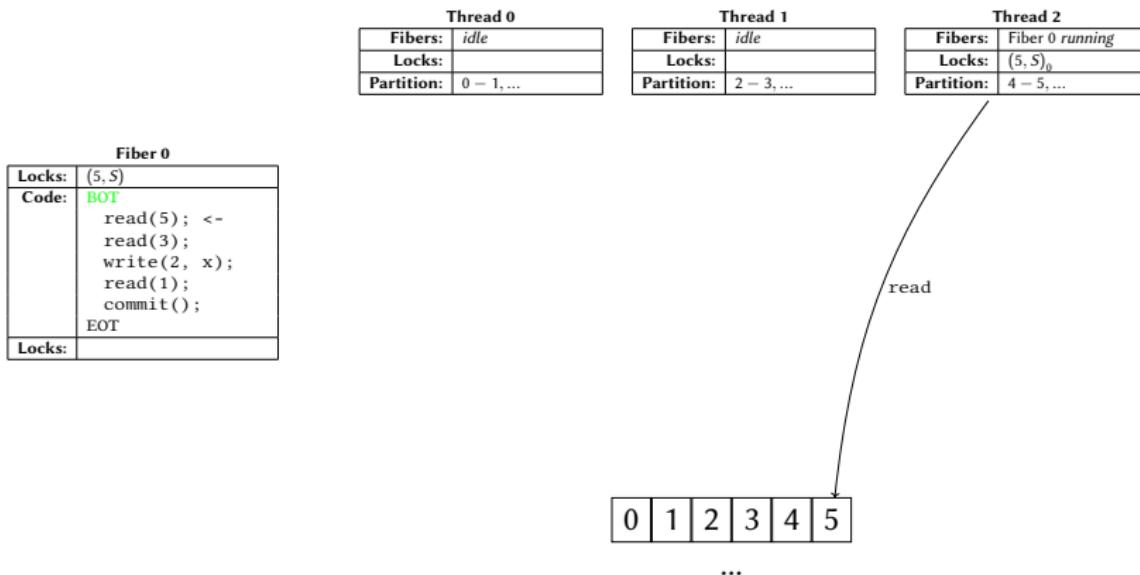
Thread 2		
Fibers:	Fiber 0 waiting	
Locks:		
Partition:	4 – 5, ...	

Fiber 0	
Locks:	
Code:	BOT read(5); read(3); write(2, x); read(1); commit(); EOT
Locks:	



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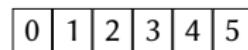
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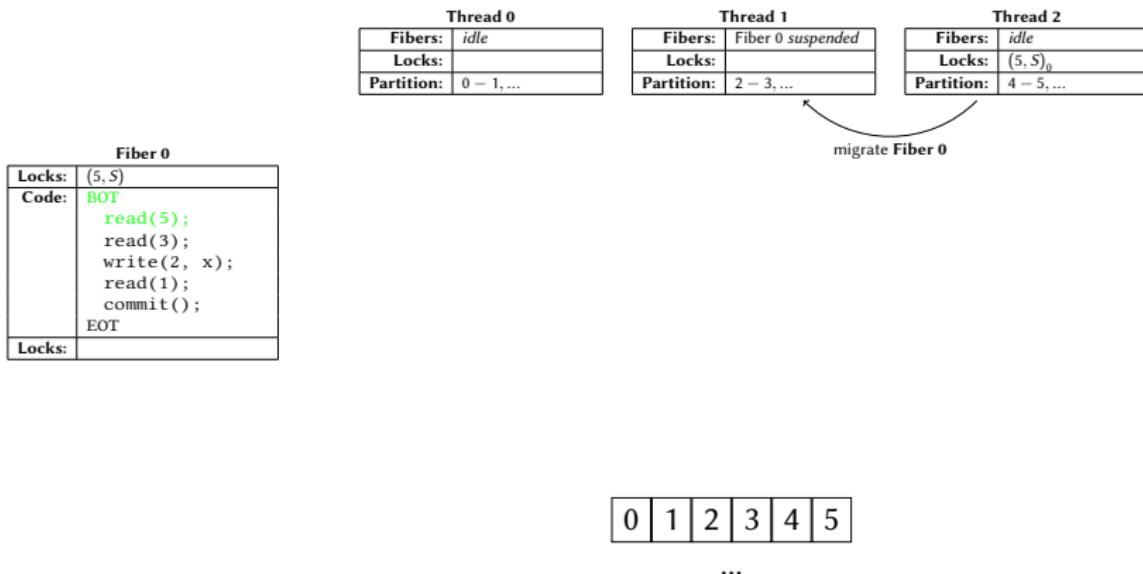
Example

Thread 0		Thread 1		Thread 2	
Fibers:	idle	Fibers:	idle	Fibers:	Fiber 0 suspended
Locks:		Locks:		Locks:	$(5, S)_0$
Partition:	0 – 1, ...	Partition:	2 – 3, ...	Partition:	4 – 5, ...

Fiber 0	
Locks:	$(5, S)$
Code:	<pre> BOT read(5); read(3); write(2, x); read(1); commit(); EOT </pre>
Locks:	



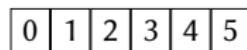
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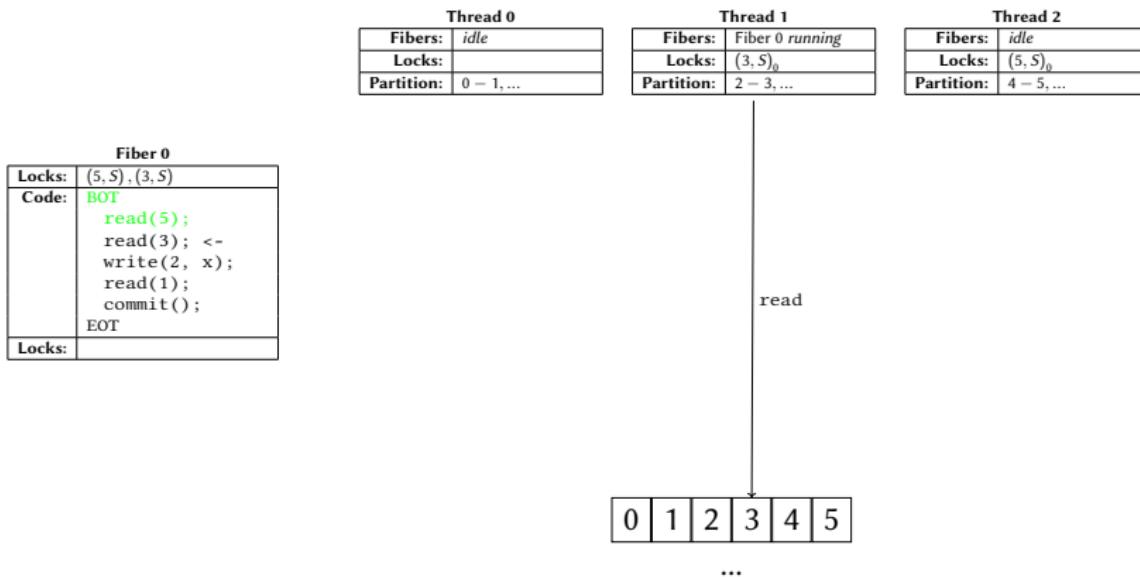
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Fibers:	idle	Fibers:	Fiber 0 waiting	Fibers:	idle	Fibers:	idle	
Locks:		Locks:		Locks:	$(5, S)_0$	Locks:		
Partition:	0 – 1, ...	Partition:	2 – 3, ...	Partition:	4 – 5, ...	Partition:	6 – 7, ...	

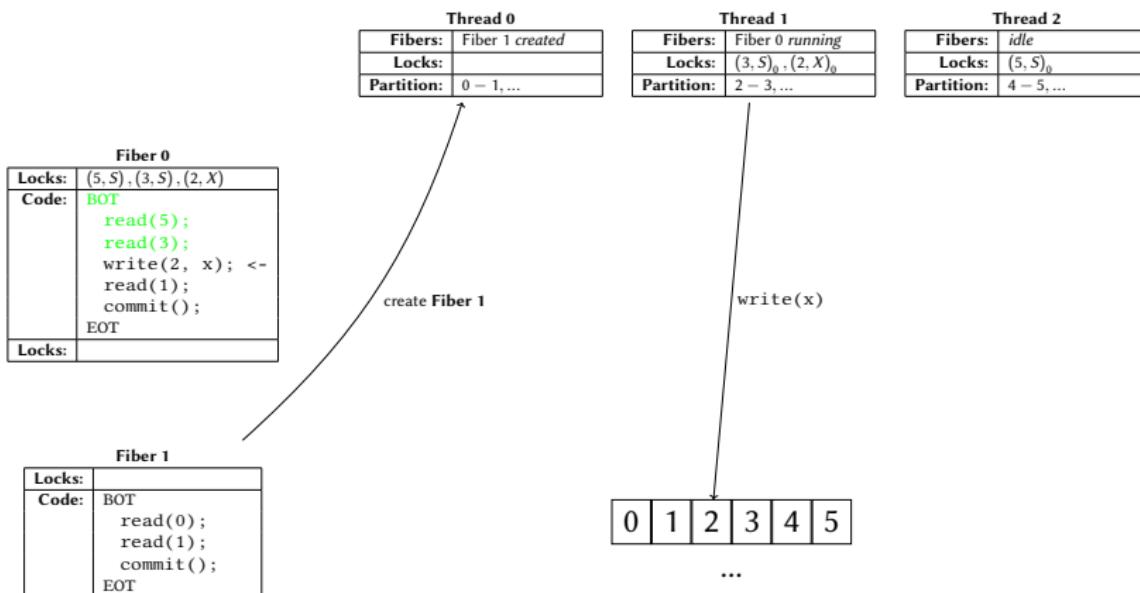
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Example



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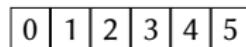
Thread 0			Thread 1			Thread 2		
Fibers:	Fiber 1 waiting	Fibers:	Fiber 0 suspended	Fibers:	idle	Locks:		Locks:
Locks:		Locks:	$(3, S)_0, (2, X)_0$	Locks:	$(5, S)_0$	Partition:		Partition:
Partition: 0 – 1, ...		Partition: 2 – 3, ...		Partition: 4 – 5, ...				

Fiber 0

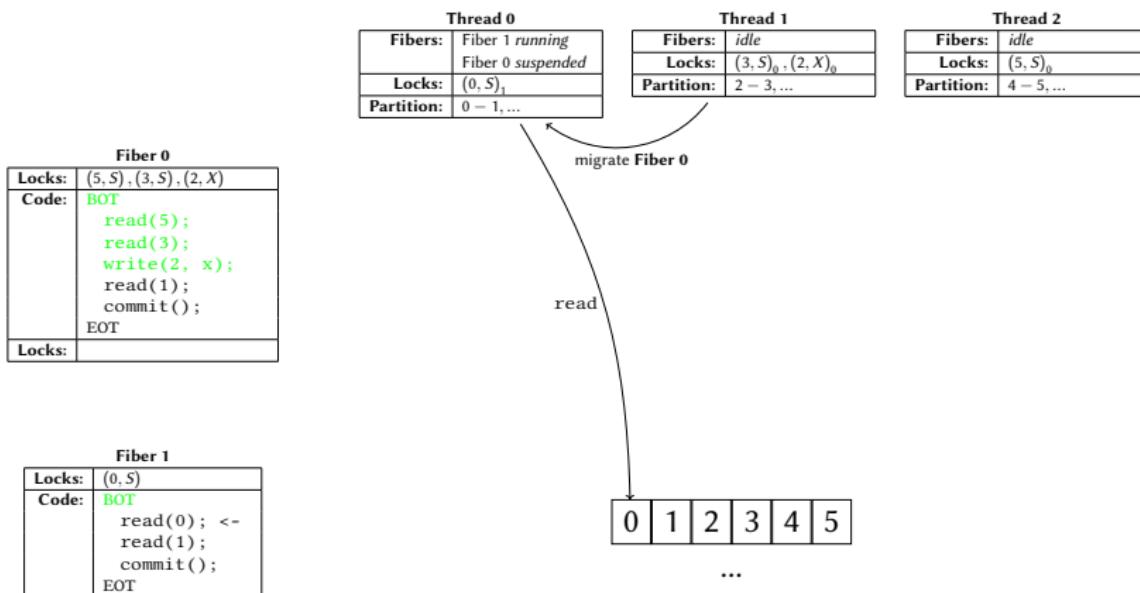
Locks:	$(5, S), (3, S), (2, X)$
Code:	BOT read(5); read(3); write(2, x); read(1); commit(); EOT
Locks:	

Fiber 1

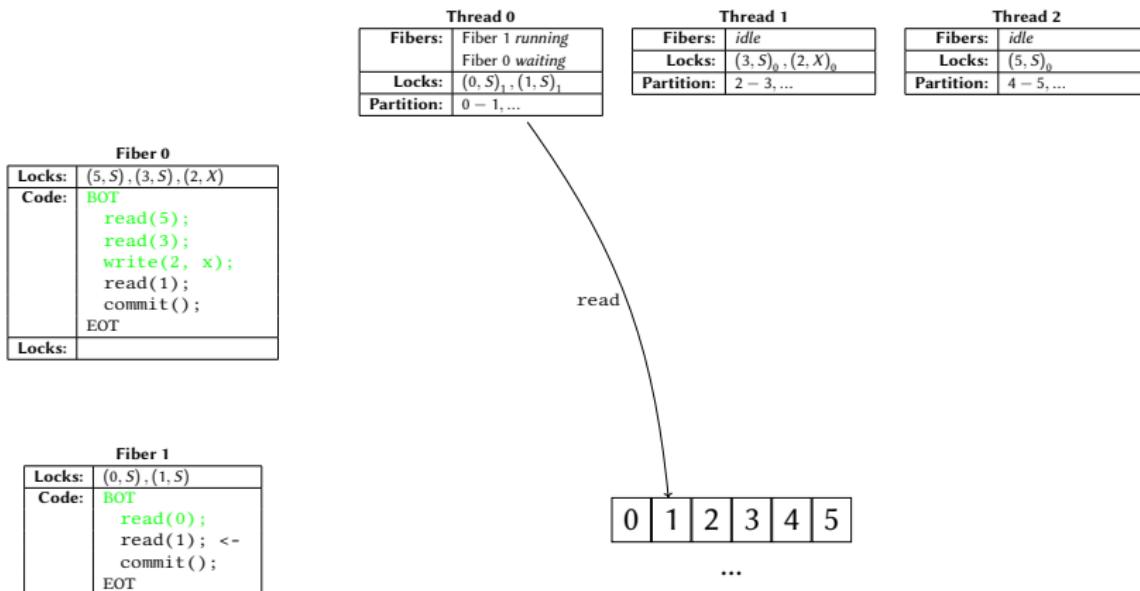
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Code:	BOT read(0); read(1); commit(); EOT



Example



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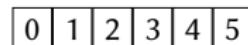


Example

Thread 0		Thread 1		Thread 2	
Fibers:	Fiber 1 committing Fiber 0 waiting	Fibers:	idle	Fibers:	idle
Locks:		Locks:	$(3, S)_0, (2, X)_0$	Locks:	$(5, S)_0$
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Fiber 0	
Locks:	$(5, S), (3, S), (2, X)$
Code:	<pre>BOT read(5); read(3); write(2, x); read(1); commit(); EOT</pre>
Locks:	

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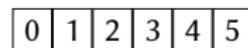
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Fibers:	Fiber 1 terminated Fiber 0 waiting	Fibers:	idle	Fibers:	idle
Locks:		Locks:	$(3, S)_0, (2, X)_0$	Locks:	$(5, S)_0$
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Fiber 0

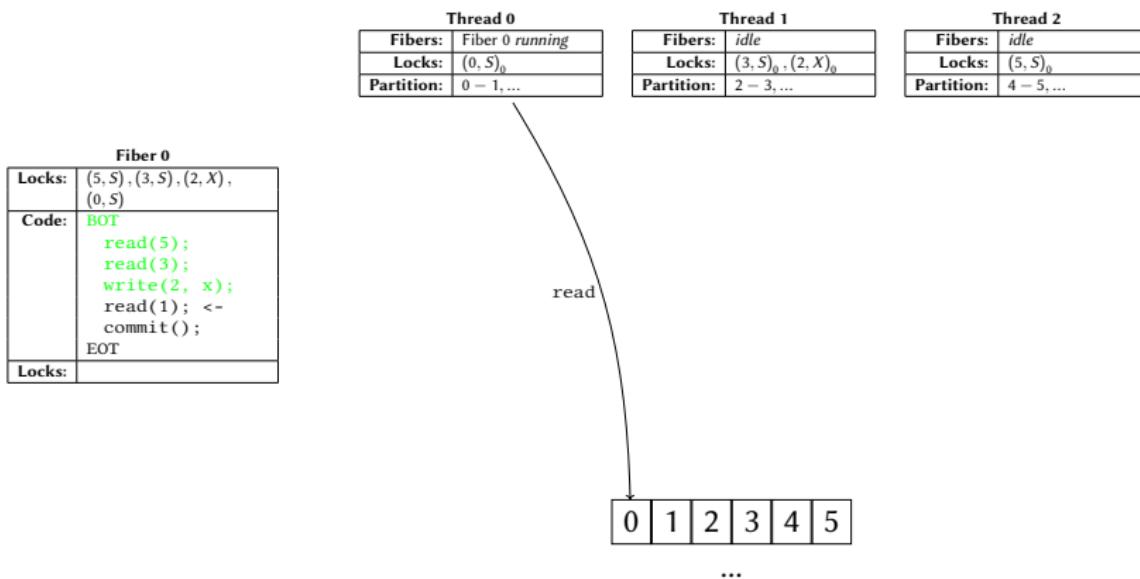
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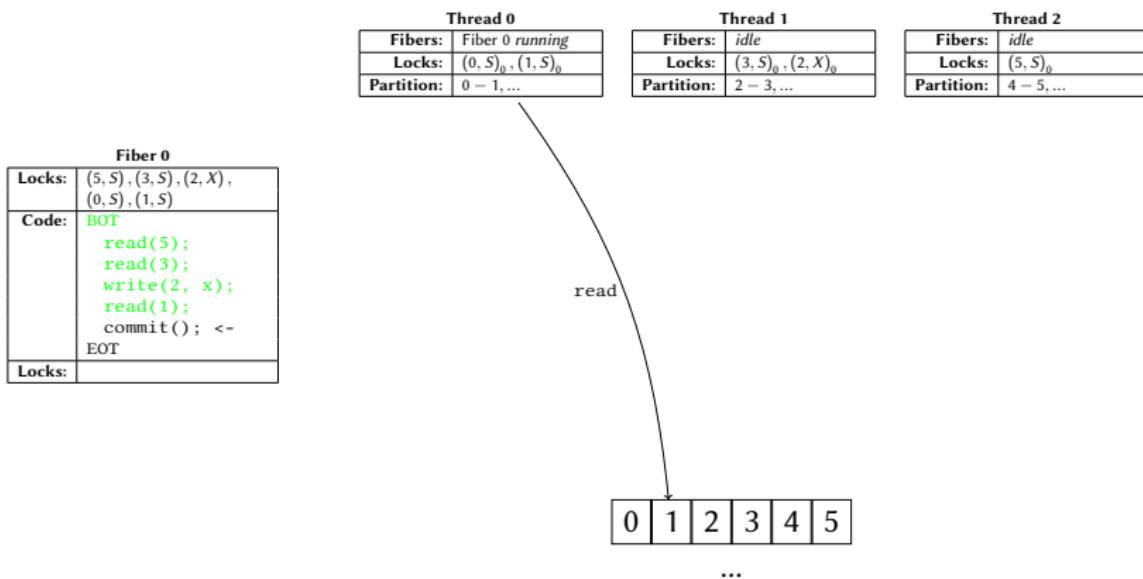
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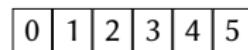
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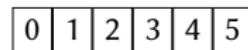


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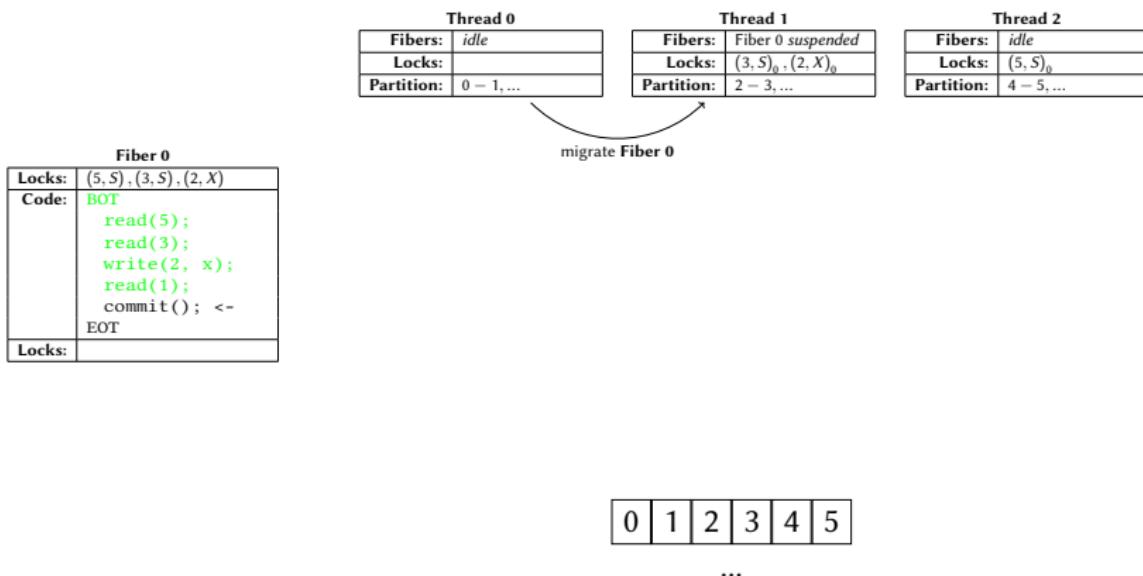
Thread 0			Thread 1			Thread 2		
Fibers:	Fiber 0 suspended		Fibers:	idle		Fibers:	idle	
Locks:			Locks:	$(3, S)_0, (2, X)_0$		Locks:		
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Fiber 0	
Locks:	$(5, S), (3, S), (2, X)$
Code:	<pre>BOT read(5); read(3); write(2, x); read(1); commit(); <- EOT</pre>
Locks:	



...

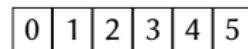
Example



Example

Thread 0			Thread 1			Thread 2		
Fibers:	idle	Fibers:	Fiber 0 waiting	Fibers:	idle	Fibers:	idle	
Locks:		Locks:	$(3, S)_0, (2, X)_0$	Locks:		Locks:	$(5, S)_0$	
Partition:	$0 - 1, \dots$	Partition:	$2 - 3, \dots$	Partition:	$4 - 5, \dots$	Partition:	$6 - 7, \dots$	

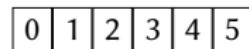
Fiber 0	
Locks:	$(5, S), (3, S), (2, X)$
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Locks:	



Example

Thread 0			Thread 1			Thread 2		
Fibers:	idle	Fibers:	Fiber 0 committing	Fibers:	idle	Fibers:	idle	
Locks:			Locks:			Locks:	$(5, S)_0$	
Partition:	0 – 1, ...		Partition:	2 – 3, ...		Partition:	4 – 5, ...	

Fiber 0	
Locks:	$(5, S)$
Code:	<pre> BOT read(5); read(3); write(2, x); read(1); commit(); <- EOT </pre>
Locks:	

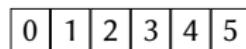


...

Example

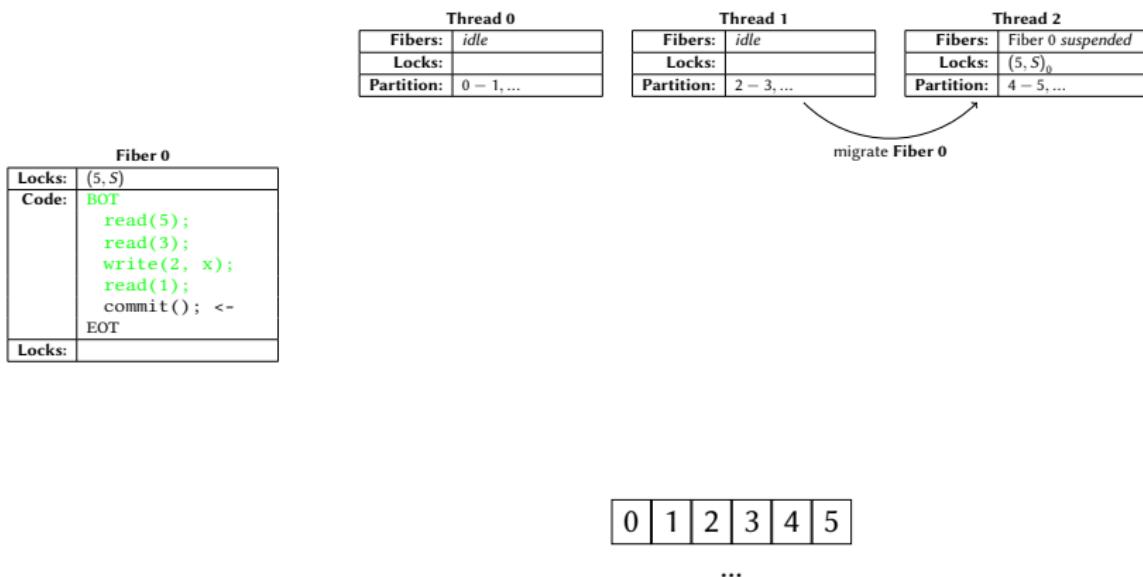
Thread 0			Thread 1			Thread 2		
Fibers:	idle	Fibers:	Fiber 0 suspended	Fibers:	idle	Fibers:	idle	
Locks:		Locks:		Locks:	$(5, S)_0$	Locks:		
Partition:	0 – 1, ...		Partition:	2 – 3, ...		Partition:	4 – 5, ...	

Fiber 0	
Locks:	$(5, S)$
Code:	<pre>BOT read(5); read(3); write(2, x); read(1); commit(); <- EOT</pre>
Locks:	



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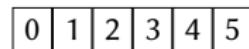
Example



Example

Thread 0			Thread 1			Thread 2		
Fibers:	<i>idle</i>		Fibers:	<i>idle</i>		Fibers:	<i>Fiber 0 waiting</i>	
Locks:			Locks:			Locks:	$(5, S)_0$	
Partition:	0 – 1, ...		Partition:	2 – 3, ...		Partition:	4 – 5, ...	

Fiber 0	
Locks:	$(5, S)$
Code:	<pre> BOT read(5); read(3); write(2, x); read(1); commit(); <- EOT </pre>
Locks:	

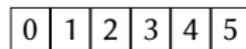


...

Example

Thread 0		Thread 1		Thread 2	
Fibers:	idle	Fibers:	idle	Fibers:	Fiber 0 committing
Locks:		Locks:		Locks:	
Partition:	0 – 1, ...	Partition:	2 – 3, ...	Partition:	4 – 5, ...

Fiber 0	
Locks:	
Code:	<pre>BOT read(5); read(3); write(2, x); read(1); commit(); <- EOT</pre>
Locks:	

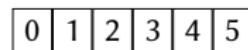


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Example

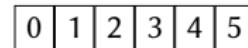
Thread 0			Thread 1			Thread 2		
Fibers:	<i>idle</i>		Fibers:	<i>idle</i>		Fibers:	<i>Fiber 0 terminated</i>	
Locks:			Locks:			Locks:		
Partition:	0 – 1, ...		Partition:	2 – 3, ...		Partition:	4 – 5, ...	

Fiber 0	
Locks:	
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Locks:	



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Thread 0		Thread 1		Thread 2	
Fibers:	idle	Fibers:	idle	Fibers:	idle
Locks:		Locks:		Locks:	
Partition:	0 – 1, ...	Partition:	2 – 3, ...	Partition:	4 – 5, ...



...

Pros of DORA

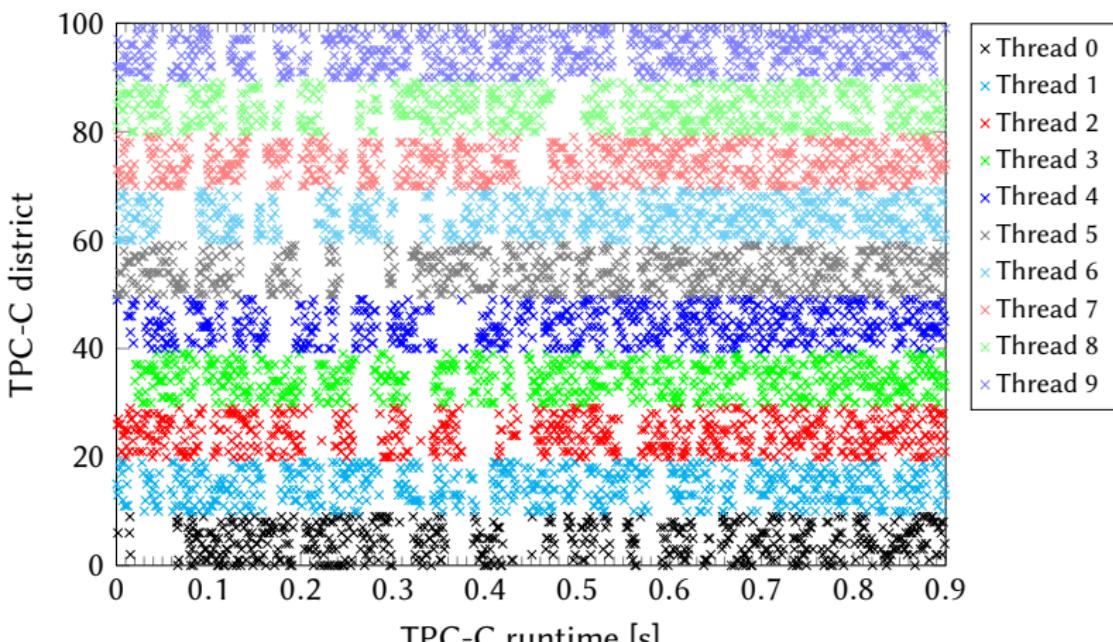
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Pro

DORA

Record Accesses of DORA DB Threads ([Pan+10])



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- + intra-transaction parallelism could be exploited for multi-site transactions

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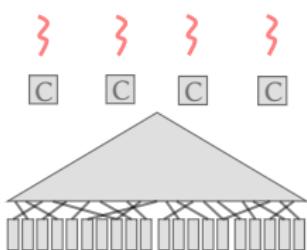
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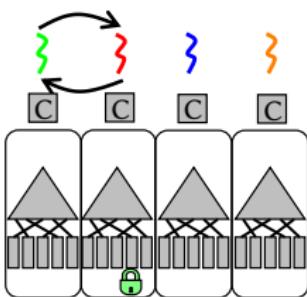
Subsection 3

Delegation

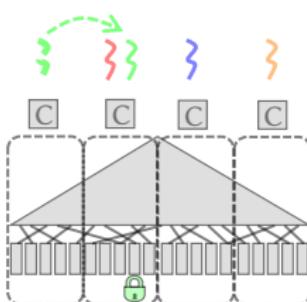
Shared
Every-
thing/
Non-
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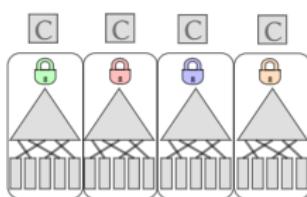
Delegation



Data-
Oriented
Trans-
action
Execu-
tion
(DORA)

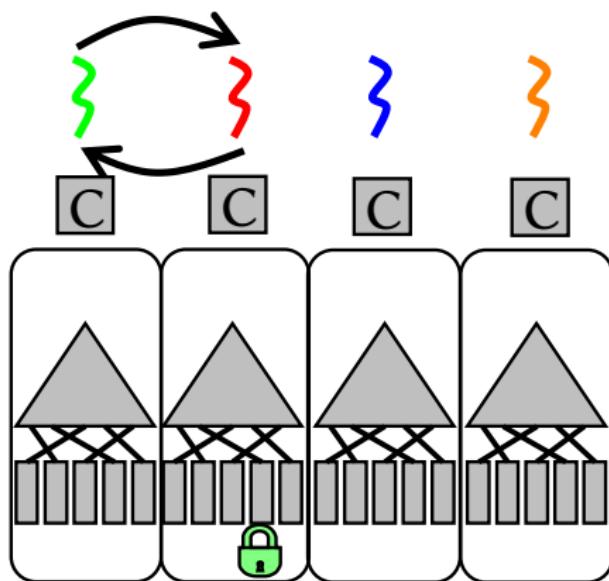


Partitioned
Serial
Execu-
tion
(PSE)



Subsection 3

Delegation



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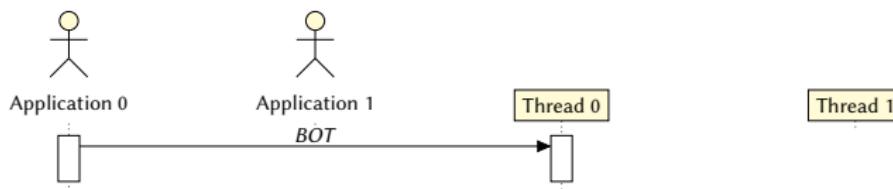
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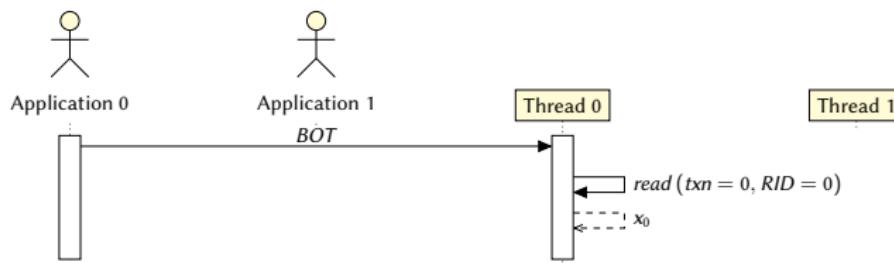
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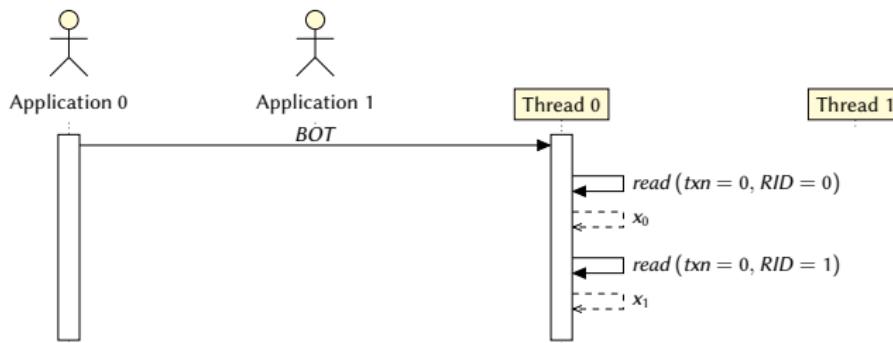
Example



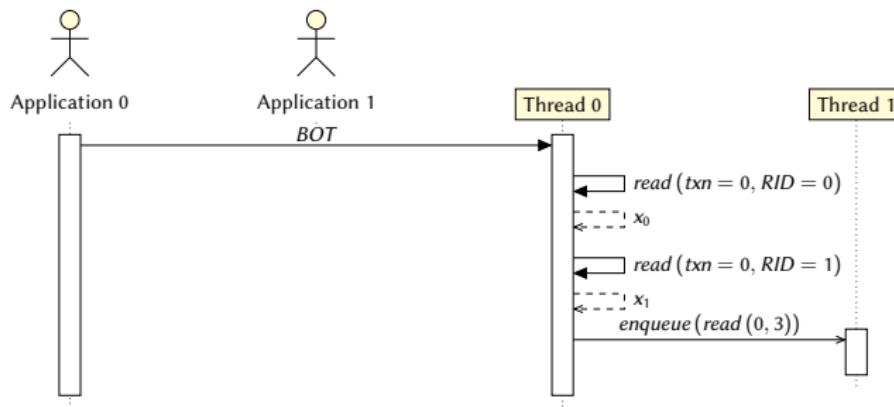
Example



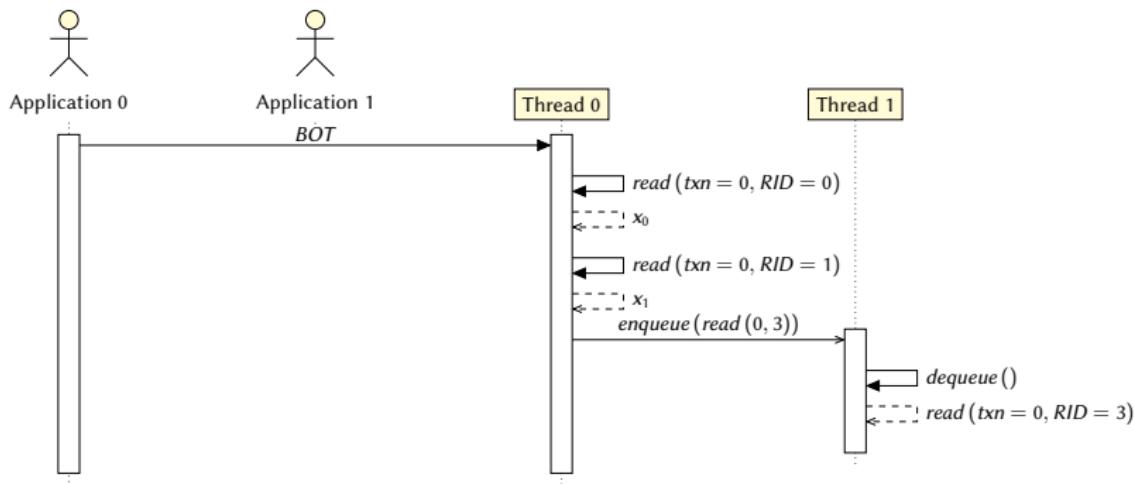
Example



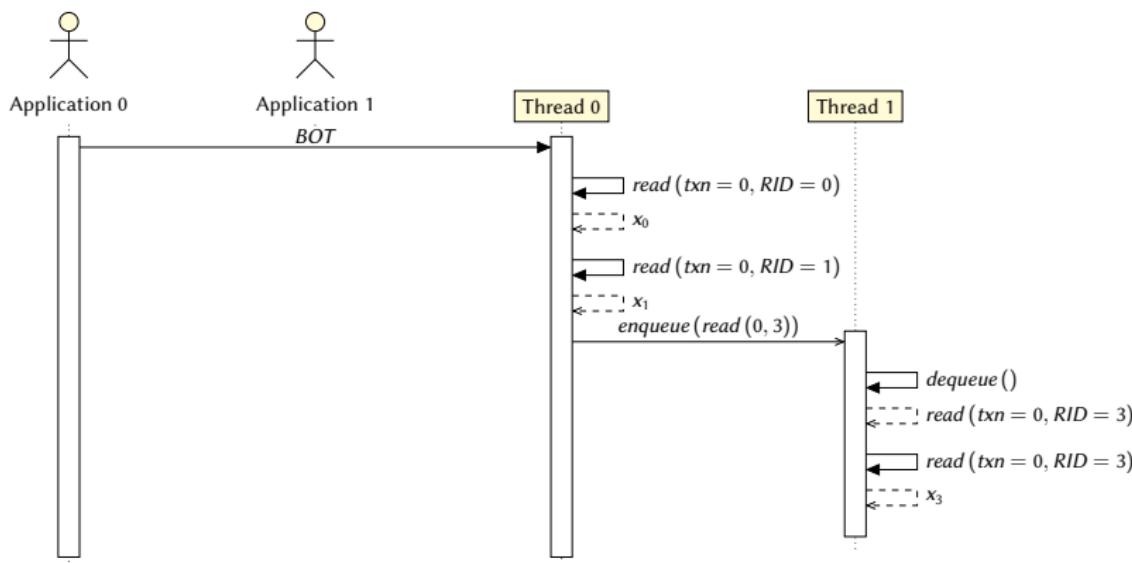
Example



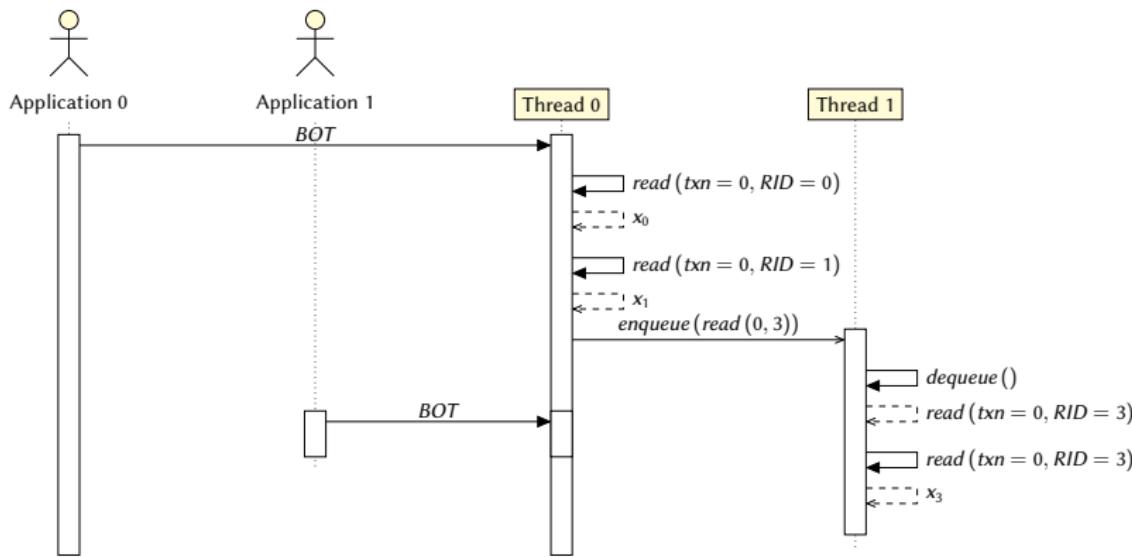
Example



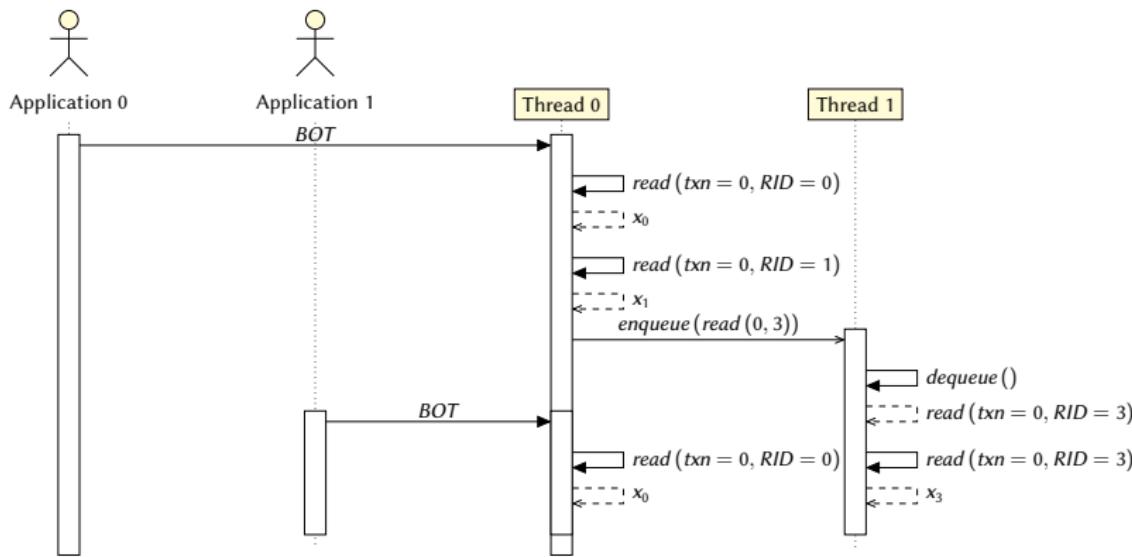
Example



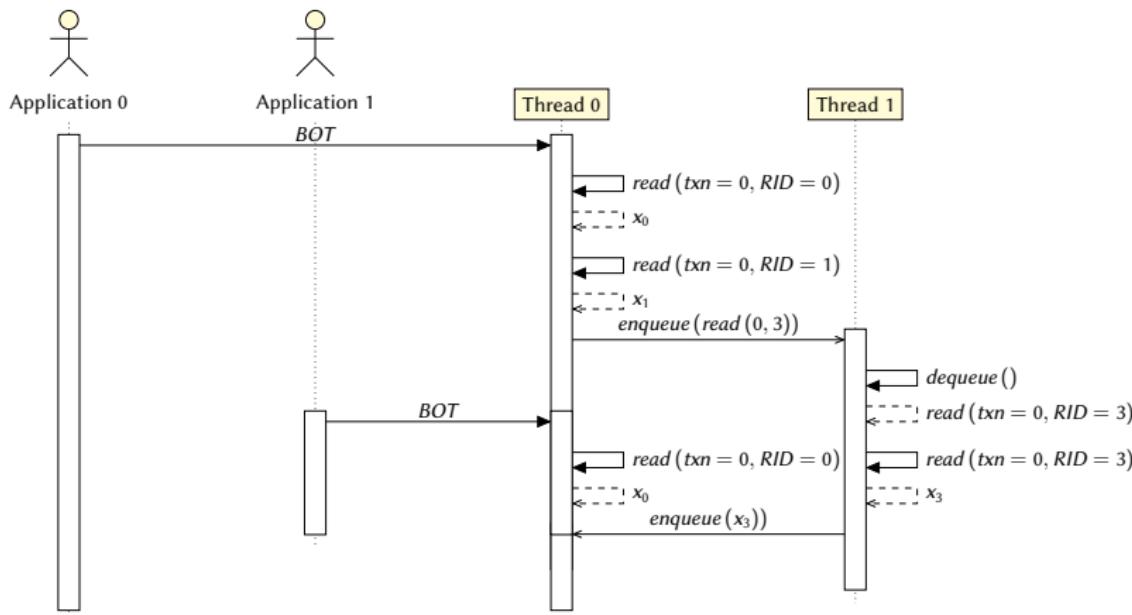
Example



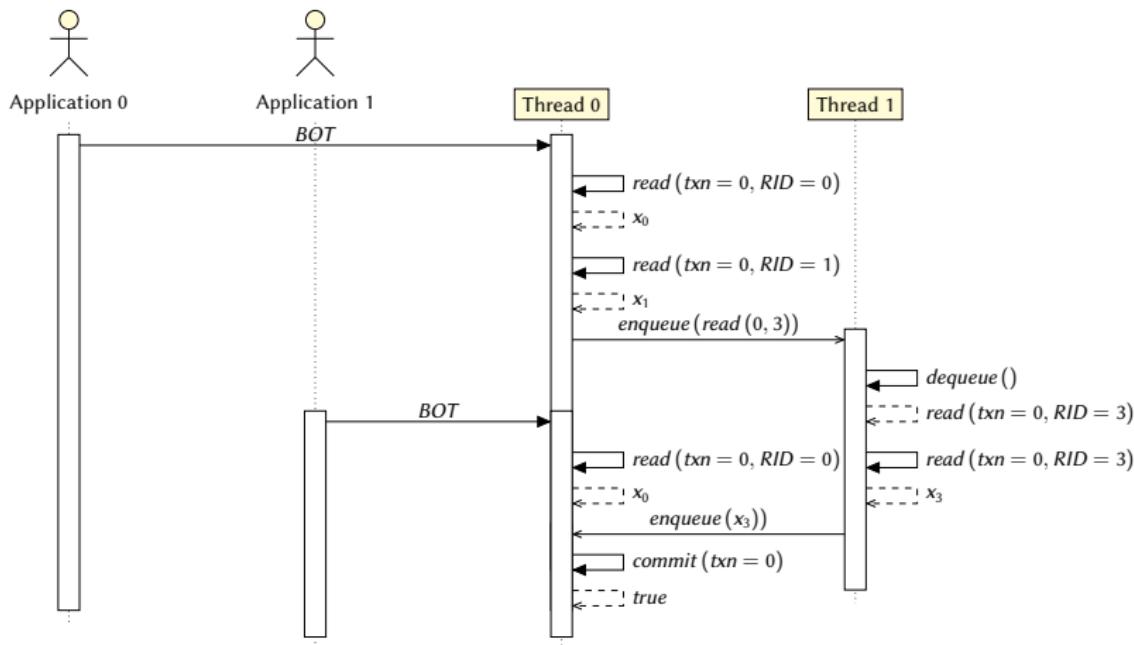
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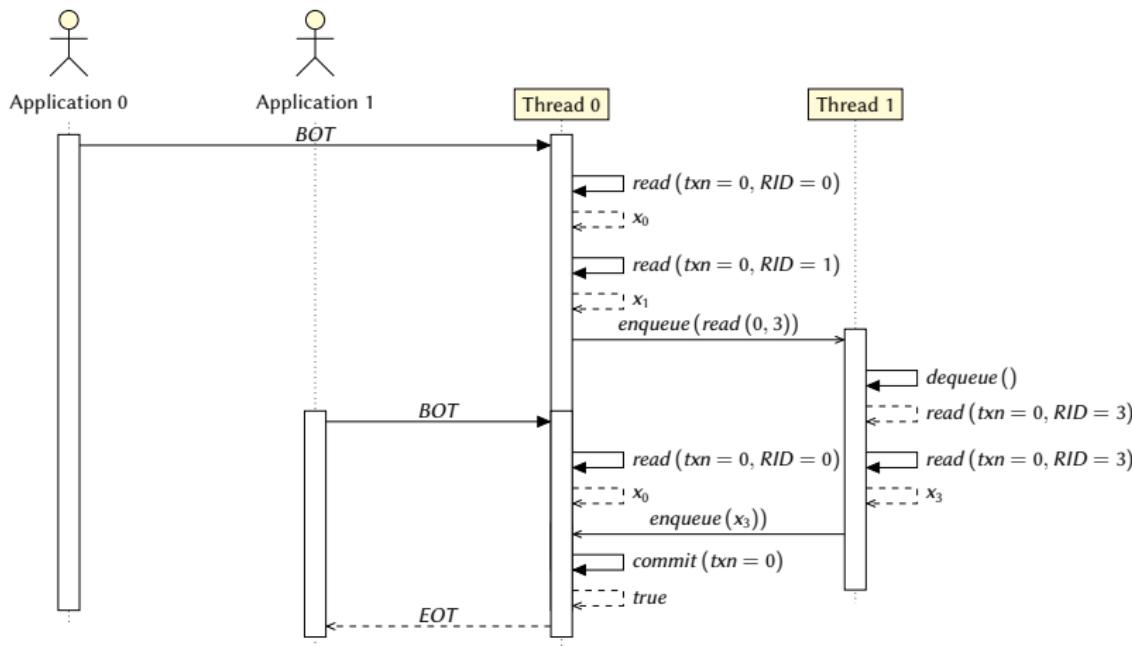
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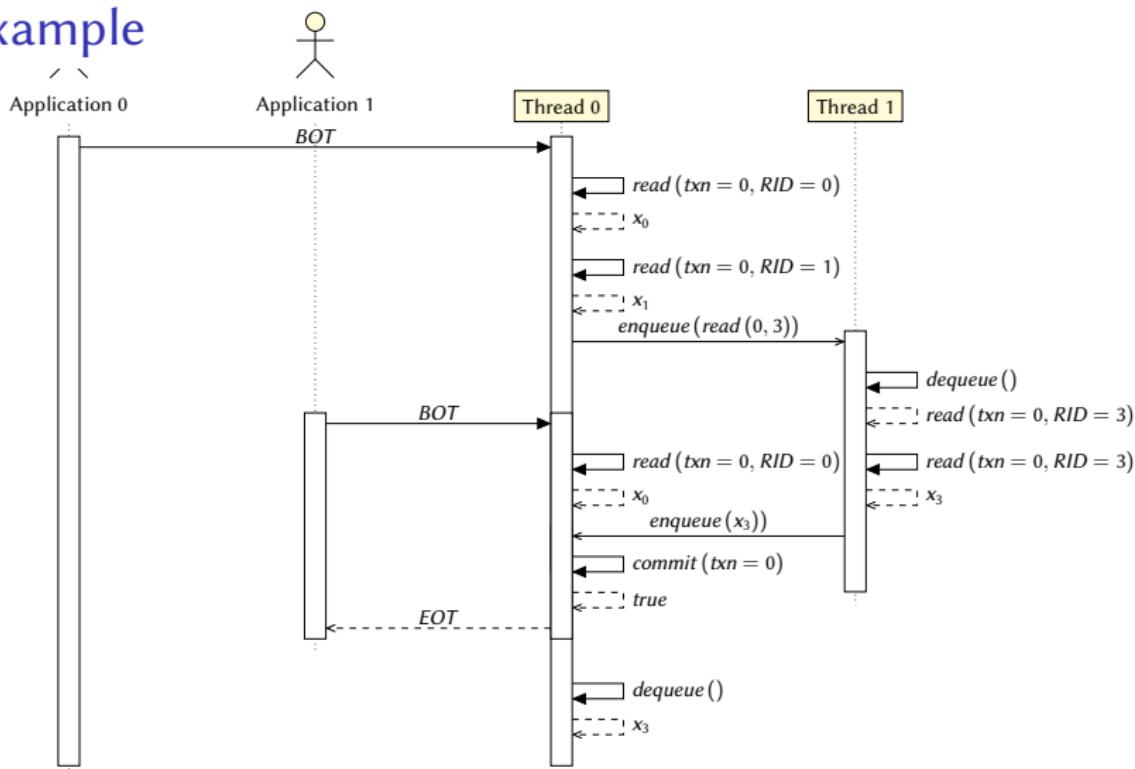
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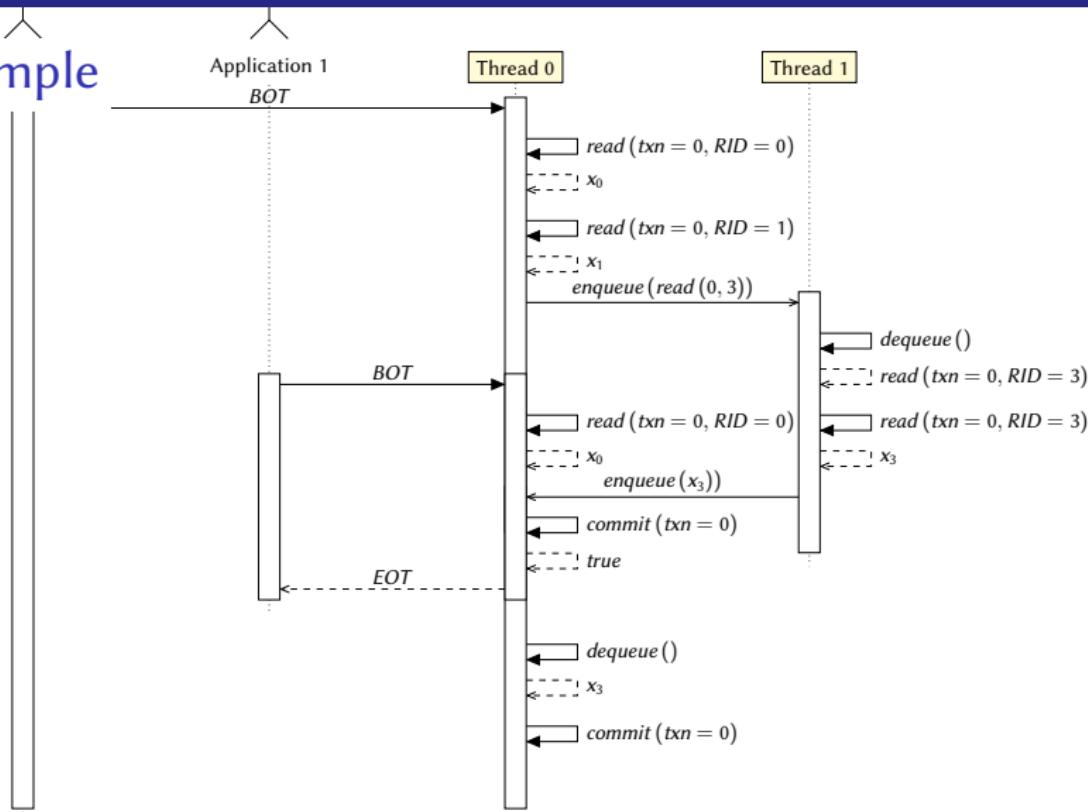
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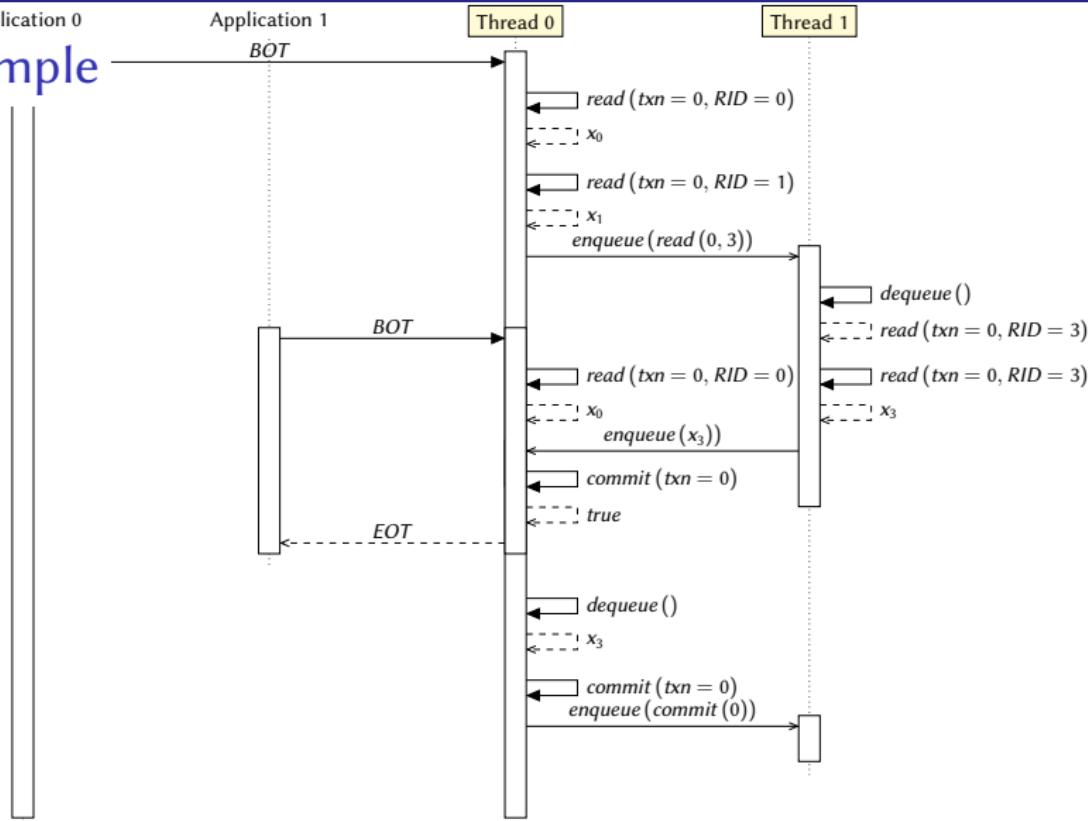
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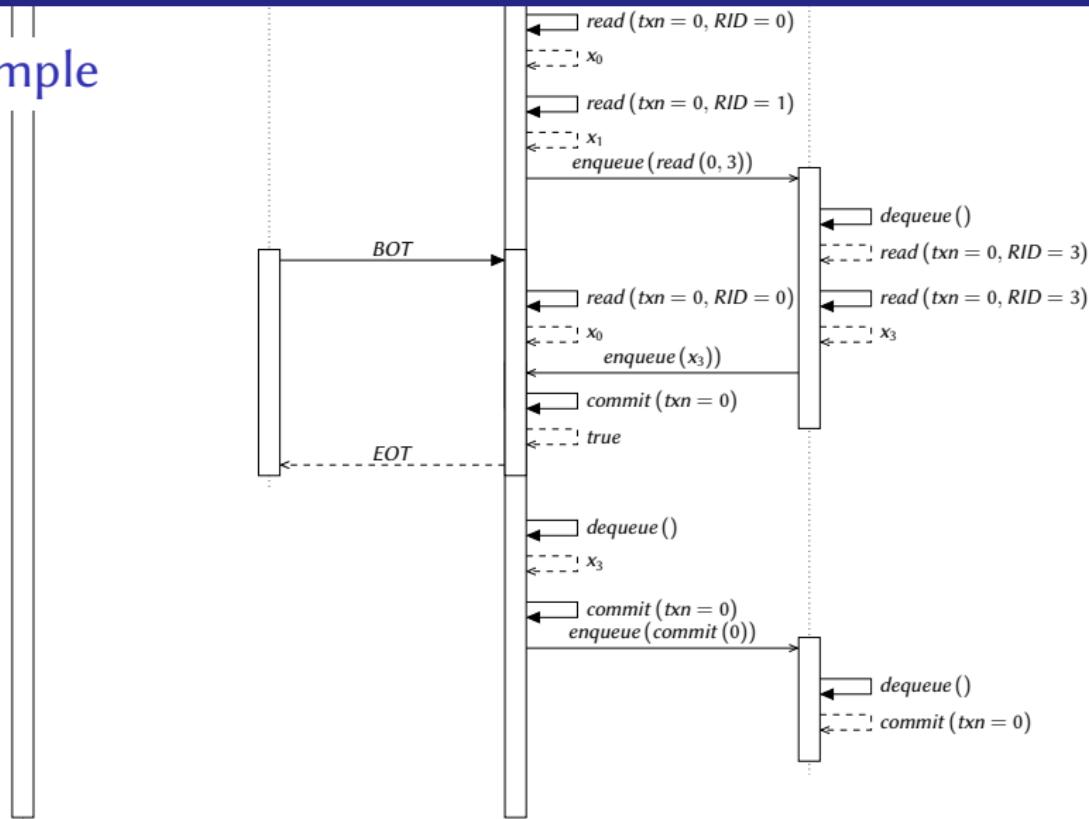
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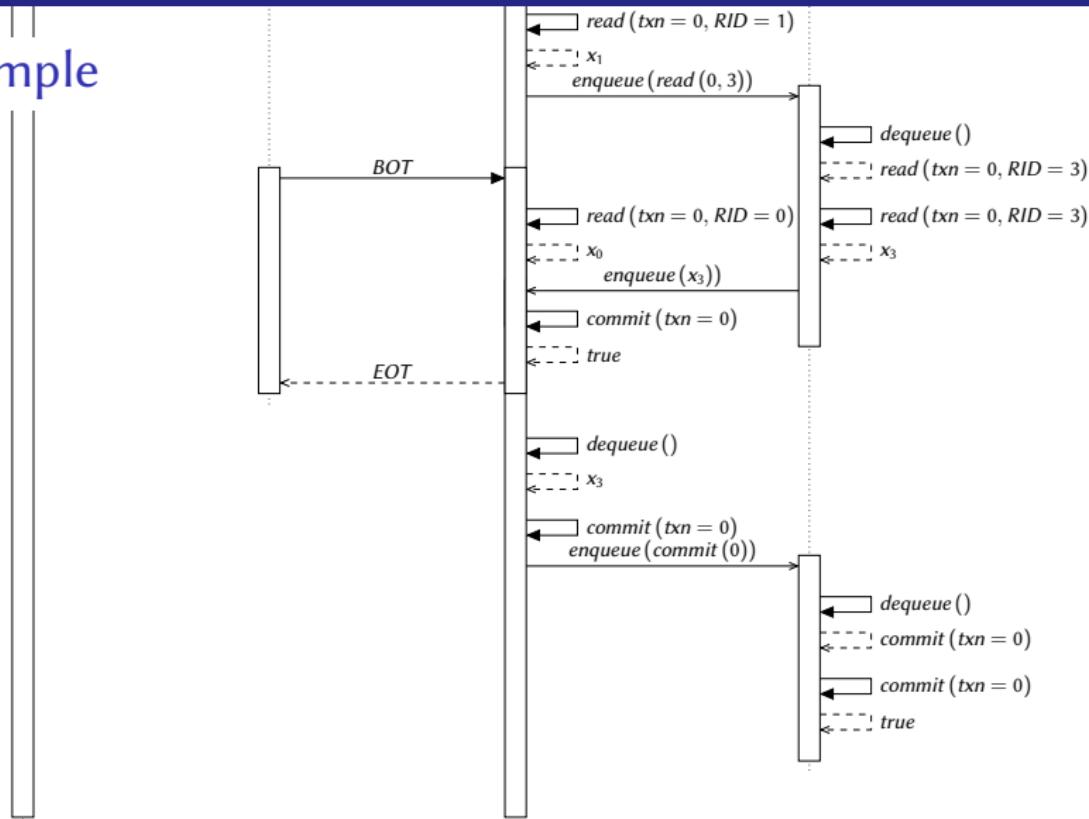
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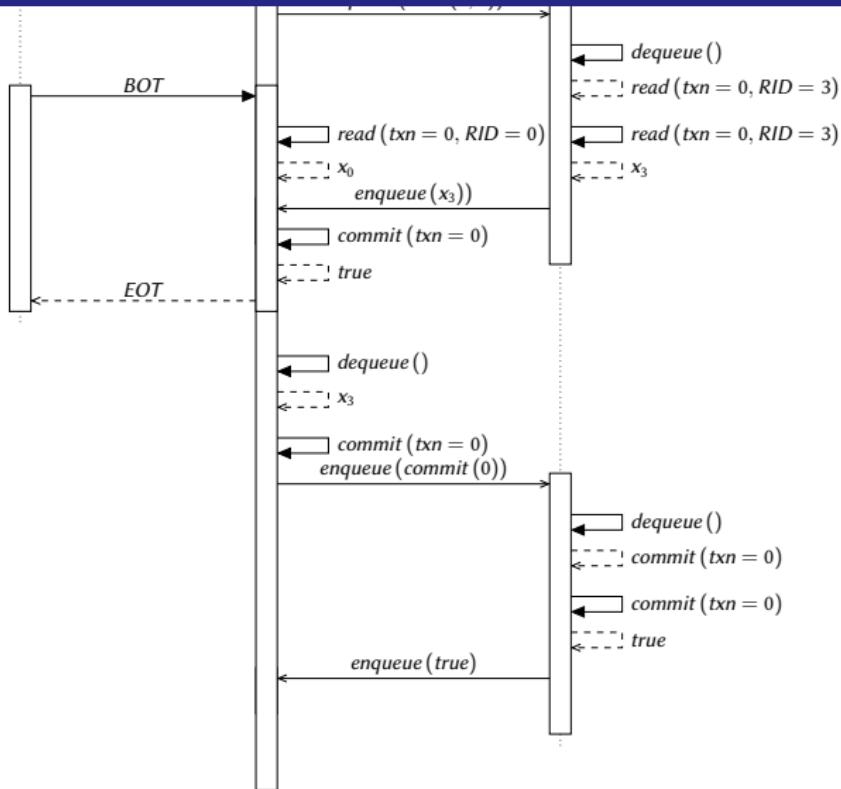
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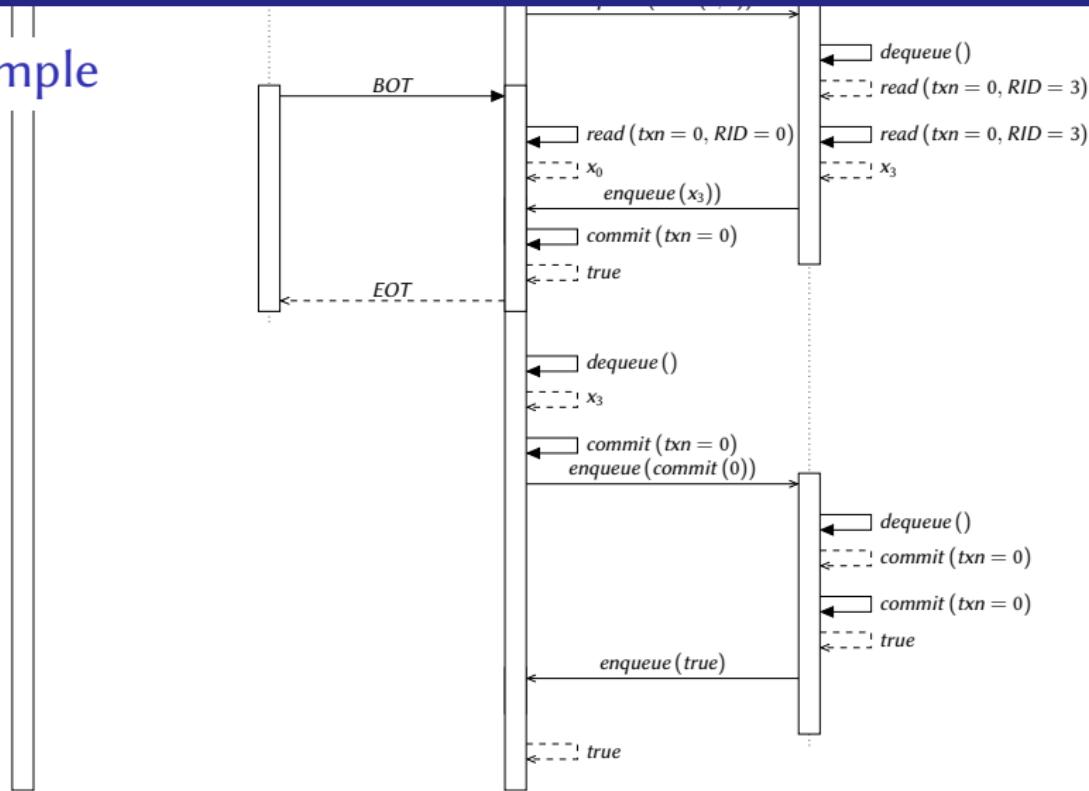
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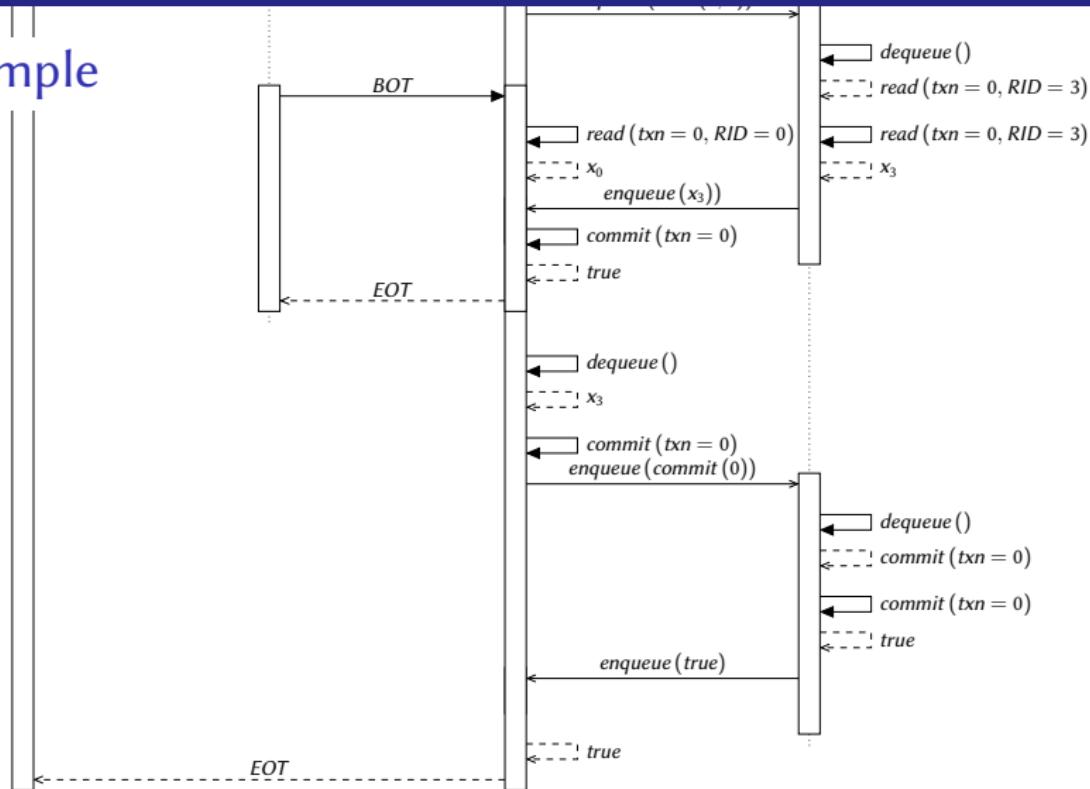
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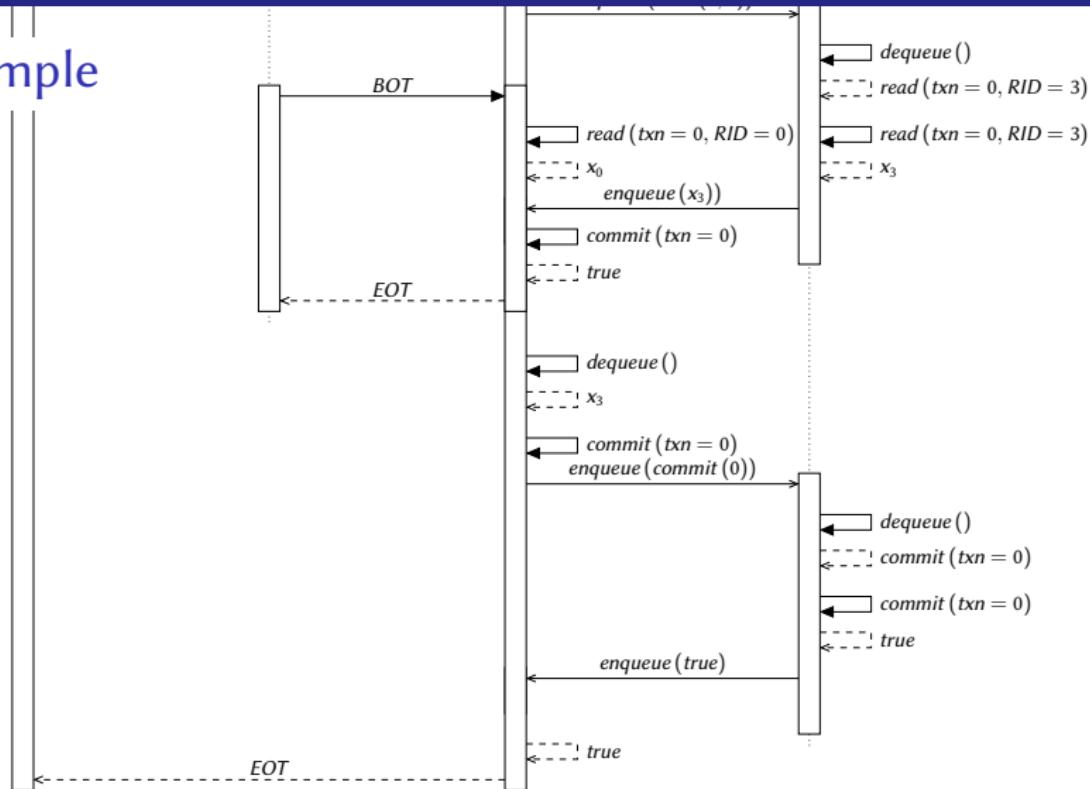
Example



Example



Example



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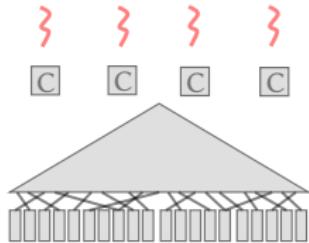
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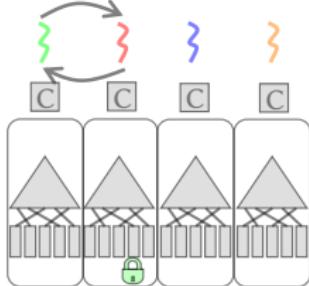
Subsection 4

Partitioned Serial Execution (PSE)

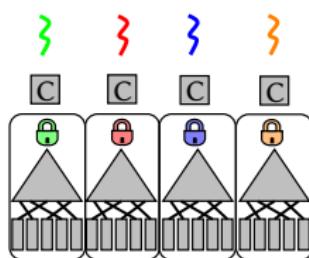
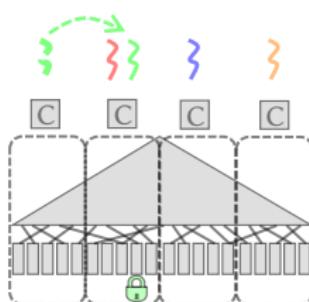
Shared
Every-
thing/
Non-
Partitioned



Delegation



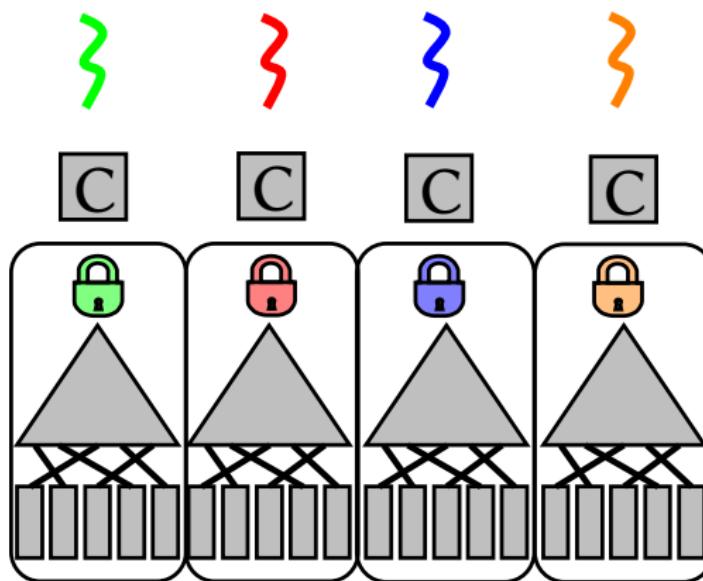
Data-
Oriented
Trans-
action
Execu-
tion
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Partitioned
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- ▶ multi-site transactions require upfront lock of all relevant partitions/coordination between threads

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- scales linearly for single-site transactions

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Summary

Architect- ture				
SE/NP				
PSE				
Dele- gation				
DORA				

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Architect- ure	Process Management		
	Paral- lelism		
SE/NP	Shared Memory		
PSE	Shared Nothing		
Dele- gation	Message Passing		
DORA	Shared Memory		

Summary

Architect- ure	Process Management			
	Paral- lelism	Thread Assignment		
SE/NP	Shared Memory	thread-to-txn		
PSE	Shared Nothing	thread-to-txn		
Dele- gation	Message Passing	thread-to-txn		
DORA	Shared Memory	thread-to-data		

Summary

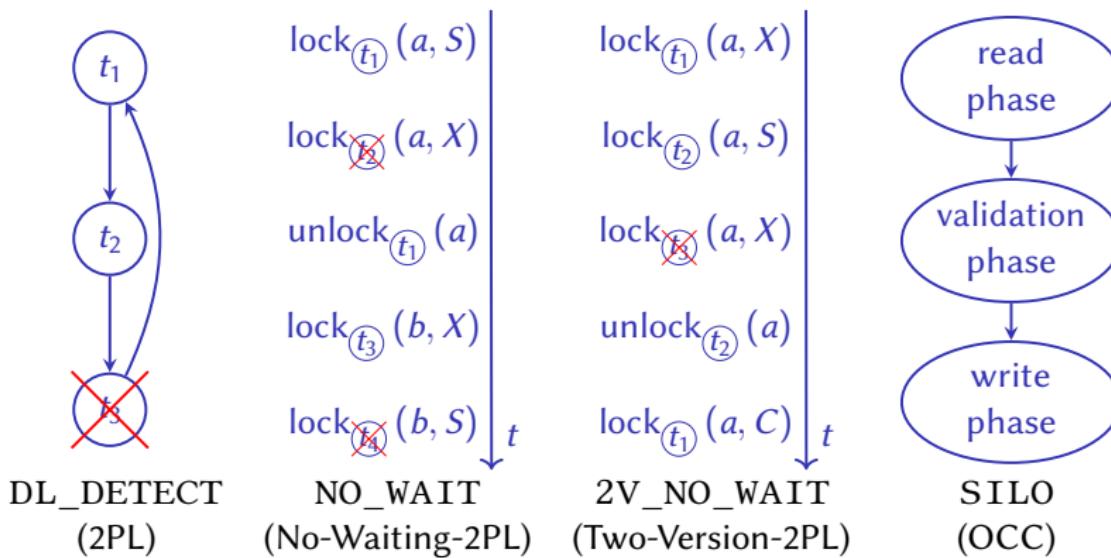
Architect- ture	Process Management		Transactional Storage Management	
	Paral- lelism	Thread Assignment	Logical Synchroniza- tion	
SE/NP	Shared Memory	thread-to-txn	CC Proto- cols	
PSE	Shared Nothing	thread-to-txn	Partition Lock	
Dele- gation	Message Passing	thread-to-txn	CC Proto- cols	
DORA	Shared Memory	thread-to-data	CC Proto- cols	

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Architect- ture	Process Management		Transactional Storage Management	
	Paral- lelism	Thread Assignment	Logical Synchron- ization	Physical Synchron- ization
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PSE	Shared Nothing	thread-to-txn	Partition Lock	partition lock
Dele- gation	Message Passing	thread-to-txn	CC Proto- cols	Message Passing
DORA	Shared Memory	thread-to-data	CC Proto- cols	Transaction Migration

Section 3

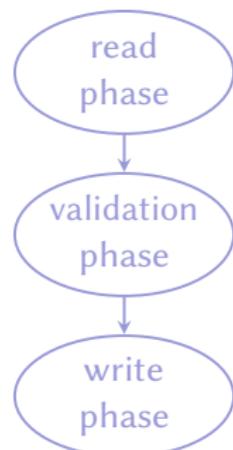
Concurrency Control Algorithms



Subsection 1

DL_DETECT (2PL)

 DL_DETECT (2PL)	$\text{lock}_{t_1}(a, S)$ $\text{lock}_{\cancel{t_2}}(a, X)$ $\text{unlock}_{t_1}(a)$ $\text{lock}_{t_3}(b, X)$ $\text{lock}_{\cancel{t_4}}(b, S)$	$\text{lock}_{t_1}(a, X)$ $\text{lock}_{t_2}(a, S)$ $\text{lock}_{\cancel{t_3}}(a, X)$ $\text{unlock}_{t_2}(a)$ $\text{lock}_{t_1}(a, C)$	t t
	NO_WAIT		
	(No-Waiting-2PL)		
		2V_NO_WAIT	
		(Two-Version-2PL)	



SILO
(OCC)

Properties of DL_DETECT (2PL)

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compatibility	shared mode	exclusive mode
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exclusive mode		

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 - t_0 waits until t_1 releases lock
- ▶ deadlock detection using a repeatedly generated and analyzed wait-for graph

compatibility	shared mode	exclusive mode
shared mode		
exclusive mode		

Example

Transactions:

t_0 t_1 t_2

Locks:

		Record 0	Record 1		Record 2		...
		Current Mode: NL					
		Waiters:	Waiters:	Waiters:	Waiters:	Waiters:	
		Data: x_0	Data: x_1	Data: x_1	Data: x_2	Data: x_2	

Wait-for Graph:

Example

Transactions:

t_0 t_1 t_2
— BOT

Locks:

Record 0		Record 1		Record 2		...
Current Mode:	NL	Current Mode:	NL	Current Mode:	NL	
Waiters:		Waiters:		Waiters:		
Data:	x_0	Data:	x_1	Data:	x_2	

Wait-for Graph:



Example

Transactions:

$t_0 \quad t_1 \quad t_2$
 └ BOT
 r_0

Locks:

Record 0		Record 1		Record 2		...
Current Mode:	S (1)	Current Mode:	NL	Current Mode:	NL	
Waiters:		Waiters:		Waiters:		
Data:	x_0	Data:	x_1	Data:	x_2	

Wait-for Graph:



Example

Transactions:

t_0	t_1	t_2
\sqcup BOT r_0		
	— BOT	

Locks:

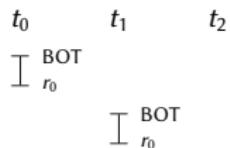
Record 0		Record 1		Record 2		...
Current Mode:	S (1)	Current Mode:	NL	Current Mode:	NL	
Waiters:		Waiters:		Waiters:		
Data:	x_0	Data:	x_1	Data:	x_2	

Wait-for Graph:



Example

Transactions:



Locks:

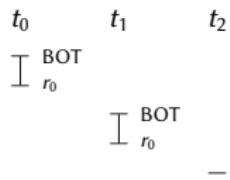
Record 0		Record 1		Record 2		...
Current Mode:	S (2)	Current Mode:	NL	Current Mode:	NL	
Waiters:		Waiters:		Waiters:		
Data:	x_0	Data:	x_1	Data:	x_2 <th></th>	

Wait-for Graph:



Example

Transactions:



Locks:

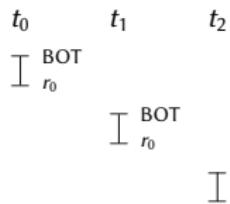
Record 0		Record 1		Record 2		...
Current Mode:	S (2)	Current Mode:	NL	Current Mode:	NL	
Waiters:		Waiters:		Waiters:		
Data:	x_0	Data:	x_1	Data:	x_2	

Wait-for Graph:



Example

Transactions:



Locks:

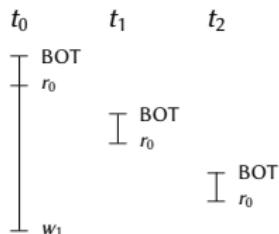
Record 0		Record 1		Record 2		...
Current Mode:	S (3)	Current Mode:	NL	Current Mode:	NL	
Waiters:		Waiters:		Waiters:		
Data:	x_0	Data:	x_1	Data:	x_2	

Wait-for Graph:



Example

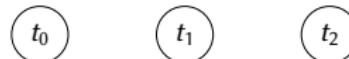
Transactions:



Locks:

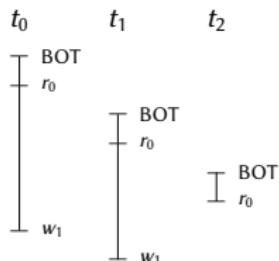
	Record 0	Record 1	Record 2	...
Current Mode:	S (3)	X (t_0)	NL	
Waiters:				
Data:	x_0	x_1	x_2	

Wait-for Graph:



Example

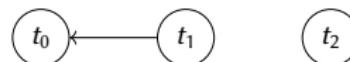
Transactions:



Locks:

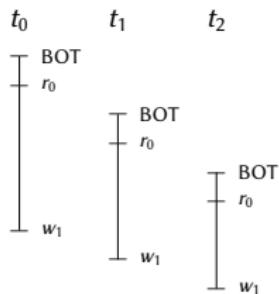
Record 0		Record 1		Record 2		...
Current Mode:	S (3)	Current Mode:	X (t_0)	Current Mode:	NL	
Waiters:		Waiters:	(X, t_1) <th>Waiters:</th> <td></td> <th></th>	Waiters:		
Data:	x_0	Data:	x'_1 <th>Data:</th> <td>x_2</td> <th></th>	Data:	x_2	

Wait-for Graph:



Example

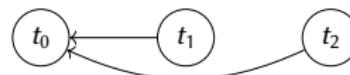
Transactions:



Locks:

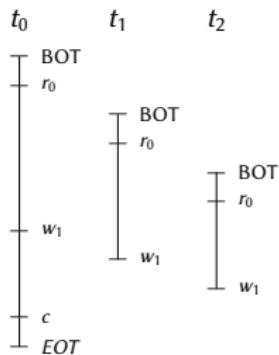
Record 0		Record 1		Record 2	...
Current Mode:	S (3)	Current Mode:	X (t_0)	Current Mode:	NL
Waiters:		Waiters:	(X, t_1) (X, t_2)	Waiters:	
Data:	x_0	Data:	x'_1 <th>Data:</th> <td>x_2</td>	Data:	x_2

Wait-for Graph:



Example

Transactions:



Locks:

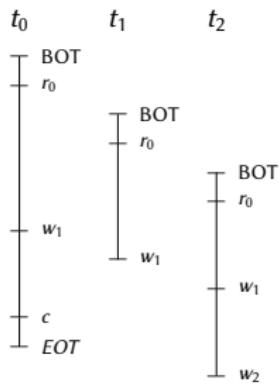
Record 0		Record 1		Record 2		...
Current Mode:	S (2)	Current Mode:	X (t_1)	Current Mode:	NL	
Waiters:		Waiters:	(X, t_2)	Waiters:		
Data:	x_0	Data:	x'_1	Data:	x_2	

Wait-for Graph:



Example

Transactions:



Locks:

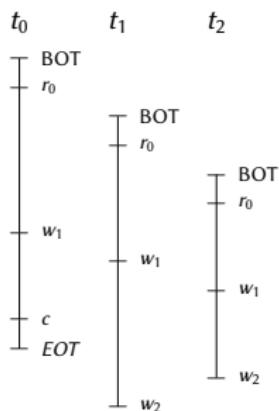
Record 0		Record 1		Record 2		...
Current Mode:	S (2)	Current Mode:	X (t_1)	Current Mode:	X (t_2) <th></th>	
Waiters:		Waiters:	(X, t_2)	Waiters:		
Data:	x_0	Data:	x_1''	Data:	x_2	

Wait-for Graph:



Example

Transactions:



Locks:

Record 0		Record 1		Record 2		...
Current Mode:	S (2)	Current Mode:	X (t_1)	Current Mode:	X (t_2)	
Waiters:		Waiters:	(X, t_2)	Waiters:	(X, t_1)	
Data:	x_0	Data:	x_1''	Data:	x_2'	

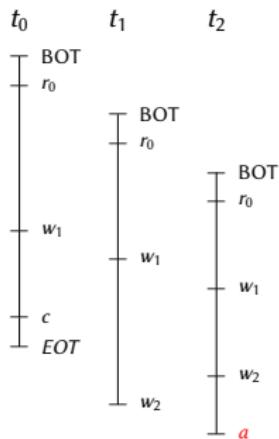
Wait-for Graph:



Cycle → Deadlock → Rollback a blocked Transaction

Example

Transactions:



Locks:

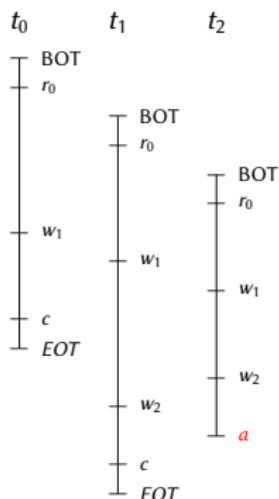
Record 0		Record 1		Record 2		...
Current Mode:	S (1)	Current Mode:	X (t_1)	Current Mode:	X (t_1) <th></th>	
Waiters:		Waiters:		Waiters:	<th></th>	
Data:	x_0	Data:	x''_1	Data:	x_2 <th></th>	

Wait-for Graph:



Example

Transactions:



Locks:

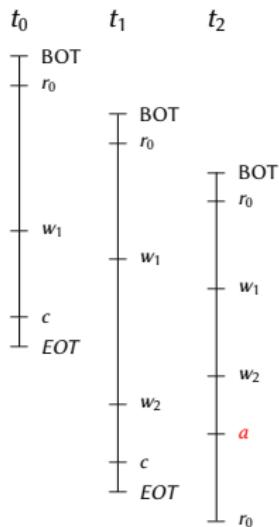
Record 0		Record 1		Record 2		...
Current Mode:	NL	Current Mode:	NL	Current Mode:	NL	
Waiters:		Waiters:		Waiters:		
Data:	x_0	Data:	x''_1	Data:	x''_2	

Wait-for Graph:



Example

Transactions:



Locks:

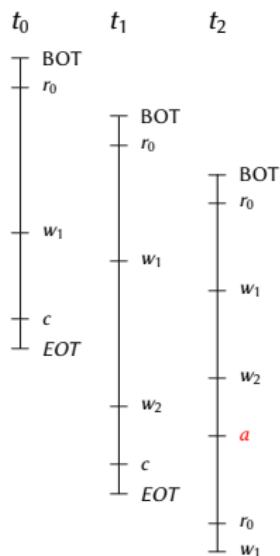
Record 0		Record 1		Record 2		...
Current Mode:	S (1)	Current Mode:	NL	Current Mode:	NL	
Waiters:		Waiters:		Waiters:		
Data:	x_0	Data:	x_1''	Data:	x_2''	

Wait-for Graph:



Example

Transactions:



Locks:

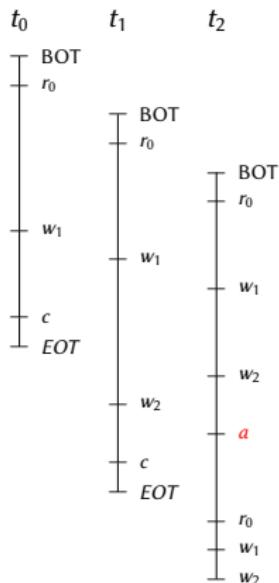
Record 0		Record 1		Record 2		...
Current Mode:	S (1)	Current Mode:	X (t_2)	Current Mode:	NL	
Waiters:		Waiters:		Waiters:		
Data:	x_0	Data:	x_1''	Data:	x_2''	

Wait-for Graph:



Example

Transactions:



Locks:

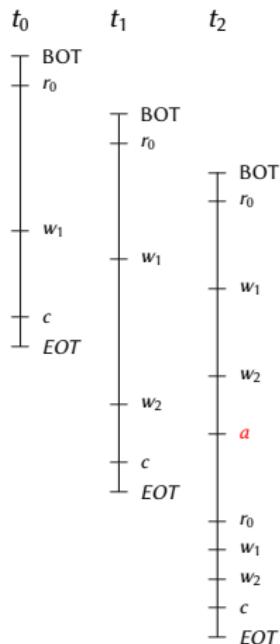
Record 0		Record 1		Record 2		...
Current Mode:	S (1)	Current Mode:	X (t_2)	Current Mode:	X (t_2) <th></th>	
Waiters:		Waiters:		Waiters:	<th></th>	
Data:	x_0	Data:	x_1'''	Data:	x_2''	

Wait-for Graph:



Example

Transactions:



Locks:

Record 0		Record 1		Record 2		...
Current Mode:	NL	Current Mode:	NL	Current Mode:	NL	
Waiters:		Waiters:		Waiters:		
Data:	x_0	Data:	x_1'''	Data:	x_2'	

Wait-for Graph:

Pros & Cons of DL_DETECT (2PL)

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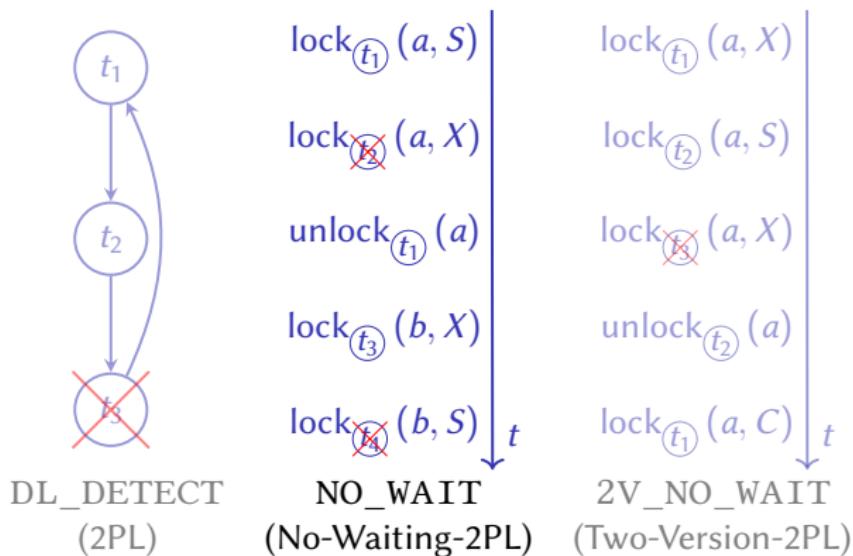
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- queue of waiters requires latching
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- locks prevent concurrency too often (e.g. blind writes)
- calculation and analysis of wait-for graph expensive
→ done offline → transactions deadlocked for a while
- aborts happen
→ work done before needs to be repeated
- queue of waiters requires latching
→ limits scalability
- even writes need to acquire latches and wait

Subsection 2

NO_WAIT (No-Waiting-2PL)



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compatibility	shared mode	exclusive mode
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exclusive mode		

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exclusive mode		

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- ▶ t_0 tries to acquire lock held by t_1 in incompatible mode
 - t_0 aborts

compatibility	shared mode	exclusive mode
shared mode		
exclusive mode		

Example

Transactions:

t_0 t_1 t_2

Locks:

	Record 0	Record 1	Record 2	...	
Current Mode:	0	Current Mode:	0	Current Mode:	0
Data:	x_0	Data:	x_1	Data:	x_2

Example

Transactions:

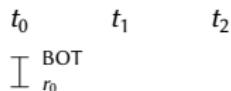
$t_0 \quad t_1 \quad t_2$
— BOT

Locks:

Record 0		Record 1		Record 2		...
Current Mode:	0	Current Mode:	0	Current Mode:	0	
Data:	x_0	Data:	x_1	Data:	x_2	

Example

Transactions:

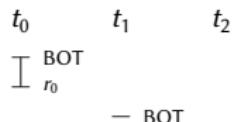


Locks:

Record 0		Record 1		Record 2		...
Current Mode:	2	Current Mode:	0	Current Mode:	0	
Data:	x_0	Data:	x_1	Data:	x_2	

Example

Transactions:

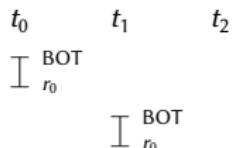


Locks:

Record 0		Record 1		Record 2		...
Current Mode:	2	Current Mode:	0	Current Mode:	0	
Data:	x_0	Data:	x_1	Data:	x_2	

Example

Transactions:



Locks:

Record 0		Record 1		Record 2		...
Current Mode:	4	Current Mode:	0	Current Mode:	0	
Data:	x_0	Data:	x_1	Data:	x_2	

Example

Transactions:

$t_0 \quad t_1 \quad t_2$

$\begin{array}{|c|} \hline \text{BOT} \\ \hline r_0 \\ \hline \end{array}$

$\begin{array}{|c|} \hline \text{BOT} \\ \hline r_0 \\ \hline \end{array}$

— BOT

Locks:

Record 0		Record 1		Record 2		...
Current Mode:	4	Current Mode:	0	Current Mode:	0	
Data:	x_0	Data:	x_1	Data:	x_2	

Example

Transactions:

$t_0 \quad t_1 \quad t_2$

$\begin{array}{|c|} \hline \text{BOT} \\ \hline r_0 \\ \hline \end{array}$

$\begin{array}{|c|} \hline \text{BOT} \\ \hline r_0 \\ \hline \end{array}$

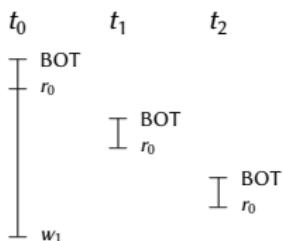
$\begin{array}{|c|} \hline \text{BOT} \\ \hline r_0 \\ \hline \end{array}$

Locks:

Record 0		Record 1		Record 2		...
Current Mode:	6	Current Mode:	0	Current Mode:	0	
Data:	x_0	Data:	x_1	Data:	x_2	

Example

Transactions:

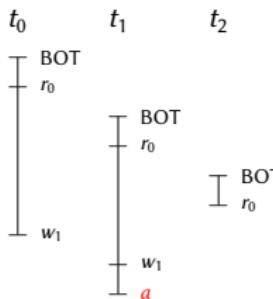


Locks:

Record 0		Record 1		Record 2		...
Current Mode:	6	Current Mode:	1	Current Mode:	0	
Data:	x_0	Data:	x_1	Data:	x_2	

Example

Transactions:

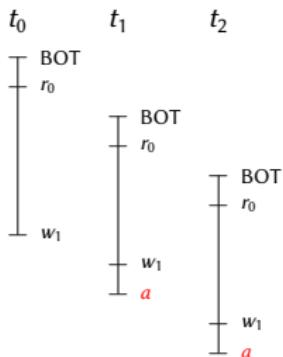


Locks:

Record 0		Record 1		Record 2		...
Current Mode:	4	Current Mode:	1	Current Mode:	0	
Data:	x_0	Data:	x'_1	Data:	x_2	

Example

Transactions:

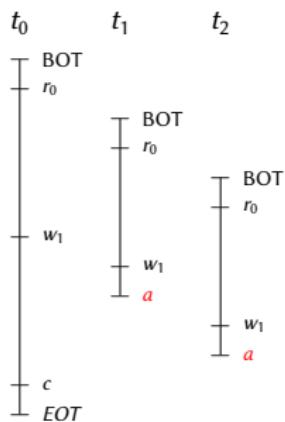


Locks:

Record 0		Record 1		Record 2		...
Current Mode:	2	Current Mode:	1	Current Mode:	0	
Data:	x_0	Data:	x'_1	Data:	x_2	

Example

Transactions:

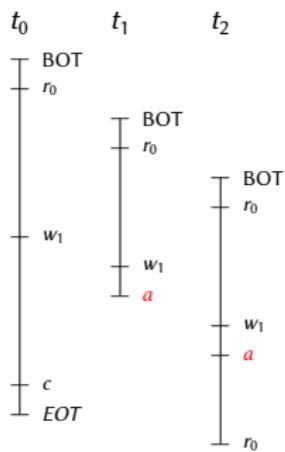


Locks:

Record 0		Record 1		Record 2		...
Current Mode:	0	Current Mode:	0	Current Mode:	0	
Data:	x_0	Data:	x'_1	Data:	x_2	

Example

Transactions:

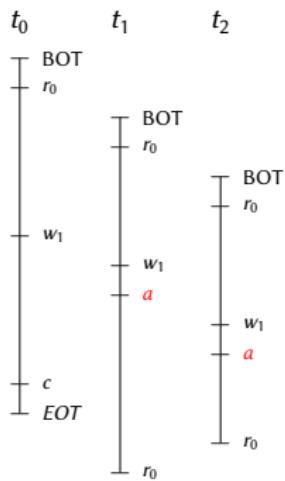


Locks:

Record 0		Record 1		Record 2		...
Current Mode:	2	Current Mode:	0	Current Mode:	0	
Data:	x_0	Data:	x'_1	Data:	x_2	

Example

Transactions:

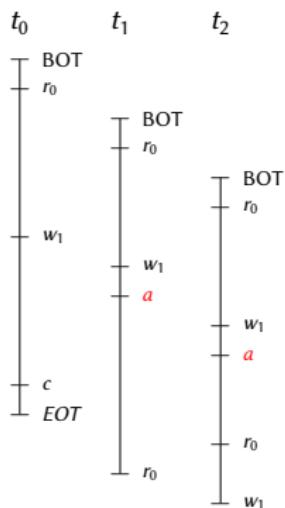


Locks:

Record 0		Record 1		Record 2		...
Current Mode:	4	Current Mode:	0	Current Mode:	0	
Data:	x_0	Data:	x'_1	Data:	x_2	

Example

Transactions:

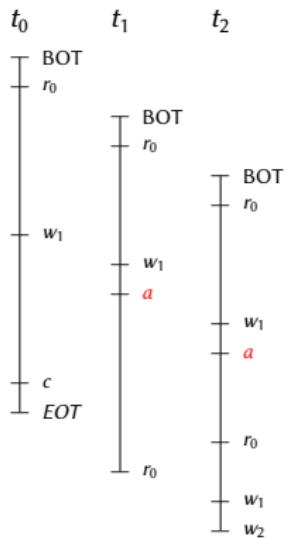


Locks:

Record 0		Record 1		Record 2		...
Current Mode:	4	Current Mode:	1	Current Mode:	0	
Data:	x_0	Data:	x'_1	Data:	x_2	

Example

Transactions:

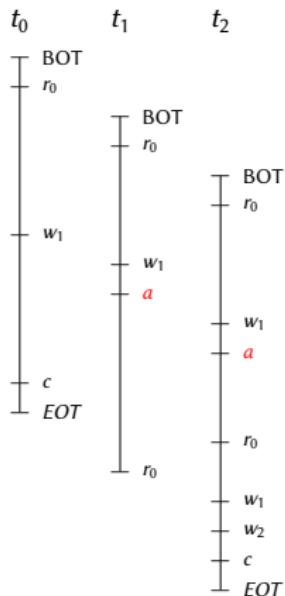


Locks:

Record 0		Record 1		Record 2		...
Current Mode:	4	Current Mode:	1	Current Mode:	1	
Data:	x_0	Data:	x_1''	Data:	x_2	

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Transactions:

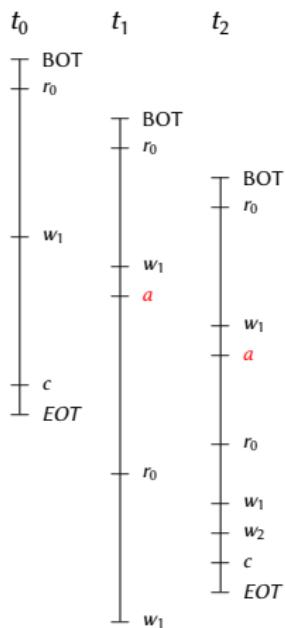


Locks:

Record 0		Record 1		Record 2		...
Current Mode:	2	Current Mode:	0	Current Mode:	0	
Data:	x_0	Data:	x_1''	Data:	x_2'	

Example

Transactions:

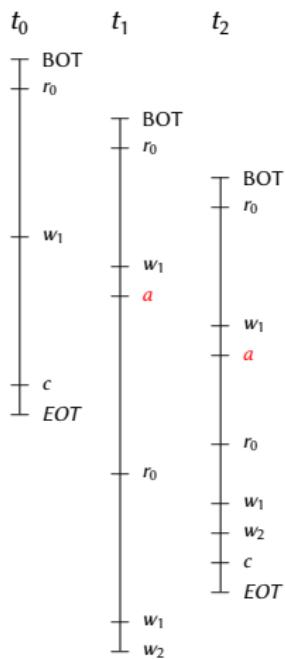


Locks:

Record 0		Record 1		Record 2		...
Current Mode:	2	Current Mode:	1	Current Mode:	0	
Data:	x_0	Data:	x_1''	Data:	x_2'	

Example

Transactions:

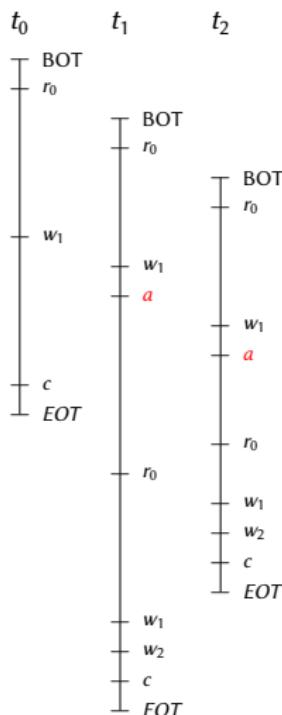


Locks:

Record 0		Record 1		Record 2		...
Current Mode:	2	Current Mode:	1	Current Mode:	1	
Data:	x_0	Data:	x_1'''	Data:	x_2'	

Example

Transactions:



Locks:

Record 0		Record 1		Record 2		...
Current Mode:	0	Current Mode:	0	Current Mode:	0	
Data:	x_0	Data:	x_1'''	Data:	x_2''	

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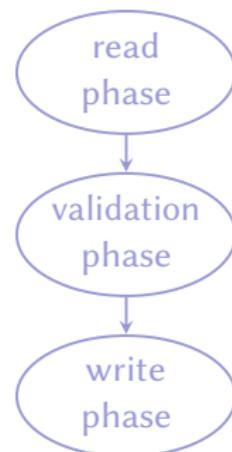
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Subsection 3

2V_NO_WAIT (Two-Version-2PL)

	$lock_{t_1}(a, S)$	$lock_{t_1}(a, X)$	
	$lock_{\cancel{t_2}}(a, X)$	$lock_{t_2}(a, S)$	
	$unlock_{t_1}(a)$	$lock_{\cancel{t_3}}(a, X)$	
	$lock_{t_3}(b, X)$	$unlock_{t_2}(a)$	
	$lock_{\cancel{t_4}}(b, S)$	$lock_{t_1}(a, C)$	
DL_DETECT (2PL)			
NO_WAIT (No-Waiting-2PL)			
2V_NO_WAIT (Two-Version-2PL)			



SILO
(OCC)

Properties of 2V_NO_WAIT (Two-Version-2PL) I

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Properties of 2V_NO_WAIT (Two-Version-2PL) I

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- ▶ 3 phases: read, certify, write/commit
- ▶ transactions lock records before reading (shared mode S), privately updating (exclusive mode X)

Properties of 2V_NO_WAIT (Two-Version-2PL) I

- ▶ multiversion pessimistic concurrency control protocol
- ▶ 3 phases: read, certify, write/commit
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- ▶ 3 phases: read, certify, write/commit
- ▶ transactions lock records before reading (shared mode S), privately updating (exclusive mode X) or certifying/globally updating (certify mode C) them
- ▶ updates happen first on a private copy
→ committed copy can still be read by other transactions

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- ▶ updates happen first on a private copy
 - committed copy can still be read by other transactions
- ▶ before committing writes (replace original record with private copy) the absence of relevant conflicts needs to be certified (certification step)

Properties of 2V_NO_WAIT (Two-Version-2PL) II

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- ▶ t_0 tries to acquire lock held by t_1 in compatible mode
→ t_0 can immediately acquire lock as well (starvation needs to be prevented)

compatibility	shared mode	exclusive mode	certify mode
shared mode	⊕	⊕	⊖
exclusive mode	⊕	⊖	⊖
certify mode	⊖	⊖	⊖

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- ▶ t_0 tries to acquire lock held by t_1 in compatible mode
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- ▶ t_0 tries to acquire lock held by t_1 in incompatible mode
→ t_0 aborts

compatibility	shared mode	exclusive mode	certify mode
shared mode	⊕	⊕	⊖
exclusive mode	⊕	⊖	⊖
certify mode	⊖	⊖	⊖

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- ▶ acquire r_i 's lock in S mode

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 - ▶ read global (committed) value of r_i

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- ▶ abort this transaction

- W not acquired other threads at most read r_i
- ▶ acquire r_i 's lock in X mode

Protocol I

read r_i

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- ▶ abort this transaction

- C not acquired other threads might read r_i or privately update r_i
- ▶ acquire r_i 's lock in S mode
 - ▶ read global (committed) value of r_i

update r_i

- W acquired r_i gets (privately or globally) updated by another transaction
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- ▶ abort this transaction

- W not acquired other threads at most read r_i
- ▶ acquire r_i 's lock in X mode
 - ▶ create local copy of global (committed) value of r_i

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 - ▶ read global (committed) value of r_i

update r_i

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→ there are already two versions
- ▶ abort this transaction

- W not acquired other threads at most read r_i
- ▶ acquire r_i 's lock in X mode
 - ▶ create local copy of global (committed) value of r_i
 - ▶ update local copy of r_i

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commit

▶ (*only if r_i was updated*) replace global r_i with updated local version

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commit

- ▶ (only if r_i was updated) replace global r_i with updated local version
- ▶ release the locks held on r_i

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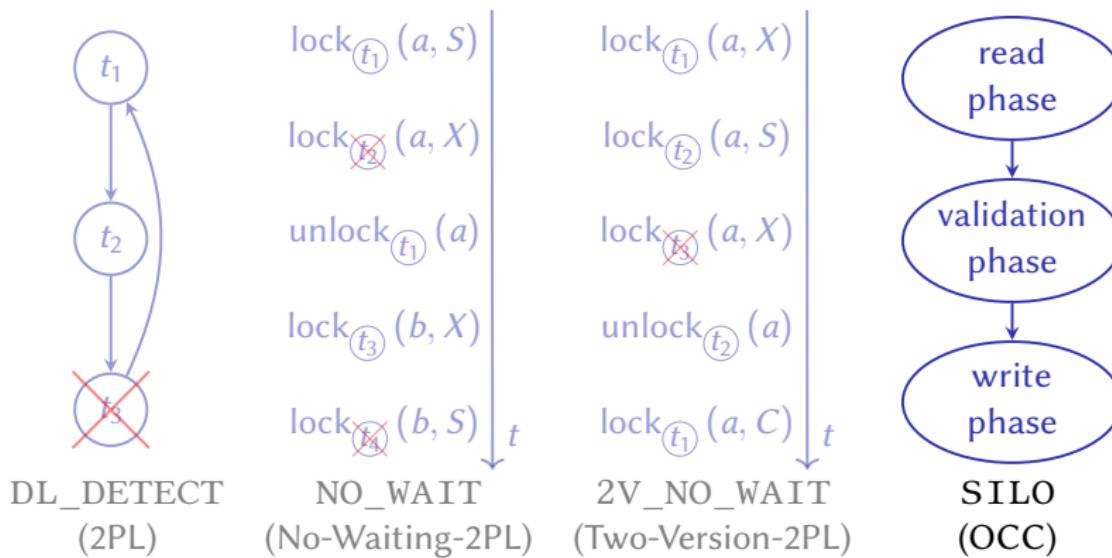
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Subsection 4

SILO (OCC)



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- ▶ transactions perform reads and local writes during the read phase without acquiring locks

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- ▶ records for inserts created before validation to provide locks

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- globally sorted transaction IDs need to be generated (epochs make that cheap)
- additional steps for updating transactions required:
 - ▶ write and read sets locally (expensive but scalable)
 - ▶ validate reads

Section 4

Performance Evaluation

	SE/NP	DORA	Delegation	PSE
DL_DETECT	⊕	⊕	⊕	⊕
NO_WAIT	⊕	⊕	⊕	
2V_NO_WAIT	⊕	⊕	⊕	
SILO	⊕	⊖	⊕	

Evaluation Set-Up

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- ▶ 4x Intel Xeon E7-8890 v3 NUMA machine (72 cores @ 2.5 GHz)
- ▶ 32 kB L1I cache and 32 kB L1D cache per core
- ▶ 256 kB L2 cache per core
- ▶ 45 MB L3 cache per CPU

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- ▶ 45 MB L3 cache per CPU
- ▶ 512 GB DDR4 RAM
- ▶ hyperThreading not used
- ▶ threads pinned to physical cores
- ▶ sockets filled sequentially with threads

Benchmarks

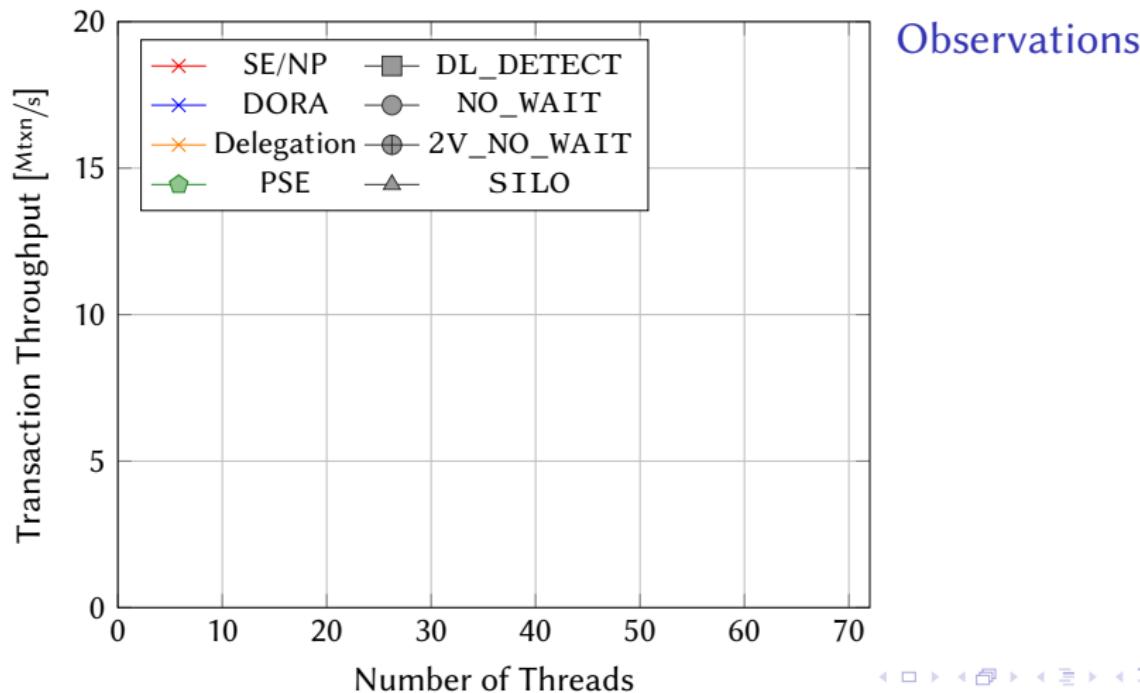
Microbenchmark

- ▶ 13 GB database
- ▶ Hot Set: 16 records *distributed to 16 partitions*
- ▶ Cold Set: 100 000 000 – 16 records
- ▶ Txn: 2 accesses to Hot Set & 8 accesses to (*thread-local*) Cold Set

Yahoo! Cloud Serving Benchmark (YCSB)

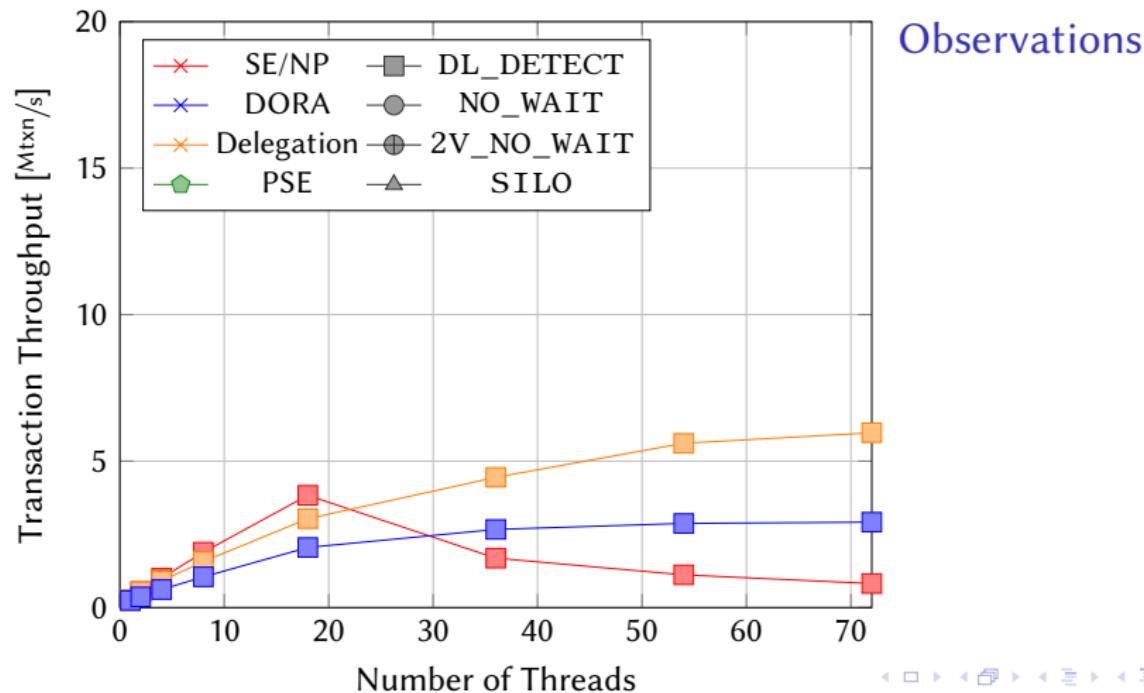
- ▶ 20 GB database
- ▶ 20 000 000 records
- ▶ Txn: reads/updates 16 records following Zipfian distribution according to parameter Θ

Read-Only Microbenchmark

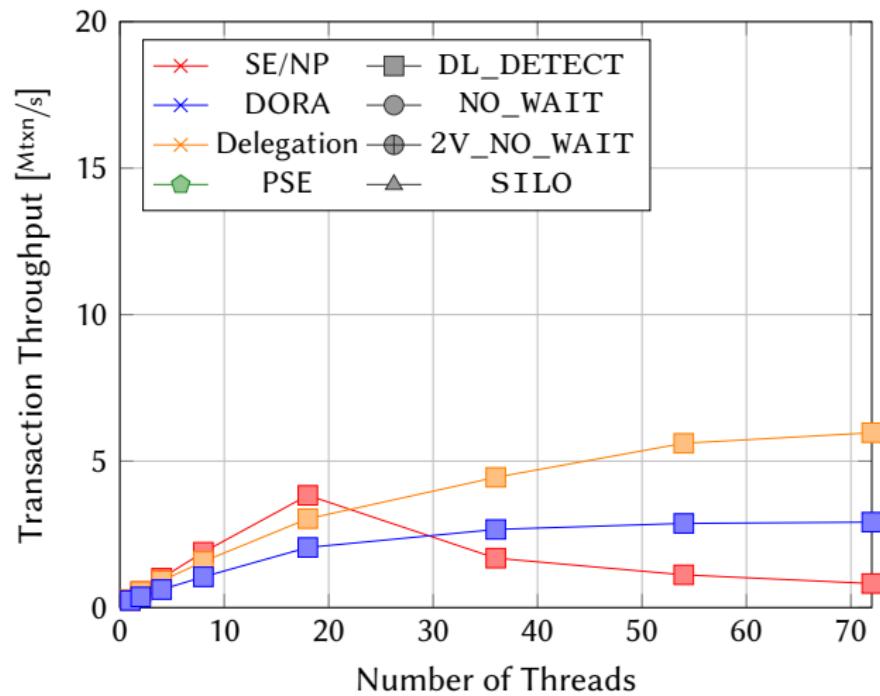


Observations

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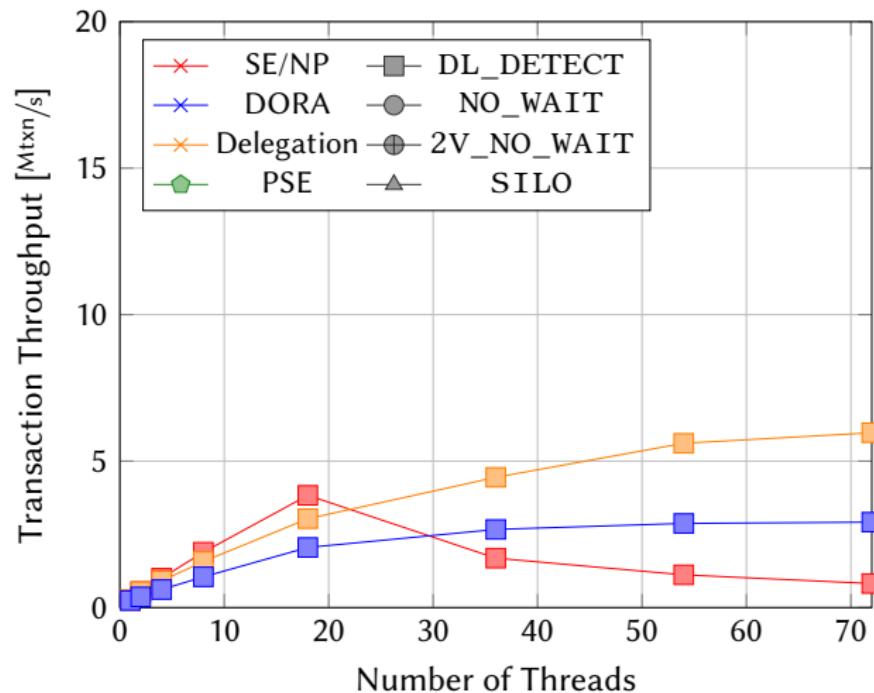
Read-Only Microbenchmark



Observations

- $\text{DORA}^*/\text{Delegation}^*$ suffer from remote data access overhead

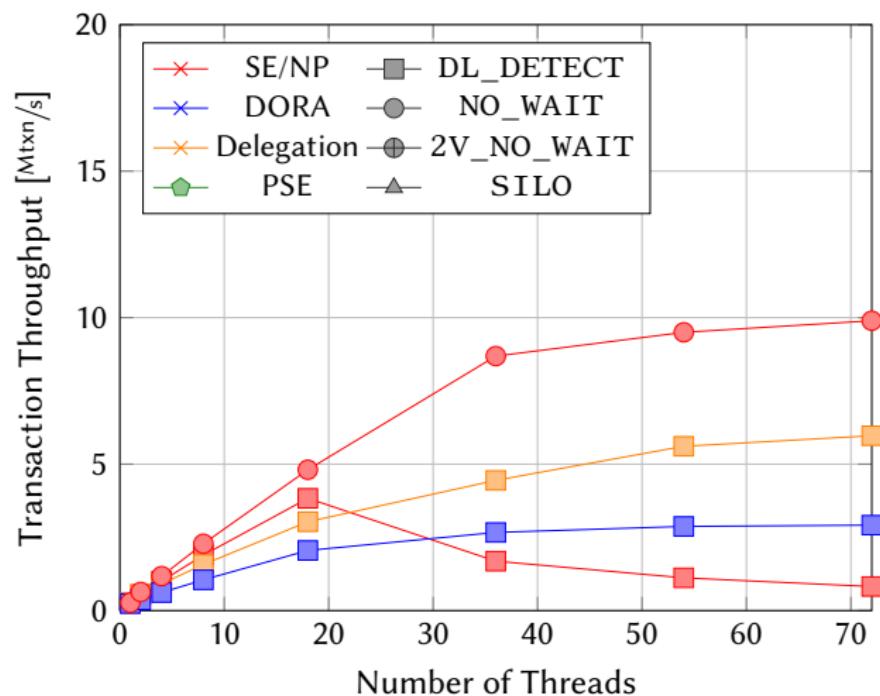
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- ▶ suffer from remote data access overhead
- ▶ suffers from latch contention on locks

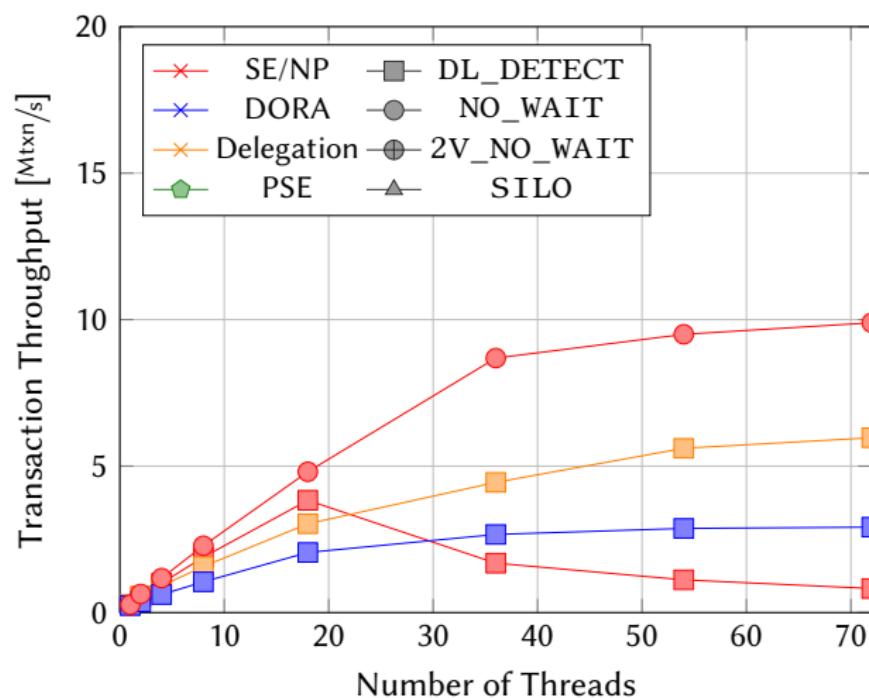
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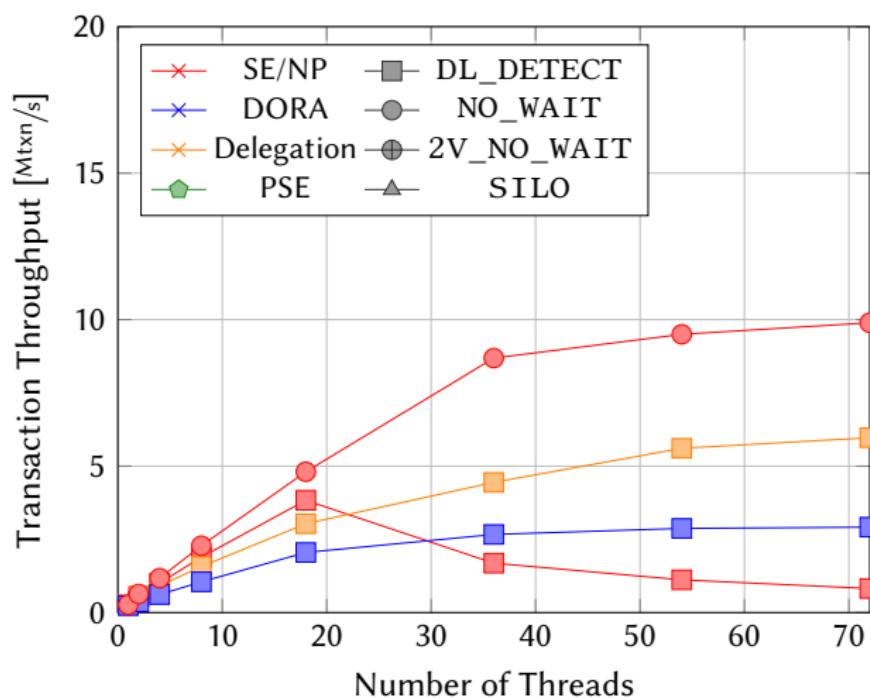
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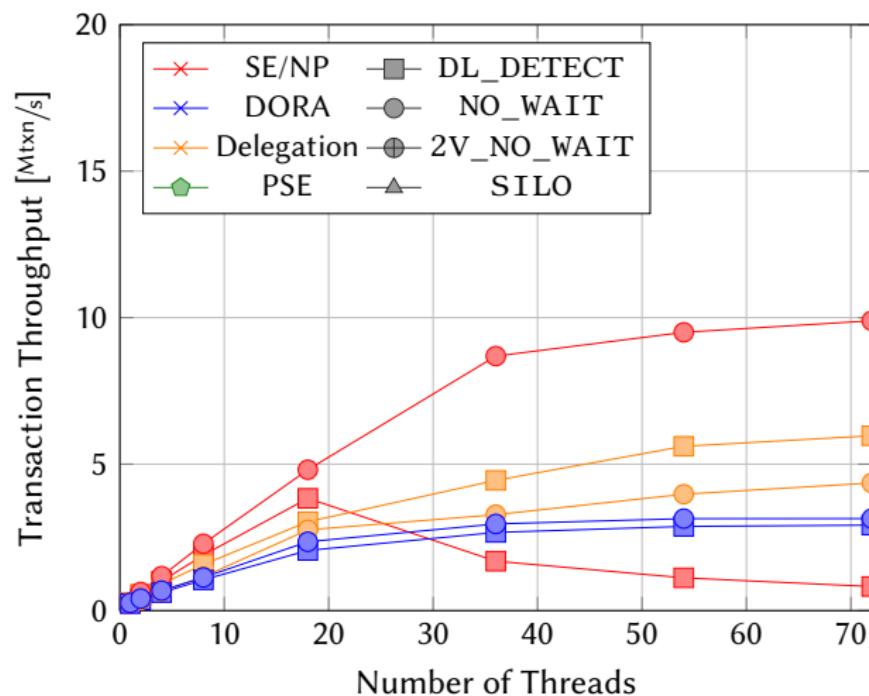
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- scaling of limited by hardware cache coherence mechanism

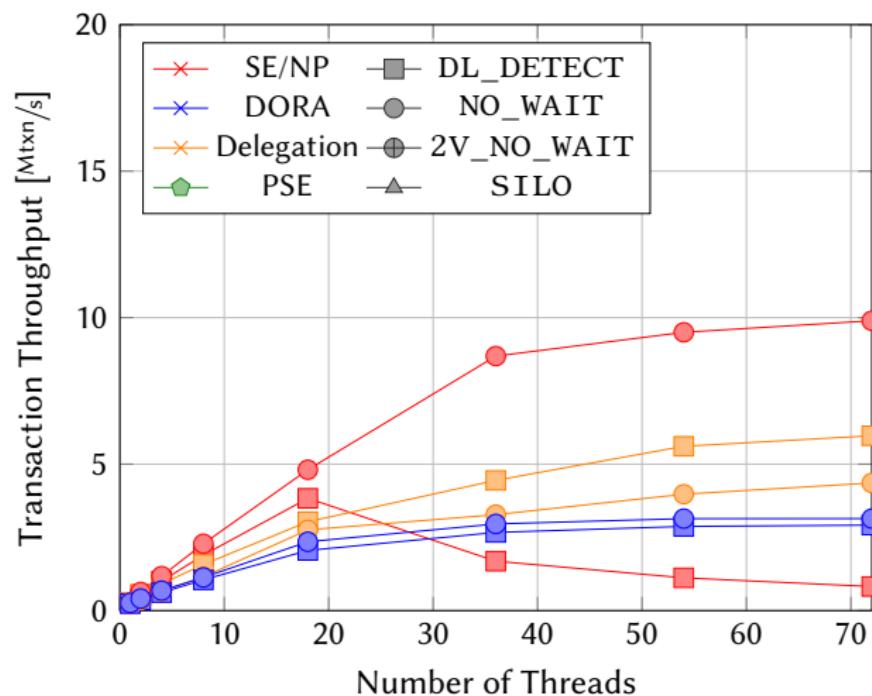
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- atomics of outperform latches of
- scaling of limited by hardware cache coherence mechanism

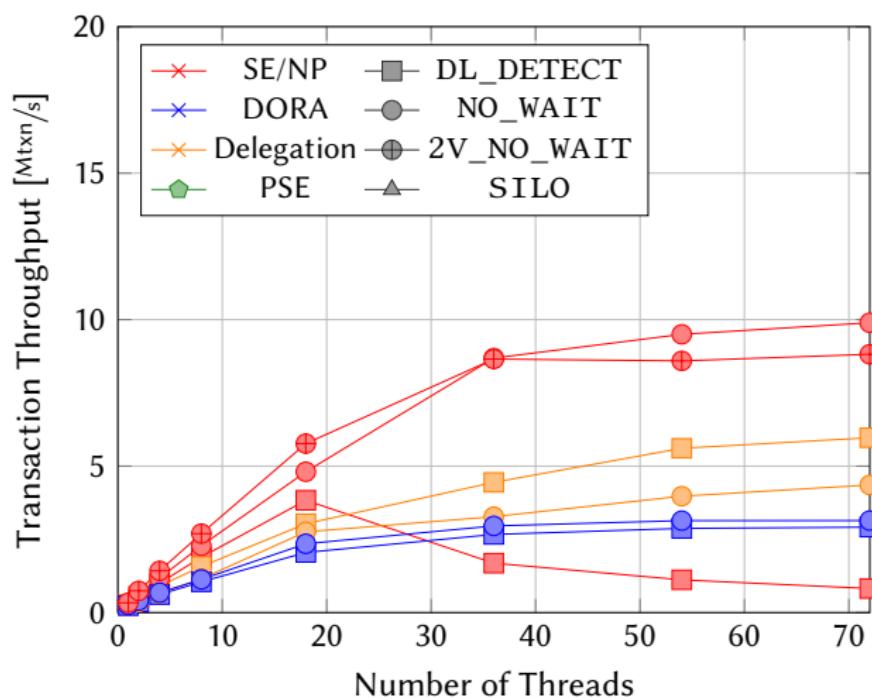
Read-Only Microbenchmark



Observations

- suffers from latch contention on locks
- atomics of outperform latches of
- scaling of limited by hardware cache coherence mechanism
- / suffer more from remote data accesses than suffers from cache coherence

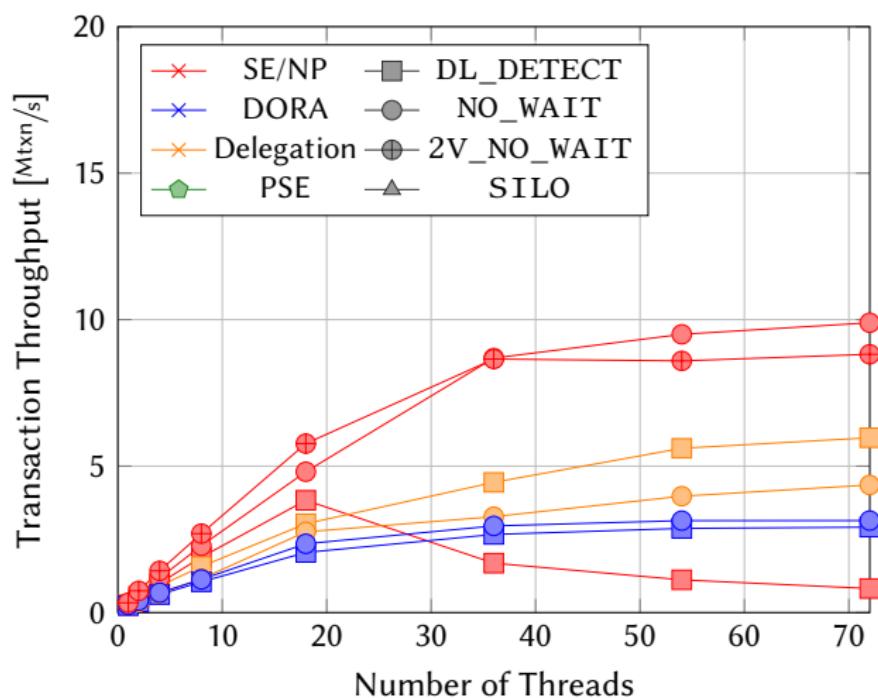
Read-Only Microbenchmark



Observations

- suffers from latch contention on locks
- atomics of outperform latches of
- scaling of limited by hardware cache coherence mechanism
- / suffer more from remote data accesses than suffers from cache coherence

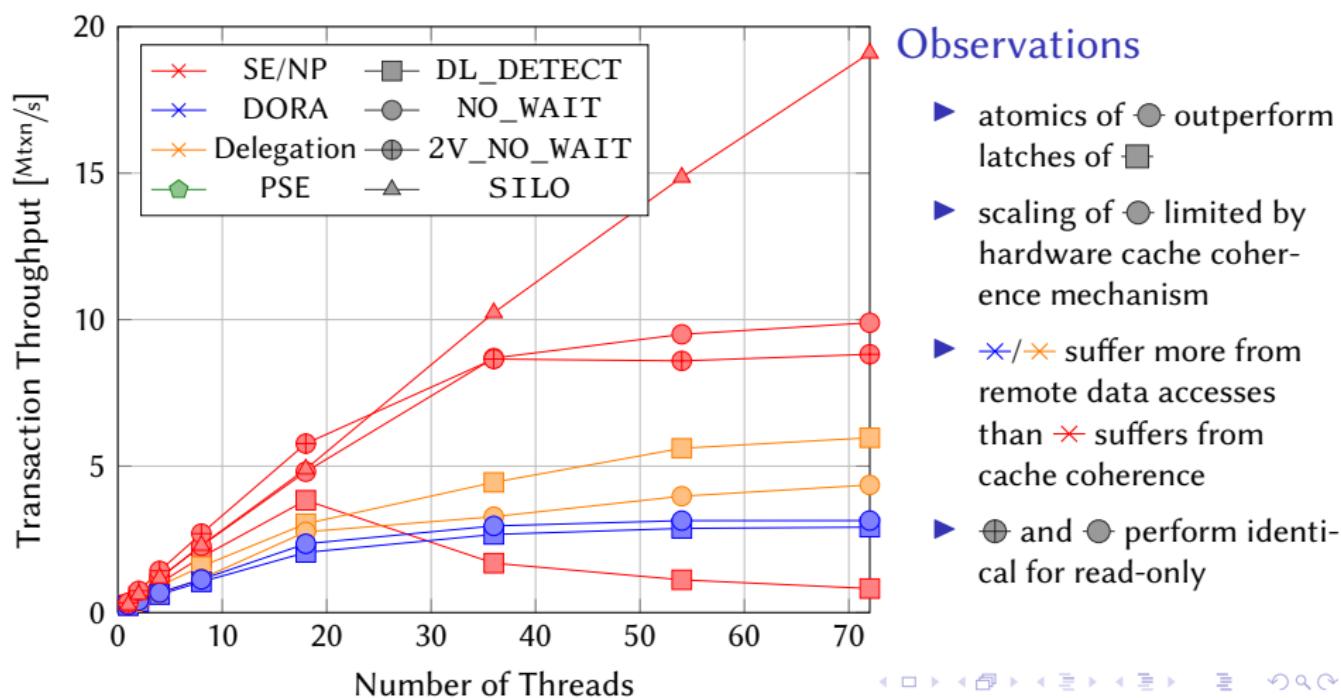
Read-Only Microbenchmark



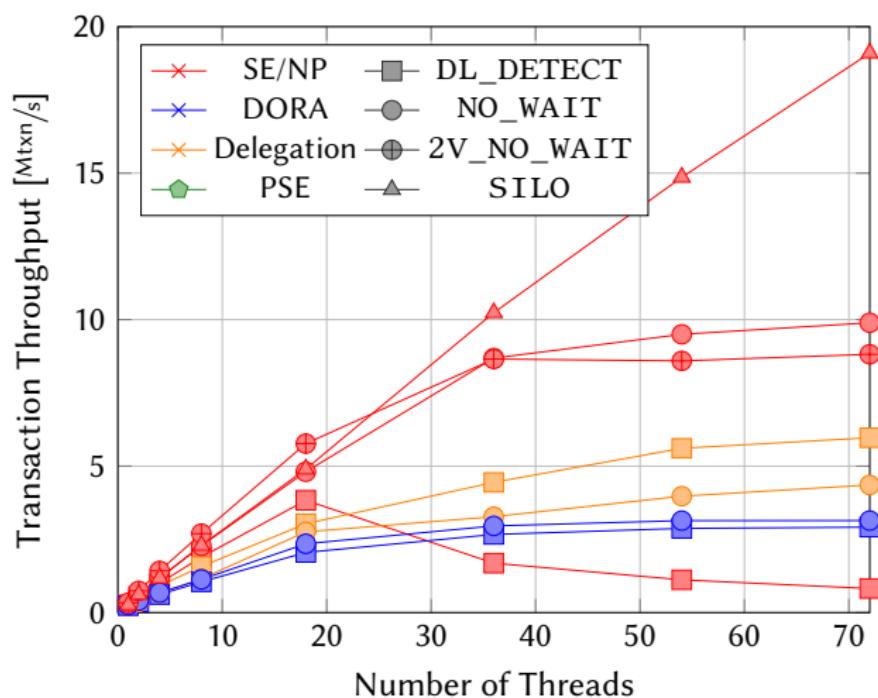
Observations

- ▶ atomics of ● outperform latches of ■
- ▶ scaling of ● limited by hardware cache coherence mechanism
- ▶ ▲/▲ suffer more from remote data accesses than ✕ suffers from cache coherence
- ▶ ○ and ● perform identical for read-only

Read-Only Microbenchmark



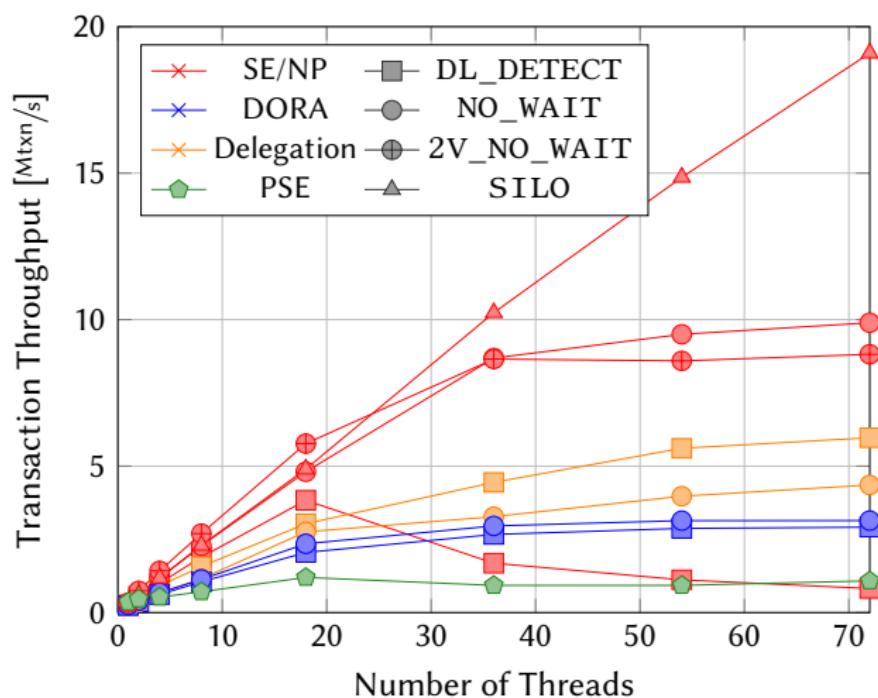
Read-Only Microbenchmark



Observations

- ▶ scaling of limited by hardware cache coherence mechanism
- ▶ / suffer more from remote data accesses than suffers from cache coherence
- ▶ and perform identical for read-only
- ▶ behaves identical for and for read-only

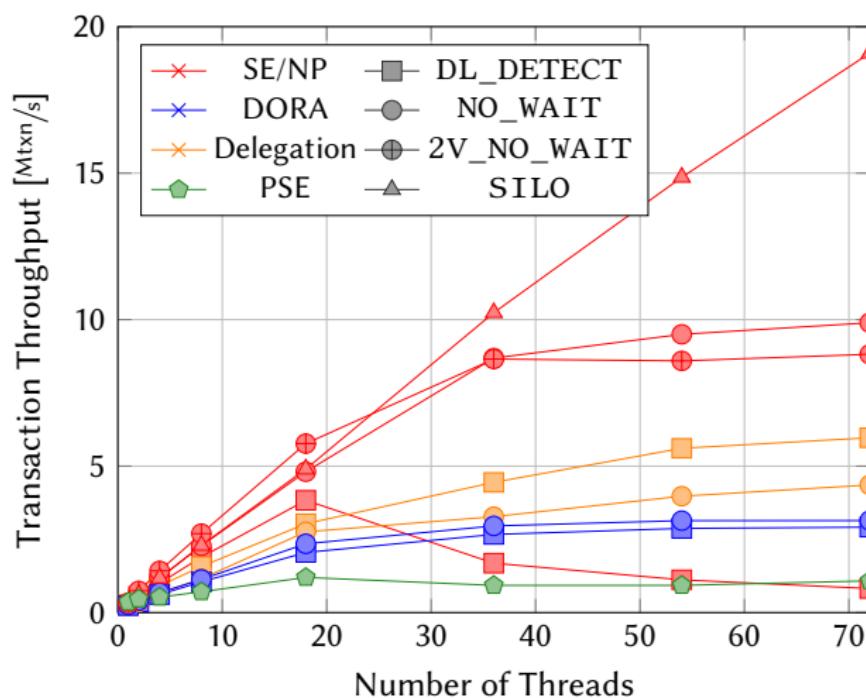
Read-Only Microbenchmark



Observations

- scaling of \bullet limited by hardware cache coherence mechanism
- \ast/\times suffer more from remote data accesses than \ast suffers from cache coherence
- \odot and \bullet perform identical for read-only
- \triangle behaves identical for \ast and \times for read-only

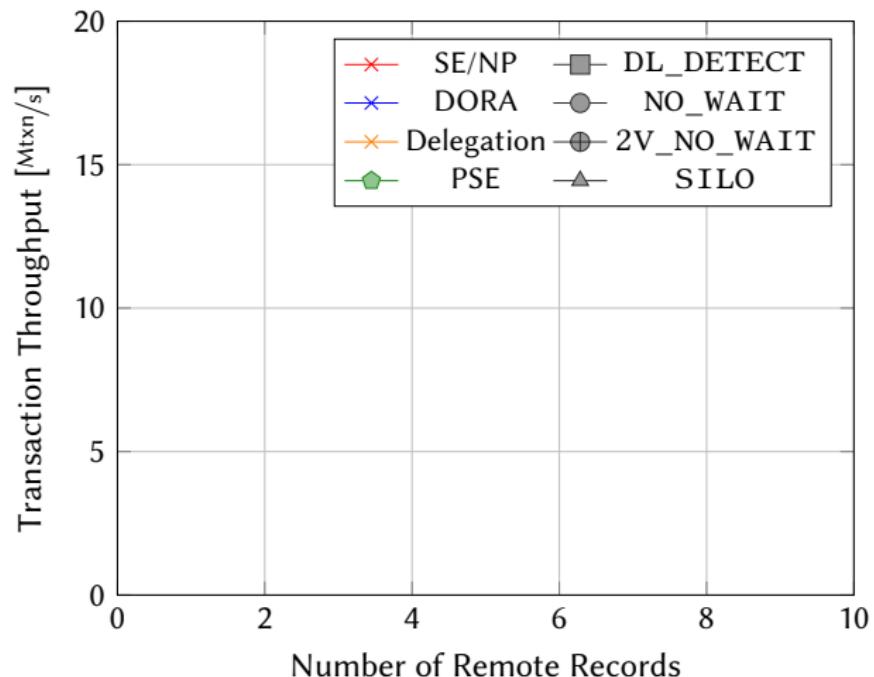
Read-Only Microbenchmark



Observations

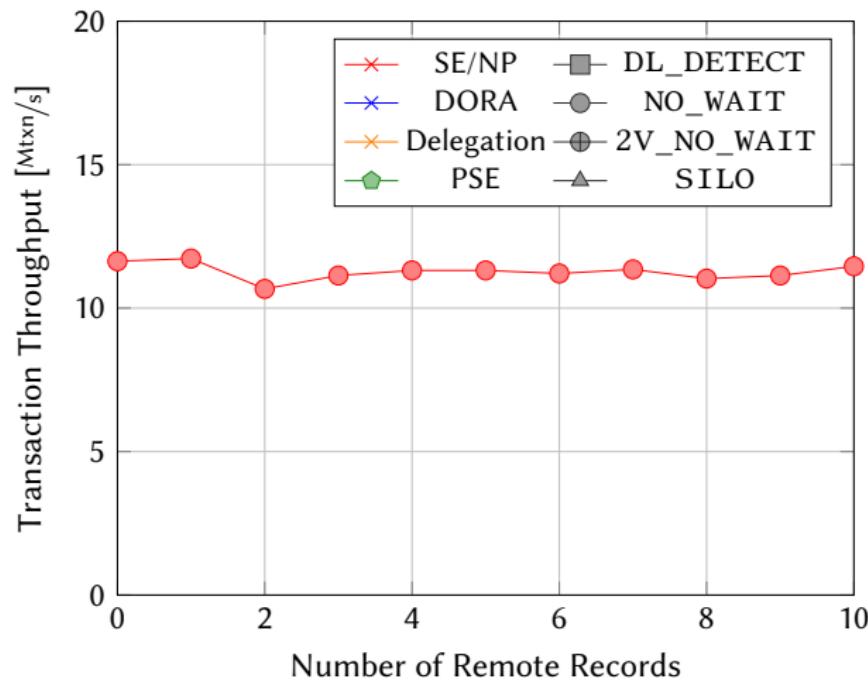
- / suffer more from remote data accesses than suffers from cache coherence
- and perform identical for read-only
- behaves identical for and for read-only
- coarse-grained partition locking of does not scale due to multi-site workload

Multi-Site Read-Only Microbenchmark



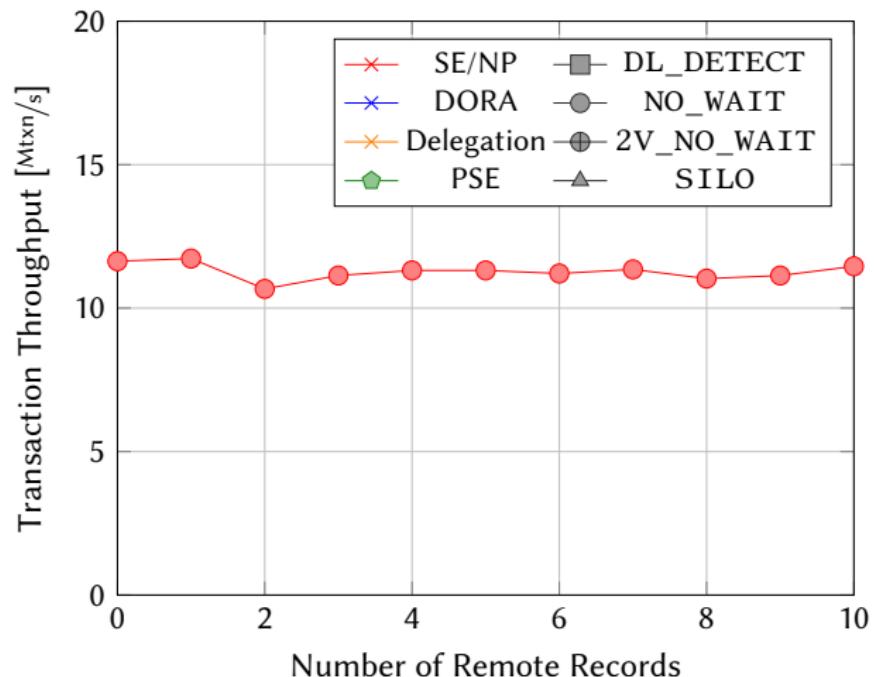
Observations

Multi-Site Read-Only Microbenchmark



Observations

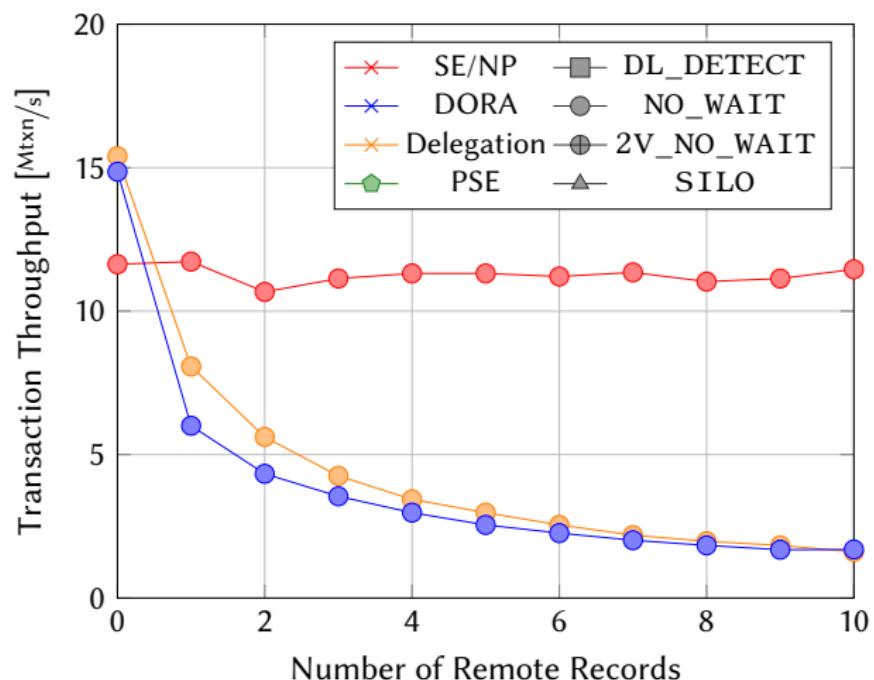
Multi-Site Read-Only Microbenchmark



Observations

- SE/NP does not know remote records

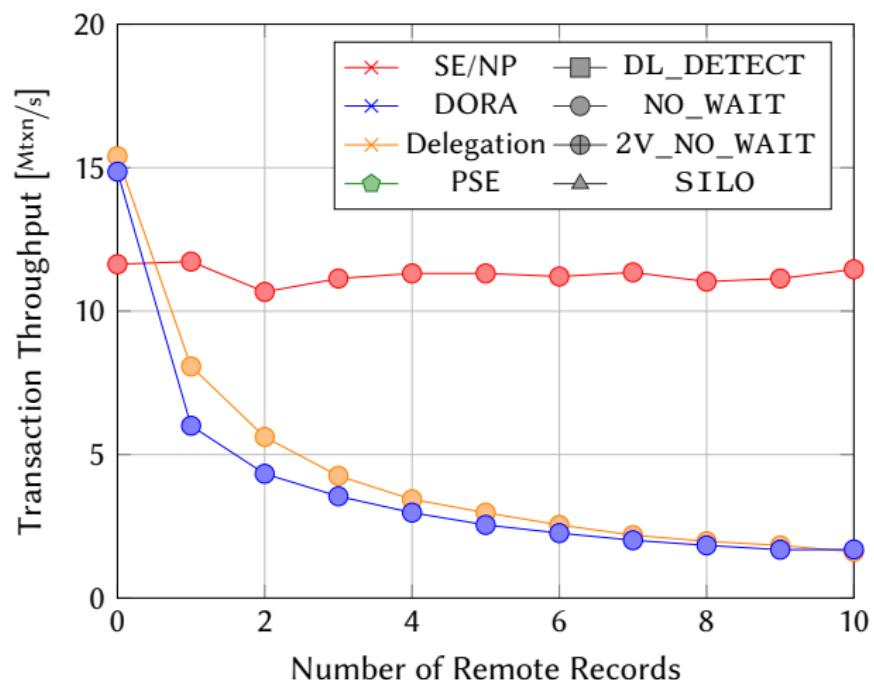
Multi-Site Read-Only Microbenchmark



Observations

- SE/NP does not know remote records

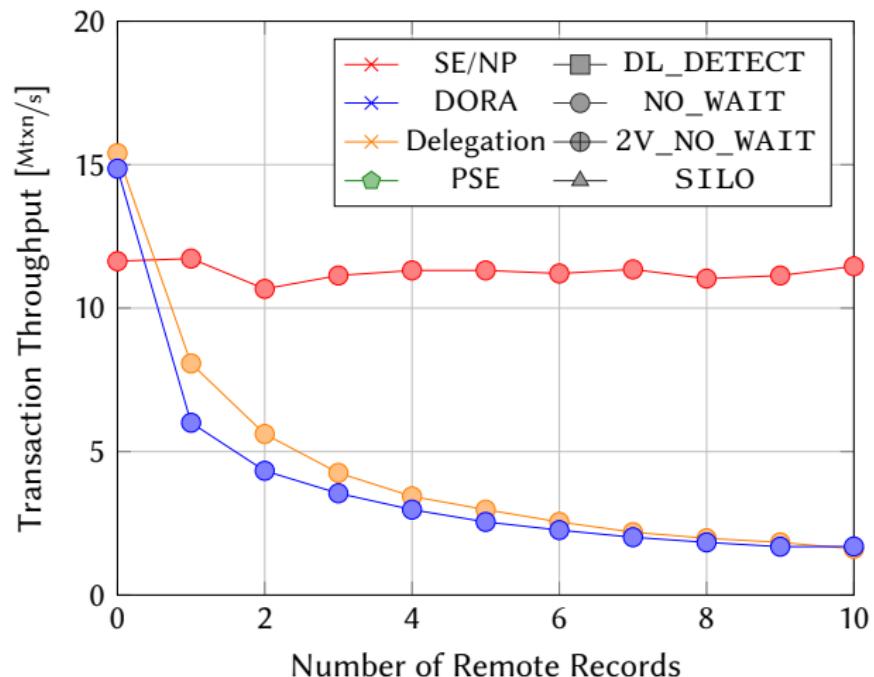
Multi-Site Read-Only Microbenchmark



Observations

- SE/NP does not know remote records
- $\text{DORA}/\text{Delegation}$ outperform SE/NP for 0 remote records due to lower cache coherence activity

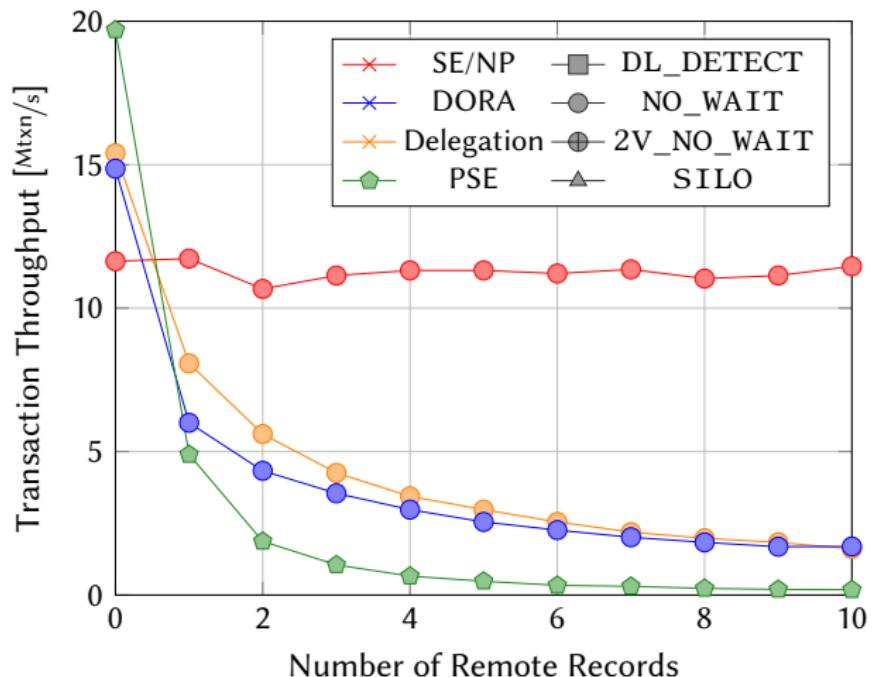
Multi-Site Read-Only Microbenchmark



Observations

- SE/NP does not know remote records
- DORA / Delegation outperform SE/NP for 0 remote records due to lower cache coherence activity
- DORA / Delegation suffer from remote data access overhead for > 0 remote records

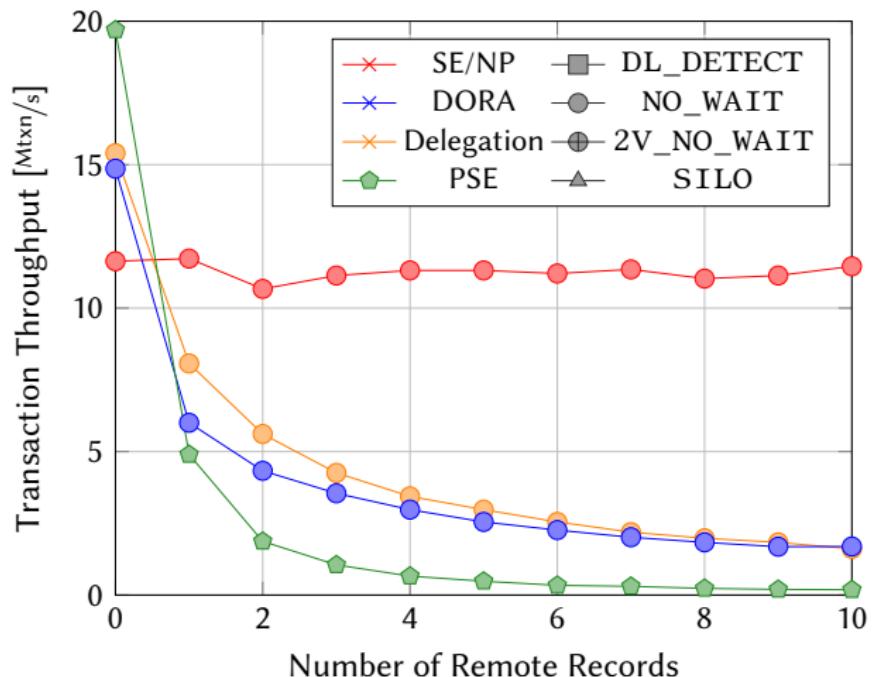
Multi-Site Read-Only Microbenchmark



Observations

- does not know remote records
- / outperform for 0 remote records due to lower cache coherence activity
- / suffer from remote data access overhead for > 0 remote records

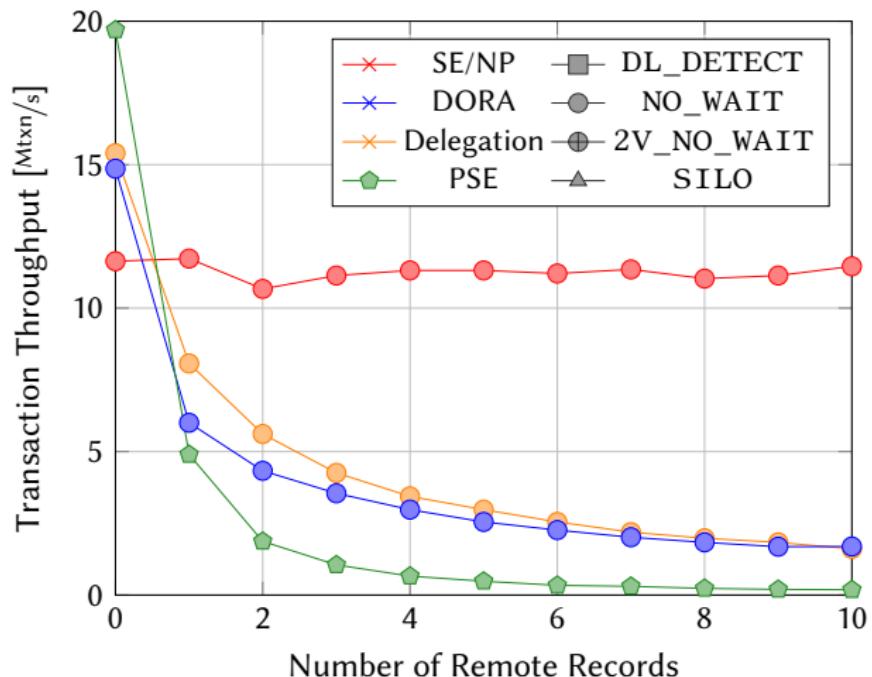
Multi-Site Read-Only Microbenchmark



Observations

- ▶ / outperform for 0 remote records due to lower cache coherence activity
- ▶ / suffer from remote data access overhead for > 0 remote records
- ▶ coarse-grained partition locking of imposes nearly no overhead for suitable partitioning

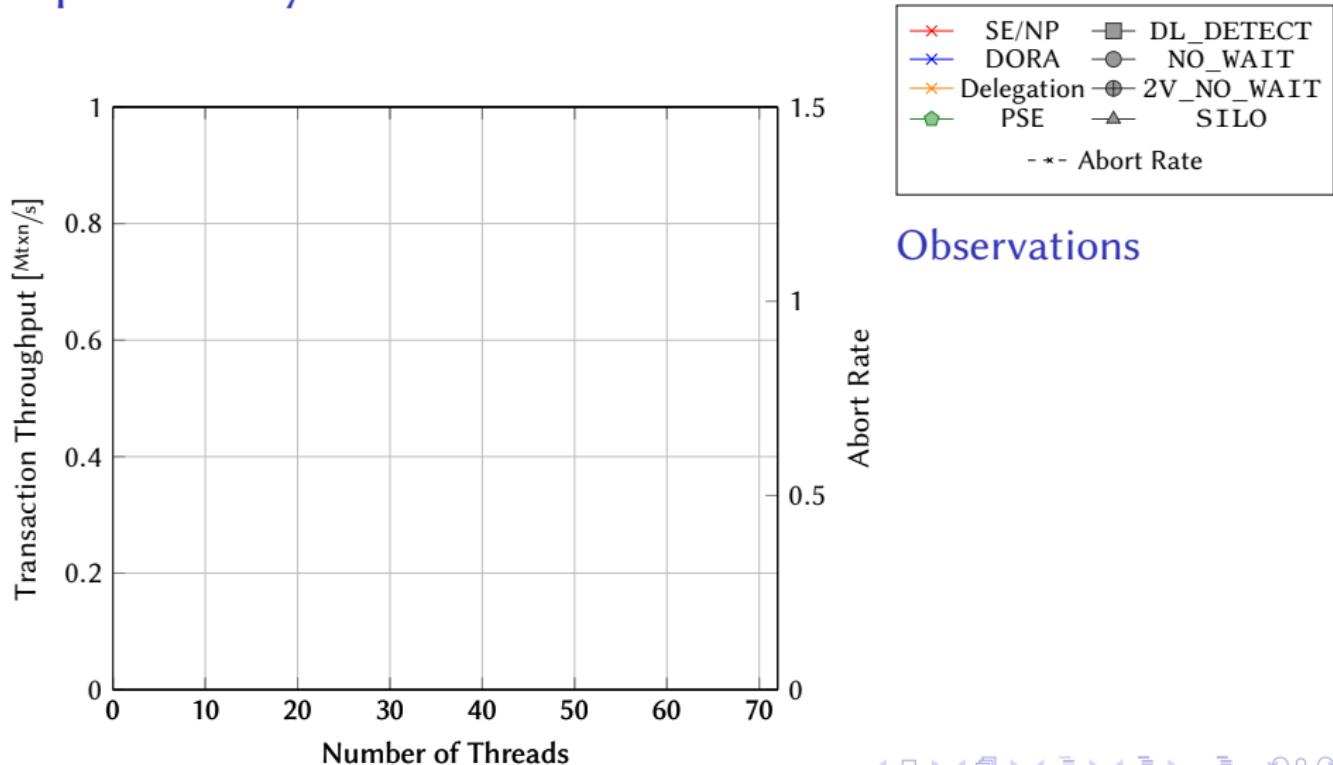
Multi-Site Read-Only Microbenchmark



Observations

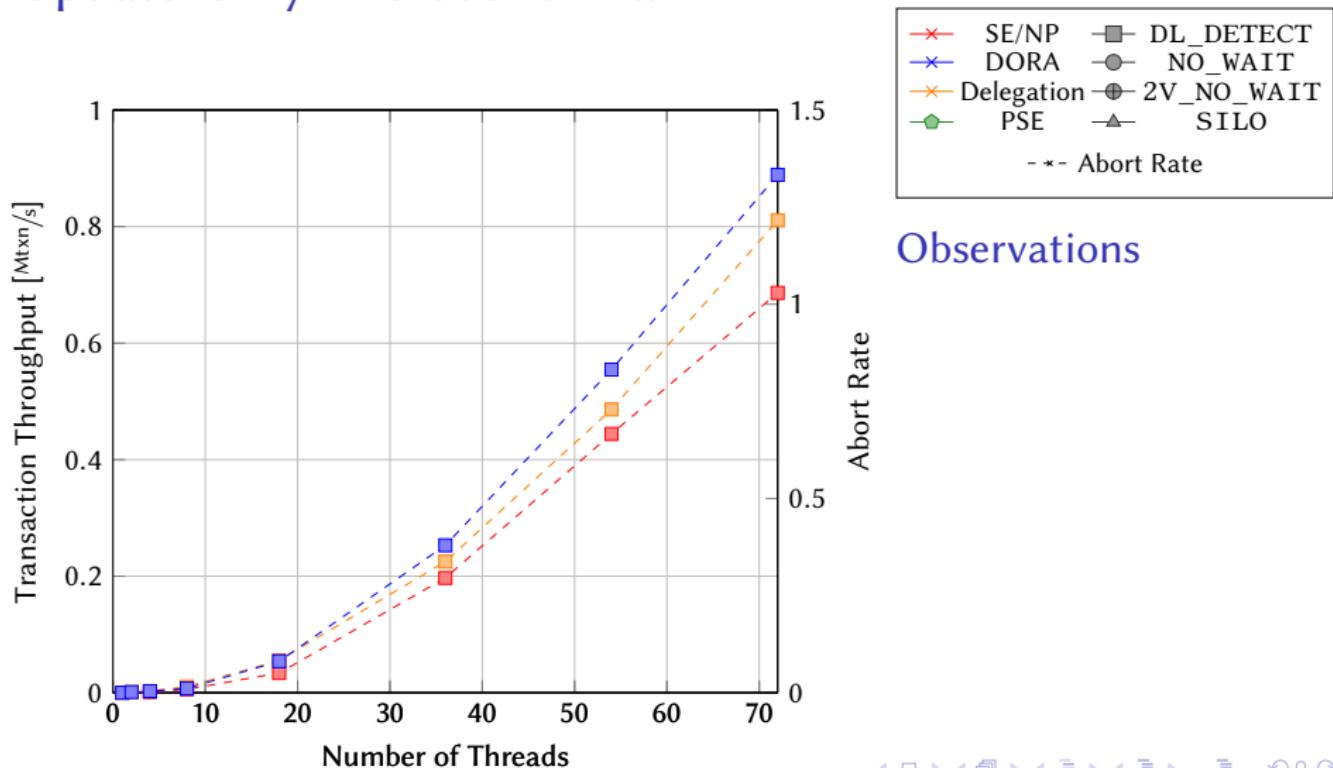
- / suffer from remote data access overhead for > 0 remote records
- coarse-grained partition locking of imposes nearly no overhead for suitable partitioning
- coarse-grained partition locking of limits the concurrency drastically for > 0 remote records

Update-Only Microbenchmark



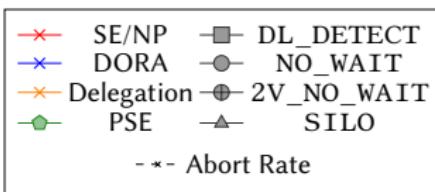
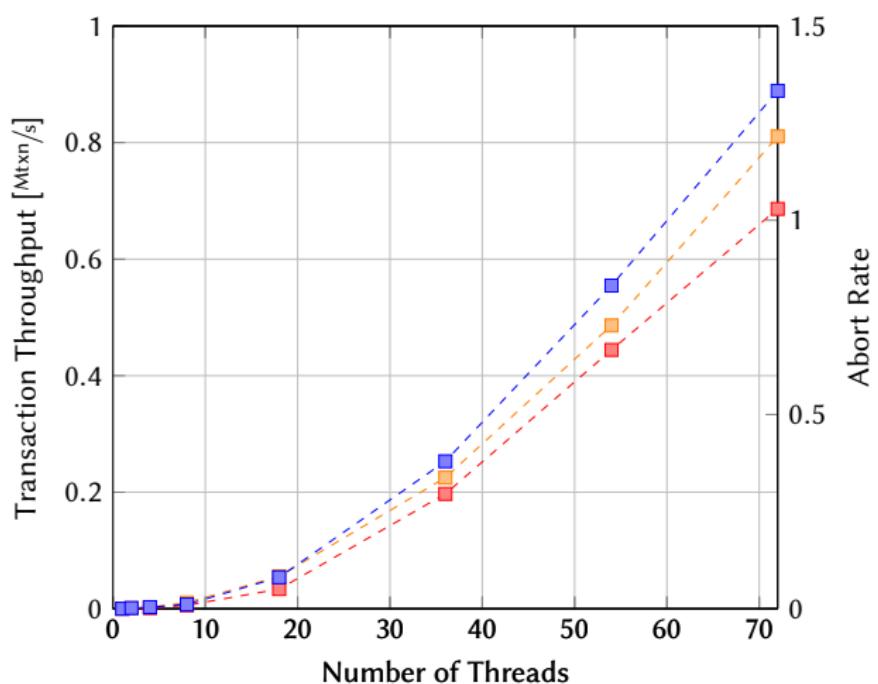
Observations

Update-Only Microbenchmark



Observations

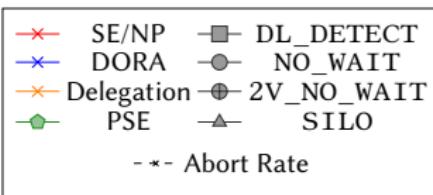
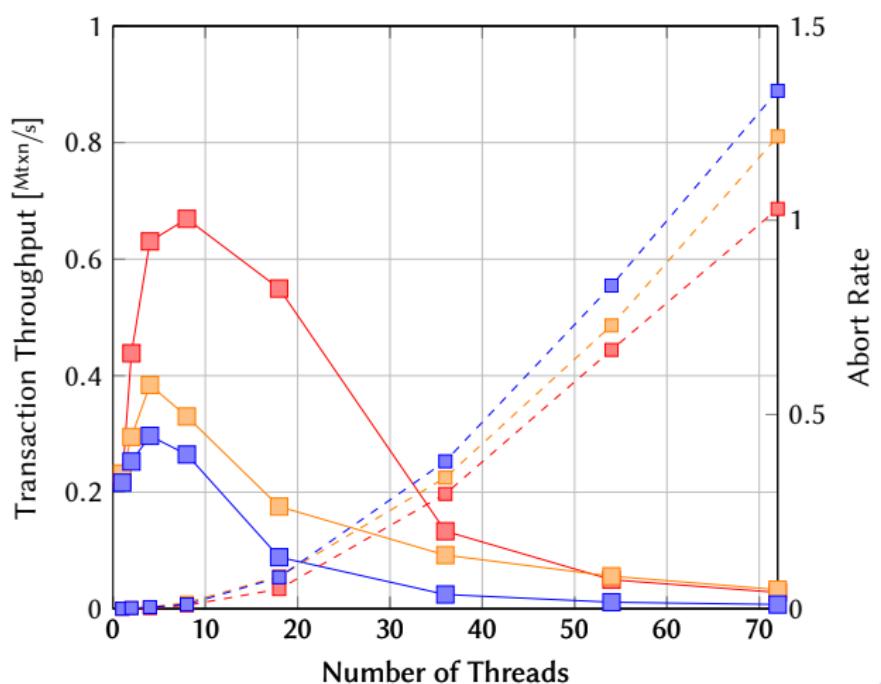
Update-Only Microbenchmark



Observations

- abort rate scales for \square due to higher contention
→ deadlocks

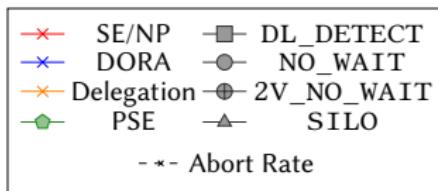
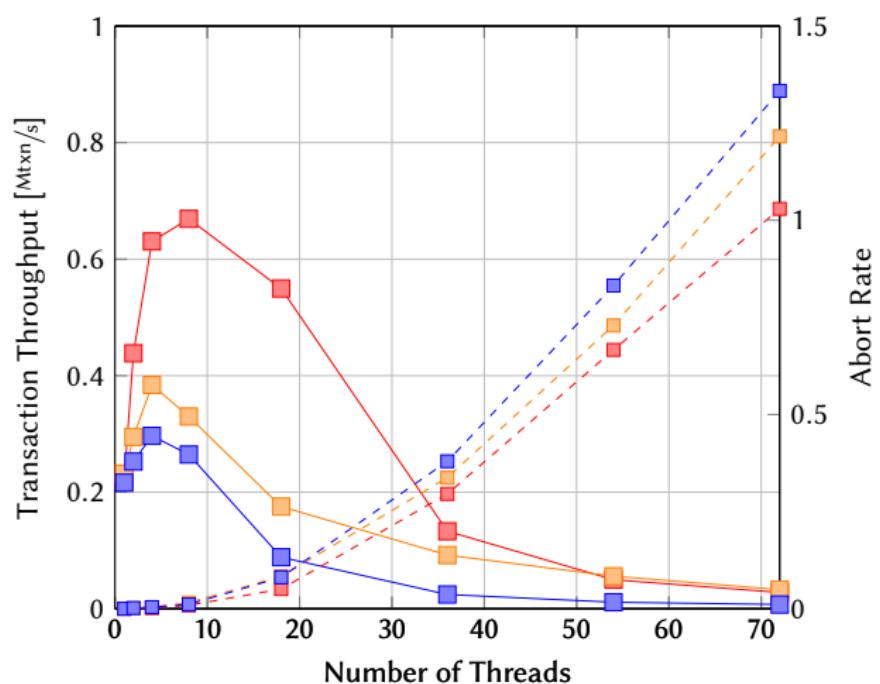
Update-Only Microbenchmark



Observations

- abort rate scales for **DL_DETECT** due to higher contention → deadlocks

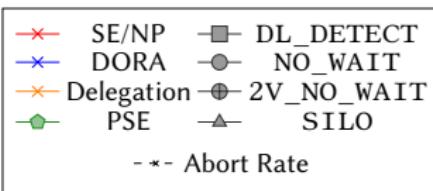
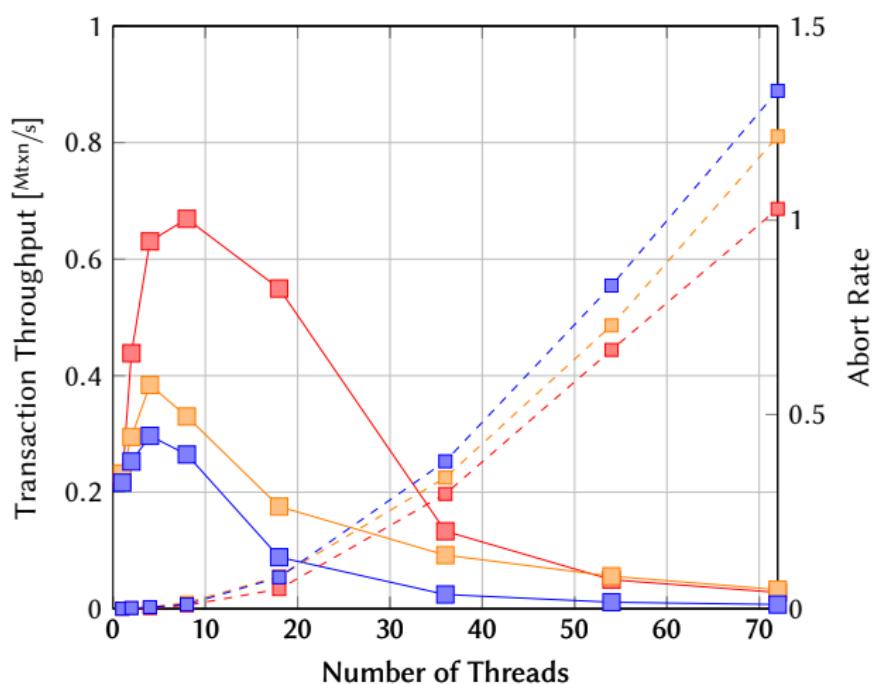
Update-Only Microbenchmark



Observations

- ▶ abort rate scales for **DL_DETECT** due to higher contention → deadlocks
- ▶ **[Mtxn/s]** suffers from aborts and lock thrashing

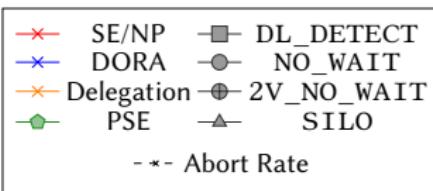
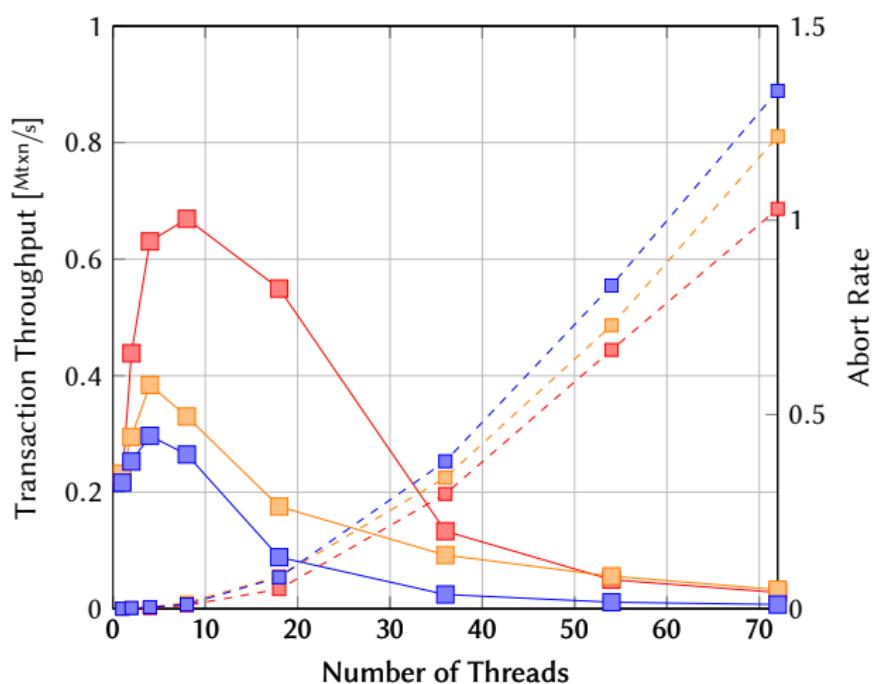
Update-Only Microbenchmark



Observations

- abort rate scales for **DL_DETECT** due to higher contention → deadlocks
- **[Mtxn/s]** suffers from aborts and lock thrashing
- **DORA**/**Delegation** suffer more from remote data access overhead

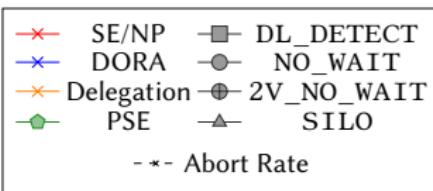
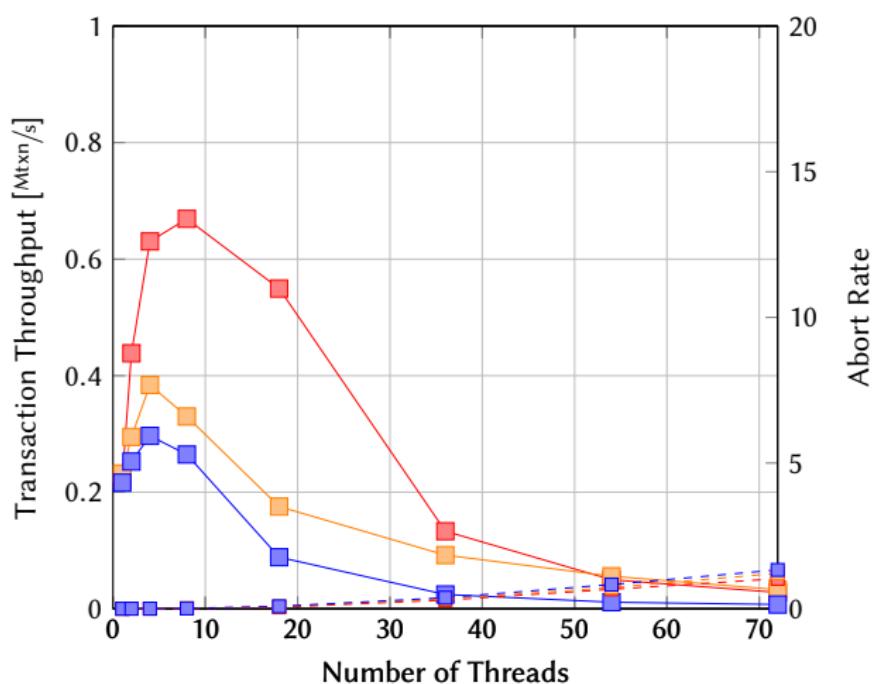
Update-Only Microbenchmark



Observations

- $[Mtxn/s]$ suffers from aborts and lock thrashing
- \times/\diamond suffer more from remote data access overhead
- latch contention is not the bottleneck → \ast can outperform \times/\diamond

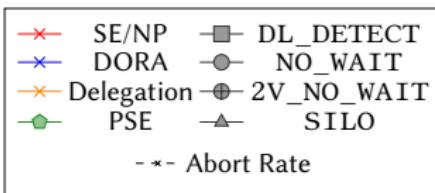
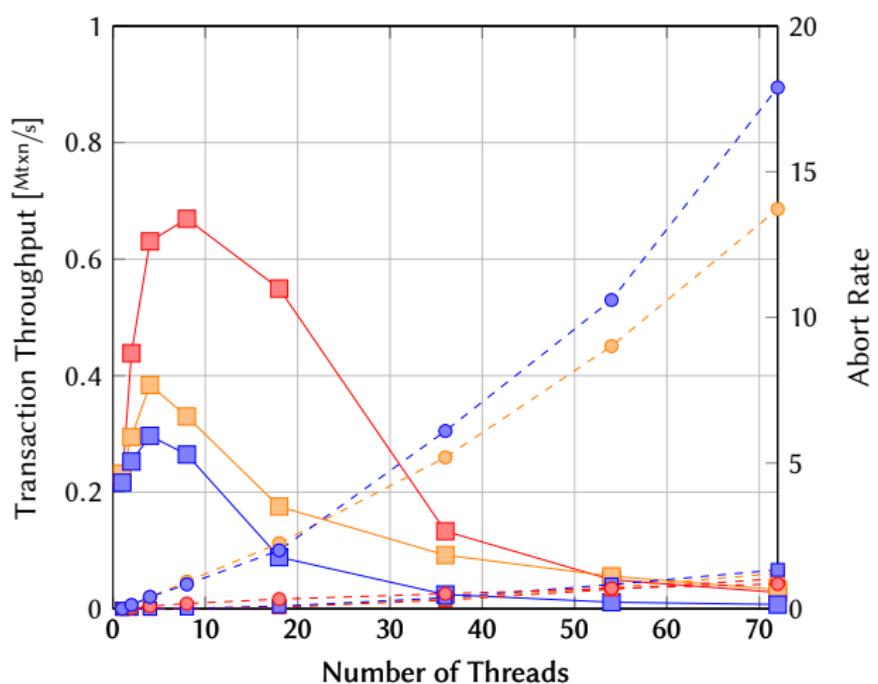
Update-Only Microbenchmark



Observations

- $[Mtxn/s]$ suffers from aborts and lock thrashing
- \times/\ast suffer more from remote data access overhead
- latch contention is not the bottleneck → \ast can outperform \times/\ast

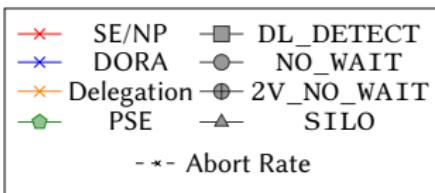
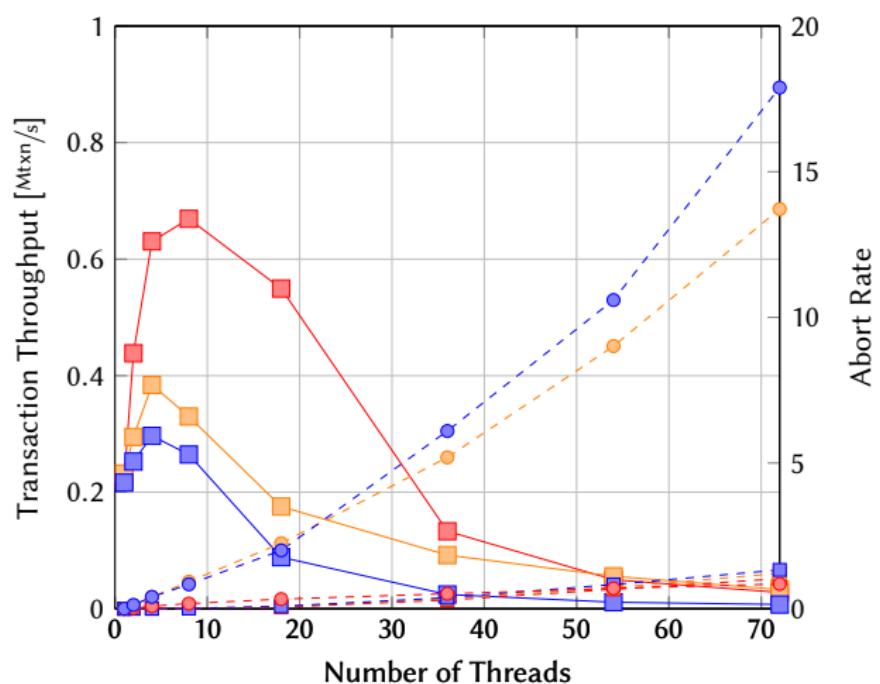
Update-Only Microbenchmark



Observations

- ▶ $[Mtxn/s]$ suffers from aborts and lock thrashing
- ▶ \times/\ast suffer more from remote data access overhead
- ▶ latch contention is not the bottleneck → \ast can outperform \times/\ast

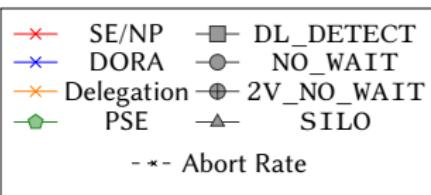
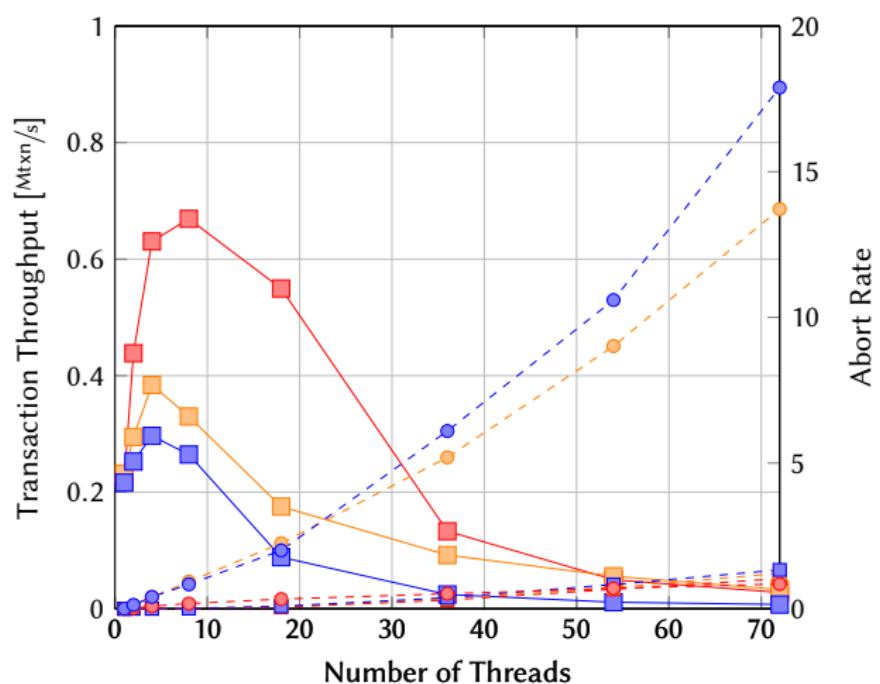
Update-Only Microbenchmark



Observations

- ▶ `*/*` suffer more from remote data access overhead
- ▶ latch contention is not the bottleneck → `*` can outperform `*/*`
- ▶ lock thrashing does not cause many aborts for `●` with `*` for few threads

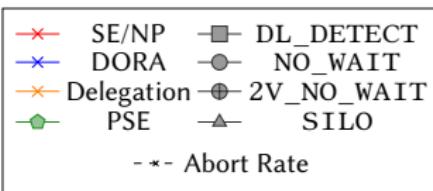
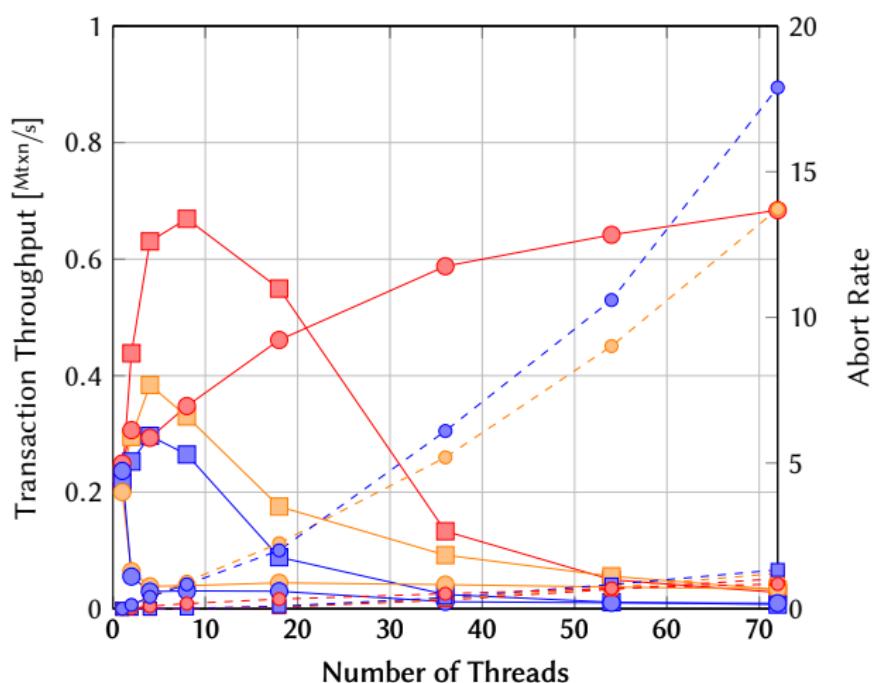
Update-Only Microbenchmark



Observations

- lock thrashing does not cause many aborts for with for few threads
- lock thrashing caused by long commit latencies caused by overloaded (hot) partitions causes many aborts for /

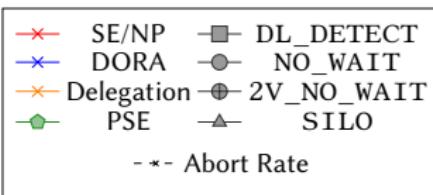
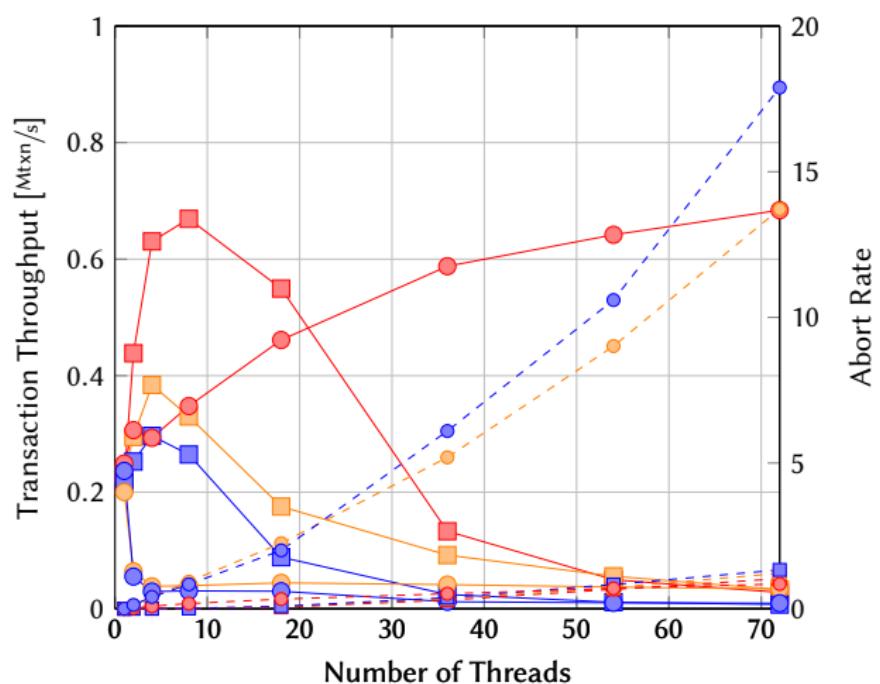
Update-Only Microbenchmark



Observations

- lock thrashing does not cause many aborts for \bullet with \ast for few threads
- lock thrashing caused by long commit latencies caused by overloaded (hot) partitions causes many aborts for \ast/\times

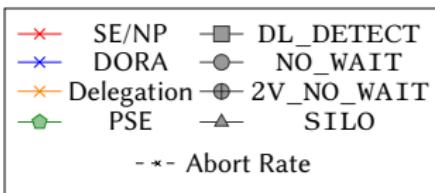
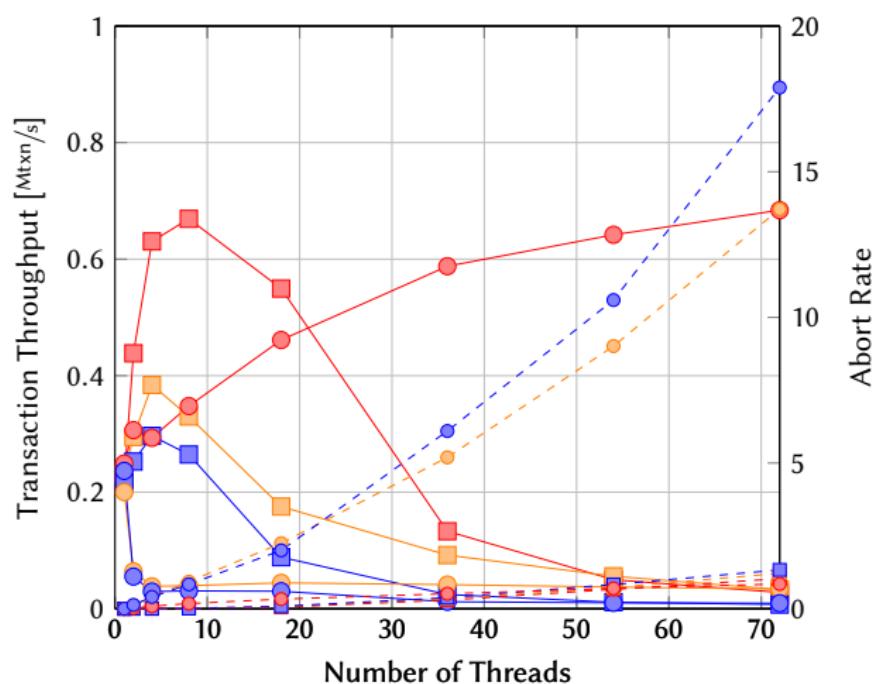
Update-Only Microbenchmark



Observations

- lock thrashing does not cause many aborts for \bullet with \ast for few threads
- lock thrashing caused by long commit latencies caused by overloaded (hot) partitions causes many aborts for \times/\ast
- the aborts are the major bottleneck for \circ

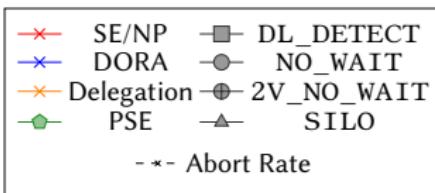
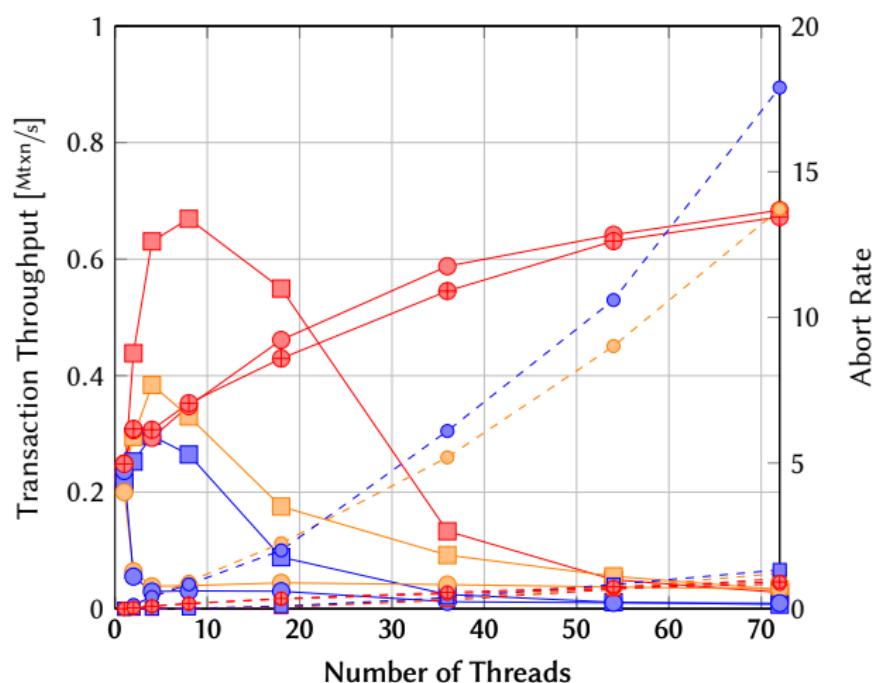
Update-Only Microbenchmark



Observations

- lock thrashing caused by long commit latencies caused by overloaded (hot) partitions causes many aborts for \ast/\ast
- the aborts are the major bottleneck for \bullet
- latching overhead and deadlocks $\rightarrow \bullet$ outperforms \blacksquare for \ast

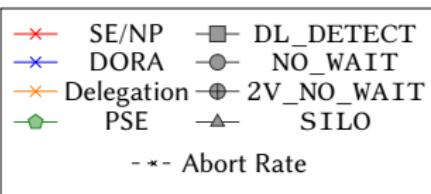
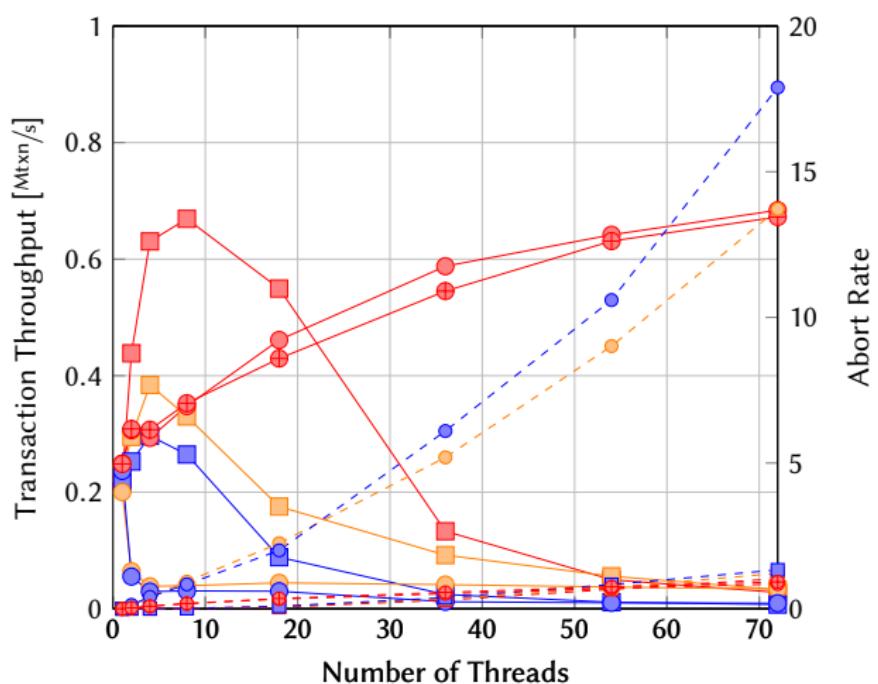
Update-Only Microbenchmark



Observations

- lock thrashing caused by long commit latencies caused by overloaded (hot) partitions causes many aborts for \times/\ast
- the aborts are the major bottleneck for \bullet
- latching overhead and deadlocks $\rightarrow \bullet$ outperforms \blacksquare for \ast

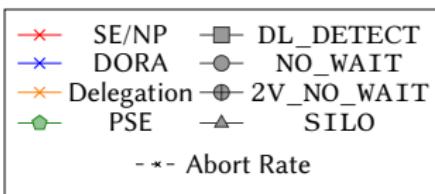
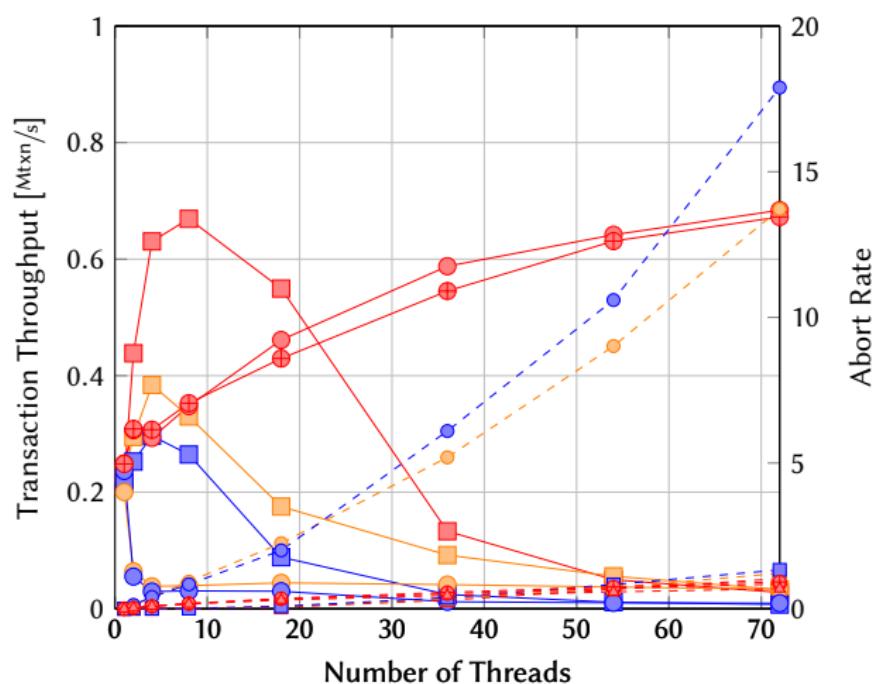
Update-Only Microbenchmark



Observations

- ▶ the aborts are the major bottleneck for
- ▶ latching overhead and deadlocks → outperforms for
- ▶ for update-only and behave identical

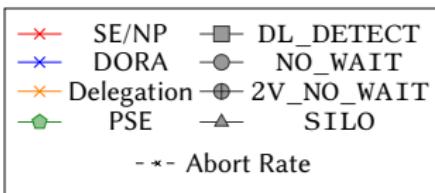
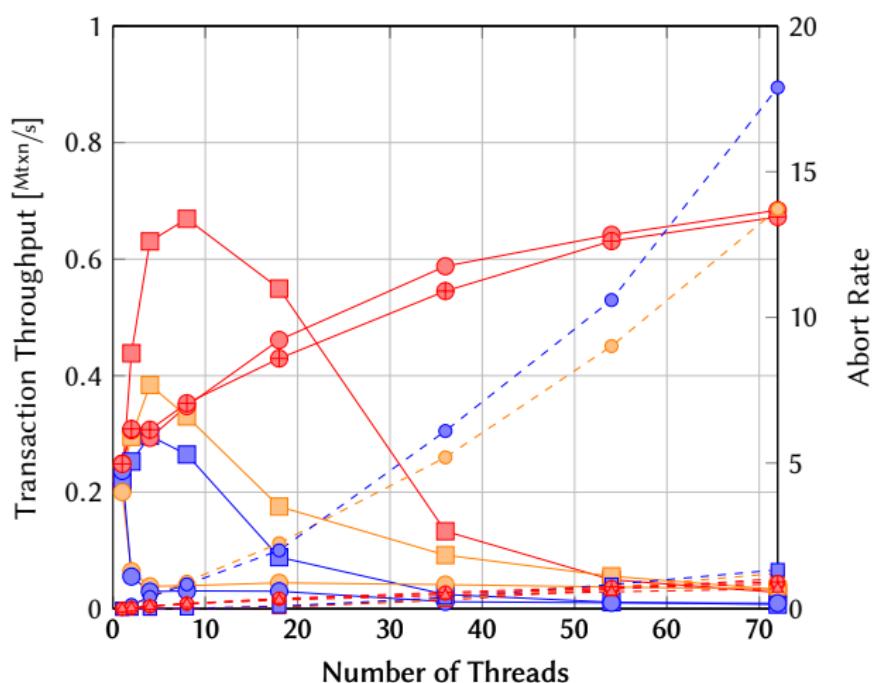
Update-Only Microbenchmark



Observations

- the aborts are the major bottleneck for \bullet
- latching overhead and deadlocks $\rightarrow \bullet$ outperforms \blacksquare for \times
- for update-only \bullet and \circ behave identical

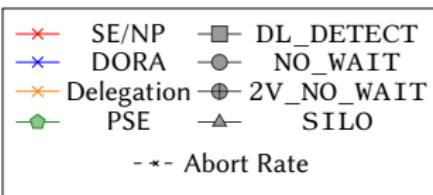
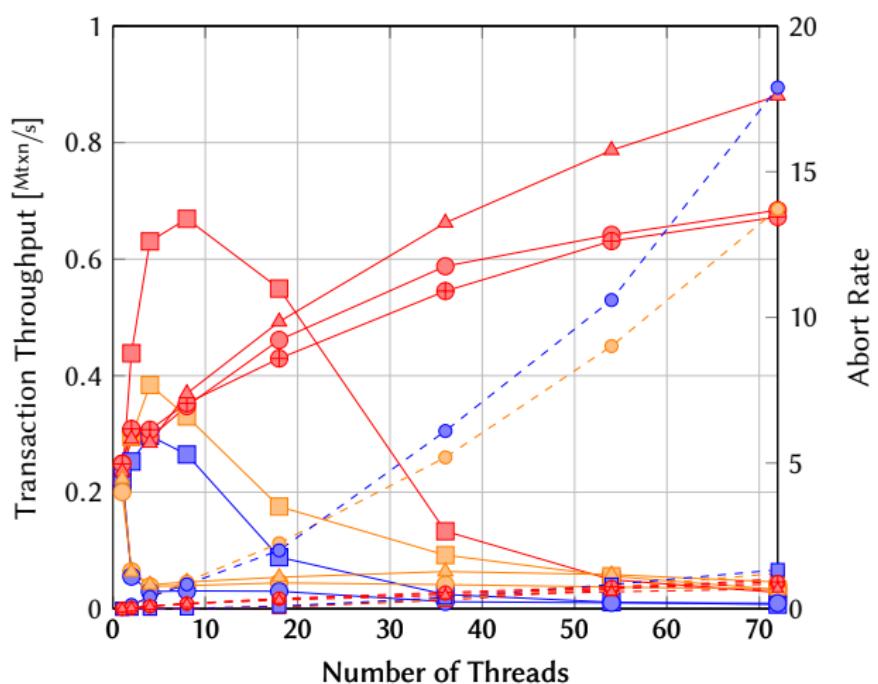
Update-Only Microbenchmark



Observations

- the aborts are the major bottleneck for **NO_WAIT**
- latching overhead and deadlocks → **2V_NO_WAIT** outperforms **NO_WAIT** for **SE/NP**
- for update-only **NO_WAIT** and **2V_NO_WAIT** behave identical
- SILO** causes less aborts than **DL_DETECT** due its optimism

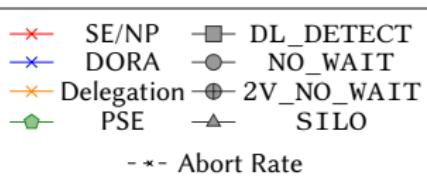
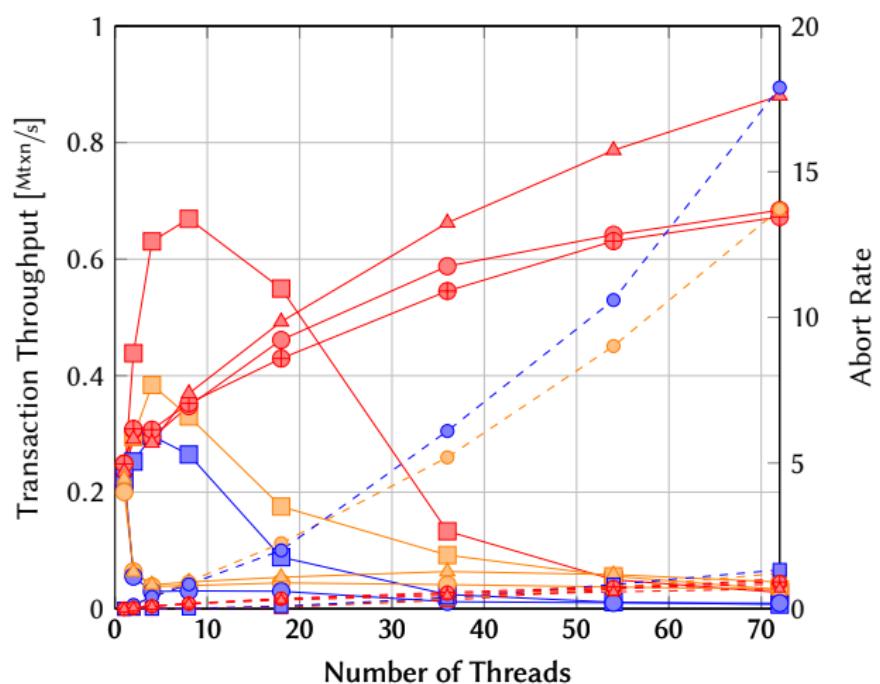
Update-Only Microbenchmark



Observations

- the aborts are the major bottleneck for \bullet
- latching overhead and deadlocks $\rightarrow \bullet$ outperforms \blacksquare for \times
- for update-only \bullet and \circ behave identical
- \blacktriangle causes less aborts than \blacksquare due its optimism

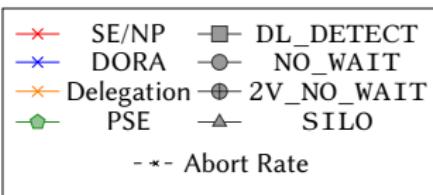
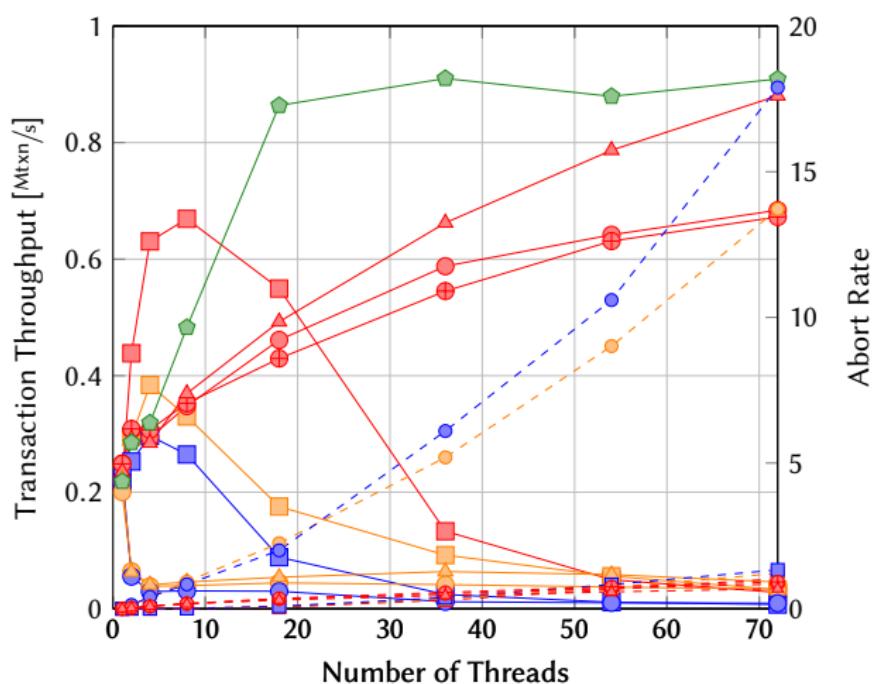
Update-Only Microbenchmark



Observations

- for update-only \bullet and \circ behave identical
- \blacktriangle causes less aborts than \blacksquare due its optimism
- long commit latencies of \times cause high update contention and therefore many aborts (low $[Mtxn/s]$) for \blacktriangle

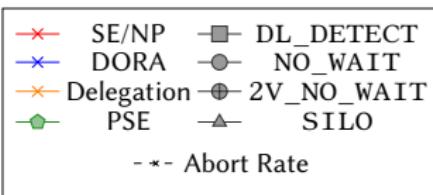
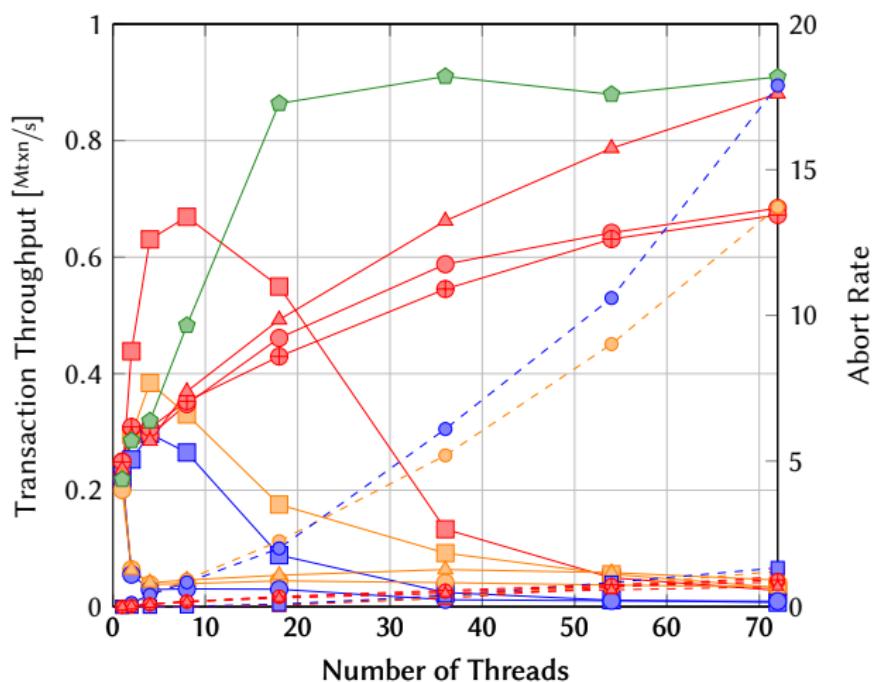
Update-Only Microbenchmark



Observations

- for update-only \bullet and \circ behave identical
- \blacktriangle causes less aborts than \blacksquare due its optimism
- long commit latencies of \times cause high update contention and therefore many aborts (low $[Mtxn/s]$) for \blacktriangle

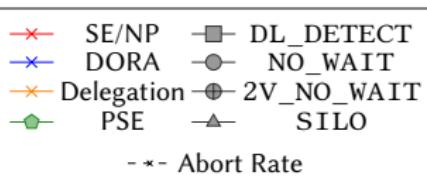
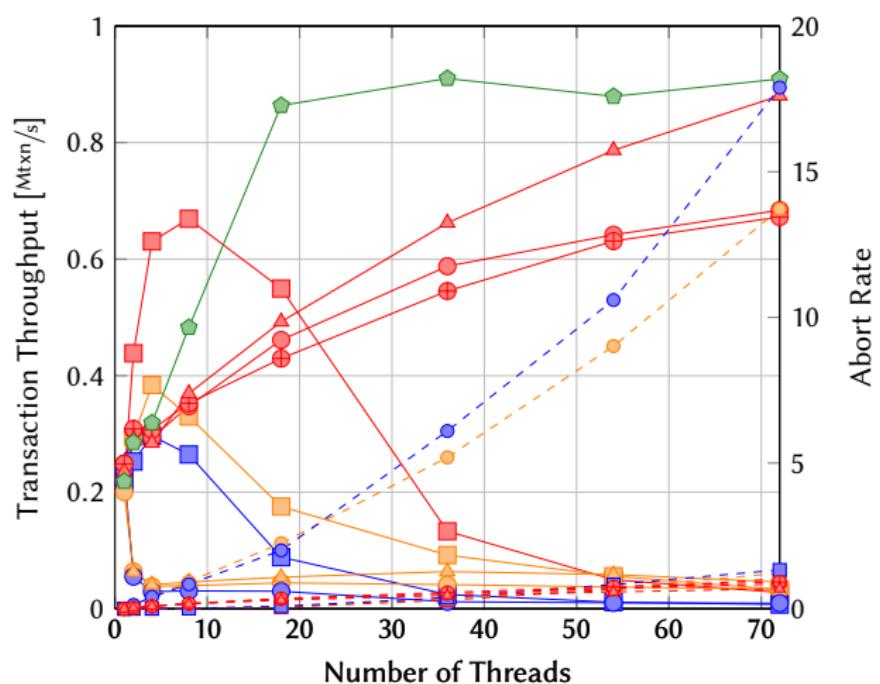
Update-Only Microbenchmark



Observations

- ▲ causes less aborts than ■ due to its optimism
- long commit latencies of * cause high update contention and therefore many aborts (low [Mtxn/s] for ▲)
- coarse-grained partition locking of ♦ is identical for read and update

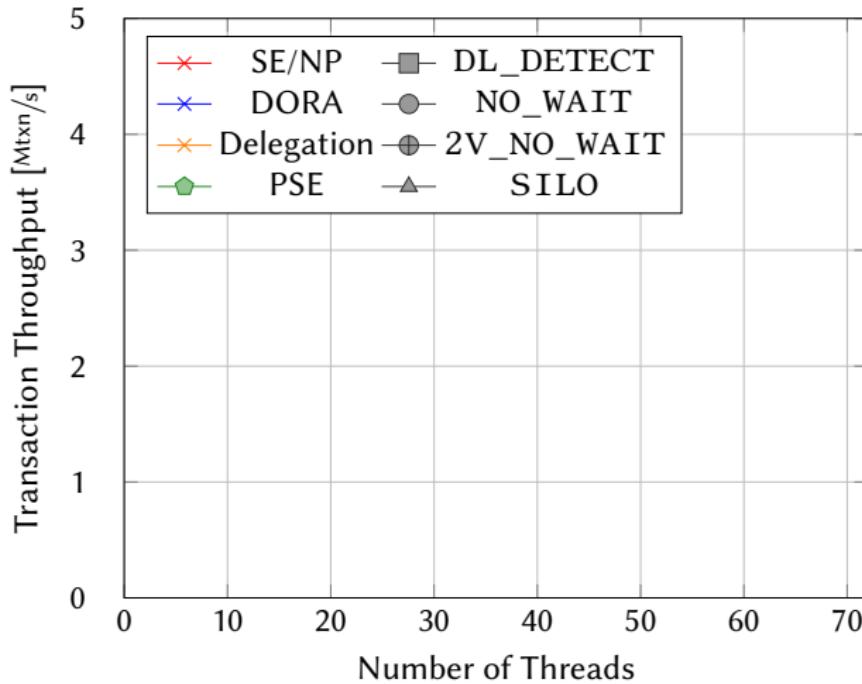
Update-Only Microbenchmark



Observations

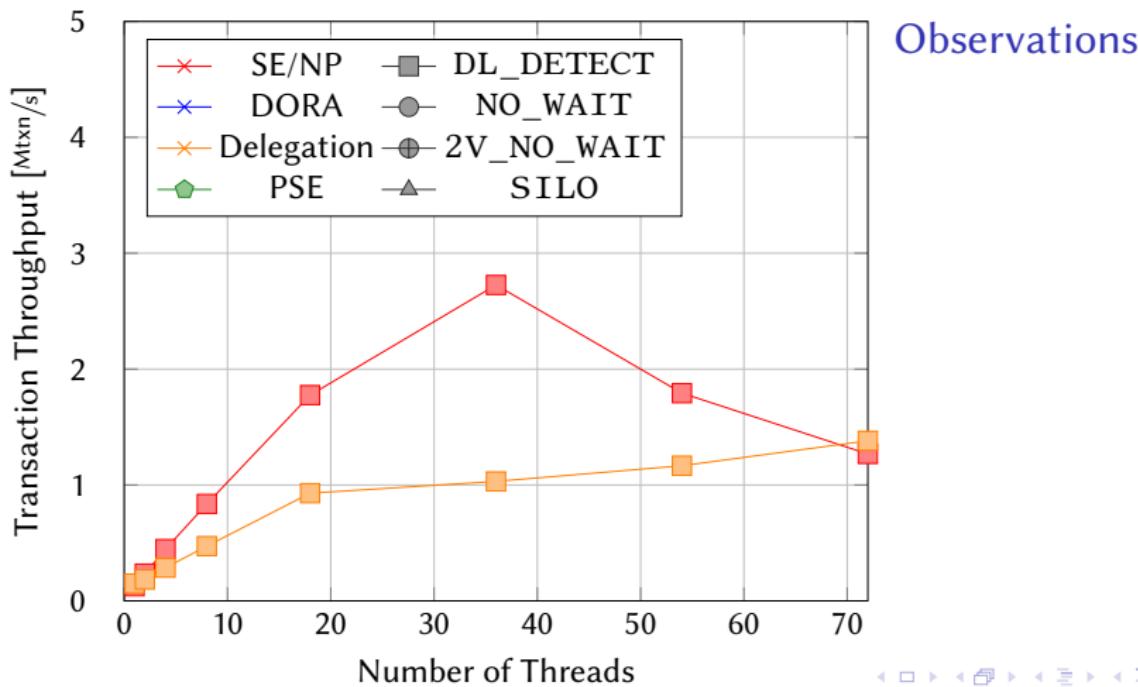
- coarse-grained partition locking of PSE is identical for read and update
- PSE scales according to the number of hot records (each transaction locks 2 of 16 (hot) partitions)

Read-Only YCSB ($\Theta = 0.8$)

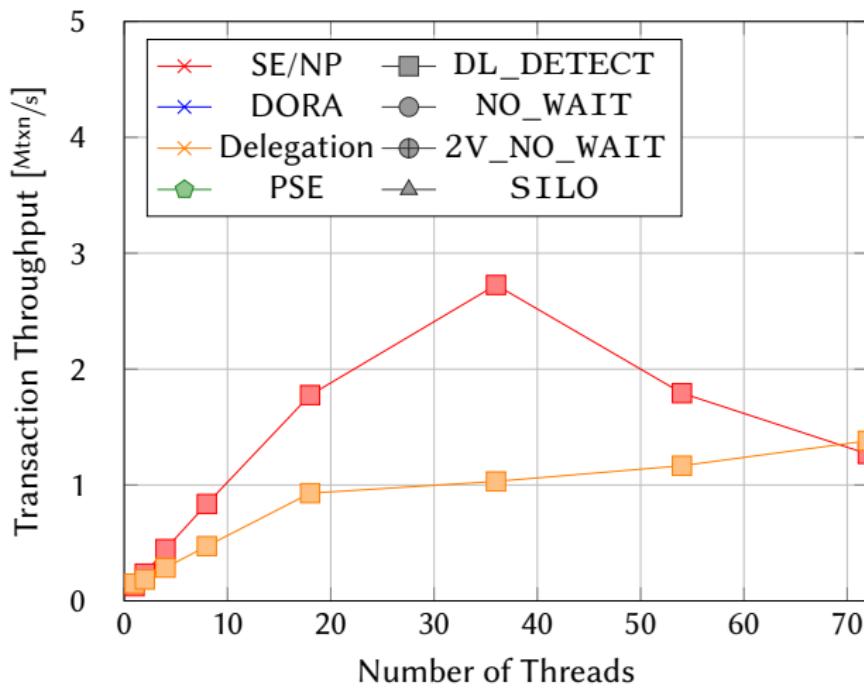


Observations

Read-Only YCSB ($\Theta = 0.8$)



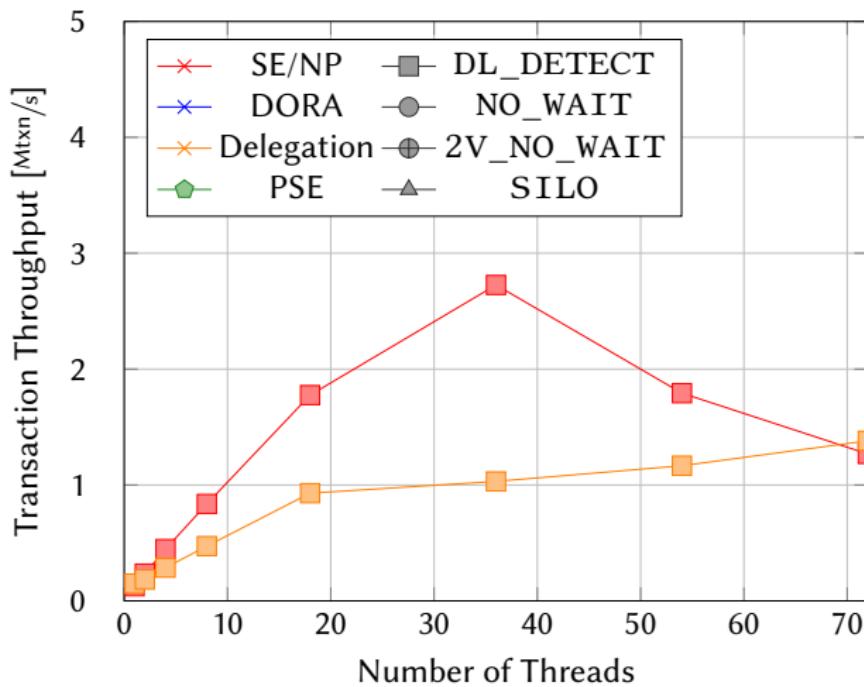
Read-Only YCSB ($\Theta = 0.8$)



Observations

- SE/NP scales well with DL_DETECT until the latch contention becomes a bottleneck

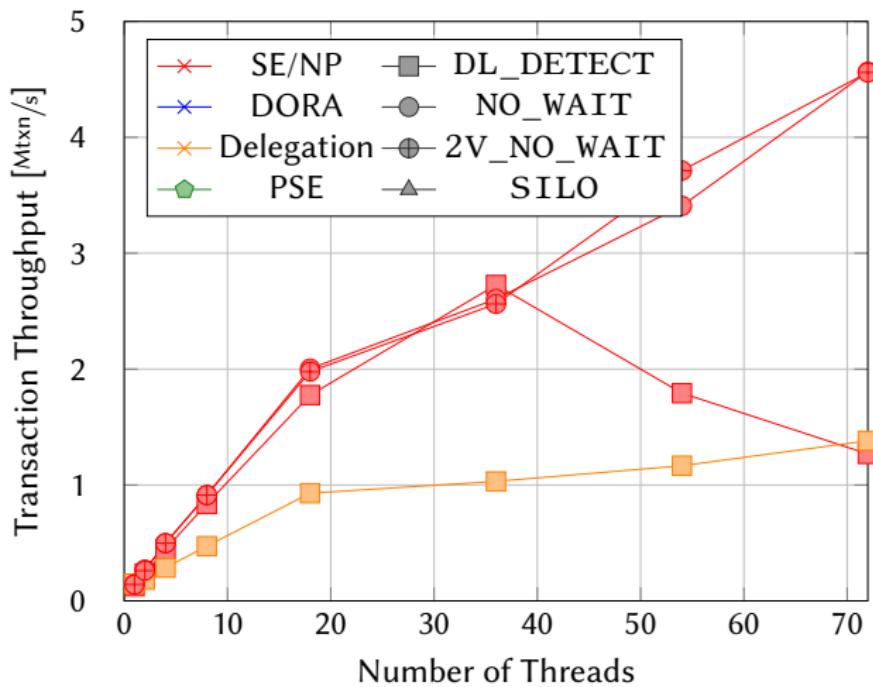
Read-Only YCSB ($\Theta = 0.8$)



Observations

- SE/NP scales well with until the latch contention becomes a bottleneck
- Delegation (and DORA) does not scale well due to partition-unfriendly Zipfian access distribution

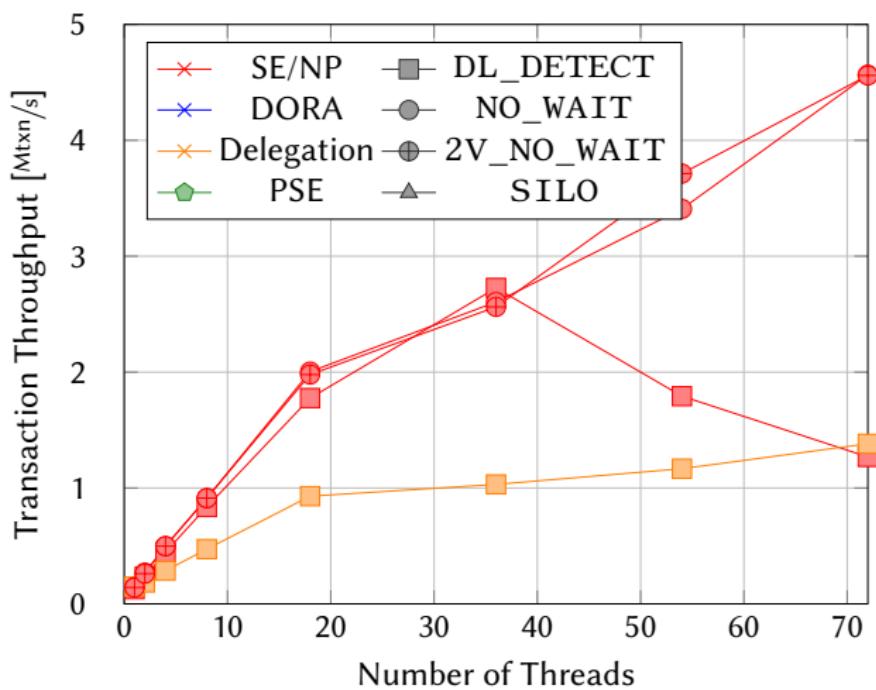
Read-Only YCSB ($\Theta = 0.8$)



Observations

- \ast scales well with \blacksquare until the latch contention becomes a bottleneck
- $\color{orange}\diamond$ (and $\color{blue}\times$) does not scale well due to partition-unfriendly Zipfian access distribution

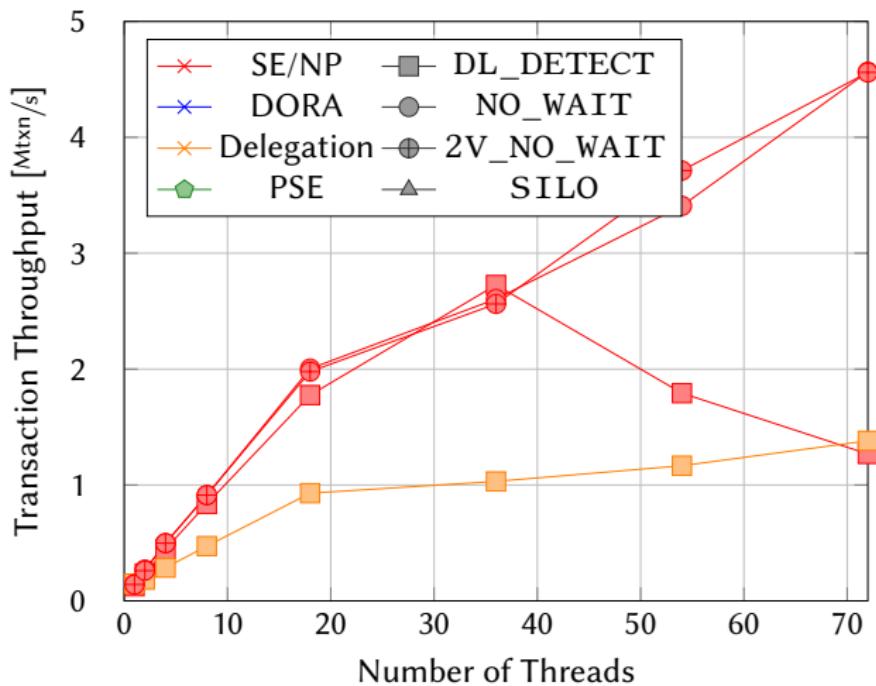
Read-Only YCSB ($\Theta = 0.8$)



Observations

- SE/NP scales well with $\Theta = 0.8$ until the latch contention becomes a bottleneck
- Delegation (and DORA) does not scale well due to partition-unfriendly Zipfian access distribution
- atomics of NO_WAIT scale better than latches of DL_DETECT

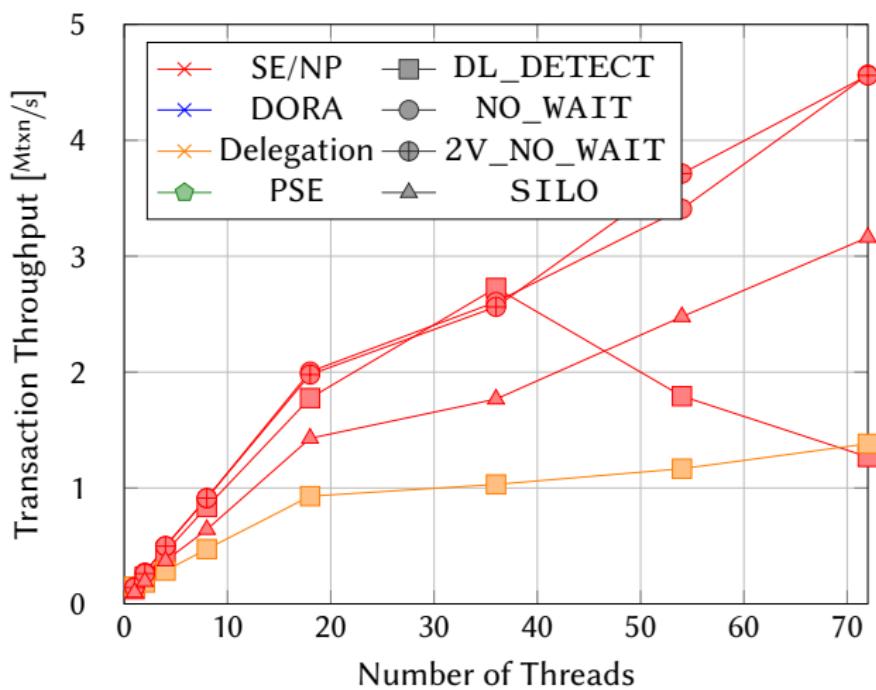
Read-Only YCSB ($\Theta = 0.8$)



Observations

- \ast scales well with \blacksquare until the latch contention becomes a bottleneck
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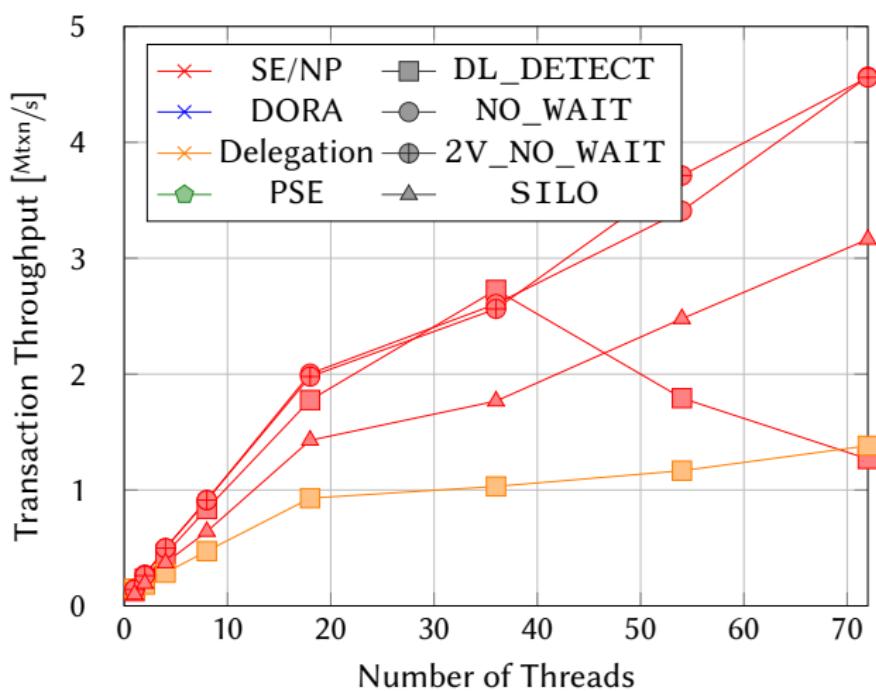
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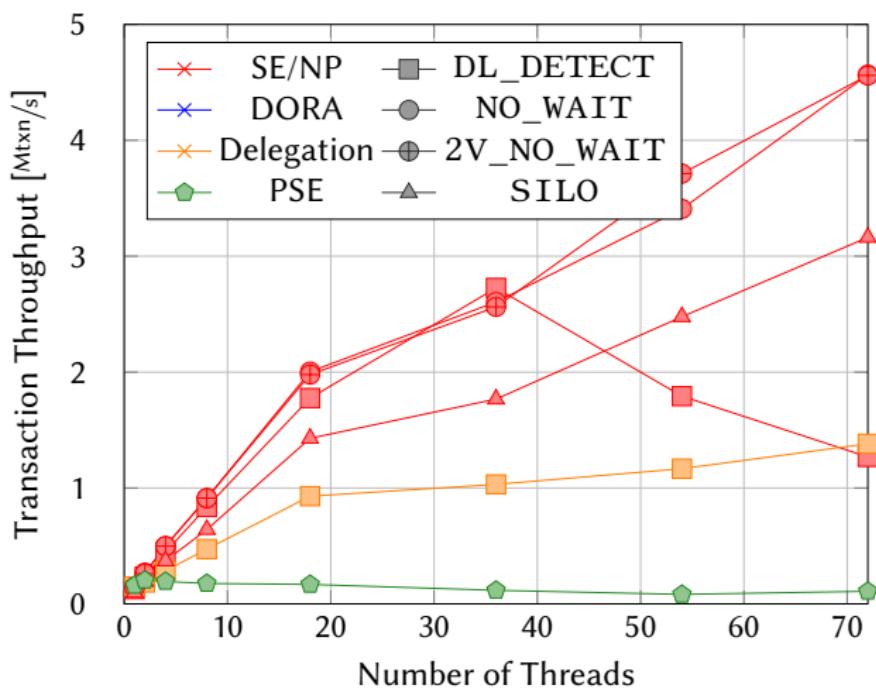
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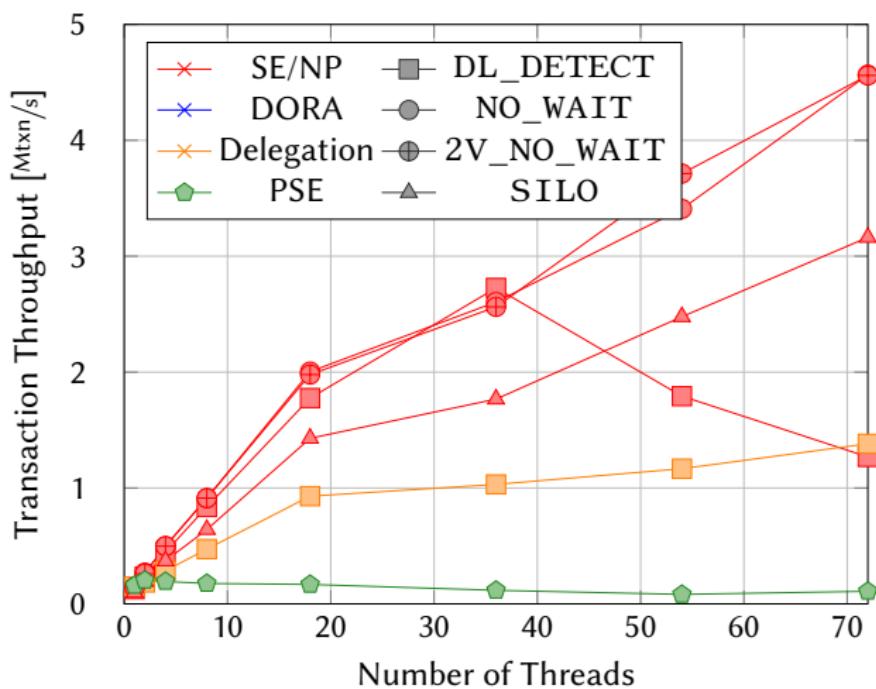
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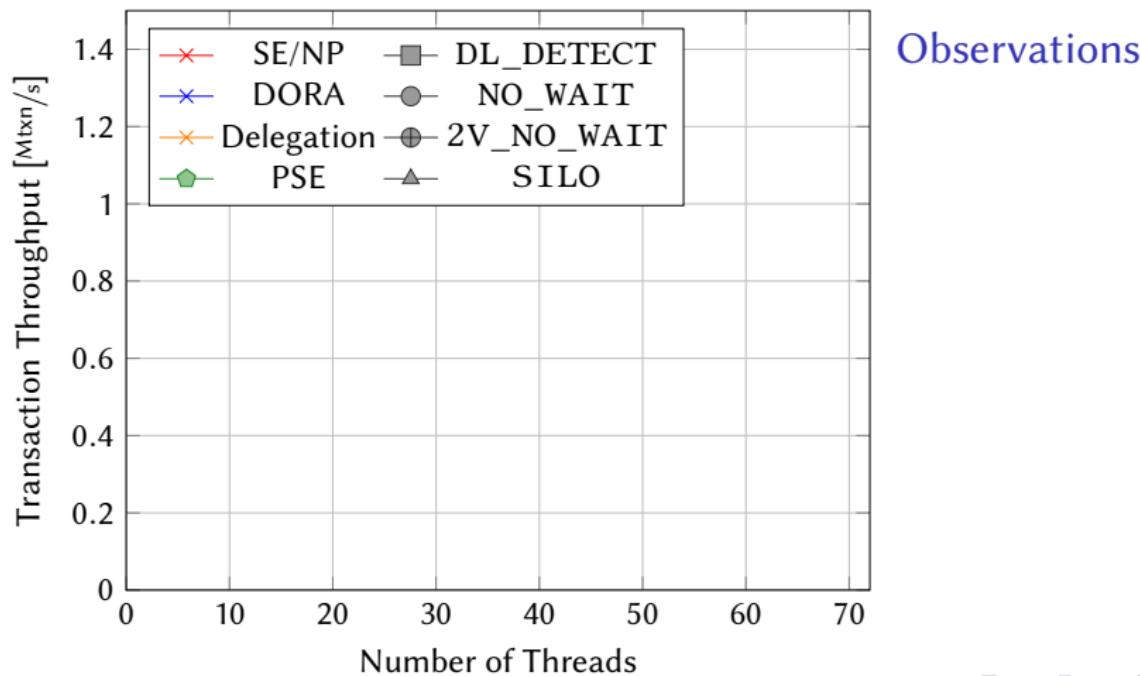
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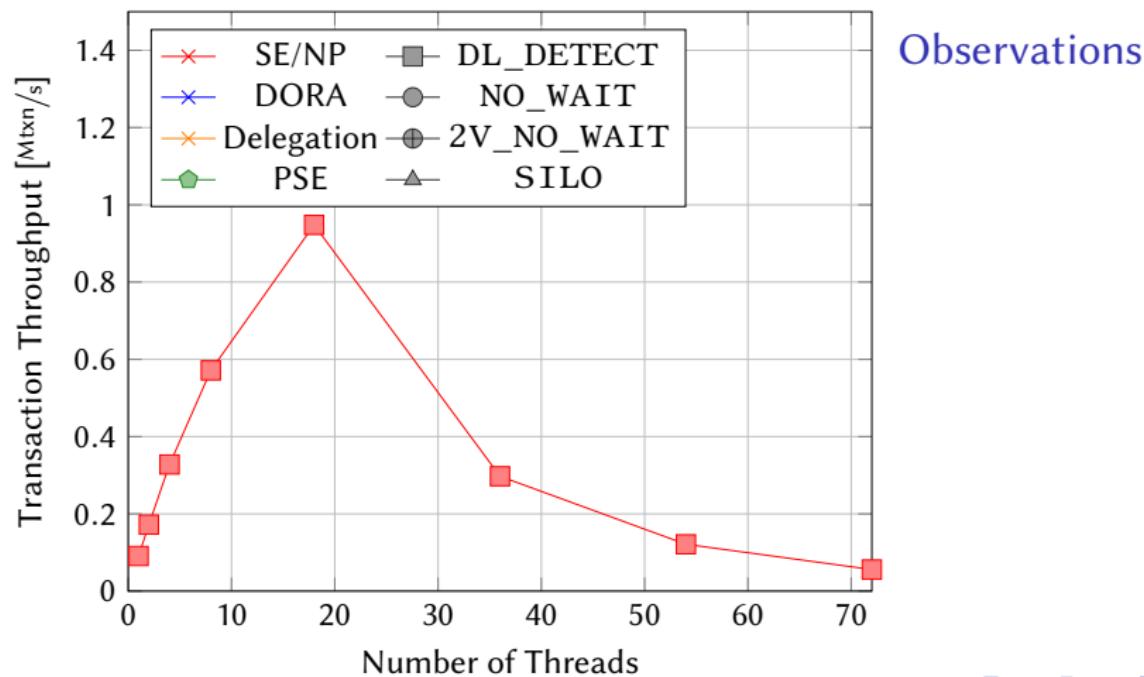
- ▶ atomics of scale better than latches of
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- ▶ coarse-grained partition locking of is identical for read and update

Update-Only YCSB ($\Theta = 0.8$)

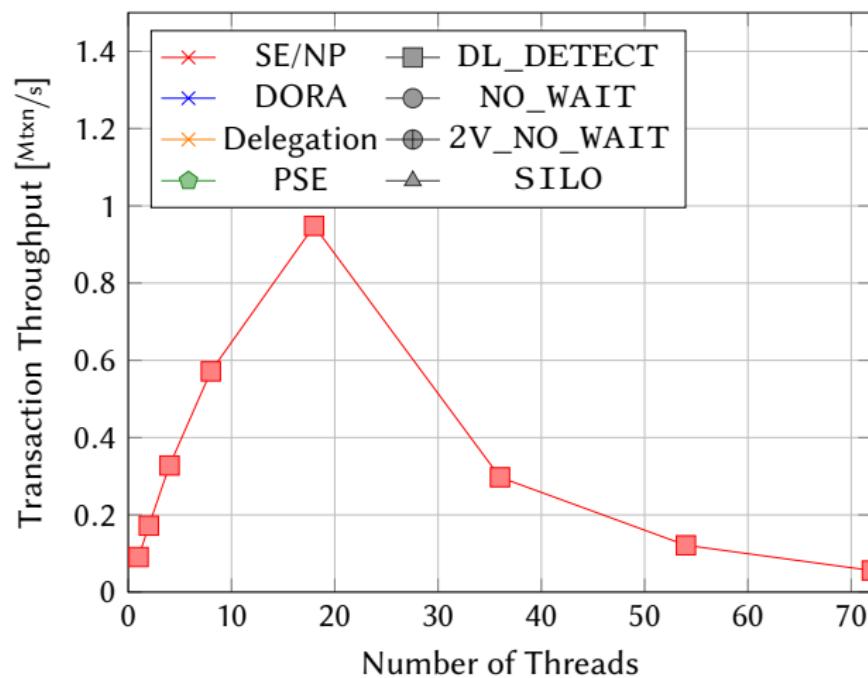


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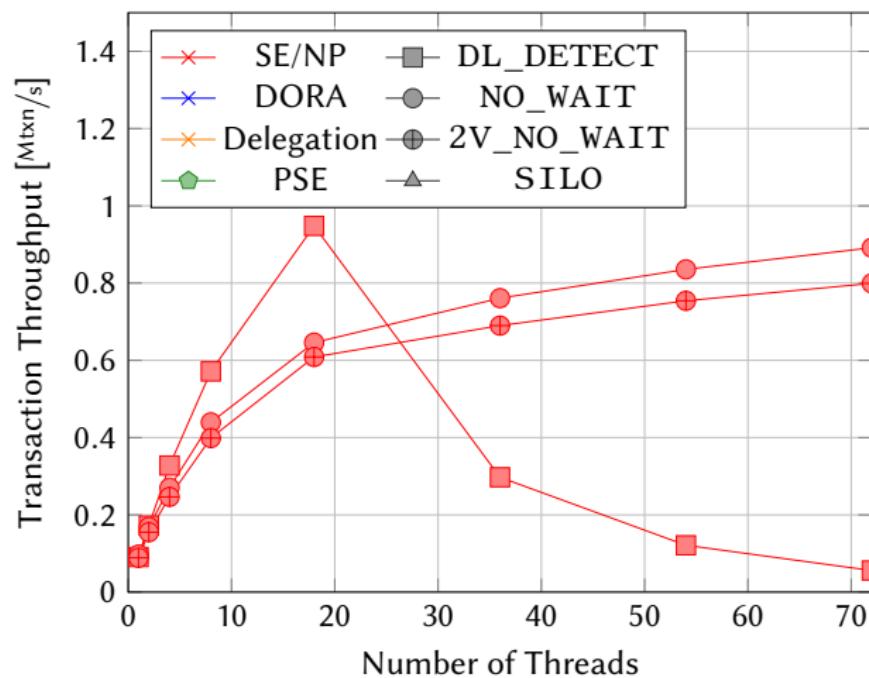
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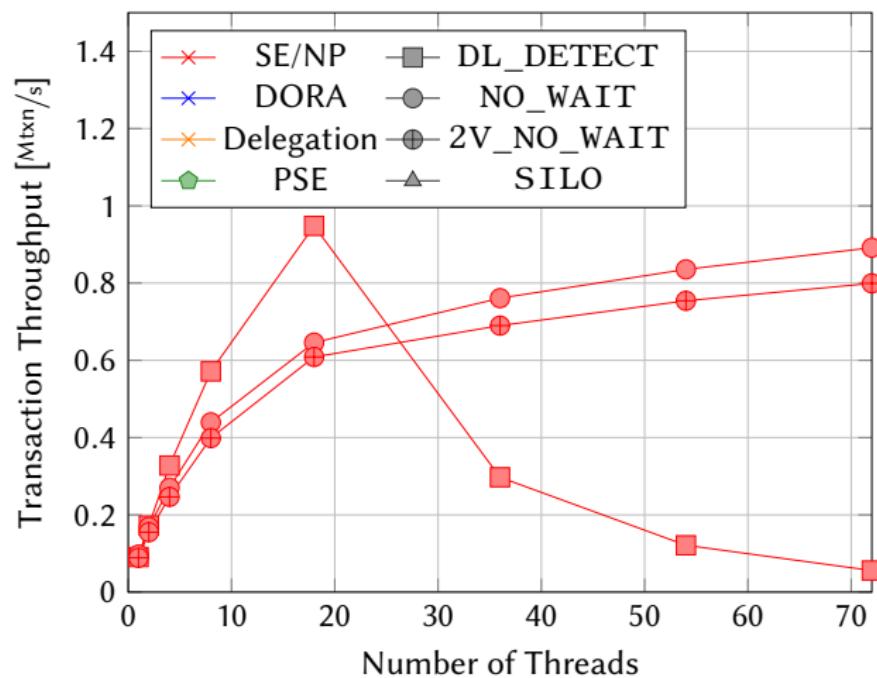
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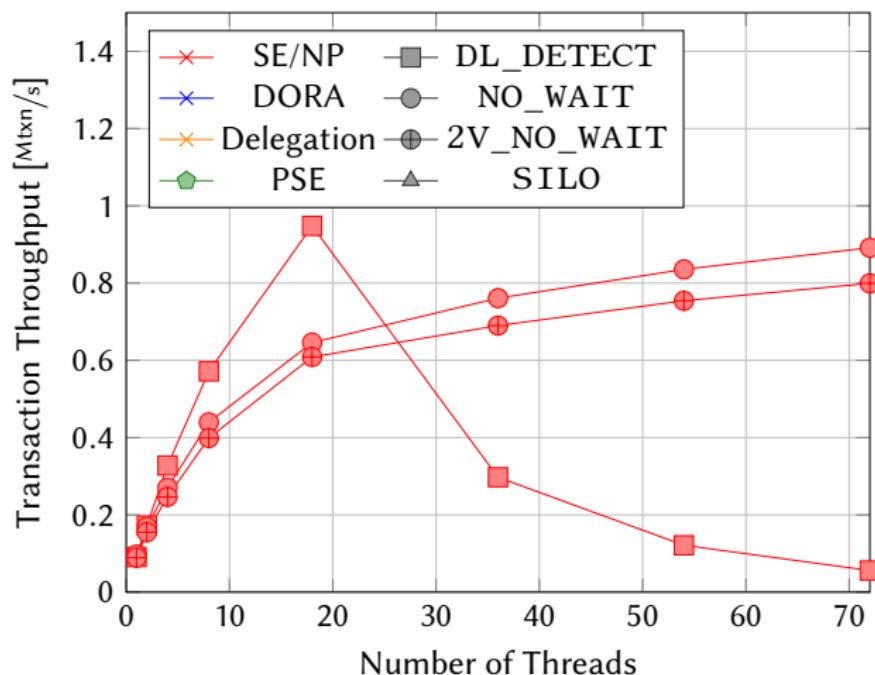
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Observations

- **DL_DETECT** suffers from deadlocks for many threads
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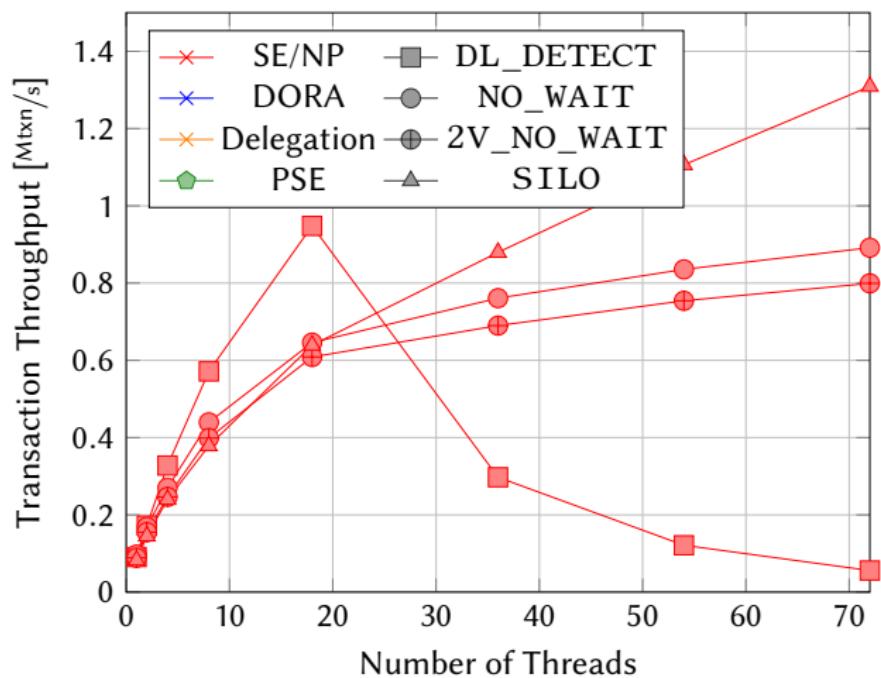
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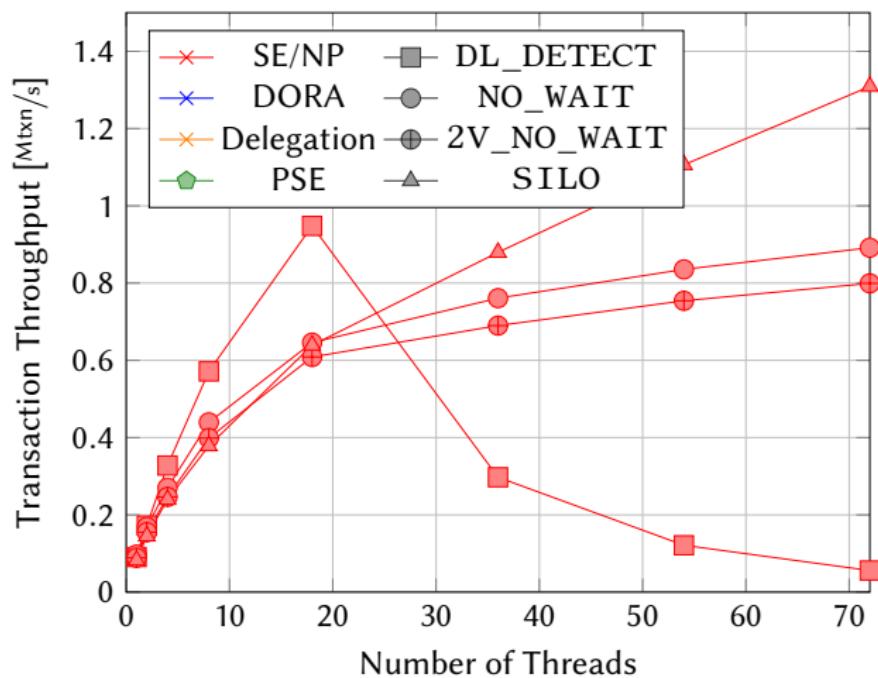
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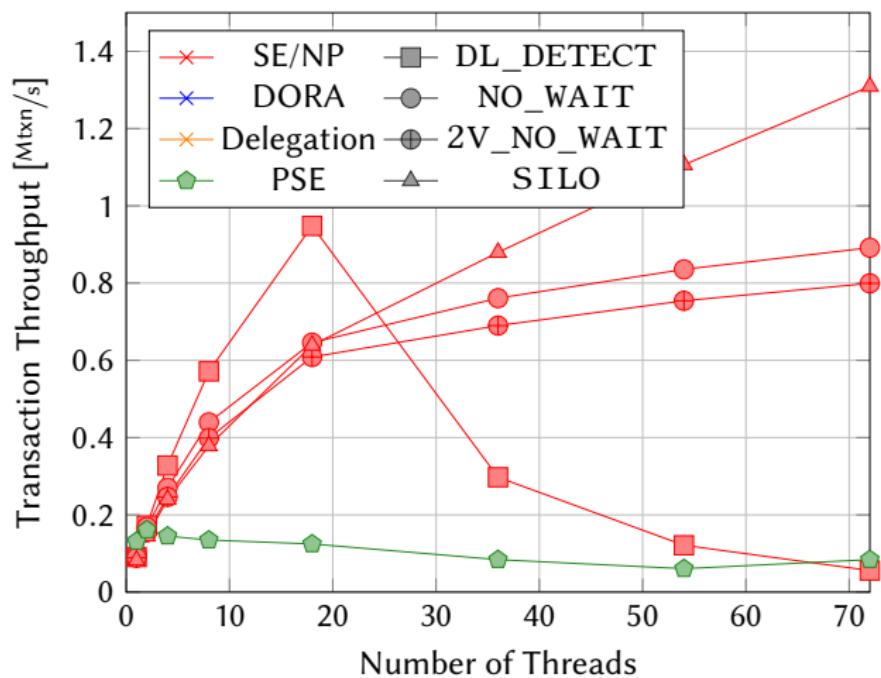
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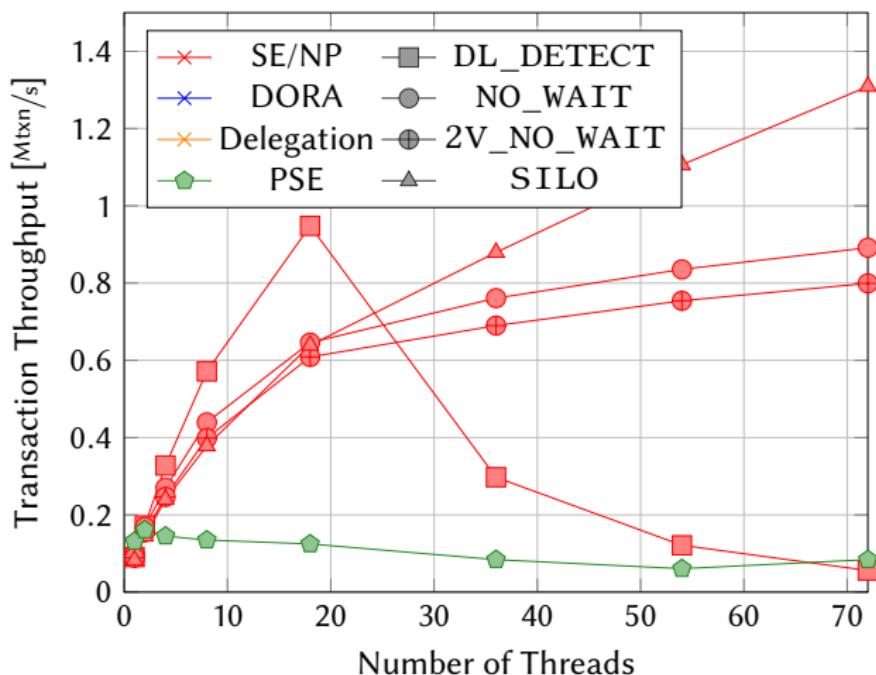
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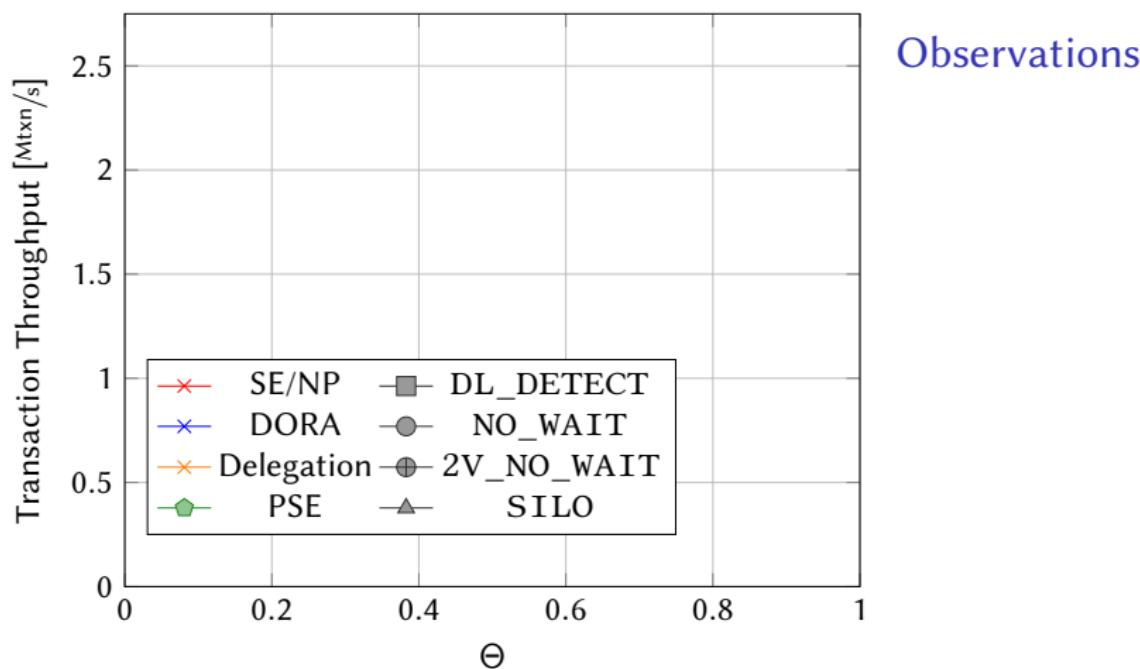
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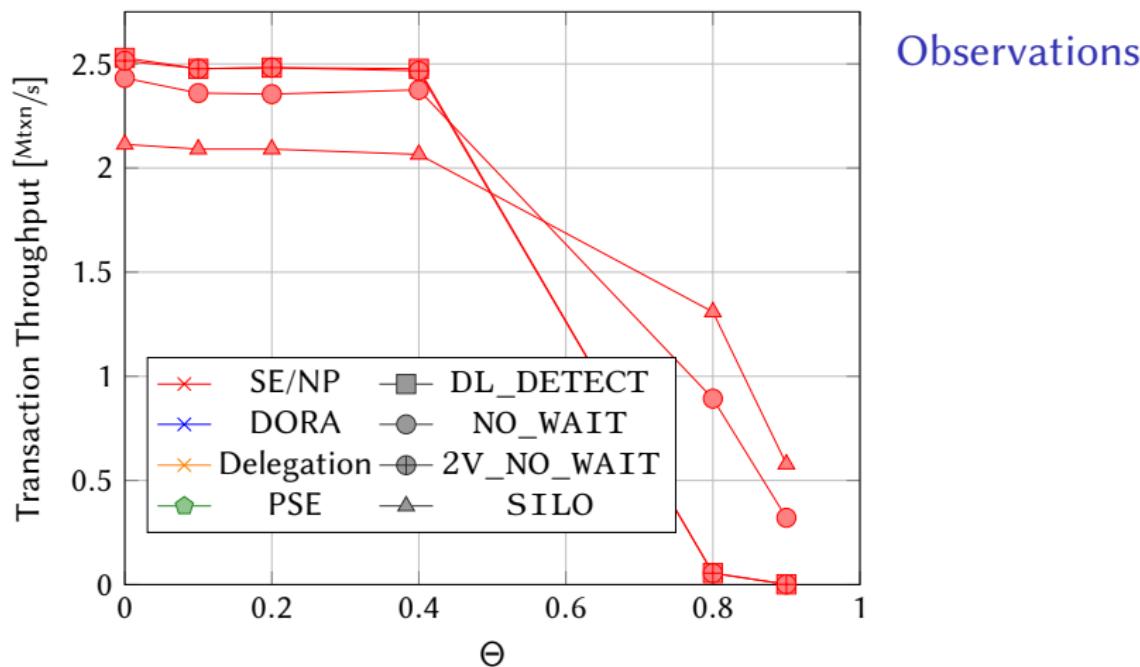
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Update-Only YCSB (72 Threads)



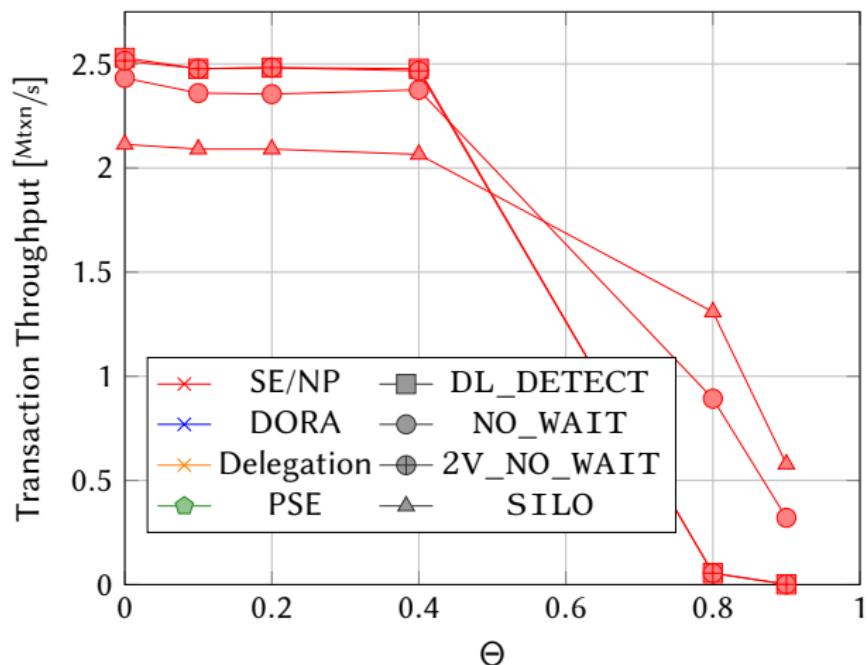
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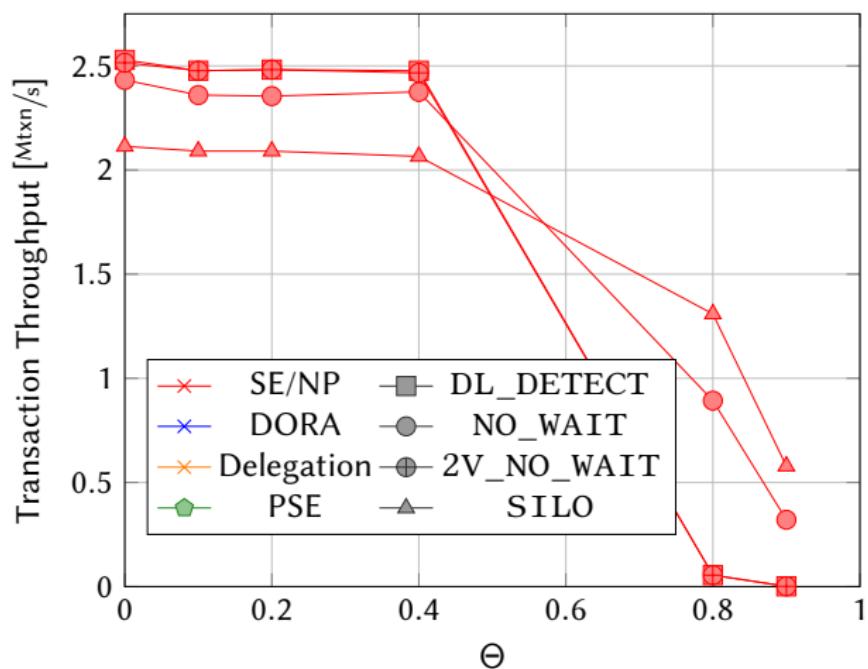
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- ▶ for $\Theta \leq 0.4$ the contention is very low → high concurrency possible

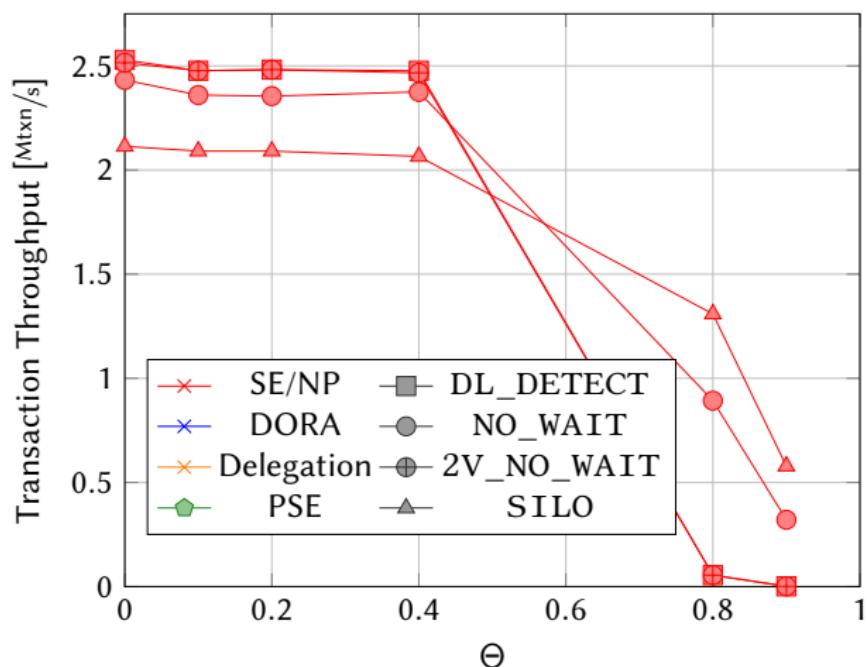
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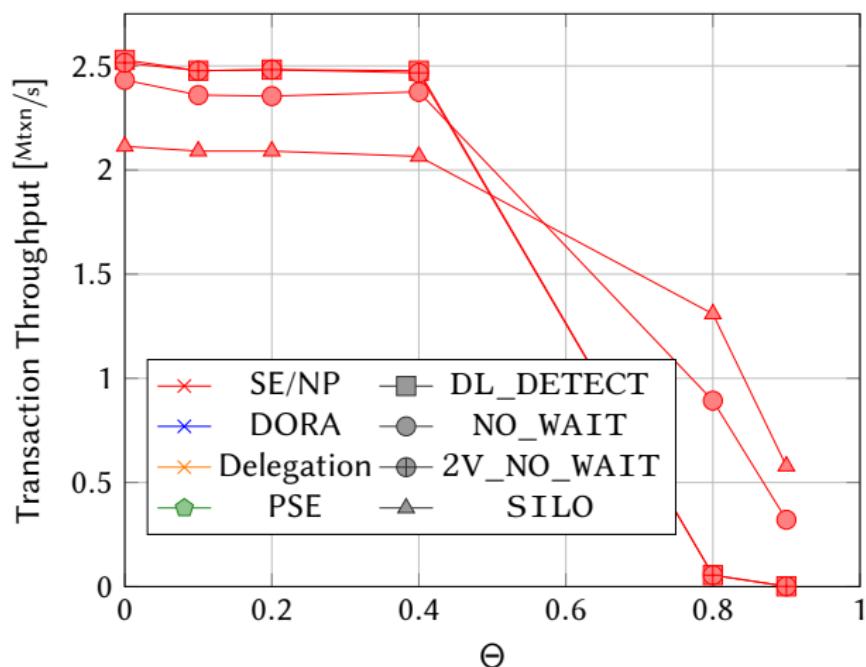
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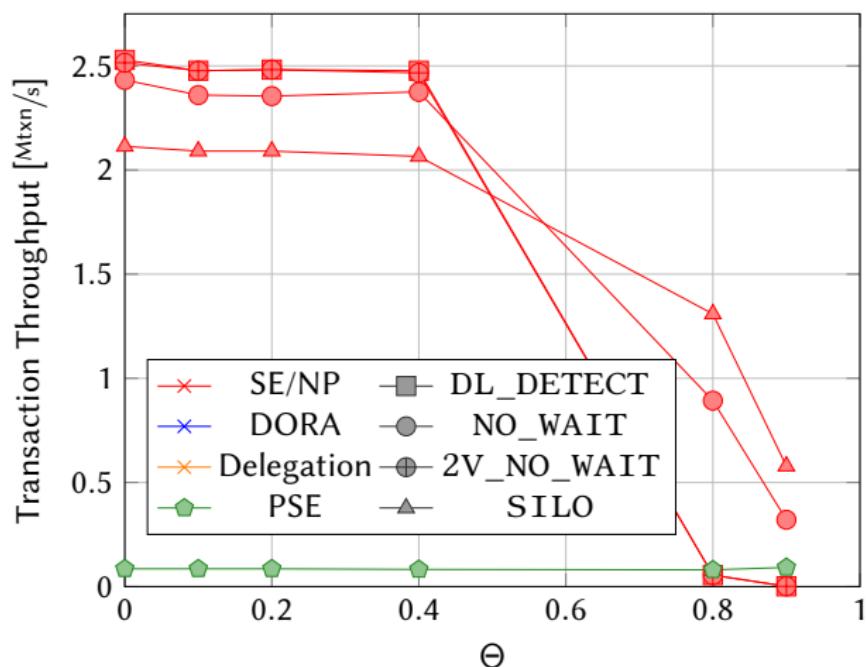
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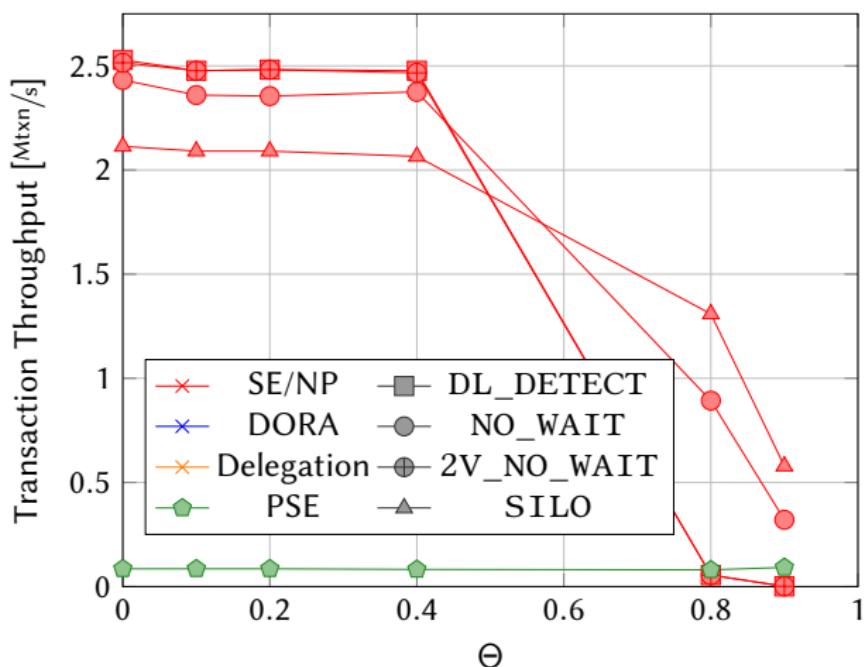
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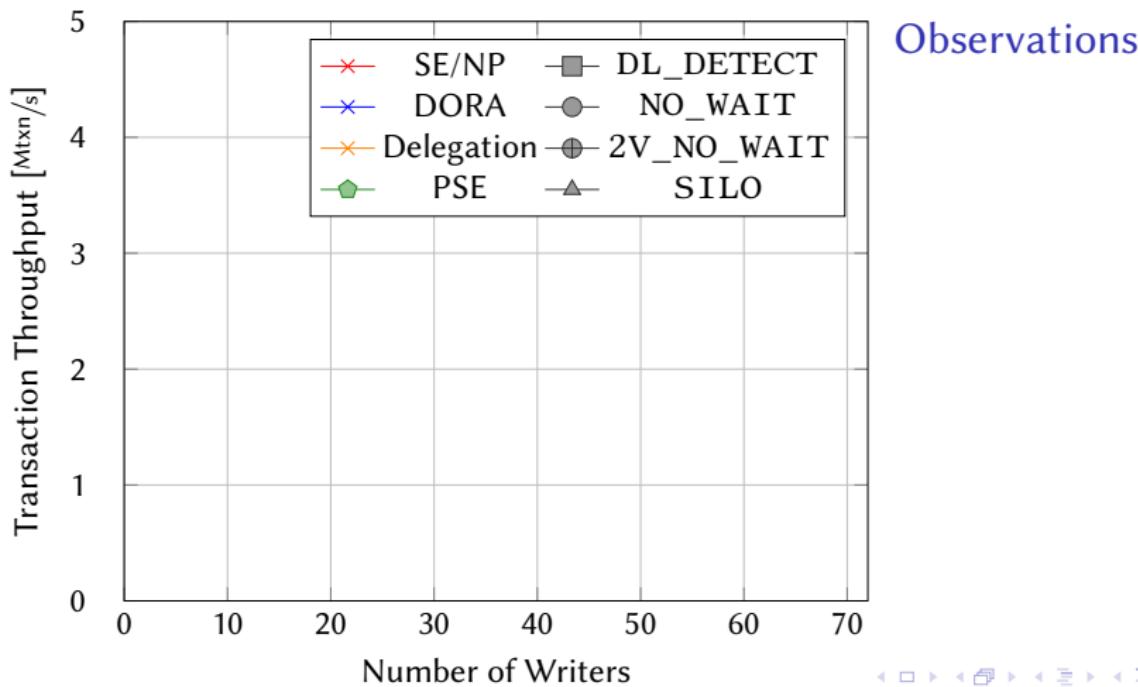
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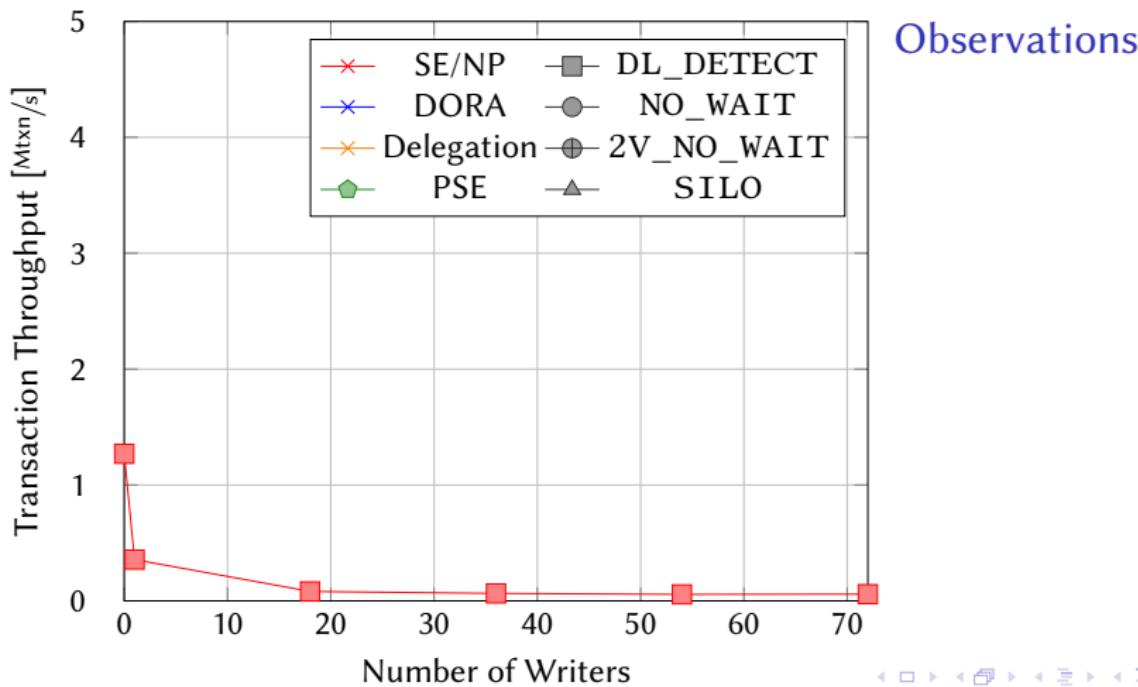
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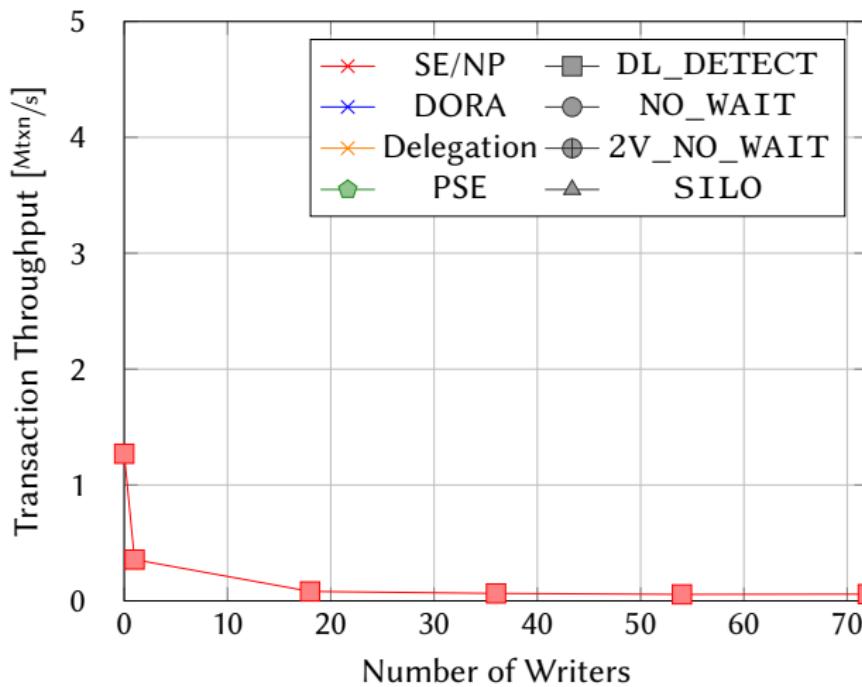
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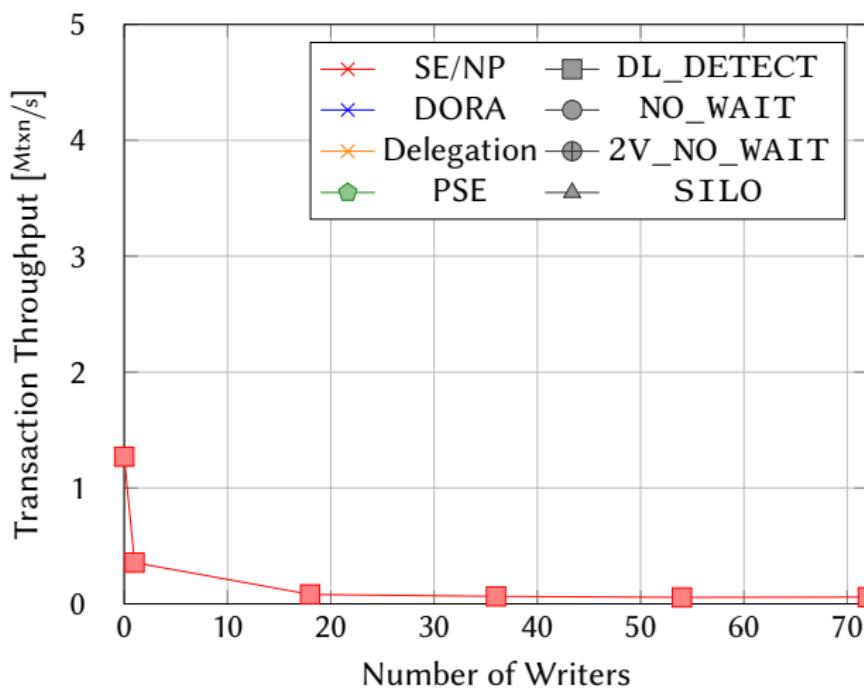
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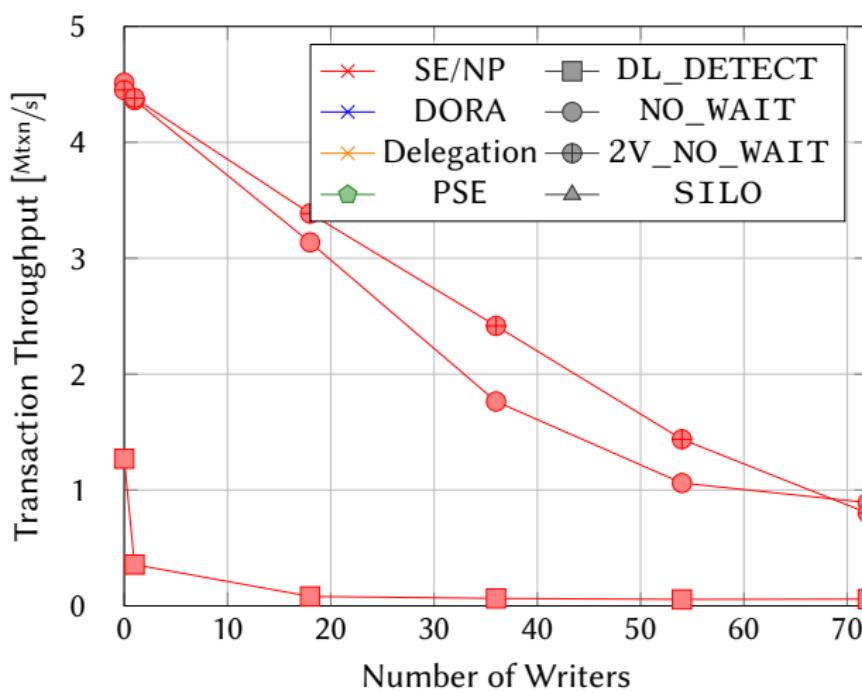
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- suffers from latch contention for 72 reading threads
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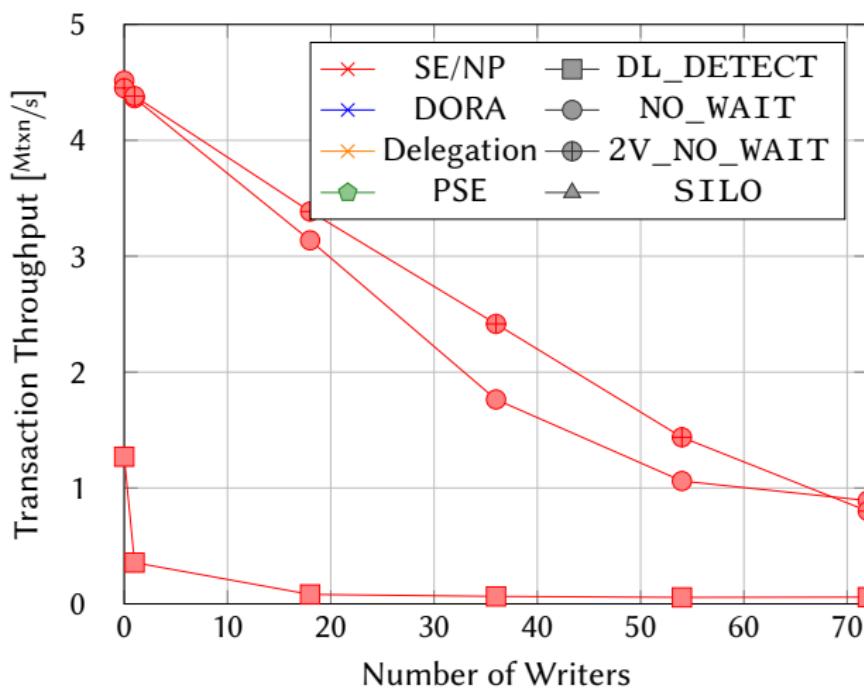
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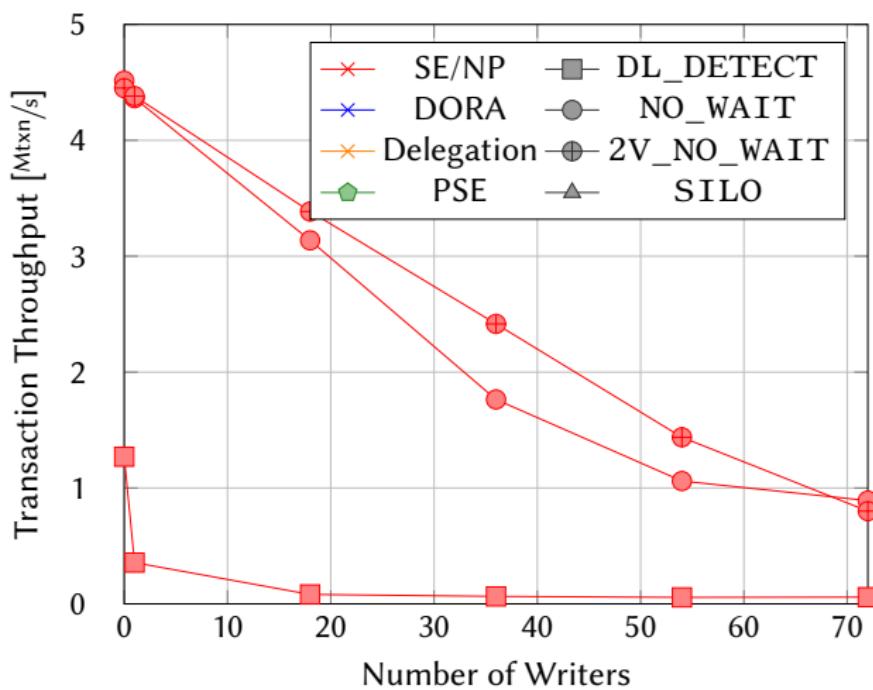
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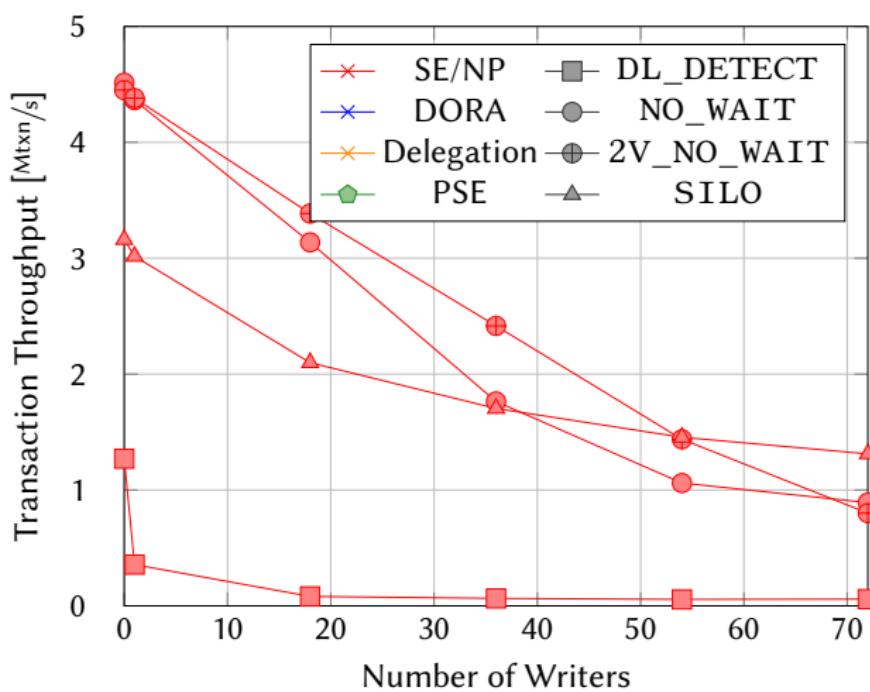
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- █ suffers from latch contention for 72 reading threads
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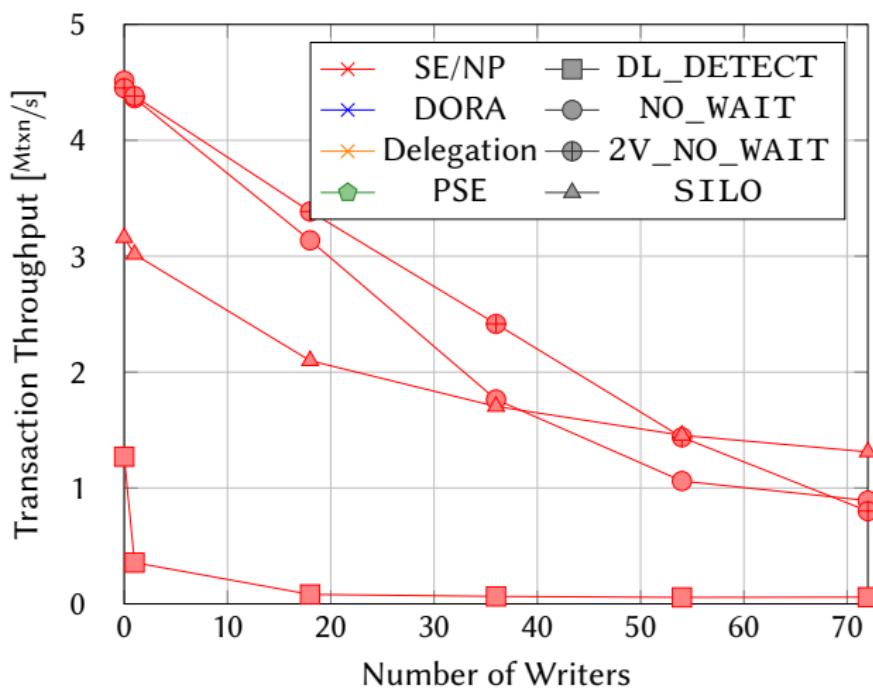
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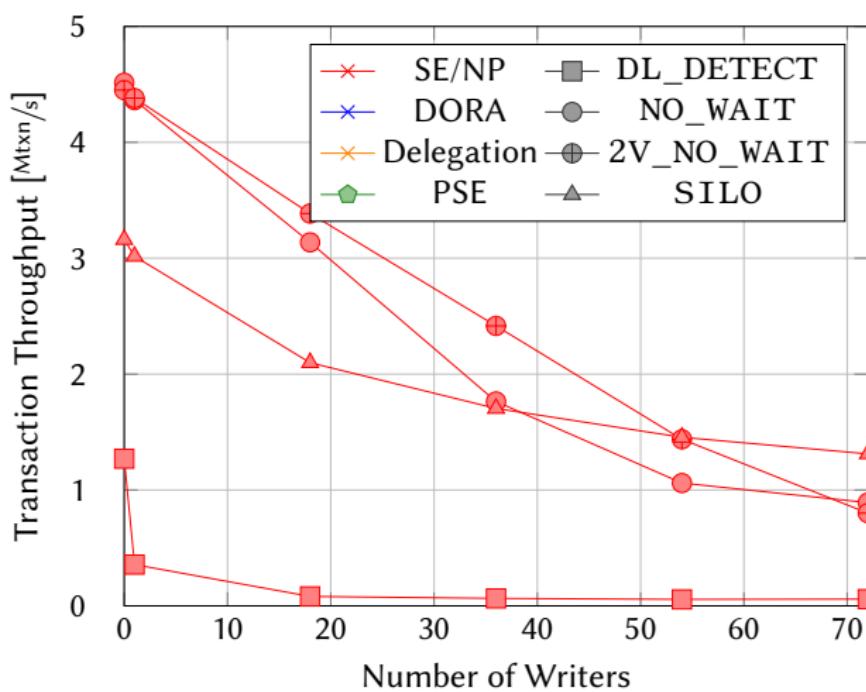
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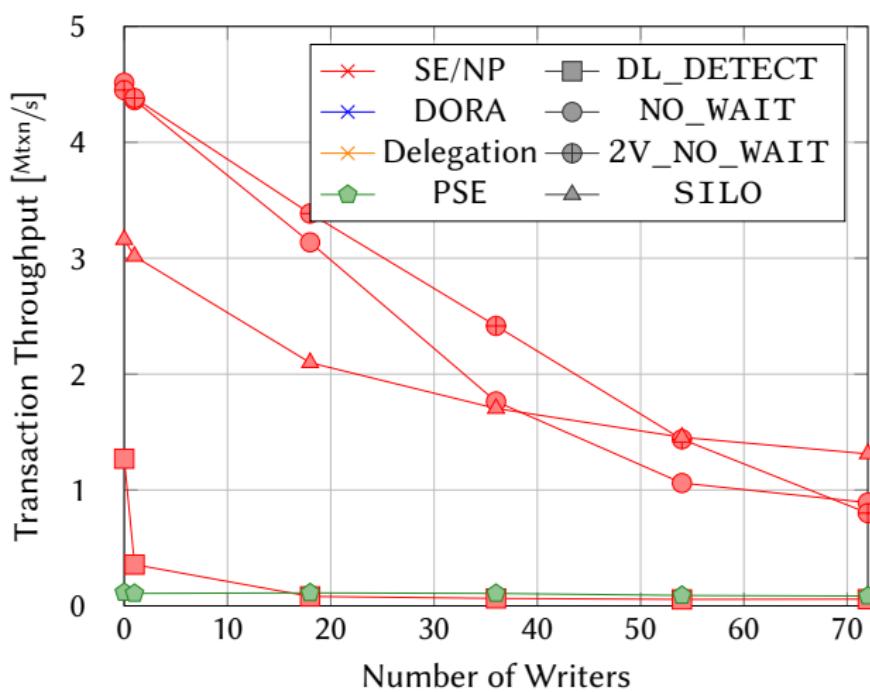
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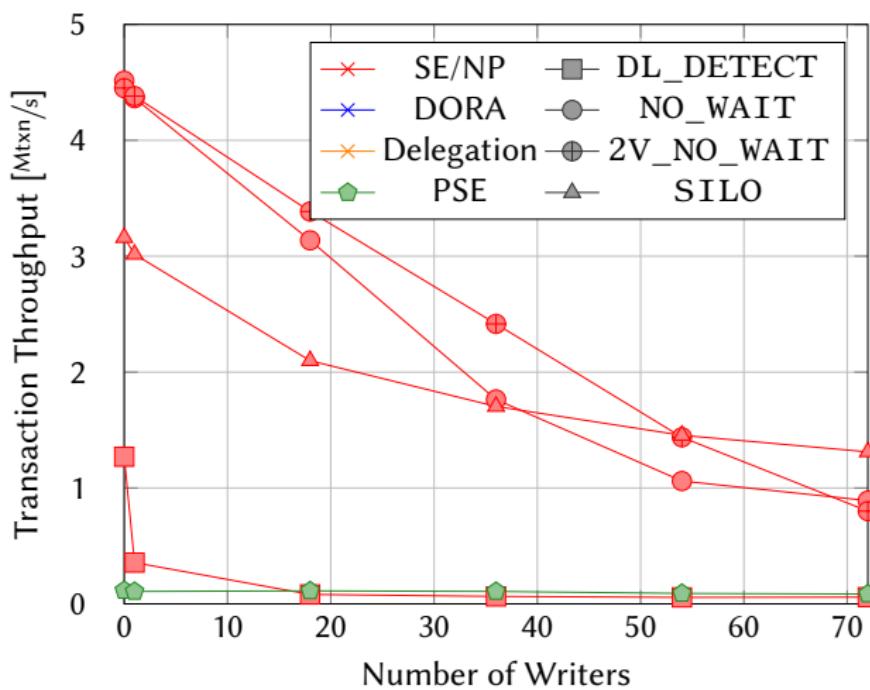
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 - Every architecture and CC protocol performs very bad for some specific workload!

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- ▶ neither the microbenchmark nor YCSB are OLTP benchmarks
- The authors did not properly analyze the combination of database architecture and concurrency control algorithm for OLTP workloads!

References I



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Any Questions?