

Balancing Straight-Line Programs

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$$\mathcal{G} = \langle X_i \rightarrow \alpha_i \mid 1 \leq i \leq n \rangle,$$

where either $\alpha_i \in \Gamma$ or $\alpha_i = X_j X_k$ for some $j, k > i$.

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The **depth** of \mathcal{G} ($\text{depth}(\mathcal{G})$) is the height of the derivation tree of \mathcal{G} .

Example of an SLP

$$\mathcal{G} = \langle X_i \rightarrow X_{i+1}X_{i+2} \text{ for } 1 \leq i \leq 4, \quad X_5 \rightarrow b, \quad X_6 \rightarrow a \rangle.$$

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X_1	
X_2	X_3

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X_1			
X_2		X_3	
X_3	X_4	X_4	X_5

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X_1						
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X_3		X_4		X_4		X_5
X_4	X_5	X_5	X_6	X_5	X_6	b

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X_5	X_6	b	b	a	b	a	b

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X_2				X_3			
X_3		X_4		X_4		X_5	
X_4	X_5	X_5	X_6	X_5	X_6	b	
X_5	X_6	b	b	a	b	a	b
b	a	b	b	a	b	a	b

The main result

Theorem (Charikar et al. 2002, Rytter 2004)

From a given SLP \mathcal{G} of size n such that $\llbracket \mathcal{G} \rrbracket$ has length N , one can construct in time $\mathcal{O}(n \cdot \log N)$ an SLP \mathcal{G}' such that:

- ▶ $\llbracket \mathcal{G}' \rrbracket = \llbracket \mathcal{G} \rrbracket$
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Our main result

From a given SLP \mathcal{G} of size n such that $\llbracket \mathcal{G} \rrbracket$ has length N , one can construct in time $\mathcal{O}(n)$ an SLP \mathcal{G}' such that:

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The random access problem

Random access queries

For a string $w \in \Sigma^*$ of length N , a **random access query** gets a position $i \in [1, N]$ and returns the i -th symbol of w .

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Theorem (Bille et al. 2015)

From a given SLP \mathcal{G} of size n such that $w := \llbracket \mathcal{G} \rrbracket$ has length N , one can compute in time $\mathcal{O}(n)$ a data structure of size $\mathcal{O}(n)$ that allows to answer random access queries for w in time $\mathcal{O}(\log N)$.

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- ▶ Very complicated proof, several sophisticated data structures!
- ▶ Size of the data structure is measures in number of words of bit length $\log N$.
- ▶ Random access queries can be answered in time $\mathcal{O}(\text{depth}(\mathcal{G}))$ and space $\mathcal{O}(n)$.

Symmetric centroid path decomposition

$$X_0 \rightarrow X_1 X_{14}$$

$$X_1 \rightarrow X_{13} X_2$$

$$X_2 \rightarrow X_{12} X_3$$

$$X_3 \rightarrow X_4 X_{12}$$

$$X_4 \rightarrow X_{11} X_5$$

$$X_5 \rightarrow X_6 X_{11}$$

$$X_6 \rightarrow X_7 X_{10}$$

$$X_7 \rightarrow X_{10} X_8$$

$$X_8 \rightarrow X_9 X_9$$

$$X_9 \rightarrow X_{10} X_{10}$$

$$X_{10} \rightarrow X_{11} X_{11}$$

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$$X_{13} \rightarrow a$$

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$$X_8 \rightarrow X_9 X_9$$

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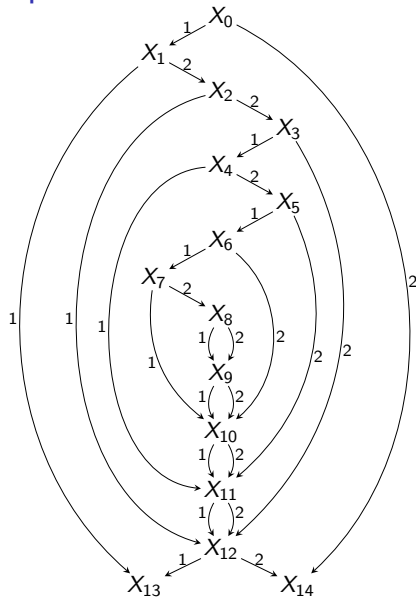
$$X_{10} \rightarrow X_{11} X_{11}$$

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$$X_{13} \rightarrow a$$

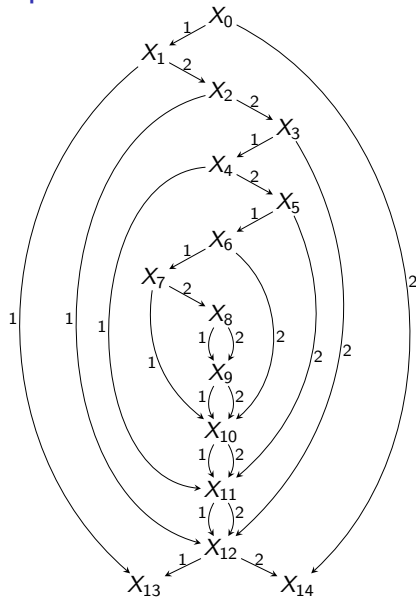
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Symmetric centroid path decomposition

Label X_i with the pair consisting of

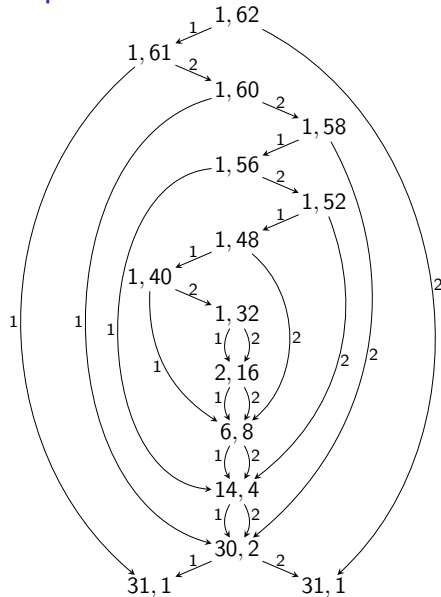
- ▶ the number of paths from the root X_0 to X_i , and
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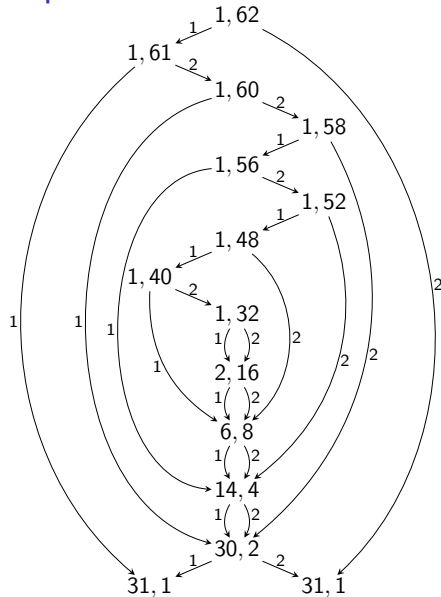


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Take $\lfloor \log_2(\cdot) \rfloor$ for each number.

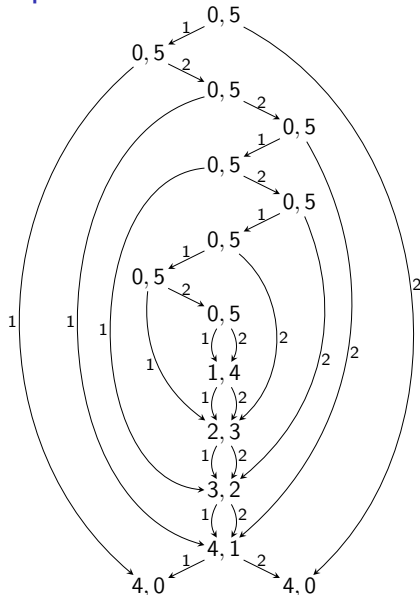


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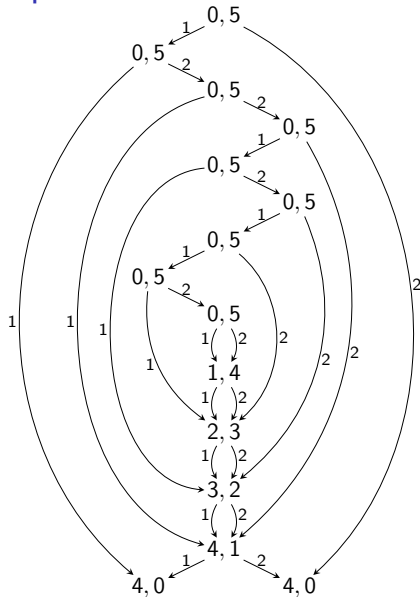
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The **symmetric centroid path decomposition** consists of those edge connecting nodes that are labelled with the same pair.



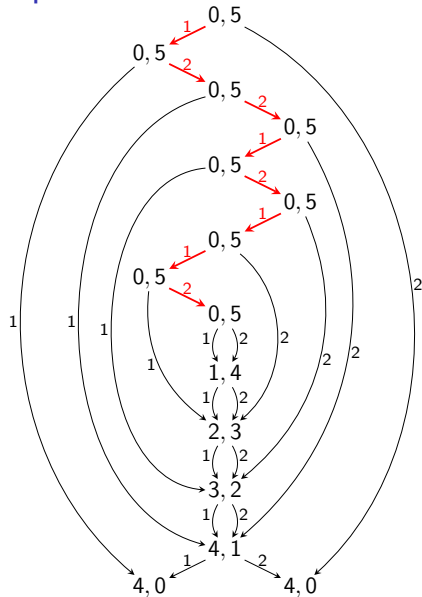
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Symmetric centroid path decomposition

Properties of the symmetric centroid path decomposition

- ▶ For every variable X_i at most one outgoing edge belongs to the symmetric centroid path decomposition.
- ▶ For every variable X_i at most one incoming edge belongs to the symmetric centroid path decomposition.
- ▶ Every path from the root X_0 to a terminal variable X_j contains at most $2 \cdot \log_2 N$ edges that do not belong to the symmetric centroid path decomposition. Here N is the length of the string $\llbracket \mathcal{G} \rrbracket$.

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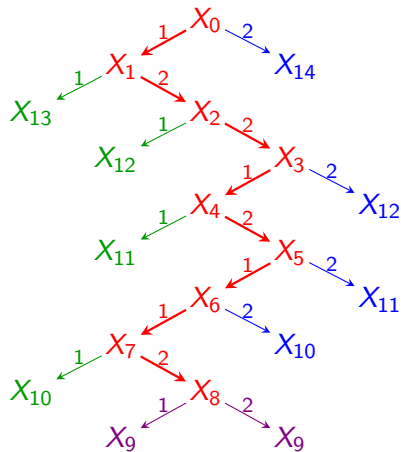
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By the first two properties the symmetric centroid path decomposition is indeed a decomposition of the DAG into paths (possibly of length zero).

Construction of the balanced SLP \mathcal{G}' from \mathcal{G} , Part I

Consider a symmetric centroid path

$X_s \rightarrow \dots \rightarrow X_t$ (may consist only of X_t).

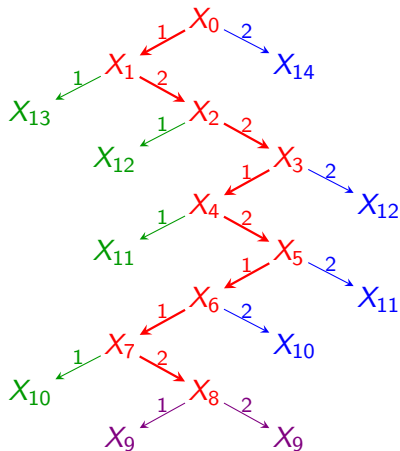


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For the last variable X_t on the path copy the \mathcal{G} -production:

► $X_8 \rightarrow X_9 X_9$.



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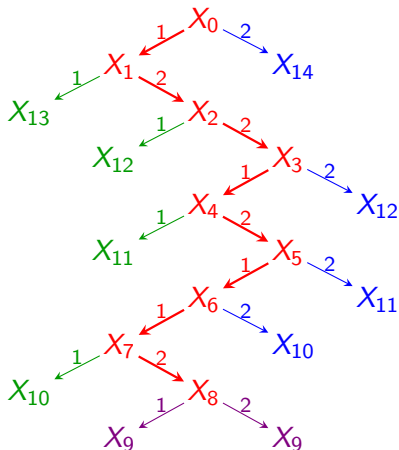
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For all other variables X_i on the path add a new production $X_i \rightarrow L_i X_t R_i$:

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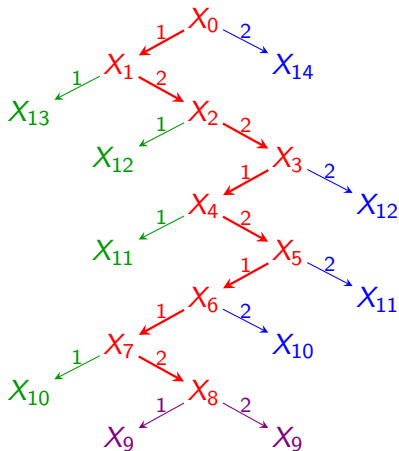
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Add productions such that every L_i/R_i derives the appropriate suffix/prefix of $X_{13}X_{12}X_{11}X_{10}/X_{10}X_{11}X_{12}X_{14}$.



Construction of the balanced SLP \mathcal{G}' from \mathcal{G} , Part II

The remaining problem

Given: word $y = Y_1 Y_2 \cdots Y_m$ of variables ($X_{13}X_{12}X_{11}X_{10}$ in our example)

Goal: construct an SLP \mathcal{S} of size $\mathcal{O}(m)$ and “small depth” that contains for every suffix $s_i := Y_i Y_{i+1} \cdots Y_m$ a variable S_i that produces s_i .

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In our example: $\|X_{13}\| = 1$, $\|X_{12}\| = 2$, $\|X_{11}\| = 4$, $\|X_{10}\| = 8$.

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Assign to each variable Y_i the **weight** $\|Y_i\| = \text{length of } \llbracket Y_i \rrbracket_{\mathcal{G}}$.

In our example: $\|X_{13}\| = 1$, $\|X_{12}\| = 2$, $\|X_{11}\| = 4$, $\|X_{10}\| = 8$.

Extend the weight function $\|\cdot\|$ additively to words over the Y_i .

Construction of the balanced SLP \mathcal{G}' from \mathcal{G} , Part II

The remaining problem

Given: word $y = Y_1 Y_2 \cdots Y_m$ of variables ($X_{13}X_{12}X_{11}X_{10}$ in our example)

Goal: construct an SLP \mathcal{S} of size $\mathcal{O}(m)$ and “small depth” that contains for every suffix $s_i := Y_i Y_{i+1} \cdots Y_m$ a variable S_i that produces s_i .

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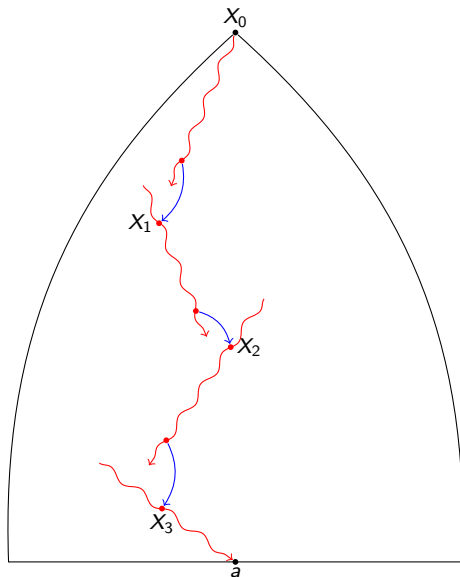
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Extend the weight function $\|\cdot\|$ additively to words over the Y_i .

Every path in the derivation tree of \mathcal{S} from variable S_i to a terminal Y_j ($j \geq i$) has length $4 + 2 \log \|s_i\| - 2 \log_2 \|Y_j\|$.

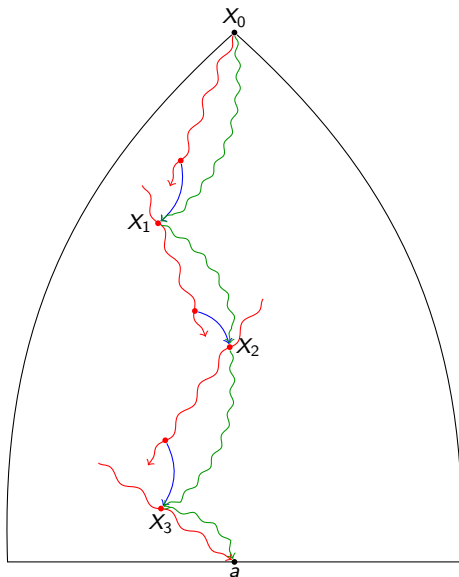
The global picture

- ▶ symmetric centroid paths
- ▶ edges not on symmetric centroid paths



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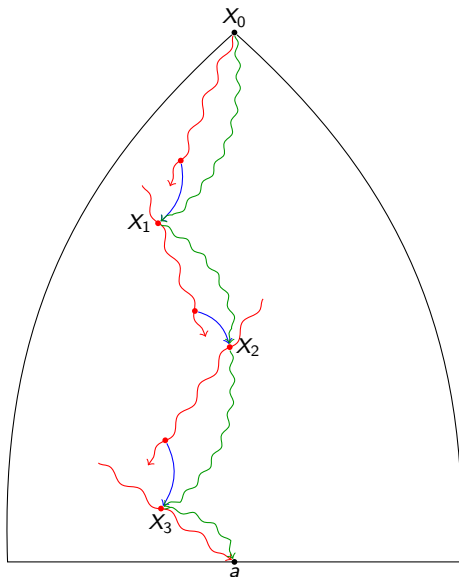
- ▶ symmetric centroid paths
- ▶ edges not on symmetric centroid paths
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- ▶ lengths of green paths

$$5 + 2 \log \|X_0\| - 2 \log_2 \|X_1\|$$

$$5 + 2 \log \|X_1\| - 2 \log_2 \|X_2\|$$

$$5 + 2 \log \|X_2\| - 2 \log_2 \|X_3\|$$

$$5 + 2 \log \|X_3\| - 2 \log_2 \|a\|$$



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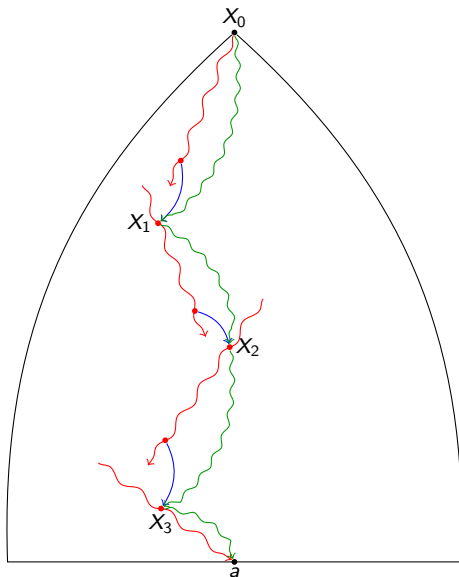
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$$5 + 2 \log \|X_3\| - 2 \log_2 \|a\|$$

- ▶ total path length:
 $\leq \mathcal{O}(\log N) + 2 \log \|X_0\|$
 $= \mathcal{O}(\log N)$



Suffixes of weighted strings: the main lemma

We consider strings over an alphabet Σ , where every $a \in \Sigma$ has a weight $\|a\|$, which is additively extended to strings over Σ .

Main lemma

For every weighted string s of length n one can construct in linear time an SLP \mathcal{G} with the following properties:

- ▶ \mathcal{G} contains at most $3n$ variables,
- ▶ all right-hand sides of \mathcal{G} have length at most four,
- ▶ \mathcal{G} contains variables S_1, \dots, S_n that produce the suffixes of s , and
- ▶ every path from a variable S_i to some terminal symbol a in the derivation tree has length $3 + 2(\log \|S_i\| - \log \|a\|)$.

Suffixes of weighted strings: proof of the main lemma

Recursive algorithm:

$n = 1$: obvious

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Suffixes of weighted strings: proof of the main lemma

Recursive algorithm:

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$n \geq 2$: Let $s = ucv$ with $c \in \Sigma$, where cv is the shortest suffix of s such that $\lceil \log_2 \|cv\| \rceil = \lceil \log_2 \|s\| \rceil$.

- ▶ Recursive call for suffix v .
- ▶ Factorize u into block of length 2 and possibly one block of length 1.

Introduce nonterminals X_1, \dots, X_k ($k = \lceil |u|/2 \rceil$) for the blocks.

Recursive call for $X_1 X_2 \cdots X_k$, where the weight of X_i is the weight of the corresponding block.

Balancing tree grammars

SLPs have been extended to trees:

- ▶ tree straight-line programs (TSLPs)
- ▶ forest straight-line programs (FSLPs)
- ▶ top dags

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Balancing TSLPs/FSLPs/top dags

From a given TSLP/FSLP/top dag \mathcal{G} of size n producing a tree t of size N , one can construct in time $\mathcal{O}(n)$ a TSLP/FSLP/top dag \mathcal{G}' such that:

- ▶ \mathcal{G}' produces t as well,
- ▶ $|\mathcal{G}'| \in \mathcal{O}(n)$
- ▶ $\text{depth}(\mathcal{G}') \in \mathcal{O}(\log N)$

Balancing algebraic circuits: the meta theorem

Meta theorem for balancing circuits

Let \mathcal{A} be an algebra with a certain finiteness property. From an algebraic circuit \mathcal{G} over \mathcal{A} one can compute an algebraic circuit \mathcal{G}' such that:

- ▶ \mathcal{G}' and \mathcal{G} produces the same element of \mathcal{A} .
- ▶ $|\mathcal{G}'| \in \mathcal{O}(n)$
- ▶ $\text{depth}(\mathcal{G}') \in \mathcal{O}(\log N)$, where N is the size of the unravelling (unfolding) of \mathcal{G} .

An open problem

Is it possible to solve the random access problem for an SLP of size n producing a string of length N in

- ▶ time $\mathcal{O}(\log N / \log \log N)$
- ▶ using a data structure of size $\mathcal{O}(n)$?