# ANLP Assignment 1

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### 1 How to run code

Our code can be used to train and test. For training, one need to specify mode to be train, and the training file. For example:

In [99]: %run asgn1-helperV3.py train ../data/training.en

Training will generate random outputs to ../data/training.en.random3 and model to ../data/training.en.out3

For testing, one need to specify mode to be test, language model file and testing file. For example:

In [98]:%run asgn1-helperV3.py test ../data/training.es.out3 ../data/test

Testing will calculated the perplexity of test file given model.

asgn1-helperV3.py implements interpolation, however one can set other lambdas to be 0, and tune smooth to make it simple  $\alpha$  smoothing ngram model.

# 2 Perplexity of the Test Case

$$\begin{split} PP_{M}(\vec{w}) &= 2^{H_{M}(\vec{w})} \\ &= 2^{-\frac{1}{n}\log_{2}P_{M}(\vec{w})} \\ &= 2^{\log_{2}P_{M}(\vec{w})^{-\frac{1}{n}}} \\ &= P_{M}(\vec{w})^{-\frac{1}{n}} \\ &\approx \prod_{i=1}^{n} P(w_{n}|w_{n-1},w_{n-2}) \\ &= (0.2*0.7*0.6*0.25*0.5*0.1)^{-\frac{1}{6}} \\ &\approx 3.1367 \end{split}$$

p.s.:  $w_{-1}$  and  $w_0$  refer to the first two '[' characters of each sentence.

# 3 Line Preprocessing

```
#function turns input into required format
def preprocess_line(line):
    #remove non-necessary characters,
    #and turn string to lowercase
    p = re.compile('[^\w\s,.]')
    line = re.sub(p,'',line.lower())
    #replace \n by ]
    line = re.sub('\n',']',line)
    #turn numbers into 0
    line = re.sub('[0-9]','0',line)
    #add begining and end [[
    return '[['+line]
```

By preprocessing input in this fashion, we essentially assumed that there are no interconnection between lines. All the lines are preprocessed into line units. During language model building, we will not compute P(]|[]) nor P([]\*[). This probability will be equal to one, if we treat the whole text as one unit. We consider this as an artifact of ngram model instead of the true underlying language model.

As a consequence, we will sample line by line independently in task 4. Also, we will exclude those probability in computing perplexity.

# 4 Language Model

#### 4.1 Estimation of Probabilities

In principle, we first assumed trigram approximation of the underlying probability of language.

$$P(\vec{w}) = P(w_1 \dots w_n)$$

$$= P(w_n | w_{n-1}, w_{n-2}, \dots w_1) P(w_{n-1} |, w_{n-2}, \dots w_1) \dots P(w_1)$$

$$\approx \prod_{i=1}^n P(w_n | w_{n-1}, w_{n-2})$$

Then, we used maximum likelihood method to estimate the conditional probability required by trigram model. That is we counted the number of occurrences of trigram, and divide it by number of occurrences of the first twogram as condition.

$$P(w_n|w_{n-1}, w_{n-2}) = \frac{C(w_{n-2}, w_{n-1}, w_n)}{C(w_{n-2}, w_{n-1})}$$

In addition, to smooth our model, we used 0.1 smooth.

$$P(w_n|w_{n-1},w_{n-2}) = \frac{C(w_{n-2},w_{n-1},w_n) + smooth = 0.1}{C(w_{n-2},w_{n-1}) + (smooth * ntypes = 0.1 * 31)}$$

To start the language model, we inserted '[[' at the beginning of the lines and ']' at the end of lines. However, we did not compute P(]|[[) nor P([|\*[), and lines are independent as mentioned in 3.

## 4.2 Data Structure

We used dictionary of dictionary to store all the conditional probability. Basically, we have conditionProbs as a dictionary of dictionary of float. This could be used to retrieve conditional probabilities given condition. The conditional probabilities retrieved are stored within a dictionary of float. To store data in files, we simply used json.

### 4.3 Conditional Probabilities Discussion

#### 4.3.1 Conditional Probabilities for th

```
P(|th) = 0.0540999451425
```

P(,|th) = 0.00159347979415

P(.|th) = 0.00185470598992

P(0|th) = 2.61226195763e - 05

P(||th) = 2.61226195763e - 05

P(a|th) = 0.125675922782

P(b|th) = 2.61226195763e - 05

P(c|th) = 0.000287348815339

P(d|th) = 0.00107102740263

P(e|th) = 0.659883493117

P(f|th) = 2.61226195763e - 05

P(g|th) = 2.61226195763e - 05

P(h|th) = 2.61226195763e - 05

P(i|th) = 0.121496303649

P(j|th) = 2.61226195763e - 05

P(k|th) = 2.61226195763e - 05

P(l|th) = 0.000548575011102

P(m|th) = 2.61226195763e - 05

P(n|th) = 2.61226195763e - 05

P(o|th) = 0.018311956323

P(p|th) = 2.61226195763e - 05

P(q|th) = 2.61226195763e - 05

P(r|th) = 0.00577309892636

P(s|th) = 0.00263838457721

P(t|th) = 2.61226195763e - 05

```
\begin{split} P(u|th) &= 0.00394451555602 \\ P(v|th) &= 2.61226195763e - 05 \\ P(w|th) &= 0.000548575011102 \\ P(x|th) &= 2.61226195763e - 05 \\ P(y|th) &= 0.00185470598992 \\ P(z|th) &= 2.61226195763e - 05 \end{split}
```

As one can see P(e|th) = 0.659883493117, which corresponds to 'the' has a high frequency in English. Small none zero probabilities are the effect of smoothing.

#### 4.3.2 Conditional Probabilities for an

```
Conditional probability for an P(|an) = 0.173350506411
P(,|an) = 0.00181488203267
P(.|an) = 5.8544581699e - 05
P(0|an) = 5.8544581699e - 05
P(||an) = 5.8544581699e - 05
P(a|an) = 0.0164510274574
P(b|an) = 5.8544581699e - 05
P(c|an) = 0.0597740179146
P(d|an) = 0.485978572683
P(e|an) = 0.00357121948364
P(f|an) = 5.8544581699e - 05
P(g|an) = 0.0281599437972
P(h|an) = 5.8544581699e - 05
P(i|an) = 0.0146946900064
P(j|an) = 5.8544581699e - 05
P(k|an) = 0.0211345939933
P(l|an) = 5.8544581699e - 05
P(m|an) = 5.8544581699e - 05
P(n|an) = 0.0275744979802
P(o|an) = 0.00649844856858
P(p|an) = 5.8544581699e - 05
P(q|an) = 5.8544581699e - 05
P(r|an) = 5.8544581699e - 05
P(s|an) = 0.0679702593525
P(t|an) = 0.0568467888297
P(u|an) = 0.00415666530063
P(v|an) = 5.8544581699e - 05
P(w|an) = 0.000643990398689
P(x|an) = 0.000643990398689
P(y|an) = 0.0299162812482
P(z|an) = 5.8544581699e - 05
```

We were expecting P(d|an) to be high, since 'and' should be a fairly common words. Meanwhile, we also find P(|an) to be quite high. Apparently, this finding

could be attributed to 'an' as a word.

# 5 Random Output

We generated those sentences line by line. We count the end line line as one character, which is simply newline in the text. We do not count the beginning of sentence '[[' as character.

## 5.1 English

as rech apperin bey eur ous my taturat ints ustrucceport repon crowthat to to ex,dxwchnot the extformont c00000000 hat you the thaboth landmend of ukzgjcg-teuregion objecoont the in inionesposte port. al criourtaing the the ecturin the mounis prosat comment. ations and theloss the of postraided sta

## 5.2 Germany

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## 5.3 Spanish

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# 6 Perplexity & Language Identification

| Model<br>Language | Unigram | Bigram  | Trigram |
|-------------------|---------|---------|---------|
| English           | 19.4157 | 11.5054 | 9.2210  |
| German            | 21.3752 | 21.4868 | 30.1338 |
| Spanish           | 22.4430 | 24.7187 | 29.5903 |

Table 1: Perplexity of Testing Dataset

The results given in Table 1 suggest that the testing dataset shows the lowest perplexity under the trigram model of English. Therefore, the testing sample is most likely to be identified as English texts. In addition, as the training model become simpler (from trigram to unigram) the margins among the results under different language models become smaller. Consequently it will be harder to tell which language the testing sample belongs to although, in this case, the performance of the testing dataset under English language model is still better than the results under other language models.

## 7 Extension

We dis two extensions: first, our code can compute from uni gram to ngram by a change of parameter n; second based on this, we have implemented deleted interpolation algorithm.

### 7.1 Deleted Interpolation

We show the formula for deleted interpolation algorithm.

$$P(w_n|w_{n-1},\ldots w_{n-j}) = \sum_i \lambda_i P(w_{n-1},\ldots w_{n+i-j})$$

where  $\sum_i \lambda_i = 1$ . Our model also allows  $\alpha$  smoothing before interpolation. However, we just set it to be a rather small number to ensure we are testing interpolation.

### 7.2 Text generation

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#### 7.2.1 English

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#### 7.2.2 Germany

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#### 7.2.3 Spanish

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## 7.3 Perplexity

### 7.3.1 In Sample Perplexity

We first test the perplexity of our model in the training set.

English: 5.7247438596 Germany: 5.73215386696 Spanish: 5.63512952571

It can be seen that comparing to  $\alpha=0.1$  smoothing 6, the training perplexity is slightly lower.

#### 7.3.2 ID Again

Then let us try the language identification task again:

English: 7.72445631404 Germany: 17.082679386 Spanish: 18.3577246635

Comparing to the  $\alpha=0.1$  smoothing 6, clearly the interpolation method has lower perplexities for all languages even when the language is not correct. The reason behind this might be that all the models are bad, and simply unigram model might contribute a lot in predicting next character.

## References

Smith, J. M. and Jones, A. B. (2012). Chemistry. Publisher, 7th edition.