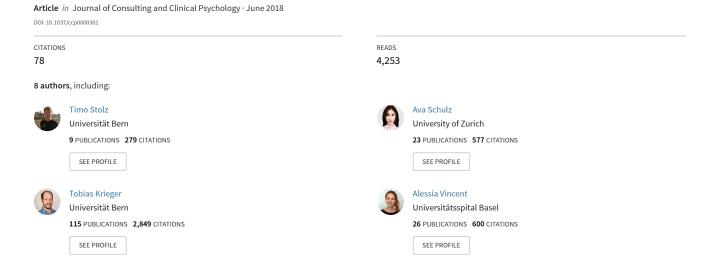
# A Mobile App for Social Anxiety Disorder: A Three-Arm Randomized Controlled Trial Comparing Mobile and PC-Based Guided Self-Help Interventions





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# A Mobile App for Social Anxiety Disorder: A Three-Arm Randomized Controlled Trial Comparing Mobile and PC-Based Guided Self-Help Interventions

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Objective: Internet-based cognitive-behavioral treatments (ICBT) have shown promise for various mental disorders, including social anxiety disorder (SAD). Most of these treatments have been delivered on desktop computers. However, the use of smartphones is becoming ubiquitous and could extend the reach of ICBT into users' everyday life. Only a few studies have empirically examined the efficacy of ICBT delivered through a smartphone app and there is no published study on mobile app delivered ICBT for SAD. This three-arm randomized-controlled trial (RCT) is the first to compare the efficacy of guided ICBT for smartphones (app) and conventional computers (PC) with a wait list control group (WL). Method: A total of 150 individuals meeting the diagnostic criteria for SAD were randomly assigned to one of the three conditions. Primary endpoints were self-report measures and diagnostic status of SAD. **Results:** After 12 weeks of treatment, both active conditions showed superior outcome on the composite of all SAD measures (PC vs. WL: d = 0.74; App vs. WL: d = 0.89) and promising diagnostic response rates ( $NNT_{PC} = 3.33$ ;  $NNT_{App} = 6.00$ ) compared to the WL. No significant between-groups effects were found between the two active conditions on the composite score (Cohen's d = 0.07). Treatment gains were maintained at 3-month follow-up. Program use was more evenly spread throughout the day in the mobile condition, indicating an integration of the program into daily routines. Conclusions: ICBT can be delivered effectively using smartphones.

# What is the public health significance of this article?

This study demonstrates that internet-based cognitive-behavioral treatments can be implemented by mobile devices, extending the reach into daily routines and fostering dissemination beyond the prevalence of desktop computers, for example in developing countries.

Keywords: social anxiety disorder, cognitive-behavioral treatment, internet intervention, smartphone app, mobile treatment

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Internet-based cognitive-behavioral treatments (ICBT) have shown clinical efficacy and effectiveness for a variety of mental disorders in a number of randomized controlled trials (RCTs; Andersson, Cuijpers, Carlbring, Riper, & Hedman, 2014; Hedman, Ljótsson, & Lindefors, 2012). Most of the promising evidence comes from studies evaluating guided ICBT, a treatment format in which patients are supported by therapists or coaches while they

work their way through a structured self-help program (Andersson, 2016).

One of the most researched disorders in ICBT is social anxiety disorder (SAD; Hedman, Botella, & Berger, 2016). A recent review identified 21 studies investigating Internet-based treatments for SAD comprising a total of 1,801 individuals suffering from SAD (Boettcher, Carlbring, Renneberg, & Berger, 2013). Overall, the vast majority of the RCTs investigating ICBT for SAD reported substantial reductions of social anxiety symptoms with large within-group effect sizes (Cohen's d > 0.80; Boettcher et al., 2013). Trials directly comparing ICBT for SAD with face-to-face therapy revealed that both conditions led to large and similar clinical improvements (Andrews, Davies, & Titov, 2011; Botella et al., 2010; Hedman, Andersson, et al., 2011). Furthermore, treatment gains were stable for up to 5 years after treatment termination and the available data also shows that ICBT can be a cost-effective alternative to traditional CBT for SAD (Hedman,

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Andersson, et al., 2011; Hedman, Furmark, et al., 2011). One study also examined negative effects of ICBT for SAD (Boettcher, Rozental, Andersson, & Carlbring, 2014). In this study, 14% of the participants described unwanted negative events that they related to the treatment. The emergence of new symptoms was the most commonly experienced negative effect, followed by the aggravation of social anxiety symptoms and negative well-being.

SAD is characterized by a marked and persistent fear of negative evaluation in social situations (American Psychiatric Association, 2013) and is one of the most common mental disorders with 12-month prevalence estimates of 7–8% in large-scale community-based studies (Kessler et al., 1994; Magee, Eaton, Wittchen, McGonagle, & Kessler, 1996). ICBT may be especially suitable for this patient group because the absence of face-to-face contact might reduce fear and thereby improve learning of psychoeducational contents of the treatment (Hedman et al., 2016). Furthermore, the majority of individuals suffering from SAD do not seek treatment or if they do, only after a long delay of up to several years (Wang et al., 2005). Olfson et al. (2000) found that a considerable number of individuals with SAD avoid seeking treatment because of their fear of what others (including the therapist) might think of them. ICBT may lower the threshold to seek help because socially anxious individuals experience more control and less threat of negative evaluation in online interactions (Lee & Stapinski, 2012).

So far, most evaluated Internet-based treatments have been delivered via desktop computers. However, the increased usage of smartphones presents promising opportunities to extend the reach of ICBT into users' everyday life, as these devices tend to be always on and within an arm's reach (Boschen & Casey, 2008; Ly et al., 2015). Mobile treatments might also have further advantages over more traditional ICBT because they allow users to perform and record exercises in their natural environment (Bang et al., 2007). Furthermore, the exercise instructions and supportive materials can be reviewed on demand in everyday situations (Watts et al., 2013). Moreover, assessment can occur right before and after critical events (Heron & Smyth, 2010) and thus may be less biased by, for example, postevent processing (i.e., the tendency for individuals with SAD to engage in a detailed review of events following a social interaction). This might lead to more valid diary entries and thereby to more specific feedback and a more appropriate sense of increasing progress later on (Mellings & Alden, 2000). More generally, the use of ICBT on a smartphone might lead to shorter but more frequent sessions and therefore facilitate learning (Bjork, Dunlosky, & Kornell, 2013). Lastly, a smartphone can be used more privately than a desktop computer that might be used by multiple people (Yuen, Goetter, Herbert, & Forman, 2012).

On the other hand, mobile treatments may also have specific drawbacks. For example, the small screen might cause problems with longer texts and the lack of a keyboard might reduce comfort. Consequently, contents might be skipped over and diary entries or exercise records might be shorter than on a computer with larger screens and keyboards, all lowering the depth of processing and thereby probably lowering outcome.

Up to now, only few studies have evaluated smartphonedelivered treatments. In their systematic review, Donker et al. (2013) could only identify a handful of smartphone applications (apps) that have been empirically and systematically evaluated

(with a total N = 227). This low number is surprising given the fact that about 18% of all 13,600 apps that had been published in 2013 targeted health behavior (Donker et al., 2013). To date, studies on mobile interventions target various health-related areas, such as depression (Burns et al., 2011; Ly et al., 2015; Ly, Trüschel, et al., 2014; Watts et al., 2013), SAD (Dagöö et al., 2014), stress reduction (Ly, Asplund, & Andersson, 2014), fitness (Fanning, Mullen, & McAuley, 2012), weight loss (Carter, Burley, Nykjaer, & Cade, 2013), smoking cessation (Whittaker, McRobbie, Bullen, Rodgers, & Gu, 2016), and borderline personality disorder with substance abuse (Rizvi, Dimeff, Skutch, Carroll, & Linehan, 2011). In an earlier study targeting SAD (Dagöö et al., 2014), interventions could be accessed through smartphones, tablet computers, and standard computers. Thus, and in contrast to the current study, Dagöö et al. (2014) investigated an intervention that was optimized for the use on various devices and did not evaluate an app that only could be installed and used on smartphones. In their rather small trial with a total of 52 participants, Dagöö et al. (2014) reported that participants used the smartphone 42.8%, the computer 50.1%, and the tablet computer 7.1% of the time. This study gave preliminary evidence that an intervention for SAD patients could be provided via smartphones. However, this study compared Internet-based cognitive behavior therapy with Internet-based interpersonal psychotherapy and did not include a comparison of smartphone versus computer-delivered treatment, therefore, more research is needed regarding smartphone-delivered treatments for SAD.

Overall, results from the abovementioned studies indicate that smartphone-delivered treatments might be feasible, acceptable, efficacious, and suited for CBT-based treatments. However, the available studies are rather small or uncontrolled, and larger controlled studies are needed. Moreover, some of the studies evaluated blended treatments in which smartphone apps and face-to-face therapies or regular ICBT delivered on desktop computers were combined (Dagöö et al., 2014; Ly et al., 2015; Proudfoot et al., 2013). Only two pilot studies compared mobile versus PC-based treatment delivery (Carter et al., 2013; Watts et al., 2013) and found no significant differences in efficacy. However, in these cases, this could be explained by limitations of sample size and power.

# Objective and Study Aim

To evaluate whether a previously validated Internet-based self-help program for SAD remains efficacious when delivered via smartphone app, we conducted a RCT in which we compared guided ICBT disseminated by means of a personal computer (PC) or an app with a wait list control group (WL). We hypothesized that both active treatment conditions would be superior to the WL. With regard to the active conditions, we did not have a clear hypothesis. There is neither evidence nor theory that could give conclusive hints to how assets and drawbacks of a mobile treatment might ultimately balance out. We also analyzed negative effects, usage patterns and predictors of outcome in the two active treatment conditions. To our knowledge, the new delivery option has never been systematically compared to the already well-established PC-based distribution in a large RCT.

### Method

# **Recruitment and Participant Characteristics**

Participants were recruited from the general population through newspaper articles, mental health related magazines, online forums, and interviews on radio and TV. In addition, several participants found our recruitment page via different search engines or links from other sites. The study website provided general information on SAD and its treatment, an outline of the study, a 24-hr emergency phone number and a registration form. Further study information and an informed consent form were provided via e-mail after registration.

Criteria for inclusion were (a) a minimum age of 18 years, (b) access to a computer and a smartphone both with Internet connection, (b) sufficient command of the German language, (c) exceeding the cut-off score on the Social Interaction Anxiety Scale (SIAS) or Social Phobia Scale (SPS), and (d) a primary diagnosis of SAD according to *DSM–IV*. Criteria for exclusion were (a) a history of psychotic or bipolar disorders, (b) other ongoing psychological treatment, (c) prescribed medication for anxiety or depression if the dosage had been changed during the last month prior to participation, or (d) active suicidal plans. Candidates with active suicidal plans were referred to a local psychiatrist or psychotherapist. Excluded persons were given access to the materials outside of the study if they were in a stable condition and were referred to other treatment options when needed.

# **Enrollment and Sampling Procedures**

After returning a signed copy of the informed consent form, participants were asked to fill in the web-based baseline questionnaires, including SAD measures, questions concerning demographic variables, current medication, and prior or ongoing psychological treatment. When exceeding predefined cut-offs on one of the two social anxiety measures (SPS > 22 or SIAS > 33; Mattick & Clarke, 1998; German version: Stangier, Heidenreich, Berardi, Golbs, & Hoyer, 1999), participants were interviewed by phone using the Structured Clinical Interview for DSM-IV—Axis I disorders (SCID; First, Spitzer, Gibbon, & Williams, 2002; German version by Wittchen, Zaudig, & Fydrich, 1997). The interviews were conducted by the authors and 10 advanced master students. All of them were previously trained in a workshop and further educated and tested in personal trial interviews with detailed feedback. In addition, interviewers were supervised by Timo Stolz and Thomas Berger. Interviewers could not be kept blind regarding group assignment at postassessment because some participants disclosed aspects of the group assignment during the interview.

A total of 853 individuals signed up on the study website. Two hundred fifteen individuals signed the informed consent and completed the questionnaires. Out of those, 65 did not pass inclusion and exclusion criteria, as depicted in Figure 1. The remaining 150 participants were then randomly assigned to one of the three conditions: app, PC, or WL. The digital allocation tickets were concealed from the investigators and were assigned using a computerized random number generator. After randomization, the participants received an e-mail regarding their allocation.

# **Study Design**

This RCT compared two active groups with either mobile or PC-based self-help to a WL. The WL received access to the mobile version after the treatment groups had completed the program. In the PC-based condition, access to the intervention was denied for mobile devices; participants in the mobile condition could only use the app on smartphones (iPhone or Android) to prevent shifts between the active conditions. Both treatments were not publicly available, as their use required participation in the study. All participants were guided by individual coaches during their active period. All groups were followed up until 3 months after treatment completion, as depicted in the flowchart (see Figure 1).

The trial was registered with controlled-trials.com (ISRCTN 10627379) and was approved by the Ethics Committee of the Canton of Bern, Switzerland (13/05/2014, ref: 063/14).

# **Clinical Intervention**

Participants of both active groups received access to the same self-help materials. The intervention is based on the cognitive model of Clark and Wells (1995) and informed by the treatment manual of Stangier, Heidenreich, and Peitz (2009). The same materials have already been tested and proven efficacious in earlier RCTs (Berger, Boettcher, & Caspar, 2014; Berger et al., 2011; Berger, Hohl, & Caspar, 2009, 2010; Boettcher, Berger, & Renneberg, 2012; Schulz et al., 2016). The layout was adjusted for the smaller screen sizes of smartphones. The treatment targets both behavioral and cognitive maintaining factors, such as self-focused attention, negative automatic thoughts, experiential avoidance, processing of self as an object under social evaluation, ruminative postevent processing of social situations, safety behaviors and biased perception of somatic and cognitive anxiety symptoms.

**Contents.** The self-help program and smartphone app were structured into eight modules:

- Motivational enhancement: Participants are engaged in thinking of reasons to initiate change; they define goals and start to record difficult situations.
- Psychoeducation: This module delivers information on SAD with a focus on maintaining processes.
- Cognitive restructuring: This module includes a thought diary to track negative beliefs in daily routine, alongside with exercises to formulate helpful thoughts.
- 4. Self-focused attention: Various exercises to intentionally direct attention away from oneself.
- Behavioral experiments: A training module for planning and tracking in vivo exposures. This is further detailed in the supplementary online materials, Supplemental Figure S5 and S6.
- Summary and repetition: The treatment's key elements in brief with an emphasis on the importance of repeated practice.
- Healthy lifestyle and problem solving: General information on health improving behaviors.

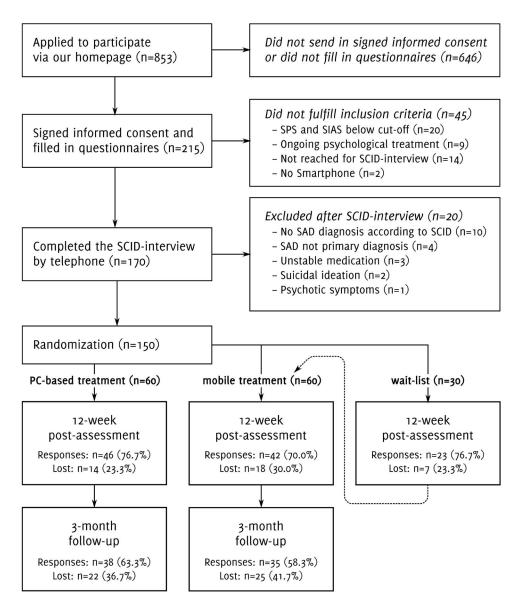


Figure 1. Selection, randomization and flow of participants throughout the trial. SCID = Structured Clinical Interview for *Diagnostic and Statistical Manual of Mental Disorders*, fourth edition (*DSM–IV*) Axis I disorders; SPS = Social Phobia Scale; SIAS = Social Interaction Anxiety Scale.

8. Relapse prevention: Strategies for maintaining the skills learned and preparation for possible relapses.

The participants were advised to work through one module per week and to start a new session after receiving a weekly feedback on their written diary entries and recent progress. At the end of the last module, participants were asked to repeat the exercises (e.g., exposure exercises) and to review the material as needed till postassessment at the end of Week 12. Completing one module unlocks the next, therefore, users are required to work through the contents sequentially.

**Guidance.** Timo Stolz and 10 advanced master's students in clinical psychology provided guidance during treatment. All coaches received a 1-day workshop in using the self-help program

and on how to write the weekly feedback, based on case material from earlier trials. In addition, the coaches were continuously supervised by Timo Stolz and Thomas Berger. Each coach cared for seven to 20 participants, monitored their progress in the program, and contacted them via a secured text-based messaging system once a week to provide feedback and encourage further engagement. Besides, participants could send messages with specific questions and expect a response within three working days. The coaches' main role was to reinforce independent program use and keep up the participants' motivation. In case there was no activity by a participant during the week, coaches offered their help and assistance and asked if he or she was facing any problem with the program or with the tasks.

# **Outcome Measures**

Participants completed all self-report measures using web-based versions at pretreatment, posttreatment (12 weeks) and follow-up (3 months after post).

Primary outcome measures. Primary outcome measures assessed self-reported symptoms of SAD using German versions of the SPS and SIAS and the Liebowitz Social Anxiety Scale—Self Report (LSAS-SR, Baker, Heinrichs, Kim, & Hofmann, 2002; Stangier & Heidenreich, 2004). These measures are commonly used in studies on SAD. The SPS and SIAS consist of each 20 items on a 5-point Likert scale, ranging from 0 to 4, resulting in a total score between 0 and 80. The LSAS-SR has 24 items, each of which is rated on a scale from 0 to 3. These three measures have shown to be valid and reliable with very good internal consistencies (Cronbach's alpha, SPS = .77-.94, SIAS = .84-.89, LSAS = .95), good retest-reliability ( $r_{tt}$ , SPS = .92, SIAS = .96, LSAS = .83) in English (Mattick & Clarke, 1998) and German samples (Stangier et al., 1999) and demonstrate similar properties when administered online (Cronbach's alpha, SPS = .89, SIAS = .86, Hedman et al., 2010). In the current sample, Cronbach's alpha for the SPS was .86 at pretreatment and .91 at posttreatment, the SIAS showed as of .88 at pretreatment and .94 at posttreatment, and the LSAS had  $\alpha s$  of .92 at pretreatment and .95 at posttreatment.

Besides these self-report measures, a second diagnostic interview was conducted at posttreatment to assess the number of participants no longer fulfilling the diagnostic criteria of SAD.

**Secondary outcome measures.** Secondary outcome measures consisted of self-reported assessments of depressive symptoms, interpersonal problems, quality of life, and overall psychiatric symptoms using the German versions of the Beck Depression Inventory-II (Hautzinger, Keller, & Kühner, 2006), the Inventory of Interpersonal Problems (IIP, Horowitz, Strauß, & Kordy, 2000), the psychological subscale of the Short Form-12 Health Survey (SF-12, Gandek et al., 1998), and the Brief Symptom Inventory (BSI, Derogatis, 1993; German version by Franke, 2000).

The Beck Depression Inventory-II is widely used to assess depressive symptoms both in research and in clinical practice. It consists of 21 items with Likert-ratings from 0 to 3 and robust psychometric properties for SAD clients in online assessments (Hedman et al., 2010). Cronbach's alpha in the current sample was .90 at pretreatment and .94 at posttreatment. The IIP was used to assess interpersonal problems. It has shown adequate psychometric properties (Horowitz, Rosenberg, Baer, Ureño, & Villaseñor, 1988) and consists of 64 items to be answered on a Likert scale from 0 to 4. We report mean scores over all items as a measure of interpersonal distress (McFarquhar, Luyten, & Fonagy, 2018; Tracey, Rounds, & Gurtman, 1996). Cronbach's alpha in the current sample was .91 at pretreatment and .95 at posttreatment.

The SF-12 is a briefer variant of the Short Form Health Survey (SF-36) and measures both physical and mental health. We only report the mental health subscale because physical health is not addressed by the treatment. Participants were asked to report the presence and severity of mental problems over the course of the last four weeks. The 12 items cover pain, psychological problems, as well as impairments in everyday functioning. With its good retest reliability and brevity, the SF-12 is widely used as an estimate of the general quality of life (Gandek et al., 1998). The BSI assesses psychological distress on nine dimensions such as

anxiety, insecurity in social situations, depressiveness and compulsivity. In the current sample, Cronbach's alpha for the BSI was .94 at pretreatment and .96 at posttreatment. The participants are asked to rate the occurrence of symptoms within the last week on a 5-point Likert scale from 0 to 4. In this study, we report the Global Severity Index (GSI), which is the mean score over all items. As an economic instrument with robust psychometric properties, the BSI is commonly administered to detect prepost changes (Franke, 2000).

### **Power Calculation**

The power analyses were conducted with G\*Power 3 (Faul, Erdfelder, Lang, & Buchner, 2007). We aimed at detecting small to medium effect sizes of f = 0.175 and larger with regard to Time × Group interactions for the active conditions at an alpha error level of .05. Smaller effect sizes were considered to be irrelevant from a clinical point of view. A power analysis revealed that 37 participants were needed per active treatment group to show such an effect with a power  $(1 - \beta)$  of .80, assuming correlations of r = .45 between pre- and postmeasures as previously found in similar trials on ICBT for SAD (Berger et al., 2011, 2009). Sample size was further estimated based on a drop-out rate of 25%. Based on this assumption, we would have needed 47 participants in each treatment group. We finally decided to randomize 60 participants to each of the active conditions. A sensitivity power analysis revealed that given this sample size, a significance level of p = .05, and minimum power of .80, the test of interaction effect was sufficiently sensitive to detect effect sizes of f = 0.130 which corresponds to a Cohen's d of 0.26. Furthermore, 30 participants were estimated to be sufficient for the WL because effect sizes between the WL and treatment groups were assumed to be large based on the aforementioned earlier trials.

# **Statistical Analyses**

All statistical analyses were performed with R (R Core Team, 2016) and the packages lme4 (Bates, Mächler, Bolker, & Walker, 2015) and mice (van Buuren & Groothuis-Oudshoorn, 2011). Analyses of variance (ANOVAs) and  $\chi^2$  tests were used to detect differences in demographic data, pretreatment measures, clinically significant improvement, and diagnostic status at posttreatment. Patterns of dropout were analyzed using a multivariate analysis of variance (MANOVA) and Little's (missing completely at random) MCAR test (Little, 1988). For the primary analysis of our results, we computed a composite score from the three social anxiety measures (SIAS, SPS, LSAS) to avoid multiple testing and potential inflation of alpha-error. Z-scores were standardized based on the pretreatment means and standard deviations. The composite score and, as secondary analyses, all primary and secondary outcome measures were analyzed with mixed-effect models using unstructured covariance matrices and restricted maximum likelihood estimation (Bates et al., 2015) with time-points nested within subjects. This approach uses all available data of each subject without substituting missing values and allows the inclusion of all participants in the analyses, following the intention-to-treat principle. For robustness, these analyses were repeated using multiple imputation and repeated-measures ANOVA with time (prepost) as a within-group factor and treatment condition as a between-groups

factor. The models were further examined using contrast analyses. Within- and between-groups effect sizes (Cohen's d) were calculated based on estimated means and the pooled standard deviation from the observed means. In addition, differences between the two active conditions were analyzed using a JZS Bayes factor t test. This test was computed using JASP (JASP Team, 2017) and JASPs' default priors together with a robustness analysis for various prior widths. Within-group changes in outcome scores from posttreatment to follow-up were analyzed for the active conditions only, as the WL was assigned to treatment after 12 weeks. This was done with another mixed-effects model per outcome measure that involved all time points to retain as much information as possible in case of missing values. Changes from post to follow-up were then tested with Tukey's honest significant difference (HSD) post hoc tests. We also report the number of participants who clinically significantly improved and who reliably deteriorated. Cases were counted as clinically significantly improved if they changed reliably according to the reliable change index (RCI; Jacobson, Follette, & Revenstorf, 1984) and belonged to a functional population at posttreatment according to criterion 'c' of Jacobson et al. (1984), and under consideration of the normative data provided by Stangier et al. (1999). As normative data had been unavailable for the LSAS, cases were counted as clinically significantly improved when the posttreatment score was at least 2 standard deviations below the baseline assessment, which is in accordance with criterion 'a' of Jacobson et al. (1984). The following thresholds were computed for the primary outcome measures: for the SPS, 15.34, RCI = 7.39; for the SIAS, 24.05, RCI = 10.33; and for the LSAS, 42.24, RCI = 23.89. Reliable deterioration was defined as a prepost increase on the SPS, the

SIAS or the LSAS of at least the RCI defined above. With regard to clinically significant improvement we also report numbers needed to treat (NNT). NNT is the number of patients who need to be treated to achieve one additional positive outcome—in comparison to another treatment or a placebo. When  $p_{WL}$  is the probability of remissions in the WL, and  $p_{App}$  is the probability of being cured by the app treatment, the *NNT* equals to  $1/(p_{App}-p_{WL})$ .

# **Results**

# **Pretreatment Evaluation**

The conditions did not differ in symptom severity or demographic variables with two exceptions: Participants in the mobile condition were less educated,  $\chi^2(6, N = 150) = 14.29$ , p = .03, V = 0.22, and had a higher GSI prescore, t(117.85) = -2.46, p = .02, d = 0.45, than participants in the PC-based condition. Table 1 provides further details.

# **Dropout Analysis**

In total, 39 participants (26%) did not complete the posttreatment assessment, although they had been invited three times in weekly intervals via e-mail (PC, n = 14; app, n = 18; and WL, n = 7). Noncompletion rates did not differ with respect to experimental group,  $\chi^2(2, N = 150) = 0.83$ , p = .66, V = 0.07, nor demographic data,  $\chi^2(1-16, N = 150) = 0.07-19.22$ , ps = .12-.79, V = 0.02-0.26, except for education: A Mann–Whitney test indicated that completers were significantly more educated than noncompleters, W = 2654, Z = 2.24, P = .02, r = .18.

Table 1
Baseline Demographics and Sample Characteristics for the Treatment and Control Groups

Variable	PC (n = 60)		App $ (n = 60)$		Wait-list $(n = 30)$		Statistic of difference				
	n	%	n	%	n	%	df	$\chi^2/F$	p	$R^2/V$	
Age, $M \mid SD$ (years)	34.6	12.0	34.7	9.9	35.2	12.1	2; 147	.04	.97	.004	
Gender							2	4.82	.09	.18	
Male	25	41.7	25	41.7	6	20.0					
Female	35	58.3	35	58.3	24	80.0					
Marital status							8	3.79	.88	.11	
Divorced	0	.0	1	1.7	0	.0					
Single	31	51.7	27	45.0	16	53.3					
Living together	14	23.3	17	28.3	7	23.3					
Married	15	25.0	14	23.3	7	23.3					
Widowed	0	.0	1	1.7	0	.0					
Highest education							6	14.29	.03	.21	
Compulsory school	1	1.7	5	8.3	2	6.7					
Apprenticeship	8	13.3	22	36.7	7	23.3					
College	20	33.3	10	16.7	6	20.0					
University	31	51.7	23	38.3	15	50.0					
Employment							10	6.66	.76	.14	
Unemployed	3	5.0	5	8.3	4	13.3					
Student	19	31.7	13	21.7	8	26.7					
Retired	2	3.3	5	8.3	1	3.3					
At-home parent	1	1.7	3	5.0	2	6.7					
Part-time paid work	11	18.3	12	20.0	6	20.0					
Full-time paid work	24	40.0	22	36.7	9	30.0					
Prior psychotherapy	34	56.7	43	71.7	16	53.3	2	4.06	.13	.16	
Current medication	9	15.0	15	25.0	2	6.7	2	5.07	.08	.18	

Note. App = App-based treatment condition; PC = personal computer-based treatment condition.

Furthermore, dropout was unrelated to symptom severity at baseline according to Little's MCAR test,  $\chi^2(6) = 9.34$ , p = .16, and confirmed with a MANOVA across all primary and secondary measures with missingness as grouping factor, F(6, 143) = 1.58, p = .16, partial  $\eta^2 = 0.06$ .

# **Treatment Outcomes**

Observed and estimated means for all self-report measures are presented in Table 2.

**Composite score.** A linear mixed model for composite symptoms showed a significant Time  $\times$  Group interaction, F(2, 120.09) = 14.18, p < .01. A consecutive contrast analysis showed that both active treatments outperformed the WL at post, t(119.46) = 5.08, p < .01, d = 1.07, with no significant difference between the active conditions but a trend in favor of the app condition, t(120.75) = 1.71, p = .09, d = 0.30.

**Separate measures.** Further linear mixed models with group as a fixed factor and time as a repeated factor (pre—post) were fitted separately for each of the dependent measures. Significant

Time  $\times$  Group interaction effects have been found for all primary and secondary outcomes, F(2, 117.46-121.83) = 4.08-11.71, ps = .01-.02. Consecutive contrast analyses showed that both active treatments were significantly superior to the WL on all involved measures, t(113.84-121.67) = -2.78-4.60,  $ps \le .01$ , ds = 0.53-1.01. However, there was no significant difference among the active conditions—neither on primary outcomes, t(117.90-121.49) = 1.28-1.61, ps = .11-.20, ds = 0.20-0.30, nor on secondary outcomes, t(114.85-123.14) = -0.71-1.06, ps = .29-.71, ds = -0.11-0.20, except for the GSI in which the mobile group fared significantly better, t(120.16) = 2.06, p = .04, d = 0.38. When adjusting the p values for multiple testing, both active treatments still significantly outperformed the WL on all involved measures, all adjusted  $ps \le .01$ .

Because neither of the active treatments was found to be superior over the other, neither on the primary outcomes nor on the secondary measures, standardized differences of estimated pre-to-post changes are reported together with their confidence intervals in the supplementary online materials. On the SPS, the SIAS, and

Table 2
Observed and Estimated Means for Primary and Secondary Outcome Measures and Within- and Between-Group Effect Sizes

	Pretreatment		Posttreatment (observed)		Posttreatment (estimated)	Follow-up (estimated)	1	ost within-group effect sizes imated means)	Between-group effect sizes at posttreatment (estimated means)					
Measure	M (SD)	n	M $(SD)$	n	M (SE)	M (SE)	d	95% CI	Groups	d	95% CI			
Composite									F(2, 120.08) = 14.21, p < .01					
PC	08(.9)	60	-1.26(1.0)	46	-1.28(.1)	-1.36(.1)	1.25	[0.82, 1.66]	App vs PC	.07	[34, .49]			
App	.14 (.7)	60	-1.37(.9)	42	-1.35(.1)	-1.41(.1)	1.84	[1.37, 2,31]	App vs WL	.89	[.35, 1.41]			
WL	13(.9)	30	45(1.0)	23	53(.2)		.44	[-0.11, 0.99]	PC vs WL	.74	[.22, 1.25]			
SPS									F(2, 117.24) = 9.77, p < .01					
PC	37.1 (13.2)	60	22.0 (12.9)	46	21.7 (1.8)	19.6 (1.9)	1.17	[0.76, 1.59]	App vs PC	08	[49, .34]			
App	41.1 (13.8)	60	22.0 (11.7)	42	22.6 (1.9)	20.6 (2.0)	1.42	[0.98, 1.86]	App vs WL	.92	[.38, 1.45]			
WL	38.9 (12.4)	30	34.7 (11.9)	23	33.5 (2.6)		.45	[-0.10, 1.00]	PC vs WL	.93	[.40, 1.45]			
SIAS									F(2, 120.88) = 10.38, p < .01					
PC	51.3 (15.0)	60	36.2 (16.9)	46	36.0 (2.0)	34.1 (2.1)	.97	[0.56, 1.37]	App vs PC	.19	[23, .61]			
App	52.3 (10.4)	60	33.2 (14.3)	42	33.0 (2.1)	31.3 (2.2)	1.59	[1.13, 2,03]	App vs WL	.60	[.08, 1.12]			
WL	46.6 (13.9)	30	43.3 (14.6)	23	41.6 (2.8)		.35	[-0.20, 0.90]	PC vs WL	.35	[16, .85]			
LSAS									F(2, 120.6)	(2) = 11.	74, p < .01			
PC	81.5 (22.1)	60	54.8 (12.3)	42	54.6 (3.2)	51.3 (3.5)	1.18	[0.76, 1.59]	App vs PC	.05	[37, .47]			
App	87.3 (19.6)	30	52.7 (13.9)	23	53.5 (3.3)	51.1 (3.6)	1.58	[1.13, 2,03]	App vs WL	.82	[.29, 1.35]			
WL	82.6 (20.7)	60	74.4 (.5)	46	74.0 (4.5)		.36	[-0.19, 0.91]	PC vs WL	.78	[.26, 1.29]			
BDI									F(2, 117.59) = 4.45, p = .01					
PC	17.2 (9.2)	60	10.3 (8.1)	46	10.2 (1.5)	9.9 (1.6)	.79	[0.39, 1.19]	App vs PC	30	[72, .12]			
App	19.6 (11.6)	60	12.7 (12.3)	42	13.3 (1.6)	13.7 (1.6)	.52	[0.12, 0.92]	App vs WL	.29	[22, .80]			
WL	17.4 (12.3)	30	18.2 (13.9)	23	17.0 (2.2)		.03	[-0.52, 0.57]	PC vs WL	.65	[.14, 1.16]			
GSI									F(2, 119.57) = 5.9, p < .01					
PC	1.2(.5)	60	.8 (.5)	46	.7(.1)	.7(.1)	.88	[0.47, 1.28]	App vs PC	08	[49, .34]			
App	1.5 (.6)	60	.8 (.6)	42	.8 (.1)	.8 (.1)	1.14	[0.72, 1.57]	App vs WL	.35	[16, .86]			
WL	1.3 (.6)	30	1.1 (.6)	23	1.0(.1)		.41	[-0.14, 0.96]	PC vs WL	.48	[03, .98]			
IIP									F(2, 114.3)	(33) = 6.9	4, p < .01			
PC	1.8 (.5)	60	1.5 (.5)	46	1.4(.1)	1.3(.1)	.70	[0.31, 1.10]	App vs PC	.06	[36, .48]			
App	1.8 (.4)	60	1.4(.5)	42	1.4(.1)	1.3(.1)	.96	[0.54, 1.37]	App vs WL	.60	[.07, 1.11]			
WL	1.8 (.5)	30	1.8 (.6)	23	1.7 (.1)	` ´	.12	[-0.42, 0.66]	PC vs WL	.54	[.03, 1.05]			
SF-12		F(2, 122.39) = 4.1, p = .02												
PC	34.0 (10.2)	60	41.8 (11.3)	46	41.4 (1.4)	41.8 (1.6)	69	[-1.09, -0.30]	App vs PC	.10	[31, .52]			
App	31.4 (8.7)	60	40.0 (10.4)	42	40.3 (1.5)	41.8 (1.7)	94	[-1.35, -0.52]	App vs WL	34	[85, .18]			
WL	34.9 (10.4)	30	35.9 (10.1)	23	36.8 (2.0)	. ,	19	[-0.73, 0.36]	PC vs WL	42	[93, .09]			

Note. App = App-based treatment condition; PC = PC-based treatment condition; WL = wait list control condition; Composite = Composite score across SPS, SIAS, and LSAS; SPS = Social Phobia Scale; SIAS = Social Interaction Anxiety Scale; LSAS = Liebowitz Social Anxiety Scale; BDI-II = Beck Depression Inventory-II; GSI = Global Severity Index; IIP = Inventory of Interpersonal Problems; SF-12 = Short Form-12 health survey, mental subscale.

the LSAS, the 95% confidence intervals of these differences are bounded to be lower than 0.12 SDs in favor of the PC-based version. Therefore, even in the strongest case there would only be a small effect in favor of the PC-based delivery option. Furthermore, a JZS Bayes factor t test suggested that the data was 9.89 times more likely to be observed when the mobile treatment was as efficacious as the PC-based version. This gives moderate evidence that the mobile treatment is at least not less efficacious than the PC-based version. Further details of the test are reported in the supplementary online materials, including a robustness analysis for a broad range of priors, Supplemental Figure S7.

# **Sensitivity Analysis**

Regarding the composite score and single primary outcomes, the same pattern of results was found (a) on completers' data, (b) using multiple imputation, and (c) when controlling for education, current medication or prior psychotherapy. The same pattern was also found for secondary outcomes, except for the GSI: The difference between both active groups was found to be significant with procedure (c) but not with procedures (a) or (b). Overall, the analyses of primary and secondary outcomes were robust. Nevertheless, results regarding the GSI have to be interpreted with caution. The significant results found in the corresponding linear mixed effects model could have been biased by dropout of more severely affected participants.

### **Effect Sizes**

Effect sizes (Cohen's d) are presented in Table 2. The between-groups effect sizes at posttreatment on the composed social anxiety measures were d=0.07 for app versus PC (in favor of the app), d=0.89 for app vs. WL, and d=0.74 for PC v. WL. Mean between-groups effect sizes on secondary outcome measures were d=0.10 for PC versus app (in favor of the PC-based version), d=0.39 for app vs. WL, and d=0.52 for PC v. WL. Within-group comparisons on the composite outcome and based on estimated means revealed large effect sizes in the PC-based group (d=1.25) as well as in the mobile group (d=1.84; see Table 2 for detailed information).

# **Clinically Significant Improvement**

The WL had no cases of clinically significant improvement (CSI) on the SPS and the SIAS, but two such cases (6.7%) on the LSAS. In contrast, the PC-based condition achieved higher amounts of such cases on the SPS (n=16 [26.67%], NNT = 3.75,  $>\chi^2(1, N=90)=7.99$ , p<.01, V=0.30), the SIAS (n=12 [20.00%], NNT = 5.00,  $\chi^2(1, N=90)=5.30$ , p=.02, V=0.24), and the LSAS (n=13 [21.67%], NNT = 6.67,  $\chi^2(1, N=90)=2.25$ , p=.13, ns, V=0.16). The same applies to the mobile condition, again on the SPS (n=12 [20.00%], NNT = 5.00,  $\chi^2(1, N=90)=5.30$ , p=.02, V=0.24), the SIAS (n=10 [16.67%], NNT = 6.00,  $\chi^2(1, N=90)=4.06$ , p=.04, V=0.21), and the LSAS (n=12 [20.00%], NNT = 7.50,  $\chi^2(1, N=90)=1.79$ , p=.18, ns, V=0.14). No significant differences were found between the two active conditions.

# **Diagnostic Status at Post-Treatment**

In total, 92 participants could be reached for a second clinical interview after the treatment (app, n=34 [57%]; PC, n=37 [62%]; and WL, n=21 [70%]; with  $\chi^2(2)=1.50$ , p=.47, for differences in response rate). To deal with a total of 39% of missing interviews, we administered several procedures to examine the fraction of cured participants. First, we analyzed completers only (PC: 14/37 [37.8%], app: 9/34 [26.5%]). Second, we considered all missings as still suffering from SAD (PC: 14/60 [23.3%], app: 9/60 [15.0%]). Third, we considered all missings as still suffering from SAD unless they showed a clinically significant improvement on the self-reported primary outcomes (PC: 18/60 [30.0%], app: 10/60 [16.7%]).

Regardless of the procedure, all participants in the WL were still diagnosed with SAD. The PC-based group fared significantly better than the WL, regardless of the adopted procedure,  $\chi^2(1, N=58-90)=6.61-9.45$ ,  $ps\leq .01$ , V=0.27-0.38. The mobile group showed significant differences for the first and the third procedure,  $\chi^2(1, N=55-90)=4.06-4.85$ , ps=.03-.04, V=0.21-0.30, but not for the second procedure,  $\chi^2(1, N=90)=3.47$ , p=.06, V=0.20. Differences between the active groups were not significant regardless of the approach.

# Treatment Effects at Three-Month Follow-Up

All analyses in this section only include the two active conditions, as the WL had already received access to the mobile version of the treatment. Mixed-models analyses including pre, post, and follow-up scores showed significant time effects for all scales, F(2, 166.86-176.07) = 31.99-150.09, ps < .01. Contrast analyses indicate that follow-up scores improved from baseline, t(170.41-180.46) = -14.96-8.07, ps < .01, ds = 0.75-1.52, and post hoc tests using Tukey's HSD indicate stability from posttreatment to follow-up, as no significant changes were detected, ps = .05-.98. All Time  $\times$  Group interactions were nonsignificant, F(2, 166.86-176.07) = 0.23-2.04, ps = .13-.79, thus through all time points, neither of the delivery options were significantly superior.

# **Reliable Deterioration**

Two participants (1.3%) achieved reliable deterioration on the SPS (app, n = 1, WL, n = 1) and one participant (0.7%) deteriorated reliably in the PC-based group on the SIAS.

# **Program Usage**

Details on program usage are provided as supplementary online material. In summary, the mobile treatment was used less extensively in terms of (a) the number of performed exercises, (b) recorded diary entries, (c) number and length of messages written by patients, (d) single actions like clicks or taps, (e) time spent using the program, and (f) completed modules. In addition, residualized posttreatment outcome was predicted by active program usage. However, significant correlations were found in the mobile condition only. The most influential predictor was the number of tracked exposure exercises ( $\rho = .47$ , p < .01). For these correlative analyses, residual gain scores of the composite outcome and computed Spearman's rank correlation coefficients were used.

Single actions like clicks or taps were distributed differently over the course of the day in the two conditions (Figure 3 and 4). In the mobile condition, the usage was spread more evenly across the day, whereas members of the PC-based condition performed a higher fraction of their work during the night (see Figure 3). A Kolmogorov–Smirnov test suggested nonequal distributions, D = 0.14, p < .01. Furthermore, there was a significant Hour X Group interaction for the count of actions, F(23, 1553.87) = 1.93, p = .01, indicating a different usage pattern over time in the two conditions. Finally, usage decreased significantly after postassessment, however, without significant differences among the active conditions.

# Discussion

This RCT compares the efficacy of a mobile and a PC-based SAD treatment program to a WL. To the best of our knowledge, this is the first large RCT comparing mobile and PC-based dissemination of the same guided self-help treatment. In addition, it is the largest trial using smartphones to target a specific disorder. We found two prior pilot studies that have been either rather small (Watts et al., 2013;  $N_{PC-vs.-App} = 35$ ) or were not targeting a specific psychological disorder (weight loss; Carter et al., 2013;  $N_{PC-vs.-App} = 85$ ). In the present study, both treatment formats were effective in reducing symptoms of SAD and increasing psychological well-being after 12 weeks of treatment. The withingroup effect sizes of d = 1.84 (mobile) and d = 1.25 (PC) are in line with recent meta-analyses on ICBT for SAD (Andrews, Cuijpers, Craske, McEvoy, & Titov, 2010; Spek et al., 2007) and earlier trials using the same materials (Berger et al., 2009, 2010, 2011; Boettcher et al., 2012; Schulz et al., 2016). Of all treated participants, 28.3% achieved clinically significant improvement on at least one of the primary outcome scales (mobile: 23.3%, PC: 33.3%), compared to none in the WL. Furthermore, 19.2% of the participants did not fulfill the diagnostic criteria of SAD at posttreatment when missings were regarded as failures to provide conservative estimates (mobile: 15.0%, PC: 23.3%) compared to none in the WL. Improvements were maintained six months after randomization. Given that 62.0% of participants had been treated with psychotherapy in the past and that participants showed high comorbidity rates, these results can be interpreted as encouraging.

The effect sizes between the active treatment groups and the WL were at d = 0.89 (mobile) and d = 0.74 (PC) for the composite social anxiety score. These between-groups effect sizes can be compared with the effects on traditional therapist-delivered CBT reported in a meta-analysis by Acarturk, Cuijpers, van Straten, and de Graaf (2009), in which a subgroup analysis of 35 studies with wait list comparisons revealed a mean effect size of d = 0.86 on social anxiety measures for therapist-delivered CBT, cognitive therapy, social skills training, relaxation, and/or exposure. However, the between-groups effect sizes in the current study are slightly below the effect sizes reported in a more recent metaanalysis which found standardized mean differences of Hedges' g = 1.19 for individual CBT versus wait lists (Mayo-Wilson et al., 2014). Also, the percentage of participants who clinically significantly improved was below the range of that reported in studies on evidence-based face-to-face CBT, though comparisons are difficult because definitions of clinically significant improvement vary across studies. For instance, a trial on face-to-face CBT based on

the same approach as our study (Clark & Wells, 1995) reported high clinically significant improvement rates of 74% on the SPS and of 63% on the SIAS for individual CBT (Stangier, Heidenreich, Peitz, Lauterbach, & Clark, 2003). However, the mean scores of the two studies at posttreatment are quite similar (e.g., SPS posttreatment Stangier et al.: 21.5 vs. SPS posttreatment present study: 22.0). Thus, the low CSI rates in the current study may be partly due to a conservative estimate. Another reason for the low CSI rates is that the present sample was highly impaired. Pretreatment scores on social anxiety measures in the current study were about half a standard deviation higher than pretreatment scores reported in studies on face-to-face CBT (e.g., Stangier, Heidenreich, Peitz, et al., 2003). The high scores at pretreatment could have reduced the chance of falling below the cut-off. Furthermore, the high pretreatment scores also indicate that our sample may be representative for a severely impaired population of SAD patients that typically seek information about the disorder on the Internet (Erwin, Turk, Heimberg, Fresco, & Hantula, 2004).

Regarding the comparison between the two active conditions, neither of the two treatment formats was significantly superior to the other, except for the GSI in which the mobile group showed greater improvements. However, the difference in gains is small and might in part be explained by regression toward the mean, as the mobile group had a significantly higher GSI prescore. With regard to the primary self-report measures, the mobile treatment can be considered as efficacious as the PC-based version, although the mobile version was less extensively used in terms of (a) performed exercises, (b) recorded diary entries, (c) number and length of written messages, (d) single actions like clicks or taps, (e) time spent using the program, and (f) completed modules. Taken together, the mobile delivery might require a smaller dose to achieve the same effect. This might be due to different mechanisms of change, which are indicated by the differing correlations of usage and outcome and, even more, by the different usage patterns during the course of a typical day. However, only little is known about the association of adherence, usage patterns, and outcome in Internet-based treatments (Donkin et al., 2011). Thus, further research should address differences in mechanisms of change for mobile and PC-based delivery. It could be assumed that exposure exercises have a stronger impact on outcome when they are prepared on a mobile device and then conducted spontaneously, whenever the opportunity arises. It might be of further advantage that the exercise can then be immediately recorded. This immediacy prevents postevent processing from diminishing the sense of progress. However, and importantly, according to the current findings an evidence-based treatment can be presented both on mobile devices and on the PC without altering the efficacy.

# Limitations

Several limitations of this study need to be considered. First, participants had to work either with a PC or with a smartphone and could therefore not be blinded to treatment allocation. The same is true for their guiding coaches and the diagnostic interviewers, as most of the participants unwittingly revealed their mode of treatment within the messages and/or during the interview and no measures were taken to prevent participants from breaking the blind. In addition, the interrater reliability of SAD diagnoses was not formally established. Second, the findings provide evidence for

this particular treatment and cannot be easily generalized to other programs or disorders. However, similar results were found in small pilot studies on depression (Watts et al., 2013) and weight loss (Carter et al., 2013), which also compared mobile and PCbased treatments, and one trial with a mobile treatment for SAD is announced (Miloff, Marklund, & Carlbring, 2015). Nevertheless, findings from this trial should be replicated in other disorders. Third, the limited power of this study did not allow us to detect small effects between the two active groups at posttreatment. At the level of an individual treatment, such small effects might be less important than other therapy-related factors, but from a public health perspective, small effects with large populations can have great societal impact. Therefore, our findings should be replicated in larger samples and in an unguided format, because unguided treatments would be especially suitable for public health interventions. To deal with power limitations in this trial, interaction effects were analyzed using contrast analyses to provide a more sensitive estimation of differences in efficacy between the active conditions. Contrast analyses have more power as opposed to comparisons at one point in time, as they can account for repeated measures and thus are able to assign variance within the subjects. Furthermore, a JZS Bayes factor t test was added to assess the likelihood of similar effects for both active conditions. This test suggested that the data was 9.89 times more likely to be observed when the mobile treatment was at least as efficacious as the PC-based version, instead of the PC-based version being truly superior. Fifth, this study tested a self-selected sample with individuals who expressed their interest in an Internet-based delivery. In addition, treatment expectancy was not assessed. Therefore, we could not establish whether the results might depend on particularly motivated patients. However, other studies indicate that Internet-based interventions work just as well in routine practice as in research (e.g., Andersson & Hedman, 2013).

# Conclusion

Despite these limitations, the present study extends the existing knowledge on ICBT by systematically testing a novel delivery option. Besides easier integration in daily life and routine, mobile CBT could have promise for low and middle-income countries due to a large unmet need for mental health services (Saraceno et al., 2007), in which the coverage of smartphones with an Internet subscription is much higher than those of online desktop computers might ever be (Aranda-Jan, Mohutsiwa-Dibe, & Loukanova, 2014).

In conclusion, this study provides evidence that SAD can be effectively treated with mobile self-help applications, with treatment gains being maintained 6 months after randomization.

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