

PATTERNS IN INTERPRETATION

PSRs

$$\left\{ \begin{array}{l} S \rightarrow NP \ VP \\ NP \rightarrow (D) \ N' \\ N' \rightarrow (Adj) \ N \\ VP \rightarrow V \ (NP) \end{array} \right\}$$

INTERPRETATIONAL RULES

$$\left\{ \begin{array}{l} \llbracket S \rrbracket = \text{TRUE} \text{ iff } \llbracket NP \rrbracket \in \llbracket VP \rrbracket \\ \llbracket NP \rrbracket = x, x \in \llbracket N' \rrbracket \\ \llbracket N' \rrbracket = \llbracket Adj \rrbracket \cap \llbracket N \rrbracket \\ \llbracket VP \rrbracket = \{x: \langle x, \llbracket NP \rrbracket \rangle \in \llbracket V \rrbracket\} \end{array} \right\}$$

ELC 231: Introduction to Language and Linguistics

Syntax & Semantics: The Syntax-Semantics Interface

Dr. Meagan Louie

Core Subdomains

Linguistics: The study of Language

- Phonetics
- Phonology
- Morphology
- Syntax
- Semantics
- Pragmatics

Core Subdomains: Last Week - Morphology and Syntax

Linguistics: The study of Language

- Phonetics
- Phonology
- **Morphology**
- **Syntax**
- Semantics
- Pragmatics

Core Subdomains: This Week - Syntax-Semantics

Linguistics: The study of Language

- Phonetics
- Phonology
- Morphology
- **Syntax**
- **Semantics**
- Pragmatics

Core Subdomains: Syntax

- **Syntax:** The study of **phrase-** and **sentence-formation** in language
- 1 The key notion of CONSTITUENCY and STRUCTURE
 - 2 PHRASE STRUCTURE RULES (PSRs)
 - 3 PRODUCTIVITY as a Design Feature

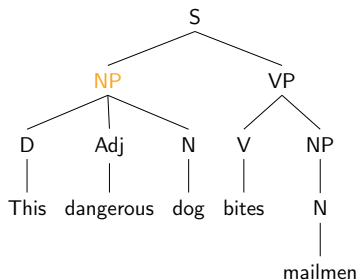
Core Subdomains: Semantics

- **Semantics:** The study of **MEANING** in language
- 1 **Review:** Meaning as **TRUTH** and **REFERENCE**
- 2 **REVIEW:** **COMPOSITIONALITY**
- 3 **A SEMANTIC INTERPRETATION SYSTEM FOR LANGUAGE**
 - (i) The Model/Ontology
 - (ii) Lexical Entries
 - (iii) Compositional Rules (i.e., how to semantically interpret PSRs)

Basic Syntactic Theory: Phrase Structure Rules

Q: How are sentences made?

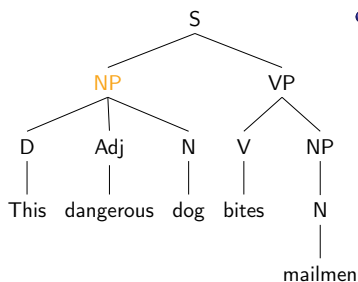
H1: Sentences are made up of CONSTITUENTS



Basic Syntactic Theory: Phrase Structure Rules

Q: How are sentences made?

H1: Sentences are made up of CONSTITUENTS

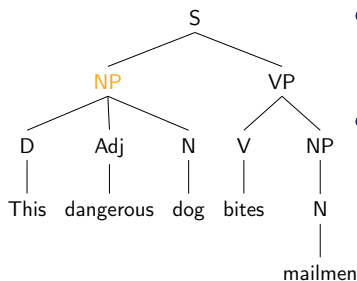


- Constituents are formed from words with **PHRASE-STRUCTURE RULES (PSRs)**

Basic Syntactic Theory: Phrase Structure Rules

Q: How are sentences made?

H1: Sentences are made up of **CONSTITUENTS**



- Constituents are formed from words with **PHRASE-STRUCTURE RULES** (PSRs)

- PSRs restrict **WORD ORDER**

eg., English PSRs

$S \rightarrow NP VP$

$NP \rightarrow (D) (Adj) N$

$VP \rightarrow V (NP)$

About Phrase Structure Rules (PSRs)

Idea: Each language, X , has a set of **PSRs**:

- Any sentence generated by the PSRs is grammatical in X
- Only the sentences generated by the PSRs are grammatical in X

English PSRs

$$\left\{ \begin{array}{l} S \rightarrow NP (V_{AUX}) VP \\ NP \rightarrow (D) (Adj) N \\ VP \rightarrow V (NP) \end{array} \right\}$$

“The big dog has eaten the raw steak”

D Adj N V_{aux} V_{ptc} D Adj N

Q: Can the English PSRs generate a tree structure for this sentence?

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$$\left\{ \begin{array}{l} S \rightarrow NP (V_{AUX}) VP \\ NP \rightarrow (D) (Adj) N \\ VP \rightarrow V (NP) \end{array} \right\}$$

“Le gros chien a mangé le steak cru”

D Adj N V_{aux} V_{ptc} D N Adj

Q: Can the English PSRs generate a tree structure for *this* sentence?

About Phrase Structure Rules (PSRs)

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French PSRs

$$\left\{ \begin{array}{l} S \rightarrow NP (V_{AUX}) VP \\ NP \rightarrow (D) (ADJ_{SIZE}) N (ADJ) \\ VP \rightarrow V (NP) \end{array} \right\}$$

“Le gros chien a mangé le steak cru”

D Adj N V_{aux} V_{ptc} D N Adj

Q: Can these PSRs generate a tree structure for this sentence?

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/ookina inu-ga sute:ki-o tabemashita/
Adj N_{nom} N_{acc} V

Q: Can the French PSRs generate a tree structure for *this* sentence?

About Phrase Structure Rules (PSRs)

Idea: Each language, X, has a set of **PSRs**:

- Any sentence generated by the PSRs is grammatical in X
- Only the sentences generated by the PSRs are grammatical in X

Japanese PSRs

$$\left\{ \begin{array}{l} S \rightarrow NP \ VP \\ NP \rightarrow (Adj) \ N \\ VP \rightarrow (NP) \ V \end{array} \right\}$$

/ookina inu-ga sute:ki-o tabemashita/

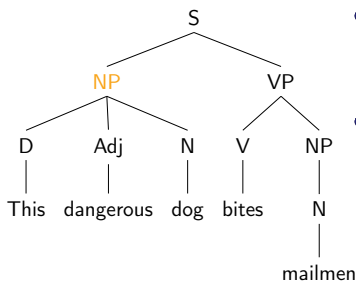
Adj N_{nom} N_{acc} V

Q: Can these PSRs generate a tree structure for the sentence?

Basic Syntactic Theory: Phrase Structure Rules

Q: How are sentences made?

H1: Sentences are made up of **CONSTITUENTS**



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- PSRs restrict **WORD ORDER**

eg., English PSRs

$S \rightarrow NP VP$

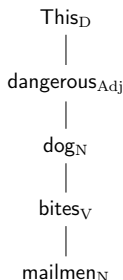
$NP \rightarrow (D) (Adj) N$

$VP \rightarrow V (NP)$

Basic Syntactic Theory: Phrase Structure Rules

Q: How are sentences made?

H2: Sentences are strings of words with a flat structure



Basic Syntactic Theory: Phrase Structure Rules

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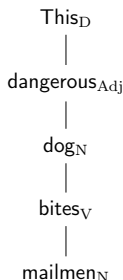
This_D
|
dangerous_{Adj}
|
dog_N
|
bites_V
|
mailmen_N

- Strings are formed from words with
SENTENCE-STRING RULES

Basic Syntactic Theory: Phrase Structure Rules

Q: How are sentences made?

H2: Sentences are strings of words with a flat structure



- Strings are formed from words with
SENTENCE-STRING RULES

- These rules restrict **WORD ORDER**

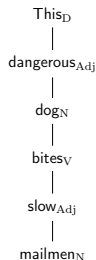
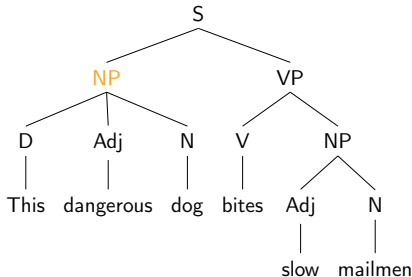
eg., English Sentence-String Rule

$$S \rightarrow (D) (Adj) N V (D) (Adj) (N)$$

Basic Syntactic Theory: Phrase Structure Rules

Q: Is there any **INDEPENDENT** evidence for **CONSTITUENTS**?

H1: Hierarchically-Ordered Constituents **H2:** Linearly-Ordered Words



Basic Syntactic Theory: Constituents

Q: Is there independent evidence for constituents?

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- **Q:** How do you form Y/N Questions in English?

Basic Syntactic Theory: Constituents

Q: Is there independent evidence for constituents?

- **Q:** How do you form Y/N Questions in English?

(1) English Y/N Questions 1

a. Clifford **WILL** jump over the house

b. **WILL** Clifford ~~will~~ jump over the house?

Basic Syntactic Theory: Constituents

Q: Is there independent evidence for constituents?

- Q:** How do you form Y/N Questions in English?

(1) English Y/N Questions 1

a. Clifford **WILL** jump over the house

b. **WILL** Clifford ~~will~~ jump over the house?

- H1:** A rewrite rule based on linear-order

1 2 3 4 5 ... → 2 1 3 4 5 ...

(1) ✓

Basic Syntactic Theory: Constituents

Q: Is there independent evidence for constituents?

- Q:** How do you form Y/N Questions in English?

(2) English Y/N Questions 2

a. The **BIG** red dog will jump over the house

b. ***BIG** the ~~big~~ red dog will jump over the house?

- H1:** A rewrite rule based on linear-order

1 **2** 3 4 5 ... → **2** 1 3 4 5 ...

(2) ✗

Basic Syntactic Theory: Constituents

Q: Is there independent evidence for constituents?

- **Q:** How do you form Y/N Questions in English?

(2) English Y/N Questions 2

- The big red dog **WILL** jump over the house
- WILL** the big red dog ~~will~~ jump over the house?

Basic Syntactic Theory: Constituents

Q: Is there independent evidence for constituents?

- **Q:** How do you form Y/N Questions in English?

(2) English Y/N Questions 2

- The big red dog **WILL** jump over the house
- WILL** the big red dog ~~will~~ jump over the house?

- **H2:** A rewrite rule based on linear-order, sensitive to lexical category

$$X\ Y\ \text{Aux}_1\ Z\ W\ \dots \rightarrow \text{Aux}_1\ X\ Y\ Z\ W\dots \quad (2) \checkmark$$

Basic Syntactic Theory: Constituents

Q: Is there independent evidence for constituents?

- **Q:** How do you form Y/N Questions in English?

(1) English Y/N Questions 1

- Clifford **WILL** jump over the house
- WILL** Clifford ~~will~~ jump over the house?

- **H2:** A rewrite rule based on linear-order, sensitive to lexical category

$$X\ Y\ \text{Aux}_1\ Z\ W\ \dots \rightarrow \text{Aux}_1\ X\ Y\ Z\ W\ \dots \quad (1) \checkmark$$

Basic Syntactic Theory: Constituents

Q: Is there independent evidence for constituents?

- **Q:** How do you form Y/N Questions in English?

(3) English Y/N Questions 3

- The dog that Beth **MIGHT**₁ buy will₂ jump over the house
- MIGHT**₁ the dog that Beth ~~might~~ buy will₂ jump over the house?

- **H2:** A rewrite rule based on linear-order, sensitive to lexical category

$$X\ Y\ \text{Aux}_1\ Z\ W\ \dots \rightarrow \text{Aux}_1\ X\ Y\ Z\ W\ \dots \quad (3) \times$$

Independent Evidence for Constituents

- (1) English Y/N Questions 1: H1 ✓, H2 ✓
- a. Clifford WILL jump over the house
 - b. WILL Clifford ~~will~~ jump over the house?
- (2) English Y/N Questions 2: H1 ✗, H2 ✓
- a. The big red dog WILL jump over the house
 - b. WILL the big red dog ~~will~~ jump over the house?
- (3) English Y/N Questions 3: H1 ✗, H2 ✗
- a. The dog that Beth might buy WILL jump over the house
 - b. WILL₁ the dog that Beth might buy ~~will~~ jump over the house?

Observation: The V_{aux} that moves appears after the first NP

- (1) English Y/N Questions 1: H1 ✓, H2 ✓
- a. [Clifford]_{NP} WILL jump over the house
 - b. WILL Clifford ~~will~~ jump over the house?
- (2) English Y/N Questions 2: H1 ✗, H2 ✓
- a. [The big red dog]_{NP} WILL jump over the house
 - b. WILL the big red dog ~~will~~ jump over the house?
- (3) English Y/N Questions 3: H1 ✗, H2 ✗
- a. [The dog that Beth might buy]_{NP} WILL jump over the house
 - b. WILL₁ the dog that Beth might buy ~~will~~ jump over the house?

Basic Syntactic Theory: Constituents

Q: Is there independent evidence for constituents?

- **Q:** How do you form Y/N Questions in English?

Basic Syntactic Theory: Constituents

Q: Is there independent evidence for constituents?

- **Q:** How do you form Y/N Questions in English?
- **H3:** Rewrite rule based on constituents, sensitive to lexical category
 $[X\ Y\ \dots]_{NP}\ AUX_1\ Z\ W\ \dots \rightarrow AUX_1\ [X\ Y\ \dots]_{NP}\ Z\ W\ \dots$

Basic Syntactic Theory: Constituents

Q: Is there independent evidence for constituents?

- **Q:** How do you form Y/N Questions in English?
- **H3:** Rewrite rule based on constituents, sensitive to lexical category
 $[X\ Y\ \dots]_{NP}\ \text{AUX}_1\ Z\ W\ \dots \rightarrow \text{AUX}_1\ [X\ Y\ \dots]_{NP}\ Z\ W\ \dots$

(3) English Y/N Questions 3: H1 ✗, H2 ✗, H3 ✓

- a. $[The\ dog\ that\ Beth\ might\ buy]_{NP}\ \text{WILL}\ jump\ over\ the\ house$
- b. $\text{WILL}_1\ the\ dog\ that\ Beth\ might\ buy\ will\ jump\ over\ the\ house?$

Basic Syntactic Theory: Constituents

Q: Is there independent evidence for constituents?

- Q: How do you form Y/N Questions in English?
- H3: Rewrite rule based on constituents, sensitive to lexical category
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Basic Syntactic Theory: Constituents

Q: Is there independent evidence for constituents?

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- H3:** Rewrite rule based on constituents, sensitive to lexical category

$[X\ Y\ \dots]_{NP}\ AUX_1\ Z\ W\ \dots \rightarrow AUX_1\ [X\ Y\ \dots]_{NP}\ Z\ W\ \dots$

(2) English Y/N Questions 2:

H1 ✗, H2 ✓, H3 ✓

a. $[The\ big\ red\ dog]_{NP}\ WILL\ jump\ over\ the\ house$

b. $WILL\ the\ big\ red\ dog\ will\ jump\ over\ the\ house?$

Basic Syntactic Theory: Constituents

Q: Is there independent evidence for constituents?

- **Q:** How do you form Y/N Questions in English?
- **H3:** Rewrite rule based on constituents, sensitive to lexical category
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- **H3:** Rewrite rule based on constituents, sensitive to lexical category
 $[X\ Y\ \dots]_{NP}\ \text{AUX}_1\ Z\ W\ \dots \rightarrow \text{AUX}_1\ [X\ Y\ \dots]_{NP}\ Z\ W\ \dots$

(1) English Y/N Questions 1: H1 ✓, H2 ✓, H3 ✓

- $[\text{Clifford}]_{NP}\ \text{WILL}\ \text{jump over the house}$
- $\text{WILL}\ \text{Clifford}\ \text{will jump over the house?}$

Basic Syntactic Theory: Constituents

Q: Is there independent evidence for constituents?

- Q:** How do you form Y/N Questions in English?

	Data (1)	Data (2)	Data (3)
H1	✓	✗	✗
H2	✓	✓	✗
H3	✓	✓	✓

Basic Syntactic Theory: Constituents

Q: Is there independent evidence for constituents?

- Q:** How do you form Y/N Questions in English?

	Data (1)	Data (2)	Data (3)
H1	✓	✗	✗
H2	✓	✓	✗
H3	✓	✓	✓

- Observation:** Only the hypothesis that makes reference to a constituent (H3) can account for all of the Y/N question data
→ Y/N Q-formation provides independent evidence for constituents

Basic Syntactic Theory: Constituents

Q: Is there independent evidence for constituents?

- Q:** How do you form Y/N Questions in English?

	Data (1)	Data (2)	Data (3)
H1	✓	✗	✗
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- Observation:** Only the hypothesis that makes reference to a constituent (H3) can account for all of the Y/N question data
→ Passive-formation also requires a constituent-sensitive rule

Basic Syntactic Theory: Constituents

Q: Is there independent evidence for constituents?

- Q:** How do you form Y/N Questions in English?

	Data (1)	Data (2)	Data (3)
H1	✓	✗	✗
H2	✓	✓	✗
H3	✓	✓	✓

- Observation:** Only the hypothesis that makes reference to a constituent (H3) can account for all of the Y/N question data
→ Using “and” and “or” also provide evidence for constituents

Basic Syntactic Theory: Constituents

Q: Is there independent evidence for constituents?

- **Q:** What strings of words can be conjoined with “and”?

Basic Syntactic Theory: Constituents

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- **Q:** What strings of words can be conjoined with “and”?

- (4)
- a. Elizabeth bought a puppy.
 - b. [Elizabeth and her mother] bought a puppy.
 - c. Elizabeth [bought a puppy and read a book]
 - d. *Elizabeth [bought a and hugged the] puppy

Basic Syntactic Theory: Constituents

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- Idea:** Only matching **CONSTITUENTS** can be conjoined with “and”

Basic Syntactic Theory: Constituents

Q: Is there independent evidence for constituents?

- Q:** What strings of words can be conjoined with “or”?

- (5) a. Elizabeth bought a puppy.
b. [Elizabeth or her mother] bought a puppy.
c. Elizabeth [bought a puppy or read a book]
d. *Elizabeth [bought a or hugged the] puppy

- Idea:** Only matching **CONSTITUENTS** can be conjoined with “or”

Basic Syntactic Theory: Constituents

Q: Is there independent evidence for constituents?

- **Hypothesis:** Only matching **CONSTITUENTS** can be conjoined

Basic Syntactic Theory: Constituents

Q: Is there independent evidence for constituents?

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→ Conjunction (use of “and/or”) is
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- We can also use conjunction as a **DIAGNOSTIC**
for whether a string of words is a constituent

Basic Syntactic Theory: Constituents

Q: Is there independent evidence for constituents?

- **Hypothesis:** Only matching **CONSTITUENTS** can be conjoined
→ Conjunction (use of “and/or”) is
independent evidence for constituents
- **We can also use conjunction as a **DIAGNOSTIC****
for whether a string of words is a constituent
- i.e., if a string of lexical categories $A\ B\ C$ can be conjoined with
“and/or,” that provides evidence that $A\ B\ C$ is a constituent

Constituency Tests: Conjunction Test

- **Assumption:** Only matching **CONSTITUENTS** can be conjoined
- i.e., if a string of lexical categories $A B C$ can be conjoined with “and/or,” that provides evidence that $A B C$ is a constituent

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- (6)
- a. Hermione bought the orange cat
 - b. Hermione bought the [orange cat and expensive book]
 - c. Hermione bought the [orange cat or expensive book]

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- Our current PSR $NP \rightarrow (D) (Adj) N$ doesn't account for this data!

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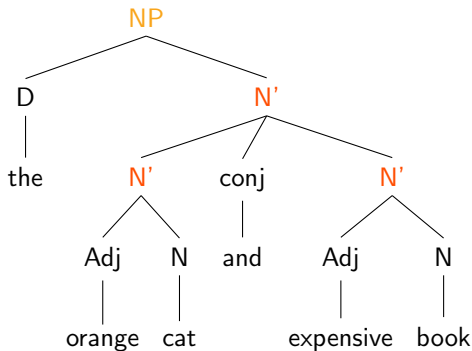
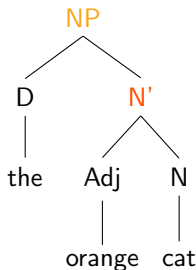
- Our current PSR $NP \rightarrow (D) (Adj) N$ doesn't account for this data!
- Should we propose a new type of constituent $[Adj N]_N$?

Revised PSRs: Evidence for N'

- (7) a. Hermione bought the orange cat
b. Hermione bought the [orange cat and/or expensive book]

Revised PSRs: Evidence for N'

- (7) a. Hermione bought the orange cat
 b. Hermione bought the [orange cat and/or expensive book]



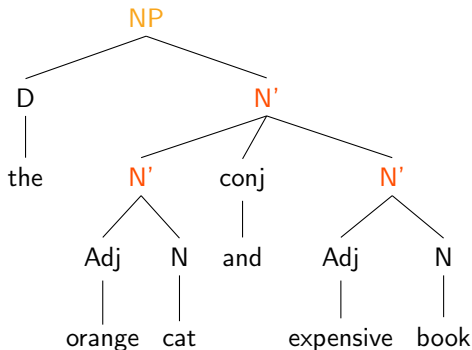
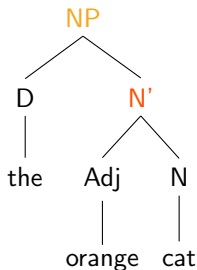
Revised PSRs: Evidence for N'

1 $NP \rightarrow (D) N'$

2 $N' \rightarrow (Adj) N$

3 $X \rightarrow X \text{ conj } X$

(Conjunction Rule)



Basic Syntactic Theory: Constituents

There independent evidence for constituents

→ There are also systematic patterns in [MEANING/INTERPRETATION...](#)

→ These patterns only be described with rules
that make reference to constituents

(i.e., there is *semantic*, as well as *syntactic*
evidence for constituents)

Basic Syntactic Theory: Constituents

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Patterns in Interpretation

Review: Types of Word-Formation

1 CONCATENATION

Combining morphemes together to form new words

- eg., *un-believe-abil-ity* (predictable/compositional meaning)

Patterns in Interpretation

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2 NEOLOGISM/COINAGE

Combining phonemes together to form new morphemes

- eg., *bikini* (French coinage) (arbitrary meaning)

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2 NEOLOGISM/COINAGE

Combining phonemes together to form new morphemes

- eg., *bikini* (French coinage) (arbitrary meaning)

3 COMPOUNDING

Combining entire words together to form new words

- eg., *binge-watch* (non-arbitrary but unpredictable meaning)

4 BLENDING

Combining parts of words together to form new words

- eg., *mansplain* (non-arbitrary but unpredictable meaning)

5 etc....

Patterns in Interpretation: Systematic VS Non-Systematic

Review: Types of Word-Formation

- **CONCATENATION**

Combining morphemes together to form new words

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- **COMPOUNDING**

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Patterns in Interpretation: Systematic VS Non-Systematic

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- **COMPOUNDING**

Combining entire words together to form new words

- eg., *binge-watch* (**non-arbitrary but unpredictable** meaning)

Q: What's the difference?

Patterns in Interpretation: Systematic VS Non-Systematic

The difference is **SYSTEMATICITY**

- *un-believe-abil-ity* (predictable/compositional meaning)
- *binge-watch* (non-arbitrary but unpredictable meaning)

Patterns in Interpretation: Systematic VS Non-Systematic

The difference is **SYSTEMATICITY**

- *un-believe-abil-ity* (predictable/compositional meaning)
- *binge-watch* (non-arbitrary but unpredictable meaning)
- The meaning of a word formed by **CONCATENATION** is **systematic**

Patterns in Interpretation: Systematic VS Non-Systematic

The difference is **SYSTEMATICITY**

- *un-believe-abil-ity* (predictable/compositional meaning)
- *binge-watch* (non-arbitrary but unpredictable meaning)
- The meaning of a word formed by **CONCATENATION** is **systematic**
- A **SYSTEMATIC RULE** can describe how the meaning of the parts combine to form a new meaning

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- The meaning of a word formed by **CONCATENATION** is **systematic**
- A **SYSTEMATIC RULE** can describe how the meaning of the parts combine to form a new meaning
- i.e., even if you've never heard the word before, as long as you know what the **PARTS** mean, you'll know what the new word means

Patterns in Interpretation: Systematic VS Non-Systematic

The SYSTEMATICITY of CONCATENATION

[N-ish]_{Adj} Concatenation

(8)	clown	clownish	"Having properties of a clown"
	snob	snobbish	"Having properties of a snob"
	freak	freakish	"Having properties of a freak"
	nightmare	nightmarish	"Having properties of a nightmare"
	hawk	hawkish	"Having properties of a hawk"
	wolf	wolfish	?

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Systematic Semantic Rule:

[N-ish]_{Adj} means "having properties of a N"

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- *binge-watch* (non-arbitrary but unpredictable meaning)
- The meaning of a word formed by **COMPOUNDING** is not **systematic**
- We can't use a systematic rule to explain how the meaning of the word is derived from its parts
- i.e., If you've never heard the word before, you might guess wrong about what it means

Patterns in Interpretation: Systematic VS Non-Systematic

The NON-SYSTEMATICITY of COMPOUNDING

[N+N]_N Compounds

(9)	street	streetcar	"A car that runs on tracks on in the streets"
	clown	clowncar	"A car that contains lots of clowns"
	soapbox	soapbox car	"A small car made out of a soapbox"
	bait	bait car	"A car used by police as bait for car thieves"
	cable	cable car	"A car suspended by cables"

Patterns in Interpretation: Systematic VS Non-Systematic

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| cable | cable car | "A car suspended by cables" |

NO Systematic Semantic Rule:

[N-car]_N means "A car that is located on N"

[N-car]_N means "A car that contains a lot of N"

[N-car]_N means "A car that is made out of N"

[N-car]_N means "A car that is used as N"

...

Patterns in Interpretation: Systematic VS Non-Systematic

Q: Concatenation or compounding?

i.e., systematic or non-systematic meaning change?

/ri:an ^M /	เรียน	“study”	/nak ^H ri:an ^M /	นักเรียน	“student”
/k ^h it ^H /	คิด	“think”	/nak ^H k ^h it ^M /	นักคิด	“thinker”
/sɤ:p ^L /	สืบ	“investigate”	/nak ^H sɤ:p ^L /	นักสืบ	“detective”
/bin ^M /	บิน	“fly”	/nak ^H bin ^M /	นักบิน	“pilot”
/rɔ:ŋ ^H /	ร้อง	“sing”	/nak ^H rɔ:ŋ ^H /	นักร้อง	“singer”

→ Can you formulate a systematic rule?

Patterns in Interpretation: Systematic VS Non-Systematic

Q: Concatenation or compounding?

i.e., systematic or non-systematic meaning change?

/laaj ^M /	ลาย	"striped"	/laaj ^M taa ^M /	ลายตา	"be dazzling"
/klaj ^M /	ไกล	"far"	/klai ^M taa ^M /	ไกลตา	"out of sight"
/naa ^R /	หนา	"thick"	/naa ^R taa ^M /	หนาตา	"dense/crammed"
/log ^R /	หลง	"lost"	/log ^R taa ^M /	หลงตา	"overlooked"
/tit ^H /	ติด	"stuck"	/tit ^L taa ^M /	ติดตา	"fresh in one's memory"

→ Can you formulate a systematic rule?

Patterns in Interpretation: Systematic VS Non-Systematic

Review: Types of Word-Formation

• CONCATENATION

Combining morphemes together to form new words

- eg., *un-believe-abil-ity* (**predictable/compositional** meaning)

• COMPOUNDING

Combining entire words together to form new words

- eg., *binge-watch* (**non-arbitrary but unpredictable** meaning)

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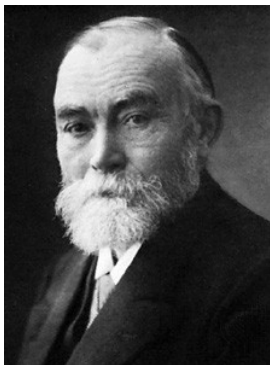
Combining entire words together to form new words

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Q: What about when we combine words syntactically (as opposed to morphologically)?

Is the meaning fully **COMPOSITIONAL**?

The Principle of Compositionality



Gottlob Frege (1848-1925)

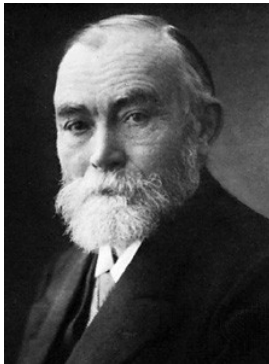
The Principle of Compositionality

"...meaning of a complex expression is a function of the meaning of its parts and the way those parts are combined."

The meaning of a complex phrase or sentence is based on

- (i) the meaning of its parts and
- (ii) the way that the parts are combined (e.g., which PSRs are used)

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The meaning of a complex phrase or sentence is derived from

- (i) **the meaning of its parts** and
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Semantic Interpretation Rules for PSRs

→ Before we can talk about interpretation rules for phrases...

Q: What are the basic building blocks of MEANING?

i.e., how can we formalize the meaning of words?

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REVIEW: What is Meaning?

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The meaning of a sentence is its **TRUTH-CONDITIONS** -

i.e., what the world would have to look like, in order for it to be true

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REVIEW: What is Meaning?

The meaning of a sentence is its **TRUTH-CONDITIONS** -
i.e., what the world would have to look like, in order for it to be true

The meaning of a **WORD** or **PHRASE** is its **REFERENCE** -
i.e., what it refers to

Q: What do you KNOW...

...when you know what something MEANS?

REVIEW: What is Meaning?

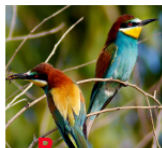
The meaning of a SENTENCE is its **TRUTH-CONDITIONS** - i.e., under what conditions the sentence is **TRUE**

(10) Seriemas are a kind of bird

- If I tell you one of these are a Seriema, and you know what (10) means, then you know in which case (10) would be true



A



B



C

Q: What do you KNOW...

...when you know what something MEANS?

REVIEW: What is Meaning?

The meaning of a WORD is its **REFERENCE** - i.e., what it **REFERS** to

eg., A word like...

- [dag] “dog” refers to the **set** of **objects** that we consider dogs
- If you know what “dog” means,
then you know how to categorize objects as dog VS non-dog

**A****B****C****D**

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...when you know what something MEANS?

REVIEW: What is Meaning?

The meaning of a WORD is its **REFERENCE** - i.e., what it refers to

eg., A word like...

- [ʁɛd] “red” refers to the **set** of **objects** that we consider red
- If you know what “red” means,
then you know how to categorize objects as red VS non-red



A



B



C



D

Q: What do you KNOW...
...when you know what something MEANS?

REVIEW: What is Meaning?

The meaning of a WORD is its **REFERENCE** - i.e., what it refers to

- If you know what “endemic” means, then you know how to categorize $\langle \textit{object}, \textit{place} \rangle$ **pairs** as endemic VS non-endemic

- A $\langle \textit{bermuda petrels}, \textit{Bermuda} \rangle$
- B $\langle \textit{glacier bears}, \textit{southeast Alaska} \rangle$
- C $\langle \textit{elephants}, \textit{Asia} \rangle$

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(i) **OBJECTS/INDIVIDUALS:** a, b, c, d

eg., “Bao-Bao”=a, “Taz”= d, ...



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A



B



C



D

(ii) **SETS OF OBJECTS/INDIVIDUALS:** {a, b}, {a, b, c}, {a, c} ...

eg., “animal” = {a, b, c, d}, “dog”= {b, c}, “caniformia”={a,b,c}

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eg., “Bao-Bao”=**a**, “Taz”=**d**, ...



A



B



C



D

(ii) **SETS OF OBJECTS/INDIVIDUALS:** $\{a, b\}$, $\{a, b, c\}$, $\{a, c\}$...

eg., “animal” = $\{a, b, c, d\}$, “dog” = $\{b, c\}$, “caniformia” = $\{a, b, c\}$

(iii) **PAIRS OF OBJECTS/INDIVIDUALS:** $\langle a, b \rangle$, $\langle b, a \rangle$, $\langle a, c \rangle$...

eg., “is the same species as” = $\{\langle b, c \rangle, \langle c, b \rangle\}$

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- These things, $\langle \rangle$, are angled **TUPLE BRACKETS**
 - An **N-TUPLE** is defined by its members...
 - ...and the order of the members
 - i.e., $\langle a, b \rangle \neq \langle b, a \rangle$
(A 'pair' is the name of an n-tuple where $n=2$)

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- The difference between **SETS** and **TUPLES** is important
i.e., to describe natural language meaning, we need
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 - (i) pairs where **a** loves **b**, and
 - (ii) pairs where **a** doesn’t love **b**
- It’s important that $\langle a, b \rangle \neq \langle b, a \rangle$!

Describing unrequited love requires ordered pairs



“Echo and Narcissus” (1903) by John William Waterhouse

$\langle e, n \rangle, \langle n, n \rangle$

$\langle n, e \rangle, \langle e, e \rangle$

Describing unrequited love requires ordered pairs



Young Lily Evans, James Potter and Severus Snape from “Harry Potter and the The Deathly Hallows” (Part 2)

$\langle s, l \rangle, \langle l, j \rangle, \langle j, l \rangle$

$\langle s, j \rangle, \langle j, s \rangle, \langle l, s \rangle$

Basic Semantic Elements: The Ontology

The semantic elements are called the **ONTOLOGY**

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a = Harry Potter,

b = Hermione Granger,

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3 **OPERATIONS**: Set-formation $\{\}$, pair/tuple-formation $\langle \rangle$

The LEXICON: The Repository of MORPHEMES

REVIEW: Definition of a MORPHEME

A **MORPHEME** is the smallest unit of language that **has/contains** meaning - i.e., it is a systematic $\langle \text{form}, \text{meaning}, \text{category} \rangle$ mapping

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- Now that we have established some basic building blocks of meaning (i.e., an ontology)...

... we can formalize the meaning of various kinds of lexical categories as **referring** to elements in the ontology

The Lexical Entries of Lexical Categories

Semantic Ontology

1 Truth-Values: T, F

2 Individuals:

a = Harry

b = Hermione

c = Ron

d = Draco

e = Buckbeak

f = Luna

g = Ginny

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3 Operations: {}, ⟨⟩

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PROPER NOUNS

refer to **individuals**

eg., $\llbracket \textit{Harry} \rrbracket = \mathbf{a}$

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- $\llbracket \textit{Harry} \rrbracket$ is basically a shorthand way of writing “the meaning of *Harry*”

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refer to **sets of individuals**

eg., $\llbracket girl \rrbracket = \{b, f, g\}$

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eg., $\llbracket girl \rrbracket = \{b, f, g\}$

BASIC ADJECTIVES

refer to **sets of individuals**

eg., $\llbracket tall \rrbracket = \{c, i\}$

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Exercise

- (1) What set does *wizard*_N refer to?
- (2) What set does *animal*_N refer to?
- (3) What set does *Gryffindor*_{Adj} refer to?
- (4) What set does *blond*_{Adj} refer to?

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(verbs that involve a single individual)

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eg., $\llbracket \text{flies} \rrbracket = \{e, h\}$

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Lexical Entries for

INTRANSITIVE VERBS

(verbs that involve a single individual)

refer to **sets of individuals**

eg., $\llbracket \text{flies} \rrbracket = \{e, h\}$

Unless you count flying via broomsticks, magical motorcycles and airplanes, in which case

$\llbracket \text{flies} \rrbracket = \{a, b, c, d, e, f, g, h, i\}$

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Lexical Entries for

TRANSITIVE VERBS

(verbs that involve two individuals)

refer to **sets of pairs of individuals**

eg., $\llbracket \text{loves} \rrbracket = \{ \langle a, q \rangle, \langle a, h \rangle, \langle a, g \rangle, \langle b, c \rangle, \langle c, b \rangle, \langle c, q \rangle, \langle g, a \rangle, \langle g, q \rangle, \langle i, e \rangle, \dots \}$

The Lexical Entries of Lexical Categories

Semantic Ontology

1 Truth-Values: T, F

2 Individuals:

a = Harry

b = Hermione

c = Ron

d = Draco

e = Buckbeak

f = Luna

g = Ginny

h = Hedwig

i = Hagrid

q = quidditch

3 Operations: {}, ⟨⟩

Lexical Entries for

TRANSITIVE VERBS

(verbs that involve two individuals)

refer to **sets of pairs of individuals**

eg., $\llbracket \text{loves} \rrbracket = \{ \langle \mathbf{a}, \mathbf{q} \rangle, \langle \mathbf{a}, \mathbf{h} \rangle, \langle \mathbf{a}, \mathbf{g} \rangle, \langle \mathbf{b}, \mathbf{c} \rangle, \langle \mathbf{c}, \mathbf{b} \rangle, \langle \mathbf{c}, \mathbf{q} \rangle, \text{vg.a}, \langle \mathbf{g}, \mathbf{q} \rangle, \langle \mathbf{i}, \mathbf{e} \rangle, \dots \}$

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TRANSITIVE VERBS

(verbs that involve two individuals)

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eg., $\llbracket \text{loves} \rrbracket = \{ \langle a, q \rangle, \langle a, h \rangle, \langle a, g \rangle, \langle b, c \rangle, \langle c, b \rangle, \langle c, q \rangle, \langle g, a \rangle, \langle g, q \rangle, \langle i, e \rangle, \dots \}$

The Lexical Entries of Lexical Categories

Semantic Ontology

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Exercise

(1) What set does *studies_V* refer to?

(2) What set does *feeds_V* refer to?

(3) What set does *marries_V* refer to?

(4) What set does *plays_V* refer to?

3 Operations: {}, ⟨⟩

Semantic Evidence for Phrases

Semantic Ontology

1 **Truth-Values:** T, F

2 **Individuals:**

a = Harry

b = Hermione

c = Ron

d = Draco

e = Buckbeak

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g = Ginny

h = Hedwig

i = Hagrid

q = quidditch

3 **Operations:** {}, ⟨⟩

Observation: Particular lexical categories are systematic in terms of what they refer to

Semantic Evidence for Phrases

Semantic Ontology

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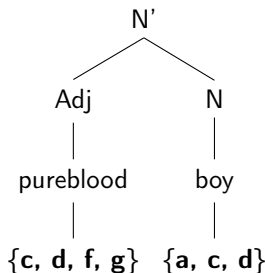
i = Hagrid

q = quidditch

3 Operations: {}, ⟨⟩

Observation: Particular lexical categories are systematic in terms of what they refer to

- What about higher constituents?



Semantic Evidence for Phrases

Semantic Ontology

1 Truth-Values: T, F

2 Individuals:

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b = Hermione

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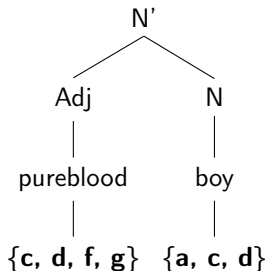
h = Hedwig

i = Hagrid

q = quidditch

Q: If *pureblood* refers to {c, d, f, g} and *boy* refers to {a, c, d}...

... what would *pureblood boy* refer to?



3 Operations: {}, ⟨⟩

Semantic Evidence for Phrases

Semantic Ontology

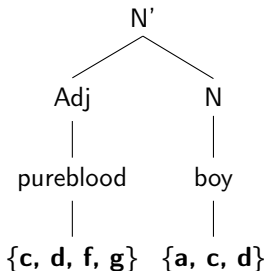
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- h = Hedwig
- i = Hagrid
- q = quidditch

If *pureblood* refers to {c, d, f, g} and *boy* refers to {a, c, d}...

...N' *pureblood boy* refers to {c, d}



3 Operations: {}, <>

Semantic Evidence for Phrases

Semantic Ontology

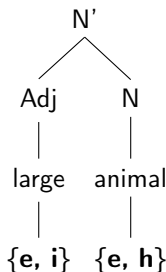
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- f = Luna
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- i = Hagrid
- q = quidditch

Q: If *large* refers to {e, i} and *animal* refers to {e, h}...

... what would *large animal* refer to?



3 Operations: {}, ⟨⟩

Semantic Evidence for Phrases

Semantic Ontology

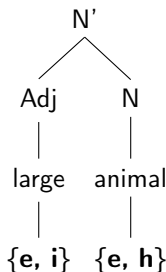
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g = Ginny
h = Hedwig
i = Hagrid
q = quidditch

If *large* refers to {e, i} and *animal* refers to {e, h}...

... N' *large animal* refers to {e}



3 Operations: {}, ⟨⟩

Semantic Evidence for Phrases

Semantic Ontology

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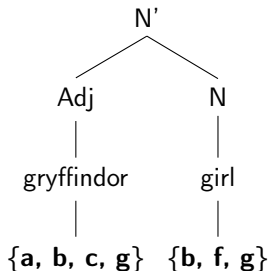
h = Hedwig

i = Hagrid

q = quidditch

Q: If *gryffindor* refers to {a, b, c, g} and *girl* refers to {b, f, g}...

... what would *gryffindor girl* refer to?



3 Operations: {}, ⟨⟩

Semantic Evidence for Phrases

Semantic Ontology

1 Truth-Values: T, F

2 Individuals:

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b = Hermione

c = Ron

d = Draco

e = Buckbeak

f = Luna

g = Ginny

h = Hedwig

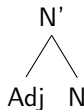
i = Hagrid

q = quidditch

3 Operations: {}, ⟨⟩

Observation: Constituents are systematic in terms of what they refer to

- N', like Adj and N,
refers to **sets of individuals**



Semantic Evidence for Phrases

Semantic Ontology

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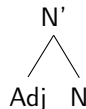
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q = quidditch

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- N', like Adj and N,
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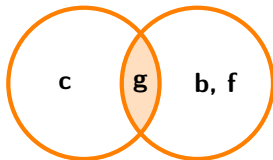
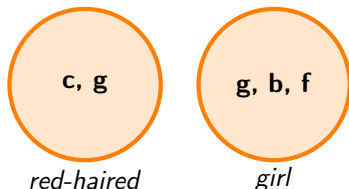
→ the set with members that belong to both Adj and N

$$\llbracket N' \rrbracket = \llbracket Adj \rrbracket \cap \llbracket N \rrbracket$$

3 Operations: {}, ⟨⟩

Semantic Composition Rule:

$$\llbracket N' \rrbracket = \llbracket Adj \rrbracket \cap \llbracket N \rrbracket$$



The set denoted by *red-haired girl*

- $\llbracket N' \rrbracket = \llbracket Adj \rrbracket \cap \llbracket N \rrbracket$ is a **COMPOSITIONAL RULE** (call it **MODIFICATION**)
- This rule describes how we interpret the PSR
 $N' \rightarrow (Adj) N$

Semantic Evidence for Phrases

Semantic Ontology

1 Truth-Values: T, F

2 Individuals:

a = Harry

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e = Buckbeak

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h = Hedwig

i = Hagrid

q = quidditch

3 Operations: {}, ⟨⟩

Observation: Particular lexical categories are systematic in terms of what they refer to

- What about higher constituents?

Semantic Evidence for Phrases

Semantic Ontology

1 Truth-Values: T, F

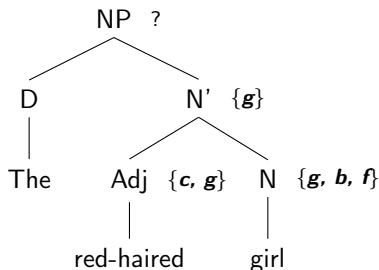
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3 Operations: {}, ⟨⟩

Observation: Particular lexical categories are systematic in terms of what they refer to

- What about higher constituents?



Semantic Evidence for Phrases

Semantic Ontology

1 Truth-Values: T, F

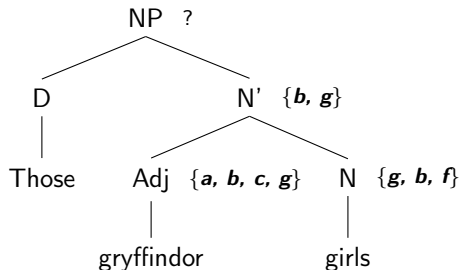
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- h = Hedwig
- i = Hagrid
- q = quidditch

3 Operations: {}, ⟨⟩

Observation: Particular lexical categories are systematic in terms of what they refer to

• What about higher constituents?



Semantic Evidence for Phrases

Semantic Ontology

1 **Truth-Values:** T, F

2 **Individuals:**

a = Harry

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d = Draco

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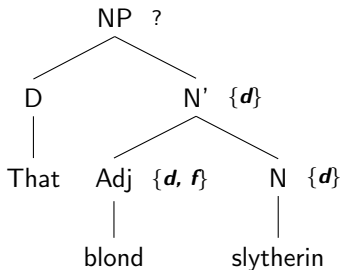
i = Hagrid

q = quidditch

3 **Operations:** {}, <>

Observation: Particular lexical categories are systematic in terms of what they refer to

• What about higher constituents?



Semantic Evidence for Phrases

Semantic Ontology

1 Truth-Values: T, F

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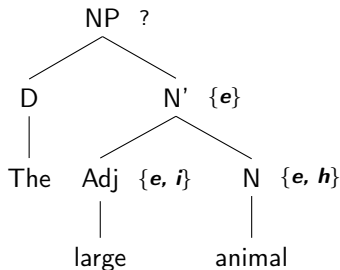
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Semantic Evidence for Phrases

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1 Truth-Values: T, F

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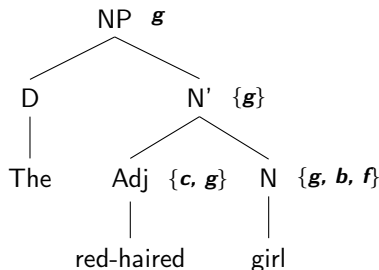
i = Hagrid

q = quidditch

3 Operations: {}, <>

Intuition: The NP *the red-haired girl* refers to the same thing as the name *Ginny*

- i.e., **g**



Semantic Evidence for Phrases

Semantic Ontology

1 Truth-Values: T, F

2 Individuals:

a = Harry

b = Hermione

c = Ron

d = Draco

e = Buckbeak

f = Luna

g = Ginny

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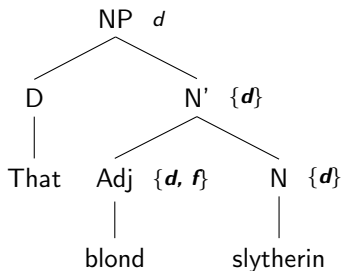
i = Hagrid

q = quidditch

3 Operations: {}, ⟨⟩

Intuition: The NP *that blond slytherin* refers to the same thing as the name *Draco*

- i.e., **d**



Semantic Evidence for Phrases

Semantic Ontology

1 Truth-Values: T, F

2 Individuals:

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d = Draco

e = Buckbeak

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g = Ginny

h = Hedwig

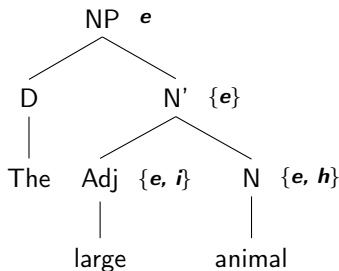
i = Hagrid

q = quidditch

3 Operations: {}, ⟨⟩

Intuition: The NP *the large animal* refers to the same thing as the name *Buckbeak*

- i.e., **e**



Semantic Evidence for Phrases

Semantic Ontology

1 Truth-Values: T, F

2 Individuals:

a = Harry

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c = Ron

d = Draco

e = Buckbeak

f = Luna

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q = quidditch

3 Operations: {}, ⟨⟩

Q: What's the systematic interpretation for $NP \rightarrow D N'$?

Semantic Evidence for Phrases

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3 Operations: $\{\}$, $\langle \rangle$

Q: What's the systematic interpretation for $NP \rightarrow D N'$?

(a) When $\llbracket N' \rrbracket = \{g\}$, $\llbracket NP \rrbracket = g$

Semantic Evidence for Phrases

Semantic Ontology

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i = Hagrid

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Q: What's the systematic interpretation for $NP \rightarrow D N'$?

(a) When $\llbracket N' \rrbracket = \{g\}$, $\llbracket NP \rrbracket = g$

(b) When $\llbracket N' \rrbracket = \{d\}$, $\llbracket NP \rrbracket = d$

3 Operations: $\{\}$, $\langle \rangle$

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(b) When $\llbracket N' \rrbracket = \{d\}$, $\llbracket NP \rrbracket = d$

(c) When $\llbracket N' \rrbracket = \{e\}$, $\llbracket NP \rrbracket = e$

3 Operations: $\{\}$, $\langle \rangle$

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(c) When $\llbracket N' \rrbracket = \{e\}$, $\llbracket NP \rrbracket = e$

- The NP refers to an **individual**

3 Operations: $\{\}$, $\langle \rangle$

Semantic Evidence for Phrases

Semantic Ontology

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(b) When $\llbracket N' \rrbracket = \{d\}$, $\llbracket NP \rrbracket = d$

(c) When $\llbracket N' \rrbracket = \{e\}$, $\llbracket NP \rrbracket = e$

- The NP refers to an **individual**

→ The/an individual member of the set that N' refers to¹

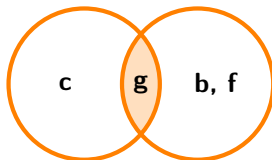
$$\llbracket NP \rrbracket = x, x \in \llbracket N' \rrbracket$$

¹An over-simplification, but don't worry about the details!

3 Operations: $\{\}$, $\langle \rangle$

Semantic Composition Rule:

$$[[NP]] = x, x \in [[N']]$$



The set denoted by *red-haired girl*

g

The individual denoted by *the red-haired girl*

- $[[NP]] = x, x \in [[N']]$ is a
COMPOSITIONAL RULE
(call it **SELECTION**)

- This rule describes how
we interpret the PSR
 $NP \rightarrow D N$

Semantic Composition Rules

Semantic Interpretation/Composition Rules

Semantic Composition Rules

Semantic Interpretation/Composition Rules

1. MODIFICATION:

$$\llbracket N' \rrbracket = \llbracket Adj \rrbracket \cap \llbracket N \rrbracket$$

$$N' \rightarrow (Adj) N$$

N' refers to the set with members in both $\llbracket Adj \rrbracket$ and $\llbracket N \rrbracket$

Semantic Composition Rules

Semantic Interpretation/Composition Rules

1. MODIFICATION:

$$\llbracket N' \rrbracket = \llbracket Adj \rrbracket \cap \llbracket N \rrbracket$$

$$N' \rightarrow (Adj) N$$

N' refers to the set with members in both $\llbracket Adj \rrbracket$ and $\llbracket N \rrbracket$

2. SELECTION:

$$\llbracket NP \rrbracket = x, x \in \llbracket N' \rrbracket$$

$$NP \rightarrow D N'$$

NP refers to an/the individual in $\llbracket N' \rrbracket$

Semantic Composition Rules

Semantic Interpretation/Composition Rules

1. MODIFICATION:

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2. SELECTION:

$$\llbracket NP \rrbracket = x, x \in \llbracket N' \rrbracket$$

$$NP \rightarrow D N'$$

NP refers to an/the individual in $\llbracket N' \rrbracket$

3. PREDICATION:

$$\llbracket S \rrbracket = \mathbf{T} \text{ iff, } \llbracket NP \rrbracket \in \llbracket VP \rrbracket$$

$$S \rightarrow NP VP$$

NP is true if and only if the individual $\llbracket NP \rrbracket$ is in $\llbracket VP \rrbracket$

Compositional Rules: Interpreting PSRs

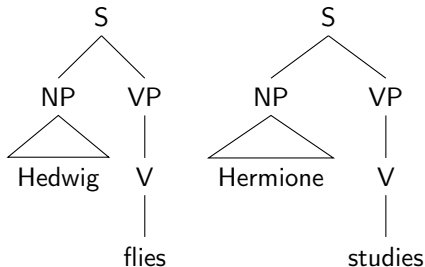
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- i = Hagrid
- q = quidditch

Consider sentences like



Are these sentences true?

$\llbracket \text{flies} \rrbracket = \{e, h\}$

$\llbracket \text{studies} \rrbracket = \{b, f\}$

3 Operations: $\{\}$, $\langle \rangle$

Compositional Rules: Interpreting PSRs

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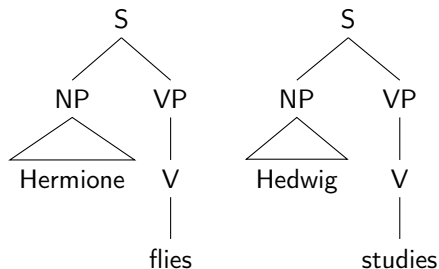
g = Ginny

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i = Hagrid

q = quidditch

Consider sentences like



What about these sentences?

$\llbracket \text{flies} \rrbracket = \{e, h\}$

$\llbracket \text{studies} \rrbracket = \{b, f\}$

3 Operations: $\{\}$, $\langle \rangle$

Compositional Rules: Interpreting PSRs

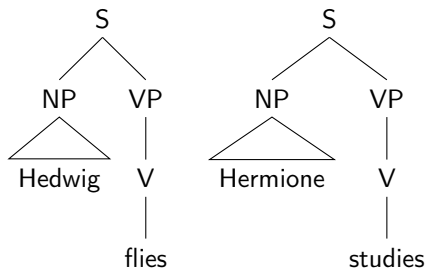
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True sentences:



Observation: $h \in \llbracket \text{flies} \rrbracket$

$\llbracket \text{flies} \rrbracket = \{e, h\}$

$\llbracket \text{studies} \rrbracket = \{b, f\}$

3 Operations: $\{\}$, $\langle \rangle$

Compositional Rules: Interpreting PSRs

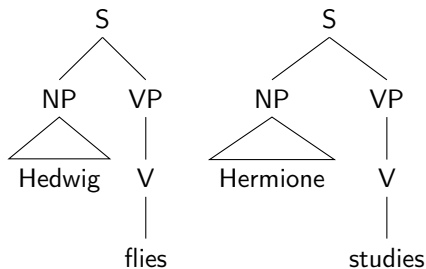
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True sentences:



Observation: $\mathbf{b} \in \llbracket \text{studies} \rrbracket$

$\llbracket \text{flies} \rrbracket = \{\mathbf{e}, \mathbf{h}\}$

$\llbracket \text{studies} \rrbracket = \{\mathbf{b}, \mathbf{f}\}$

3 Operations: $\{\}$, $\langle \rangle$

Compositional Rules: Interpreting PSRs

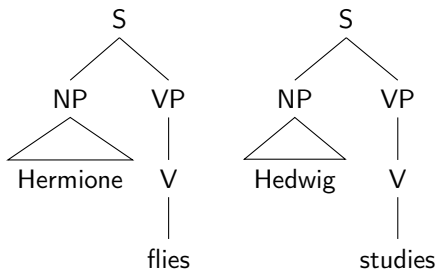
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- h = Hedwig
- i = Hagrid
- q = quidditch

False sentences



Observation: $\mathbf{b} \notin \llbracket \text{flies} \rrbracket$

$\llbracket \text{flies} \rrbracket = \{\mathbf{e}, \mathbf{h}\}$

$\llbracket \text{studies} \rrbracket = \{\mathbf{b}, \mathbf{f}\}$

3 Operations: $\{\}$, $\langle \rangle$

Compositional Rules: Interpreting PSRs

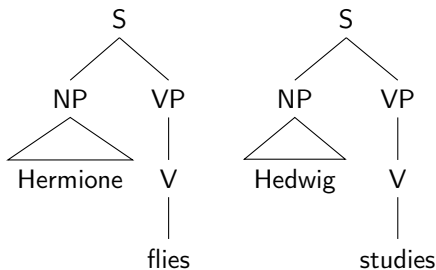
Semantic Ontology

1 Truth-Values: T, F

2 Individuals:

- a = Harry
- b = Hermione
- c = Ron
- d = Draco
- e = Buckbeak
- f = Luna
- g = Ginny
- h = Hedwig
- i = Hagrid
- q = quidditch

False sentences



Observation: $h \notin \llbracket \text{studies} \rrbracket$

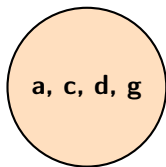
$\llbracket \text{flies} \rrbracket = \{e, h\}$

$\llbracket \text{studies} \rrbracket = \{b, f\}$

3 Operations: $\{\}$, $\langle \rangle$

Semantic Composition Rule:

$$[[S]] = \mathbf{T} \text{ iff } [[NP]] \in [[VP]]$$



The set denoted by *plays quidditch*

g

The individual denoted by *the red-haired girl*

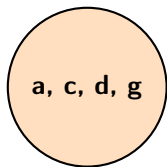
$$\mathbf{g} \in \{\mathbf{a}, \mathbf{c}, \mathbf{d}, \mathbf{g}\}$$

\therefore “The red-haired girl plays quidditch” is **T**

- $[[S]] = \mathbf{T}$ iff $[[NP]] \in [[VP]]$
is a
COMPOSITIONAL RULE
(call it PREDICATION)
- This rule describes how
we interpret the PSR
 $S \rightarrow NP VP$

Semantic Composition Rule:

$$[S] = \mathbf{T} \text{ iff } [NP] \in [VP]$$



The set denoted by *plays quidditch*

b

The individual denoted by *the curly-haired girl*

$$b \notin \{a, c, d, g\}$$

\therefore “The curly-haired girl plays quidditch” is **F**

- $[S] = \mathbf{T}$ iff $[NP] \in [VP]$

is a

COMPOSITIONAL RULE
(call it PREDICATION)

- This rule describes how we interpret the PSR
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Semantic Composition Rules

Summary:

- PSRs are systematic rules about combining words to form phrases/sentences

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- PSRs are systematic rules about combining words to form phrases/sentences
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- PSRs are systematic rules about combining words to form phrases/sentences
- Evidence for PSRs includes
 - (1) Sentence transformation rules
(i.e., Y/N question-formation, passives)
 - (2) Systematic interpretations for PSRs
(i.e., semantic compositional rules)
- These interpretation rules can be mathematically formalized in terms of SET MEMBERSHIP (\in , \notin) or SET INTERSECTION (\cap)

Next Time: Semantics and Pragmatics

Meaning as TRUTH VS Meaning as USE

1 **Homework:** A005 - Semantics and Pragmatics

2 **Instagram Homework:** Semantic Minimal Pair AND/OR

Find and post an example (or non-example) of a DESIGN FEATURE

- Discreteness
- Semanticity
- Arbitrariness
- Productivity

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