



Reliability Unit Commitment

Class Begins at 8:30



2025_09 Reliability Unit Commitment

Greetings and Introductions

Attendance

Questions

Presentation Materials



PROTOCOL DISCLAIMER

This presentation provides a general overview of the Texas Nodal Market and is not intended to be a substitute for the ERCOT Protocols, as amended from time to time. If any conflict exists between this presentation and the ERCOT Protocols, the ERCOT Protocols shall control in all respects.

For more information, please visit:

<http://www.ercot.com/mktrules/nprotocols/>

Applicability to RTC+B

This presentation contains material that is effective upon implementation of Real-Time Co-Optimization plus Batteries in the ERCOT Market. Portions of this course are not relevant to the ERCOT Market before RTC+B implementation.

For more information, please view grey-boxed language in:

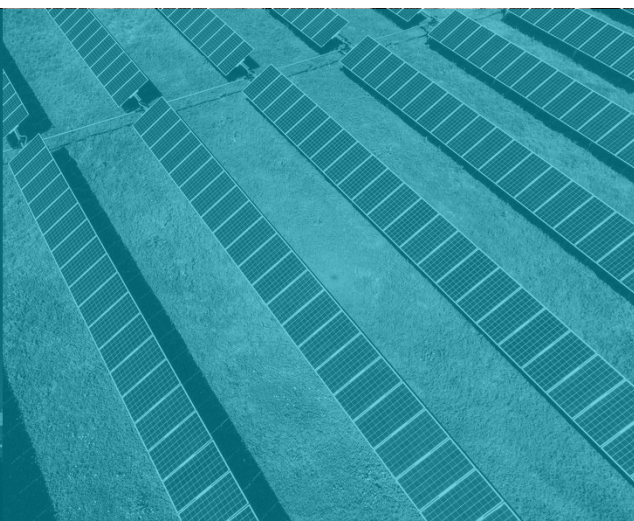
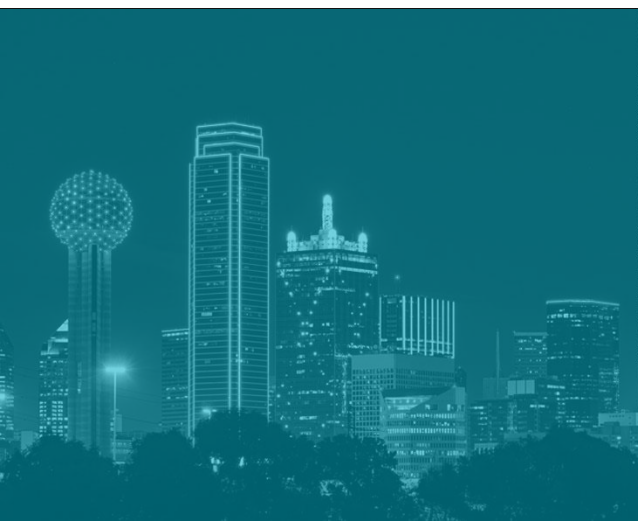
<http://www.ercot.com/mktrules/nprotocols/>

Format	Title
WBT	Wholesale Markets Overview

Format	Title	Topic
ILT	Day-Ahead Market Operations	Inputs
		Market Clearing
		Financial Impacts
	Reliability Unit Commitment	Overview
		Process
		Financial Impacts
	Real-Time Market Operations	Security Constrained Economic Dispatch
		Load Frequency Control
		Financial Impacts



Overview

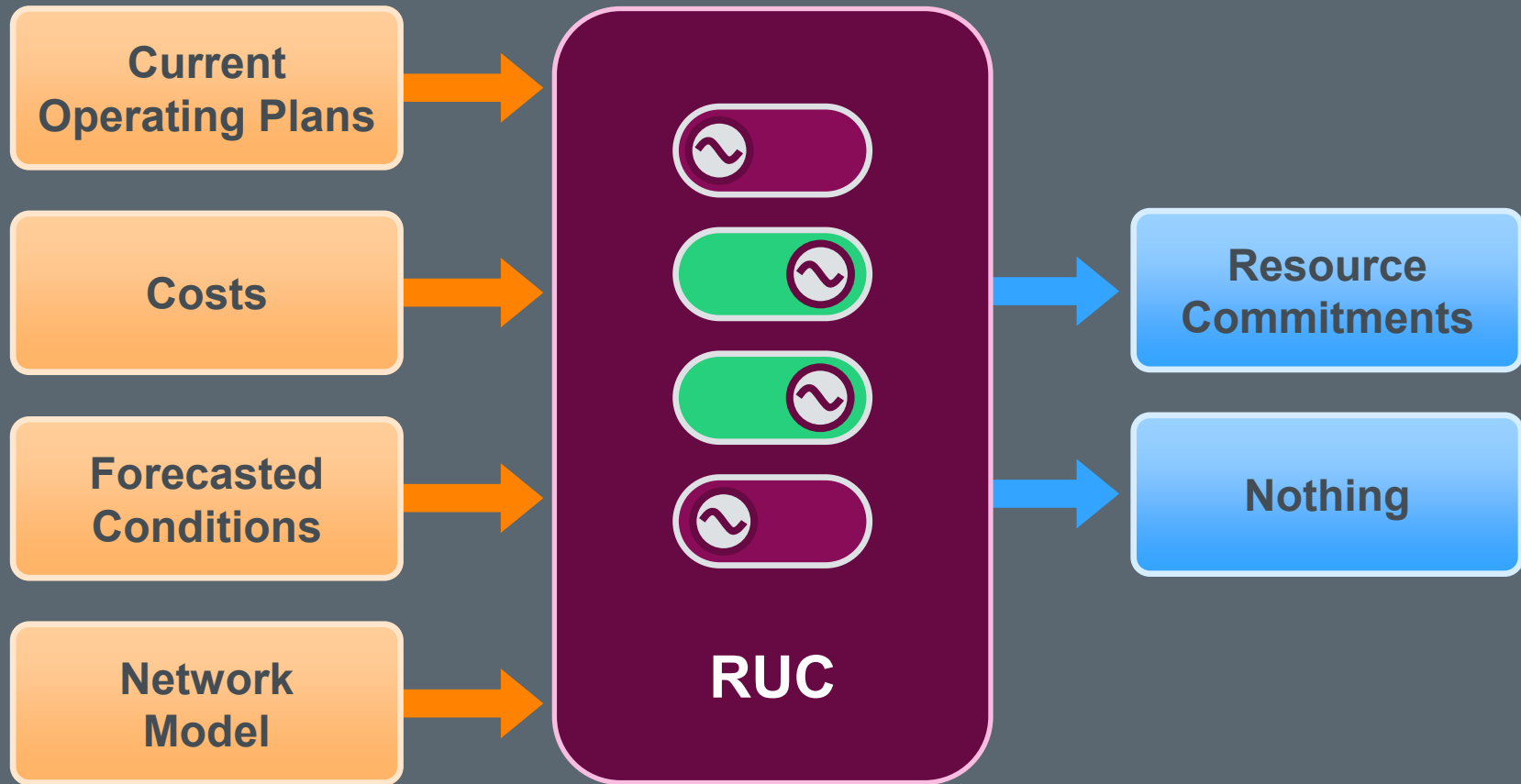


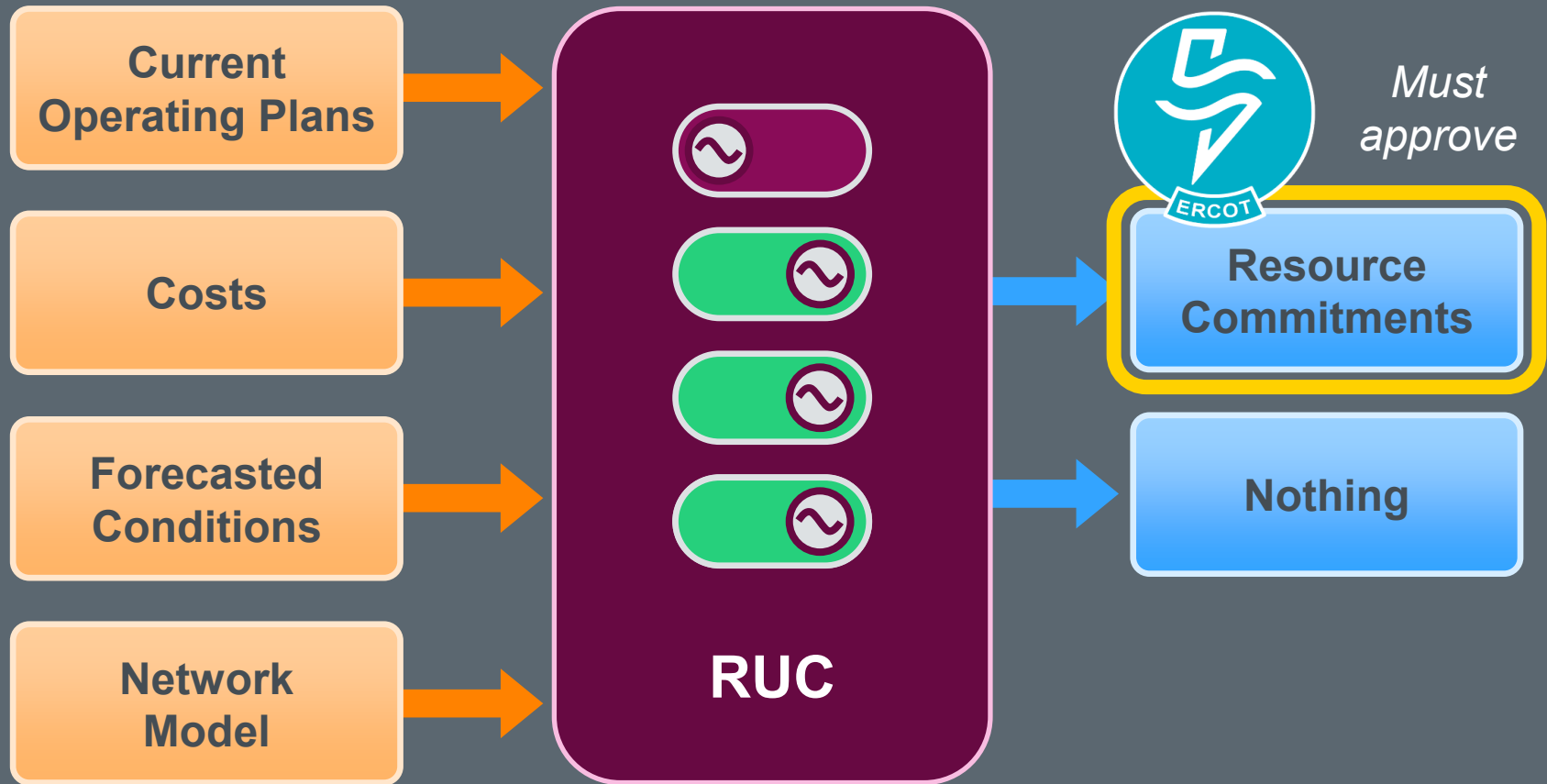


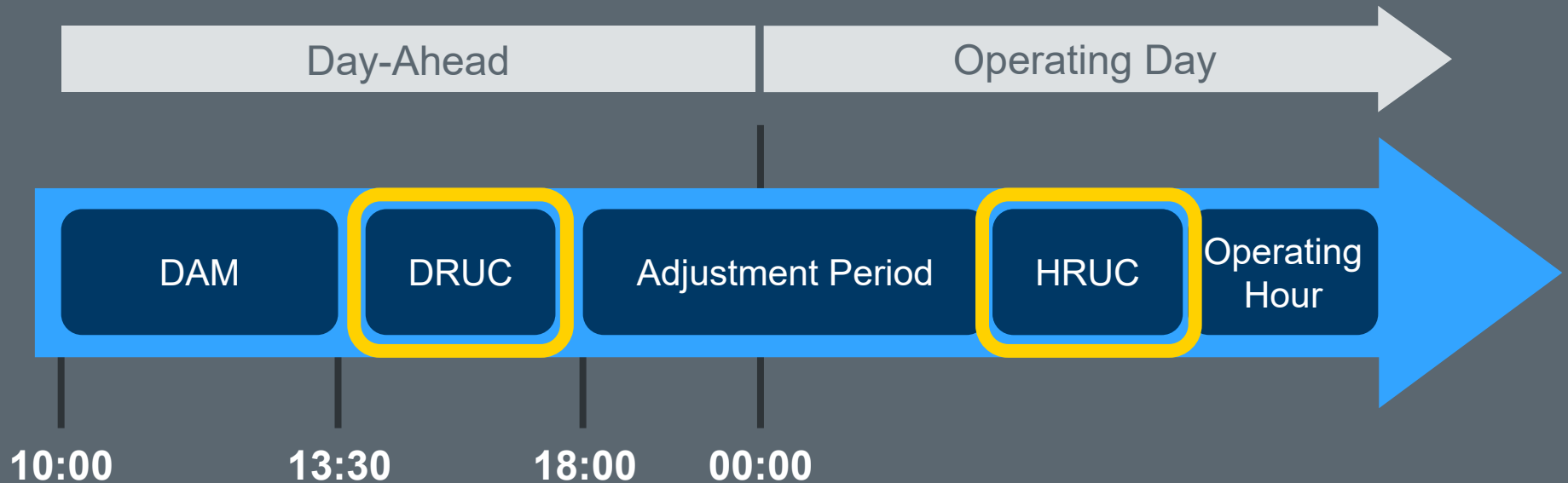
It's 11:00. For hours ending 14:00-18:00, the Load Forecast and AS Plan exceed the committed Resource Capacity by 400 MW.



1. What options does ERCOT have?
2. How do the ERCOT operators choose?







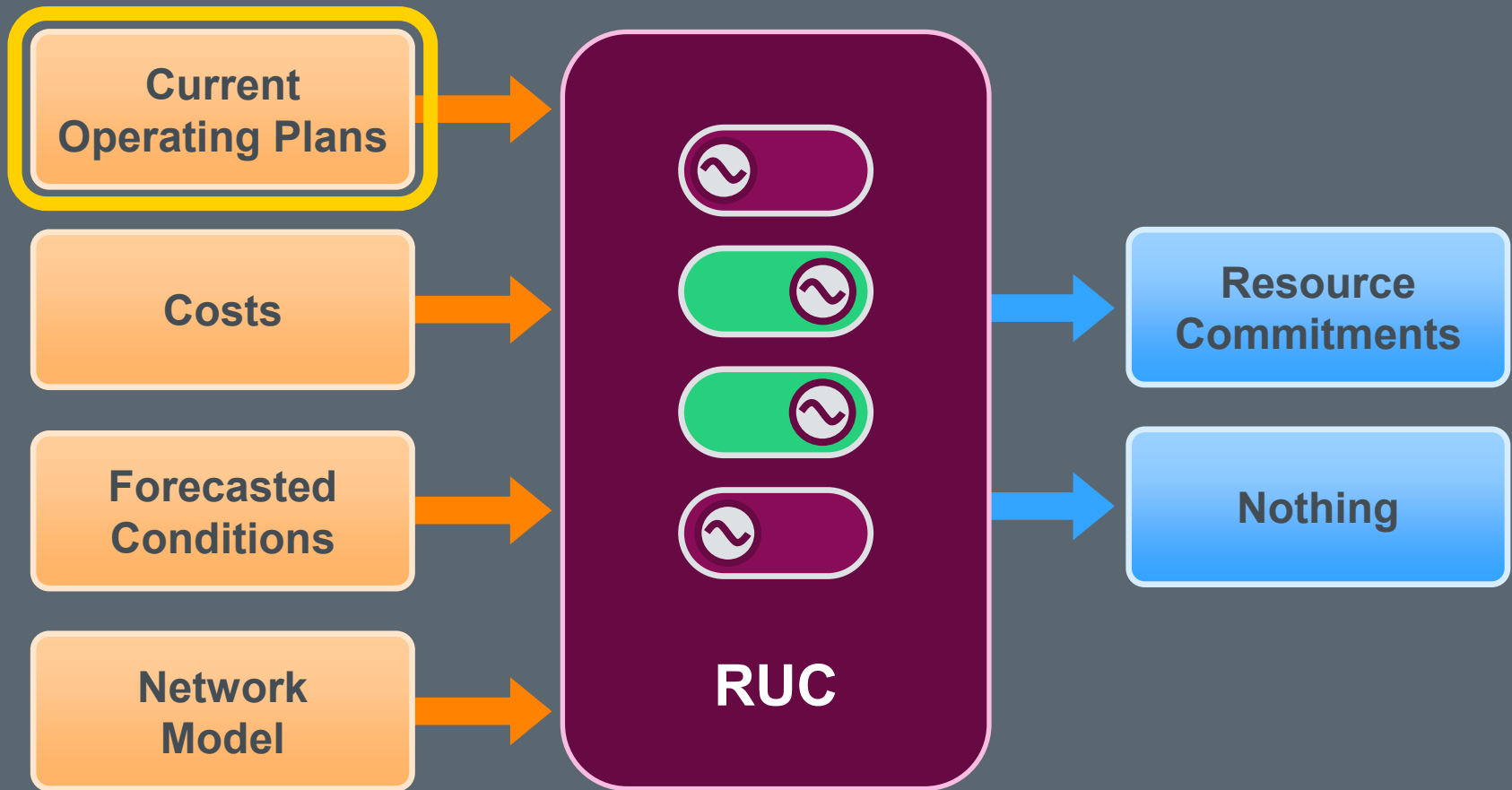
DRUC = Day-Ahead Reliability Unit Commitment

HRUC = Hourly Reliability Unit Commitment



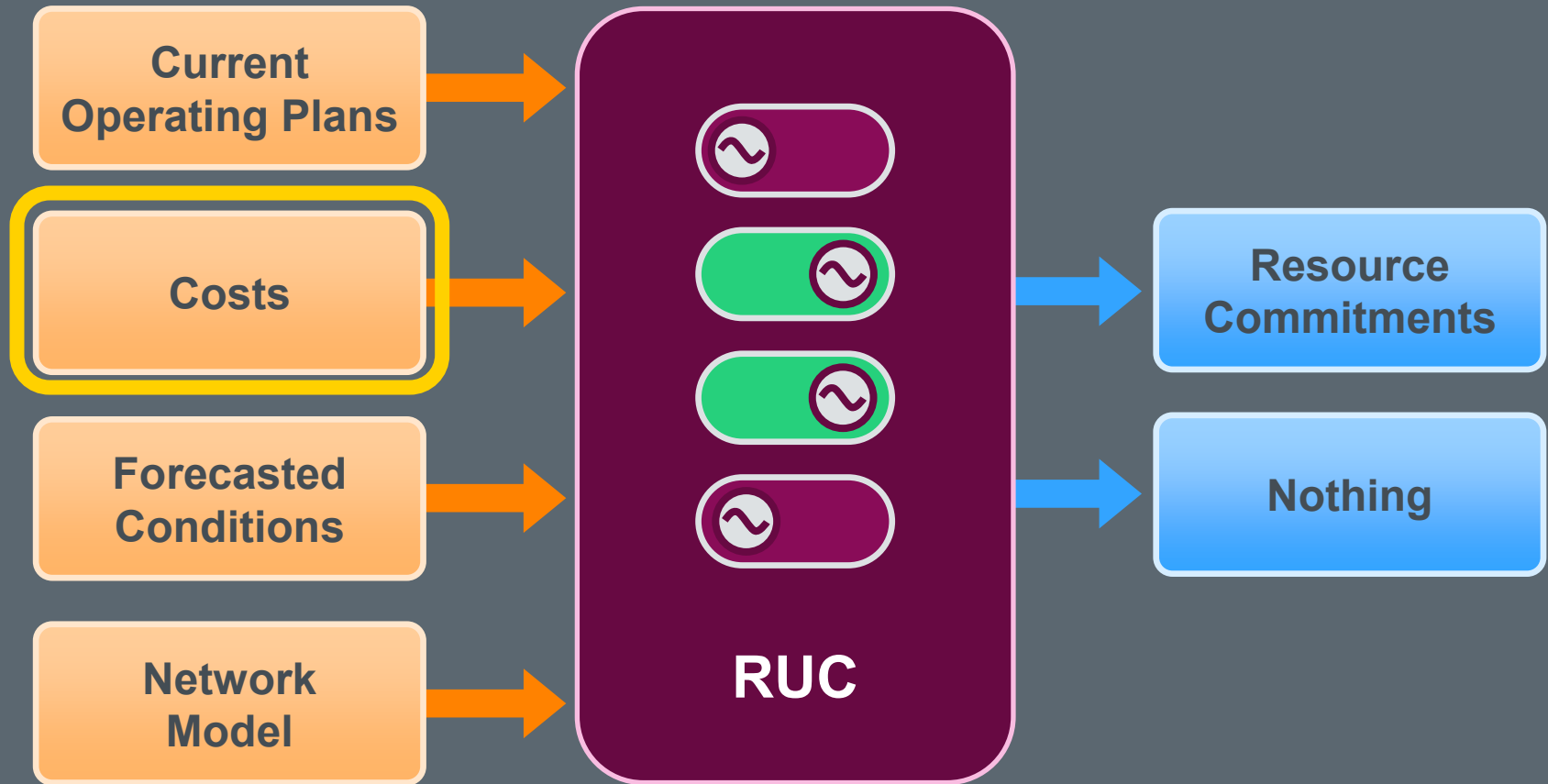
Process



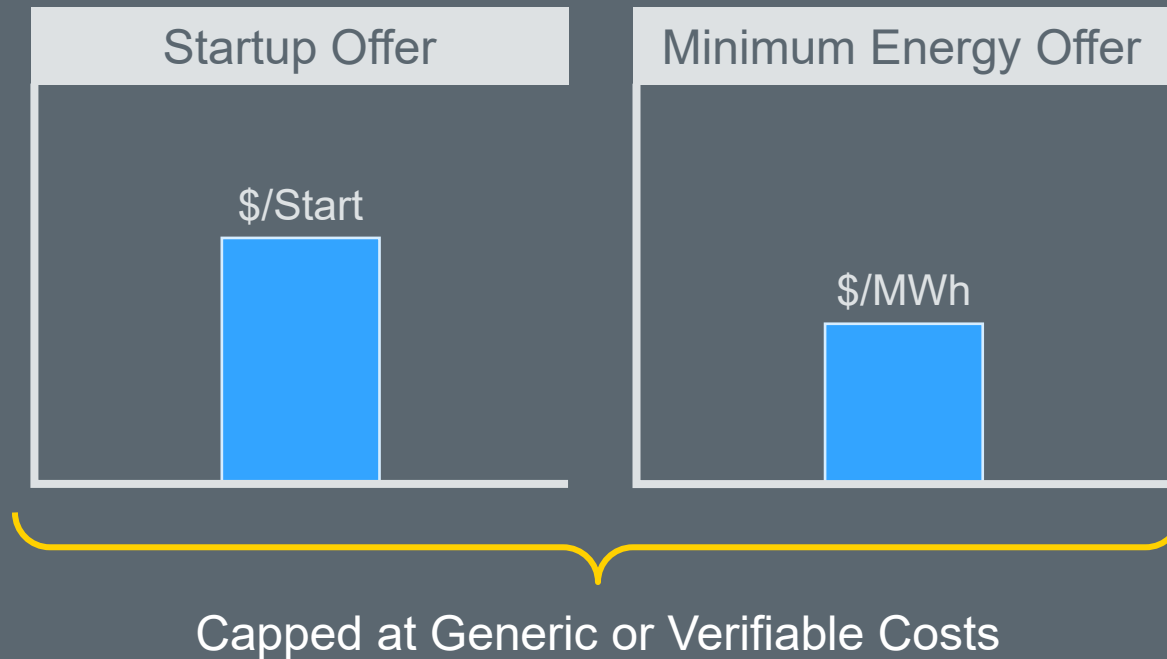
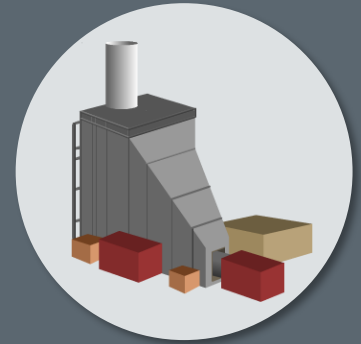


Provides critical information to RUC

Current Operating Plan											
Resource Name	Resource Status	Resource Limits		ESR SOC Limits			Ancillary Service Capabilities				
		HSL	LSL	Min	Max	Hour Beginning	Reg-Up	Reg-Dn	Responsive	ECRS	NSRS
GEN1	ON	600	120	-	-	-	20	20	40	40	120
GEN2	OUT	100	25	-	-	-	0	0	0	0	0
ESR3	ON	40	-40	0	40	40	0	0	40	40	10
GEN3	OFF	450	100	-	-	-	0	0	0	0	0



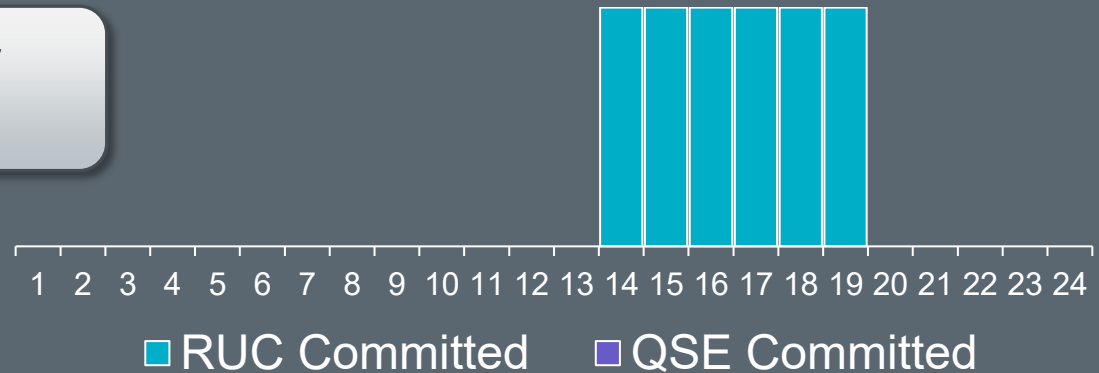
Based on Three Part Supply Offers



Startup Cost Considered?

- No QSE Commitments
- RUC initially commits

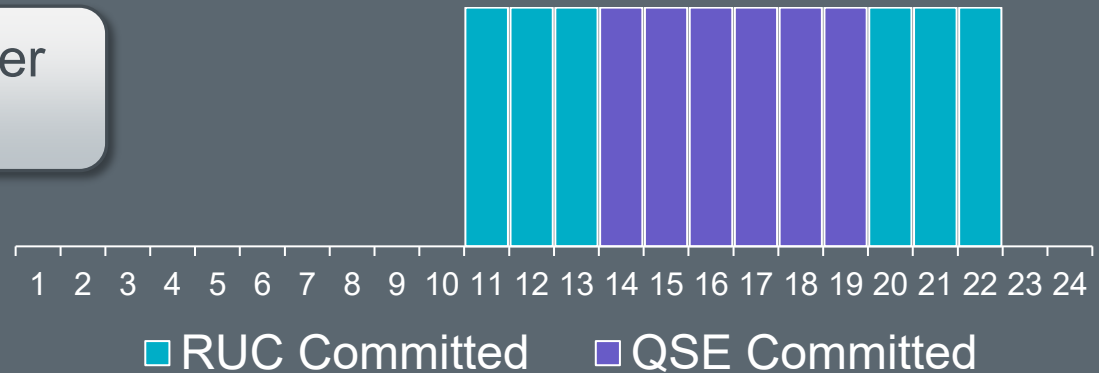
RUC will consider
Startup Costs

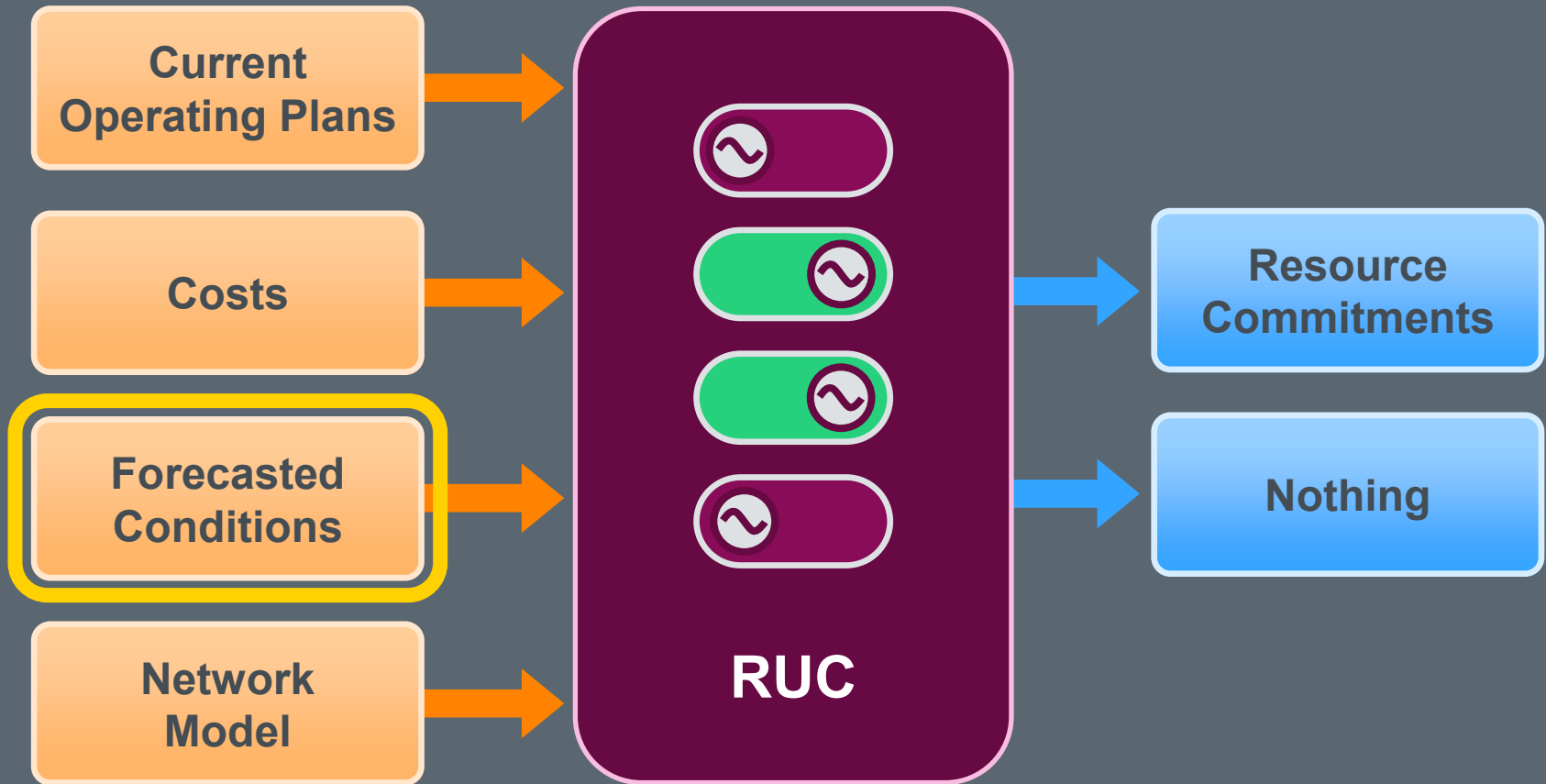


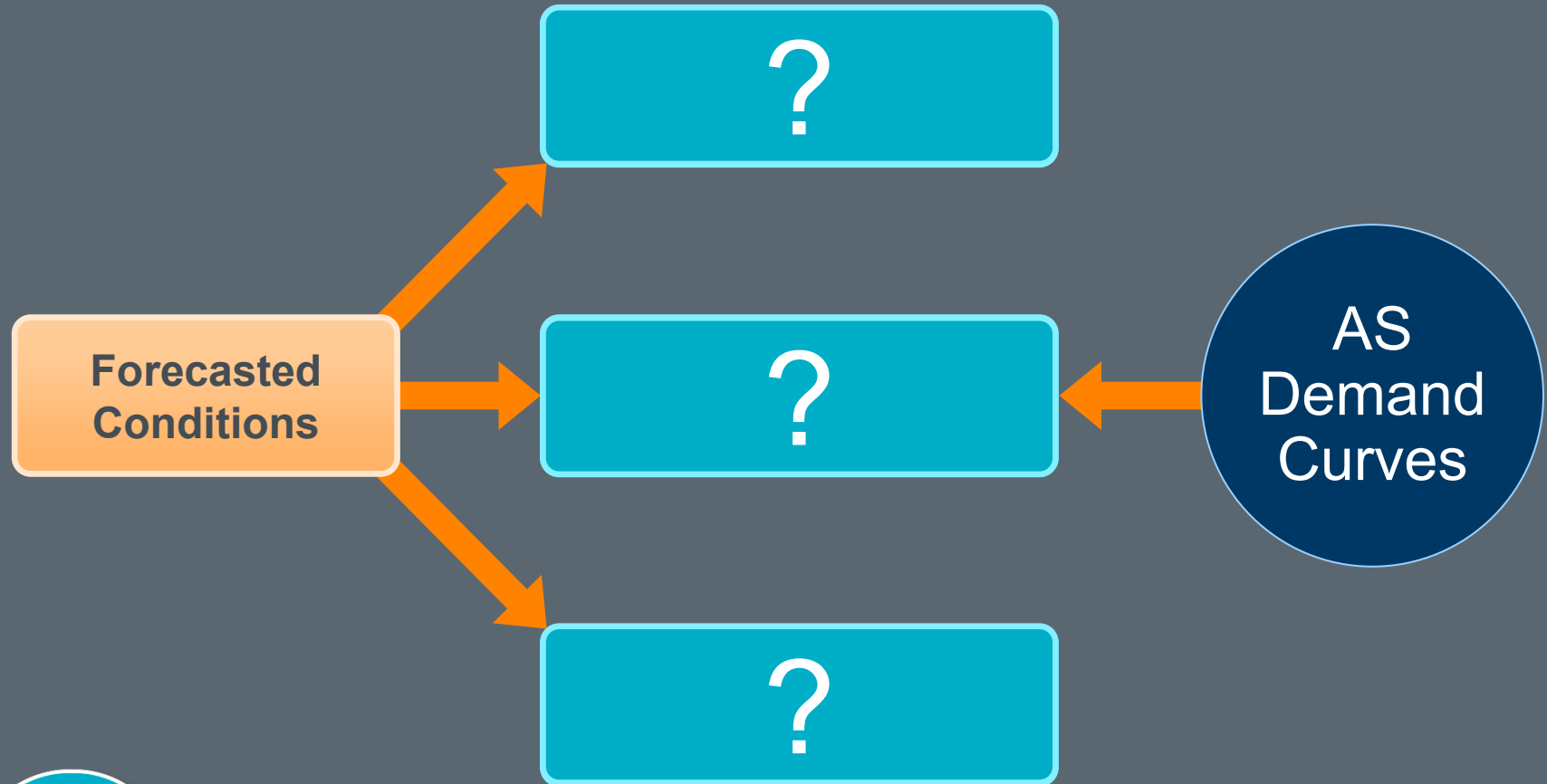
Startup Cost Considered?

- QSE initially commits
- RUC extends commitment

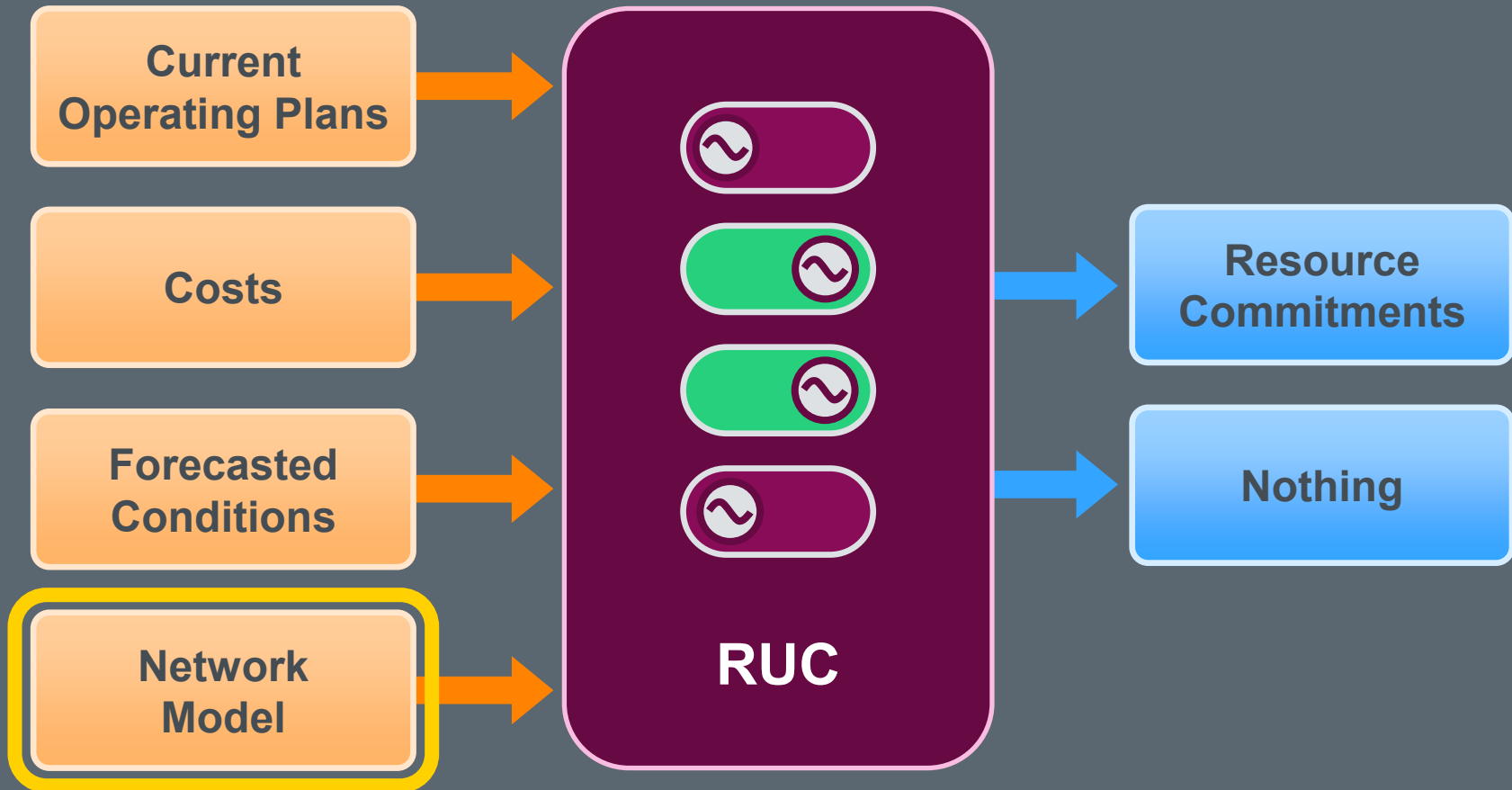
RUC will not consider
Startup Costs

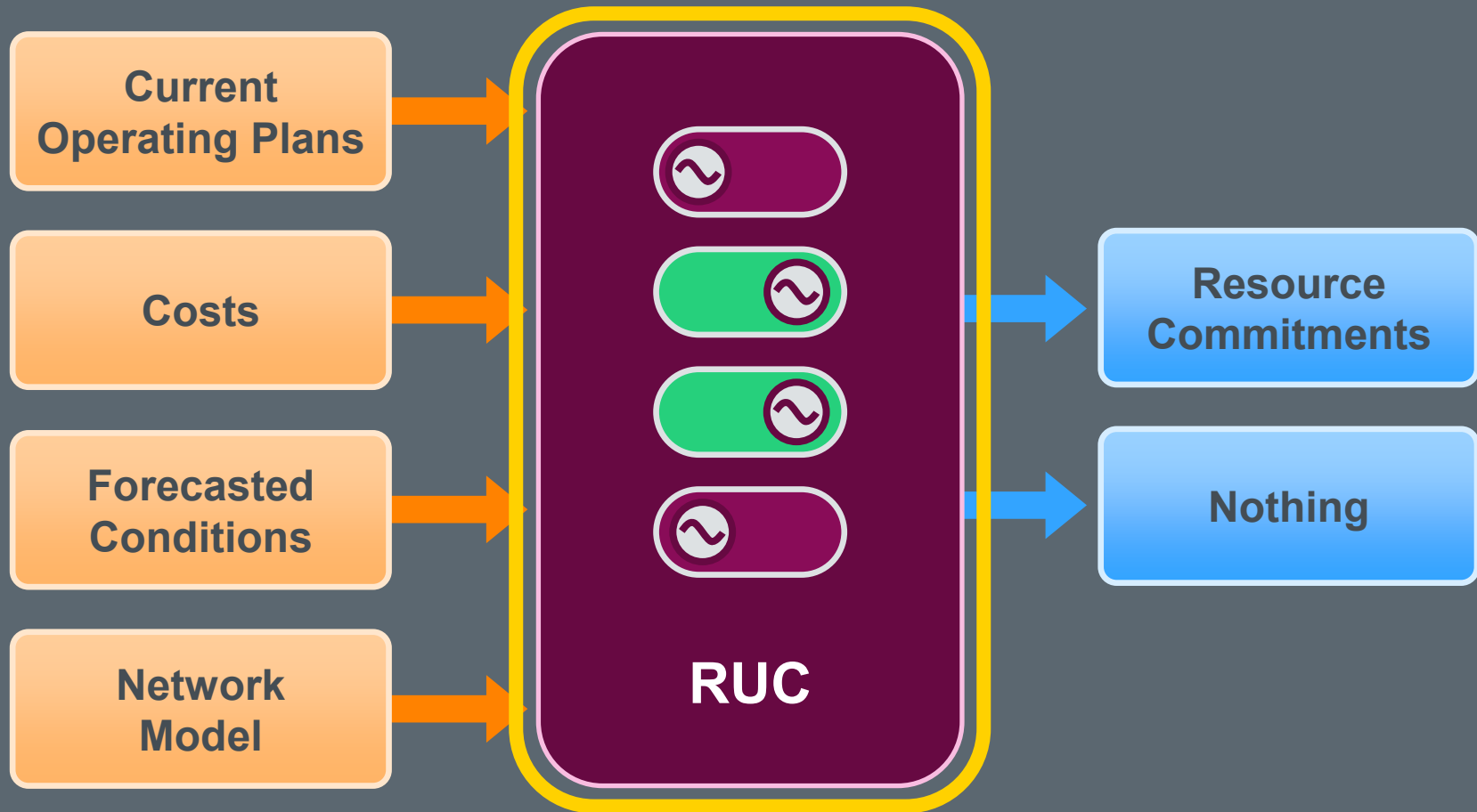




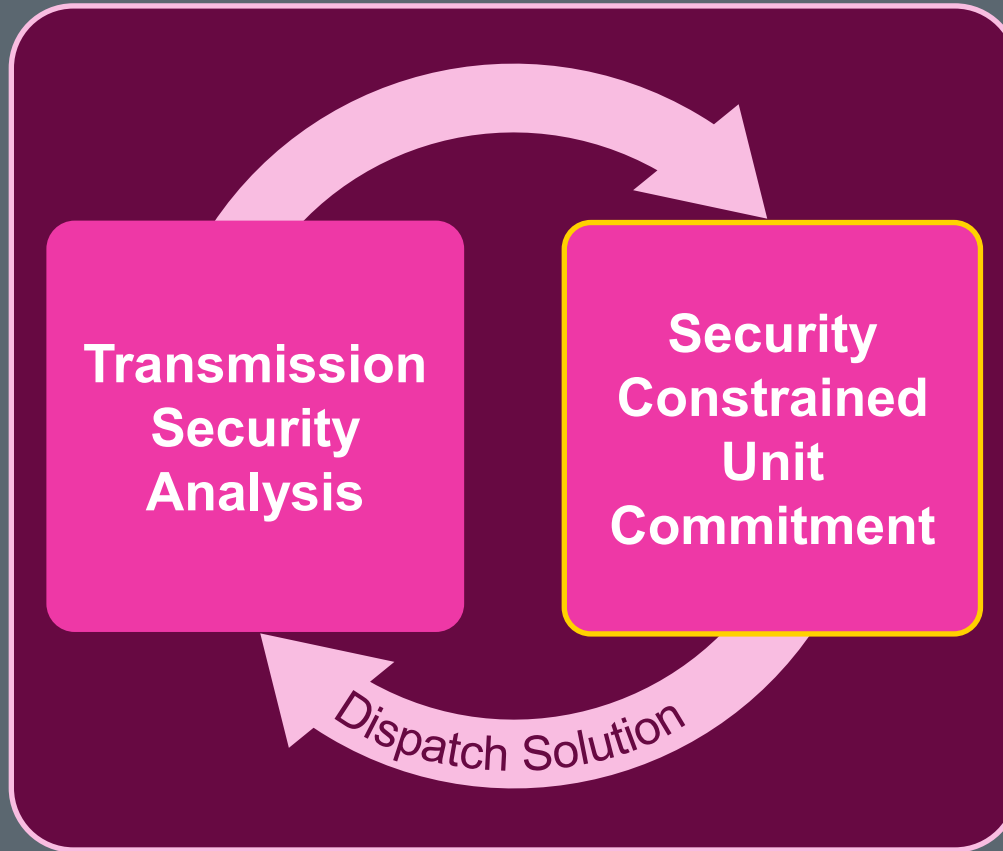


Uses expected network topology for each hour

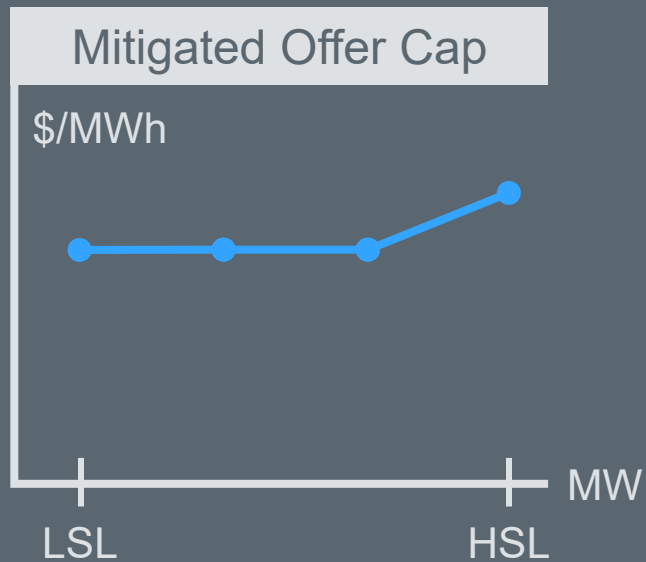
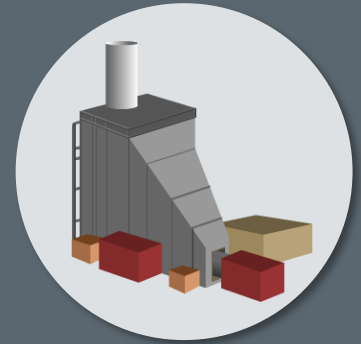




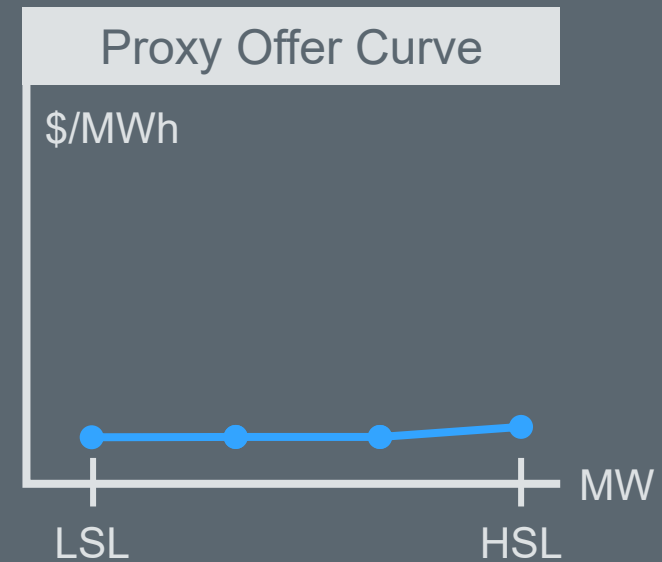
Begins with Initial Unit Commitment for each hour



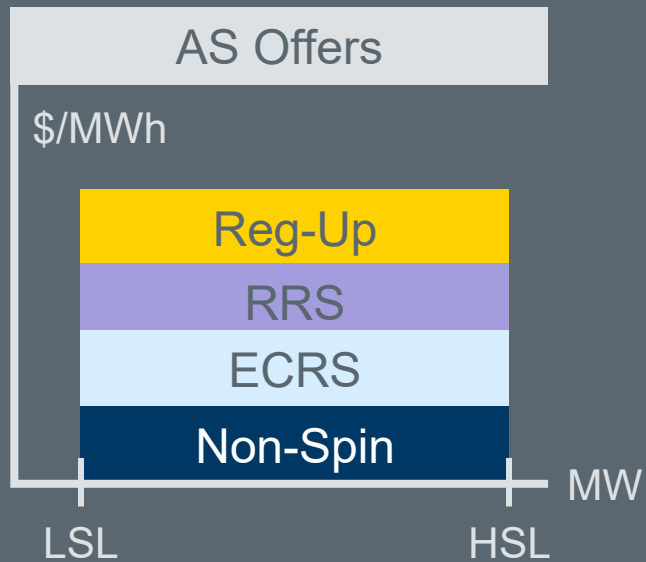
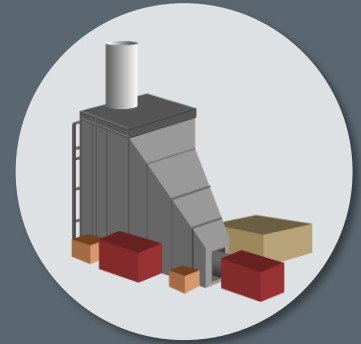
To project possible dispatch solutions



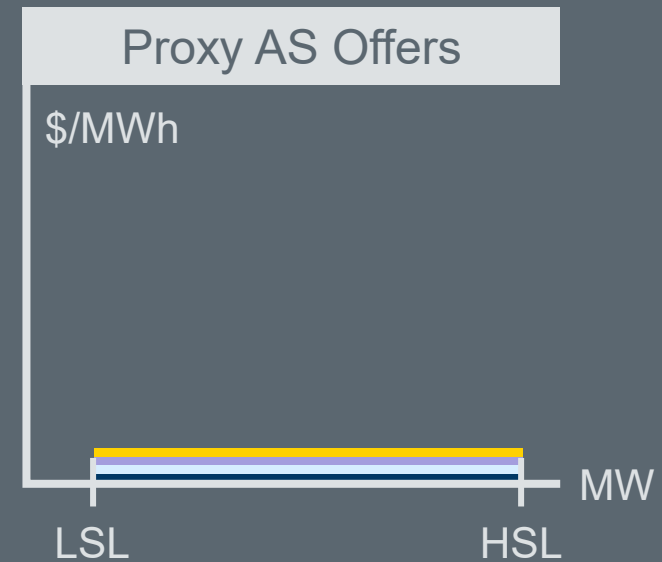
0.10%



To project possible Ancillary Awards



0.10%





Current Operating Plan											
Hour	Resource Name	Resource Limits		ESR SOC Limits			Ancillary Service Capabilities				
		HSL	LSL	Min	Max	Hour Beginning	Reg-Up	Reg-Dn	Responsive	ECRS	NSRS
15	ESR3	40	-40	0	40	30	0	0	0	30	0
16	ESR3	40	-40	0	40	40	0	0	0	20	0
17	ESR3	40	-40	0	40	20	0	0	0	10	0
18	ESR3	40	-40	0	40	10	0	0	0	10	0



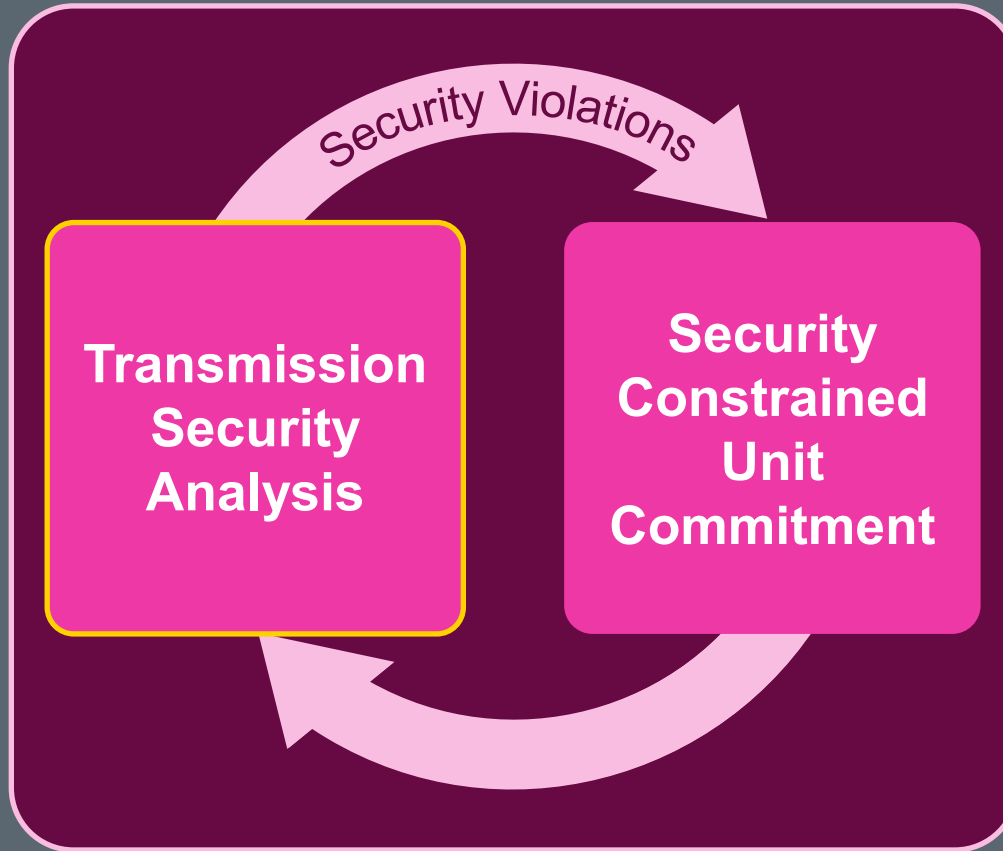
Projects dispatch
that aligns with
Hourly SOC changes



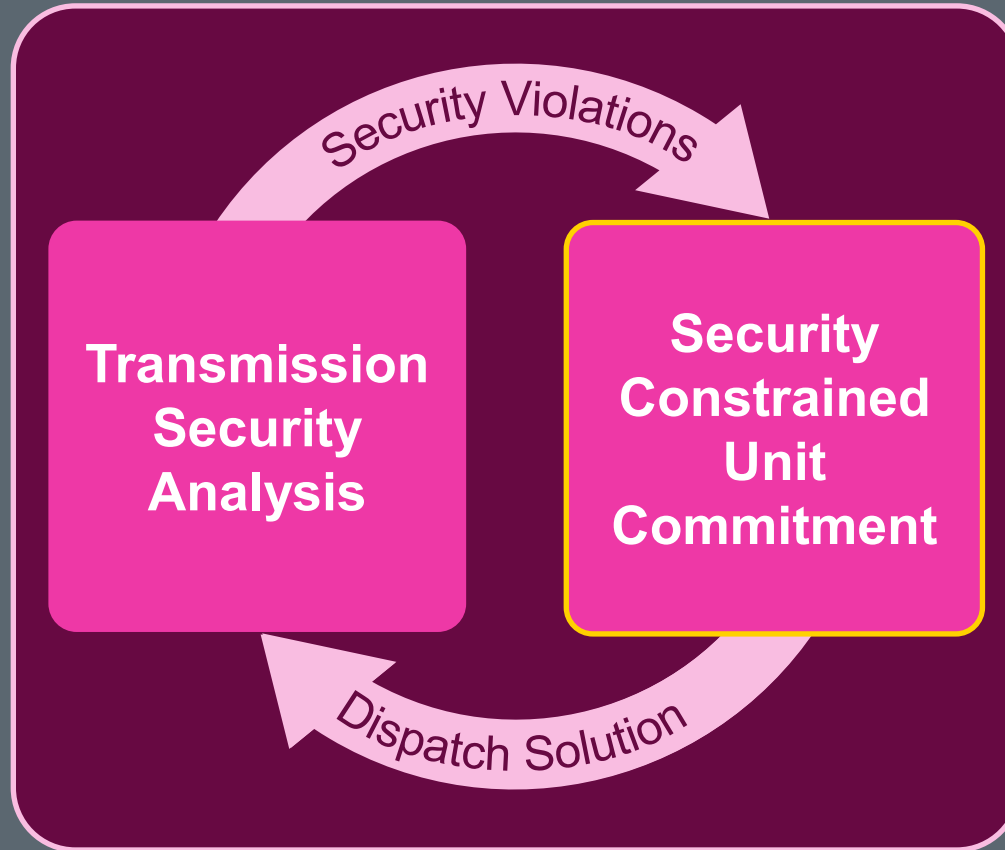
Checks feasibility
based on
Deployment Factors

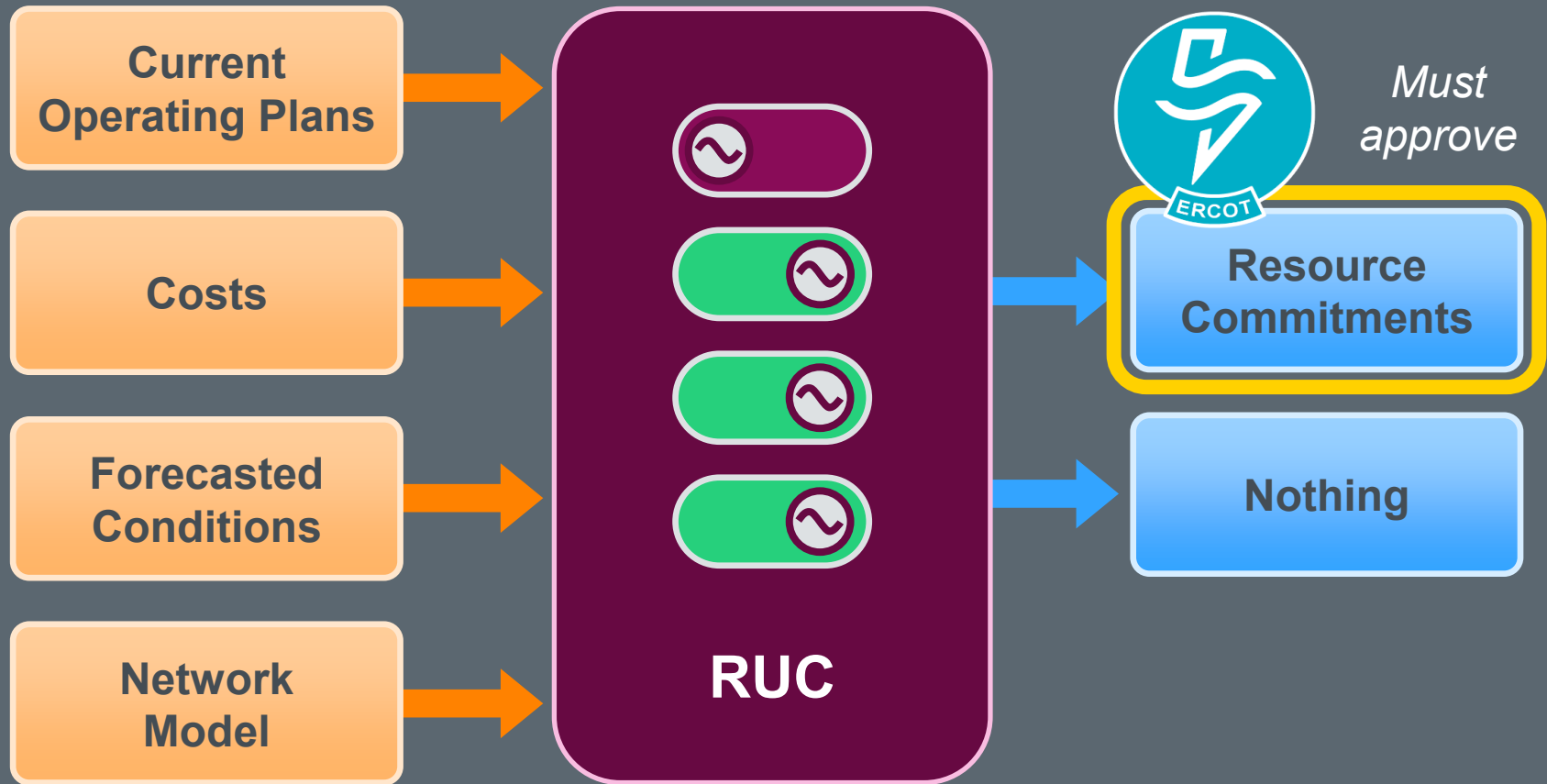


Is solution secure with all Ancillary Services awarded?

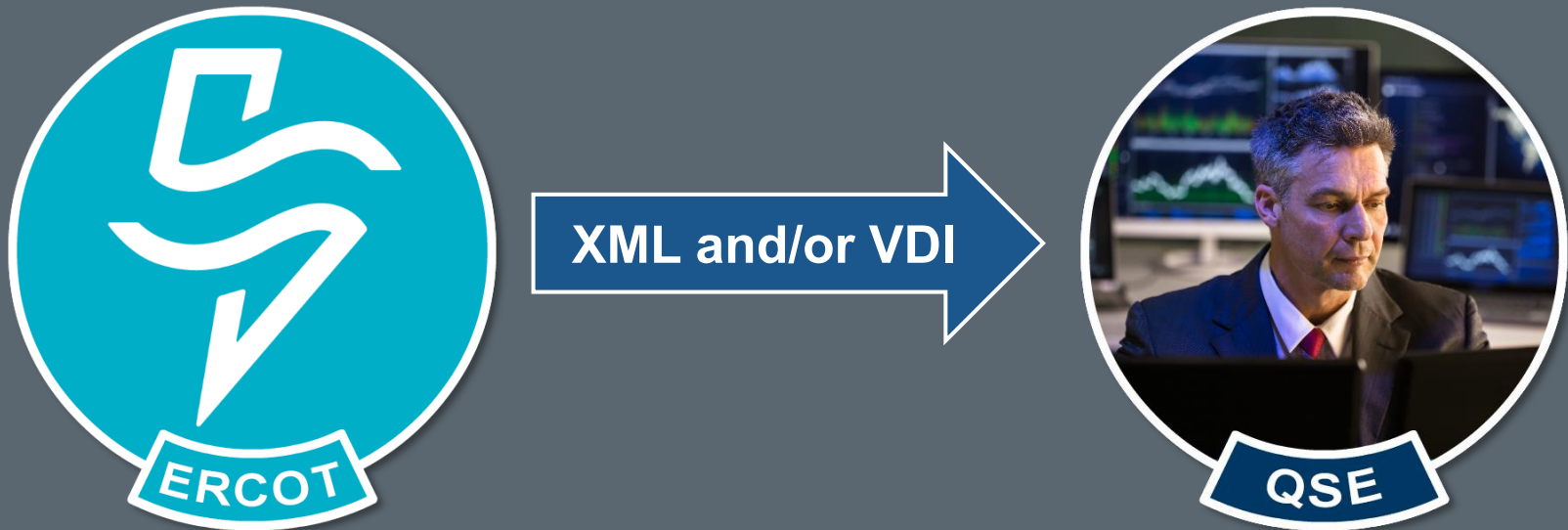


Revise Unit Commitment if needed





Start hour and duration sent to QSEs



XML = Extensible Mark-Up Language
VDI = Verbal Dispatch Instruction

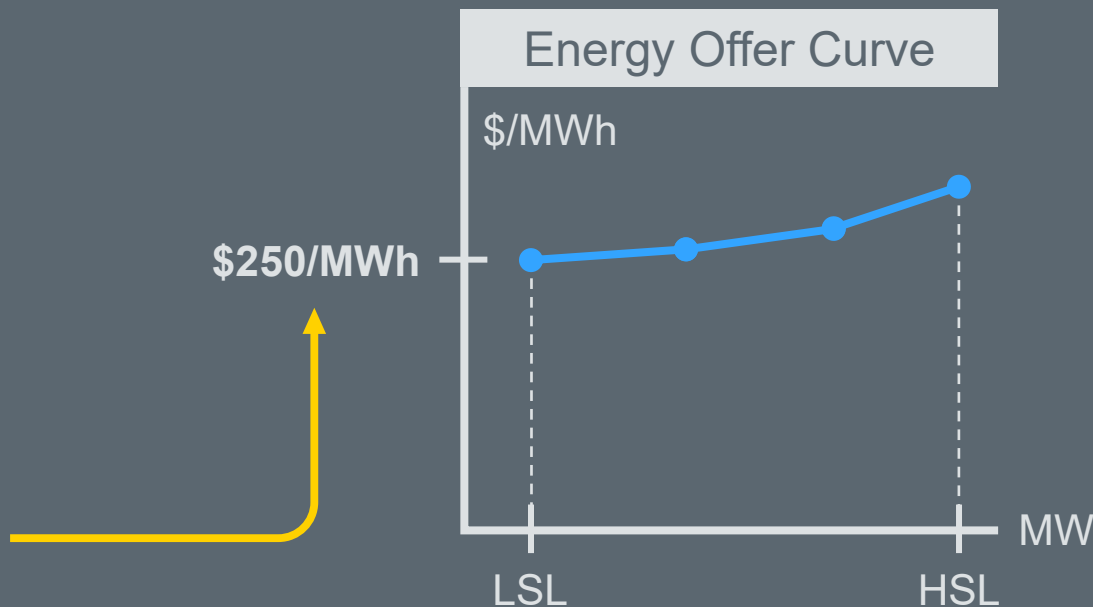
QSE must update COP within 60 minutes

Current Operating Plan											
Resource Name	Resource Status	Resource Limits		ESR SOC Limits			Ancillary Service Capabilities				
		HSL	LSL	Min	Max	Hour Beginning	Reg-Up	Reg-Dn	Responsive	ECRS	NSRS
GEN1	ON	600	120	-	-	-	20	20	40	40	120
GEN2	OUT	100	25	-	-	-	0	0	0	0	0
ESR3	ON	40	-40	0	40	40	0	0	40	40	10
GEN3	OFF	450	100	-	-	-	0	0	0	0	0
	ONRUC ONOPTOUT										

Resource Financial Impacts

- Floor price
- RUC Settlement
 - Make-Whole
 - Clawback

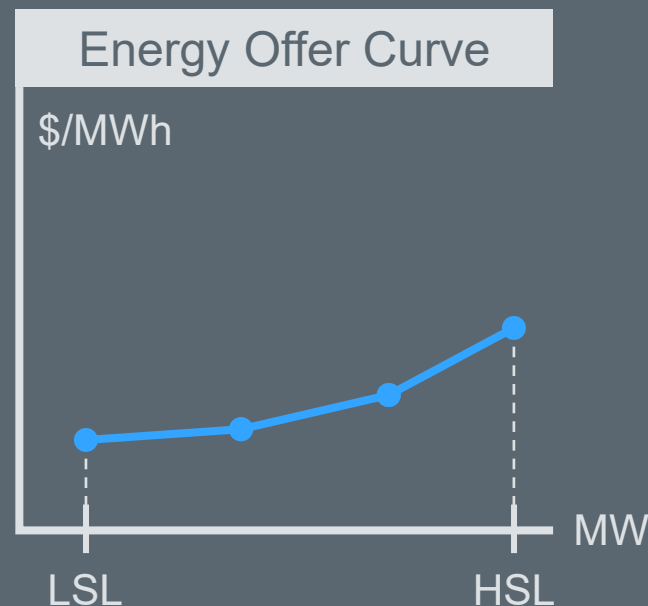
ERCOT adjusts
if QSE does not



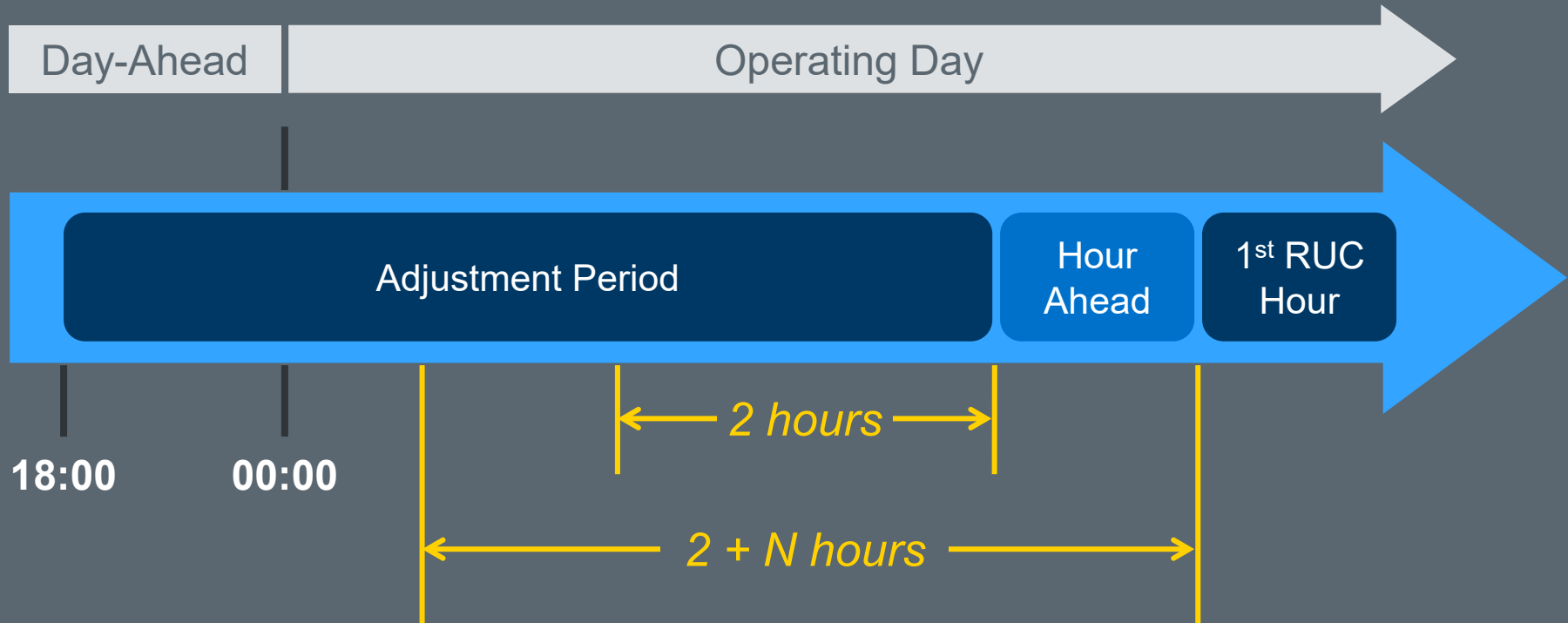
Resource Financial Impacts

- No floor price
- No RUC Settlement

QSE must update COP prior to
Opt Out Snapshot



Taken at the earlier of:



Where N is Start Time



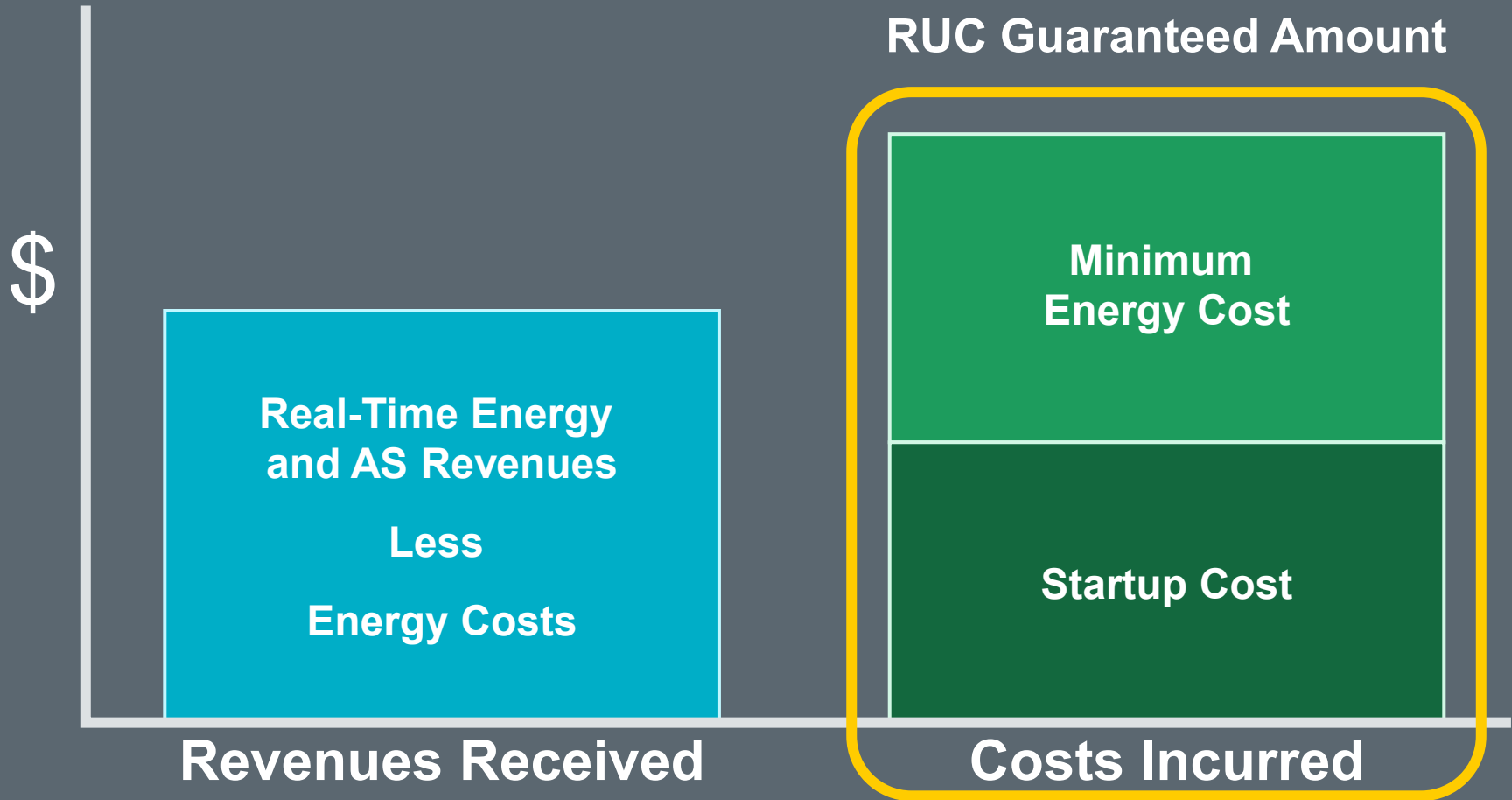
Financial Impacts



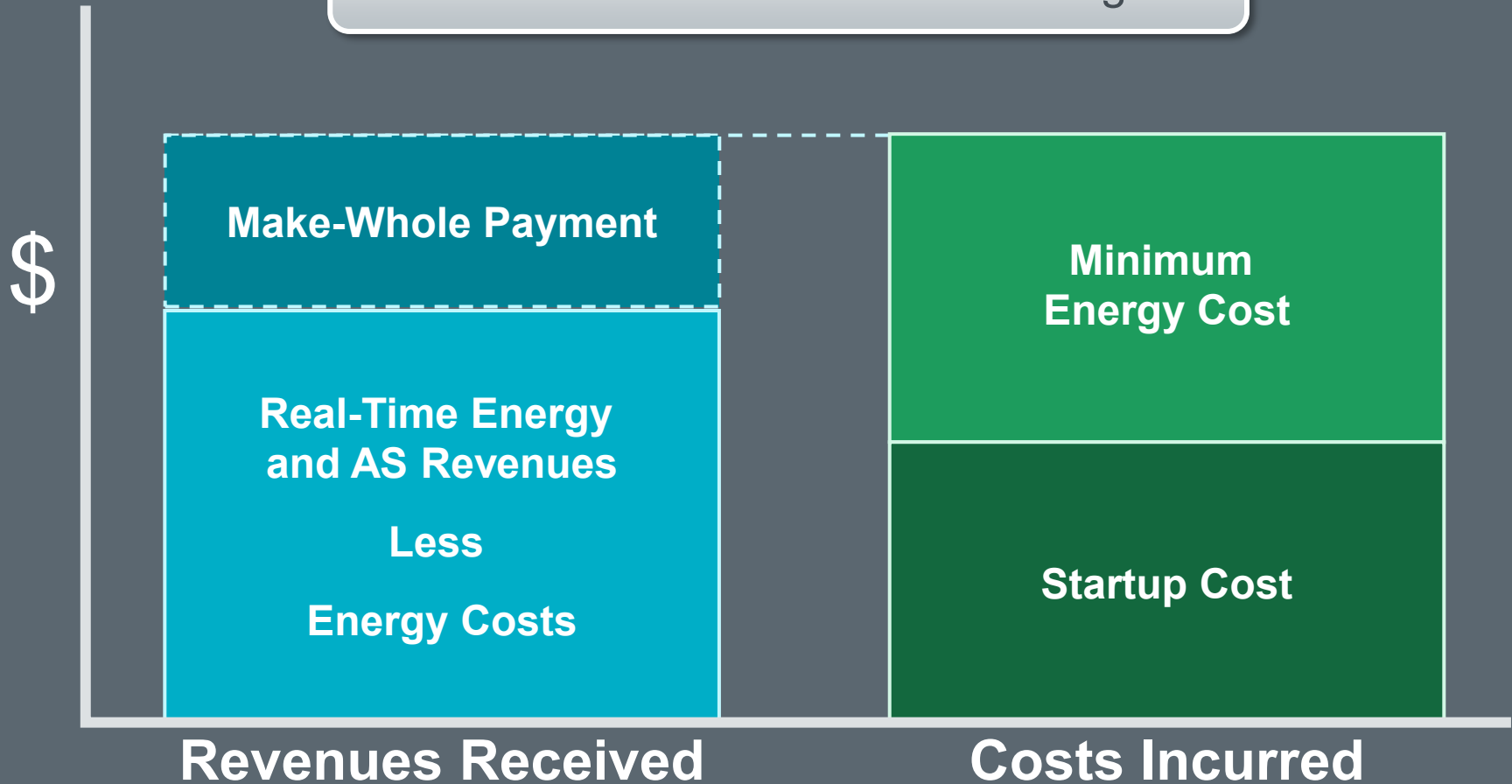


RUC
Make-Whole
Payments

RUC
Capacity Short
Charges



Resource must incur costs to be eligible

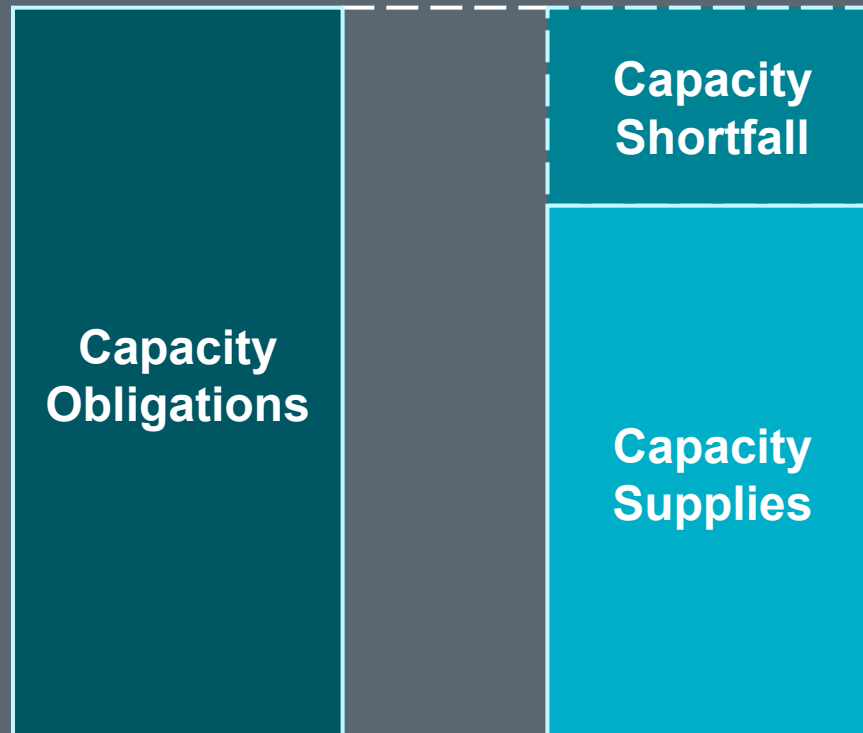




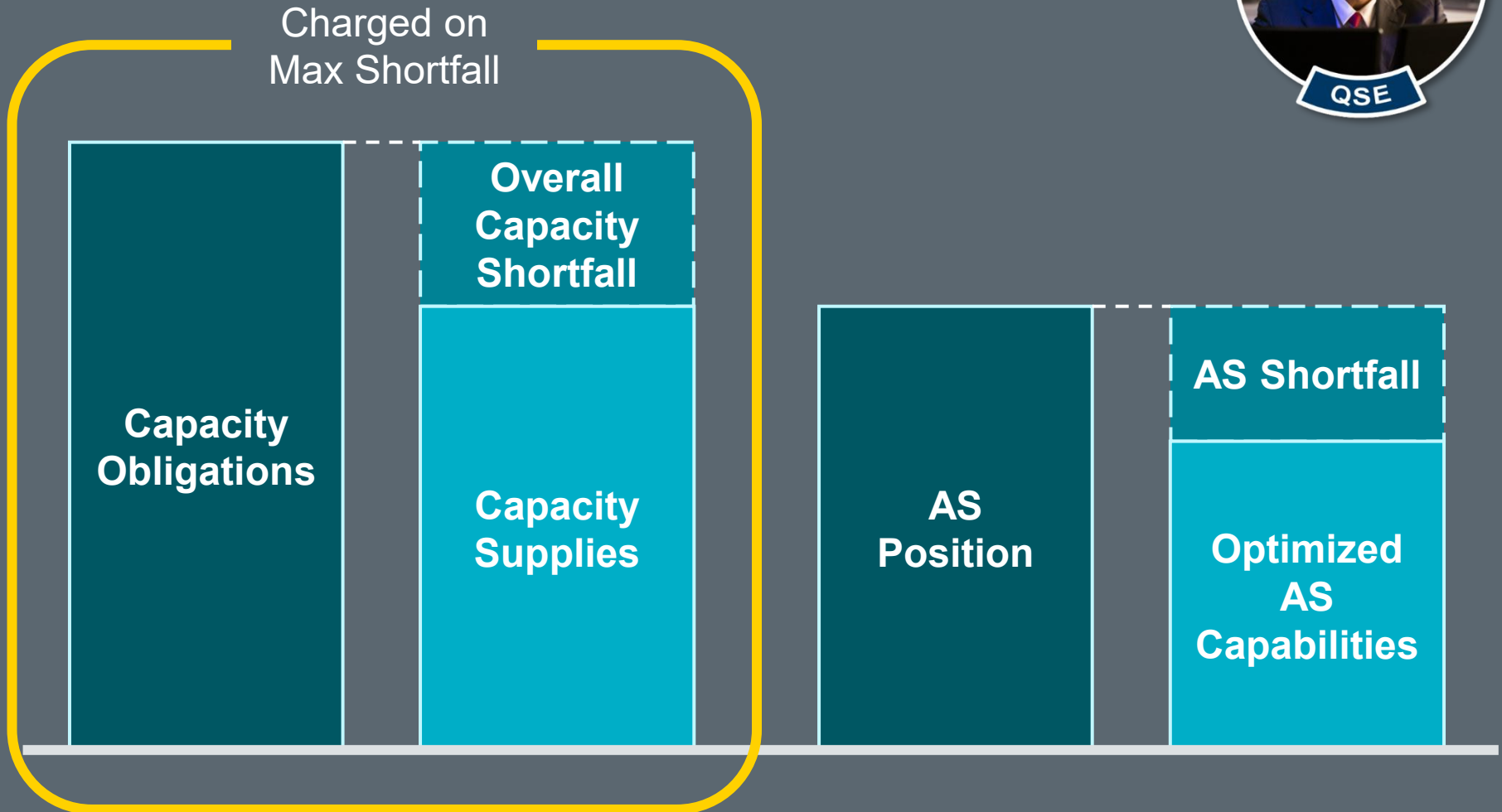
RUC
Make-Whole
Payments

RUC
Capacity Short
Charges

Assessed to QSEs with Capacity Shortfall



Two Ways for QSE to be Capacity Short



Potential Capacity Obligations for QSE



**Capacity
Obligations**

Load

Energy Sales (DAM and Trades)

On-Line AS Sales (DAM and Trades)

Self-Arranged AS from DAM

ESR charging to cover AS position

Potential Capacity Supplies for QSE



Committed Resource Capacity

Energy Purchases (DAM and Trades)

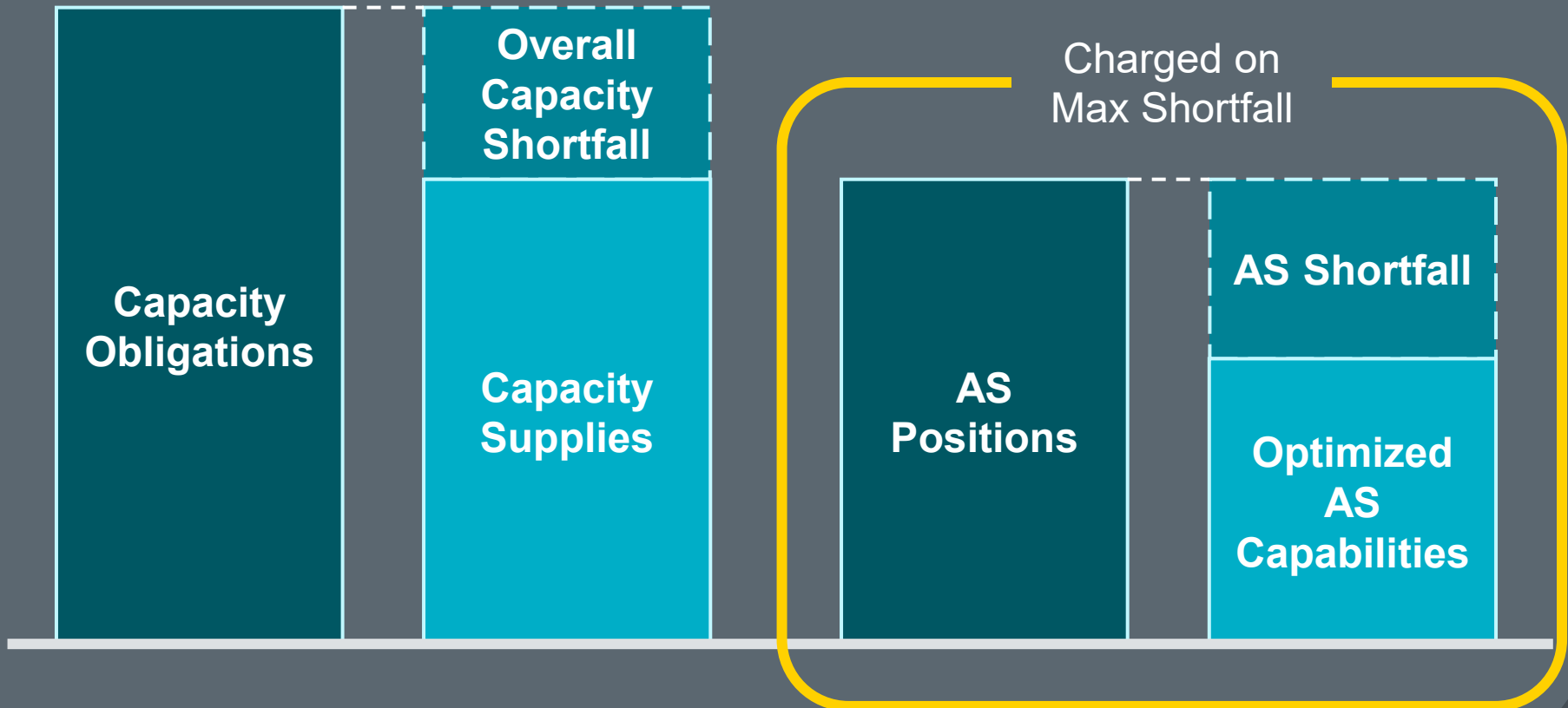
On-Line AS Purchases (DAM and Trades)

AS from Load Resources

ESR discharging to cover AS & ESR upward AS

Capacity
Supplies

Two Ways for QSE to be Capacity Short



Ancillary Service Financial Obligation for QSE



**Ancillary
Service
Positions**

DAM Self-Arranged AS

+

DAM AS Awards

+

AS Trade Sales

–

AS Trade Purchases

Ancillary Service Supply for QSE



Capabilities prioritized for AS

Constraints:

- Resource Limits
- SOC (ESRs)
- Duration Requirement (ESRs)
- Deployment Factors (ESRs)

Optimized
Ancillary
Service
Capabilities

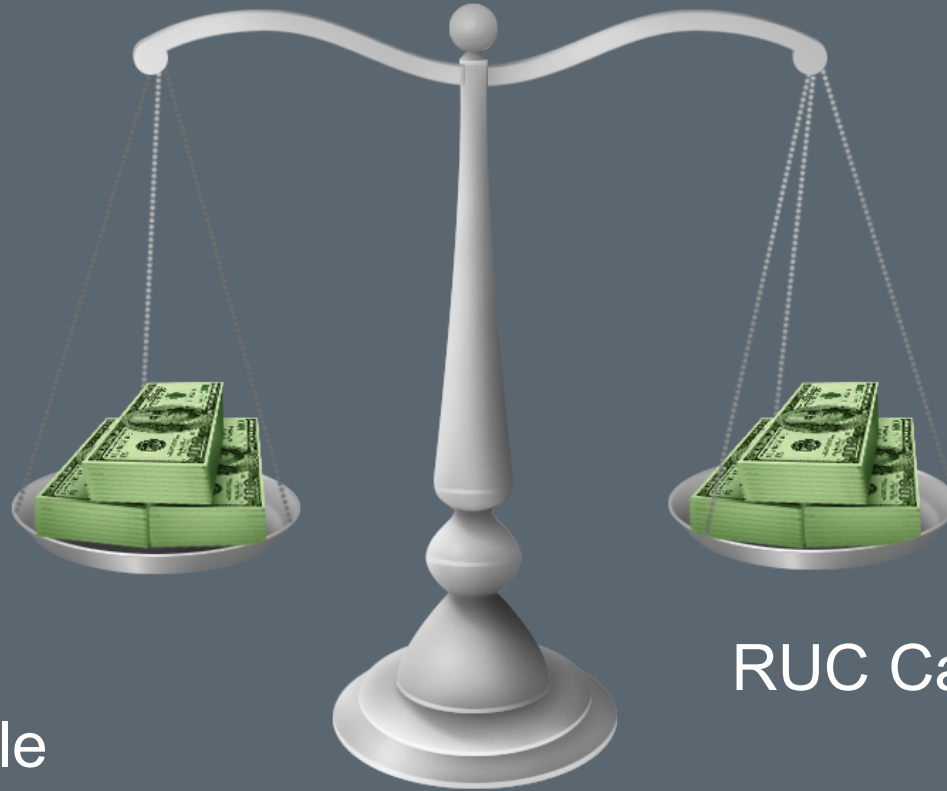
**Each QSE with a Capacity Shortfall
pays a share of total Make-Whole cost**



$$\text{Charge} = \left(\text{RUC Capacity Shortfall Ratio Share} \right) * \left(\text{RUC Make-Whole Payment Total} \right)$$

Subject to a cap

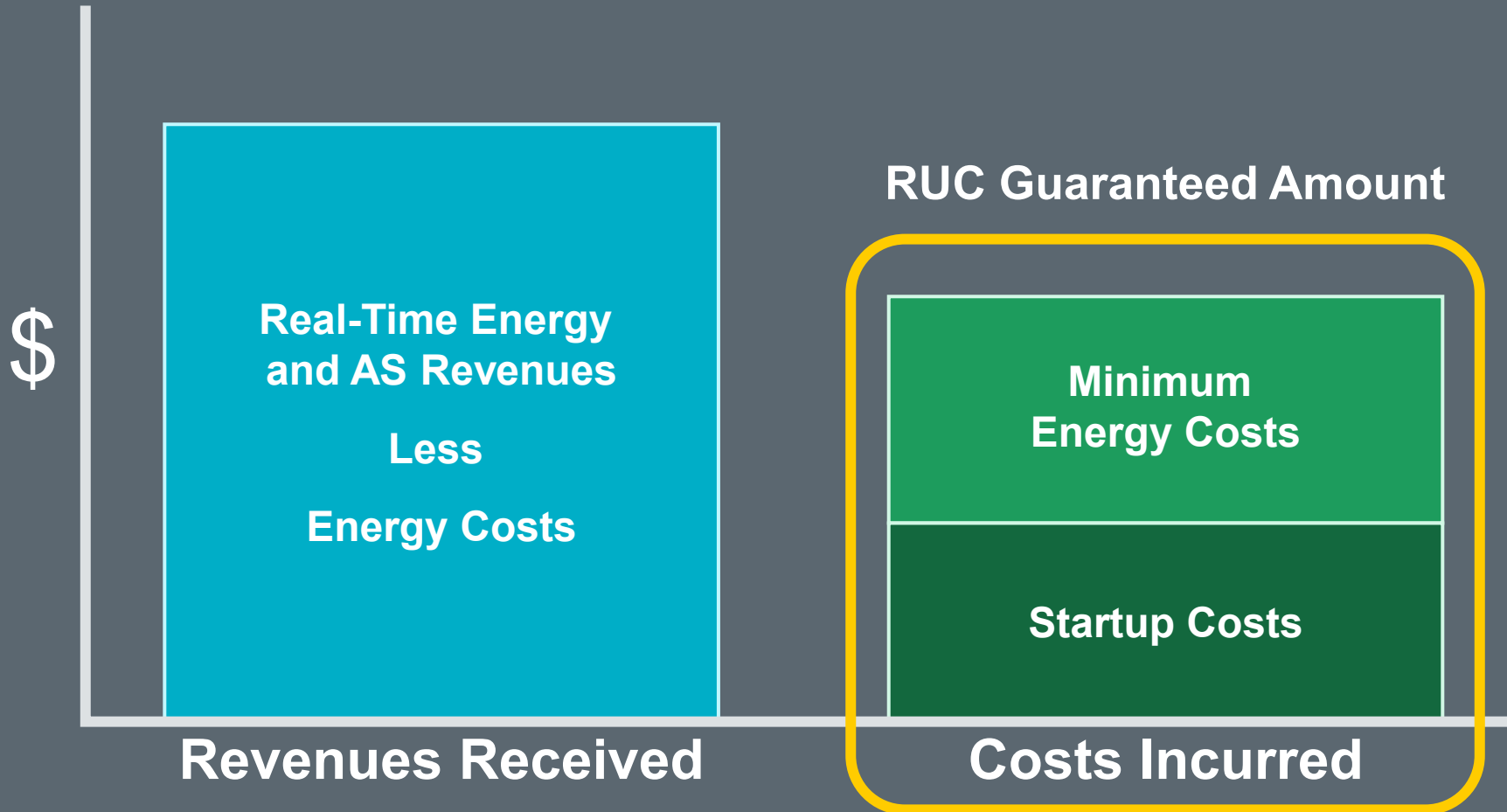
$$\text{Charge} = 2 * \left(\text{RUC Capacity Shortfall} \right) * \left(\frac{\text{RUC Make-Whole Payment Total}}{\text{RUC Capacity Total}} \right)$$



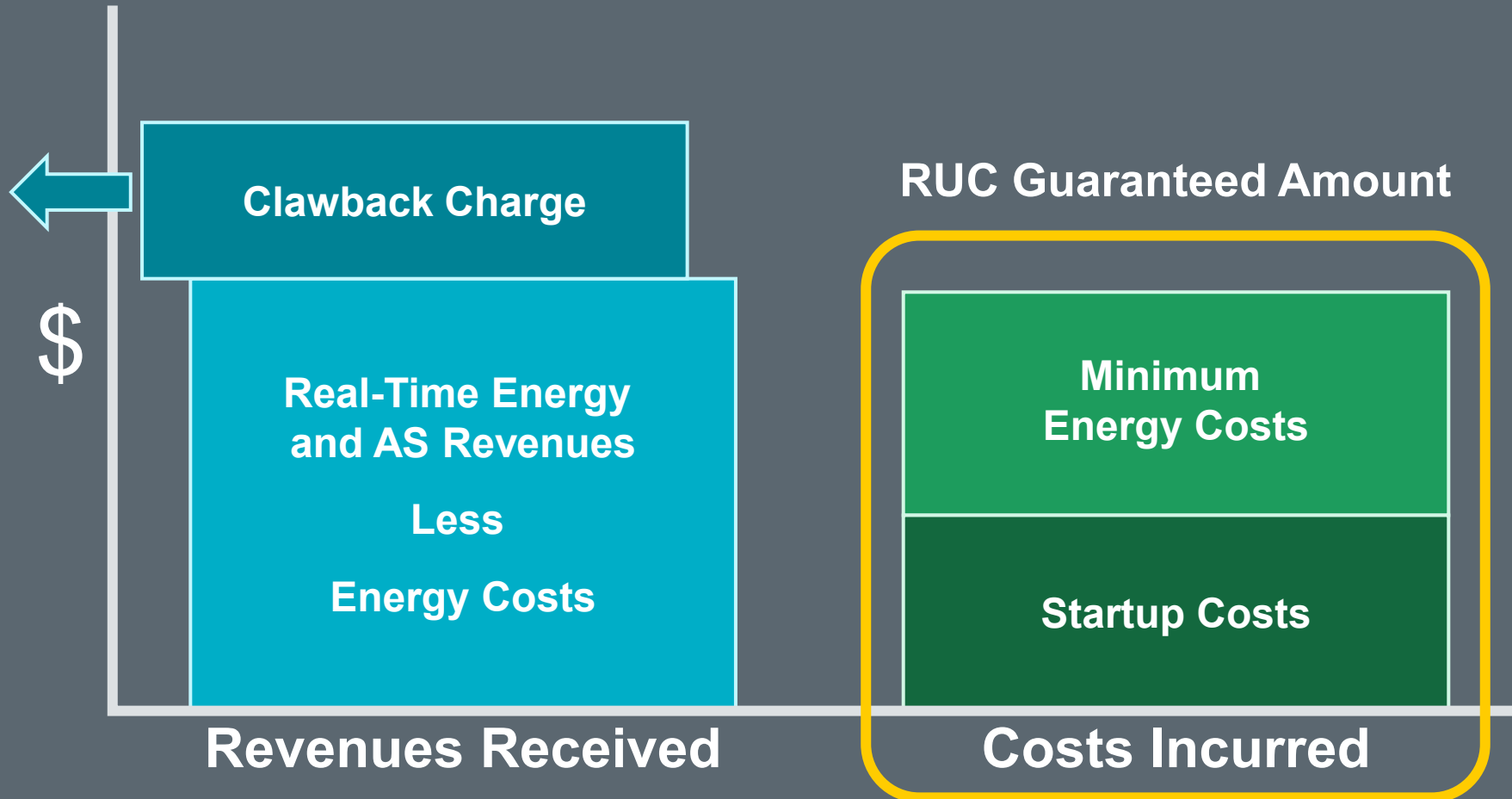
RUC
Make-Whole
Payments

RUC Capacity Short
+
Make-Whole Uplift
Charges

What if revenues are greater than costs?



What if revenues are greater than costs?





Course Wrap-Up



Format	Title
WBT	Wholesale Markets Overview

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ILT	Day-Ahead Market Operations	Inputs
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		Financial Impacts

ERCOT Training

<https://www.ercot.com/services/training>

Course Recommendations

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