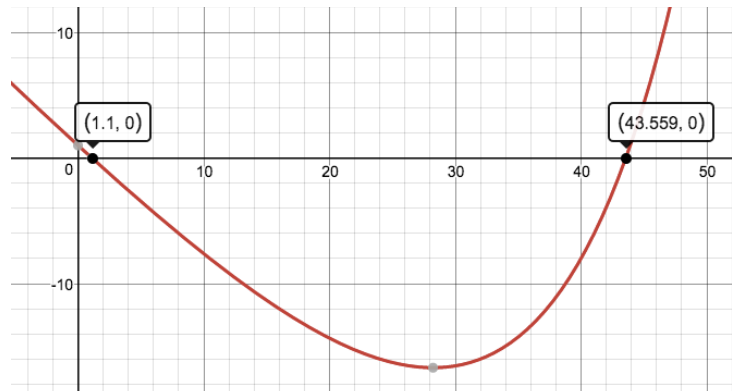


- Suppose we are comparing implementations of insertion sort and merge sort on the same machine. For inputs of size  $n$ , insertion sort runs in  $8n^2$  steps, while merge sort runs in  $64 n \lg n$  steps. For which values of  $n$  does insertion sort beat merge sort?

$$\begin{aligned}
 8n^2 &< 64 n \lg n \\
 n &< 8 \lg n \\
 \frac{n}{8} &< \lg n \\
 2^{\frac{n}{8}} &< n \\
 2^{\frac{n}{8}} - n &< 0
 \end{aligned}$$

By graphing this equation, we can see that  $2^{\frac{n}{8}} - n < 0$  from the interval  $1.1 < n < 43.5$ . Therefore, insertion sort is faster from  $1.1 < n < 43.5$



- Fill in the given table. Hint: It may be helpful to use a spreadsheet or Wolfram Alpha to find the values. *Using 30 days for month and leap years for century calculation.*

|            | 1 second    | 1 minute    | 1 hour        | 1 day          | 1 month        | 1 year          | 1 century       |
|------------|-------------|-------------|---------------|----------------|----------------|-----------------|-----------------|
| $\lg n$    | $2^{1E6}$   | $2^{6E7}$   | $2^{3.6E9}$   | $2^{8.64E10}$  | $2^{2.592E12}$ | $2^{3.1536E13}$ | $2^{3.1556E15}$ |
| $\sqrt{n}$ | $1E^{12}$   | $3.6E^{15}$ | $1.296E^{19}$ | $7.4649E^{21}$ | $6.7184E^{24}$ | $9.9451E^{26}$  | $9.9451E^{30}$  |
| $n$        | $1E^6$      | $6E^7$      | $3.6E^9$      | $8.64E^{10}$   | $2.592E^{12}$  | $3.1536E^{13}$  | $3.1556E^{15}$  |
| $n \lg n$  | $6.2746E^4$ | $2.8014E^6$ | $1.3337E^8$   | $2.7551E^9$    | $7.187E^{10}$  | $7.9763E^{11}$  | $6.8656E^{13}$  |
| $n^2$      | $1E^3$      | $7.7459E^3$ | $6E^4$        | $2.9393E^5$    | $1.6099E^6$    | $5.6156E^6$     | $5.7596E^7$     |
| $n^3$      | 100         | 391         | 1532          | 4420           | 13736          | 31593           | 159397          |
| $2^n$      | 19          | 25          | 31            | 36             | 41             | 44              | 51              |
| $n!$       | 9           | 11          | 12            | 13             | 15             | 16              | 17              |

- Use mathematical induction to show that when  $n$  is an exact power of 2, the solution of the recurrence

$$T(n) = \begin{cases} 2, & \text{if } n = 2 \\ 2T\left(\frac{n}{2}\right) + n, & \text{if } n = 2^k, \text{ for } k > 1 \end{cases}$$

is  $T(n) = n \lg n$

Base Step: If  $n = 2$ , then  $T(2) = 2 \lg 2 = 2$ .

Inductive Hypothesis: Assume  $T(n) = n \lg n$  is true if  $n = 2^k$  for some integer  $k > 1$ .

Axiom of Induction: We must prove that  $T(2^{k+1}) = 2^{k+1} \lg 2^{k+1}$ . If  $n = 2^{k+1}$ , then

$$\begin{aligned} T(2^{k+1}) &= 2T\left(\frac{2^{k+1}}{2}\right) + 2^{k+1} \\ &= 2T(2^k) + 2^{k+1} \\ &= 2(2^k \lg 2^k) + 2^{k+1} \\ &= 2^{k+1}((\lg 2^k) + 1) \\ &= 2^{k+1} \lg 2^{k+1} \end{aligned}$$

which is what we were trying to prove.

4. For each of the following pairs of functions, either  $f(n)$  is  $O(g(n))$ ,  $f(n)$  is  $\Omega(g(n))$ , or  $f(n) = \Theta(g(n))$ . Determine which relationship is correct and explain.

- a.  $f(n) = n^{0.75}$ ;  $g(n) = n^{0.5}$   
 $f(n)$  is  $\Omega(g(n))$  because  $n^{0.75}$  increases faster than  $n^{0.5}$
- b.  $f(n) = n$ ;  $g(n) = \log^2 n$   
 $f(n)$  is  $\Omega(g(n))$  because  $n$  increases faster than  $\log^2 n$ .
- c.  $f(n) = \log n$ ;  $g(n) = \lg n$   
 $f(n)$  is  $\Theta(g(n))$  because the base is insignificant.
- d.  $f(n) = e^n$ ;  $g(n) = 2^n$   
 $f(n)$  is  $\Omega(g(n))$  because  $e^n$  increases faster than  $2^n$
- e.  $f(n) = 2^n$ ;  $g(n) = 2^{n-1}$   
 $f(n)$  is  $\Theta(g(n))$  because the  $-1$  disappears as a constant
- f.  $f(n) = 2^n$ ;  $g(n) = 2^{2^n}$   
 $f(n)$  is  $O(g(n))$  because  $2^{2^n}$  increases faster than  $2^n$
- g.  $f(n) = 2^n$ ;  $g(n) = n!$   
 $f(n)$  is  $O(g(n))$  because  $n!$  increases faster than  $2^n$
- h.  $f(n) = n \lg n$ ;  $g(n) = n\sqrt{n}$   
 $f(n)$  is  $O(g(n))$  because  $n\sqrt{n}$  increases faster than  $n \lg n$ .

5. Design an algorithm that given a list of  $n$  numbers, returns the largest and smallest numbers in the list. How many comparisons does your algorithm do in the worst case? Instead of asymptotic behavior suppose we are concerned about the coefficients of the running time, can you design an algorithm that performs at most  $1.5n$  comparisons? Demonstrate the execution of the algorithm with the input  $A = [9, 3, 5, 10, 1, 7, 12]$ .

Pseudocode:

```

for 0 to  $n/2$ 
    if  $x_i < x_{i+1}$ 
         $x_i$  goes to array small
         $x_{i+1}$  goes to array large
    else
         $x_i$  goes to array large
         $x_{i+1}$  goes to array small
if A is not empty
    remaining is smallest
else if  $x_1 < x_2$  in array small
    smallest is  $x_1$ 
else
    smallest is  $x_2$ 
if A is not empty
    remaining is largest
else if  $x_1 > x_2$  in array large
    largest is  $x_1$ 
else
    largest is  $x_2$ 
for 0 to  $n/2 - 2$ 
    if  $x_i$  in array small  $<$  smallest
         $x_i$  is smallest
    if  $x_i$  in array large  $>$  largest
         $x_i$  is largest
return largest and smallest

```

$n/2$  comparisons are made in the first for loop. Then two comparisons are made in the middle section. Then  $2 * (n/2 - 2)$  comparisons are made in the second for loop. This ends up being  $\frac{n}{2} + 2 + 2 * \left(\frac{n}{2} - 2\right) = \frac{n}{2} + 2 + n - 4 = \frac{3n}{2} - 2$  which is 2 less comparisons than  $1.5n$ . To illustrate the input  $A = [9, 3, 5, 10, 1, 7, 12]$ :

- $A[9, 3, 5, 10, 1, 7, 12]$ , small[], large[]
- $A[5, 10, 1, 7, 12]$ , small[3], large[9]
- $A[1, 7, 12]$ , small[3, 5], large[9, 10]
- $A[12]$ , small[3, 5, 1], large[9, 10, 7]

- smallest = 12, largest = 12, small[3, 5, 1], large[9, 10, 7]
- smallest = 3, largest = 12, small[3, 5, 1], large[9, 10, 7]
- smallest = 3, largest = 12, small[3, 5, 1], large[9, 10, 7]
- smallest = 1, largest = 12, small[3, 5, 1], large[9, 10, 7]
- return 1 and 12

**6. Let  $f_1$  and  $f_2$  be asymptotically positive functions. Prove or disprove each of the following conjectures. To disprove give a counter example.**

- a. If  $f_1(n) = O(g_1(n))$  and  $f_2(n) = O(g_2(n))$  then  $f_1(n) + f_2(n) = O(g_1(n) + g_2(n))$ .

By definition  $f_1(n) = O(g_1(n))$  implies there exist positive constants  $c_1$  and  $n_0$  such that  $0 \leq f_1(n) \leq c_1 g_1(n)$  for all  $n \geq n_0$ . By definition  $f_2(n) = O(g_2(n))$  implies there exist positive constants  $c_2$  and  $n_1$  such that  $0 \leq f_2(n) \leq c_2 g_2(n)$  for all  $n \geq n_1$ . Show that  $f_1(n) + f_2(n) \leq c_3 (g_1(n) + g_2(n))$  for all  $n \geq n_2$ .

$$\begin{aligned} f_1(n) + f_2(n) &\leq c_1 g_1(n) + c_2 g_2(n) \\ &\leq \max(c_1, c_2) g_1(n) + \max(c_1, c_2) g_2(n) \\ &\leq \max(c_1, c_2) [g_1(n) + g_2(n)] \\ &= c_3 [g_1(n) + g_2(n)] \end{aligned}$$

We've found a  $c_3 = \max(c_1, c_2)$  that satisfies the definition of big-Oh, which is what we were trying to prove.

- b. If  $f_1(n) = O(g_1(n))$  and  $f_2(n) = O(g_2(n))$ , then  $\frac{f_1(n)}{f_2(n)} = O\left(\frac{g_1(n)}{g_2(n)}\right)$ .

Counterexample:

Let  $f_1(n) = n$ ,  $f_2(n) = n^2$ ,  $g_1(n) = 3n^2$ ,  $g_2(n) = n^2$ . Then  $\frac{n}{n^2} = \frac{1}{n}$  which doesn't equal  $O\left(\frac{3n^2}{n^2}\right) = 1$ .

**7. Fibonacci Numbers:**

The Fibonacci sequence is given by : 0, 1, 1, 2, 3, 5, 8, 13, 21, ..... By definition the Fibonacci sequence starts at 0 and 1 and each subsequent number is the sum of the previous two. In mathematical terms, the sequence  $F_n$  of Fibonacci number is defined by the recurrence relation

$$F_n = F_{n-1} + F_{n-2} \text{ with } F_0 = 0 \text{ and } F_1 = 1$$

An algorithm for calculating the  $n$ th Fibonacci number can be implemented either recursively or iteratively.

- a. Implement both recursive and iterative algorithms to calculate Fibonacci Numbers in the programming language of your choice. Provide a copy of your code with your HW pdf. We will not be executing the code for this assignment. You are not required to use the flip server for this assignment.

In Python:

Iterative:

```
def iter_fib(n):
    cur_fib = 0
    prev_fib = 1
    temp_fib = 0
    for i in range(1, n):
        temp_fib = cur_fib + prev_fib
        prev_fib = cur_fib
        cur_fib = temp_fib
    return cur_fib
```

Recursive:

```
def fib(n):
    if n == 0:
        return 0
    elif n == 1:
        return 1
    else:
        return fib(n-1) + fib(n-2)
```

- b. Use the system clock to record the running times of each algorithm for  $n = 5, 10, 15, 20, 30, 50, 100, 1000, 2000, 5000, 10,000, \dots$ . You may need to modify the values of  $n$  if an algorithm runs too fast or too slow to collect the running time data. If you program in C your algorithm will run faster than if you use python. The goal of this exercise is to collect run time data. You will have to adjust the values of  $n$  so that you get times greater than 0.

Due to the vastly different speeds, the values of  $n$  drastically vary between the iterative and recursive versions.

Iterative:

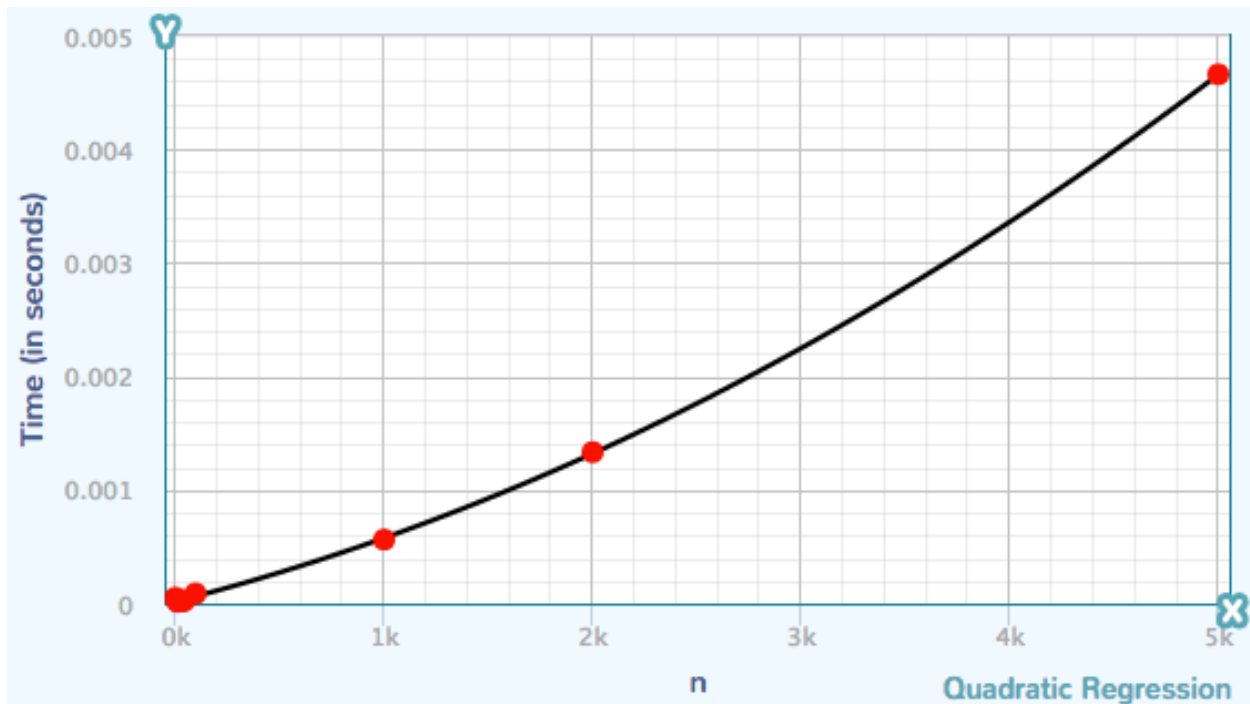
| n    | Time (in seconds) |
|------|-------------------|
| 5    | 0.000051021       |
| 10   | 0.000017881       |
| 15   | 0.00001502        |
| 30   | 0.000019788       |
| 50   | 0.000025987       |
| 100  | 0.000087976       |
| 1000 | 0.0005629         |
| 2000 | 0.0013339         |
| 5000 | .0046608          |

Recursive:

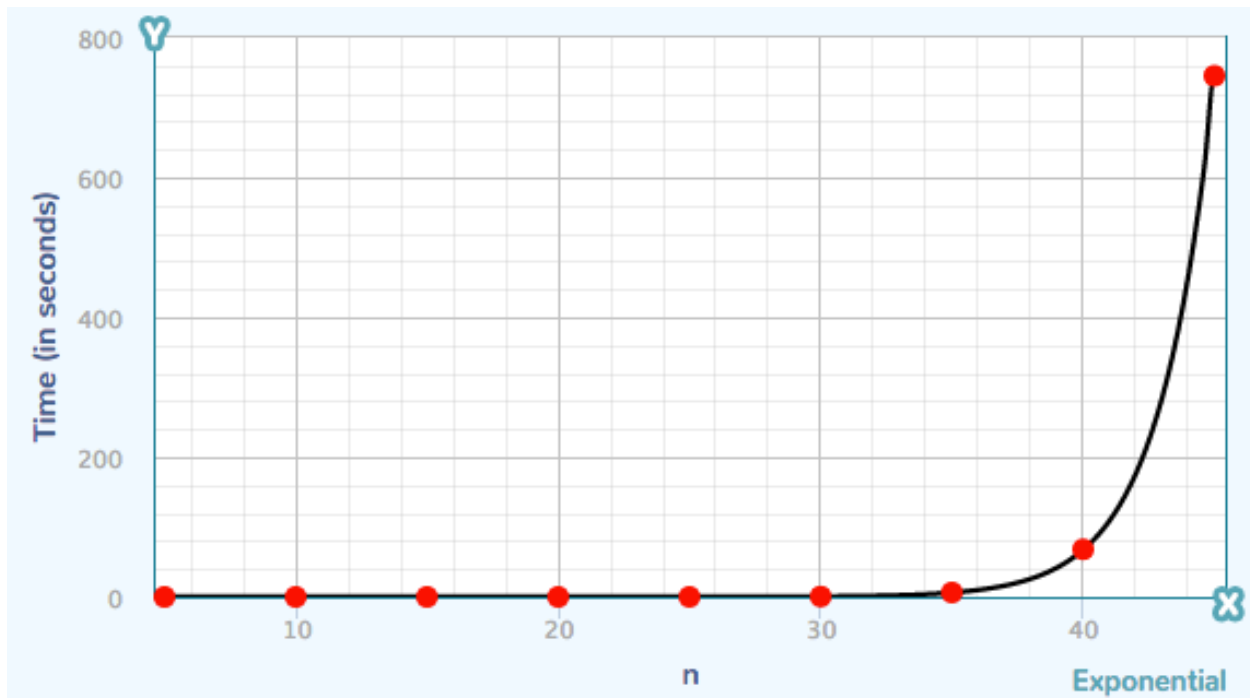
| n  | Time (in seconds) |
|----|-------------------|
| 5  | 0.000064849       |
| 10 | 0.000061035       |
| 15 | 0.00038695        |
| 20 | 0.0067908         |
| 25 | 0.046588          |
| 30 | 0.54912           |
| 35 | 6.36967           |
| 40 | 68.10239          |
| 45 | 745.33580         |

- c. Plot the running time data you collected on graphs with  $n$  on the x-axis and time on the y-axis. You may use Excel, Matlab, R or any other software.

Iterative:



Recursive:



- d. What type of function (curve) best fits each data set? Again you can use Excel, Matlab, any software or a graphing calculator to calculate the regression curve. Give the equation of the function that best “fits” the data and draw that curve on the data plot. Why is there a difference in running times?

Iterative:

The iterative version had a 2<sup>nd</sup> degree polynomial best fit, with a .98 accuracy using equation  $y = 0.00001847703 + 4.686704e - 7 * x + 9.199769e - 11 * x^2$

Recursive:

The recursive version had an exponential best fit, with a .99 accuracy using the equation  $y = 0.01433543 - (-1.585365e - 7 / -0.4785349) * (1 - e^{(+0.4785349 * x)})$

The recursive solution is much slower because each  $x_{i-1}$  is calculated for +1 more times than  $x_i$ , creating much more work. When drawn out, the solution forms a binary tree with a depth of  $n-1$ . Iteratively, the solution is nearly linear, but with some background work it is a polynomial.