o-Minimality and its Variations

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o-Minimality

Assumptions

- $\mathcal{M} = (M, <, \ldots)$
- $<$ is dense, linear, without endpoints
- definability with parameters

Definition

The structure $\mathcal{M}$ is called *o-minimal* if every definable subset of M is a finite union of singletons and open intervals with endpoints in $M_\infty := M \cup \{-\infty, +\infty\}$.
A theory T is called *o-minimal* if every model $\mathcal{M}$ of T is o-minimal.

The class of o-minimal structures is,

- closed under reducts (if $<$ still remains in the language)
- closed under expansions by constants

Some o-minimal structures

- $(\mathbb{Q}, <)$
- $(\mathbb{Q}, <, +)$
- $\mathcal{R} = (\mathbb{R}, <, +, -, \cdot, 0, 1)$

$(\mathbb{Q}, <, +, \cdot, 0, 1)$ is not o-minimal

The infinite discrete set of perfect squares is definable.

Monotonicity and Finiteness

Monotonicity Theorem

Let $\mathcal{M}$ be an o-minimal structure and $f : (a, b) \to M$ be a definable function with domain (a, b)(possibly $a = -\infty$ or $b = +\infty$). Then, there are points $a = a_0 < a_1 < \cdots < a_{k+1}$ s.t. for each $j = 0, \ldots, k$, $f|_{(a_j, a_{j+1})}$ is either,

- constant, or
- a strictly monotonic and continuous bijection to an interval.

Finiteness Lemma

Let $A \subseteq M^2$ be definable and suppose that for each $x \in M$ the fiber $A_x := \{y \in M | (x, y) \in A\}$ is finite. Then there is $N < \omega$ s.t. $|A_x| \leq N$ for all $x \in M$.

Applications

Corollary

Let $f : (a, b) \to M$ be definale and continuous. Then f takes a maximum and minimum value on $[a, b]$.

Exchange Principle

Let $\mathcal{M}$ be o-minimal. Let $b, c, a_1, \ldots, a_n \in \mathcal{M}$. If b is definable over $c, a_1, \ldots, a_n$, and b is not definable over $a_1, \ldots, a_n$, then c is definable over $b, a_1, \ldots, a_n$.

Motivation

Question

What happens with the definable subsets of M^n?

Notation

Given definable $X \subseteq M^n$

- $C(X) := \{f : X \to M | f \text{ is definable and continuous}\}$
- $C_\infty(X) := C(X) \cup \{-\infty, +\infty\}$
- for $f, g \in C_\infty(X)$ s.t. $(\forall \bar{x} \in X)(f(\bar{x}) < g(\bar{x}))$ then

$$(f, g)_X := \{(\bar{x}, y) \in X \times M | f(\bar{x}) < y < g(\bar{x})\}$$

Cells

Let $(i_1, \ldots, i_n)$ be a binary sequence. Then a $(i_1, \ldots, i_n)$-*cell* is a definable subset of M^n defined as follows,

- a (0)-cell is a singleton
- a (1)-cell is an open interval

Suppose that we have defined $(i_1, \ldots, i_{n-1})$-cells, then

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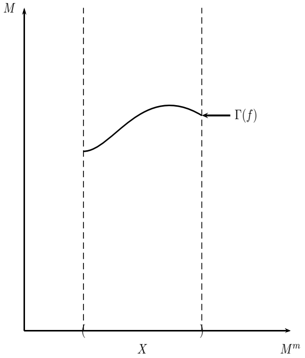
- a $(i_1, \ldots, i_{n-1}, 0)$-cell is the graph of some $f \in C(X)$, where X is a $(i_1, \ldots, i_{n-1})$-cell



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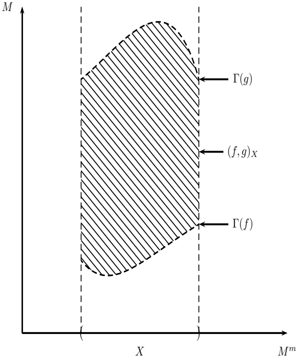
- a $(i_1, \dots, i_{n-1}, 0)$-cell is the graph of some $f \in C(X)$, where X is a $(i_1, \dots, i_{n-1})$-cell
- a $(i_1, \dots, i_{n-1}, 1)$-cell is the set $(f, g)_X$ where X is a $(i_1, \dots, i_{n-1})$-cell and $f, g \in C_\infty(X)$



Decompositions

A *decomposition* of M^n is a partition of M^n into finitely many cells. It is defined recursively.

- A decomposition of M is a collection,

$$\{(-\infty, a_1), (a_1, a_2), \ldots, (a_k, +\infty), \{a_1\}, \ldots, \{a_k\}\}$$

  where $a_1 < \ldots < a_k$ are points in M;
- a decomposition of M^{n+1} is a finite partition of M^{n+1} into cells C s.t. the set of projections $\pi(C)$ is a decomposition of M^n.

A decomposition $\mathcal{D}$ of M^n is said to *partition* a set $S \subseteq M^n$ if S is a union of cells in $\mathcal{D}$.

Cell Decomposition Theorem

Uniform Finiteness Property

Let $Y \subseteq M^{n+1}$ be definable and also for every $\bar{x} \in M^n$,

$$Y_{\bar{x}} := \{r \in M | (\bar{x}, r) \in Y\}$$

is finite. Then there exists $N < \omega$ s.t. $Y_{\bar{x}} \leq N$ for all $\bar{x} \in M^n$.

Cell Decomposition Theorem

1. Given any definable sets $A_1, \ldots, A_k \subseteq M^n$ there is a decomposition of M^n partitioning each of $A_1, \ldots, A_k$.
2. For each definable function $f : A \to M$, $A \subseteq M^n$, there is a decomposition $\mathcal{D}$ of M^n partitioning A s.t. the restriction $f|B : B \to M$ to each cell $B \in \mathcal{D}$ with $B \subseteq A$ is continuous.

Applications

Theorem

If $\mathcal{M}$ is an o-minimal structure, the $\mathrm{Th}(\mathcal{M})$ is an o-minimal theory.

Proof...

Prime models

Definition

Let $\mathcal{M} \models T$ and $A \subseteq \mathcal{M}$. $\mathcal{M}$ is said to be *prime over* A if for any $\mathcal{M}' \models T$ with $A \subseteq \mathcal{M}'$, there is an elementary mapping $f : \mathcal{M} \to \mathcal{M}'$ that is identity on A.

Theorem

Let $A \subseteq \mathcal{M} \models T$, where T is an o-minimal theory. Then there is an a model $\mathcal{M}' \models T$, $A \subseteq \mathcal{M}'$ that is prime over A, and is unique up to isomorphism over A.

Definition

Let $\mathcal{M}$ be an L-structure and let $A \subseteq M$. Let δ be an ordinal and $(a_\alpha : \alpha < \delta)$ be a sequence of elements from M. Let $A_\alpha = A \cup \{a_\beta : \beta < \alpha\}$. We call $(a_\alpha : \alpha < \delta)$ a *construction* over A if $\mathrm{tp}(a_\alpha / A_\alpha)$ is isolated for all $\alpha < \delta$.

Theorem [Ressayre]

Suppose that $A \subseteq \mathbb{M}$, $\mathcal{M} \prec \mathbb{M}$, $\mathcal{N} \prec \mathbb{M}$, and $\mathcal{M}$ and $\mathcal{N}$ are constructible over A. Then the identity map on A extends to an isomorphism between $\mathcal{M}$ and $\mathcal{N}$.

Cuts and Noncuts

Let $\mathcal{M} \models T$. Let $p(x) \in S_1(M)$. We say that $p(x)$ is a *cut* over $\mathcal{M}$ iff there are non empty disjoint subsets C_0 and C_1 of M, s.t. $C_0 \cup C_1 = M$, C_0 has no greatest element, C_1 has no least element, for all $c \in C_0$, "$c < x$" $\in p(x)$, and for all $c \in C_1$, "$x < c$" $\in p(x)$.
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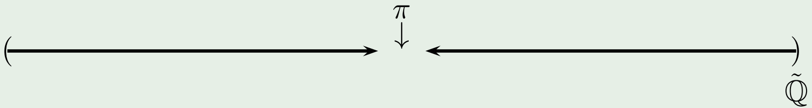
Example

Let $\tilde{\mathbb{Q}}$ be the field of real algebraic numbers. We know that $\pi \in \mathbb{R} \backslash \tilde{\mathbb{Q}}$, so $\mathrm{tp}(\pi, \tilde{\mathbb{Q}})$ is a cut.
If t is an infinite hyperreal or t is infinitesimally close to an element of $\tilde{\mathbb{Q}}$, then $\mathrm{tp}(t, \tilde{\mathbb{Q}})$ is a noncut.



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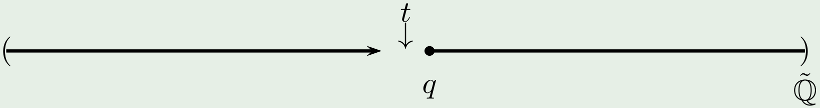

Let $\mathcal{M} \vDash T$, where T is an o-minimal theory.

Theorem

Let $\sigma(x) \in S_1(M)$ be a cut. Let $\tau(x) \in S_1(M)$ be a noncut, and b be a realization of $\tau(x)$. Then $\sigma(x)$ is omitted in $P(\mathcal{M} \cup \{b\})$.

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Ordered Groups and Fields

Theorem

Let $\mathcal{G} = (G, +, 0, <)$ be an o-minimal ordered group. Then $\mathcal{G}$ is a divisible oredered abelian group.

Reminder

An abelian group G is divisible if, for every positive integer n and every $g \in G$, there exists $y \in G$ such that $ny = g$.

Theorem

Let $\mathcal{R} = (R, +, \cdot, 1, <)$ be an o-minimal ordered ring. Then $\mathcal{R}$ is a real closed field.

Reminder

A field F is real closed if it is elementarily equivalent to $\mathbb{R}$.

Trichotomy Theorem

Question

Is there a sense in which an o-minimal structure is either "trivial", or group-like, or field-like?

Any answer to this has to be "local".

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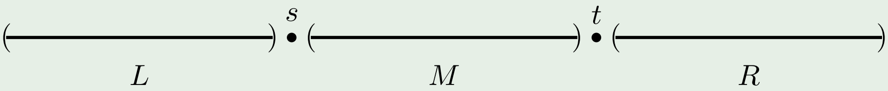
Any answer to this has to be “local”.

Example

- L is carrying the structure of a pure dense linear order
- M is carrying the structure of a divisible abelian ordered group
- R is carrying the structure of a real closed field



Trichotomy Theorem

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Let $\mathcal{M}$ be a sufficiently saturated o-minimal structure. Given an $a \in M$ one and only one of the following holds,

- a is trivial,
- the structure that $\mathcal{M}$ induces on some convex neighborhood of a is an ordered vector space over an ordered division ring.
- the structure that $\mathcal{M}$ induces over some open interval around a is an o-minimal expansion of a real closed field.