

Software Testing

Definition

- Glen Myers
 - Testing is the process of executing a program with the intent of finding *errors*
- Paul Jorgensen
 - Testing is obviously concerned with *errors, faults, failures and incidents*. A test is the act of exercising software with *test cases* with an objective of
 - Finding failure
 - Demonstrate correct execution

Terminology

- Error
 - Represents mistakes made by people
- Fault
 - Is result of error. May be categorized as
 - Fault of Commission – we enter something into representation that is incorrect
 - Fault of Omission – Designer can make error of omission, the resulting fault is that something is missing that should have been present in the representation

Cont...

- Failure
 - Occurs when fault executes.
- Incident
 - Behavior of fault. An incident is the symptom(s) associated with a failure that alerts user to the occurrence of a failure
- Test case
 - Associated with program behavior. It carries set of input and list of expected output

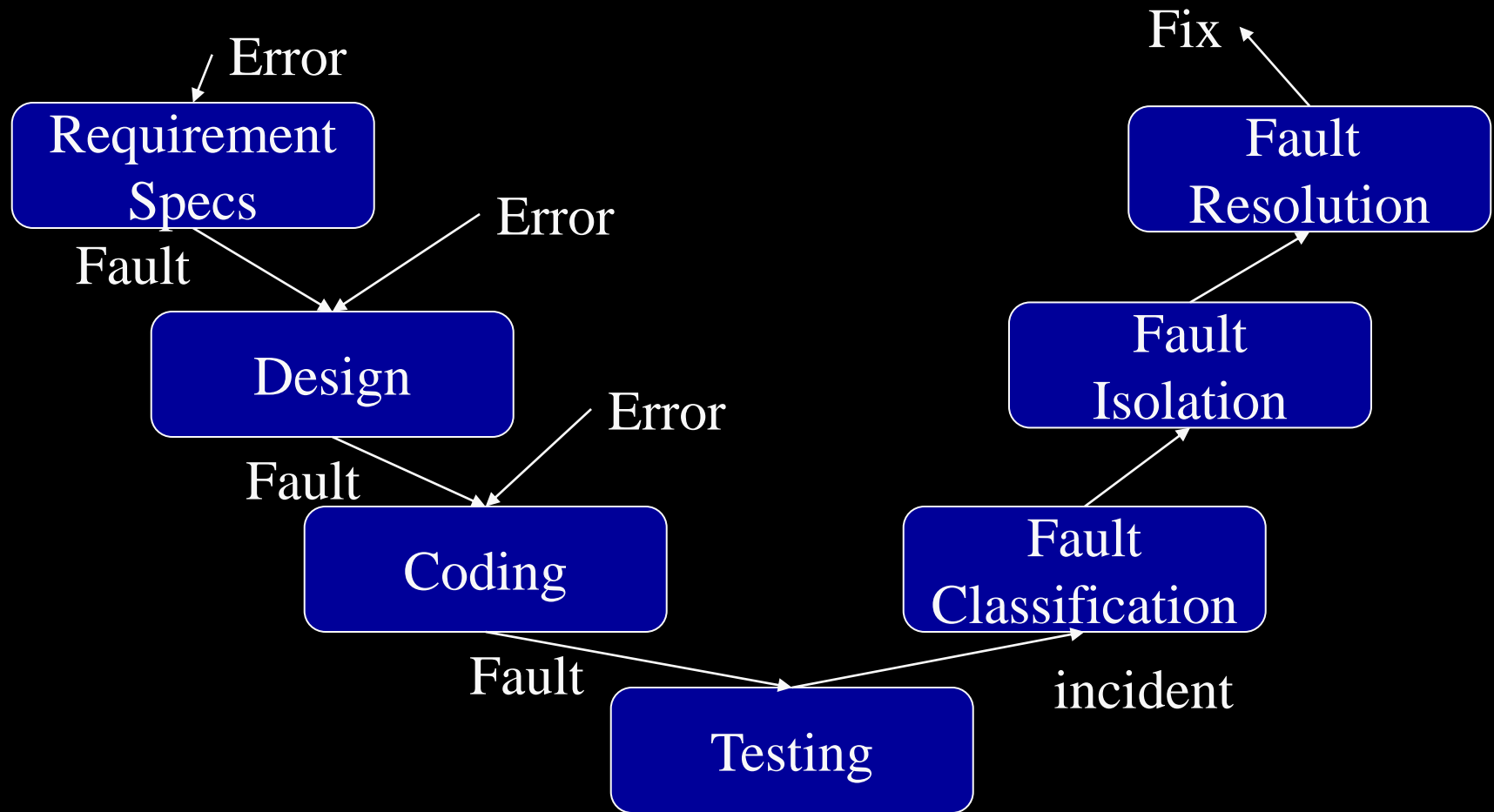
Cont...

- Verification
 - Process of determining whether output of one phase of development conforms to its previous phase.
- Validation
 - Process of determining whether a fully developed system conforms to its SRS document

Verification versus Validation

- Verification is concerned with phase containment of errors
- Validation is concerned about the final product to be error free

Testing - Life Cycle



Classification of Test

- There are two levels of classification
 - One distinguishes at granularity level
 - Unit level
 - System level
 - Integration level
 - Other classification (mostly for unit level) is based on methodologies
 - Black box (Functional) Testing
 - White box (Structural) Testing

Unit testing

- Applicable to modular design
 - Unit testing inspects individual modules
- Locate error in smaller region
 - In an integrated system, it may not be easier to determine which module has caused fault
 - Reduces debugging efforts

Test cases and Test suites

- Test case is a triplet $[I, S, O]$ where
 - I is input data
 - S is state of system at which data will be input
 - O is the **expected** output
- Test suite is set of all test cases

Need for designing test cases

- Almost every non-trivial system has an extremely large input data domain thereby making exhaustive testing impractical
- If randomly selected then test case may lose significance since it may expose an already detected error by some other test case

Design of test cases

- Number of test cases do not determine the effectiveness
- Each test case should detect different errors

Black box testing

- Equivalence class partitioning
- Boundary value analysis
- Comparison testing
- Orthogonal array testing
- Decision Table based testing
 - Cause Effect Graph

Equivalence Class Partitioning

- Input values to a program are partitioned into equivalence classes.
- Partitioning is done such that:
 - program behaves in similar ways to every input value belonging to an equivalence class.

Why define equivalence classes?

- Test the code with just one representative value from each equivalence class:
 - as good as testing using any other values from the equivalence classes.

Equivalence Class Partitioning

- If the input data to the program is specified by a range of values:
 - e.g. numbers between 1 to 5000.
 - one valid and two invalid equivalence classes are defined.



Equivalence Class Partitioning

- If input is an enumerated set of values:
 - e.g. {a,b,c}
 - one equivalence class for valid input values
 - another equivalence class for invalid input values should be defined.

Example (cont.)

- The test suite must include:
 - representatives from each of the three equivalence classes:
 - a possible test suite can be: $\{-5, 500, 6000\}$.



Boundary Value Analysis

- Some typical programming errors occur:
 - at boundaries of equivalence classes
 - might be purely due to psychological factors.
- Programmers often fail to see:
 - special processing required at the boundaries of equivalence classes.

Boundary Value Analysis

- Programmers may improperly use $<$ instead of \leq
- Boundary value analysis:
 - select test cases at the boundaries of different equivalence classes.

Example

- For a function that computes the square root of an integer in the range of 1 and 5000:
 - test cases must include the values: $\{0, 1, 5000, 5001\}$.



White-Box Testing

- Statement coverage
- Branch coverage
- Path coverage
- Condition coverage
- Mutation testing
- Data flow-based testing

Statement Coverage

- Statement coverage methodology:
 - design test cases so that every statement in a program is executed at least once.
- The principal idea:
 - unless a statement is executed, we have no way of knowing if an error exists in that statement

Example

Euclid's GCD Algorithm

- ```
int f1(int x, int y){
1. while (x != y){
2. if (x>y) then
3. x=x-y;
4. else y=y-x;
5. }
6. return x; }
```



# Euclid's GCD computation algorithm

- By choosing the test set  
 $\{(x=3, y=3), (x=4, y=3), (x=3, y=4)\}$ 
  - all statements are executed at least once.

# Branch Coverage

- Test cases are designed such that:
  - different branch conditions is given true and false values in turn.
- Branch testing guarantees statement coverage:
  - a stronger testing compared to the statement coverage-based testing.

# Example

- Test cases for branch coverage can be:  
 $\{(x=3,y=3), (x=4,y=3), (x=3,y=4)\}$

# Condition Coverage

- Test cases are designed such that:
  - each component of a composite conditional expression given both true and false values.
- Example
  - Consider the conditional expression  $((c1.and.c2).or.c3)$ :
  - Each of  $c1$ ,  $c2$ , and  $c3$  are exercised at least once i.e. given true and false values.

# Condition coverage

- Consider a Boolean expression having  $n$  components:
  - for condition coverage we require  $2^n$  test cases.
- practical only if  $n$  (the number of component conditions) is small.

# Path Coverage

- Design test cases such that:
  - all linearly independent paths in the program are executed at least once.
- Defined in terms of
  - control flow graph (CFG) of a program.

# Control flow graph (CFG)

- A control flow graph (CFG) describes:
  - the sequence in which different instructions of a program get executed.
  - the way control flows through the program.

# How to draw Control flow graph?

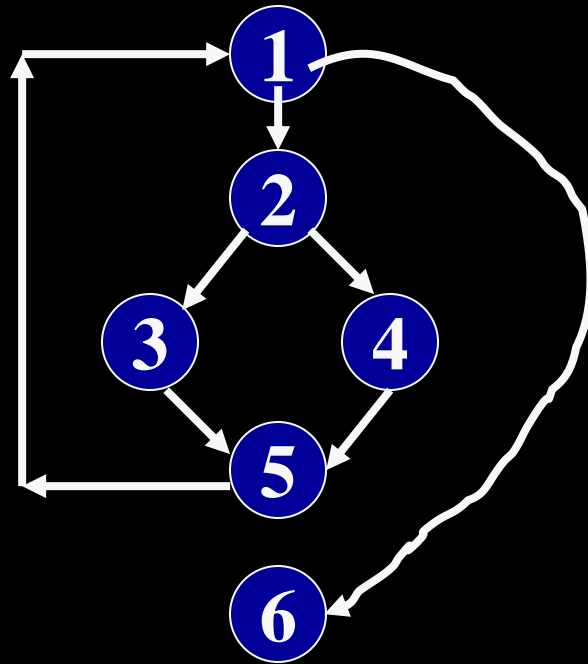
- Number all the statements of a program.
- Numbered statements:
  - represent nodes of the control flow graph.
- An edge from one node to another node exists:
  - if execution of the statement representing the first node can result in transfer of control to the other node.



# Example

```
int f1(int x,int y){
1. while (x != y){
2. if (x>y) then
3. x=x-y;
4. else y=y-x;
5. }
6. return x; }
```

# Example Control Flow Graph



# Path

- A path through a program:
  - A node and edge sequence from the starting node to a terminal node of the control flow graph.
  - There may be several terminal nodes for program.

# Independent path

- Any path through the program:
  - introducing at least one new node that is not included in any other independent paths.
- It may be straight forward to identify linearly independent paths of simple programs. However For complicated programs it is not so easy to determine the number of independent paths.

# McCabe's cyclomatic metric

- An upper bound:
  - for the number of linearly independent paths of a program
- Provides a practical way of determining:
  - the maximum number of linearly independent paths in a program.

# McCabe's cyclomatic metric

- Given a control flow graph  $G$ , cyclomatic complexity  $V(G)$ :
  - $V(G) = E - N + 2$ 
    - $N$  is the number of nodes in  $G$
    - $E$  is the number of edges in  $G$

# Example

- Cyclomatic complexity =  
 $7 - 6 + 2 = 3.$

# Cyclomatic complexity

- Another way of computing cyclomatic complexity:
  - determine number of bounded areas in the graph
    - Any region enclosed by a nodes and edge sequence.
- $V(G) = \text{Total number of bounded areas} + 1$



# Example

- From a visual examination of the CFG:
  - the number of bounded areas is 2.
  - cyclomatic complexity =  $2+1=3$ .

# Cyclomatic complexity

- McCabe's metric provides:
  - a quantitative measure of estimating testing difficulty
  - Amenable to automation
- Intuitively,
  - number of bounded areas increases with the number of decision nodes and loops.

# Cyclomatic complexity

- The cyclomatic complexity of a program provides:
  - a lower bound on the number of test cases to be designed
  - to guarantee coverage of all linearly independent paths.

# Path testing

- The tester proposes initial set of test data using his experience and judgement.

# Path testing

- A testing tool such as dynamic program analyzer, then may be used:
  - to indicate which parts of the program have been tested
  - the output of the dynamic analysis used to guide the tester in selecting additional test cases.

# Data Flow-Based Testing

- Selects test paths of a program:
  - according to the locations of definitions and uses of different variables in a program.

# Data Flow-Based Testing

- For a statement numbered  $S$ ,
  - $DEF(S) = \{X/\text{statement } S \text{ contains a definition of } X\}$
  - $USES(S) = \{X/\text{statement } S \text{ contains a use of } X\}$
  - Example: 1:  $a=b$ ;  $DEF(1)=\{a\}$ ,  $USES(1)=\{b\}$ .
  - Example: 2:  $a=a+b$ ;  $DEF(1)=\{a\}$ ,  $USES(1)=\{a,b\}$ .

# Mutation Testing

- The software is first tested:
  - using an initial testing method based on white-box strategies.
- After the initial testing is complete,
  - mutation testing is taken up.
- The idea behind mutation testing:
  - make a few arbitrary small changes to a program at a time.



# Mutation Testing

- Each time the program is changed,
  - it is called a mutated program
  - the change is called a mutant.

# Mutation Testing

- A mutated program:
  - tested against the full test suite of the program.
- If there exists at least one test case in the test suite for which:
  - a mutant gives an incorrect result, then the mutant is said to be dead.

# Mutation Testing

- If a mutant remains alive:
  - even after all test cases have been exhausted, the test suite is enhanced to kill the mutant.
- The process of generation and killing of mutants:
  - can be automated by predefining a set of primitive changes that can be applied to the program.

# Mutation Testing

- The primitive changes can be:
  - altering an arithmetic operator,
  - changing the value of a constant,
  - changing a data type, etc.

# Mutation Testing

- A major disadvantage of mutation testing:
  - computationally very expensive,
  - a large number of possible mutants can be generated.

# Debugging

- Once errors are identified:
  - it is necessary identify the precise location of the errors and to fix them.
- Each debugging approach has its own advantages and disadvantages:
  - each is useful in appropriate circumstances.

# Brute-force method

- This is the most common method of debugging:
  - least efficient method.
  - program is loaded with print statements
  - print the intermediate values
  - hope that some of printed values will help identify the error.

# Symbolic Debugger

- Brute force approach becomes more systematic:
  - with the use of a symbolic debugger



# Symbolic Debugger

- Using a symbolic debugger:
  - values of different variables can be easily checked and modified
  - single stepping to execute one instruction at a time
  - break points and watch points can be set to test the values of variables.

# Backtracking

- This is a fairly common approach.
- Beginning at the statement where an error symptom has been observed:
  - source code is traced backwards until the error is discovered.

# Backtracking

- Unfortunately, as the number of source lines to be traced back increases,
  - the number of potential backward paths increases
  - becomes unmanageably large for complex programs.

# Program Slicing

- This technique is similar to back tracking.
- However, the search space is reduced by defining slices.
- A slice is defined for a particular variable at a particular statement:
  - set of source lines preceding this statement which can influence the value of the variable.

# Debugging Guidelines

- Debugging usually requires a thorough understanding of the program design.
- Debugging may sometimes require full redesign of the system.
- A common mistake novice programmers often make:
  - not fixing the error but the error symptoms.

# Debugging Guidelines

- Be aware of the possibility:
  - an error correction may introduce new errors.
- After every round of error-fixing:
  - regression testing must be carried out.

# Program Analysis Tools

- An automated tool:
  - takes program source code as input
  - produces reports regarding several important characteristics of the program,
  - such as size, complexity, adequacy of commenting, adherence to programming standards, etc.

# Program Analysis Tools

- Some program analysis tools:
  - produce reports regarding the adequacy of the test cases.
- There are essentially two categories of program analysis tools:
  - Static analysis tools
  - Dynamic analysis tools



# Static Analysis Tools

- Static analysis tools:
  - assess properties of a program without executing it.
  - Analyze the source code
    - provide analytical conclusions.

# Static Analysis Tools

- Whether coding standards have been adhered to?
  - Commenting is adequate?
- Programming errors such as:
  - Un-initialized variables
  - mismatch between actual and formal parameters.
  - Variables declared but never used, etc.

# Static Analysis Tools

- Code walk through and inspection can also be considered as static analysis methods:
  - however, the term static program analysis is generally used for automated analysis tools.

# Dynamic Analysis Tools

- Dynamic program analysis tools require the program to be executed:
  - its behaviour recorded.
  - Produce reports such as adequacy of test cases.

# Integration testing

- After different modules of a system have been coded and unit tested:
  - modules are integrated in steps according to an integration plan
  - partially integrated system is tested at each integration step.

# System Testing

- System testing involves:
  - validating a fully developed system against its requirements.

# Integration Testing

- Develop the integration plan by examining the structure chart :
  - big bang approach
  - top-down approach
  - bottom-up approach
  - mixed approach

# Big bang Integration Testing

- Big bang approach is the simplest integration testing approach:
  - all the modules are simply put together and tested.
  - this technique is used only for very small systems.



# Big bang Integration Testing

- Main problems with this approach:
  - if an error is found:
    - it is very difficult to localize the error
    - the error may potentially belong to any of the modules being integrated.
  - debugging errors found during big bang integration testing are very expensive to fix.

# Bottom-up Integration Testing

- Integrate and test the bottom level modules first.
- A disadvantage of bottom-up testing:
  - when the system is made up of a large number of small subsystems.
  - This extreme case corresponds to the big bang approach.

# Top-down integration testing

- Top-down integration testing starts with the main routine:
  - and one or two subordinate routines in the system.
- After the top-level 'skeleton' has been tested:
  - immediate subordinate modules of the 'skeleton' are combined with it and tested.

# Mixed integration testing

- Mixed (or sandwiched) integration testing:
  - uses both top-down and bottom-up testing approaches.
  - Most common approach

# Integration Testing

- In top-down approach:
  - testing waits till all top-level modules are coded and unit tested.
- In bottom-up approach:
  - testing can start only after bottom level modules are ready.

# Phased versus Incremental Integration Testing

- Integration can be incremental or phased.
- In incremental integration testing,
  - only one new module is added to the partial system each time.

# Phased versus Incremental Integration Testing

- In phased integration,
  - a group of related modules are added to the partially integrated system each time.

# Phased versus Incremental Integration Testing

- Phased integration requires less number of integration steps:
  - compared to the incremental integration approach.
- However, when failures are detected,
  - it is easier to debug if using incremental testing
    - since errors are very likely to be in the newly integrated module.



# System Testing

- There are three main kinds of system testing:
  - Alpha Testing
  - Beta Testing
  - Acceptance Testing

# Alpha Testing

- System testing is carried out by the test team within the developing organization.

# Beta Testing

- System testing performed by a select group of friendly customers.

# Acceptance Testing

- System testing performed by the customer himself:
  - to determine whether the system should be accepted or rejected.

# Stress Testing

- Stress testing (endurance testing):
  - impose abnormal input to stress the capabilities of the software.
  - Input data volume, input data rate, processing time, utilization of memory, etc. are tested beyond the designed capacity.

# Performance Testing

- Addresses non-functional requirements.
  - May sometimes involve testing hardware and software together.
  - There are several categories of performance testing.

# Stress testing

- Evaluates system performance
  - when stressed for short periods of time.
- Stress testing
  - also known as endurance testing.

# Stress testing

- Stress tests are black box tests:
  - designed to impose a range of abnormal and even illegal input conditions
  - so as to stress the capabilities of the software.



# Stress Testing

- If the requirements is to handle a specified number of users, or devices:
  - stress testing evaluates system performance when all users or devices are busy simultaneously.

# Volume Testing

- Addresses handling large amounts of data in the system:
  - whether data structures (e.g. queues, stacks, arrays, etc.) are large enough to handle all possible situations
  - Fields, records, and files are stressed to check if their size can accommodate all possible data volumes.

# Configuration Testing

- Analyze system behaviour:
  - in various hardware and software configurations specified in the requirements
  - sometimes systems are built in various configurations for different users

# Compatibility Testing

- These tests are needed when the system interfaces with other systems:
  - check whether the interface functions as required.

# Recovery Testing

- These tests check response to:
  - the loss of data, power, devices, or services
  - subject system to loss of resources
    - check if the system recovers properly.

# Maintenance Testing

- Verify that:
  - all required artefacts for maintenance exist
  - they function properly

# Documentation tests

- Check that required documents exist and are consistent:
  - user guides,
  - maintenance guides,
  - technical documents

# Usability tests

- All aspects of user interfaces are tested:
  - Display screens
  - messages
  - report formats
  - navigation and selection problems



# Environmental test

- These tests check the system's ability to perform at the installation site.
- Requirements might include tolerance for
  - heat
  - humidity
  - chemical presence
  - portability
  - electrical or magnetic fields
  - disruption of power, etc.

# Regression Testing

- Does not belong to either unit test, integration test, or system test.
  - In stead, it is a separate dimension to these three forms of testing.

# Regression testing

- Regression testing is the running of test suite:
  - after each change to the system or after each bug fix
  - ensures that no new bug has been introduced due to the change or the bug fix.

# Regression testing

- Regression tests assure:
  - the new system's performance is at least as good as the old system
  - always used during phased system development.

# IEEE Standard

- Test plan identifier
- Introduction
- Test Items
- Features to be tested
- Features not to be tested
- Approach
- Item pass/fail criteria
- Suspension criteria and resumption requirements

## Cont...

- Test deliverables
- Testing tasks
- Environment needs
- Responsibilities
- Staffing and training needs
- Risk and contingencies
- Approvals

# References

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  - Paul Jorgensen
- Fundamental of Software Engineering
  - Rajib Mall
- Software Engineering, A practitioner's approach
  - Roger Pressman