



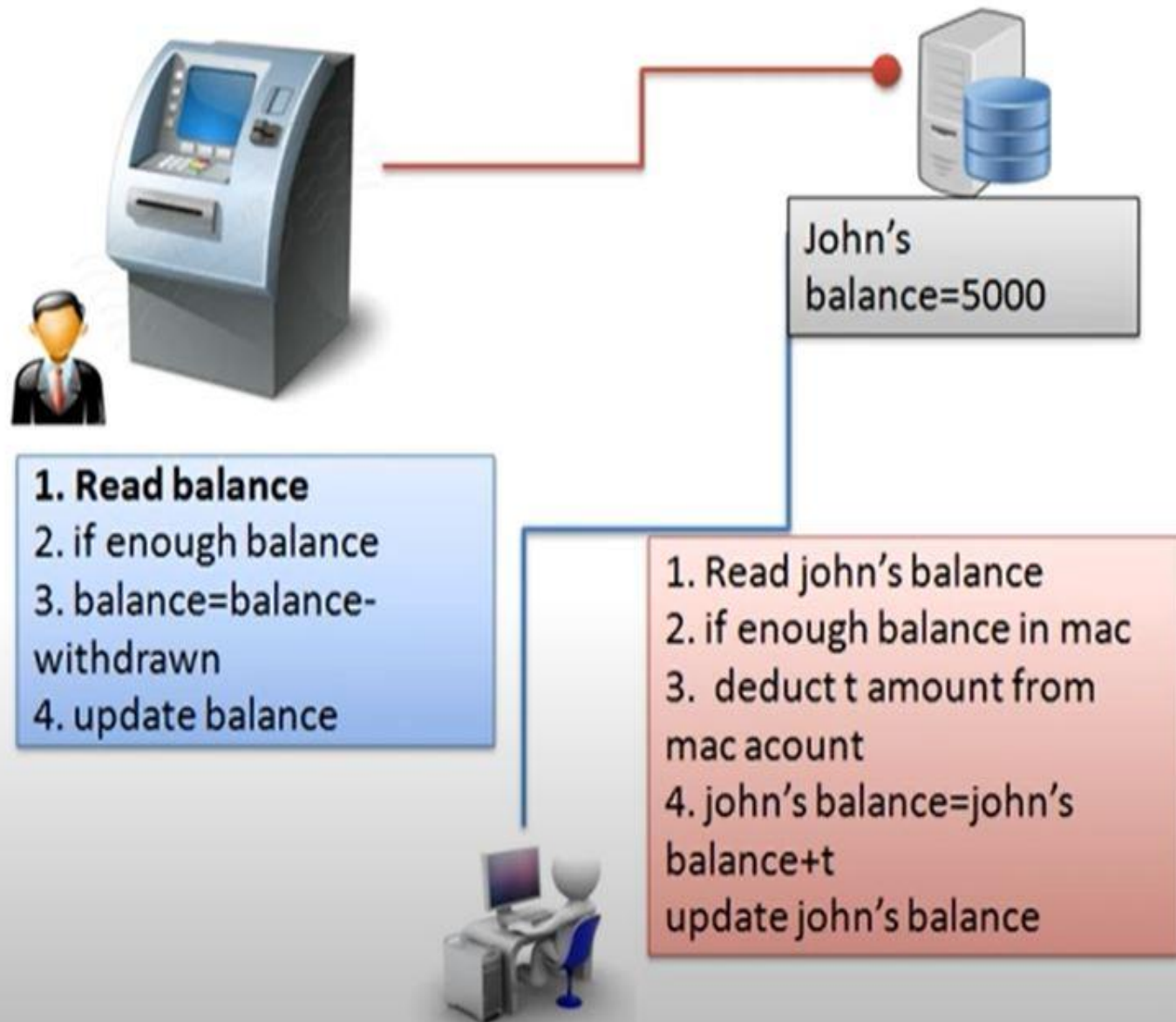
Process Synchronization

- Process Synchronization is the task of coordinating the execution of processes in a way that no two processes can have access to the same shared data and resources at the same time.
- It is specially needed in a multi-process system when multiple processes are running together, and more than one process try to gain access to the same shared resource or data at the same time.
- This can lead to the inconsistency of shared data.

Race Condition

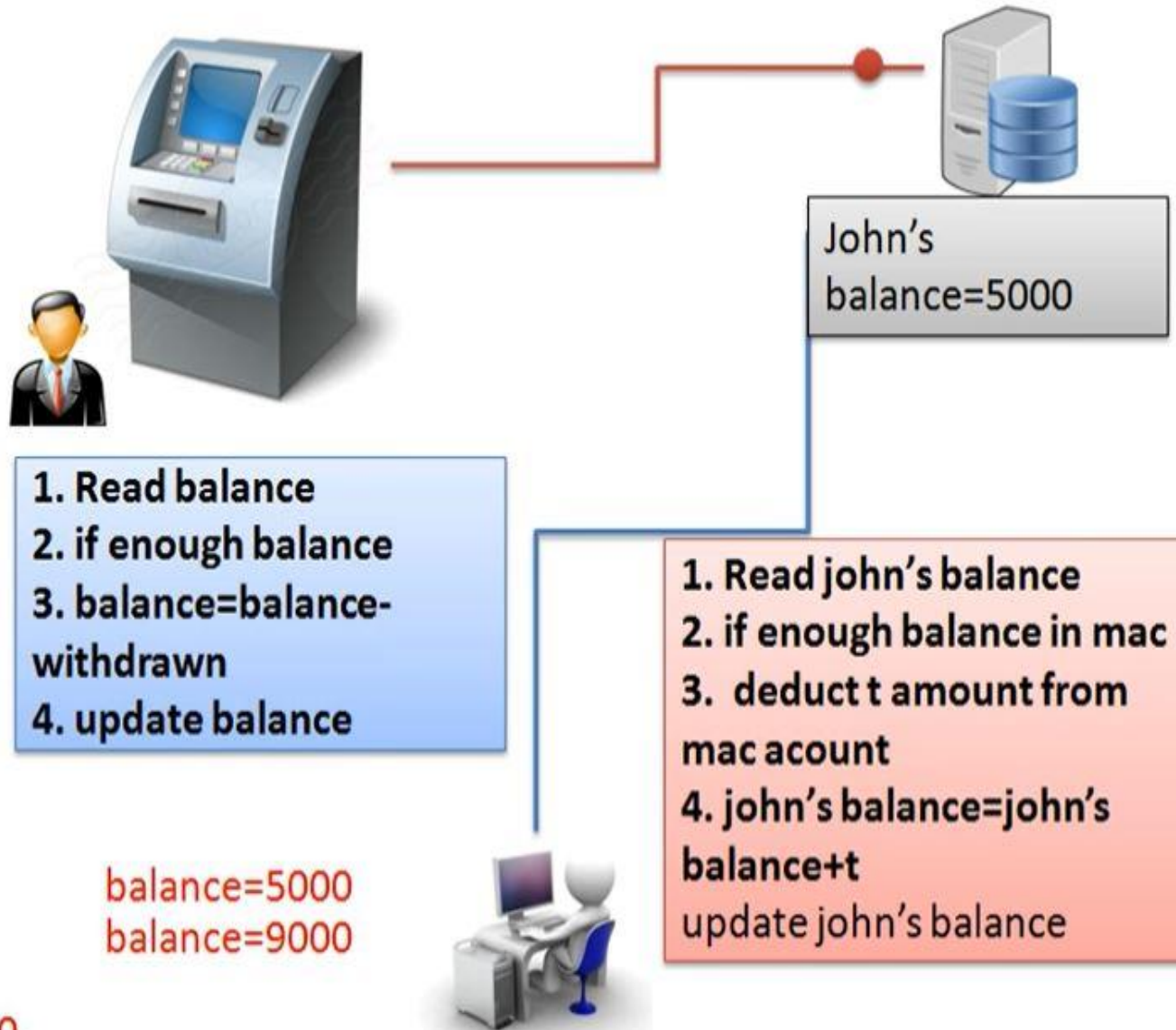
- **Process synchronization** is mainly used for cooperating process which shared the **resources concurrently**.
- A situation, where several processes access and manipulate the same data concurrently and the outcome of the execution depends on the particular order in which the access takes place, is called race condition.
- **Race condition** leads to the data inconsistency.

RACE CONDITION



Race Condition

John withdraw 2000.



balance=5000

balance=3000

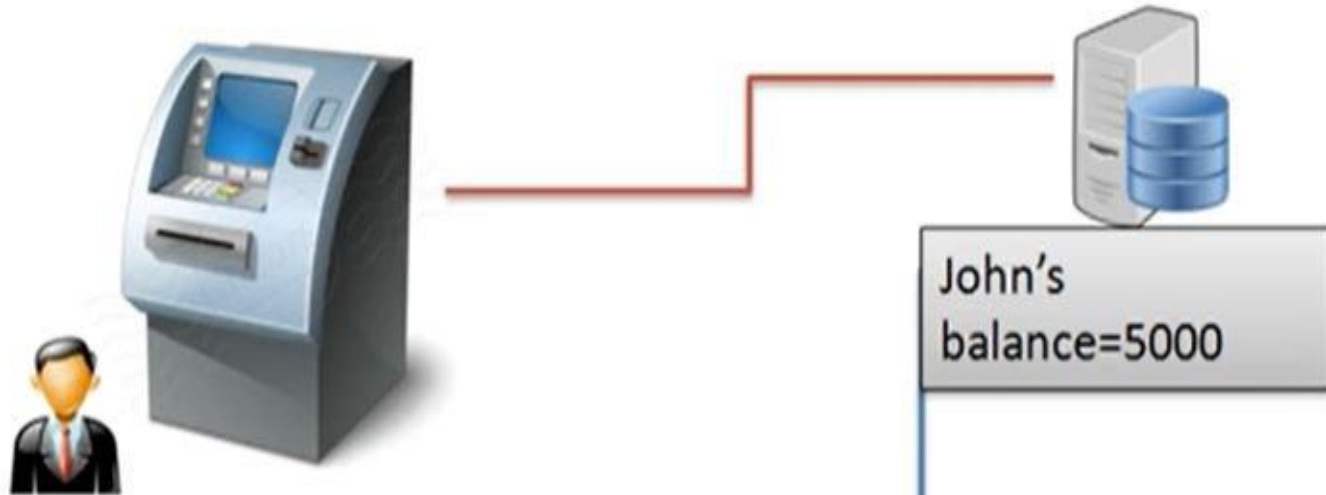
balance=5000

balance=9000

mac transferred 4000.

RACE CONDITION

John withdraw 2000.

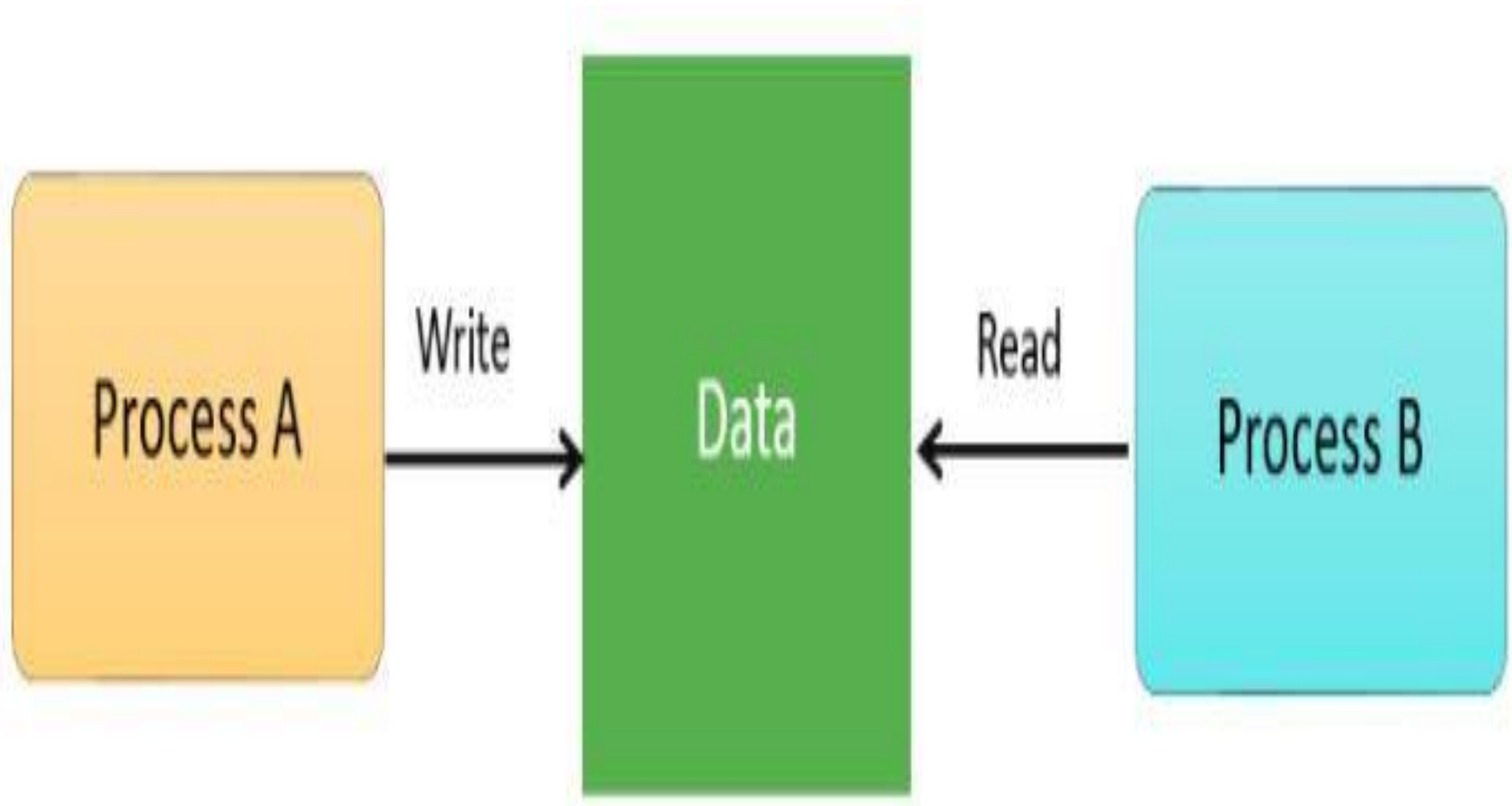


Final amount must be 7000.

mac transferred 4000.



CRITICAL SECTION



CRITICAL SECTION

- The critical section is a code segment where the shared variables can be accessed.
- A race condition is a situation that may occur inside a critical section.
- Race conditions in critical sections can be avoided if the critical section is treated as an atomic instruction.i.e. only one process can execute in its critical section at a time.
- All the other processes have to wait to execute in their critical sections.

Constituents of Critical Section

- **Entry Section** – To enter the critical section code, a process must request permission. Entry Section code implements this request.
- **Critical Section** – This is the segment of code where process changes common variables, updates a table, writes to a file and so on. When one process is executing in its critical section, no other process is allowed to execute in its critical section.
- **Exit Section** – After the critical section is executed, this is followed by exit section code which marks the end of critical section code.
- **Remainder Section** – The remaining code of the process is known as remaining section.

```
do {
```

controls the entry into critical
section and gets a LOCK on
required resources

entry section

critical section

the critical part

exit section

removes the LOCK
from the resources
and let the others
know that its critical
section is over

remainder section

rest of the section

```
} while (TRUE);
```

Properties to Implement Critical Section

1. Mutual exclusion
2. Progress
3. Bounded waiting



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Mutual exclusion: When a process is executing in its critical section, no other process can be executing in their critical sections.

Progress & Bounded waiting



Properties to Implement Critical Section

Progress: If no process is executing in its critical section, and if there are some processes that wish to enter their critical sections, then one of these processes will get into the critical section.

Bounded waiting: After a process makes a request to enter its critical section, there is a bound on the number of times that other processes are allowed to enter their critical sections, before the request is granted.

Two-Process Solution: Algorithm 1

- Shared variables:
 - **int** **turn**;
initially **turn** = 0
 - if **turn** = $i \Rightarrow P_i$ can enter its critical section
- Process P_i
 - do** {
 - while** (**turn** != i) ; //if not my turn
critical section
 - turn** = j ; // others turn
reminder section
 - } while (1);**
- Satisfies mutual exclusion, but not progress

- Shared variables

- ☞ **boolean flag[2];**

- initially **flag [0] = flag [1] = false.**

- ☞ **flag [i] = true** $\Rightarrow P_i$ ready to enter its critical section

- Process P_i

do {

flag [i] := true;
while (flag [j]) ;

critical section

flag [i] = false;

remainder section

} while (1);

// I want to go in

// Proceed if other not trying

// I am out

- Satisfies mutual exclusion, and progress but not bounded waiting requirement.

Peterson's Solution

- Good algorithmic description of solving the problem
- Two process solution
- The two processes share two variables:
 - `int turn;`
 - `Boolean flag[2]`
- The variable `turn` indicates whose turn it is to enter the critical section
- The `flag` array is used to indicate if a process is ready to enter the critical section. `flag[i] = true` implies that process P_i is ready!

Algorithm 3

- Combined shared variables of algorithms 1 and 2.
- Process P_i

do {

flag [i] := true;

// I want to go in

turn = j;

// Proceed if other not trying

while (flag [j] == true && turn = j) ;

critical section

flag [i] = false;

remainder section

} while (1);

- Meets all three requirements; solves the critical-section problem for two processes.

Peterson's Solution (Cont.)

- Provable that the three CS requirements are met:

1. Mutual exclusion is preserved

p_i enters CS only if: either

flag[j] = false or turn = i

2. Progress requirement is satisfied

3. Bounded-waiting requirement is met

Synchronization Hardware

- Many systems provide hardware support for implementing the critical section code.
- All solutions below based on idea of **locking**
 - Protecting critical regions via locks
- Uniprocessors – could disable interrupts
 - Currently running code would execute without preemption
 - Generally too inefficient on multiprocessor systems
 - Operating systems using this not broadly scalable
- Modern machines provide special atomic hardware instructions
 - **Atomic** = non-interruptible
 - Either test memory word and set value
 - Or swap contents of two memory words

Solution to Critical-section Problem Using Locks

```
do {  
    acquire lock  
        critical section  
    release lock  
        remainder section  
} while (TRUE);
```

test_and_set Instruction

Definition:

```
boolean test_and_set (boolean *target)
{
    boolean rv = *target;
    *target = TRUE;
    return rv;
}
```

1. Executed atomically
2. Returns the original value of passed parameter
3. Set the new value of passed parameter to “TRUE”.

Solution using test_and_set()

Shared Boolean variable lock, initialized to FALSE

Solution:

```
do {  
    while (test_and_set(&lock))  
        ; /* do nothing */  
        /* critical section */  
    lock = false;  
        /* remainder section */  
} while (true);
```


Solution using test_and_set()

```
boolean lock = false;
```

```
boolean TestAndSet(boolean &target){  
    boolean returnValue = target;  
    target = true;  
    return returnValue;  
}
```

```
while(1){  
    while(TestAndSet(lock));  
    CRITICAL SECTION CODE;  
    lock = false;  
    REMAINDER SECTION CODE;  
}
```

Swap Pseudocode

```
boolean lock;  
Individual key;  
void swap(boolean &a, boolean &b){  
    boolean temp = a;  
    a = b;  
    b = temp;  
}  
while (1){  
    key = true;  
    while(key==true)  
        swap(lock,key);  
    critical section  
    lock = false;  
    remainder section
```

TestAndSet – Bounded-Waiting – Mutual Exclusion

- The algorithm given below makes use of the TestAndSet instruction and satisfies all the three requirements for a solution to the critical section problem. This is also a solution when there are n processes.
- The shared variables used in the algorithm are as follows:

```
boolean waiting[n];           //initially false  
boolean lock ;                //initially false
```

TestAndSet – Bounded-Waiting – Mutual Exclusion

The algorithm for process P_i is given below:

```
boolean waiting[n];          //initially false
boolean lock ;
do {
    waiting[i] = TRUE; key = TRUE;
    while (waiting[i] && key)
        key = TestAndSet(lock);
    waiting[i] = FALSE;
    // critical section  $j = (i + 1) \% n$ ;
    while ((j != i) && !waiting[j])
        j = (j + 1) \% n;
    if (j == i)
        lock = FALSE;
    else
        waiting[j] = FALSE;
    // remainder section
} while (TRUE);
```