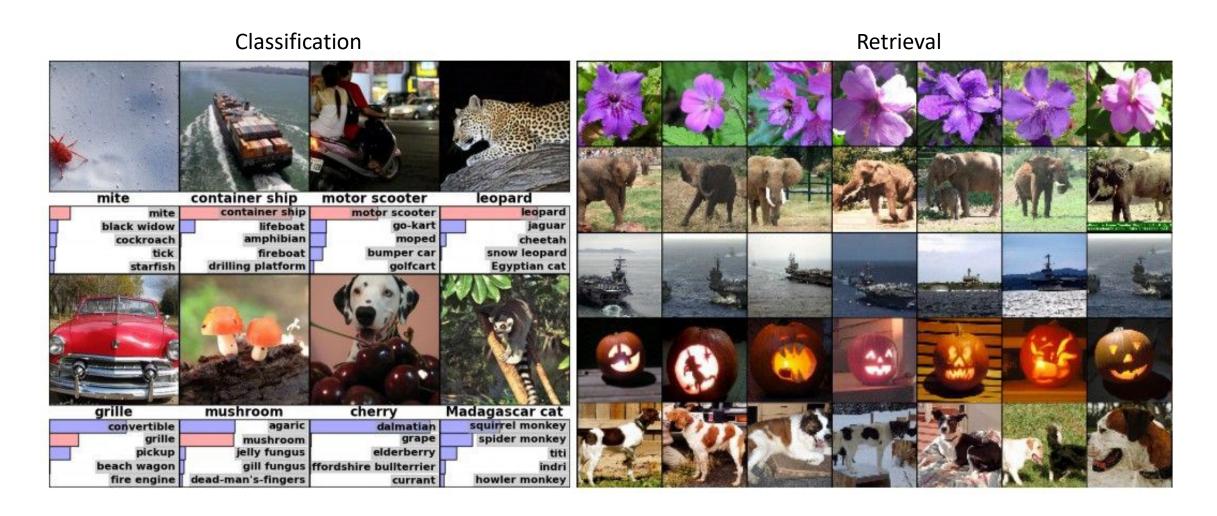
# Convolutional Neural Networks

Longbin Jin

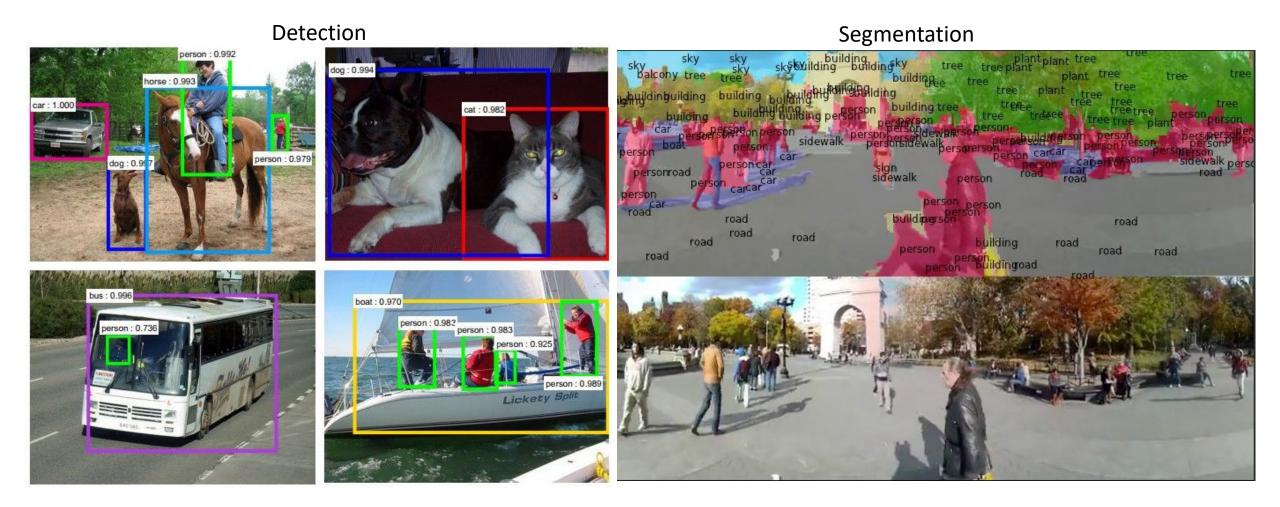


Slide reference: Stanford University cs231n, Fei-Fei Li

## ConvNets are everywhere

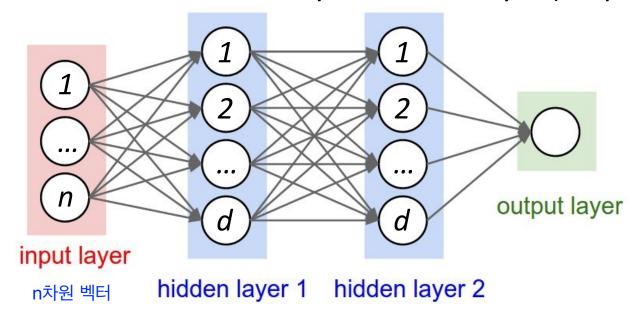


## ConvNets are everywhere



### Recap: Neural Networks

- Regular Neural Networks
  - Receive an input (a single feature vector)
  - Transform it through a series of hidden layer
    - each neuron is fully connected to all neurons in the previous layer
  - Perform the classification at last fully connected layer (output layer)



### Recap: Neural Networks

#### 단점

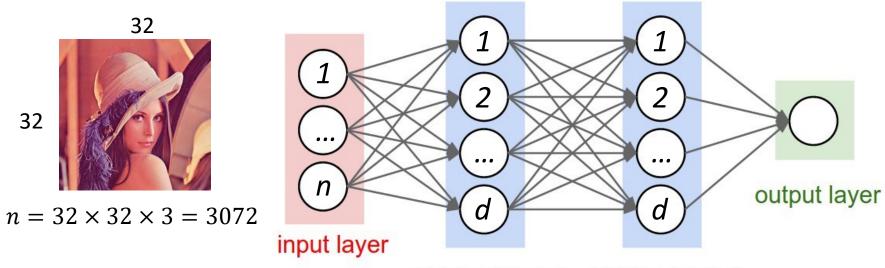
1. parameter 너무 많음

image : 32 \* 32 \* 3 픽셀 존재

#### Limitation

- Too many parameters:  $nd + d^2 + d$
- exam : 파라미터를 계산해보세요 = nd + d^2 + d + bias bias 안 쓰면 틀림

- Overfitting
- Not scalable to large datasets 32 \* 32 \* 3 이상의 크기는 다룰 수 없음
- Not translation invariant



hidden layer 1 hidden layer 2

### Invariant Representation

• Different kinds of invariances = 불변성

Translation Invariance























어디에 위치해도 같은 object로 분류됨

이렇게 돌아간다해도 달라지지 않음?

1. Low-level features are local

2. Features are translational invariant

3. High-level features are composed of low-level features

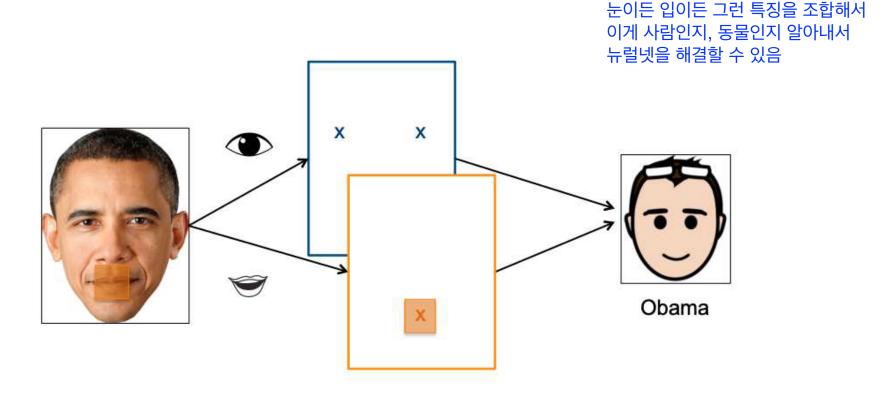
#### 1. Low-level features are local

초기에는 전체 이미지의 전체 픽셀을 다 볼 필요 없고, 특정 픽셀과 주위 픽셀만 보고 어떤 특징이 있는지 뽑아냄

2. Features are translational invariant



3. High-level features are composed of low-level features



여러 문제 (위에 나온 단점)들이 해결되서 일반적인 성능이 올라감

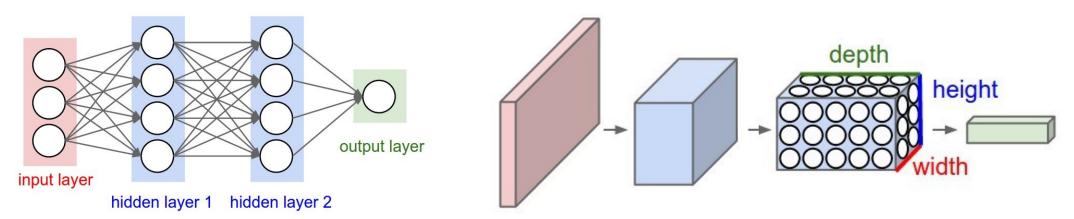
1. Low-level features are local

2. Features are translational invariant

- 3. High-level features are composed of low-level features
  - Fewer parameters
  - Better generalization
  - Better scalability to large datasets 큰 모델도 처리할 수 있게됨

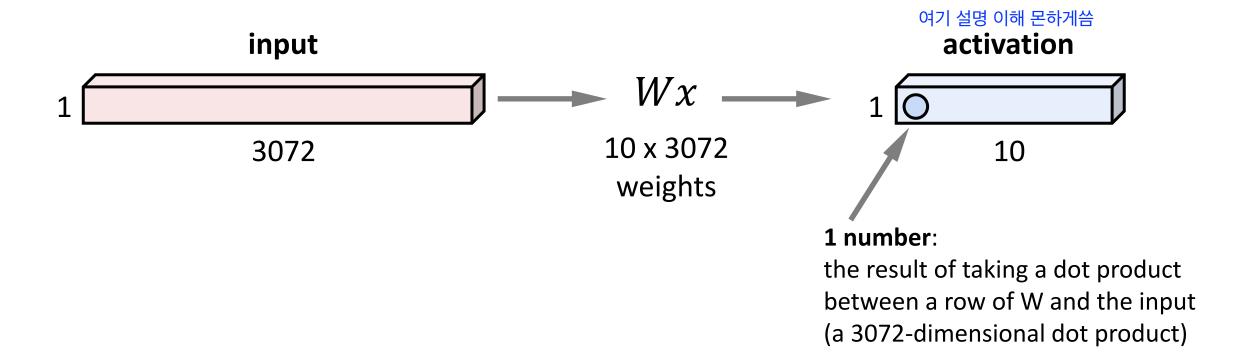
## Convolutional Neural Networks (CNNs)

- CNNs (LeCun, 1989)
  - CNNs are a <u>specialized kind of neural network</u> for processing data that has a known grid-like topology.
  - 1-D grid (sound), 2-D grid (grey image), 3-D grid (color image). ਕੋਨ ਯੀ이터를 잘 처리함 (CNN이) ਕੁਨਾ(grid) : matrix라고 이해해도 됨
  - Convolution is a specialized kind of linear operation. র্বএ -> 아래에서 설명



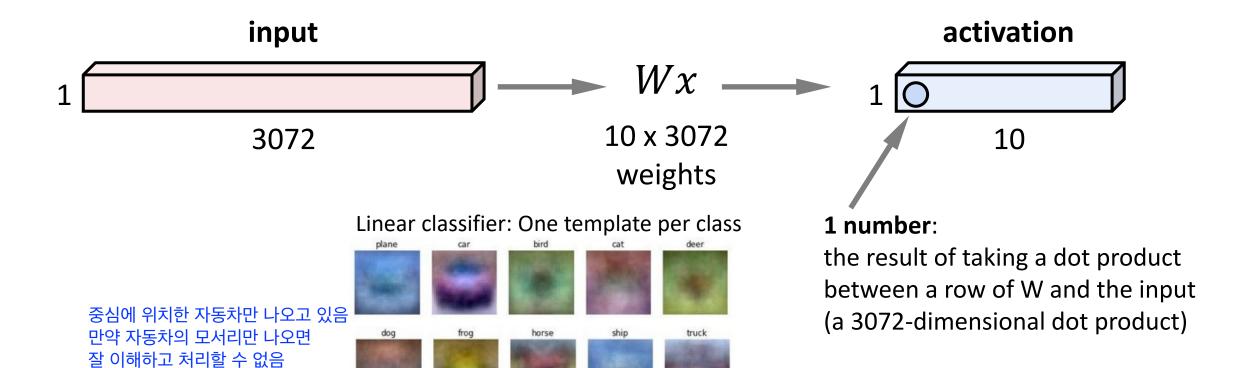
## Fully Connected Layer

• 32x32x3 image -> stretch to 3072x1



## Fully Connected Layer

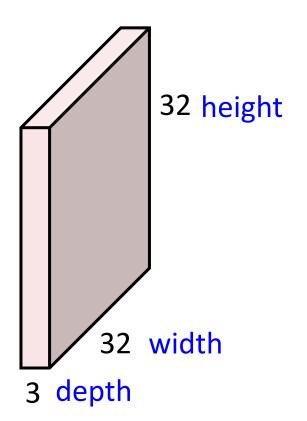
• 32x32x3 image -> stretch to 3072x1



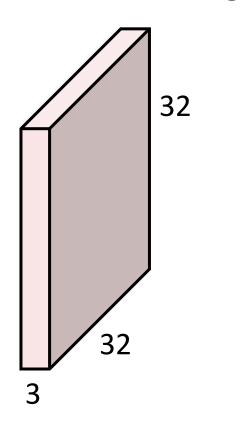
input

• 32x32x3 (width, height, depth) image -> preserve spatial structure

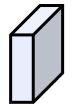
장점: 공간적인 구조를 기억함



• 32x32x3 image



5x5x3 filter

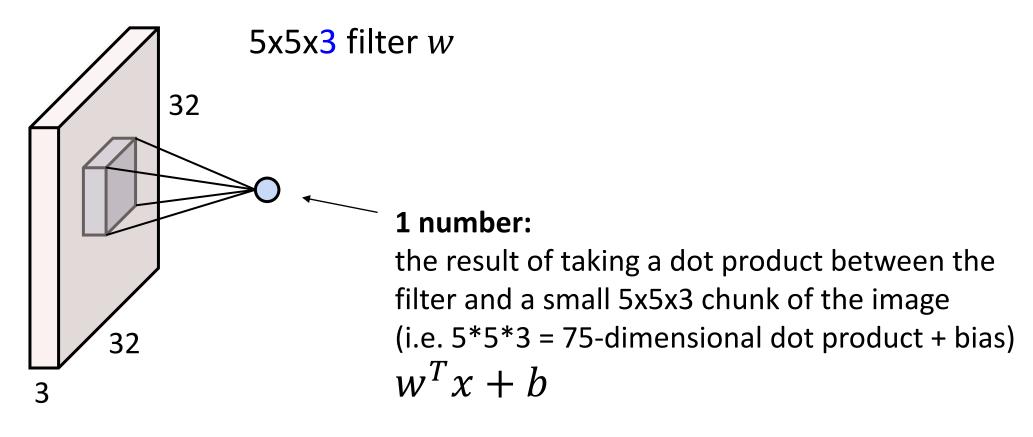


연산

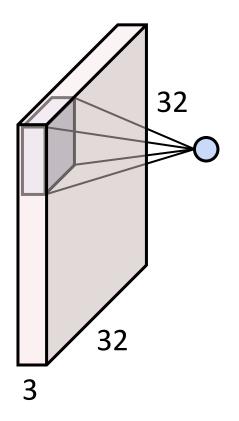
Convolve the filter with the image i.e. "slide over the image spatially, computing dot products"

Filter always extend the full depth of input volume 5x5, 3x3 다 가능하지만 depth 차원은 5x5x3 같다고 가정?

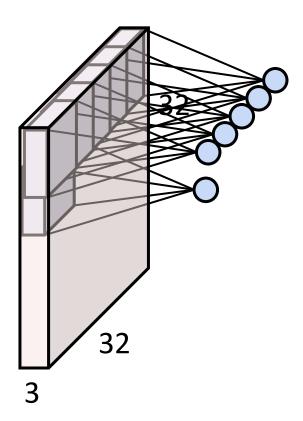
• 32x32x3 image *x* 



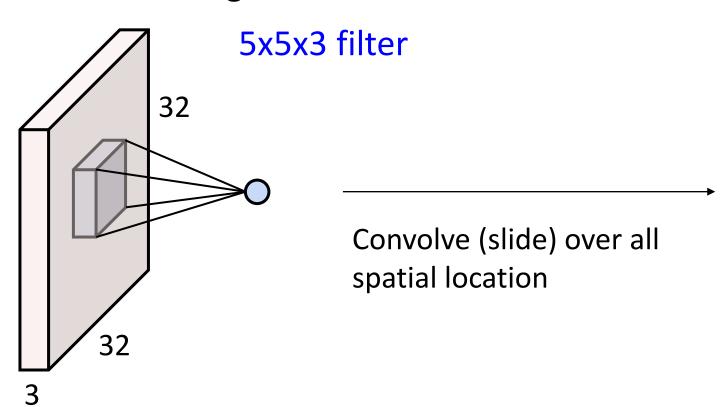
• 32x32x3 image *x* 



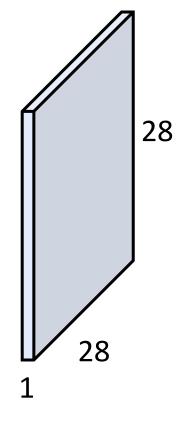
• 32x32x3 image *x* 



• 32x32x3 image *x* 



### activation map

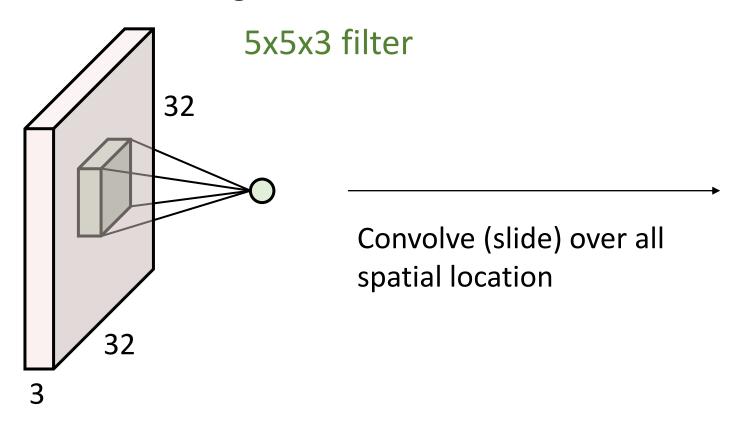


28\*28\*1

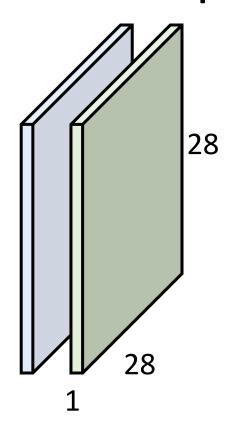
### Consider a second, green filter

### Convolution Layer

• 32x32x3 image *x* 



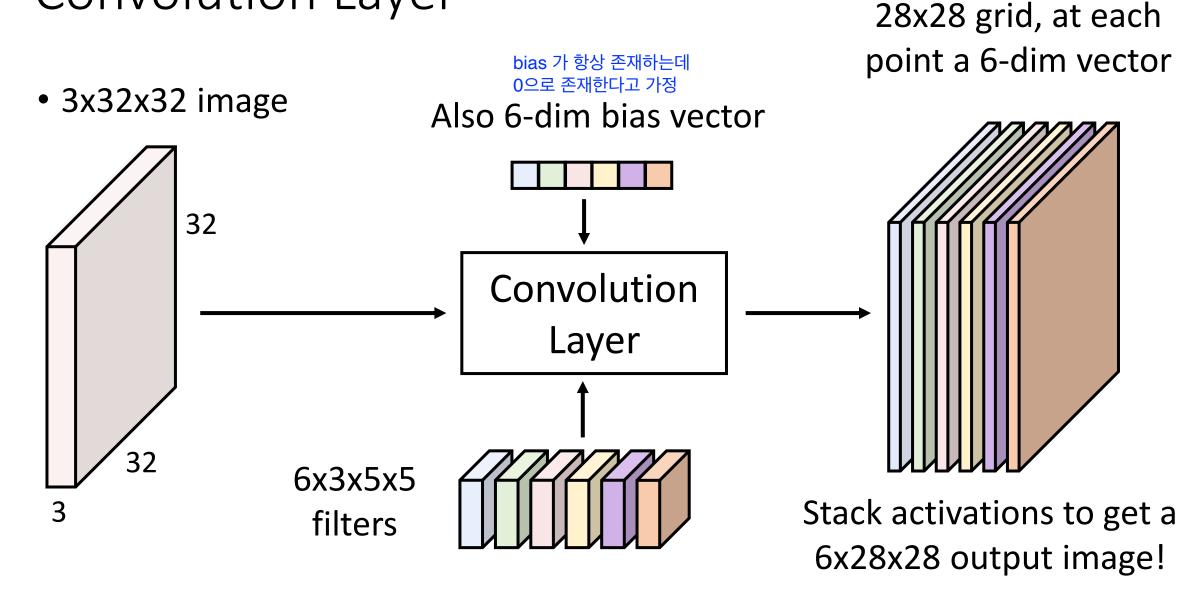
### activation map



32x32x3에서 차원이 변경됨 => 원래 돌릴때 이렇게 함

• 3x32x32 image Consider 6 filters, each 3x5x5 32 Convolution Layer 6x3x5x5 Stack activations to get a filters 6x28x28 output image!

6 activation maps each 1x28x28



2x6x28x28 2개의 이미지 Batch of outputs 2x3x32x32 Batch of images Also 6-dim bias vector 32 Convolution Layer 32 6x3x5x5 filters

filters

Cin : 3차원 (입력의 차원?)

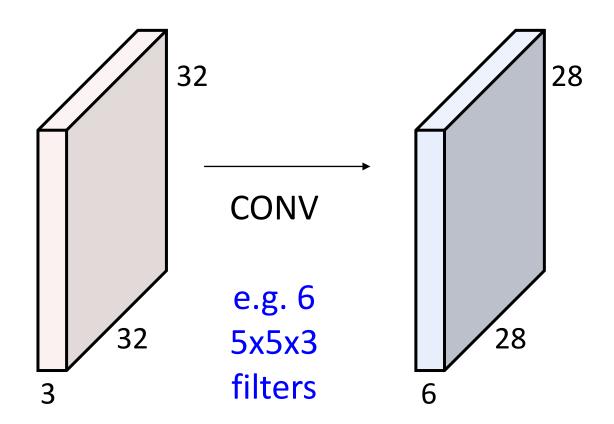
 $NxC_{in}xHxW$ 

Batch of images Also C<sub>out</sub>-dim bias vector 32 Convolution Layer  $C_{out}xC_{in}xK_{w}xK_{h}$ 

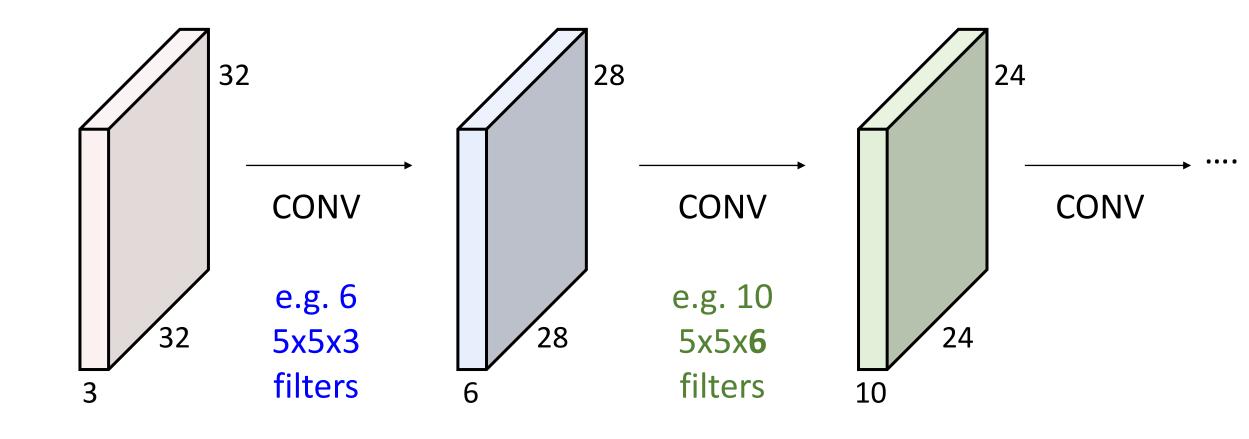
Cout: output 차원

NxC<sub>out</sub>xH'xW' Batch of outputs

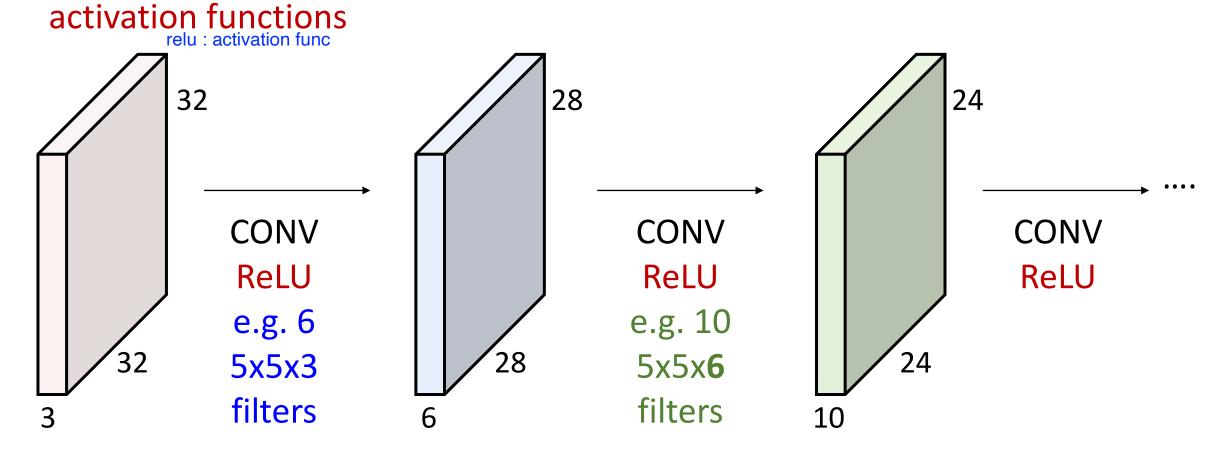
• ConvNet is a sequence of Convolution Layers



ConvNet is a sequence of Convolution Layers



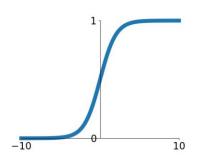
• ConvNet is a sequence of Convolution Layers, interspersed with



### **Activation Functions**

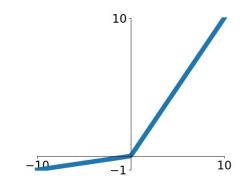
### **Sigmoid**

$$\sigma(x) = \frac{1}{1 + e^{-x}}$$



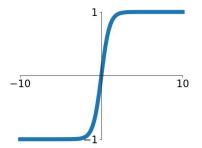
### **Leaky ReLU**

 $\max(0.1x, x)$ 



#### tanh

tanh(x)

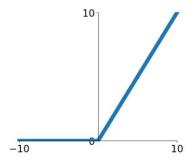


#### **Maxout**

 $\max(w_1^T x + b_1, w_2^T x + b_2)$ 

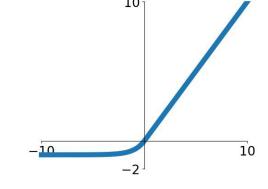
### ReLU

 $\overline{\max}(0,x)$ 

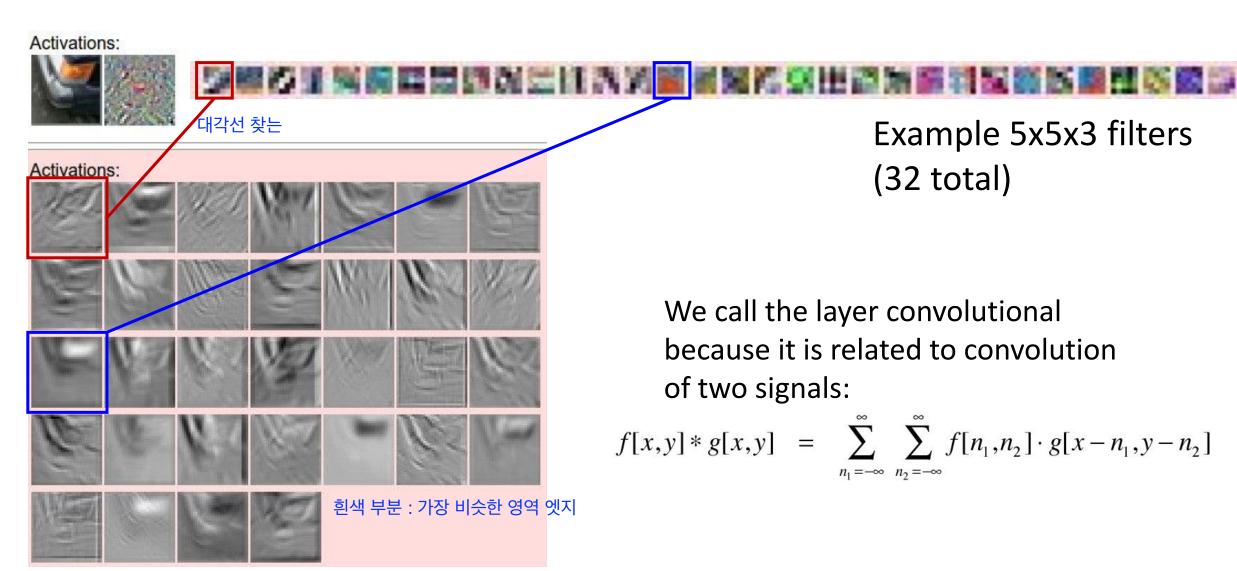


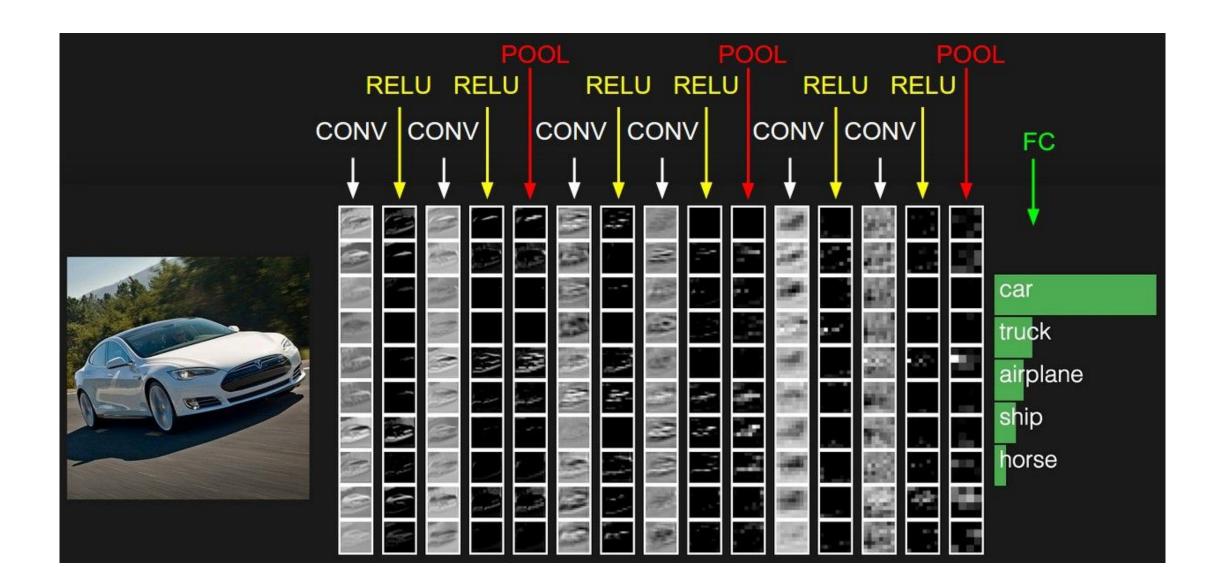
#### **ELU**

$$\begin{cases} x & x \ge 0 \\ \alpha(e^x - 1) & x < 0 \end{cases}$$

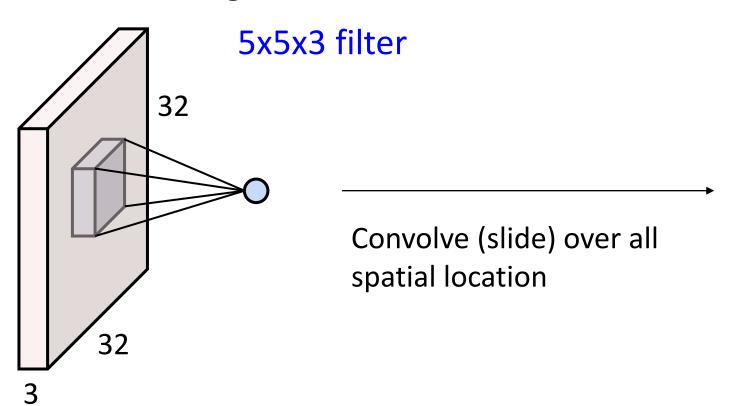


### What do convolutional filters learn?

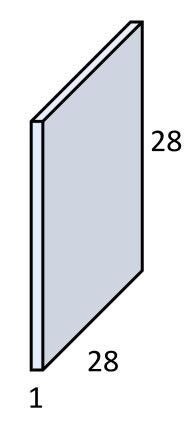




• 32x32x3 image *x* 



### activation map

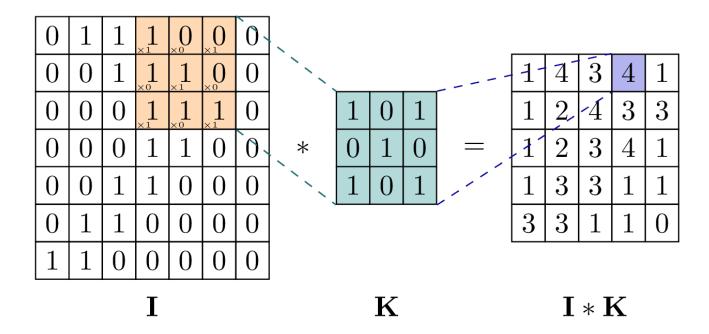


7x7 input (spatially) assume 3x3 filter

/

7x7 input (spatially) assume 3x3 filter

한칸씩 5번 이동하니까 => **5x5 output** 



7x7 input (spatially) assume 3x3 filter Applied with stride 2

7x7 input (spatially) assume 3x3 filter Applied with stride 2

7x7 input (spatially)
assume 3x3 filter
Applied with stride 2
=> 3x3 output

7x7 input (spatially) assume 3x3 filter Applied with stride 3?

doesn't fit!

cannot apply 3x3 filter on 7x7 input with stride 3.

마지막 열과 행의 정보가 손실되기 때문에 사용 안 함

N

Ν

Output size:

(N-F) / stride + 1

e.g. N = 7, F = 3  
stride 1 => 
$$(7-3)/1 + 1 = 5$$
  
stride 2 =>  $(7-3)/2 + 1 = 3$   
stride 3 =>  $(7-3)/3 + 1 = 2.33$ 

### In practice: Common to zero pad the border

0	0	0	0	0	0	0	0
0							0
0							0
0							0
0		에서는 로는 7x			리들어?	샀는데	0
0							0
0							0
0	0	0	0	0	0	0	0

```
e.g. input 7x7

3x3 filter, applied with stride 1

pad with 1 pixel border

⇒ what is the output?

Hint: (N+2*P-F) / S + 1
```

#### 7x7 output!

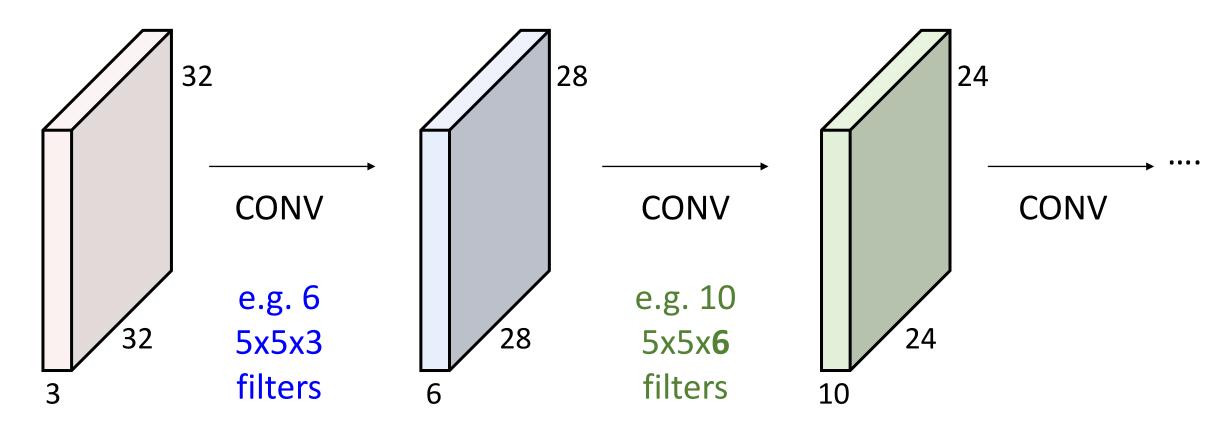
in general, common to see CONV layers with stride 1, filters of size FxF, and zero-padding with (F-1)/2. (will preserve size spatially)

```
e.g. F = 3 => zero pad with 1
F = 5 => zero pad with 2
F = 7 => zero pad with 3
```

사이즈 유지를 하기 위해 padding 하는 것

### Remember back to...

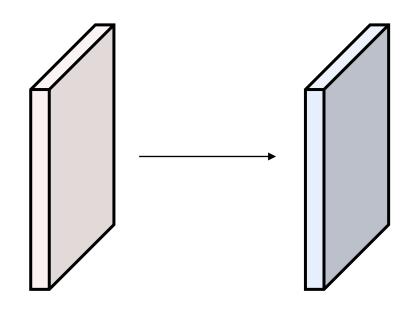
E.g. 32x32 input convolved repeatedly with 5x5 filters shrinks volumes spatially! (32 -> 28 -> 24 ...). Shrinking too fast is not good, doesn't work well.



Input volume: 32x32x3

10 5x5 filters with stride 1, pad 2

Output volume size: ?



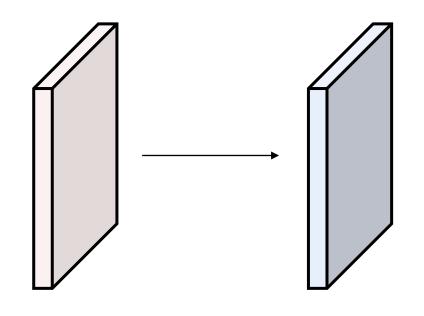
Input volume: 32x32x3

10 5x5 filters with stride 1, pad 2



$$(32+2*2-5)/1+1 = 32$$
 spatially, so

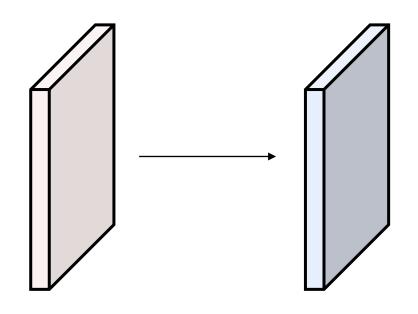
32x32x10



Input volume: 32x32x3

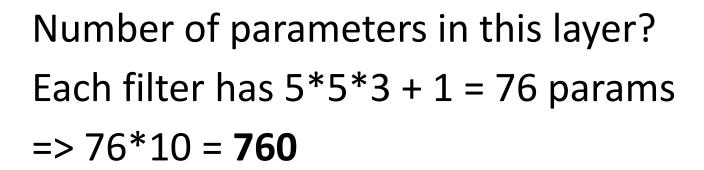
10 5x5 filters with stride 1, pad 2

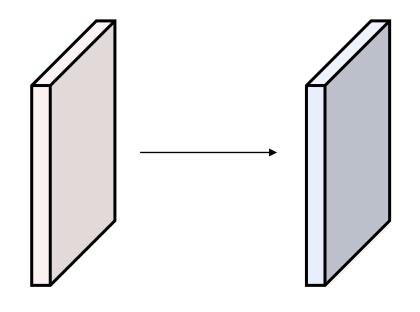
Number of parameters in this layer?



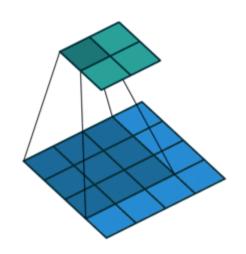
Input volume: 32x32x3

10 5x5 filters with stride 1, pad 2

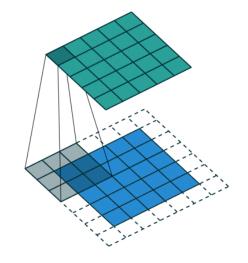




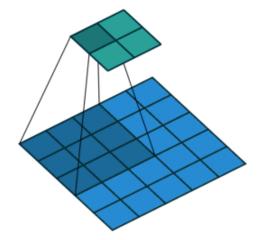
# Padding & Stride



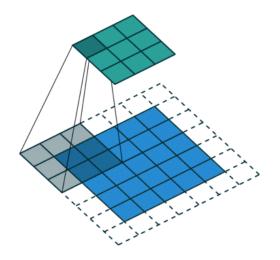
No padding No stride



Padding No stride

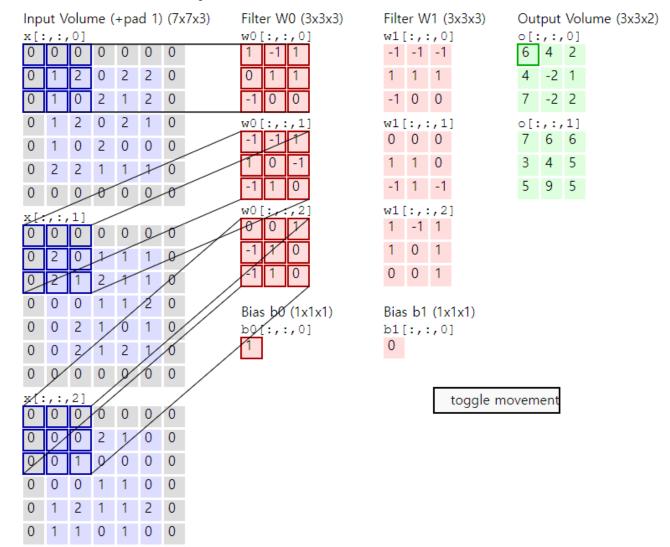


No padding Stride



Padding Stride

Padding 1 Stride 2



https://cs231n.github.io/convolutional-networks/

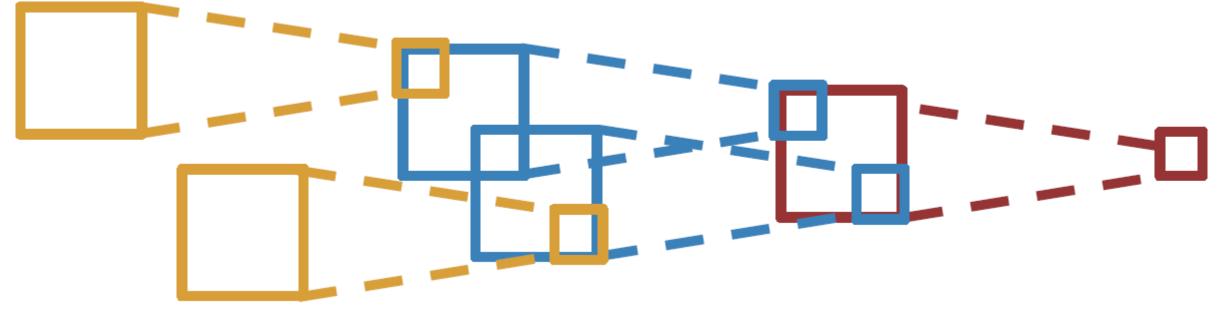
### Receptive Fields

• For convolution with kernel size K, each element in the output depends on a K x K receptive field in the input



### Receptive Fields

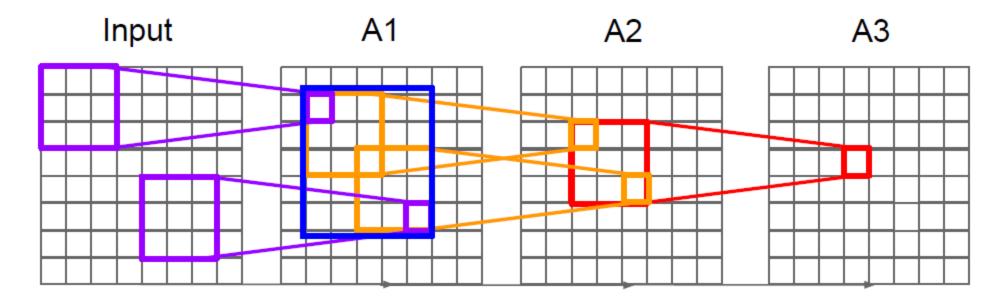
- Each successive convolution adds K − 1 to the receptive field size
- With L layers the receptive field size is 1 + L \* (K − 1)



input output

### Receptive Fields

- Each successive convolution adds K − 1 to the receptive field size
- With L layers the receptive field size is 1 + L \* (K 1)



Problem: For large images we need many layers for each output to "see" the whole image

Solution: Downsample inside the network (Stride)

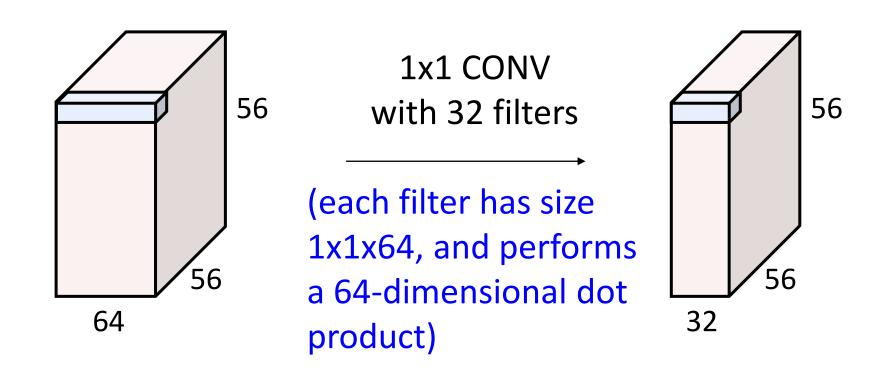
# Convolution layer: summary

- Let's assume input is W<sub>1</sub> x H<sub>1</sub> x C
- Conv layer needs 4 hyperparameters:
  - Number of filters K
  - The filter size F
  - - The stride **S**
  - The zero padding P
- This will produce an output of W<sub>2</sub> x H<sub>2</sub> x K
- where:
  - $-W_2 = (W1 F + 2P)/S + 1$
  - $-H_2 = (H1 F + 2P)/S + 1$
- Number of parameters: F<sup>2</sup>CK and K biases

#### Common settings:

```
K = (powers of 2, e.g. 32, 64, 128, 512)
-F = 3, S = 1, P = 1
-F = 5, S = 1, P = 2
-F = 5, S = 2, P = ? (whatever fits)
-F = 1, S = 1, P = 0
```

# 1x1 convolution layers make perfect sense



# CONV layer in PyTorch

#### Conv layer needs 4 hyperparameters:

- Number of filters K
- The filter size **F**
- The stride **S**
- The zero padding P

Conv2d

[SOURCE]

Applies a 2D convolution over an input signal composed of several input planes.

In the simplest case, the output value of the layer with input size  $(N, C_{\rm in}, H, W)$  and output  $(N, C_{\rm out}, H_{\rm out}, W_{\rm out})$  can be precisely described as:

$$\operatorname{out}(N_i, C_{\operatorname{out}_j}) = \operatorname{bias}(C_{\operatorname{out}_j}) + \sum_{k=0}^{C_{\operatorname{in}}-1} \operatorname{weight}(C_{\operatorname{out}_j}, k) \star \operatorname{input}(N_i, k)$$

where  $\star$  is the valid 2D cross-correlation operator, N is a batch size, C denotes a number of channels, H is a height of input planes in pixels, and W is width in pixels.

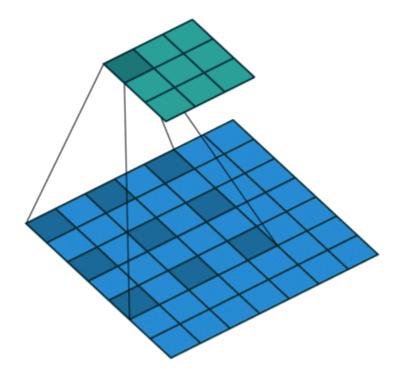
- stride controls the stride for the cross-correlation, a single number or a tuple.
- padding controls the amount of implicit zero-paddings on both sides for padding number of points for each dimension.
- dilation controls the spacing between the kernel points; also known as the à trous algorithm. It is harder to
  describe, but this link has a nice visualization of what dilation does.
- groups controls the connections between inputs and outputs. in\_channels and out\_channels must both be
  divisible by groups. For example,
  - At groups=1, all inputs are convolved to all outputs.
  - At groups=2, the operation becomes equivalent to having two conv layers side by side, each seeing half the input channels, and producing half the output channels, and both subsequently concatenated.
  - At groups= in\_channels , each input channel is convolved with its own set of filters, of size:  $\left| \frac{C_{\text{out}}}{C_{\text{in}}} \right|$ .

The parameters kernel\_size, stride, padding, dilation can either be:

- a single int in which case the same value is used for the height and width dimension
- a tuple of two ints in which case, the first int is used for the height dimension, and the second int for the width dimension

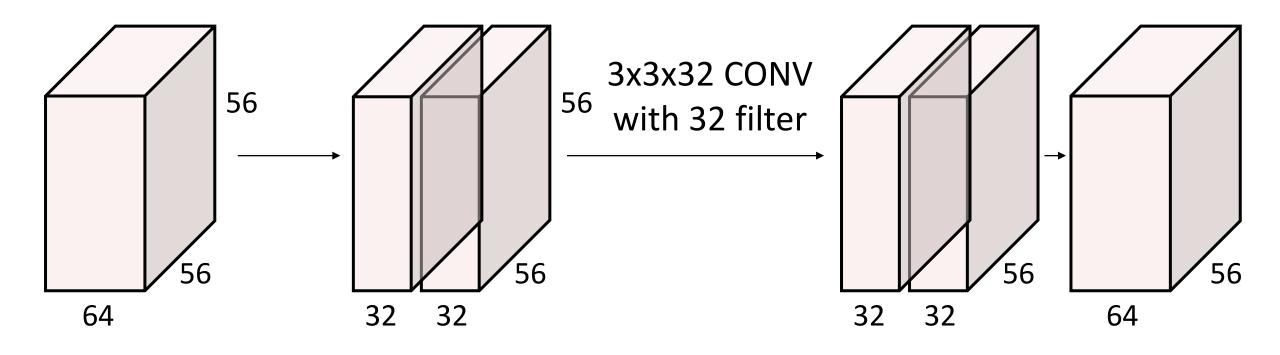
### Dilated convolution

• Reduce the parameters of convolution

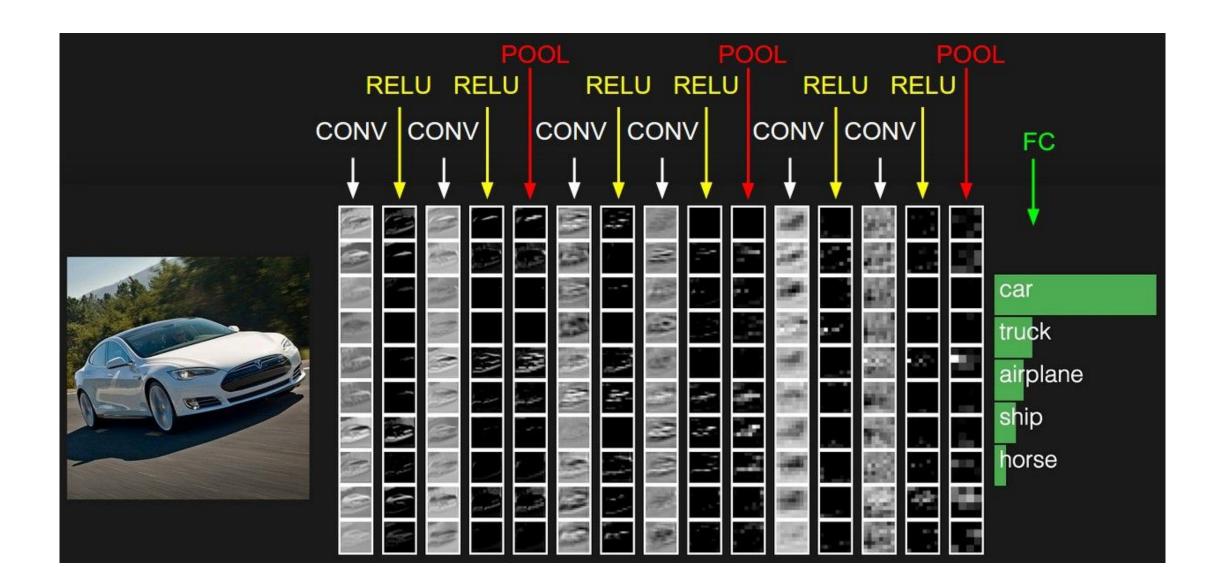


### Group convolution

• Reduce the parameters of convolution

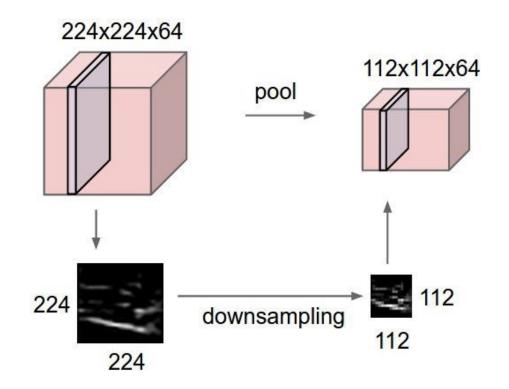


### ConvNet



# Pooling layer

- makes the representations smaller and more manageable
- operates over each activation map independently



# MAX Pooling

1	1	2	4
5	6	7	8
3	2	1	0
1	2	3	4

Max pool with 2x2 filters and stride 2

6	8	
3	4	

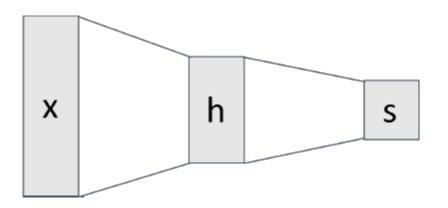
- No learnable parameters
- Introduces spatial invariance

# Pooling layer: summary

- Let's assume input is W<sub>1</sub> x H<sub>1</sub> x C
- Conv layer needs 2 hyperparameters:
  - The spatial extent F
  - The stride **S**
- This will produce an output of W<sub>2</sub> x H<sub>2</sub> x C where:
  - $W_2 = (W_1 F)/S + 1$
  - $H_2 = (H_1 F)/S + 1$
- Number of parameters: 0

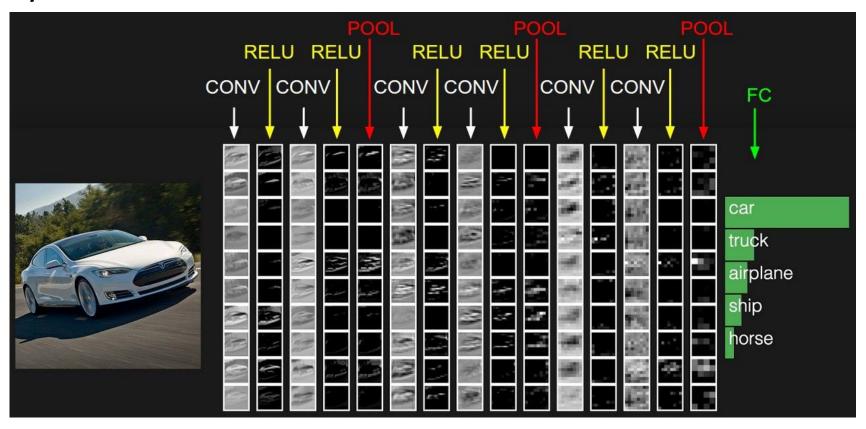
# Fully Connected Layer (FC layer)

 Contains neurons that connect to the entire input volume, as in ordinary Neural Networks



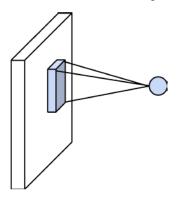
# Fully Connected Layer (FC layer)

 Contains neurons that connect to the entire input volume, as in ordinary Neural Networks

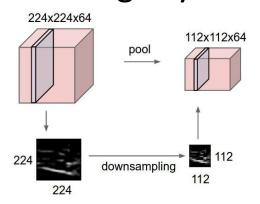


# Components of CNNs

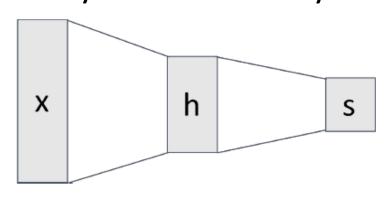
#### **Convolution Layers**



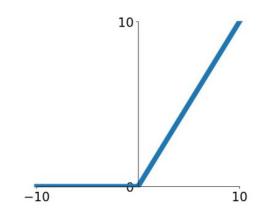
#### **Pooling Layers**



#### **Fully-Connected Layers**



#### **Activation Function**



#### Normalization

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \varepsilon}}$$

• Consider a single layer y = wx + b

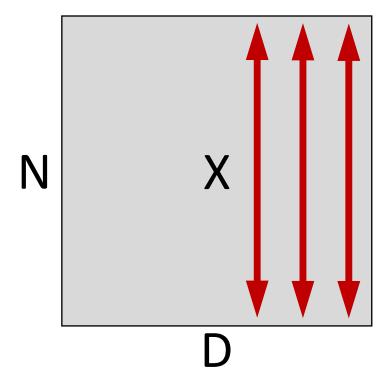
- The following could lead to tough optimization:
  - Inputs x are not centered around zero (need large bias)
  - Inputs x have different scaling per-element (entries in W will need to vary a lot)

• Idea: force inputs to be "nicely scaled" at each layer!

• Consider a batch of activations at some layer. To make each dimension zero-mean unit-variance, apply:

$$\widehat{x}^{(k)} = \frac{x^{(k)} - E[x^{(k)}]}{\sqrt{\text{Var}[x^{(k)}]}}$$

Input:  $x: N \times D$ 



$$\mu_j = rac{1}{N} \sum_{i=1}^N x_{i,j}$$
 Per-channel mean, shape is D

$$\sigma_j^2 = \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2 \qquad \text{Per-channel var,} \\ \text{shape is D}$$

$$\hat{x}_{i,j} = rac{x_{i,j} - \mu_j}{\sqrt{\sigma_i^2 + arepsilon}}$$
 Normalized x, shape is N x D

Problem: What if zero-mean, unit variance is too hard of a constraint?

Input:  $x: N \times D$ 

Learnable scale and shift parameters:

$$\gamma, \beta: D$$

Learning  $\gamma = \sigma$  $\beta = \mu$  will recover the identity function!

$$\mu_j = rac{1}{N} \sum_{i=1}^N x_{i,j}$$
 Per-channel mean, shape is D

$$\sigma_j^2 = \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2 \qquad \mbox{Per-channel var,} \\ \mbox{shape is D}$$

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \varepsilon}}$$

$$y_{i,j} = \gamma_j \hat{x}_{i,j} + \beta_j$$

Normalized x, shape is N x D

Output shape is N x D

Estimates depend on minibatch; can't do this at test-time!

Input:  $x: N \times D$ 

Learnable scale and shift parameters:

$$\gamma, \beta: D$$

Learning  $\gamma = \sigma$  $\beta = \mu$  will recover the identity function!

$$\mu_j = rac{1}{N} \sum_{i=1}^N x_{i,j}$$
 Per-channel mean, shape is D

$$\sigma_j^2 = \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2 \qquad \text{Per-channel var,} \\ \text{shape is D}$$

$$\hat{x}_{i,j} = rac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + arepsilon}}$$
 Normalized x, shape is N x D

$$y_{i,j} = \gamma_j \hat{x}_{i,j} + \beta_j$$
 Output shape is N x D

Input:  $x: N \times D$ 

### Learnable scale and shift parameters:

$$\gamma, \beta: D$$

During testing batchnorm becomes a linear operator! Can be fused with the previous fully-connected or conv layer

$$\mu_j = ext{(Running) average of values seen during training}$$

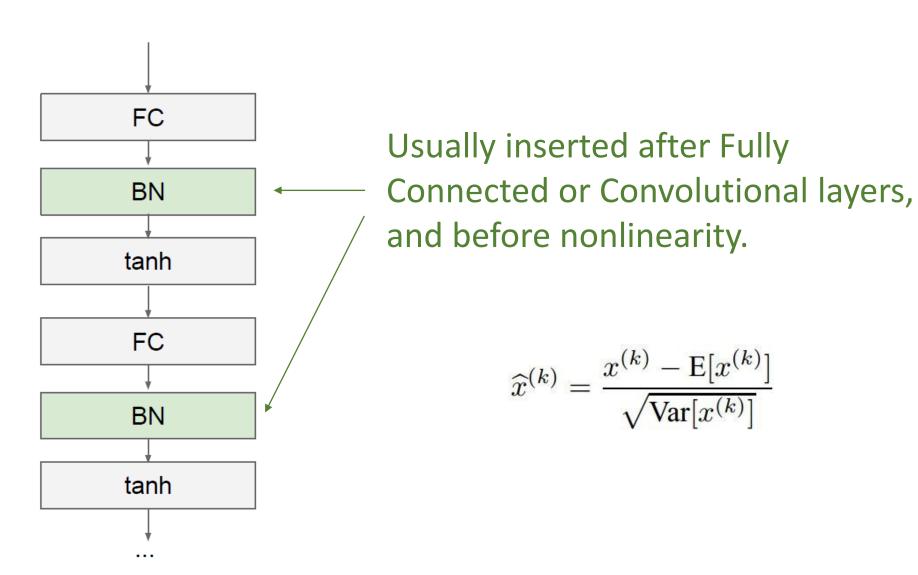
$$\sigma_j^2 = {}^{ ext{(Running) average of values seen during training}}$$

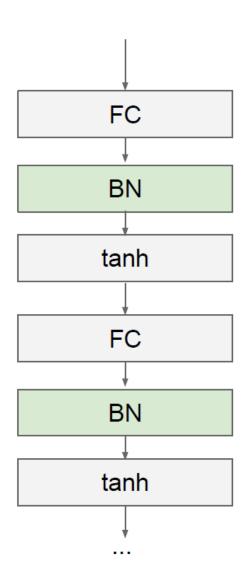
$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \varepsilon}}$$
$$y_{i,j} = \gamma_j \hat{x}_{i,j} + \beta_j$$

$$y_{i,j} = \gamma_j \hat{x}_{i,j} + \beta_j$$

Normalized x, shape is N x D

Output shape is N x D





Makes deep networks much easier to train!

- Improves gradient flow
- Allows higher learning rates, faster convergence
- Networks become more robust to initialization
- Acts as regularization during training
- Zero overhead at test-time: can be fused with conv!
- Behaves differently during training and testing: this is a very common source of bugs!

### Batch Normalization for ConvNets

Batch Normalization for **fully-connected** networks

$$x: N \times D$$

Normalize

$$\mu, \sigma: 1 \times D$$

$$\gamma, \beta: 1 \times D$$

$$y = \gamma(x - \mu)/\sigma + \beta$$

Batch Normalization for **convolutional** networks (Spatial Batchnorm, BatchNorm2D)

$$x: N \times C \times H \times W$$
Normalize

$$\mu, \sigma: 1 \times C \times 1 \times 1$$
  
 $\gamma, \beta: 1 \times C \times 1 \times 1$   
 $y = \gamma(x - \mu)/\sigma + \beta$ 

# Layer Normalization

Batch Normalization for **fully-connected** networks

$$x: N \times D$$

Normalize

$$\mu, \sigma: 1 \times D$$

$$\gamma, \beta: 1 \times D$$

$$y = \gamma(x - \mu)/\sigma + \beta$$

Layer Normalization for fully-connected networks
Same behavior at train and test!
Can be used in recurrent networks

$$x: N \times D$$

Normalize

$$\mu, \sigma: N \times 1$$

$$\gamma, \beta: N \times 1$$

$$y = \gamma(x - \mu)/\sigma + \beta$$

### Instance Normalization

Batch Normalization for **fully-connected** networks

$$x: N \times D$$

Normalize

$$\mu, \sigma: 1 \times D$$

$$\gamma, \beta: 1 \times D$$

$$y = \gamma(x - \mu)/\sigma + \beta$$

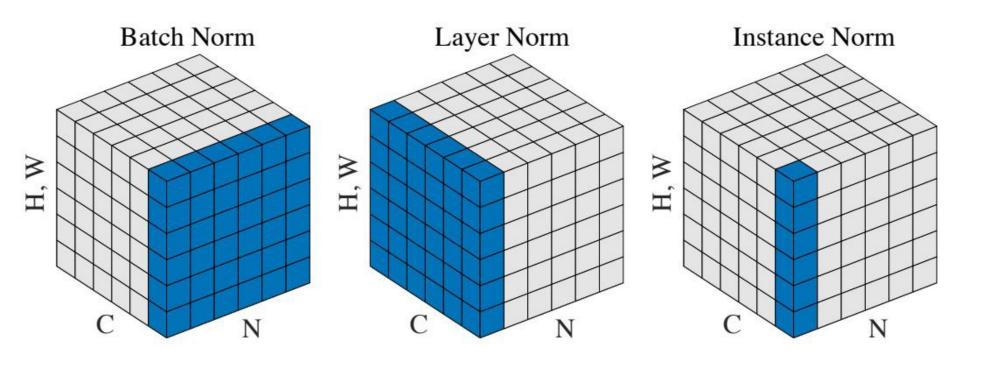
Instance Normalization for convolutional networks
Same behavior at train / test!

$$x: N \times C \times H \times W$$

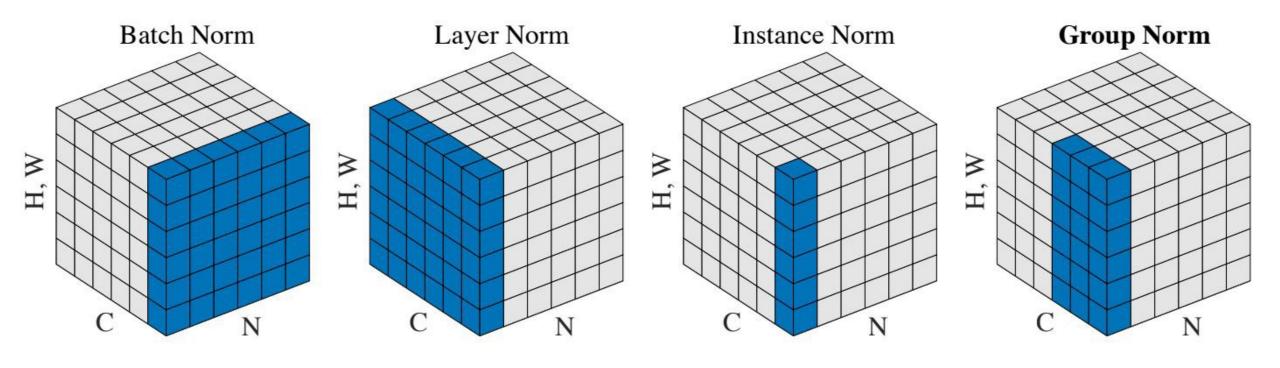
Normalize

$$\mu, \sigma: N \times C \times 1 \times 1$$
  
 $\gamma, \beta: N \times C \times 1 \times 1$   
 $y = \gamma(x - \mu)/\sigma + \beta$ 

# Comparison of Normalization Layers

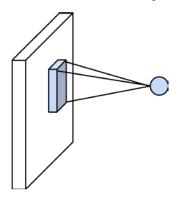


# Comparison of Normalization Layers

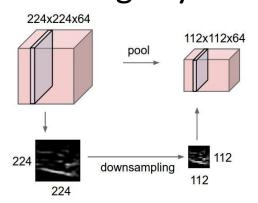


# Components of CNNs

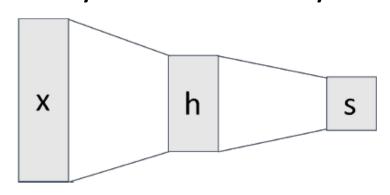
#### **Convolution Layers**



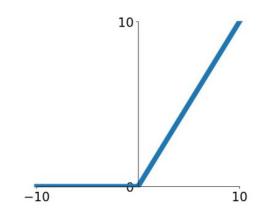
#### **Pooling Layers**



#### **Fully-Connected Layers**



#### **Activation Function**



#### Normalization

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \varepsilon}}$$

**Question**: How should we put them together?

### Next time: CNN Architectures

