



# FINITE AUTOMATA & REGULAR EXPRESSIONS

3.1

## Objectives

After studying this chapter, students will be able to

- Define Regular Grammar and Regular Expressions
- Construct various types of Regular Expressions
- Construct Finite Automata from Regular Expressions
- Construct Regular Expressions from Finite Automata
- Know ARDEN's theorem and its importance
- Construct Regular Grammar from Finite Automata
- Construct Finite Automata from Regular Grammar
- Know various Properties of Regular Expressions
- Know Pumping Lemma for Regular Languages
- Find Equivalence of two Regular Expressions

3.2

## Introduction

In this chapter, we shall study about properties of regular languages. We have already defined regular languages in Chapter 1. "Whether every formal language is regular or not?"

In the next section we shall show the set of regular languages possess union, intersection, complement, concatenation and kleen closure property which help us to design DFA's for languages that are defined from regular languages.

Next, we shall see the construction of automata from the regular expressions, conversion of DFA to regular expression and vice versa.

In the last section, we shall study the necessary condition for the language to be regular, i.e., pumping lemma.

### 3.3 Regular Expressions

A regular expression is used to define a language.

A language is a set of strings involving symbols from some alphabet.

#### 3.3.1 Primitive Regular Expressions

**Following Regular expressions are primitive and Universal :**

1.  $x$ ,  $\forall x \in \Sigma$  of length 1.
2.  $\lambda$ , the empty string and
3.  $\phi$ , no string in particular language.

Now, we give formal definition of Regular expressions.

#### 3.3.2 Definition

1. Every primitive regular expression is a regular expression, i.e., any terminal symbol,  $\lambda$ , and  $\phi$  are regular expressions.
2. If  $R_1$  and  $R_2$  are two regular expressions than  $R_1 + R_2$  (Union of two regular expressions) is a regular expression.
3. If  $R_1$  and  $R_2$  are two regular then  $R_1R_2$  (the concatenation of two regular expressions) is a regular expression.
4. If  $R$  is a regular expression than  $R^*$  (the iteration or closure of  $R$ ) is a regular expression.
5. If  $R$  is regular expression, than  $(R)$  is also a regular expression.
6. The complement of a regular expression is a regular expression.
7. The difference of two regular expressions is a regular expression.

#### 3.3.3 Meaning of notations

1.  $(x)$  : read as "grouping of  $x$ "
2.  $x^*$  : read as 'x postfix star' means zero or more occurrence of the preceding regular expression. for example :  $a^*$  means  $\{\lambda, a, aa, aaa, \dots\}$
3.  $xy$  : read as "Justaposition of  $x$  and  $y$ ".
4.  $x + y$  : read as "either  $x$  or  $y$ ".
5.  $x^+$  : read as "one or more occurrence of  $x$ ".
6.  $(\lambda + x)$  or  $(x + \lambda)$  : read as "Zero or one occurrence of  $x$ ".

#### 3.3.4 Identity Rule for Regular Expressions

Suppose  $P$ ,  $Q$  and  $R$  are three regular expressions, then following identities hold for the regular expressions :

1.  $\phi + R = R$   $(I_1)$

2.  $\phi R + R\phi = \phi$   $RR^* = R^*$   $(I_2)$
3.  $\wedge R = R\wedge = R$   $R = \emptyset + RP \Rightarrow R = \emptyset P^*$   $(I_3)$
4.  $\wedge^* = \wedge$  and  $\phi^* = \wedge$   $(I_4)$
5.  $R + R = R$   $(I_5)$
6.  $R^* R^* = R^*$   $(I_6)$
7.  $RR^* = R^*R$   $(I_7)$
8.  $(R^*)^* = R^*$   $(I_8)$
9.  $\wedge + RR^* = R^* = \wedge + R^*R$   $(I_9)$
10.  $(PQ)^*P = P(QP)^*$   $(I_{10})$
11.  $(P + Q)^* = (P^* Q^*)^* = (P^* + Q^*)^*$   $(I_{11})$
12.  $(P + Q)R = PR + QR$  and  $R(P + Q) = RP + RQ$   $(I_{12})$

### 3.3.5 Construction of Regular Expressions

We can construct the regular expressions for the give languages using above identity rules and notations.

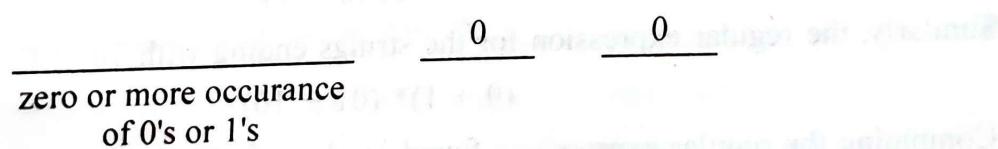
**Example 3.1 :** Find the regular expression for the language containing all strings having any number of 0's and 1's, except null string.

**Solution :** It is clear that the string contains at least one 0's or one 1's. Therefore, the regular expression will be:

$$(0 + 1)^+$$

**Example 3.2 :** Find the regular expression for the language accepting all the strings which ends with 00 over the set of input alphabet  $\Sigma = \{0, 1\}$ .

**Solution :** Since the string terminates with 00, it can have any number of 0's and 1's before 00.

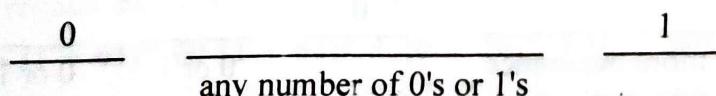


The corresponding regular expression will be

$$(0 + 1)^* 00$$

**Example 3.3 :** Find the regular expression for the strings which are starting with 0 and ending with 1 over the set of input alphabets  $\Sigma = \{0, 1\}$ .

**Solution :** As it is clear that the regular expression should start with 0 and end with 1.



So, the required regular expression is

$$0 (0 + 1)^* 1.$$

**Example 3.4 :** Construct the regular expression for the strings in which any number of a's is followed by any number of b's is followed by any number of c's over the set of input alphabet  $\Sigma = \{a, b, c\}$ .

**Solution :**  $\frac{\text{zero or more occurrence of } a}{\text{zero or more occurrence of } b} \frac{\text{zero or more occurrence of } b}{\text{zero or more occurrence of } c}$

The resulting regular expression will be

$$a^* b^* c^*$$

**Example 3.5 :** Construct the regular expression for the strings in which at least one a is followed by at least one b is followed by atleast one c over the set of input alphabet  $\Sigma = \{a, b, c\}$ .

**Solution :**  $\frac{\text{one or more occurrence of } a}{\text{one or more occurrence of } b} \frac{\text{one or more occurrence of } b}{\text{one or more occurrence of } c}$

The resulting regular expression will be

$$a^+ b^+ c^+$$

**Example 3.6 :** Find the regular expression which accepts all strings begining or ending with either 01 or 10.

**Solution :** The above problem can be divided into two subproblems. One, the string which begins with 01 or 10 and second, the strings which end with 10 or 01.

Let us find out the strings which begin with 01 or 10.

$\frac{\text{01 or 10}}{\text{zero or more occurrence of 0's or 1's}}$

The corosponding regular expression will be

$$(01 + 10)(0 + 1)^* \quad \dots(1)$$

Similarly, the regular expression for the strings ending with 10 or 01 will be

$$(0 + 1)^*(01 + 10) \quad \dots(2)$$

Combining the regular expressions found in (1) and (2), we get,

$$(01 + 10)(0 + 1)^* + (0 + 1)^*(01 + 10) \quad \dots(3)$$

The regular expression found in equation (3) is the required regular expression.

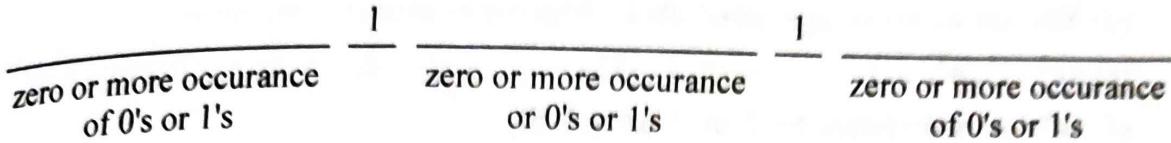
**Example 3.7 :** Find the regular expression for the strings in which the third character from right is always 0 over the set of input alphabet  $\Sigma = \{0, 1\}$ .

**Solution :**  $\frac{\text{zero or more occurrence of 0's or 1's}}{\frac{0}{\frac{\text{0 or 1}}{\text{0 or 1}}}}$

The regular expression will be  $(0 + 1)^* 0 (0 + 1) (0 + 1)$

**Example 3.8 :** Find the regular expression for the set of all strings having at least two 1's over the set of input alphabets  $\Sigma = \{0, 1\}$ .

**Solution :** The resulting regular expression have restriction on the number of 1's, i.e., it will have at least two 1's. It can be developed as follows :

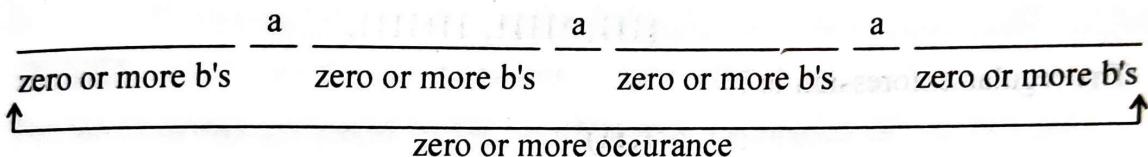


The corresponding regular expression will be

$$(0 + 1)^* 1 (0 + 1)^* 1 (0 + 1)^*$$

**Example 3.9 :** Find the regular expression representing the set of all strings over the set of input alphabets  $\Sigma = \{a, b\}$  in which the number of occurrence of a is divisible by 3.

**Solution :** The regular expression will have the number of a's in multiple of 3. There is no restriction on the number of b's. The position of a's and b's are also not fixed.



The corresponding regular expression will be as follows :

$$(b^* a b^* a b^* a b^*)^*$$

**Example 3.10 :** Find the regular expression for the set of all strings having atmost one pair of 0's or atmost one pair of 1's over the set of input alphabets  $\Sigma = \{0, 1\}$ .

**Solution :** The regular expression for the strings having at most one pair of 0's can be developed as follows :

Either it can have no pair of 0's or one pair of 0's.

The corresponding regular expression will be

$$\underbrace{(1 + 01)^*}_{\text{no pair of 0's}} + \underbrace{(1 + 01)^* 00 (1 + 01)^*}_{\text{one pair of 0's}} \dots(1)$$

Similarly, for the regular expression having atmost one pair of 1's.

$$\underbrace{(0 + 10)^*}_{\text{no pair of 1's}} + \underbrace{(0 + 10)^* 11 (0 + 10)^*}_{\text{one pair of 1's}} \dots(2)$$

Combining regular expressions found in equation (1) and (2), we get,

$$(1 + 01)^* + (1 + 01)^* 00 (1 + 01)^* + (0 + 10)^* + (0 + 10)^* 11 (0 + 10)^* \dots(3)$$

The regular expression found in equation (iii) is the required regular expression.

Example 3.11 : Represent the following sets by regular expressions :

- (a)  $\{0, 1, 2\}$ .
- (b)  $\{1^{2n+1} \mid n > 0\}$ .
- (c)  $\{w \in \{a, b\}^* \mid w \text{ has only one } a\}$ .
- (d) The set of all strings over  $\{0, 1\}$  which has atmost two zeros.
- (e)  $\{a^2, a^5, a^8, \dots\}$ .
- (f)  $\{a^n \mid n \text{ is divisible by 2 or 3 or } n = 5\}$ .
- (g) The set of all strings over  $\{a, b\}$  beginning and ending with  $a$ .

**Solution :** (a) Given :  $\{1, 2, 3\}$ .

The regular expression is :

$$(1 + 2 + 3)$$

(b) Given :  $\{1^{2n+1} \mid n > 0\}$

The resulting set will have

$$\{111, 11111, 1111111, \dots\}$$

The regular expression is :

$$1(11)^+$$

(c) Given :  $\{w \in \{a, b\}^* \mid w \text{ has only one } a\}$

Since, it can have only one  $a$  but it can have any number of  $b$ 's before and after  $a$ .

∴ The regular expression will be as follows.

$$b^* ab^*$$

(d) Given :  $\Sigma = \{0, 1\}$

To find : The regular expression which have at most two zero's,

i.e., it can have

- (i) No 0's
- (ii) One 0's
- (iii) Two 0's

$$\underbrace{1^*}_{\text{No 0's}} \text{ or } \underbrace{1^* 01^*}_{\text{One 0's}} \text{ or } \underbrace{1^* 01^* 01^*}_{\text{two 0's}}$$

The corresponding regular expression will be:

$$1^* + 1^* 01^* + 1^* 01^* 01^*$$

(e) Given :  $\{a^2, a^5, a^8, \dots\}$

The resulting regular expression is as follows :

$$aa(aaa)^+$$

(f) Given :  $\{a^n \mid n \text{ is divisible by 2 or 3 or } n = 5\}$

The corresponding regular expression will be

$$(aa)^* + (aaa)^* + aaaaa$$

(g) Given :  $\Sigma = \{a, b\}$

To find : Set of all strings begining and ending with  $a$ .

The corresponding regular expression will be as follows :

$$a(a + b)^*a.$$

~~Example 3.12 : Describe in English the language represented by the following regular expressions~~

(a)  $(a + ab)^*$

(b)  $(b^* (aaa)^* b^*)^*$

(c)  $a(a + b)^* ab$

(d)  $a^* b + b^* a$

(e)  $(aa + b)^* (bb + a)^*$ .

**Solution :** (a) Given regular expression =  $(a + ab)^*$

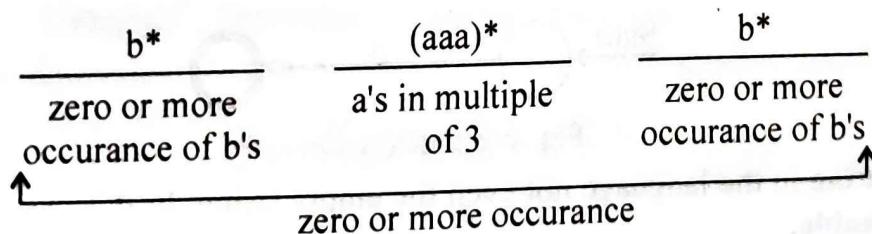
The set of strings generated by the above regular expression is

$$\{a, aba, abab, aab, aaa \dots\}$$

The above regular expression represents the strings begining with a but not having two consecutive b's.

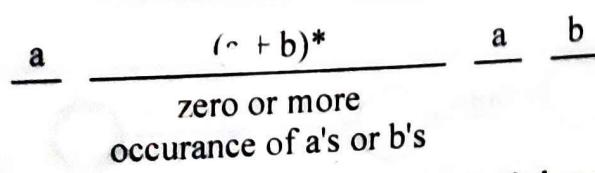
(b) Given regular expression =  $(b^* (aaa)^* b^*)^*$

The above regular expression can be divided as follows :



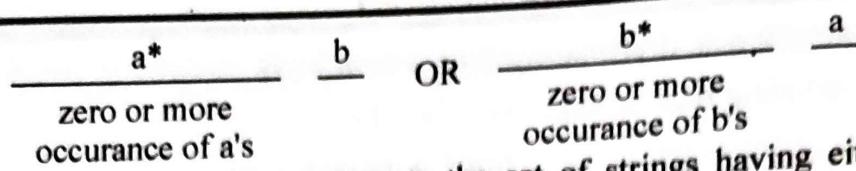
The above regular expression represents the strings in which a's appear in multiple of 3 and there is no restriction on number of b's.

(c) Given regular expression =  $a(a + b)^* ab$



The above regular expression represents the strings begining with a and ending with ab.

(d) Given regular expression RE =  $a^*b + b^*a$ .



The above regular expression represents the set of strings having either string of a's followed by one b or string of b's followed by one a.

(e) Given regular expression  $RE = (aa + b)^* (bb + a)^*$

The above regular expression can be represented as follows :

$$\frac{(aa + b)^*}{W_1} \frac{(bb + a)^*}{W_2}$$

The above regular expression represents the set of all strings of the form  $W_1 W_2$  in which a's appear in pairs in  $W_1$  and b's appear in pairs in  $W_2$ .

### 3.4 Regular Expressions And Finite Automata

For any regular expression, there is an equivalent non-deterministic finite automata (NDFA) and hence, deterministic finite automata (DFA), that accepts the same set of language and vice versa.

#### 3.4.1 Primitive Regular Expressions and Equivalent NDFA

1.  $x \in \Sigma$

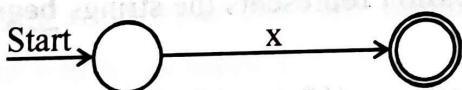


Fig. 3.1 : NDFA for  $x \in \Sigma$ .

2.  $\Lambda$  : The language containing empty string.

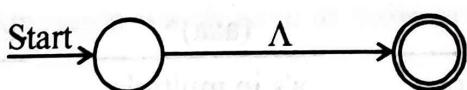


Fig. 3.2 : NDFA for  $\Lambda$ .

3.  $\phi$  : No string in the language not even the empty string. In this case, the final/accepting state is **not reachable**.

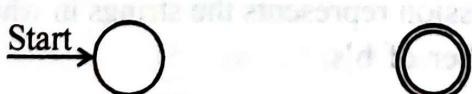


Fig. 3.3 : NDFA for  $\phi$ .

or

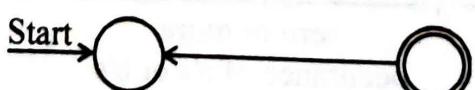


Fig. 3.4 : NDFA for  $\phi$ .

## 3.4.2

## Other Regular Expressions and Equivalent NDFA

As we know, every primitive regular expression is a regular expression and by applying following operations, we get the regular expressions.

- Grouping
- Justaposition
- Union
- Kleen Star

In this section, we shall see, how these operations can result into equivalent NDFA.

**1. Grouping :** As we know that the basic primitive regular expression  $x$  and regular expression  $(x)$  denote the same set of languages, thus, the equivalent NDFA, for the regular expression  $(x)$  is also same to the equivalent NDFA of the primitive regular expression.

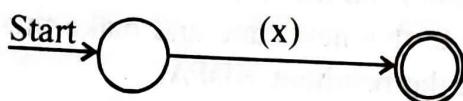


Fig. 3.5 : NDFA for  $(x)$ .

**2. Concatenation (Justaposition) :** Let  $a$  and  $b$  be the two primitive regular expressions, then the corresponding NDFA for the regular expressions  $a$  and  $b$  are as follows :

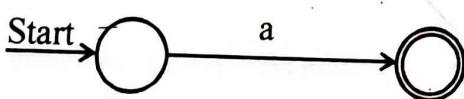


Fig. 3.6 : NDFA for regular expression  $a$ .

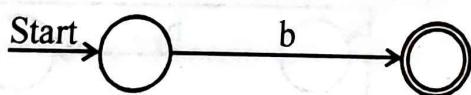


Fig. 3.7 : NDFA for regular expression  $b$ .

Now, to find the equivalent NDFA for the concatenation operation on these primitive regular expressions, written as  $ab$ , perform the following steps.

- (i) Apply the null move ( $\Lambda$ -move) from final state of first NDFA to the start state of second NDFA.
- (ii) Make the start of the NDFA of first primitive regular expression as the start state of the resultant NDFA.
- (iii) Make the final state of the NDFA of second primitive regular expression as the final state of the resultant NDFA.

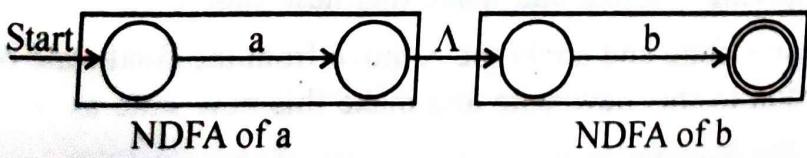


Fig. 3.8 : NDFA for  $ab$ .

**3. Union :** Let us, again, assume, that  $a$  and  $b$  are two primitive regular expressions then equivalent NDFA's for these regular expressions  $a$  and  $b$  are as follows :



Fig. 3.9 : NDFA for regular expression  $a$ .

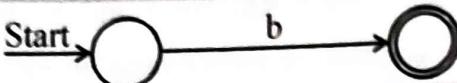


Fig. 3.10 : NDFA for regular expression  $b$ .

Now, to find an equivalent NDFA for the union operation on these primitive regular expressions, written as  $a + b$ , perform the following operation :

- Take a new state which can go to the start state of both the NDFA by applying  $\wedge$ -move and make it the **new start state** of the resultant NDFA.
- Take a new state and from the final states of both the primitive regular expressions, apply the  $\wedge$ -move to this new state and make this new state as the new final state/accepting state of the resultant NDFA.

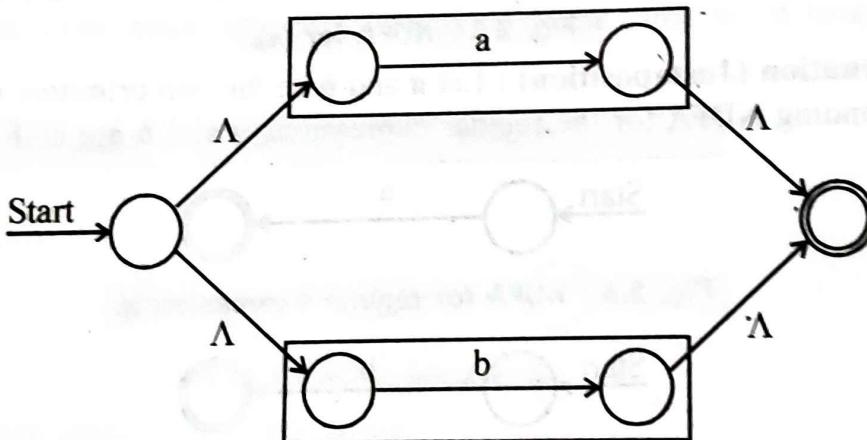


Fig. 3.11 : Resultant NDFA for  $a + b$ .

**4. Kleen star (Postfix star) :** Let us suppose that  $a$  is the primitive regular expression, then the equivalent NDFA is

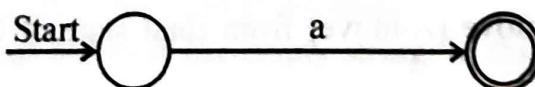
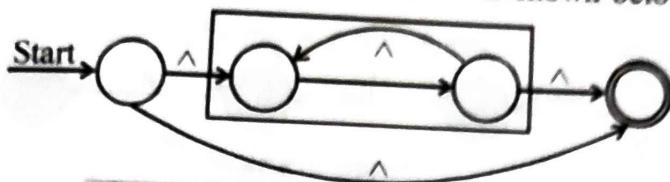


Fig. 3.12 : NDFA of  $a$ .

To find the equivalent NDFA for the Kleen star of  $a$ , written as  $a^*$ , perform the following steps :

- Take a new state, which can go to the start state of the primitive regular expression  $a$  by applying  $\wedge$ -move and make this new state as the new start state.
- Take a new state and apply the  $\wedge$ -move from the final state of the primitive regular expression to this new state and make this new state as the **new final state**.
- Apply the  $\wedge$ -move from the final state of the primitive regular expression to the **initial state** of the primitive regular expression.

(iv) Apply the  $\wedge$ -move from new start state to the new final state.  
The resultant NDFA for the regular expression  $a^*$  is shown below :

Fig. 3.13 : Resultant NDFA for  $a^*$ .

### ~~3.4.3 Construction of Regular Expression from Finite Automata~~

In this section, we shall see one of the important theorem which will help us in recognizing the string by the given finite automata.

#### Arden's Theorem

Let  $P$  and  $Q$  be the two regular expressions over some input alphabet  $\Sigma$ . If  $P$  does not contain  $\wedge$ , then the following equation in  $R$ , i.e.,

$$R = Q + RP \quad \dots(1)$$

has a unique solution (one and only one solution) given by

$$\underline{R = QP^*} \quad \dots(2)$$

#### Proof :

Putting value of  $R$  from equation (2) in equation (1), we get,

$$\begin{aligned} Q + (QP^*) P &= Q(\wedge + P^*P) \\ &= QP^* \text{ (from Identity } I_9\text{).} \end{aligned}$$

**Note :** We can apply ARDEN's Theorem only when :

1. The finite automata / transition system does not contain any  $\wedge$ -move.
2. The given finite automata contains only one start state.

#### Algebraic method to find regular expression recognised by finite automata

Let  $q_1, q_2, q_3, \dots, q_n$  be the set of states where  $q_1$  is the start state of the given finite automata and  $\alpha_{ij}$  denote the regular expression from  $q_i$  to  $q_j$  where,  $1 \leq i \leq n$  and  $1 \leq j \leq n$ .

Then, we get the following equations :

$$q_1 = q_1 \alpha_{11} + q_2 \alpha_{21} + \dots + q_n \alpha_{n1} + \Lambda \quad \dots(1)$$

$$q_2 = q_1 \alpha_{12} + q_2 \alpha_{22} + \dots + q_n \alpha_{n2} \quad \dots(2)$$

$$\vdots \quad \vdots \quad \vdots \quad \vdots \quad \vdots$$

$$q_m = q_1 \alpha_{1m} + q_2 \alpha_{2m} + \dots + q_n \alpha_{nm} \quad \dots(m)$$

Solve the above set of equations by applying substitutions and ARDEN's Theorem.

$\wedge$  is added in equation  $q_1$  because it is the start state.

**Example 3.13 :** Design the regular expression corresponding to the DFA shown in Fig. 3.14 by Arden's theorem.

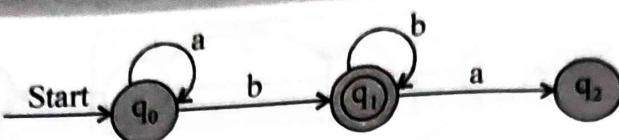


Fig. : 3.14

**Solution :** The given DFA does not contain any  $\wedge$ -move and has only one initial state, we can apply Arden's theorem.

State = source state of input  $\times$  input coming to it.

$$q_0 = q_0a + \wedge \quad (\because q_0 \text{ is the start state, } \therefore \wedge \text{ is added}) \quad \dots(1)$$

Similarly,

$$q_1 = q_0b + q_1b \quad \dots(2)$$

Arranging equation (i), we get,

$$q_0 = \wedge + q_0a \quad \dots(3)$$

Comparing equation (3) with  $R = Q + RP$ , which gives  $R = QP^*$

Assuming  $R = q_0$ ,  $Q = \wedge$  and  $P = a$

We get,

$$q_0 = \wedge \cdot a^*$$

$$q_0 = a^* \quad (\because \wedge \cdot R = R) \quad \dots(4)$$

Substituting value of  $q_0$  in equation (2), we get,

$$q_1 = a^*b + q_1b \quad \dots(5)$$

Comparing equation (5) with  $R = Q + RP$  which gets reduced to  $R = QP^*$  and assuming  $R = q_1$ ,  $Q = a^*b$  and  $P = b$ .

We get,

$$q_1 = a^*b \cdot b^*$$

$$\therefore q_1 = a^* \cdot b^+ \quad (\text{As } RR^* = R^+. \text{ Here, it is } b)$$

**Result :**  $q_1$  is the final state hence, the regular expression for the given DFA is  $a^* \cdot b^+$ .

**Example 3.14 :** Design the regular expression corresponding to the DFA shown in Fig. 3.15 by Arden's theorem. (A-2, 2C-DL)

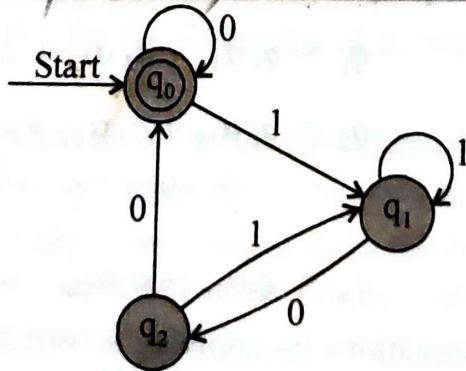


Fig. : 3.15

**Solution :**

State = source state of input  $\times$  input coming to it.

$$q_0 = q_0 0 + q_2 0 + \wedge \quad (q_0 \text{ is the start state}) \quad \dots(1)$$

∴

Similarly,

$$q_1 = q_0 1 + q_1 1 + q_2 1 \quad \dots(2)$$

$$q_2 = q_1 0 \quad \dots(3)$$

Substituting value of  $q_2$  from equation (3) in equation (2), we get,

$$q_1 = q_0 1 + q_1 1 + q_1 01 \quad \dots(4)$$

$$q_1 = q_1 (1 + 01) + q_0 1 \quad \dots(5)$$

Comparing equation (5) with  $R = Q + RP$  which gets reduced to  $R = QP^*$  and

Assuming  $R = q_1$ ,  $Q = q_{01}$ ,  $P = (1 + 01)$ .

we get,

$$q_1 = q_0 1 (1 + 01)^* \quad \dots(6)$$

Substituting value of  $q_2$  from equation (3) in equation (1), we get,

$$q_0 = q_0 0 + q_1 00 + \wedge \quad \dots(7)$$

Putting value of  $q_1$ , from equation (6) in equation (7), we get,

$$q_0 = q_0 0 + q_0 1 (1 + 01)^* 00 + \wedge$$

$$q_0 = q_0 (0 + 1 (1 + 01)^* 00) + \wedge \quad \dots(8)$$

Again comparing equation (8) with  $R = Q + RP$  and

$$R = q_0, Q = \wedge, P = 0 + 1 (1 + 01)^* 00$$

Assuming,

Which gets reduced to  $R = QP^*$ .

$$q_0 = \wedge (0 + 1 (1 + 01)^* 00)^*$$

$$q_0 = (0 + 1 (1 + 01)^* 00)^* \quad (\wedge \cdot R = R)$$

∴  
**Result :** Since,  $q_0$  is the final state hence, the regular expression for the given DFA is  
 $(0 + 1 (1 + 01)^* 00)^*$

**Example 3.15 : Design the regular expression corresponding to the DFA shown in Fig. 3.16 by Arden's theorem.**

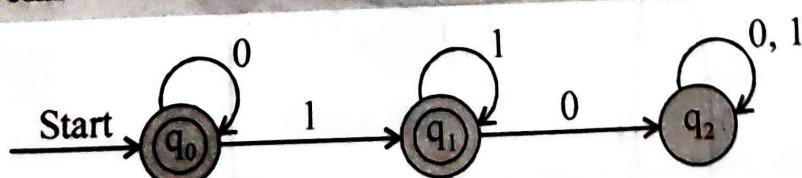


Fig. 3.16

**Solution :** State = Source state of input  $\times$  input coming to it.

....(1)

$$q_0 = q_0 0 + \wedge \quad (q_0 \text{ is the start state})$$

Similarly,

$$q_1 = q_0 1 + q_1 1 \quad \dots(2)$$

$$q_2 = q_1 0 + q_2 (0 + 1) \quad \dots(3)$$

For getting the regular expression, we have to solve the **final state**. Since, we have two final states  $q_0$  and  $q_1$ , we have to solve for both  $q_0$  and  $q_1$ .

Comparing equation (1) with  $R = Q + RP$  which gets reduced to  $R = QP^*$

with  $Q = \wedge$ ,  $P = 0$  and  $R = q_0$

we get,

$$q_0 = \wedge 0^*$$

$$q_0 = 0^* \quad (\wedge \cdot R = R) \quad \dots(4)$$

Substituting the value of  $q_0$  from equation (4) to equation (2), we get,

$$q_1 = 0^* 1 + q_1 1 \quad \dots(5)$$

Again, comparing equation (5) with

$$R = Q + RP$$

which gets reduced to  $R = QP^*$

with  $R = q_1$ ,  $Q = 0^* 1$  and  $P = 1$

we get,

$$q_1 = 0^* 1(1)^* \quad \dots(6)$$

Since, this regular expression contains **two final states**, namely,  $q_0$  and  $q_1$ , therefore, the final regular expression is given by

$$R = q_0 + q_1 \quad \dots(7)$$

Putting value of  $q_0$  and  $q_1$  from equations (4) and (6) in equation (7), we get,

$$R = 0^* + 0^* 1(1)^*$$

$$R = 0^* + 0^* 1^+$$

**Example 3.16 : Design the regular expressions corresponding to the DFA shown in Fig. 3.17 by Arden's theorem.**

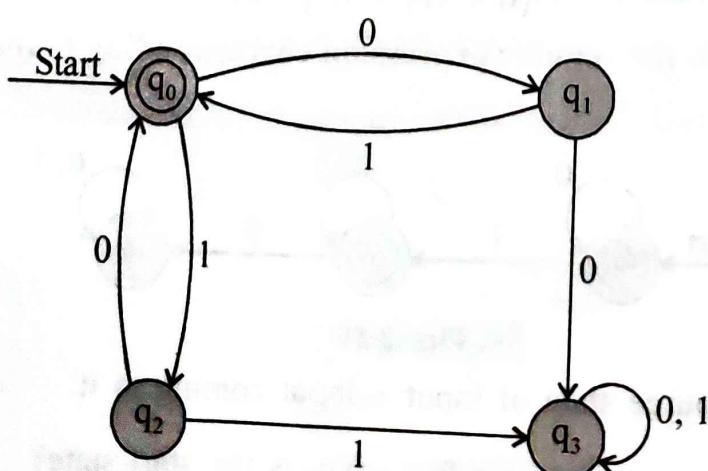


Fig. 3.17

**Solution :** State = source state of input  $\times$  input coming to it

$$q_0 = q_1 1 + q_2 0 + \wedge \quad (\text{q}_0 \text{ is the start state}) \quad \dots(1)$$

Similarly,

$$q_1 = q_0 0 \quad \dots(2)$$

$$q_2 = q_0 1 \quad \dots(3)$$

$$q_3 = q_1 0 + q_2 1 + q_3 (0 + 1) \quad \dots(4)$$

For getting the regular expression, we have to solve the **final state** which is  $q_0$ .

putting the value of  $q_1$  and  $q_2$  from equation (2) and equation (3) into equation (1),

We get,

$$q_0 = q_0 01 + q_0 10 + \wedge$$

$$q_0 = q_0 (01 + 10) + \wedge \quad \dots(5)$$

comparing equation (5) with

$$R = RP + Q$$

which get reduced to

$$R = QP^*$$

with

$$R = q_0, \quad P = (01 + 10) \text{ and } Q = \wedge,$$

we get,

$$q_0 = \wedge(01 + 10)^*$$

$$q_0 = (01 + 10)^* \quad (\wedge R = R)$$

**Result :** Since,  $q_0$  is the **final state**, hence, the regular expression for the given DFA is  $(01 + 10)^*$ .

**Example 3.17 :** Design the regular expressions corresponding to the DFA shown in Fig. 3.18 by Arden's theorem.

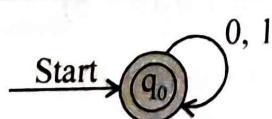


Fig. 3.18

**Solution :** There is only one state and also it is the initial and final state.

State = Source state of input  $\times$  input coming to it.

$$q_0 = q_0 0 + q_0 1 + \wedge \quad \dots(1)$$

$$q_0 = q_0 (0 + 1) + \wedge \quad \dots(2)$$

Comparing equation (2) with  $R = Q + RP$ , with

$$R = q_0, \quad P = (0 + 1) \text{ and } Q = \wedge$$

we get,

$$q_0 = \wedge(0 + 1)^*$$

$$q_0 = (0 + 1)^*$$

$$(\wedge \cdot R = R)$$

**Result :** Since,  $q_0$  is the final state hence, the regular expression for the given DFA is  $(0 + 1)^*$

**Example 3.18 :** Design the regular expressions corresponding to the DFA shown in Fig. 3.19 by Arden's theorem.

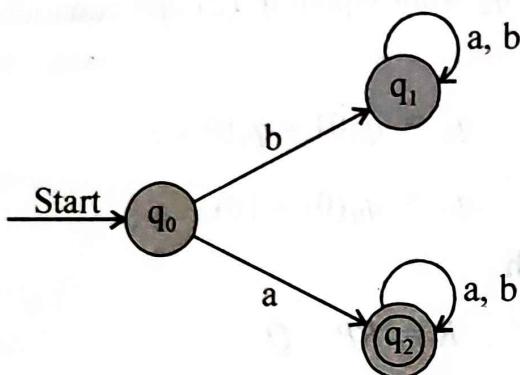


Fig. 3.19

**Solution :** State = source state of input  $\times$  input coming to it

$$q_0 = \wedge \quad \dots(1)$$

( $q_0$  is the start state and no incoming edge is associated with it)

Similarly,

$$q_1 = q_1(a + b) + q_0b \quad \dots(2)$$

and

$$q_2 = q_0a + q_2(a + b) \quad \dots(3)$$

putting value of  $q_0$  from equation (1) into equation (3), we get,

$$q_2 = \wedge a + q_2(a + b)$$

$$q_2 = a + q_2(a + b) \quad (\wedge \cdot R = R)$$

comparing this equation with

$$R = Q + RP \text{ which gets reduced to } R = QP^*$$

with

$$R = q_2, \quad Q = a, \quad P = (a + b)$$

We get,

$$q_2 = a(a + b)^*$$

**Result :**  $q_2$  is the final state hence regular expression for the given DFA is  $a(a + b)^*$ .

**Example 3.19 :** Design the regular expressions corresponding to the DFA shown in Fig. 3.20 by Arden's theorem.

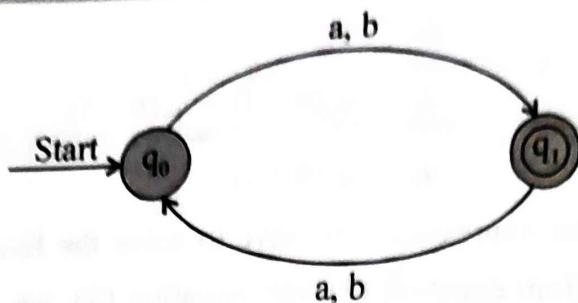


Fig. 3.20

**Solution :**

State = Source state of input  $\times$  input coming to it.

$$q_0 = q_1a + q_1b + \lambda \quad (q_0 \text{ is the start state})$$

$$q_0 = q_1(a + b) + \lambda \quad \dots(1)$$

Similarly,

$$q_1 = q_0(a + b) \quad \dots(2)$$

for getting the regular expressions we have to solve the final state which is  $q_1$ .

putting the value of  $q_0$  from equation (1) in equation (2)

$$q_1 = ((a + b)q_1 + \lambda)(a + b)$$

$$q_1 = (a + b)(a + b)q_1 + \lambda(a + b)$$

$$q_1 = (a + b)(a + b)q_1 + (a + b) \quad (\lambda R = R)$$

comparing this equation with  $R = Q + RP$

which gets reduced to  $R = QP^*$

with

$$R = q_1, \quad Q = (a + b), \quad P = (a + b)(a + b)$$

We get,

$$q_1 = (a + b)((a + b)(a + b))^*$$

**Result :**  $q_1$  is the final state, hence, the regular expression for the given DFA is  $(a + b)((a + b)(a + b))^*$ .

**Example 3.20 :** Design the regular expressions corresponding to the DFA shown in Fig. 3.21 by Arden's theorem.

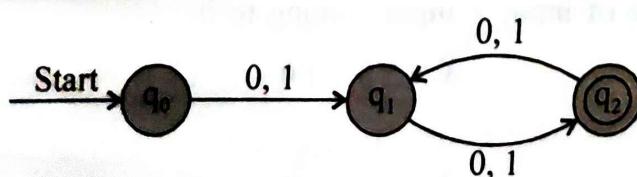


Fig. 3.21

**Solution :**

State = source state of input  $\times$  incoming input to it.

$$q_0 = \wedge \quad (q_0 \text{ is the start state}) \dots(1)$$

$$q_1 = q_0(0+1) + q_2(0+1) \dots(2)$$

and

$$q_2 = q_1(0+1) \dots(3)$$

For getting the regular expressions we have to solve the final state which is  $q_2$ .

Putting value of  $q_1$  from equation (2) into equation (3), we get,

$$q_2 = (0+1)(q_0(0+1) + q_2(0+1)) \dots(4)$$

Putting value of  $q_0$  from equation (1) into equation (4), we get,

$$q_2 = (0+1)((0+1) + q_2(0+1))$$

$$q_2 = (0+1)(0+1) + q_2(0+1)(0+1) \dots(5)$$

comparing with equation (5) with

$$R = Q + RP$$

which gets reduced to

$$R = QP^*$$

with

$$R = q_2, \quad Q = (0+1)(0+1) \text{ and}$$

$$P = (0+1)(0+1)$$

We get,

$$q_2 = (1+0)(1+0)((1+0)(1+0))^*$$

**Result :**  $q_2$  is the final state hence the regular expressions for the given DFA is  $(1+0)(1+0)^*$ .

**Example 3.21 :** Design the regular expression corresponding to the DFA shown in Fig. 3.22 by Arden's theorem.

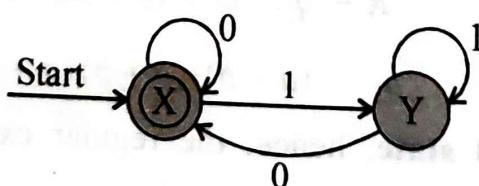


Fig. 3.22

**Solution :**

State = source state of input  $\times$  input coming to it.

$$X = X0 + Y0 + \wedge \quad (X \text{ is the start state}) \dots(1)$$

Similarly,

$$Y = X1 + Y1 \dots(2)$$

Comparing this equation with  $R = Q + RP$  which gets reduced to  $R = QP^*$ , with  $R = Y$ ,  $Q = X1$  and  $P = 1$  we get,

$$Y = X11^*$$

$$Y = X1^+ \quad (RR^* = R^+)$$

....(3)

putting the value of  $Y$  from equation (3) into equation (1) we get

$$X = X0 + X1^+0 + \wedge$$

$$X = X((0 + 1^+0)) + \wedge$$

....(4)

comparing equation (4) with  $R = Q + RP$

Which gets reduced to  $R = QP^*$

with  $R = X$ ,  $Q = \wedge$  and  $P = (0 + 1^+0)$ , we get,

$$X = \wedge(0 + 1^+0)^*$$

$$X = (0 + 1^+0)^*$$

 $(\wedge R = R)$ 

**Result :**  $X$  is the final state, hence, the regular expressions for the given DFA is  $(0 + 1^+0)^*$ .

**Example 3.22 :** Design the regular expression corresponding to the DFA shown in Fig. 3.23 by Arden's theorem.

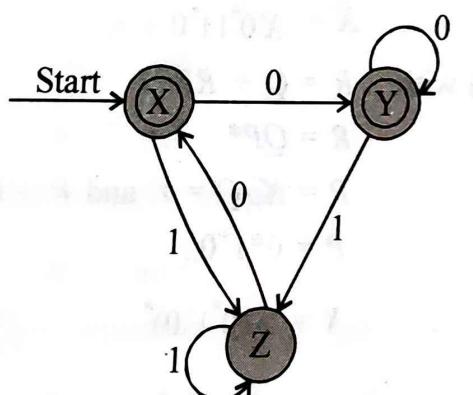


Fig. 3.23

**Solution :**

State = source state of input  $\times$  input incoming to it.

$$X = Z0 + \wedge \quad (X \text{ is the start state}) \quad ....(1)$$

Similarly,

$$Y = X0 + Y0 \quad ....(2)$$

$$Z = X1 + Y1 + Z1 \quad ....(3)$$

First, we will solve the equation (2)

$$Y = X0 + Y0$$

Comparing equation (2) with  $R = Q + RP$  with

$R = Y, Q = X0$ , and  $P = 0$

Which get reduced to  $R = QP^*$ .

$$\begin{aligned} Y &= X00^* \\ Y &= X0^+ \quad (RR^* = R^+) \end{aligned} \quad \dots(4)$$

putting the value of  $Y$  from equation (4) into equation (3)

$$Z = X1 + X0^+1 + Z1 \quad \dots(5)$$

$$Z = X(1 + 0^+1) + Z1$$

comparing the equation (5) with

$$R = Q + RP$$

which gets reduced to  
with

$$R = QP^*$$

$$R = Z, Q = X(1 + 0^+1) \text{ and } P = 1$$

$$Z = X(1 + 0^+1)1^*$$

$$Z = X((\wedge + 0^+)1)1^*$$

$$Z = X0^*11^* \quad (\wedge + R^+ = R^*) \quad \dots(6)$$

putting the value of  $Z$  from equation (6) into equation (1), we get,

$$X = X0^*11^*0 + \wedge \quad \dots(7)$$

comparing equation (7) with

$$R = Q + RP$$

which gets reduced to  
with

$$R = QP^*$$

$$R = X, Q = \wedge \text{ and } P = 0^*11^*0$$

$$P = 0^*1^+0 \quad (RR^* = R^+)$$

we get,

$$X = \wedge(0^*1^+0)^*$$

$$X = (0^*1^+0)^* \quad (\wedge R^* = R^*)$$

For getting the regular expression we have to solve the final states. Since, there are two final states namely,  $X$  and  $Y$ , in given DFA, we have to solve both the states  $X$  and  $Y$ .

The final regular expression is given by

$$R = X + Y$$

$$R = (0^*1 + 0)^* + (X0^+)$$

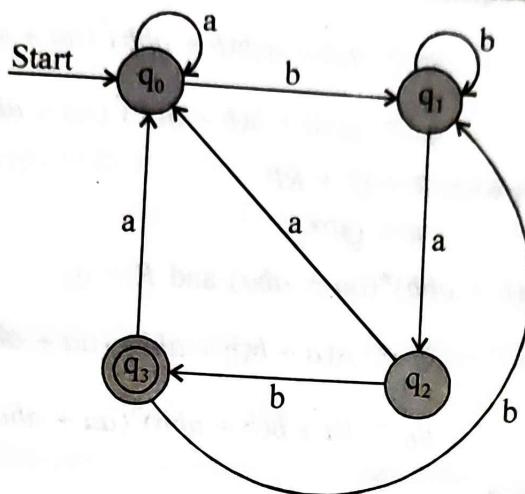
$$R = (0^*1 + 0)^* + ((0^*1 + 0)^*0^+)$$

**Result** : $\therefore X$  and  $Y$  both are the final states

$\therefore$  the regular expression for the given DFA is

$$(0^*1^+0)^* + (0^*1^+0)^*0^+$$

**Example 3.23 : Design the regular expression corresponding to the DFA shown in Fig. 3.24.**



**Fig. 3.24.**

**Solution :** As  $q_0$  is the start state, the equation of  $q_0$  will be

$$q_0 = q_0a + q_2a + q_3a + \lambda \quad \dots(1)$$

Similarly,

$$q_1 = q_0b + q_1b + q_3b \quad \dots(2)$$

$$q_2 = q_1a \quad \dots(3)$$

$$q_3 = q_2b \quad \dots(4)$$

putting the value of  $q_2$  in equation (4), we get,

$$q_3 = q_1ab \quad \dots(5)$$

putting value of equation (5) in equation (2), we get,

$$q_1 = q_0b + q_1b + q_1abb \quad \dots(6)$$

$$q_1 = q_1(b + abb) + q_0b$$

$$q_1 = q_0b + q_1(b + abb) \quad \dots(7)$$

Comparing equation (7) with  $R = Q + RP$  which gets reduced to  $QP^*$  with  $Q = q_0$ ,  $P = (b + abb)$  and  $R = q_1$

we get,

$$q_1 = q_0b(b + abb)^* \quad \dots(8)$$

putting value of  $q_2$  and  $q_3$  from equation (3) and (4) in equation (1), we get,

$$q_0 = q_0a + (q_1a)a + (q_1ab)a + \lambda$$

$$q_0 = q_0a + q_1(aa + aba) + \lambda \quad \dots(9)$$

putting value of  $q_1$  from equation (8) in equation (9), we get,

$$q_0 = q_0a + q_0b(b + abb)^*(aa + aba) + \lambda$$

$$q_0 = q_0(a + b(b + abb)^*(aa + aba)) + \lambda \quad \dots(10)$$

comparing equation (10) with  $R = Q + RP$

Which gets reduced to  $R = QP^*$ ,

with  $Q = \lambda$ ,  $P = a + b(b + abb)^*(aa + aba)$  and  $R = q_0$

we get,

$$q_0 = \lambda(a + b(b + abb)^*(aa + aba))^*$$

$$q_0 = (a + b(b + abb)^*(aa + aba))^* \quad (\lambda R = R) \dots(11)$$

Now, we solve for state  $q_3$

$$q_3 = q_1ab$$

Putting value of  $q_1$  from equation (8), we get,

$$q_3 = q_0b(b + abb)^*ab$$

Putting value of  $q_0$  from equation (11), we get,

$$q_3 = (a + b(b + abb)^*(aa + aba))^*b(b + abb)^*ab$$

As  $q_3$  is the final state

$\therefore$  The regular expression for the finite automata is as follows.

$$RE = (a + b(b + abb)^*(aa + aba))^*b(b + abb)^*ab$$

**Example 3.24 : Find the regular expression corresponding to the transition diagram given below.**

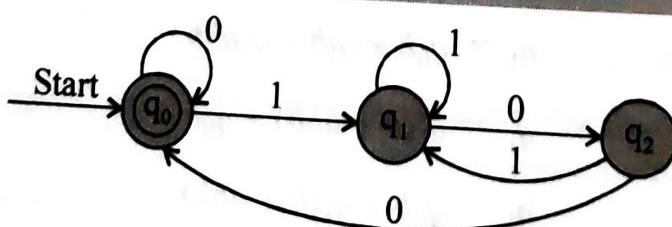


Fig. 3.25

**Solution :** As state  $q_0$  is the start state, the equation for  $q_0$  will be

$$q_0 = q_00 + q_20 + \lambda \quad \dots(1)$$

Similarly, equation for  $q_1$  and  $q_2$  will be :

$$q_1 = q_01 + q_11 + q_21 \quad \dots(2)$$

$$q_2 = q_1 0 \quad \dots(3)$$

putting value of  $q_2$  in equation (2), we get,

$$q_1 = q_0 1 + q_1 1 + q_1 0 1$$

$$q_1 = q_1 (1 + 0 1) + q_0 1 \quad \dots(4)$$

Comparing equation (4) with  $R = Q + RP$  which gets reduced to  $QP^*$   
with

$$q = q_0 1, R = q_1 \text{ and } P = (1 + 0 1)$$

We get,

$$q_1 = q_0 1 (1 + 0 1)^* \quad \dots(5)$$

Putting value of  $q_1$  obtained in equation (5) in equation (3), we get,

$$q_2 = q_0 1 (1 + 0 1)^* 0 \quad \dots(6)$$

Putting value of  $q_2$  obtained in equation (6) in equation (1), we get,

$$q_0 = q_0 0 + q_0 1 (1 + 0 1)^* 0 0 + \wedge$$

$$q_0 = q_0 (0 + 1 (1 + 0 1)^* 0 0) + \wedge \quad \dots(7)$$

As  $R = Q + RP$  gets reduced to  $QP^*$ , comparing equation (7) with

$$R = Q + RP,$$

which gets reduced to

$$R = QP^*$$

with

$$Q = \wedge$$

$$R = q_0$$

$$P = (0 + 1 (1 + 0 1)^* 0 0)$$

$$q_0 = \wedge (0 + 1 (1 + 0 1)^* 0 0)^*$$

$$q_0 = (0 + 1 (1 + 0 1)^* 0 0)^*$$

**Result :** As  $q_0$  is the final state, the regular expression for the finite automata is :

$$RE = (0 + 1 (1 + 0 1)^* 0 0)^*$$

### 3.4.4 Construction of Finite Automata From Regular Expression

To find out the DFA equivalent to the given regular expression, we will use the subset method. It involves following steps :

1. Construct the transition graph (transition system) from the given regular expression using null-move ( $\wedge$ -move), i.e., Find NDFA from Regular expression.
2. Construct the transition table from the graph in step 1 and construct the equivalent DFA., i.e., Find DFA from NDFA.

**Note :** Regular expression  $\rightarrow$  Non Deterministic Finite Automata  $\rightarrow$  Deterministic Finite Automata.

**Example 3.25 : Design the Non-deterministic finite automata for the regular expression  $b + ba^*$ .**

**Solution :** Given :

$$RE = b + ba^*$$

It can be divided into  $RE_1$  and  $RE_2$  as follows :

$$RE_1 = b$$

$$RE_2 = ba^*$$

The regular expression  $RE_2$  can be further divided into  $RE_3$  and  $RE_4$  as follows :

$$RE_3 = b$$

$$RE_4 = a^*$$

The resulting regular expression will be

$$RE = RE_1 + RE_3 \cdot RE_4$$

Now, we draw the NDFA for  $RE_1$ ,  $RE_3$  and  $RE_4$ .

**NDFA for  $RE_1 = b$  :**

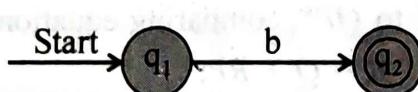


Fig. 3.26 : Finite Automata for  $RE_1$ .

**NDFA for  $RE_3 = b$  :**

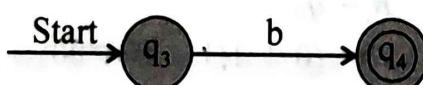


Fig. 3.27 : Finite Automata for  $RE_3$ .

**NDFA for  $RE_4 = a^*$  :**

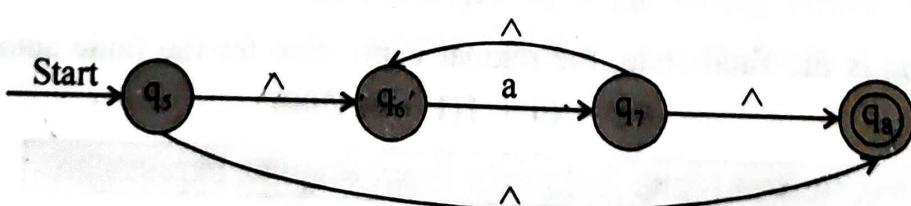


Fig. 3.28 : Finite Automata for  $RE_4$ .

**The NDFA for  $RE_2 = RE_3 \cdot RE_4$  :**

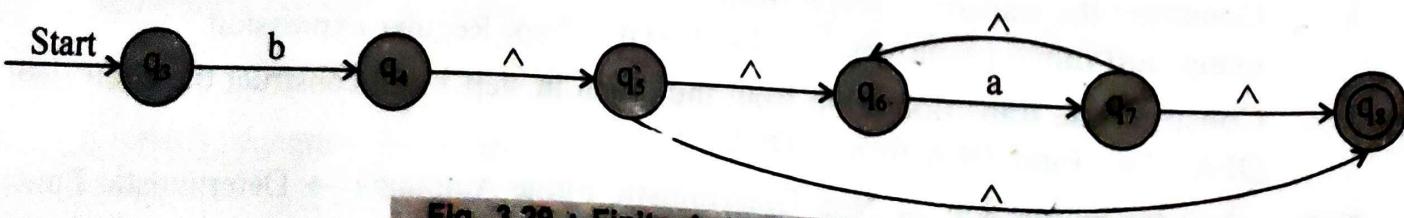
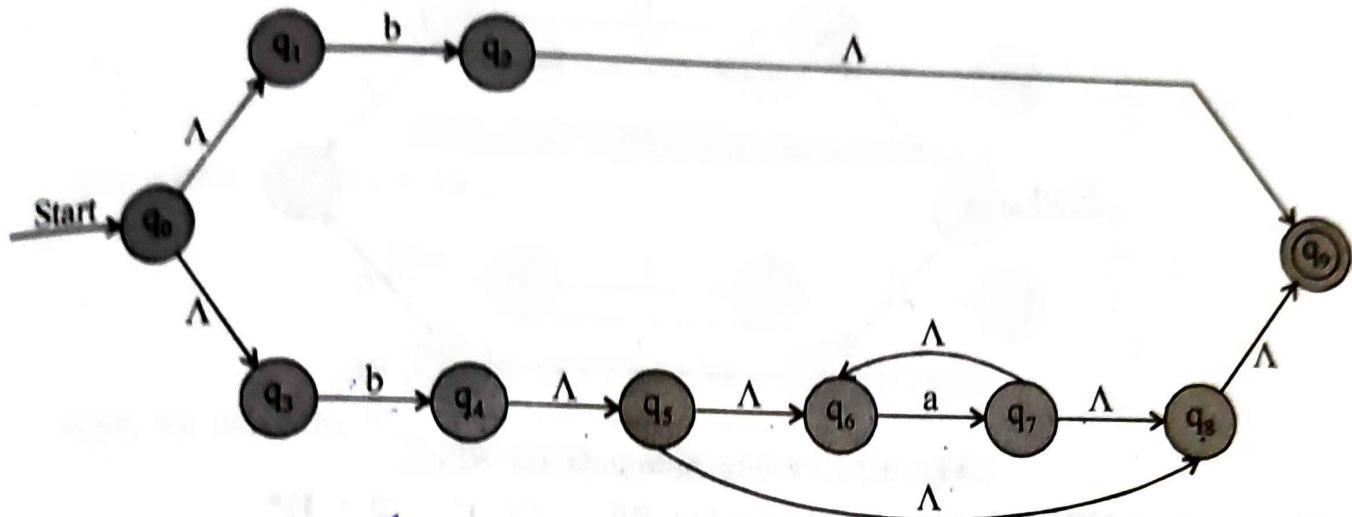


Fig. 3.29 : Finite Automata for  $RE_2$ .

The resultant NDFA for regular expression  $RE = RE_1 + RE_2 = b + ba^*$  is drawn below.



**Fig. 3.30 : Finite Automata for  $b + ba^*$ .**

**Example 3.26 : Design the NDFA for the regular expression  $(0 + 1)^*$ .**

**Solution :** Given

$$RE = (0 + 1)^*$$

It can be divided into smaller regular expressions

$$RE = (RE_1)^*$$

Regular expression  $RE_1$  can further be divided as follows :

$$RE_1 = RE_2 + RE_3 \text{ where,}$$

$$RE_2 = 0 \text{ and}$$

$$RE_3 = 1$$

Now, we draw the NDFA for  $RE_2$  and  $RE_3$  :

**The NDFA for  $RE_2 = 0$  :**



**Fig. 3.31 : Finite Automata for  $RE_2$ .**

**The NDFA for  $RE_3 = 1$  :**



**Fig. 3.32 : Finite Automata for  $RE_3$ .**

The NDFA for  $RE_1 = RE_2 + RE_3 = 0 + 1$

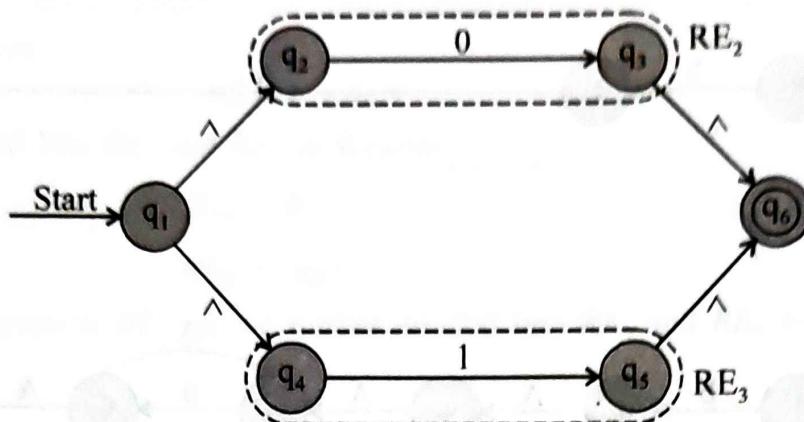


Fig. 3.33 : Finite Automata for  $RE_1$ .

The resultant NDFA for regular expression  $RE = (RE_1)^* = (0 + 1)^*$

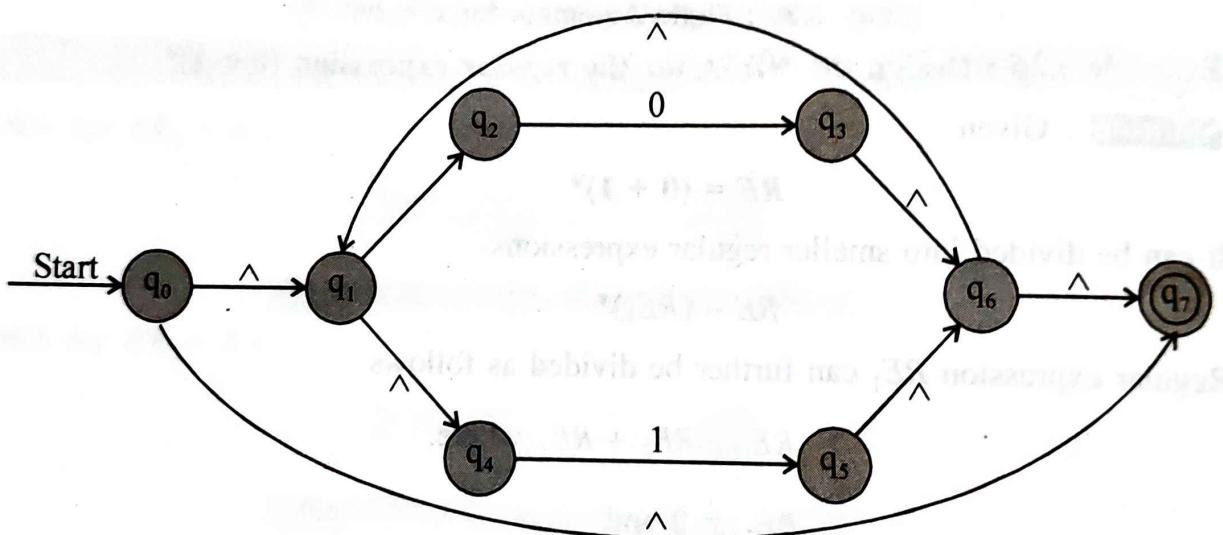


Fig. 3.34 : Finite Automata for  $(0 + 1)^*$ .

**Example 3.27 :** Construct the NDFA for the regular expression  $(01 + 10)^+$ .

**Solution :** Given :

$$RE = (01 + 10)^+$$

This regular expression can be divided into small regular expressions as follows

$$RE = (RE_1)^+ \text{ where,}$$

$$RE_1 = (01 + 10)$$

$RE_1$  can be further divided into smaller expressions as follows :

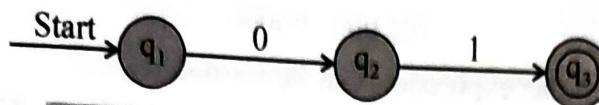
$$RE_1 = RE_2 + RE_3 \text{ where,}$$

$$RE_2 = 01 \text{ and}$$

$$RE_3 = 10$$

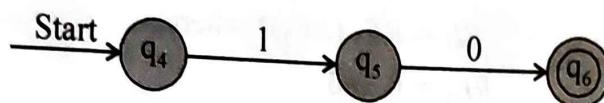
Now, we draw the NDFA for  $RE_2$  and  $RE_3$ .

The NDFA for  $RE_2 = 01$ :



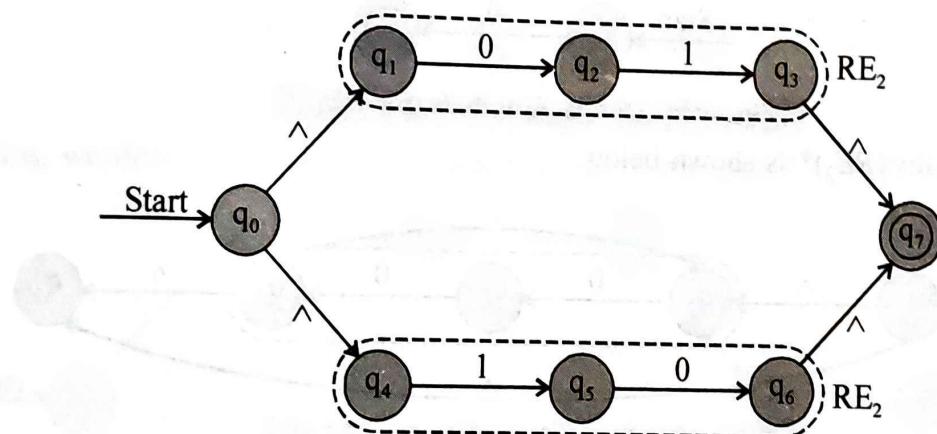
**Fig. 3.35 : Finite Automata for  $RE_2$ .**

The NDFA for  $RE_3 = 10$ :



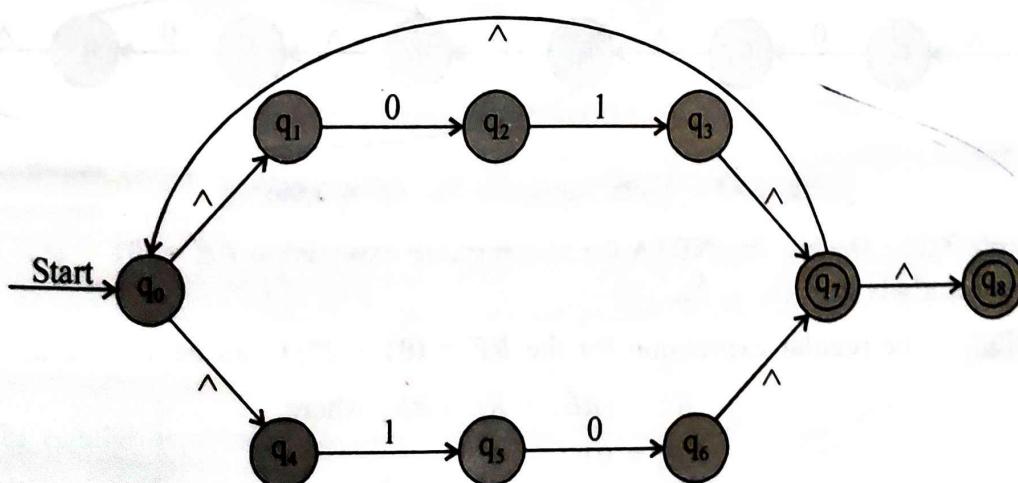
**Fig. 3.36 : Finite Automata for  $RE_3$ .**

Now, we draw the NDFA for  $RE_1 = RE_2 + RE_3 = 01 + 10$ :



**Fig. 3.37 : Finite Automata for  $RE_1$ .**

The resultant NDFA for regular expression  $RE = (RE_1)^+ = (01 + 10)^+$  is drawn below.



**Fig. 3.38 : Finite Automata for  $RE = (01 + 10)^+$ .**

**Example 3.28 :** Design the NDFA for the strings having odd number of 0's over the set of input alphabets  $\Sigma = \{0\}$ .

**Solution :** First, we draw the regular expression for the strings having odd number of 0's over  $\Sigma = \{0\}$ . It can have the following elements :

$$\{0, 000, 00000, \dots\}$$

The corresponding regular expression can be written as

$$RE = 0(00)^*$$

To draw the NDFA for  $RE = 0(00)^*$ , we divide the regular expression  $RE$  as follows :

$$RE = RE_1 (RE_2)^* \text{ where,}$$

$$RE_1 = 0 \text{ and}$$

$$RE_2 = 00$$

The NDFA for  $RE_1$  can be drawn as follows :



Fig. 3.39 : Finite Automata for  $RE_1$ .

The NDFA for  $(RE_2)^*$  is shown below.

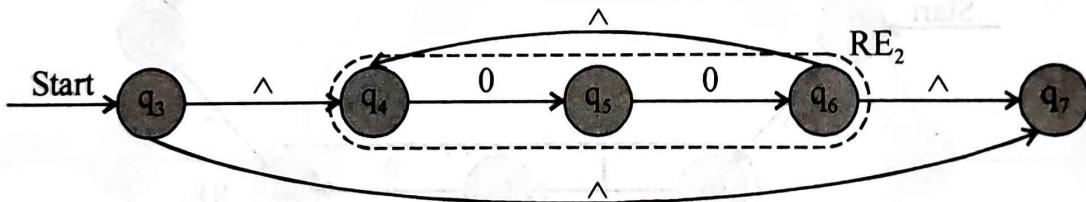


Fig. 3.40 : Finite Automata for  $(RE_2)^*$ .

The resultant NDFA for the regular expression  $RE = RE_1 (RE_2)^* = 0(00)^*$  is drawn below.

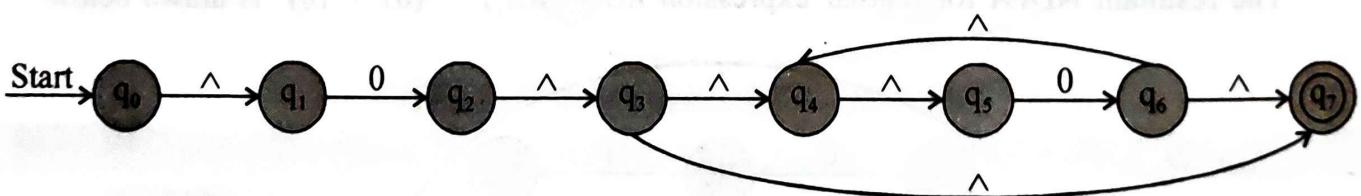


Fig. 3.41 : Finite Automata for  $RE = 0(00)^*$ .

**Example 3.29 :** Design the NDFA for the regular expression  $RE = (01 + 2^*)1$  over the set of input alphabets  $\Sigma = \{0, 1, 2\}$ .

**Solution :** The regular expression for the  $RE = (01 + 2^*)1$  can be divided as follows.

$$RE = (RE_1 + RE_2) RE_3 \text{ where,}$$

$$RE_1 = 01$$

$$RE_2 = 2^* \text{ and}$$

$$RE_3 = 1$$

Now, we draw the NDFA for  $RE_1$ ,  $RE_2$  and  $RE_3$ .

The NDFA for  $RE_1 = 01$  :



Fig. 3.42 : Finite Automata for  $RE_1$ .

The NDFA for  $RE_2 = 2^*$  :

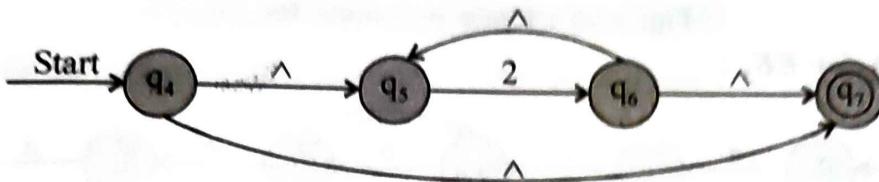


Fig. 3.43 : Finite Automata for  $RE_2$ .

The NDFA for  $RE_3 = 1$  :

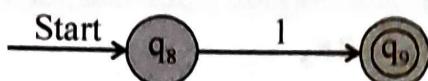


Fig. 3.44 : Finite Automata for  $RE_3$ .

Now, we draw the NDFA for resultant regular expression  $RE = (RE_1 + RE_2) \cdot RE_3$

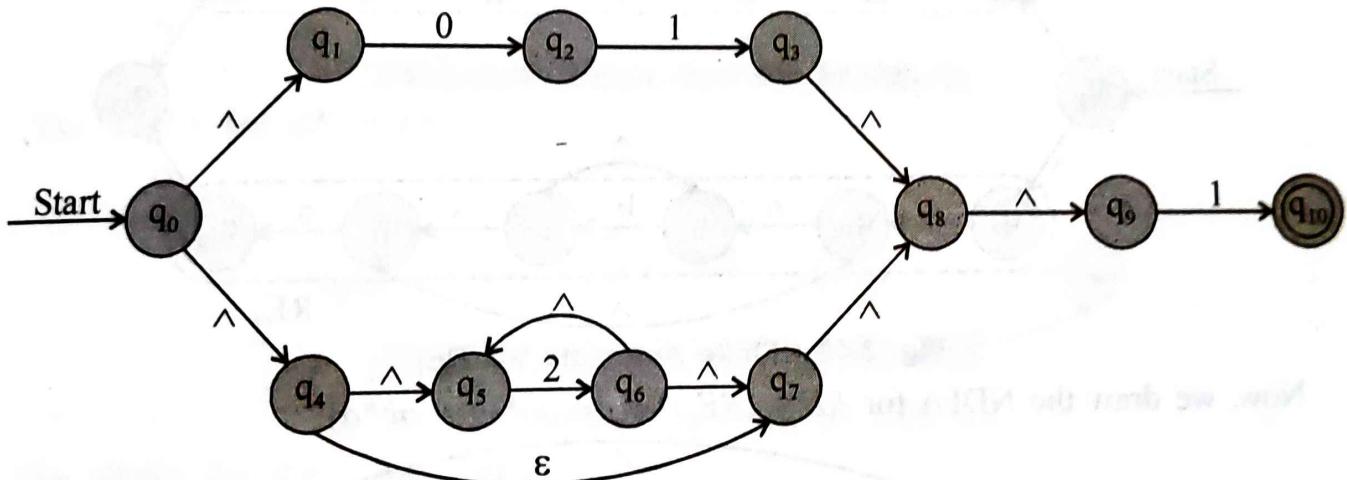


Fig. 3.45 : Finite Automata for  $RE = (01 + 2^*)1$ .

**Example 3.30 :** Design the NDFA for the regular expression  $RE = (a(aa^*)b + ab^*a)^*$ .

**Solution :** Given :

$$RE = (a(aa^*)b + ab^*a)^*$$

The regular expression  $RE = (a(aa^*)b + ab^*a)^*$  can be divided as follows :

$$RE = (RE_1)^*$$
 where

$$RE_1 = (a(aa^*)b + ab^*a).$$

The regular expression  $RE_1$  can further be divided as follows :

$$RE_1 = RE_2 + RE_3 \text{ where,}$$

$$RE_2 = a(aa^*)b \text{ and}$$

$$RE_3 = ab^*a$$

Now, we draw the NDFA for  $RE_2$  and  $RE_3$ .

**NDFA for  $RE_2 = a(aa^*)b$  :**

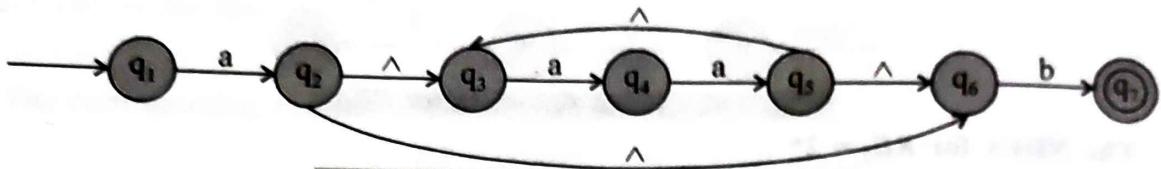


Fig. 3.46 : Finite Automata for  $RE_2$ .

**The NDFA for  $RE_3$  :**

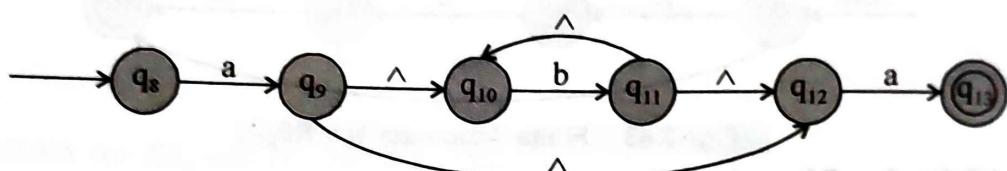


Fig. 3.47 : Finite Automata for  $RE_3$ .

**The NDFA for  $RE_1 = RE_2 + RE_3$**

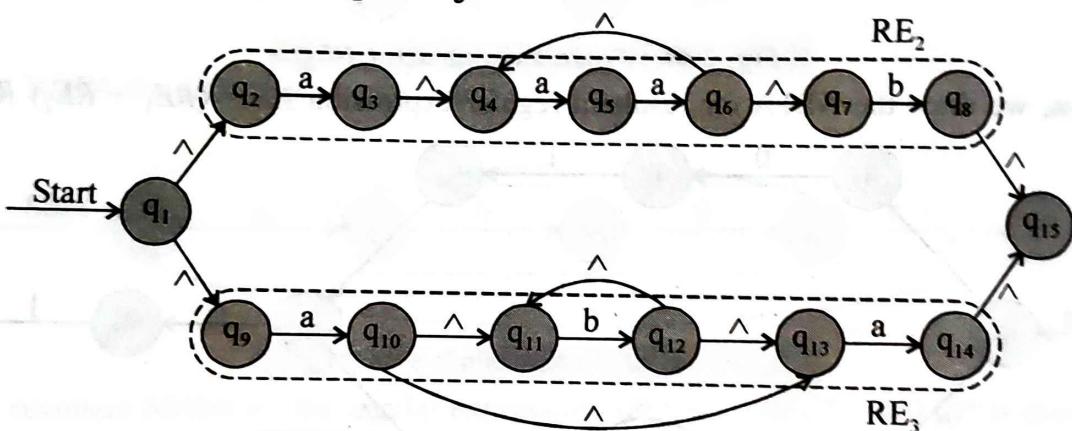


Fig. 3.48 : Finite Automata for  $RE_1$ .

Now, we draw the NDFA for  $RE = (RE_1)^* = (a(aa^*)b + ab^*a)^*$

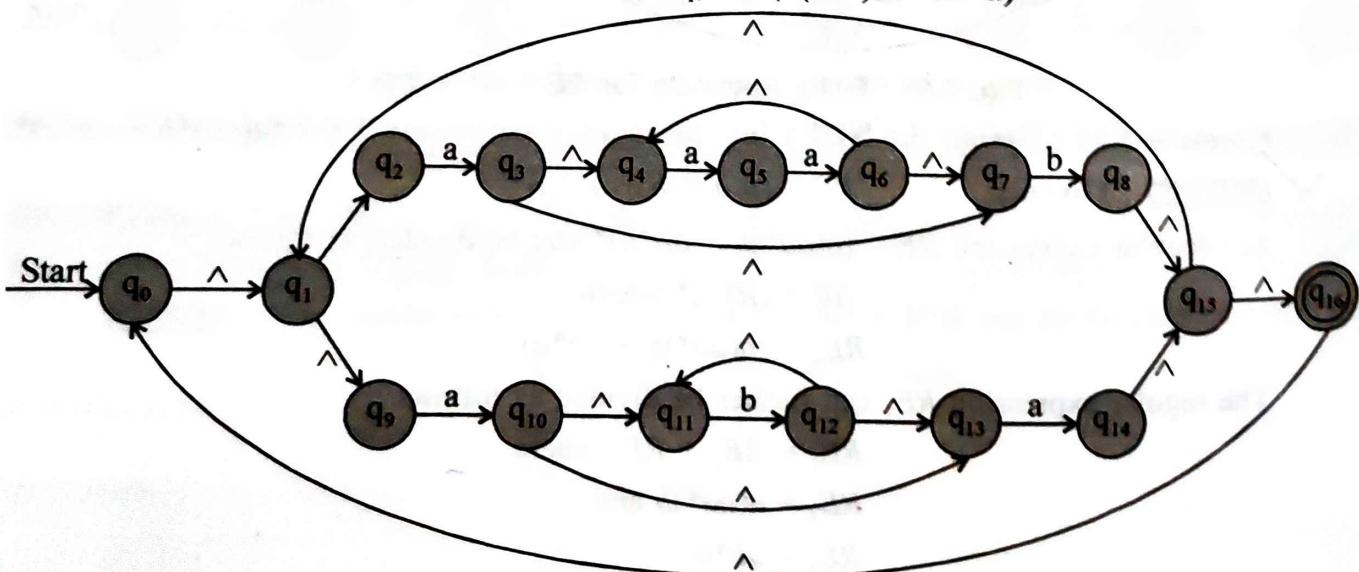


Fig. 3.49 : Finite Automata for  $RE = (a(aa^*)b + ab^*a)^*$ .

**Example 3.31 :** Design the NDFA for the strings which do not contain the substring  $ab$  over the set of input alphabets  $\Sigma = \{a, b\}$ .

**Solution :** First, we find the regular expression for the strings which do not contain substring  $ab$  over  $\Sigma = \{a, b\}$ , i.e., we can't have  $b$  followed by  $a$ .

The regular expression for this set is :

$$RE = b^*a^*$$

We can divide the regular expression  $RE = b^*a^*$  as follows :

$$RE = RE_1 \cdot RE_2 \text{ where,}$$

$$RE_1 = b^*$$

$$RE_2 = a^*$$

The NDFA for  $RE_1 = b^*$  :

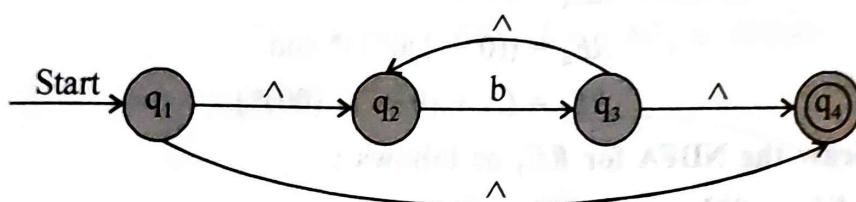


Fig. 3.50 : Finite Automata for  $RE_1$ .

The NDFA for  $RE_2 = a^*$  :

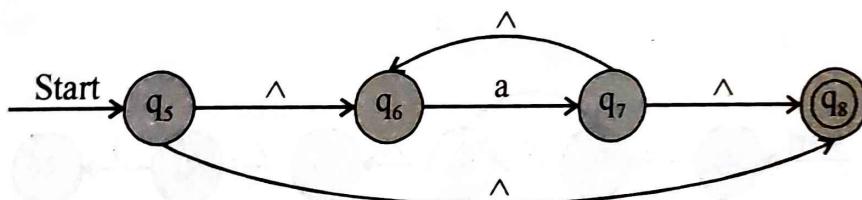


Fig. 3.51 : Finite Automata for  $RE_2$ .

The NDFA for  $RE = RE_1 \cdot RE_2$  :

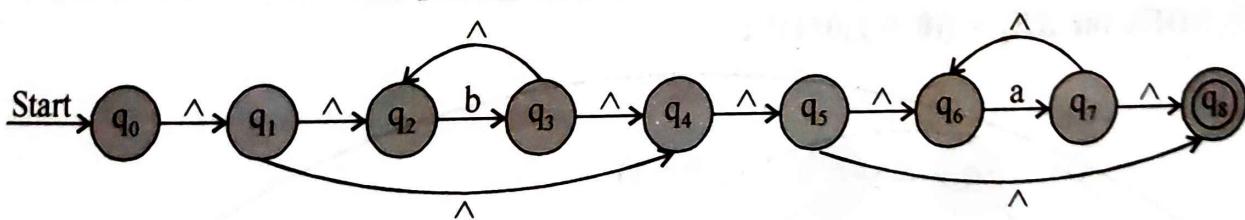


Fig. 3.52 : Finite Automata for  $RE = b^*a^*$ .

Reducing the above NDFA, we get,

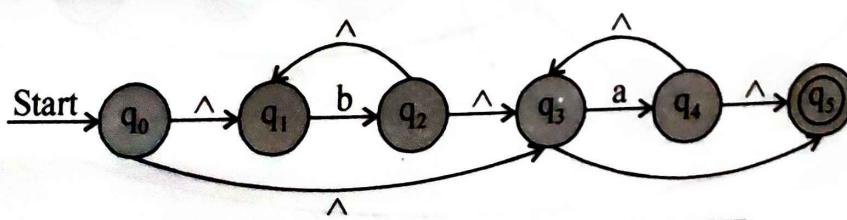


Fig. 3.53 : Finite Automata for  $RE = b^*a^*$ .

**Example 3.32 : Design the NDFA for the regular expression**

$$0^* 1 ((0 + 1)0^* 1)^* (\wedge + (0 + 1)(00)^*) + 0(00)^*$$

**Solution :** Given :

$$RE = 0^* 1 ((0 + 1)0^* 1)^* (\wedge + (0 + 1)(00)^*) + 0(00)^*$$

This regular expression  $RE$  can be divided into small expressions as follows :

$$RE = RE_1 + RE_2 \text{ where,}$$

$$RE_1 = 0^* 1 ((0 + 1)0^* 1)^* (\wedge + (0 + 1)(00)^*)$$

and

$$RE_2 = 0(00)^*$$

Now, we can divide  $RE_1$  as follows :

$$RE_1 = RE_3 \cdot RE_4 \cdot RE_5 \text{ where}$$

$$RE_3 = 0^* 1$$

$$RE_4 = ((0 + 1)0^* 1)^* \text{ and}$$

$$RE_5 = (\wedge + (0 + 1)(00)^*)$$

Now, we draw the NDFA for  $RE_1$  as follows :

**NDFA for  $RE_3 = 0^* 1$  :**

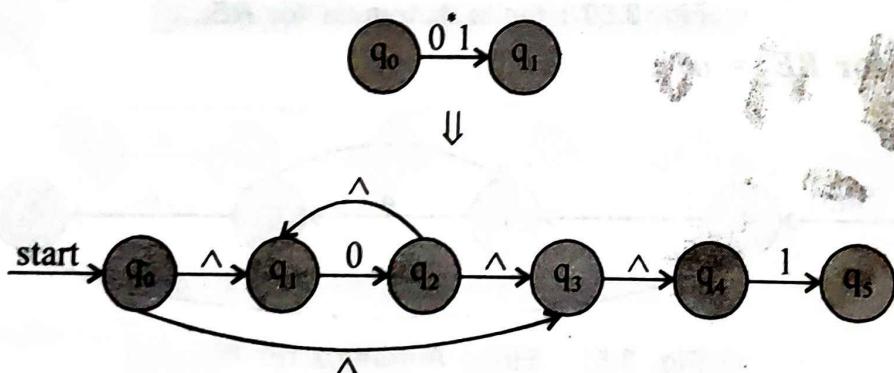


Fig. 3.54 : Finite Automata for  $RE_3$ .

**NDFA for  $RE_4 = ((0 + 1)0^* 1)^*$  :**

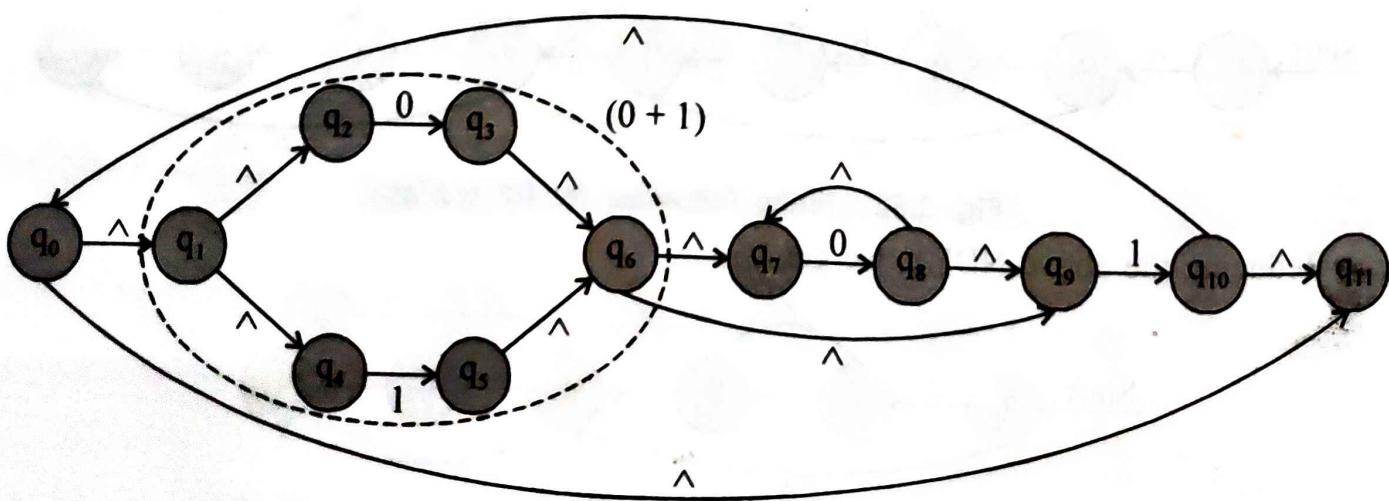


Fig. 3.55 : Finite Automata for  $RE_4$ .

NDFA for  $RE_5 = (\wedge + (0 + 1)) (00)^*$  :

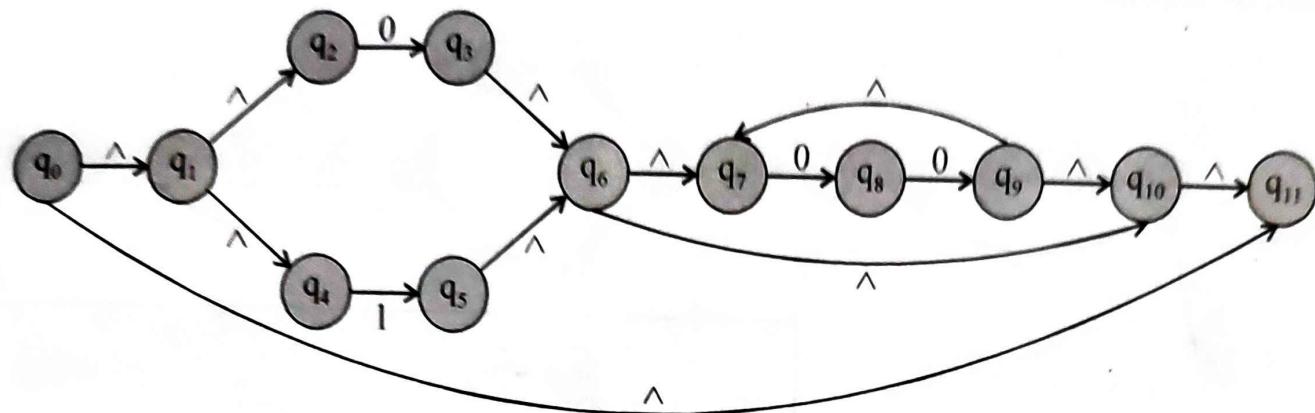


Fig. 3.56 : Finite Automata for  $RE_5$ .

Now, we combine the NDFA for  $RE_1 = RE_3 \cdot RE_4 \cdot RE_5$  as follows

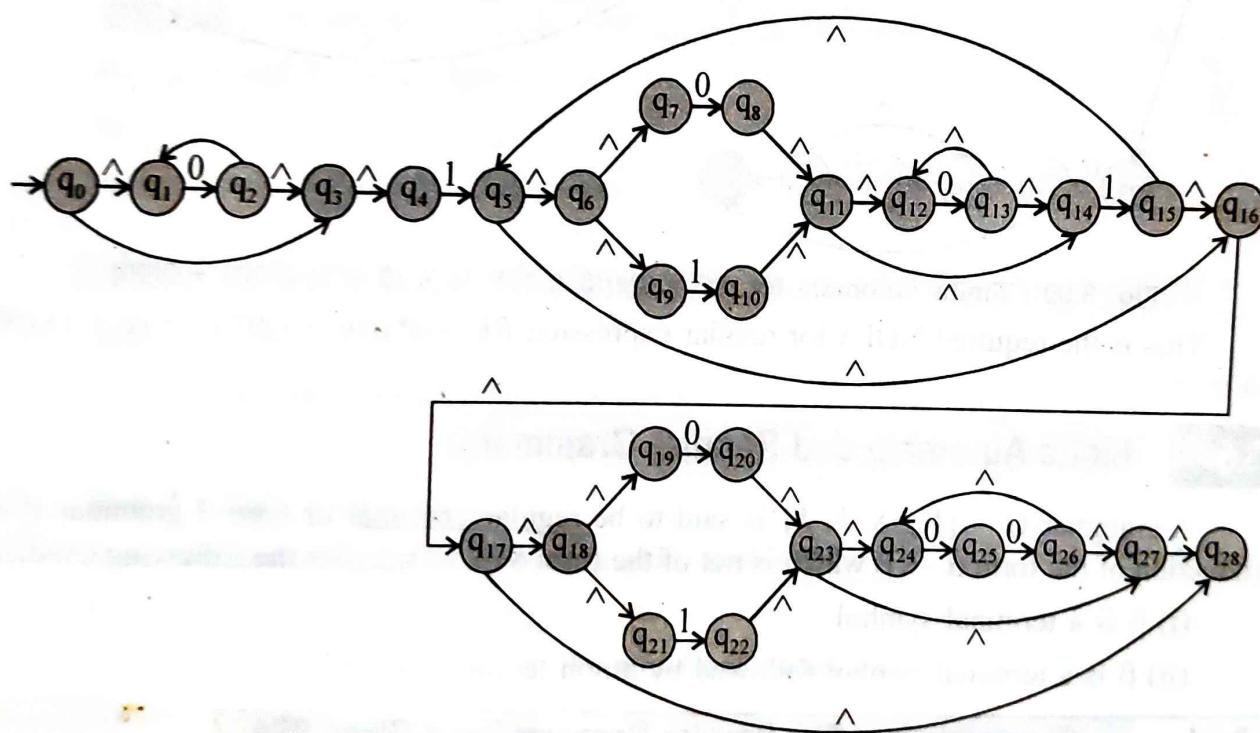


Fig. 3.57 : Finite Automata for  $RE_1$ .

Next, construct the NDFA for regular expression  $RE_2 = 0(00)^*$  :

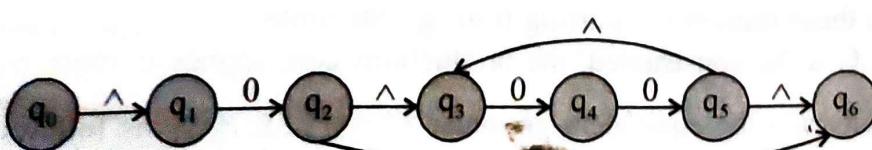


Fig. 3.58 : Finite Automata for  $RE_2$ .

Combining the regular expression  $RE_1$  and  $RE_2$ , we get the regular expression  $RE = RE_1 + RE_2$  as follows :

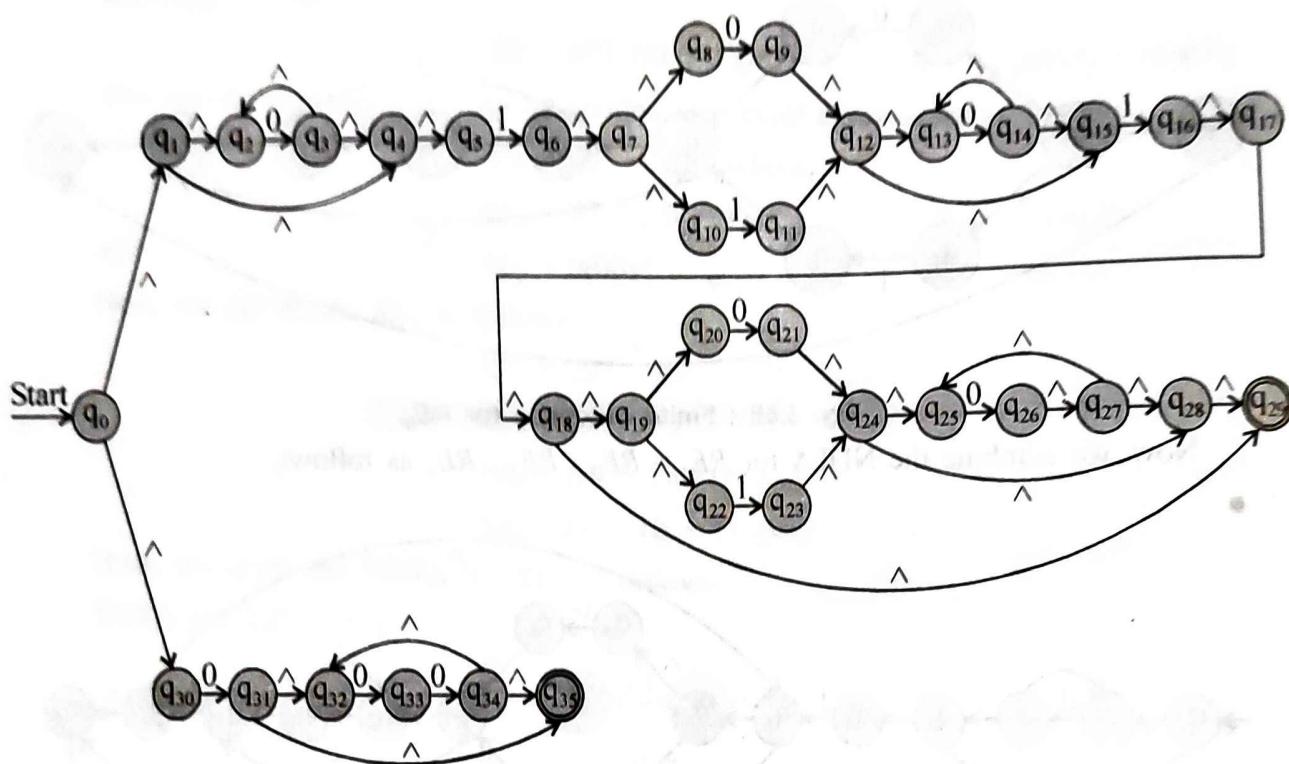


Fig. 3.59 : Finite Automata for  $RE = 0^*1((0+1)0^*(a+(0+1)(00)^*) + 0(00)^*)$ .

This is the required NDFA for regular expression  $RE = 0^*1((0+1)0^*(a+(0+1)(00)^*) + 0(00)^*)$ .

### 3.5

### Finite Automata and Regular Grammar

A grammar  $G = \{V_N, S, P, S\}$  is said to be regular grammar or type-3 grammar if each production of the form  $\alpha \rightarrow \beta$  which is not of the form  $S \rightarrow \lambda$ , satisfies the following condition :

- (i)  $\beta$  is a terminal symbol
- (ii)  $\beta$  is a terminal symbol followed by a non terminal symbol.

#### 3.5.1

#### Construction of The Regular Grammar For a Given DFA

Let  $M = (Q, \Sigma, \delta, q_0, F)$  be a DFA. If  $w$  is the string which is accepted by the given deterministic finite automata  $M$ . Then, this string will be obtained by concatenating the labels corresponding to these transitions starting from  $q_0$  (the initial state) to its  $q_f$  (the final state). So, for the grammar  $G$  to be constructed, the productions corresponds to these transitions.

We can construct the equivalent grammar  $G = \{V_N, \Sigma, P, S\}$  as follows :

1. **Construction of  $V_N$**  : For each state  $q_0, q_1, q_2, \dots, q_n$ , in the DFA, we take  $A_0, A_1, A_2, \dots, A_n$  non-terminal symbols which form the elements of  $V_N$ .

2. **Construction of  $\Sigma$**  : All distinct labels in the transition graph/DFA are considered in a set of input alphabet  $\Sigma$ .
3. **Start Symbol (S)** : State corresponding to the  $q_0$  i.e., start state in transition graph is considered as the start symbol of the grammar (Here, it is  $A_0$ ).
4. **Construction of  $P$  (Set of Productions)** :
  - (i) The production  $A_i \rightarrow aA_j$  is added in  $P$  if  $\delta(q_i, a) = q_j$  and  $q_j \notin F$ .
  - (ii)  $A_i \rightarrow a$  and  $A_i \rightarrow aA_j$  are added in  $P$  if  $\delta(q_i, a) = q_j$  and  $q_j \in F$ .

**Example 3.33 :** Construct the regular grammar for the deterministic finite automata shown in Fig. 3.60.

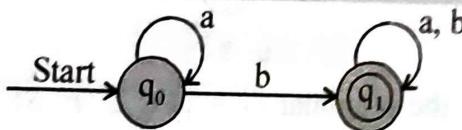


Fig. 3.60

**Solution :** We construct the grammar  $G = \{V_N, \Sigma, P, S\}$  where,

$V_N$ ,  $\Sigma$ ,  $P$  and  $S$  are as follows

**Step 1 :** Construction of  $V_N$ .

As each state in the DFA corresponds to the symbol in  $V_N$  and renaming these states as  $A_0$ ,  $A_1$ ,  $A_2$  ...  $A_n$ , we get  $A_0$  and  $A_1$  since there are two states  $q_0$  and  $q_1$

∴

$$V_N = \{A_0, A_1\}$$

**Step 2 :** Construction of  $\Sigma$ .

As there are two distinct symbols **a** and **b**, which constitute the  $\Sigma$

∴

$$\Sigma = \{a, b\}$$

**Step 3 :** Start symbol ( $S$ ) :

State corresponding to the symbol  $q_0$ , i.e., start state =  $A_0$

**Step 4 :** Set of productions (P) :

(a) Productions corresponding to the **non-final states**, i.e.,

$$A_0 \rightarrow aA_0$$

(b) Production corresponding to the **final states**

$$A_0 \rightarrow bA_1, A_0 \rightarrow b$$

$$A_1 \rightarrow aA_1, A_1 \rightarrow a$$

$$A_1 \rightarrow bA_1, A_1 \rightarrow b$$

∴ The required grammar  $G = (\{A_0, A_1\}, \{a, b\}, P, A_0)$

where  $P$  is given by set of the production rules derived in step 4.

**Example 3.34 :** Construct the regular grammar for the deterministic finite automata shown below in Fig. 3.61.

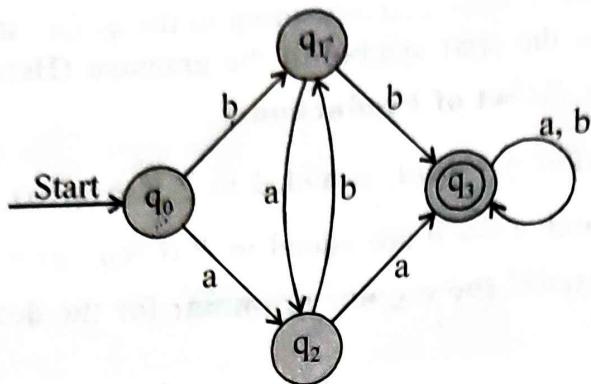


Fig. 3.61

**Solution :** We construct the grammar  $G = \{V_N, \Sigma, P, S\}$  where,

- (i)  $V_N = \{A_0, A_1, A_2, A_3\}$   
 $A_0, A_1, A_2$  and  $A_3$  corresponding to the states  $q_0, q_1, q_2$  and  $q_3$
- (ii)  $\Sigma = \{a, b\}$   
Set of non-terminals used in the transition graph.
- (iii)  $S = \{A_0\}$   
Start state corresponding to the state  $q_0$  and
- (iv)  $P$  (set of productions) are given as follows :

(a) Productions corresponding to the **non-final states**

$$A_0 \rightarrow bA_1$$

$$A_0 \rightarrow aA_2$$

$$A_1 \rightarrow aA_2 \text{ and}$$

$$A_2 \rightarrow bA_1$$

(b) Productions corresponding to the **final state**.

$$A_1 \rightarrow bA_3, A_1 \rightarrow b$$

$$A_2 \rightarrow aA_3, A_2 \rightarrow a$$

$$A_3 \rightarrow aA_3, A_3 \rightarrow a$$

$$A_3 \rightarrow bA_3, A_3 \rightarrow b$$

This is the required grammar.

**Example 3.35 :** Construct the regular grammar for the transition graph shown below in Fig. 3.62.

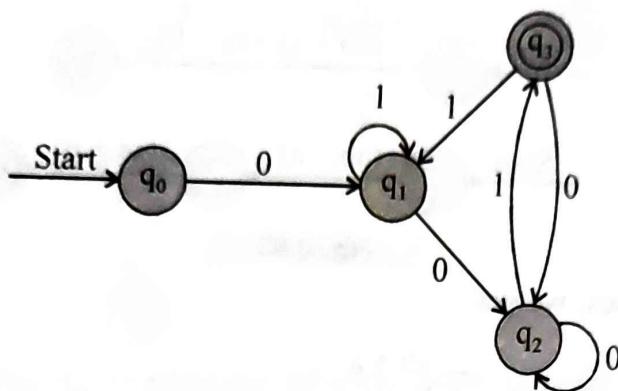


Fig. 3.62

**Solution :** The equivalent regular grammar  $G = \{V_N, \Sigma, P, S\}$  can be formed as follows:

$$(i) \quad V_N = \{A_0, A_1, A_2, A_3\} \text{ where,}$$

$A_0, A_1, A_2$  and  $A_3$  non terminals are corresponding to the states  $q_0, q_1, q_2$  and  $q_3$ .

$$(ii) \quad \Sigma = \{0, 1\}$$

$$(iii) \quad S = \{A_0\}$$

(iv)  $P$  : Set of production rules are given as follows :

(a) Production corresponding to the **non-final states**

$$A_0 \rightarrow 0A_1$$

$$A_1 \rightarrow 1A_1$$

$$A_1 \rightarrow 0A_2$$

$$A_2 \rightarrow 0A_2$$

$$A_3 \rightarrow 1A_1$$

$$A_3 \rightarrow 0A_2$$

(b) Productions corresponding to the **final state**

$$A_2 \rightarrow 1A_3, \quad A_2 \rightarrow 1$$

This is the required grammar.

**Example 3.36 :** Construct the regular grammar  $G = \{V_N, \Sigma, P, S\}$  for the regular expression

$$(ab + a)^* (aa + b)$$

**Solution :** First, convert the regular expression into NDFA,

**Step 1 :**  $RE = (ab + a)^* (aa + b)$

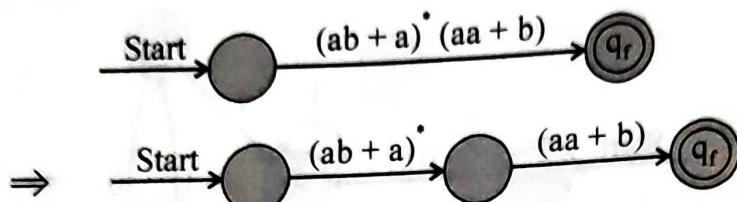


Fig. 3.63

By inserting  $\wedge$ -moves, we get,

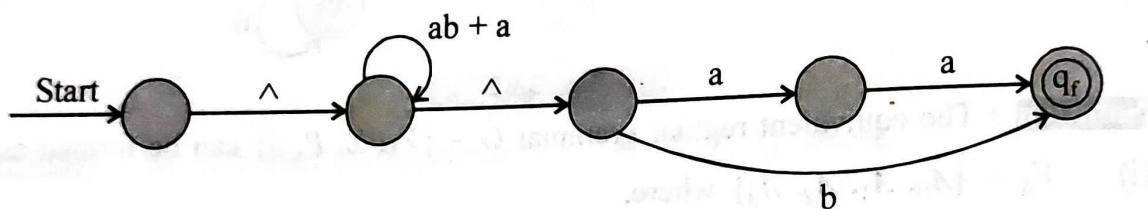


Fig. 3.64

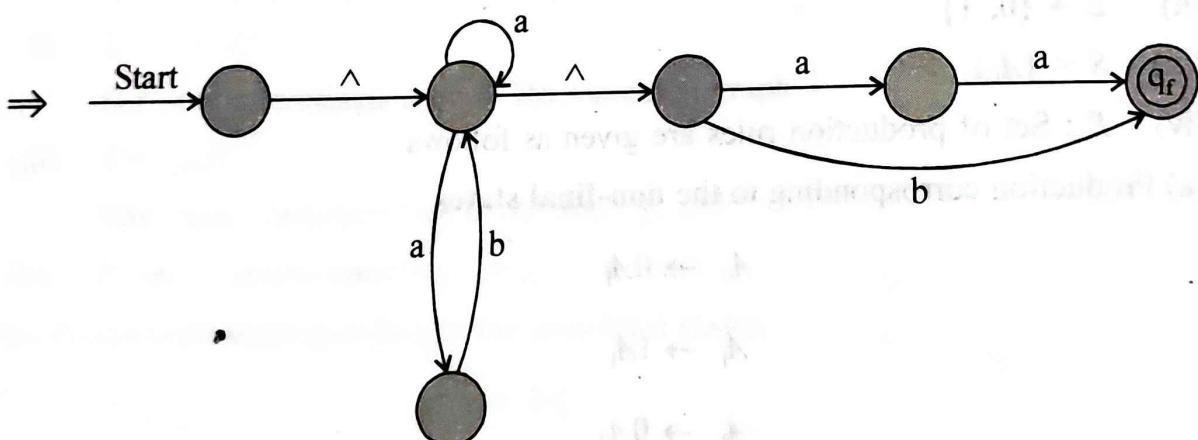


Fig. 3.65

Eliminating  $\wedge$ -moves from the transition system, we get,

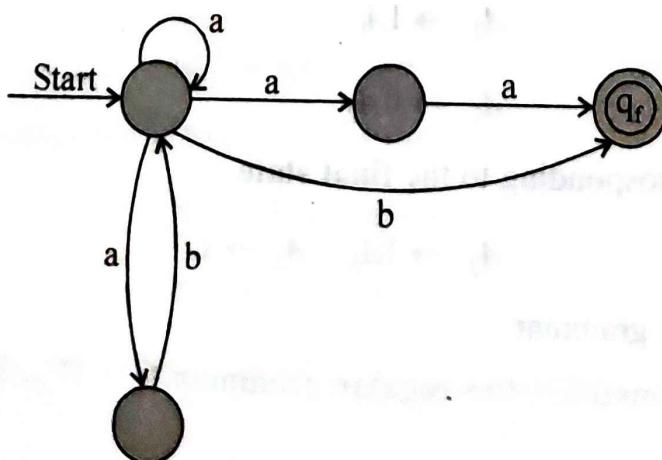


Fig. 3.66

Naming various unnamed states, we get,

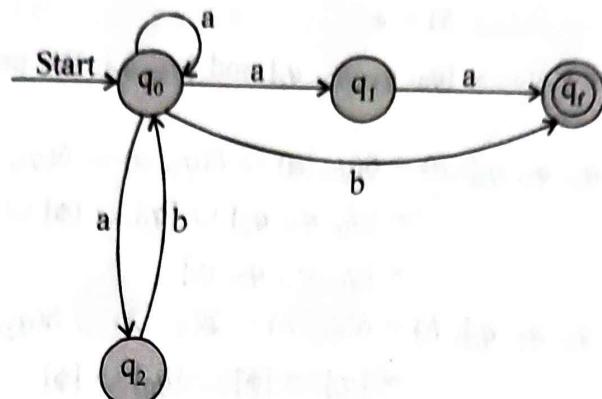


Fig. 3.67 : NDFA for  $RE = (ab + a)^* (aa + b)$ .

**Step 2 : Construct the transition table :**

State \ Input	a	b
→ $q_0$	$[q_0, q_1, q_2]$	$q_f$
$q_1$	$q_f$	$\phi$
$q_2$	$\phi$	$q_0$
$q_f$	$\phi$	$\phi$

Table 3.1

**Step 3 :** Now, we convert this NDFA to the DFA.

**(i) Find reachable states :**

We start with initial state  $q_0$  and apply input symbols  $a$  and  $b$  as follows :

$$\delta(q_0, a) = [q_0, q_1, q_2] \quad \dots(1)$$

$$\delta(q_0, b) = [q_f] \quad \dots(2)$$

we get two new states, namely,  $[q_0, q_1, q_2]$  and  $[q_f]$ .

We proceed for these states as follows :

$$\begin{aligned}
 \delta([q_0, q_1, q_2], a) &= \delta(q_0, a) \cup \delta(q_1, a) \cup \delta(q_2, a) \\
 &= [q_0, q_1, q_2] \cup [q_f] \cup [\phi] \\
 &= [q_0, q_1, q_2, q_f]
 \end{aligned} \quad \dots(3)$$

$$\begin{aligned}
 \delta([q_0, q_1, q_2], b) &= \delta(q_0, b) \cup \delta(q_1, b) \cup \delta(q_2, b) \\
 &= [q_f] \cup [\phi] \cup [q_0] \\
 &= [q_0, q_f]
 \end{aligned} \quad \dots(4)$$

$$\delta([q_f], a) = \phi \quad \dots(5)$$

$$\delta([q_f], b) = \phi \quad \dots(6)$$

Now, we get two new states,  $[q_0, q_1, q_2, q_f]$  and  $[q_0, q_f]$ . We proceed for these states as follows :

$$\begin{aligned} \delta([q_0, q_1, q_2, q_f], a) &= \delta(q_0, a) \cup \delta(q_1, a) \cup \delta(q_2, a) \cup \delta(q_f, a) \\ &= [q_0, q_1, q_2] \cup [q_f] \cup [\phi] \cup [\phi] \\ &= [q_0, q_1, q_2, q_f] \end{aligned} \quad \dots(7)$$

$$\begin{aligned} \delta([q_0, q_1, q_2, q_f], b) &= \delta(q_0, b) \cup \delta(q_1, b) \cup \delta(q_2, b) \cup \delta(q_f, b) \\ &= [q_f] \cup [\phi] \cup [q_0] \cup [\phi] \\ &= [q_0, q_f] \end{aligned} \quad \dots(8)$$

$$\begin{aligned} \delta([q_0, q_f], a) &= \delta(q_0, a) \cup \delta(q_f, a) \\ &= [q_0, q_1, q_2] \cup [\phi] \\ &= [q_0, q_1, q_2] \end{aligned} \quad \dots(9)$$

$$\begin{aligned} \delta([q_0, q_f], b) &= \delta(q_0, b) \cup \delta(q_f, b) \\ &= [q_f] \cup [\phi] \\ &= [q_f] \end{aligned} \quad \dots(10)$$

Now, we don't get any new state.

The set of reachable states :

$[q_0]$ ,  $[q_0, q_1, q_2]$ ,  $[q_0, q_1, q_2, q_f]$ ,  $[q_0, q_f]$  and  $[q_f]$

(ii) Start state of the DFA =  $[q_0]$

(As  $q_0$  is the start state of the NDFA.)

(iii) Final states of the DFA =  $[q_f]$ ,  $[q_0, q_f]$  and  $[q_0, q_1, q_2, q_f]$

(As these states contain final state of NDFA.)

Renaming the states obtained in step 2 as follows, we get,

$$[q_0] = A_0$$

$$[q_0, q_1, q_2] = A_1$$

$$[q_0, q_1, q_2, q_f] = A_2$$

$$[q_0, q_f] = A_3 \text{ and}$$

$$[q_f] = A_4$$

The DFA after renaming the states is shown in Fig. 3.68.

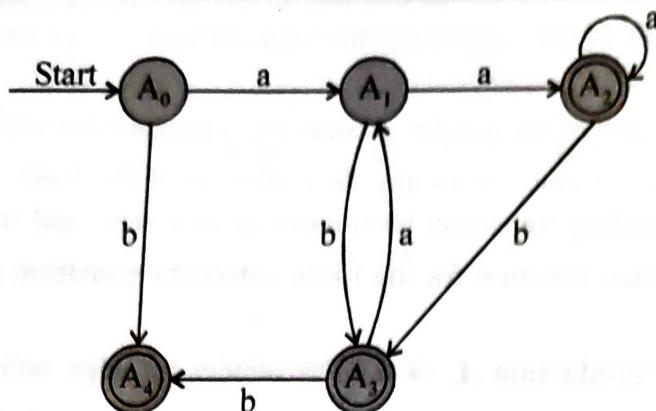


Fig. 3.68

**Step 4 :** Now, we construct the regular grammar from the DFA obtained in step 3.

$$V_N = \{A_0, A_1, A_2, A_3, A_4\}$$

$$\Sigma = \{a, b\}$$

$S$  : Starting symbol and

$P$  : Set of production rules are given by

(i) Production rules corresponding to the **non-final states**.

$$A_0 \rightarrow aA_1$$

$$A_3 \rightarrow aA_1$$

(ii) Productions corresponding to the **final states**.

$$A_0 \rightarrow bA_4, \quad A_0 \rightarrow b$$

$$A_1 \rightarrow aA_2, \quad A_1 \rightarrow a$$

$$A_1 \rightarrow bA_3, \quad A_1 \rightarrow b$$

$$A_2 \rightarrow aA_2, \quad A_2 \rightarrow a$$

$$A_2 \rightarrow bA_3, \quad A_2 \rightarrow b$$

$$A_3 \rightarrow bA_4, \quad A_3 \rightarrow b$$

$$A_4 \rightarrow \lambda \quad (\because \text{It is final state and have no out going edge}).$$

### 3.5.2

### Construction of Finite Automata From The Regular Grammar

Let  $G = (V_N, \Sigma, P, S)$  be a regular grammar. We can construct the transition system  $M$  equivalent to the given regular grammar  $G$  by using following facts :

1. Non-terminals  $A_0, A_1, A_2, \dots, A_n$  of the grammar  $G$  corresponds to each state  $q_0, q_1, \dots, q_n$  of the transition system.

2. Start symbol  $S$  of the regular grammar  $G$  corresponds to start state of the transition system.
3. Productions in  $P$  of the regular grammar  $G$  corresponds to the state transitions in  $M$ .
4. Since the last production in any derivation is of the form  $A_i \rightarrow a$  for some  $a$  in  $\Sigma$ , the corresponding transition terminates at new state and this is unique final state.
5.  $\delta$ , the transition function for the finite automata/transition system  $M$  can be defined as follows :
  - (i) Each production  $A_i \rightarrow aA_j$  introduces an edge with label  $a$  from  $q_i$  to  $q_j$
  - (ii) Each production  $A_i \rightarrow a$  introduces an edge with label  $a$  from  $q_i$  to  $q_f$ .

**Example 3.37 : Construct the finite automata for the grammar**

$$G = (\{S, A\}, \{a, b\}, P, S)$$

where,  $P$  is given by

$$S \rightarrow aS \mid bA \mid b$$

$$A \rightarrow aA \mid bS \mid a$$

**Solution :** Given

$$V_N : \{S, A\}$$

$$\Sigma : \{a, b\}$$

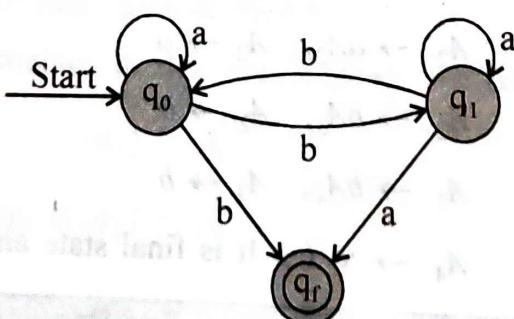
$$P : S \rightarrow aS \mid bA \mid b$$

$$A \rightarrow aA \mid bS \mid a$$

Start state :  $S$

We take two states  $q_0$  and  $q_1$  corresponding to the  $V_N = \{S, A\}$  and one new state  $q_f$  as a accepting state/final state corresponding to the production of the from  $A_i \rightarrow a$ .

Corelating each set of production rules to the various states of the finite automata; we get



An edge  $q_0$  to  $q_1$  with label  $b$  is added due to the production  $S \rightarrow bA$ .

Fig. 3.69

**Note :** The edges from  $q_0 \rightarrow q_f$  and  $q_1 \rightarrow q_f$  are added due to the productions  $S \rightarrow b$  and  $A \rightarrow a$ .

**Example 3.38 : Construct the finite automata equivalent to the grammar shown below.**

$$S \rightarrow aS \mid bS \mid aA$$

$$A \rightarrow bB$$

$$B \rightarrow aC$$

$$C \rightarrow A$$

**Solution :** Given :

$$V_N = \{S, A, B, C\}$$

$$\Sigma = \{a, b\}$$

$S$  : Start state and

$$P : S \rightarrow aS \mid bS \mid aA$$

$$A \rightarrow bB$$

$$B \rightarrow aC$$

$$C \rightarrow A$$

We take four states  $q_0, q_1, q_2$  and  $q_3$  corresponding to the  $V_N = \{S, A, B, C\}$

Correlating each production rule to the various states of the finite automata, we get,

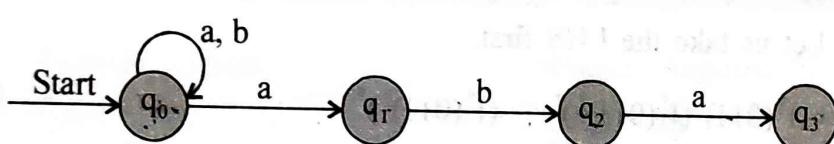


Fig. 3.70

The finite automata shown in Fig. 3.70 is the NDFA. (Readers are advised to convert it into the DFA).

### 3.6

### Equivalence of Two Regular Expression

Let us suppose  $P$  and  $Q$  are the two regular expressions. These two regular expression are equivalent if and only if they represent the same set of languages and the corresponding finite automata are equivalent.

To prove equivalence of two regular expressions, we perform either of the following tests :

1. In the two regular expressions  $P$  and  $Q$ , we find the string which is in one set, but not in other set, then the two regular expressions are not equivalent, otherwise, they are equivalent.
2. We use the identities to prove equivalence of two regular expressions  $P$  and  $Q$ .
3. We construct the finite automata  $M_1$  and  $M_2$  corresponding to two regular expressions  $P$  and  $Q$  and prove the equivalence of finite automata and hence, the regular expressions.

**Note :** The method to be chosen to prove equivalence of two regular expressions depends on the problem.

**Example 3.39 : Prove that**

$$(1 + 00^*1) + (1 + 00^*1)(0 + 10^*1)^* (0 + 10^*1) = 0^*1(0 + 10^*1)^*.$$

**Solution :** Taking LHS

$$(1 + 00^*1) + (1 + 00^*1)(0 + 10^*1)^* (0 + 10^*1)$$

Taking  $(1 + 00^*1)$  common, we get,

$$\begin{aligned} &= (1 + 00^*1)(\wedge + (0 + 10^*1)^*(0 + 10^*1)) \\ &= (1 + 00^*1)(0 + 10^*1)^* \quad [\because \wedge + RR^* = RR^* + \wedge = R^*] \text{ (Identity I}_9\text{)} \\ &= (\wedge + 00^*)1(0 + 10^*1)^* \quad [\text{Taking 1 common from first term}] \\ &= 0^*1(1 + 10^*1)^* \quad [\because \wedge + 00^* = 0^*] \text{ (Identity I}_9\text{)} \\ &= \text{RHS Hence, proved.} \end{aligned}$$

The two regular expressions are equivalent

**Example 3.40 : Prove that  $\wedge + 1^*(011)^*(1^*(011)^*)^* = (1 + 011)^*$**

**Solution :** Let us take the LHS first,

$$\begin{aligned} \frac{\wedge + 1^*(011)^*(1^*(011)^*)^*}{R} \frac{(1^*(011)^*)^*}{R^*} &= (1^*(011)^*)^* \quad [\text{using identity I}_9\text{]} \\ &= (1 + 011)^* \quad [\text{using identity I}_{11}\text{]} \\ &= \text{RHS} \end{aligned}$$

~~∴ The two regular expressions are equivalent.~~

Hence, proved.

3.7

### Closure Properties of Regular Expression

1. If the two languages  $L_1$  and  $L_2$  are regular, then the union of these two regular languages  $L_1$  and  $L_2$ , i.e.,  $L_1 \cup L_2$  is also regular.
2. If the two languages  $L_1$  and  $L_2$  are regular, then the intersection of these two regular languages  $L_1$  and  $L_2$ , i.e.,  $L_1 \cap L_2$  is also regular.
3. If the language  $L$  is regular then the complement of language  $L$ , i.e.,  $\bar{L}$  is also regular.
4. If the two languages  $L_1$  and  $L_2$  are regular then the difference of these two languages, i.e.,  $L_1 - L_2$  is also regular.
5. If the language  $L(w)$  (Language  $L$  over string  $w$ ) is regular then the reversal of language  $L(w)$ , i.e.,  $L(w^R)$  is also regular.
6. If the language  $L(R)$  (Language  $L$  over regular expression  $R$ ) is regular then the closure of language  $L(R)$ , i.e.,  $L(R^*)$  is also regular.

7. If the two languages  $L_1$  and  $L_2$  are regular than the concatenation of these two languages, i.e.,  $L_1 L_2$  is also regular.
8. If the language  $L$  is regular over the alphabets  $\Sigma$ , and  $h$  is a homomorphism on  $\Sigma$ , then  $h(L)$  is also regular.

**Note :** A homomorphism is a function on strings that works by substituting a particular string for each symbol.

**Example :** Suppose the string is 0100101 and the function is defined as follows:  $h(0) = ab$  and  $h(1) = c$  then string will become abcababcabc.

**Note :** (0 is substituted by ab and 1 is substituted by c).

9. If  $h$  is a homomorphism from alphabet  $\Sigma$  to alphabet  $T$ , and  $L$  is a regular language over  $T$ , then  $h^{-1}(L)$  is also a regular.

For example, suppose  $L$  is regular language over  $(ab)^*$ , i.e.,  $h(L) = (ab)^*$ .

Let  $h$  be the homomorphism defined by  $h(a) = 10$  and  $h(b) = 01$ .

Now,  $h(L) = (1001)^*$  then,  $h^{-1}(L)$  is the regular language over  $(ba)^*$

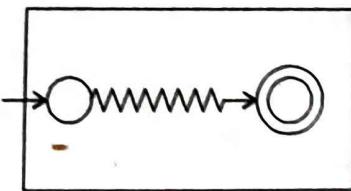
### Description of Some Properties

Let

Regular language  $L_1$

$L(R_1) = L_1$

NFA  $R_1$

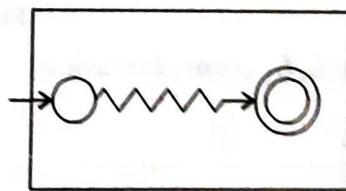


Single accepting state

Regular language  $L_2$

$L(R_2) = L_2$

NFA  $R_2$



Single accepting state

Fig. 3.71

### (1) NDFA for $L_1 \cup L_2$ (UNION)

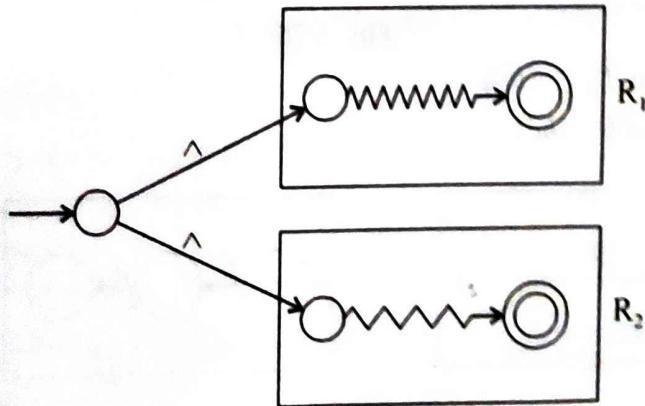


Fig. 3.72

Let

N DFA for

$$L_1 = \{a^n b\} \text{ and } L_2 = \{ba\}$$

$$L_1 = \{a^n b\} \text{ N DFA for } L_2 = \{ba\}$$

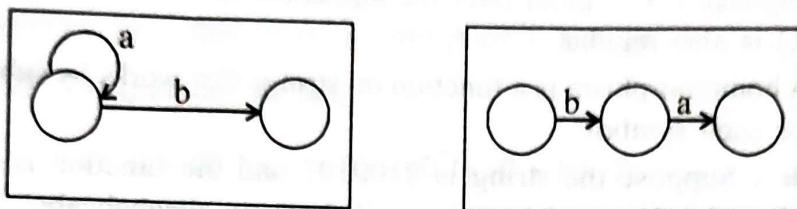


Fig. 3.73

Then, N DFA for  $L_1 \cup L_2 = \{a^n b\} \cup \{bb\}$  is :

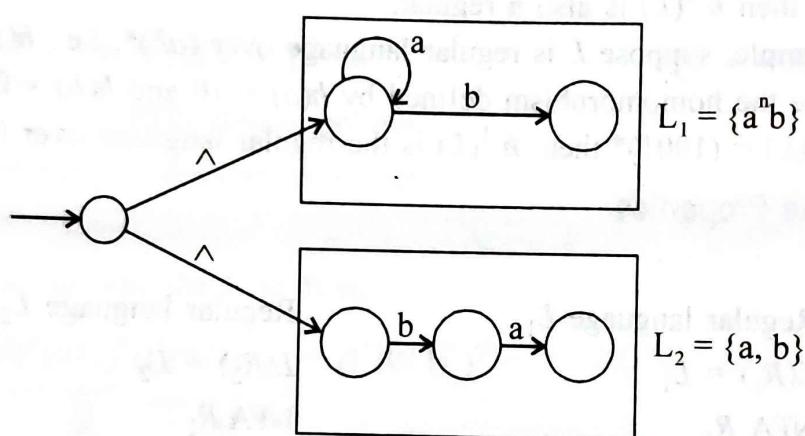


Fig. 3.74

## (2) N DFA for $L_1 L_2$ (concatatraton)

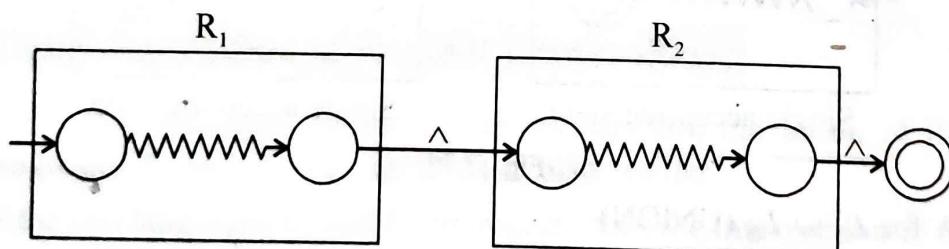


Fig. 3.75

Let  $L_1 = \{a^n b\}$  and  $L_2 = \{ba\}$

NFA for  $L_1 L_2 = \{a^n b\} \{bc\}$  is :

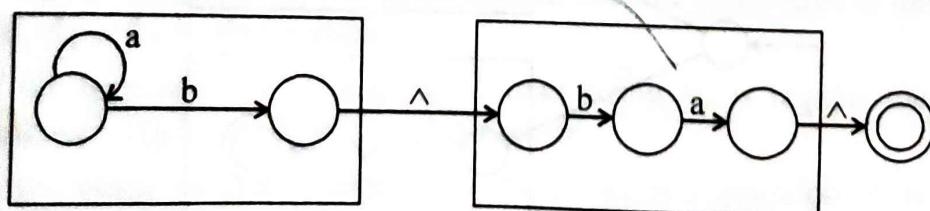


Fig. 3.76

### 3.8 Pumping Lemma for Regular Languages

~~If~~ If  $L$  is an infinite regular language, then there exists some positive integer  $m$  such that any string  $w \in L$  whose length is  $m$  or greater can be decomposed into three parts,  $xyz$ , where

$|xy|$  is less than or equal to  $m$ ,

$|y| > 0$ ,

$w_i = xy^i z$  is also in  $L$  for all  $i = 0, 1, 2, 3, \dots$

#### Note

- $m$  is a (finite) number chosen so that strings of length  $m$  or greater *must* contain a cycle. Hence,  $m$  must be equal to or greater than the number of states in the dfa. Remember that we *don't know the dfa*, so we can't actually choose  $m$ ; we just know that such an  $m$  must exist.
- Since string  $w$  has length greater than or equal to  $m$ , we can break it into two parts,  $xy$  and  $z$ , such that  $xy$  must contain a cycle. We don't know the dfa, so we don't know exactly where to make this break, but we know that  $|xy|$  can be less than or equal to  $m$ .
- We let  $x$  be the part before the cycle,  $y$  be the cycle, and  $z$  the part after the cycle. (It is possible that  $x$  and  $z$  contain cycles, but we don't care about that.) Again, we don't know exactly where to make this break.
- Since  $y$  is the cycle we are interested in, we must have  $|y| > 0$ , otherwise it isn't a cycle.
- By repeating  $y$  an arbitrary number of times,  $xy^*z$ , we must get other strings in  $L$ .
- If, despite all the above uncertainties, we can show that the dfa has to accept some string that we know is not in the language, then we can conclude that the language is not regular.

To use this lemma, we need to show:

1. For *any* choice of  $m$ ,
2. For some  $w \in L$  that we get to choose (and we will choose one of length at least  $m$ ),
3. For **any** way of decomposing  $w$  into  $xyz$ , so long as  $|xy|$  is not greater than  $m$  and  $y$  is not  $\epsilon$ ,
4. We can choose an  $i$  such that  $xy^i z$  is not in  $L$ .

We can view this as a game wherein our opponent makes moves 1 and 3 (choosing  $m$  and choosing  $xyz$ ) and we make moves 2 and 4 (choosing  $w$  and choosing  $i$ ). Our goal is to show that we can **always** beat our opponent. If we can show this, we have proved that  $L$  is not regular.

#### Example 3.41 : Show that the set $L = \{VV^R : V \in \Sigma^*\}$ is not regular.

**Solution :** We use pumping lemma to show that the

$$L = \{VV^R : V \in \Sigma^*\} \text{ is not a regular.}$$

Assume for contradiction that  $L$  is a regular language.

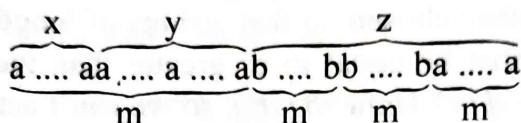
$\because L$  is infinite, we can apply pumping lemma.

Let  $m$  be the integer in the Pumping lemma. We pick the string  $W$  such that  $W \in L$  and length  $|W| \geq m$ .

We pick  $W = a^m b^m b^m a^m = xyz$

From the pumping lemma, it must be that length

$|xy| \leq m, |y| \geq 1$



Thus,

$$y = a^k, k \geq 1$$

$$xyz = a^m b^m b^m a^m, y = a^k, k \geq 1$$

From the pumping lemma :

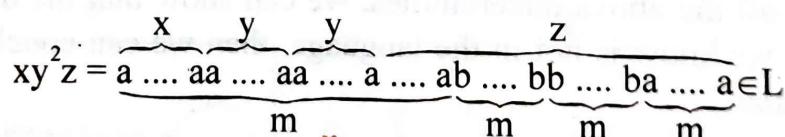
$$xy^i z \in L \quad \forall i \in 0, 1, 2$$

Thus,  $xy^2 z \in L$

$$xyz = a^m b^m b^m a^m \quad y = a^k, k \geq 1$$

From the pumping lemma,

$$xy^2 z \in L$$



Thus,  $a^{m+k} b^m b^m a^m \in L ; k \geq 1$

But,  $L = \{VV^R : V \in \Sigma^*\}$



$$a^{m+k} b^m b^m a^m \notin L$$

(CONTRADICTION)

$\therefore$  Our assumption that  $L$  is a regular language is not true.

Conclusion :  $L$  is not a regular language.

**Example 3.42 :** Show that the set  $L = \{a^n b^l c^{n+l} : n, l \geq 0\}$  is not regular.

**Solution :** Given :  $L = \{a^n b^l c^{n+l} : n, l \geq 0\}$

We use pumping lemma to show that  $L$  is not regular.

Assume for contradiction that  $L$  is not regular

$\therefore L$  is infinite, we can apply pumping lemma.

Let  $m$  be the integer in the pumping lemma. Pick a string  $W$  such that  $W \in L$  and length  $|W| \geq m$ .

We pick

$$W = a^m b^m c^{2m}$$

We write,

$$a^m b^m c^{2m} = xyz$$

From the pumping lemma

it must be that length  $|xy| \leq m$ ;  $|y| \geq 1$

$$xyz = \underbrace{a \dots a}_{m} \underbrace{a a \dots a}_{m} \underbrace{ab \dots b}_{m} \underbrace{bc \dots c}_{m} \underbrace{cc \dots c}_{2m}$$

Thus,

$$y = a^k, k \geq 1$$

$$xyz = a^m b^m c^{2m}, y = a^k, k \geq 1$$

From the pumping lemma

$$xy^i z \in L \text{ where } i = 0, 1, 2, \dots$$

Thus,

$$xy^0 z = xz \in L$$

$$xyz = a^m b^m c^{2m}, y = a^k, k \geq 1$$

From the pumping lemma,

$$xz \in L$$

$$xz = \underbrace{a \dots a}_{m-k} \underbrace{a a \dots a}_{m} \underbrace{ab \dots b}_{m} \underbrace{bc \dots c}_{m} \underbrace{cc \dots c}_{2m} \in L$$

Thus,

$$a^{m-k} b^m c^{2m} \in L, k \geq 1$$

But

$$L = \{a^n b^l c^{n+l} : n, l \geq 0\}$$

↓

$$a^{m-k} b^m c^{2m} \notin L$$

(Contradiction)

$\therefore$  Our assumption that  $L$  is a regular language is not true.

Conclusion :  **$L$  is not regular.**

**Example 3.43 :** Show that the set  $L = \{a^{n!} : n \geq 0\}$  is not regular.

$$n! = 1 \cdot 2 \cdot 3 \dots (n-1) \cdot n$$

**Proof :** Given :

$$L = \{a^{n!} : n \geq 0\}$$

We use the pumping lemma.

Assume for contradiction that  $L$  is a regular language.

Since  $L$  is infinite, we can apply the pumping lemma.

Let  $m$  be the integer in pumping lemma.

Pick a string  $W$  such that

$$W \in L$$

length

$$|W| \geq m$$

we pick

$$W = a^{m!}$$

We write

$$a^{m!} = xyz$$

From the pumping lemma, it must be that length  $|xy| \leq m$ ,  $|y| \geq 1$

$$xyz = a^{m!} = \underbrace{a \dots a}_{x} \underbrace{aa \dots aa}_{y} \underbrace{aa \dots aa}_{z} \dots a$$

$\overbrace{\hspace{10em}}$   $\overbrace{\hspace{10em}}$

Thus,

$$y = a^k, 1 \leq k \leq m$$

$$xyz = a^{m!}; y = a^k, 1 \leq k \leq m$$

From the pumping lemma

$$xy^i z \in L \text{ where } i = 0, 1, 2, \dots$$

Thus,

$$xy^2 z \in L$$

$$xyz = a^{m!}; y = a^k, 1 \leq k \leq m$$

From the pumping lemma

$$xy^2 z \in L$$

$$xy^2 z = \underbrace{a \dots a}_{x} \underbrace{aa \dots aa}_{y} \underbrace{aa \dots aa}_{y} \underbrace{aa \dots aa}_{z} \dots a \in L$$

$\overbrace{\hspace{10em}}$   $\overbrace{\hspace{10em}}$   $\overbrace{\hspace{10em}}$

Thus,

$$a^{m+k} \in L; 1 \leq k \leq m$$

Since,

$$L = \{a^{n!} : n \geq 0\}$$



There must exist  $p$  such that  $m! + k = p!$

However,

$$m! + k \leq m! + m \quad \text{For } m > 1$$

$$\leq m! + m!$$

$$< m!m + m!$$

$$= m!(m+1)$$

$$= (m+1)!$$



$$m! + k < (m+1)!$$



$$m! + k \neq p \text{ for any } p$$

$$a^{m!+k} \in L \quad 1 \leq k \leq m$$

But,

$$L = \{a^n : n \geq 0\}$$



$$a^{m!+k} \notin L$$

(CONTRADICTION)

∴ Our assumption that  $L$  is a regular language is not true.

Conclusion :  $L$  is not regular language.

**Example 3.44 :** Show that the set  $L = \{a^n b^n : n \geq 0\}$ .

**Solution :** Given  $L = \{a^n b^n : n \geq 0\}$

Assume for contradiction that  $L$  is a regular language.

∴  $L$  is infinite, we can apply pumping lemma.

Let us consider the integer  $m$  such that  $W \in L$  and  $|W| \geq m$

Now, we pick,

$$W = a^m b^m$$

We write,

$$a^m b^m = xyz$$

From the pumping lemma, it must be that :

length  $|xy| \leq m, |y| \geq 1$

$$a^m b^m = \underbrace{a \dots a}_{x} \underbrace{aa \dots a}_{y} \underbrace{a \dots ab}_{z} \underbrace{\dots b}_{m}$$

$$y = a^k, \quad k \geq 1$$

We have,

$$xyz = a^m b^m, y = a^k, k \geq 1$$

From the pumping lemma :

$$xy^i z \in L, \quad \forall i = 0, 1, 2, \dots$$

Thus,

$$xy^2 z \in L$$

$$xy^2 z = xyyz = a^{m+k} b^m \in L$$

$$a^{m+k} b^m \in L$$

But,

$$L = \{a^n b^n : n \geq 0\}$$

↓

$$a^{m+k} b^m \in L$$

(CONTRADICTION)

∴ Our assumption that  $L$  is a regular language is not true.

Conclusion :  $L$  is not regular.

**Example 3.45 : Prove that  $L = \{0^i \mid i \text{ is a perfect square}\}$  is not a regular language.**

**Solution :** Assume that  $L$  is regular and let  $m$  be the integer guaranteed by the pumping lemma. Now, consider the string  $w = 0^{m^2}$ . Clearly  $w \in L$ , so  $w$  can be written as  $w = xyz$  with  $|xy| \leq m$  and  $y \neq \lambda$  (or  $|y| > 0$ ). Consider what happens when  $i = 2$ . That is, look at  $xy^2 z$ . Then, we have  $m^2 = |w| < |xy^2 z| \leq m^2 + m = m(m+1) < (m+1)^2$ . That is, the length of the string  $xy^2 z$  lies between two consecutive perfect squares. This means  $xy^2 z \notin L$  contradicting the assumption that  $L$  is regular.

**Example 3.46 : Prove that  $L = \{ww \mid w \in \{a, b\}^*\}$  is not regular.**

**Solution :** Assume  $L$  is regular and let  $m$  be the integer from the pumping lemma. Choose  $w = a^m b a^m b$ . Clearly,  $w \in L$  so by the pumping lemma,  $w = xyz$  such that  $|xy| \leq m$ ,  $|y| > 0$  and  $xy^i z \in L$  for all  $i \geq 0$ . Let  $p = |y|$ . Consider what happens when  $i = 0$ . The resulting string,  $xz = a^{m-p} b a^m b$ . Since  $p \geq 1$ , the number of  $a$ 's in the two runs are not the same, and thus this string is not in  $L$ . Therefore  $L$  is not regular.

**Example 3.47 : Prove that  $L = \{a^n b^k : n > k \text{ and } n \geq 0\}$  is not regular.**

**Solution :**

1. We don't know  $m$ , but assume there is one.
2. Choose a string  $w = a^n b^k$  where  $n > m$ , so that any prefix of length  $m$  consists entirely of  $a$ 's, and  $k = n - 1$ , so that there is just one more  $a$  than  $b$ .
3. We don't know the decomposition of  $w$  into  $xyz$ , but since  $|xy| \leq m$ ,  $xy$  must consist entirely of  $a$ 's. Moreover,  $y$  cannot be empty.
4. Choose  $i = 0$ . This has the effect of dropping  $|y|$   $a$ 's out of the string, without affecting the number of  $b$ 's. The resultant string has fewer  $a$ 's than before, so it has either fewer  $a$ 's than  $b$ 's, or the same number of each. Either way, the string does not belong to  $L$ , so  $L$  is not regular.

**Example 3.48 : Prove that  $L = \{a^n : n \text{ is a prime number}\}$  is not regular.**

**Solution :**

1. We don't know  $m$ , but assume there is one.
2. Choose a string  $w = a^n$  where  $n$  is a prime number and  $|xyz| = n > m + 1$ . (This can always be done because there is no largest prime number.) Any prefix of  $w$  consists entirely of  $a$ 's.
3. We don't know the decomposition of  $w$  into  $xyz$ , but since  $|xy| \leq m$ , it follows that  $|z| > 1$ . As usual,  $|y| > 0$ .
4. Since  $|z| > 1$ ,  $|xz| > 1$ . Choose  $i = |xz|$ . Then  $|xy^i z| = |xz| + |y| |xz| = (1 + |y|) |xz|$ . Since  $(1 + |y|)$  and  $|xz|$  are each greater than 1, the product must be a composite number. Thus  $|xy^i z|$  is a composite number.

### 3.9

## Decision Algorithm for Regular Languages

What questions about regular languages can be answered and how long does it take to answer them? In answering such questions, we are free to convert the given description of the language(s) to any other representation of the language, though this conversion takes time.

The following problems are all decidable for regular languages.

1. **Emptiness** : Is a given language empty, i.e., does  $L = \emptyset$ ?
  - (a) Use structural induction on a regular expression for  $L$  :  $\emptyset$  is empty;  $\wedge$  and  $a \in \Sigma$  are not empty;  $E_1 + E_2$  is empty if and only if both  $E_1$  and  $E_2$  are empty;  $E_1 E_2$  is empty if and only if either  $E_1$  or  $E_2$  is empty;  $E^*$  is not empty.
  - (b) Use reachability in a DFA for  $L$  :  $L$  is empty if and only if there is no path from the initial state to an accepting state. This can be checked by a breadth-first traversal of the DFA from  $q_0$ .
2. **Finiteness** : Is the given language finite?

- (a) Use structural induction on a regular expression for  $L$ . (More complex than finiteness).
- (b) Let  $n$  be the pumping-lemma constant for  $L$ . Then  $L$  is finite if and only if it contains no string whose length is between  $n$  and  $2n - 1$ . This requires testing  $O(|\Sigma|^{2n})$  strings for membership in  $L$ .  
 (If  $L$  has a string whose length is at least  $n$ , then, by the pumping lemma,  $L$  is infinite. If the shortest string  $w$  whose length is at least  $n$  is at least  $2n$ , then by the pumping lemma again,  $w = xyz$ , where  $|xy| \leq n$  and  $|y| \geq 1$ ,  $xz \in L$ . But  $n \geq |xz| < |xyz| = |w|$ , which is a contradiction.)
3. Membership: Does a given string belong to the given language, i.e., does  $w \in L$ ? Convert the representation of  $L$  to a DFA  $M$ , if necessary. Then apply  $M$  to  $w$ , and see whether  $M$  accepts  $w$ , requiring time  $O(|w|)$ . But the time to convert a regular expression (or NDFA) to a DFA can be exponential in the size of the regular expression. We can also simulate an NDFA directly, maintaining the current set of possible states, requiring time  $O(|w| \times |Q|^2)$ , which is what is done in practice.
4. Equality: Do two language descriptions define the same language, i.e., does  $L_1 = L_2$ ? Clearly,  $L_1 = L_2$  if and only if  $L_1 \subseteq L_2$  and  $L_2 \subseteq L_1$ . But  $L_1 \subseteq L_2$  if and only if  $L_1 \cap L_2^c = \emptyset$ . We can compute the regular language  $L_1 \cap L_2^c$  and we can decide whether or not it is empty. And similarly for  $L_2 \subseteq L_1$ . So the problem is decidable.

### 3.10 Myhill-Nerode Theorem

The Myhill-Nerode theorem is an important characterization of regular languages, and it also has many practical implications. We have learned regular languages, their properties and their usefulness for describing various systems. There are, however, languages that are not regular and therefore require devices other than finite automata to recognize them. In this section, we are going to study some of the methods for testing given languages for regularity and see some of the languages that are not regular. The main idea behind these test methods is that finite automata have only finite amount of memory in the form of states and that they can not distinguish infinitely many strings. For example to recognize the language  $\{a^n b^n \mid n \text{ is a natural number}\}$ , a finite automaton must remember how many  $a$ 's it has read when it starts reading  $b$ 's. Thus it must be in different states when it has read different number of  $a$ 's and starts reading the first  $b$ . But any finite automaton has only finite number of states. Thus, there is no way for a finite automaton to remember how many  $a$ 's it has read for all possible strings  $a^n b^n$ . That is the main limitation of finite automata. Since, a regular language must be recognized by a finite automaton, we can conclude that  $\{a^n b^n \mid n \text{ is a natural number}\}$  is not regular. This is the basis of the regularity test methods Myhill-Nerode Theorem and Pumping Lemma.

#### Non-regularity test based on Myhill-Nerode's theorem

**Indistinguishability of strings:** Strings  $x$  and  $y$  in  $\Sigma^*$  are **indistinguishable with respect to a language  $L$**  if and only if for every string  $z$  in  $\Sigma^*$ , either  $xz$  and  $yz$  are both in  $L$  or they are both not in  $L$ .

For example,  $a$  and  $aa$  are indistinguishable with respect to the language  $a^n$  over alphabet  $\{a\}$ , where  $n$  is a positive integer, because  $aa^k$  and  $aad^k$  are in the language  $a^n$  for any positive integer  $k$ . However, with respect to the language  $a^n b^n$ ,  $a$  and  $aa$  are not indistinguishable (hence distinguishable), because  $ab$  is in the language  $a^n b^n$  while  $aab$  is not in the language.

Using this concept of indistinguishability, the following theorem by Myhill and Nerode gives a criterion for (non)regularity of a language. It is stated as:

A language  $L$  over alphabet  $\Sigma$  is non-regular if and only if there is an infinite subset of  $\Sigma^*$ , whose strings are pair wise distinguishable with respect to  $L$ .

Now, we give formal statement of the Myhill-Nerode Theorem:

Let  $L \subseteq \Sigma^*$ . Then the following statements are equivalent.

1. There is a DFA that accepts  $L$ . ( $L$  is regular language)
2. There is a right-invariant equivalence relation  $\sim$  of finite index such that  $L$  is the union of some of the equivalence class  $\sim$ .
3.  $\sim_L$  is of finite index.

**Note :**

**Equivalence Relation :** An equivalence relation,  $\sim$ , is a binary relation on a set if it is

- Reflexive                       $(a \sim a)$
- Symmetric                    (if  $a \sim b$  then  $b \sim a$ )
- Transitive                    (if  $a \sim b$  and  $b \sim c$  then  $a \sim c$ )

**Equivalence Class :** An equivalence relation  $\sim$  on a set  $S$  imposes a partition  $S / \sim$  on  $S$ , such that elements  $e, e' \in S$  are in the same part of  $S / \sim$  if  $e \sim e'$ . The parts of  $S / \sim$  are equivalence classes and  $[e]$  is used to denote the unique equivalence class of  $S / \sim$  to which  $e$  belongs.

The index of equivalence relation  $\sim$  is  $|S / \sim|$

**Right Invariant :** The equivalence relation  $\sim$  on strings of symbols from some alphabet  $\Sigma$  is said to be right invariant if  $\forall x, y \in \Sigma^*$  with  $x \sim y$  and all  $w \in \Sigma^*$ , we have  $xw \sim yw$ .

This definition states that an equivalence relation has the right invariant property if two equivalent string ( $x$  and  $y$ ) that are in the language still are equivalent if a third string ( $w$ ) is appended to the right of both of them.

### 3.11

## Applications of Regular Expression

### 1. Regular expressions in Unix

In the UNIX operating system various commands use an extended regular expressions language that provide shorthands for many common expressions. In this we can write character classes (A *character class* is a pattern that defines a set of characters and matches exactly one

character from that set.) to represent large set of characters. There are some rules for forming this character classes:

The dot symbol (.) is to represent 'any character'.

The regular expression  $a+b+c+\dots+z$  is represented by [abc...z]

Within a character class representation, - can be used to define a set of characters in terms of a range. For example, a-z defines the set of lower-case letters and A-Z defines the set of upper-case letters. The endpoints of a range may be specified in either order (i.e. both 0-9 and 9-0 define the set of digits).

If our expression involves operators such as minus then we can place it first or last to avoid confusion with the range specifier. i.e. [-.0-9]. The special characters in UNIX regular language can be represented as characters using \ symbol i.e. \ provides the usual escapes within character class brackets. Thus [\\] matches either [ or ], because \ causes the first ] in the character class representation to be taken as a normal character rather than the closing bracket of the representation.

### Special notations

[: digit :]	same as [0-9]
[: alpha:]	same as [A-Za-z]
[: alnum :]	same as [A-Za-z0-9]

### Operators

	Used in place of +
?	0 or 1 of
R?	Means 0 or 1 occurrence of R
+	1 or more of
R+	means 1 or more occurrence of R
{n}	n copies of
R {3}	means RRR
^	Compliment of

If the first character after the opening bracket of a character class is ^, the set defined by the remainder of the class is complemented with respect to the computer's character set. Using this notation, the character class represented by '.' can be described as [^\n]. If ^ appears as any character of a class except the first, it is not considered to be an operator. Thus [^abc] matches any character except a, b, or c but [a^bc] or [abc^] matches a, b, c or ^.

When more than one expression can match the current character sequence, a choice is made as follows:

1. The longest match is preferred.
2. Among rules, which match the same number of characters, the rule given first is preferred.

## 2. Lexical analysis

Compilers – in a nutshell

Purpose: translate a program in some language (the *source language*) into a lower-level language (the *target language*).

Phases:

- Lexical Analysis:  
Converts a sequence of characters into words, or *tokens*
- Syntax Analysis:  
Converts a sequence of tokens into a *parse tree*
- Semantic Analysis:  
Manipulates parse tree to verify symbol and type information
- Intermediate Code Generation:  
Converts parse tree into a sequence of intermediate code instructions
- Optimization:  
Manipulates intermediate code to produce a more efficient program
- Final Code Generation:  
Translates intermediate code into final (machine/assembly) code

### Overview of Lexical Analysis

- Convert character sequence into tokens, skip comments & whitespace
- Handle lexical errors
- Efficiency is crucial
- Tokens are specified as regular expressions, e.g., IDENTIFIER = [a-zA-Z] [a-zA-Z0-9]\*
- Lexical Analyzers are implemented by regular expressions.

There is a problem that more than one token may be recognized at once. Suppose the string *else* matches for regular expression as well as the expression for identifiers. This problem is resolved by giving priority to first expression listed.

### Review Questions

1. Find regular expressions of the following sets :

- (i) The set of all strings over {a, b} consisting exactly two b's or exactly three b's, not more.
- (ii) The set of all strings over {a, b} in which the letter b is never tripled.