# BCSE307L – COMPILER DESIGN

#### TEXT BOOK:

 Alfred V. Aho, Monica S. Lam, Ravi Sethi, Jeffrey D. Ullman, "Compilers: Principles, Techniques and Tools", Second Edition, Pearson Education Limited, 2014.

#### Module:5 | CODE OPTIMIZATION

6 hours

Loop optimizations- Principal Sources of Optimization -Introduction to Data Flow Analysis - Basic Blocks - Optimization of Basic Blocks - Peephole Optimization- The DAG Representation of Basic Blocks -Loops in Flow Graphs - Machine Independent Optimization-Implementation of a naïve code generator for a virtual Machine- Security checking of virtual machine code.

- Basic blocks
- Next-Use Information
- Flow Graphs
- Representation of Flow Graphs
- Loops

• Partition a sequence of three address instructions into basic blocks

**Algorithm 8.5:** Partitioning three-address instructions into basic blocks.

**INPUT**: A sequence of three-address instructions.

**OUTPUT**: A list of the basic blocks for that sequence in which each instruction is assigned to exactly one basic block.

**METHOD**: First, we determine those instructions in the intermediate code that are *leaders*, that is, the first instructions in some basic block. The instruction just past the end of the intermediate program is not included as a leader. The rules for finding leaders are:

- 1. The first three-address instruction in the intermediate code is a leader.
- 2. Any instruction that is the target of a conditional or unconditional jump is a leader.
- 3. Any instruction that immediately follows a conditional or unconditional jump is a leader.

**Example 8.6:** The intermediate code in Fig. 8.7 turns a  $10 \times 10$  matrix **a** into an identity matrix. Although it is not important where this code comes from, it might be the translation of the pseudocode in Fig. 8.8. In generating the intermediate code, we have assumed that the real-valued array elements take 8 bytes each, and that the matrix **a** is stored in row-major form.

```
for i from 1 to 10 do

for j from 1 to 10 do

a[i, j] = 0.0;

for i from 1 to 10 do

a[i, i] = 1.0;
```

Figure 8.8: Source code for Fig. 8.7

```
i = 1
2) j = 1
3) t1 = 10 * i
4) t2 = t1 + j
5) t3 = 8 * t2
6) t4 = t3 - 88
7) a[t4] = 0.0
8) j = j + 1
9) if j <= 10 goto (3)
10) i = i + 1
11) if i <= 10 goto (2)
12) i = 1
13) t5 = i - 1
14) t6 = 88 * t5
15) a[t6] = 1.0
16) i = i + 1
17) if i <= 10 goto (13)
```

Figure 8.7: Intermediate code to set a  $10 \times 10$  matrix to an identity matrix

We conclude that the leaders are instructions 1, 2, 3, 10, 12, and 13. The basic block of each leader contains all the instructions from itself until just before the next leader. Thus, the basic block of 1 is just 1, for leader 2 the block is just 2. Leader 3, however, has a basic block consisting of instructions 3 through 9, inclusive. Instruction 10's block is 10 and 11; instruction 12's block is just 12, and instruction 13's block is 13 through 17. □

#### Next-Use Information

The use of a name in a three-address statement is defined as follows. Suppose three-address statement i assigns a value to x. If statement j has x as an operand, and control can flow from statement i to j along a path that has no intervening assignments to x, then we say statement j uses the value of x computed at statement i. We further say that x is live at statement i.

We wish to determine for each three-address statement x = y + z what the next uses of x, y, and z are. For the present, we do not concern ourselves with uses outside the basic block containing this three-address statement.

#### **Next-Use Information**

**Algorithm 8.7:** Determining the liveness and next-use information for each statement in a basic block.

**INPUT**: A basic block B of three-address statements. We assume that the symbol table initially shows all nontemporary variables in B as being live on exit.

**OUTPUT**: At each statement i: x = y + z in B, we attach to i the liveness and next-use information of x, y, and z.

**METHOD:** We start at the last statement in B and scan backwards to the beginning of B. At each statement i: x = y + z in B, we do the following:

#### Next-Use Information

- 1. Attach to statement i the information currently found in the symbol table regarding the next use and liveness of x, y, and z.
- 2. In the symbol table, set x to "not live" and "no next use."
- 3. In the symbol table, set y and z to "live" and the next uses of y and z to i.

Once an intermediate-code program is partitioned into basic blocks, we represent the flow of control between them by a flow graph. The nodes of the flow graph are the basic blocks. There is an edge from block B to block C if and only if it is possible for the first instruction in block C to immediately follow the last instruction in block B. There are two ways that such an edge could be justified:

- There is a conditional or unconditional jump from the end of B to the beginning of C.
- C immediately follows B in the original order of the three-address instructions, and B does not end in an unconditional jump.

We say that B is a predecessor of C, and C is a successor of B.

**Example 8.8:** The set of basic blocks constructed in Example 8.6 yields the flow graph of Fig. 8.9. The entry points to basic block  $B_1$ , since  $B_1$  contains the first instruction of the program. The only successor of  $B_1$  is  $B_2$ , because  $B_1$  does not end in an unconditional jump, and the leader of  $B_2$  immediately follows the end of  $B_1$ .

Block  $B_3$  has two successors. One is itself, because the leader of  $B_3$ , instruction 3, is the target of the conditional jump at the end of  $B_3$ , instruction 9. The other successor is  $B_4$ , because control can fall through the conditional jump at the end of  $B_3$  and next enter the leader of  $B_4$ .

Only  $B_6$  points to the exit of the flow graph, since the only way to get to code that follows the program from which we constructed the flow graph is to fall through the conditional jump that ends  $B_6$ .  $\square$ 

# Representation of Flow Graphs

First, note from Fig. 8.9 that in the flow graph, it is normal to replace the jumps to instruction numbers or labels by jumps to basic blocks.

#### Representation of Flow Graphs

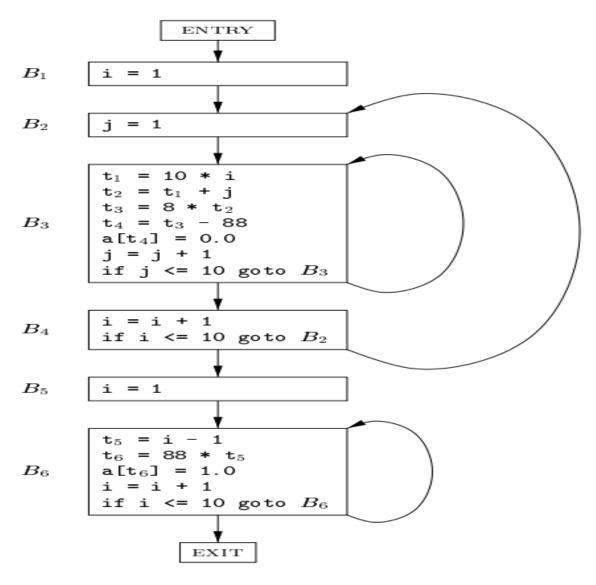


Figure 8.9: Flow graph from Fig. 8.7

#### Loops

Many code transformations depend upon the identification of "loops" in a flow graph. We say that a set of nodes L in a flow graph is a loop if L contains a node e called the loop entry, such that:

- 1. e is not ENTRY, the entry of the entire flow graph.
- 2. No node in L besides e has a predecessor outside L. That is, every path from ENTRY to any node in L goes through e.
- 3. Every node in L has a nonempty path, completely within L, to e.

- The principal Sources of Optimization
- Optimization of Basic Blocks
- Peephole Optimization

# Code optimization

- Code Improvement / code Optimization
  - Elimination of unnecessary instructions
  - Replacement of one sequence of instructions by a faster sequence of instructions
- Code optimization
  - Local code optimization within a basic block
  - Global code optimization across basic blocks
    - Data-flow analyses algorithms to gather information about a program

#### The principal Sources of Optimization

- Causes of Redundancy
- A Running Example: Quicksort
- Semantics-Preserving Transformations
- Global Common Subexpressions
- Copy Propagation
- Dead Code Elimination
- Code Motion
- Induction variables and Reduction in Strength

#### The principal Sources of Optimization

A compiler optimization must preserve the semantics of the original program.

A compiler knows only how to apply relatively low-level semantic transformations, using general facts such as algebraic identities like i + 0 = i or program semantics such as the fact that performing the same operation on the same values yields the same result.

#### Causes of Redundancy

There are many redundant operations in a typical program. Sometimes the redundancy is available at the source level.

As a program is compiled, each of these high-level data-structure accesses expands into a number of low-level arithmetic operations, such as the computation of the location of the (i, j)th element of a matrix A. Accesses to the same

In the following, we shall use a fragment of a sorting program called *quicksort* to illustrate several important code-improving transformations. The C program in Fig. 9.1 is derived from Sedgewick, who discussed the hand-optimization of such a program. We shall not discuss all the subtle algorithmic aspects of this program here, for example, the fact that a[0] must contain the smallest of the sorted elements, and a[max] the largest.

```
void quicksort(int m, int n)
    /* recursively sorts a[m] through a[n] */
{
    int i, j;
    int v, x;
    if (n <= m) return;
    /* fragment begins here */
    i = m-1; j = n; v = a[n];
   while (1) {
        do i = i+1; while (a[i] < v);
        do j = j-1; while (a[j] > v);
        if (i \ge j) break;
        x = a[i]; a[i] = a[j]; a[j] = x; /* swap a[i], a[j] */
    x = a[i]; a[i] = a[n]; a[n] = x; /* swap a[i], a[n] */
    /* fragment ends here */
    quicksort(m,j); quicksort(i+1,n);
}
```

Figure 9.1: C code for quicksort

```
void quicksort(int m, int n)
  /* recursively sorts a[m] through a[n] */
                                           (1)
                                                                                     (16)
                                                     i = m-1
                                                                                                t7 = 4*i
                                                                                     (17)
                                           (2)
                                                     j = n
                                                                                                t8 = 4*i
  int i, j;
                                                                                                t9 = a[t8]
                                           (3)
                                                                                     (18)
                                                     t1 = 4*n
  int v, x;
                                           (4)
                                                     v = a[t1]
                                                                                     (19)
                                                                                                a[t7] = t9
  if (n <= m) return;
                                           (5)
                                                                                     (20)
                                                                                                t10 = 4*j
                                                     i = i+1
  /* fragment begins here */
  i = m-1; j = n; v = a[n];
                                                                                                a[t10] = x
                                           (6)
                                                     t2 = 4*i
                                                                                     (21)
  while (1) {
                                           (7)
                                                     t3 = a[t2]
                                                                                     (22)
                                                                                                goto (5)
    do i = i+1; while (a[i] < v);
                                           (8)
                                                                                     (23)
                                                     if t3<v goto (5)
                                                                                                t11 = 4*i
    do j = j-1; while (a[j] > v);
                                           (9)
                                                                                     (24)
                                                                                                x = a[t11]
                                                     j = j-1
    if (i >= j) break;
    x = a[i]; a[i] = a[j]; a[j] = x; /* swap a[i], a[j] */
                                          (10)
                                                                                     (25)
                                                                                                t12 = 4*i
                                                     t4 = 4*i
                                          (11)
                                                     t5 = a[t4]
                                                                                     (26)
                                                                                                t13 = 4*n
  x = a[i]; a[i] = a[n]; a[n] = x; /* swap a[i], a[n] */
                                          (12)
                                                     if t5>v goto (9)
                                                                                     (27)
                                                                                                t14 = a[t13]
  /* fragment ends here */
                                                     if i>=j goto (23)
                                          (13)
                                                                                     (28)
                                                                                                a[t12] = t14
  quicksort(m, j); quicksort(i+1,n);
                                          (14)
                                                     t6 = 4*i
                                                                                     (29)
                                                                                                t15 = 4*n
                                                                                                a[t15] = x
                                          (15)
                                                     x = a[t6]
                                                                                     (30)
```

Figure 9.1: C code for quicksort

Figure 9.2: Three-address code for fragment in Fig. 9.1

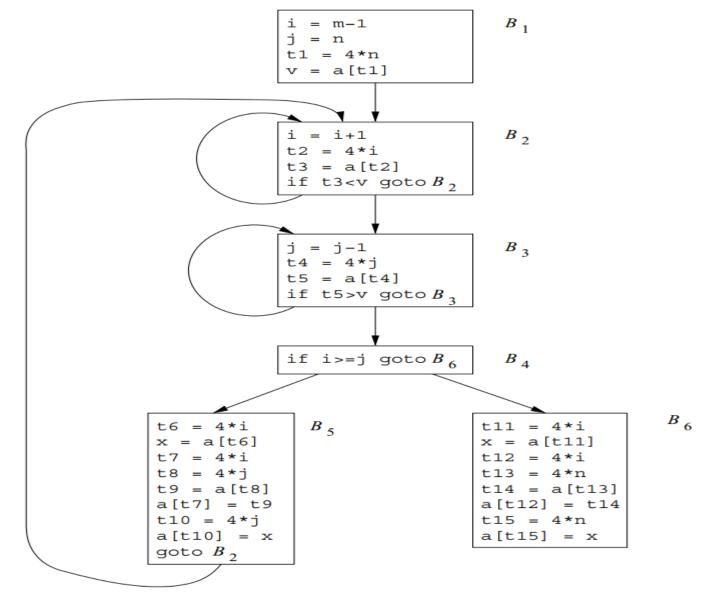


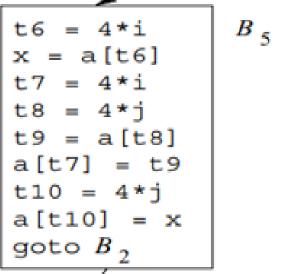
Figure 9.3: Flow graph for the quicksort fragment

# Semantics-Preserving Transformations

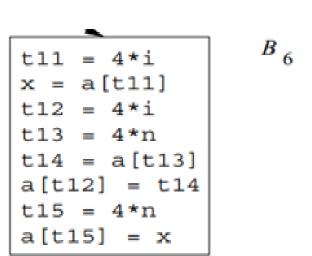
There are a number of ways in which a compiler can improve a program without changing the function it computes. Common-subexpression elimination, copy propagation, dead-code elimination, and constant folding are common examples of such function-preserving (or *semantics-preserving*) transformations; we shall consider each in turn.

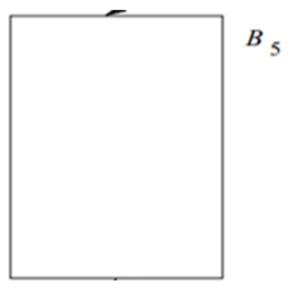
# Semantics-Preserving Transformations

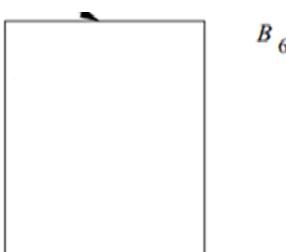
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$$B_{5}$$







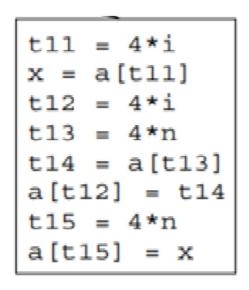


(a) Before.

```
B_{5}
t6 = 4*i
x = a[t6]
                              x = a[t6]
                              t8 = 4*j
t7 = 4*i
t8 = 4*j
                              t9 = a[t8]
t9 = a[t8]
                              a[t6] = t9
a[t7] = t9
                              a[t8] = x
t10 = 4*j
                              goto B_2
a[t10] = x
goto B_{\gamma}
```

Figure 9.4: Local common-subexpression elimination

(b) After.



 $B_{6}$ 

$$t11 = 4 * i$$
 $x = a[t11]$ 
 $t13 = 4 * n$ 
 $t14 = a[t13]$ 
 $a[t11] = t14$ 
 $a[13] = x$ 

 $B_{6}$ 

(a) Before.

(b) After.

Local common-subexpression elimination

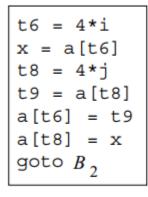
An occurrence of an expression E is called a *common subexpression* if E was previously computed and the values of the variables in E have not changed since the previous computation. We avoid recomputing E if we can use its previously computed value; that is, the variable x to which the previous computation of E was assigned has not changed in the interim.<sup>2</sup>

**Example 9.1:** The assignments to t7 and t10 in Fig. 9.4(a) compute the common subexpressions 4 \* i and 4 \* j, respectively. These steps have been eliminated in Fig. 9.4(b), which uses t6 instead of t7 and t8 instead of t10.

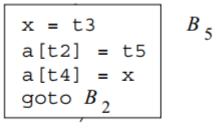
**Example 9.2:** Figure 9.5 shows the result of eliminating both global and local common subexpressions from blocks  $B_5$  and  $B_6$  in the flow graph of Fig. 9.3. We first discuss the transformation of  $B_5$  and then mention some subtleties involving arrays.

After local common subexpressions are eliminated,  $B_5$  still evaluates 4\*i and 4\*j, as shown in Fig. 9.4(b). Both are common subexpressions; in particular, the three statements

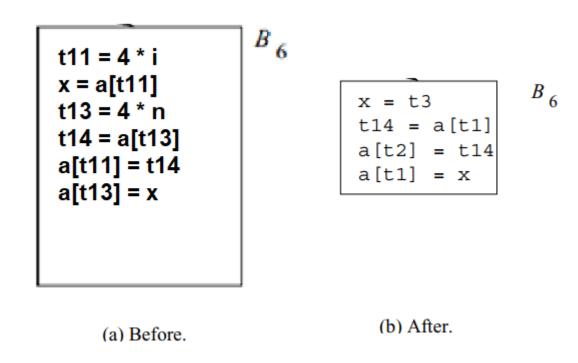
 $B_{5}$ 



(a) Before.



(b) After.



### Global Common Subexpressions

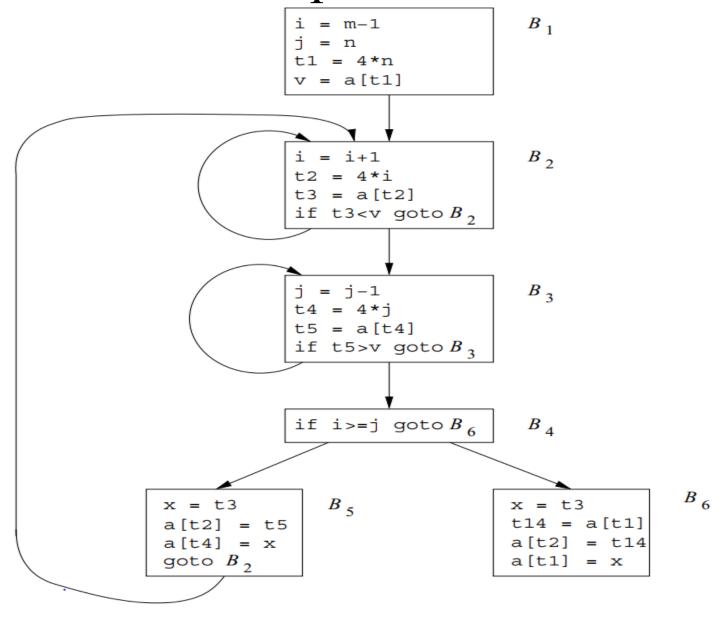


Figure 9.5:  $B_5$  and  $B_6$  after common-subexpression elimination

## Copy Propagation

Block  $B_5$  in Fig. 9.5 can be further improved by eliminating x, using two new transformations. One concerns assignments of the form  $\mathbf{u} = \mathbf{v}$  called copy state-ments, or copies for short. Had we gone into more detail in Example 9.2, copies would have arisen much sooner, because the normal algorithm for eliminating common subexpressions introduces them, as do several other algorithms.

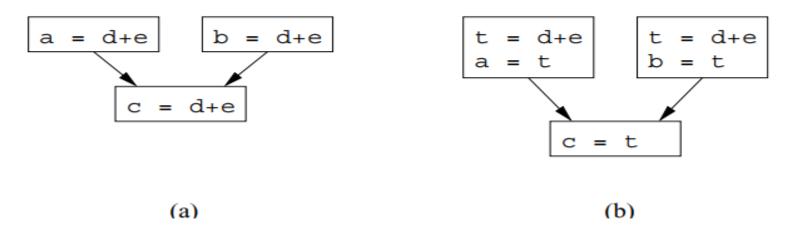


Figure 9.6: Copies introduced during common subexpression elimination

# Copy Propagation

**Example 9.3:** In order to eliminate the common subexpression from the statement c = d+e in Fig. 9.6(a), we must use a new variable t to hold the value of d+e. The value of variable t, instead of that of the expression d+e, is assigned to c in Fig. 9.6(b). Since control may reach c = d+e either after the assignment to a or after the assignment to b, it would be incorrect to replace c = d+e by either c = a or by c = b.  $\square$ 

# Copy Propagation

The idea behind the copy-propagation transformation is to use v for u, wherever possible after the copy statement u = v. For example, the assignment x = t3 in block  $B_5$  of Fig. 9.5 is a copy. Copy propagation applied to  $B_5$  yields the code in Fig. 9.7. This change may not appear to be an improvement, but, as we shall see in Section 9.1.6, it gives us the opportunity to eliminate the assignment to x.

$$x = t3$$
 $a[t2] = t5$ 
 $a[t4] = t3$ 
goto  $B_2$ 

Figure 9.7: Basic block  $B_5$  after copy propagation

#### Dead Code Elimination

A variable is *live* at a point in a program if its value can be used subsequently; otherwise, it is *dead* at that point. A related idea is *dead* (or *useless*) *code* — statements that compute values that never get used. While the programmer is unlikely to introduce any dead code intentionally, it may appear as the result of previous transformations.

**Example 9.4:** Suppose debug is set to TRUE or FALSE at various points in the program, and used in statements like

```
if (debug) print ...
```

It may be possible for the compiler to deduce that each time the program reaches this statement, the value of debug is FALSE. Usually, it is because there is one particular statement

debug = FALSE

#### Dead Code Elimination

One advantage of copy propagation is that it often turns the copy statement into dead code. For example, copy propagation followed by dead-code elimination removes the assignment to x and transforms the code in Fig 9.7 into

$$a[t2] = t5$$
  
 $a[t4] = t3$   
goto  $B_2$ 

This code is a further improvement of block  $B_5$  in Fig. 9.5.

$$t14 = a[t1]$$
 $a[t2] = t14$ 
 $a[t1] = t3$ 

#### Code Motion

Loops are a very important place for optimizations, especially the inner loops where programs tend to spend the bulk of their time. The running time of a program may be improved if we decrease the number of instructions in an inner loop, even if we increase the amount of code outside that loop.

An important modification that decreases the amount of code in a loop is code motion. This transformation takes an expression that yields the same result independent of the number of times a loop is executed (a loop-invariant computation) and evaluates the expression before the loop. Note that the notion "before the loop" assumes the existence of an entry for the loop, that is, one basic block to which all jumps from outside the loop go (see Section 8.4.5).

Another important optimization is to find induction variables in loops and optimize their computation. A variable x is said to be an "induction variable" if there is a positive or negative constant c such that each time x is assigned, its value increases by c. For instance, i and t2 are induction variables in the loop containing  $B_2$  of Fig. 9.5. Induction variables can be computed with a single increment (addition or subtraction) per loop iteration. The transformation of replacing an expensive operation, such as multiplication, by a cheaper one, such as addition, is known as strength reduction. But induction variables not only allow us sometimes to perform a strength reduction; often it is possible to eliminate all but one of a group of induction variables whose values remain in lock step as we go around the loop.

#### Code Motion

**Example 9.5:** Evaluation of limit - 2 is a loop-invariant computation in the following while-statement:

```
while (i <= limit-2) /* statement does not change limit */
```

Code motion will result in the equivalent code

```
t = limit-2
while (i <= t) /* statement does not change limit or t */</pre>
```

Now, the computation of limit-2 is performed once, before we enter the loop. Previously, there would be n+1 calculations of limit-2 if we iterated the body of the loop n times.  $\square$ 

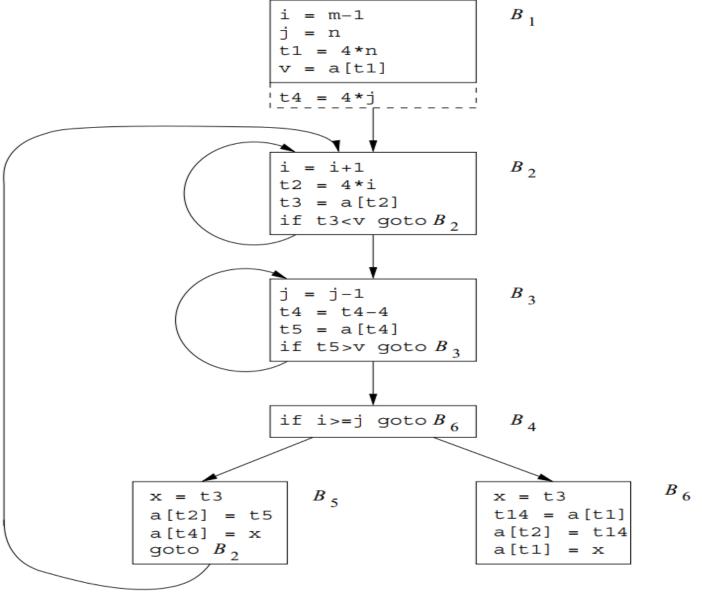


Figure 9.8: Strength reduction applied to 4\*j in block  $B_3$ 

**Example 9.6:** As the relationship t4 = 4 \* j surely holds after assignment to t4 in Fig. 9.5, and t4 is not changed elsewhere in the inner loop around  $B_3$ , it follows that just after the statement j = j-1 the relationship t4 = 4 \* j + 4 must hold. We may therefore replace the assignment t4 = 4 \* j by t4 = t4-4. The only problem is that t4 does not have a value when we enter block  $B_3$  for the first time.

Since we must maintain the relationship t4 = 4\*j on entry to the block  $B_3$ , we place an initialization of t4 at the end of the block where j itself is initialized, shown by the dashed addition to block  $B_1$  in Fig. 9.8. Although we have added one more instruction, which is executed once in block  $B_1$ , the replacement of a multiplication by a subtraction will speed up the object code if multiplication takes more time than addition or subtraction, as is the case on many machines.

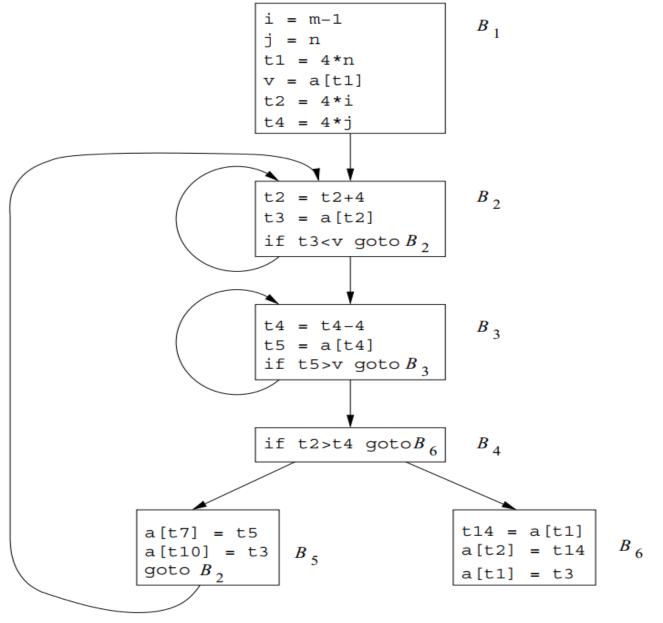


Figure 9.9: Flow graph after induction-variable elimination