

Logic (PHIL 2080, COMP 2620, COMP 6262)
Chapter: First-Order Logic
— Properties of Proof Systems and Semantic Tableaux

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Introduction

Recap: Predicate Logics

- We now (since week 5) know Predicate Logics as a means to express properties of and relationships between objects.
- For example:
 - If everyone plays football, and everyone is a goat, then everyone is a football-playing goat
 - $\forall x Fx, \forall x Gx \vdash \forall x (Fx \wedge Gx)$
- We know how to prove **sequents** involving **Predicate Logic** using **Natural Deduction**.
 - We “only” needed additional elimination and introduction rules for the exists (\exists) and universal (\forall) quantifiers.
 - Other than that we just re-used the rules for Propositional Logic.

Recap: Semantic Tableau

- Today, we cover Semantic Tableau for *Predicate Logic*.
- But first a recap on **Semantic Tableau for Propositional Logic!**
- If we want to prove $X \vdash A$ (with $X = \{A_1, \dots, A_n\}$), then, we:
 - Label each **assumption** A_1, \dots, A_n as being **true** (T),
 - Label **A** as being **false** (F),
 - **Simplify** each formula (according to the connectives corresponding to truth tables) thus eventually obtaining:
 - 1 a contradiction in all the branches, or
 - 2 ≥ 1 open branch (i.e., none of its formulae can be simplified further and there's no contradiction).

In case 1 the sequent is **valid**.

In case 2 the sequent is **invalid**, and we can construct an interpretation that makes all formulae in X true, but A false (which is a witness for invalidity).

Today: Properties of Logics and Proof Systems

Properties of **Logics**:

- What does it mean to *decide* validity?
- Is that always possible for sequents in Propositional Logic?
What about Predicate Logic?

Properties of **Proof Systems**:

- Are all proofs correct? (**Soundness**)
- Can we always prove validity? (**Completeness**)

Properties

Recap on our Symbols and their Meanings

We differentiate between *validity* and *provability*:

- $X \models A$ (A follows logically from X)
 → Every interpretation that makes X true also makes A true.
- $X \vdash_{ND} A$ ($X \vdash A$ can be proved via *Natural Deduction*)
 → A can be *derived* from X . (*Syntax manipulation.*)
- $X \vdash_{ST} A$ ($X \vdash A$ can be proved via *Semantic Tableau*)
 → We can't find an interpretation that makes X true but not A .
 (Exploits validity definition.)
- There are many more proof systems!

Syntax vs. Semantics

- So, what's the relation between $X \models A$ (semantics) and $X \vdash A$ (syntax)?
- A desirable situation would be $X \models A$ iff $X \vdash A$.
- Our proof systems could do *anything*! So what *could* happen?
- Let \mathcal{X} be *some* proof system (like, e.g., ND).
 - $X \vdash_{\mathcal{X}} A$, but not $X \models A$
 → The proof system is wrong! (i.e., not sound.)
 - $X \models A$, but not $X \vdash_{\mathcal{X}} A$
 → The proof system is incomplete! (i.e., not complete.)
- What we want:
 - Soundness** Every provable sequent is valid. (Cf. above's 1)
 - Completeness** Every valid sequent is provable. (Cf. above's 2)

Properties of Proof Systems:

- Are all proofs correct? (**Soundness**)
- Can we always prove validity? (**Completeness**)

Decision Procedure

- Let \mathcal{X} be some proof system that's **sound and complete**.
- So, can we also *decide* validity of each sequent with \mathcal{X} ?
- I.e., we want to know whether $X \models A$ holds, by using \mathcal{X} .
Can we find out?
- Again, \mathcal{X} is sound and complete, so we can check validity, right?
- No, not necessarily! Both just mention validity, not invalidity!
- We only know: $X \models A$ iff $X \vdash A$
- But we don't necessarily know whether $X \models A$ holds since a sequent could also be invalid! (In that case maybe the proof system just keeps running... So we don't get $X \vdash A$, but we also don't get an output saying " $X \models A$ is false")

Properties of Logics and proof systems

Decidability of Logics:

- **Decidability** of a Logic means determining for an arbitrary sequent whether it's valid or not.
- **Propositional Logic: Yes, decidable.**
- **Predicate Logic: No, undecidable.** No such algorithm can exist.

Soundness and Completeness of Proof Systems:

- Natural Deduction:
 - Sound and complete for Propositional and Predicate Logic
- Semantic Tableau:
 - Also Sound and complete for Propositional and Predicate Logic

Semantic Tableau Rules

Simplifying a *true* \exists Quantifier (Intuition)

$$\frac{\mathbf{T}: \exists x Fx}{\mathbf{T}: Fa} \quad \text{provided } a \text{ is new to the branch}$$

- Why does a need to be new?
- Think of the triangle ABC! If a would exist already in the branch it would not be general (e.g., we could “accidentally” assume that our triangle is rectangular).

Simplifying a *false* \forall Quantifier (Intuition)

$$\frac{\mathbf{F}: \forall x Fx}{\mathbf{F}: Fa} \quad \text{provided } a \text{ is new to the branch}$$

- This corresponds to the **true existential quantifier**!
- Recall $\neg \forall x Fx \equiv \exists x \neg Fx$

Rules For *true* \exists and *false* \forall , formally

$$\frac{\mathbf{T}: \exists x Fx}{\mathbf{T}: Fa}$$

if a is new to the branch

- The X represents all other lines we have in that branch.

$$\equiv$$

$$\frac{X, \mathbf{T}: \exists x A}{X, \mathbf{T}: A_x^a}$$

for a not in X or A

Rules For *true* \exists and *false* \forall , formally

$$\text{T: } \exists x Fx$$

$$\text{T: } Fa$$

*if a is new to
the branch*

$$\text{F: } \forall x Fx$$

$$\text{F: } Fa$$

*if a is new to
the branch*

$$\equiv$$

$$\equiv$$

$$X, \text{T: } \exists x A$$

$$X, \text{T: } A_x^a$$

for a not in X or A

$$X, \text{F: } \forall x A$$

$$X, \text{F: } A_x^a$$

for a not in X or A

Simplifying a *true* \forall Quantifier (Intuition)

$$\frac{\mathbf{T}: \forall x Fx}{\mathbf{T}: Fa, \mathbf{T}: Fb, \dots}$$

for all a, b, \dots in the branch (present and future!)

- This rule will continue being available for new constants/terms produced later on. (Then we have to apply the rule again!)
- If we already obtained a contradiction, we are clearly done. But if we want to show that a branch is open we need to have applied this rule to *all* constants! (I.e., also those that get created after we already applied the rule to all constants that existed until then.)

Simplifying a *false* \exists Quantifier (Intuition)

$$\frac{\mathbf{F}: \exists x Fx}{\mathbf{F}: Fa, \mathbf{F}: Fb, \dots}$$
 for all a, b, \dots in the branch (present and future!)

- Again, this rule will never be finished! If a new constant/term gets introduced we need to apply the rule again!
- Recall from last week that $\neg \exists x Fx \equiv \forall x \neg Fx$

Rules for *true* \forall and *false* \exists , formally

T: $\forall x Fx$

T: $Fa, \mathbf{T: Fb}, \dots$

*for all a, b, \dots
in the branch –
present and future!*

\equiv

$X, \mathbf{T: \forall x A}$

$X, \mathbf{T: \forall x A}, \mathbf{T: A_x^a}$

for a in X or A

F: $\exists x Fx$

F: $Fa, \mathbf{F: Fb}, \dots$

*for all a, b, \dots
in the branch –
present and future!*

\equiv

$X, \mathbf{F: \exists x A}$

$X, \mathbf{F: \exists x A}, \mathbf{F: A_x^a}$

for a in X or A

Special case for false Existential and true Universal

- Recall the rules for false existentials and true universals:

$$\begin{array}{c} \mathbf{F}: \exists x Fx \\ \hline \mathbf{F}: Fa, \mathbf{F}: Fb, \dots \\ \\ \text{for all } a, b, \dots \\ \text{in the branch –} \\ \text{present and future!} \end{array}$$

$$\begin{array}{c} \mathbf{T}: \forall x Fx \\ \hline \mathbf{T}: Fa, \mathbf{T}: Fb, \dots \\ \\ \text{for all } a, b, \dots \\ \text{in the branch –} \\ \text{present and future!} \end{array}$$

- They state that you only “use” constants which are already there.
- Sometimes, however, there one no such constants! Then, you are also allowed to create a new one.

Examples

Example 1

$$\forall x(Fx \vee Gx) \vdash? \forall x Fx \vee \forall x Gx$$

- (1) **T:** $\forall x(Fx \vee Gx)$
- (2) **F:** $\forall x Fx \vee \forall x Gx$ ✓
- (3) **F:** $\forall x Fx$ from (2)
- (4) **F:** $\forall x Gx$ from (2)

Note that we did not apply the rule for false universal quantifier here because the formula is actually a **false disjunction**, not a false universally quantified formula.

$$\text{T: } \forall x Fx$$

$$\text{T: } Fa, \text{T: } Fb, \dots$$

*for all a, b, ...
in the branch –
present and future!*

$$\text{F: } \forall x Fx$$

$$\text{F: } Fa$$

*if a is new to
the branch*

Example 1

$$\forall x(Fx \vee Gx) \vdash? \forall x Fx \vee \forall x Gx$$

(1) **T:** $\forall x(Fx \vee Gx)$ ✓ *a, b*

(2) **F:** $\forall x Fx \vee \forall x Gx$ ✓

(3) **F:** $\forall x Fx$ ✓

from (2)

(4) **F:** $\forall x Gx$ ✓

from (2)

(5) **F:** Fa

from (3)

(6) **F:** Gb

from (4)

(7) **T:** $Fa \vee Ga$ ✓

from (1)

(8) **T:** $Fb \vee Gb$ ✓

from (1)

(9) **T:** Fa ⚡ from (7)

(10) **T:** Ga from (7)

(11) **T:** Fb *open!* from (8)

(12) **T:** Gb ⚡ from (8)

T: $\forall x Fx$

T: $Fa, T: Fb, \dots$

*for all a, b, ...
in the branch –
present and future!*

F: $\forall x Fx$

F: Fa

*if a is new to
the branch*

Extracted interpretation: see next slide.

Example 1 (cont'd)

So? Is $\forall x(Fx \vee Gx) \vdash \forall x Fx \vee \forall x Gx$ valid?

- Let's see... Not all branches are contradictory.
- Thus, there is an open branch:

(5) **F:** Fa from (3)

(6) **F:** Gb from (4)

(10) **T:** Ga from (7)

(11) **T:** Fb from (8)

- We can, as usual, extract an *interpretation* I that answers for which objects F and G is true:

- Informally: $I(Fa) = \perp$ and $I(Fb) = \top$ The formal definition will
- Informally: $I(Ga) = \top$ and $I(Gb) = \perp$ be provided in week 7
- Thus, showing that there is an interpretation that makes the assumption true, but the formula false!

→ So the sequent is invalid!

Example 2

$$\exists x Fx, \exists x Gx \vdash^? \exists x (Fx \wedge Gx)$$
(1) **T:** $\exists x Fx$ ✓(2) **T:** $\exists x Gx$ ✓(3) **F:** $\exists x (Fx \wedge Gx)$ ✓^{a,b}(4) **T:** Fa from (1)(5) **T:** Gb from (2)(6) **F:** $Fa \wedge Ga$ ✓ from (3)(7) **F:** $Fb \wedge Gb$ ✓ from (3)(8) **F:** Fa ⚡ from (6)(9) **F:** Ga from (6)(10) **F:** Fb **open!** from (7)(11) **F:** Gb ⚡ from (7)**T:** $\exists x Fx$ **T:** Fa *if a is new to
the branch***F:** $\exists x Fx$ **F:** $Fa, \mathbf{F: Fb}, \dots$ *for all a, b, ...
in the branch –
present and future!*

Extracted interpretation: see next slide.

Example 2 (cont'd)

So? Is $\exists x Fx, \exists x Gx \vdash^? \exists x (Fx \wedge Gx)$ valid?

- Let's see... Not all branches are contradictory.
- Thus, there is an open branch:

(4) **T:** Fa from (1)

(5) **T:** Gb from (2)

(9) **F:** Ga from (6)

(10) **F:** Fb from (7)

- Again we can design an interpretation that answers for which objects F and G become true:
 - F is true for exactly a
 - G is true for exactly b
 - Thus, showing that there is an interpretation that makes the assumption true, but the formula false!
- So the sequent is invalid!

Invalid Sequents

Advanced Remarks: Sequent is invalid, so?

- There are some invalid sequents for which you can't find a proof that shows invalidity.
- (We were however still able to find invalidity proofs for *some* invalid sequents as above.)
- In some cases, however, we could prove invalidity by modifying rules in a suitable way.
- Even with such rules, though, we still can't *always* prove invalidity. (Since Predicate Logic is undecidable.)

Advanced Remarks: Motivating Example

Assume we are deep within some branch:

- (n) **T:** $\forall x \exists y Rxy$ ✓ *a,b,c* from (k < n)
- (n+1) **T:** $\exists y Ray$ ✓ from (n)
- (n+2) **T:** Rab from (n+1)
- (n+3) **T:** $\exists y Rby$ ✓ from (n)
- (n+4) **T:** Rbc from (n+3)
- (n+5) **T:** $\exists y Rcy$ ✓ from (n)
- (n+6) **T:** Rcd from (n+5)

- So we have an infinite branch!
- We will *never* be able to show that it is open.

$$\frac{X, \mathbf{T}: \forall x A}{X, \mathbf{T}: \forall x A, \mathbf{T}: A_x^a}$$

for a in X or A

$$\frac{X, \mathbf{T}: \exists x A}{X, \mathbf{T}: A_x^a}$$

for a not in X or A

Summary

Content of this Lecture

- Properties of Logics and Proof Systems (soundness, completeness, decidability)
- Semantic Tableau for Predicate Logics
 - We added several **additional rules**, but kept using the **old ones**.
 - We can prove validity and invalidity. (If you are interested, there is another complex example given below.)
 - **Invalidity cannot *always* be proved**, which shows an essential difference between propositional and predicate logics.
- This week covered the following sections in the Logic Notes:
 - 5: More about first order logic
 - ▶ Quantifiers in semantic tableaux

For propositional logic, the validity/invalidity is always provable.

For predicate logic, the validity/invalidity is NOT always provable.

Example by de'Morgan

Consider the following argument:

- All horses are animals.
- Therefore, any horse's head is an animal head!

We formalize this in terms of Predicate Logic.

- Instead of:
“any horse's head is an animal head”
- We formalize that as: “each part of a horse is part of an animal”
$$\forall x(\exists y(Hy \wedge Pxy) \rightarrow \exists y(Ay \wedge Pxy))$$

Thus we get:

$$\forall x Hx \rightarrow Ax \vdash \forall x(\exists y(Hy \wedge Pxy) \rightarrow \exists y(Ay \wedge Pxy))$$

Example 3

$$\forall x Hx \rightarrow Ax \vdash \forall x(\exists y(Hy \wedge Pxy) \rightarrow \exists y(Ay \wedge Pxy))$$

- | | | | |
|-----|-----------|--|------------|
| (1) | T: | $\forall x Hx \rightarrow Ax$ | |
| (2) | F: | $\forall x(\exists y(Hy \wedge Pxy) \rightarrow \exists y(Ay \wedge Pxy))$ | ✓ |
| (3) | F: | $\exists y(Hy \wedge Pay) \rightarrow \exists y(Ay \wedge Pay)$ | ✓ from (2) |
| (4) | T: | $\exists y(Hy \wedge Pay)$ | ✓ from (3) |
| (5) | F: | $\exists y(Ay \wedge Pay)$ | from (3) |
| (6) | T: | $Hb \wedge Pab$ | ✓ from (4) |
| (7) | T: | Hb | from (6) |
| (8) | T: | Pab | from (6) |

$$\text{T: } \forall x Fx$$

$$\text{T: } Fa, \text{T: } Fb, \dots$$

*for all a, b, ...
in the branch –
present and future!*

$$\text{F: } \forall x Fx$$

$$\text{F: } Fa$$

*if a is new to
the branch*

$$\text{F: } \exists x Fx$$

$$\text{F: } Fa, \text{F: } Fb, \dots$$

*for all a, b, ...
in the branch –
present and future!*

$$\text{T: } \exists x Fx$$

$$\text{T: } Fa$$

*if a is new to
the branch*

Example 3

$$\forall x Hx \rightarrow Ax \vdash \forall x(\exists y(Hy \wedge Pxy) \rightarrow \exists y(Ay \wedge Pxy))$$

- (1) **T:** $\forall x Hx \rightarrow Ax$ ✓^b
 (2) **F:** $\forall x(\exists y(Hy \wedge Pxy) \rightarrow \exists y(Ay \wedge Pxy))$ ✓
 (3) **F:** $\exists y(Hy \wedge Pay) \rightarrow \exists y(Ay \wedge Pay)$ ✓ from (2)
 (4) **T:** $\exists y(Hy \wedge Pay)$ ✓ from (3)
 (5) **F:** $\exists y(Ay \wedge Pay)$ ✓^b from (3)
 (6) **T:** $Hb \wedge Pab$ ✓ from (4)
 (7) **T:** Hb from (6)
 (8) **T:** Pab from (6)
 (9) **T:** $Hb \rightarrow Ab$ ✓ from (1)

- (10) **F:** Hb ⚡ from (9) (11) **T:** Ab from (9)
 (12) **F:** $Ab \wedge Pab$ ✓ from (5)

- (13) **F:** Ab ⚡ from (12) (14) **F:** Pab ⚡ from (12)

All branches are contradictory. Sequent is *valid*!

Example 3 (Again with a different Order)

$$\forall x Hx \rightarrow Ax \vdash \forall x(\exists y(Hy \wedge Pxy) \rightarrow \exists y(Ay \wedge Pxy))$$

- (1) **T:** $\forall x Hx \rightarrow Ax$ ✓^b
- (2) **F:** $\forall x(\exists y(Hy \wedge Pxy) \rightarrow \exists y(Ay \wedge Pxy))$ ✓
- (3) **F:** $\exists y(Hy \wedge Pay) \rightarrow \exists y(Ay \wedge Pay)$ ✓ from (2)
- (4) **T:** $\exists y(Hy \wedge Pay)$ ✓ from (3)
- (5) **F:** $\exists y(Ay \wedge Pay)$ ✓^b from (3)
- (6) **T:** $Hb \wedge Pab$ ✓ from (4)
- (7) **T:** Hb from (6)
- (8) **T:** Pab from (6)
- (9) **F:** $Ab \wedge Pab$ ✓ from (5)

- (10) **F:** Ab from (9)
- (11) **F:** Pab ⚡ from (9)
- (12) **T:** $Hb \rightarrow Ab$ ✓ from (1)

- (13) **F:** Hb ⚡ from (12)
- (14) **T:** Ab ⚡ from (12)

All branches are contradictory. Sequent is *valid*!