

# INVESTIGATING THE STRUCTURE OF $M_2(\mathbb{F}_q)$

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ABSTRACT. We present and prove a count of the maximal commutative subalgebras of  $M_2(\mathbb{F}_q)$ , as well as counts for the individual isomorphism classes.

## 1. INTRODUCTION

For a finite field of  $q = p^m$  elements,  $p \neq 2$ , it is possible to count the number of vector subspaces of  $\mathbb{F}_q^n$  of a given dimension. These counts arise in problems involving the number of points of  $\mathbb{P}_q^n$ , the Grassmannian, and further generalizations.

This paper is meant to address a similar problem. Namely, the structure and count of the maximal commutative subalgebras of  $M_2(\mathbb{F}_q)$ . Such sub-algebra's...

## 2. GENERALIZED QUATERNIONS AND $M_2(\mathbb{F}_q)$

For the duration of the paper,  $q = p^n$  for some prime  $p \neq 2$ .

**Definition 1** (Generalized Quaternions). Let  $k$  be a field,  $\text{char } k \neq 2$ , and fix  $a, b \in k - \{0\}$ . Then the *generalized quaternions* are a central simple  $k$ -algebra (CSA) of the form

$$A_{a,b}(k) = \{t + xi + yj + zl : t, x, y, z \in k\}$$

$$\text{where } i^2 = a, j^2 = b, \text{ and } ij = -ji = l$$

**Definition 2** (Generalized Quaternions, Vector Notation).

$$A_{a,b}(k) = \{(t, \mathbf{v}) : t \in k, \mathbf{v} = \langle xi + yj + zl \rangle, x, y, z \in k\}$$

$$\text{where } i^2 = a, j^2 = b, \text{ and } ij = -ji = l$$

**Definition 3** (Generalized Quaternion Norm).

$$N_{a,b} : A_{a,b}(k) \rightarrow k$$

$$q \mapsto q\bar{q} = t^2 - ax^2 - by^2 + abz^2$$

**Theorem 4** (Wedderburn). *requires citation* Let  $k$  be a field and  $A$  a central simple algebra. Then  $A \simeq M_n(D)$  for some  $k$ -division algebra  $D$ .

**Theorem 5** (Chevalley-Waring). *requires citation* Let  $f_\alpha \in \mathbb{F}_q[X_1, \dots, X_n]$  be polynomials in  $n$  variables such that  $\sum_\alpha \deg f_\alpha < n$  and let  $V$  be the set of their common zeros in  $\mathbb{F}_q^n$ . One has

$$|V| \equiv 0 \pmod{p}$$

**Lemma 6.**  $N_{a,b}(X_1 + iX_2 + jX_3 + kX_4)$  has a nontrivial zero over  $A_{a,b}(\mathbb{F}_q)$ .

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Date: June 4, 2021.

*Proof.* For this, we make use of theorem 5. Let

$$\begin{aligned} f_1 &= N_{a,b}(X_1 + iX_2 + jX_3 + kX_4) \\ &= X_1^2 - aX_2^2 - bX_3^2 + abX_4^2 \\ &\in \mathbb{F}_q[X_1, X_2, X_3, X_4] \end{aligned}$$

Here,  $\deg f_1 = 2 < 4$ , so Chevalley-Warning holds and  $|V| \equiv 0 \pmod{p}$ . Note that  $f(0, 0, 0, 0) = 0$ , so we must have  $p$  divides  $|V|$ . Therefore,  $f_1$  has a nontrivial zero and this completes the proof.  $\square$

**Theorem 7.**  $A_{a,b}(\mathbb{F}_q) \cong M_2(\mathbb{F}_q)$

*Proof.* By lemma 6, there exists  $q \in A_{a,b}(\mathbb{F}_q)$ ,  $q \neq 0$ , such that  $N_{a,b}(q) = q\bar{q} = 0$ . In other words,  $A_{a,b}(\mathbb{F}_q)$  has nontrivial zero divisors and is therefore not a  $\mathbb{F}_q$ -division algebra. By theorem 4 we must have  $A_{a,b}(\mathbb{F}_q) \cong M_2(D)$  for some  $\mathbb{F}_q$ -division algebra  $D$ . However,  $\dim_{\mathbb{F}_q} A_{a,b}(\mathbb{F}_q) = 4$ , so  $D$  must have  $\mathbb{F}_q$ -dimension 1.

$\therefore D \cong \mathbb{F}_q$  and  $A_{a,b}(\mathbb{F}_q) \cong M_2(\mathbb{F}_q)$ .  $\square$

### 3. PLANES IN $M_2(\mathbb{F}_q)$

For a matrix  $A \in M_2(\mathbb{F}_q)$ , we know

- (1)  $A$ 's characteristic polynomial:  $p_A(X) = X^2 - \text{tr}(A)X + \det(A)$ .
- (2)  $A$ 's minimum polynomial:  $m_A(X) = X - A$  if  $A \in \mathbb{F}_q$ . Otherwise,  $m_A(X) = p_A(X)$ .

This gives an evaluation map  $\epsilon_A : \mathbb{F}_q[X] \rightarrow M_2(\mathbb{F}_q)$  taking  $f(X)$  to  $f(A)$ , which is a  $\mathbb{F}_q$ -linear ring homomorphism with image  $\mathbb{F}_q[A] \subseteq M_2(\mathbb{F}_q)$ . The kernel is nontrivial, since  $M_2(\mathbb{F}_q)$  has finite  $\mathbb{F}_q$ -dimension and  $\mathbb{F}_q[X]$  does not. It follows that  $\ker(\epsilon_A) = (m_A(X))$ . By the First Isomorphism Theorem,

$$\frac{\mathbb{F}_q[X]}{(m_A(X))} \cong \mathbb{F}_q[A]$$

So if  $A \in M_2(\mathbb{F}_q) - \mathbb{F}_q$ , we know  $m_A(X) = p_A(X)$  is degree 2 and  $\mathbb{F}_q[A]$  has  $\mathbb{F}_q$ -dimension 2.

Thus, we have that each such  $A$  generates the unique 2 dimensional commutative sub-algebra of  $M_2(\mathbb{F}_q)$  containing  $A$ . All possible 2 dimensional commutative sub-algebra's are therefore of the form

$$\frac{\mathbb{F}_q[X]}{(m_A(X))}$$

for some  $A \in M_2(\mathbb{F}_q) - \mathbb{F}_q$ . The set of two dimensional commutative sub-algebra's of  $M_2(\mathbb{F}_q)$  must then be composed of exactly three isomorphism classes based on  $m_A(X)$

- (1) If  $m_A(X)$  is irreducible, then  $\mathbb{F}_q[A] \cong \mathbb{F}_{q^2}$
- (2) If  $m_A(X)$  is reducible but not separable, then  $\mathbb{F}_q[A] \cong \mathbb{F}_q \times \mathbb{F}_q$
- (3) If  $m_A(X)$  is separable, then  $\mathbb{F}_q[A] \cong \mathbb{F}_{q^{nil}}^2$  the degenerate nilpotent case.

### 4. IDENTIFYING MAXIMAL COMMUTATIVE SUB-ALGEBRAS

At this point, we have shown that each matrix  $A \in M_2(\mathbb{F}_q) - \mathbb{F}_q$  can be identified with the unique plane generated by taking the linear span of 1 and  $A$ , and that this plane belongs to one of three given isomorphism classes. It is reasonable to ask whether this is the maximal commutative sub-algebra of  $M_2(\mathbb{F}_q)$  containing  $A$ .

Indeed, if we drop the restriction of commutativity, we may easily find sub-algebras of dimension 3. The set of all upper triangular matrices is one such example.

To prove

## 5. PLANE COUNTS

**Theorem 8.**  $M_2(\mathbb{F}_q)$  has  $q^2 + q + 1$  unique 2D commutative subalgebras.

Proof outline notes:

- Each plane has  $q^2$  elements.
- Each plane shares the  $q$  elements of  $\mathbb{F}_q$ .
- Each plane has trivial intersection.
- The planes cover all of  $M_2(\mathbb{F}_q)$ .

*Proof.* Let  $E_x$  for  $x \in M_2(\mathbb{F}_q) - \mathbb{F}_q$  be the commutative subalgebra created by the span of 1 and  $x$ . Label  $\Sigma = \{E_x \mid x \in M_2(\mathbb{F}_q) - \mathbb{F}_q\}$  and set  $N = |\Sigma|$ , the number of unique 2D commutative subalgebras. Let  $x, y \in M_2(\mathbb{F}_q) - \mathbb{F}_q$ .  $E_x, E_y$  are both two dimensional  $\mathbb{F}_q$  algebras so we know that  $E_x \cap E_y$  is also a  $\mathbb{F}_q$ -algebra. By dimension arguments, either  $E_x = E_y$  or  $E_x \cap E_y = \mathbb{F}_q$ . Since  $\bigcup_{E \in \Sigma} E = M_2(\mathbb{F}_q)$ ,  $\{E_x - \mathbb{F}_q \mid x \in M_2(\mathbb{F}_q) - \mathbb{F}_q\}$  is a partition of  $M_2(\mathbb{F}_q) - \mathbb{F}_q$ . The following then holds,

$$\begin{aligned} N(|E_x| - |\mathbb{F}_q|) &= |M_2(\mathbb{F}_q)| - |\mathbb{F}_q| \\ N(q^2 - q) &= q^4 - q \\ N(q - 1) &= q^3 - 1 \\ N &= q^2 + q + 1 \end{aligned}$$

□

**Lemma 9.** Let  $k$  be a field such that  $[k : k^{\times 2}] = 2$  and  $A \in M_2(k)$ . Define  $S = \{\det(\lambda A) \mid \lambda \in k^\times\}$ .

$$S = \begin{cases} \{0\} & \text{if } \det(A) = 0 \\ k^{\times 2} & \text{if } \det(A) \in k^{\times 2} \\ k^\times - k^{\times 2} & \text{if } \det(A) \in k^\times - k^{\times 2} \end{cases}$$

*Proof.* Let  $A \in M_2(k)$ . Then  $\det(\lambda A) = \det(\lambda I) \det(A) = \lambda^2 \det(A)$  where  $\lambda \in k$ . If  $\det(A) = 0$ , then  $\det(\lambda A) = 0$  for  $\lambda \in k$  and  $S = \{0\}$  follows. Otherwise, we know that the quotient group  $k^\times / k^{\times 2}$  has only the two cosets  $k^{\times 2}, k^\times - k^{\times 2}$ . So if  $\det(A) \in k^{\times 2}$ , we have  $S = \{\lambda^2 \det(A) \mid \lambda \in k^\times\} = k^{\times 2}$  and similarly, if  $\det(A) \in k^\times - k^{\times 2}$ , then  $S = \{\lambda^2 \det(A) \mid \lambda \in k^\times\} = k^\times - k^{\times 2}$ . □

**Lemma 10.** Consider the following elements of  $M_2(\mathbb{F}_q)$ .

$$\begin{aligned} x &= \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \\ y &= \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \end{aligned}$$

Under the conjugation action of  $GL_2(\mathbb{F}_q)$  on  $M_2(\mathbb{F}_q)$ ,

$$\begin{aligned} |\mathcal{O}_x| &= q(q+1) \\ |\mathcal{O}_y| &= (q+1)(q-1) \end{aligned}$$

*Proof.* We may compute the size of the orbits of  $x, y$  by first computing the size of their stabilizers,  $G_x, G_y$ , and then applying the orbit coset correspondence theorem. Now,

$$\begin{aligned} G_x &= \{A \in GL_2(\mathbb{F}_q) \mid A \cdot x = x\} \\ &= \{A \in GL_2(\mathbb{F}_q) \mid Ax A^{-1} = x\} \\ &= \{A \in GL_2(\mathbb{F}_q) \mid Ax = xA\} \end{aligned}$$

Inevitably, matrices of the form  $sx + tI$  commute with  $x$  for  $s, t \in \mathbb{F}_q$ , so if  $sx + tI \in GL_2(\mathbb{F}_q)$ , then  $sx + tI \in G_x$ . These are also the only possible matrices in  $G_x$  since they are the elements of the maximal commutative subalgebra containing  $x$  [requires result citation/prior inclusion](#). It now suffices to determine when  $\det(sx + tI) = 0$ .

$$\det(sx + tI) = \begin{vmatrix} t & s \\ s & t \end{vmatrix} = t^2 - s^2$$

Therefore,  $\det(sx + tI) = 0$  when  $t^2 = s^2$ , so when  $t = \pm s$ . We have thus found precisely  $q^2 - 2q + 1 = (q-1)^2$  elements of  $G_x$ . It follows that,

$$[GL_2(\mathbb{F}_q) : G_x] = \frac{(q^2 - 1)(q^2 - q)}{(q-1)^2} = q(q+1)$$

By the orbit coset correspondence theorem [should cite/include prior](#) we have that  $\mathcal{O}_x = q(q+1)$

Similarly we have  $G_y = \{A \in GL_2(\mathbb{F}_q) \mid Ay = yA\}$  and the only possible elements of  $G_y$  are those of the form  $sy + tI$  for  $s, t \in \mathbb{F}_q$  where  $sy + tI \in GL_2(\mathbb{F}_q)$ .

$$\det(sy + tI) = \begin{vmatrix} t & 0 \\ s & t \end{vmatrix} = t^2$$

So  $\det(sy + tI) = 0$  if and only if  $t = 0$ . There are then  $q^2 - q$  elements of  $G_y$ . Therefore,

$$[GL_2(\mathbb{F}_q) : G_y] = \frac{(q^2 - 1)(q^2 - q)}{q^2 - q} = q^2 - 1$$

and we have  $\mathcal{O}_y = q(q-1)$  □

**Theorem 11.**

$$\begin{aligned} (1) \quad & |[\mathbb{F}_{q^2}]| = \binom{q}{2} \\ (2) \quad & |[\mathbb{F}_q \times \mathbb{F}_q]| = \binom{q+1}{2} \\ (3) \quad & |[\mathbb{F}_{q^{nil}}^2]| = q+1 \end{aligned}$$

*Proof.* The elements  $x, y$  from lemma 10 are the rational canonical forms for all matrices with minimum polynomials  $X^2 - 1$  and  $X^2$  respectively.

Since  $X^2 - 1 = (X+1)(X-1)$  in  $M_2(\mathbb{F}_q)$  and  $\text{char}(\mathbb{F}_q) \neq 2$  [this must be included in the introductory sections](#), all of the elements of  $\mathcal{O}_x$  belong to an embedding of  $\mathbb{F}_q \times \mathbb{F}_q$ . Additionally any embedding of  $\mathbb{F}_q \times \mathbb{F}_q$  must be of this form...

Now, referring to lemma 9 we know that each plane isomorphic to  $\mathbb{F}_q \times \mathbb{F}_q$   
By theorem 8, ... □

## REFERENCES

- [1] Nathan Jacobson, *Schur's Theorems on Commutative Matrices*, Bull. Amer. Math. Soc. **50** (1944), 431–436.