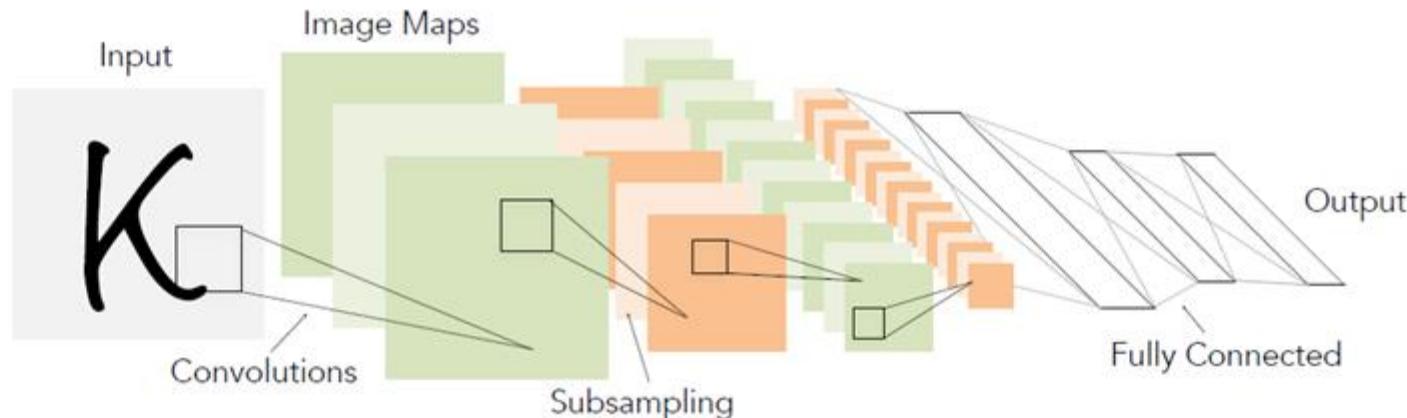


Convolutional Neural Networks

Convolutional Neural
Networks (CNN) were not
invented overnight

1998

LeCun et al.



of transistors



10^6

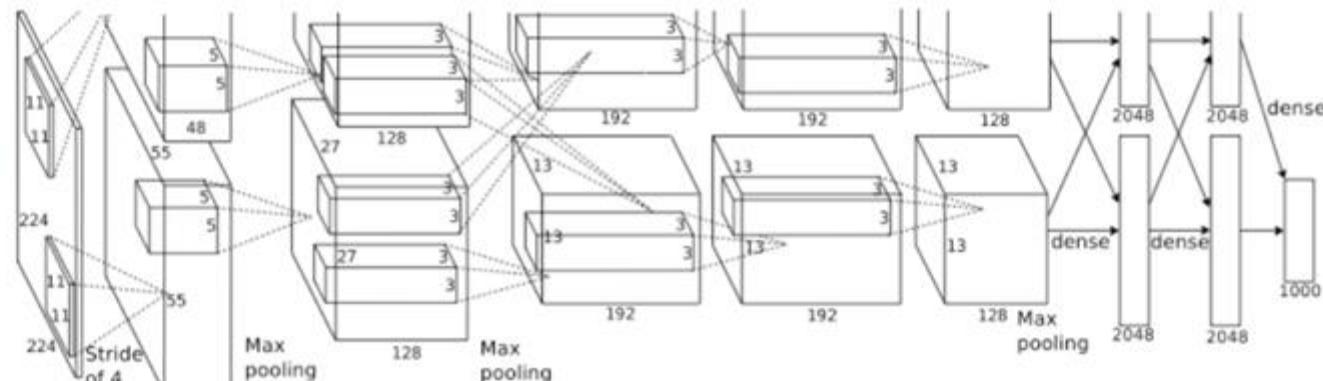
pentium® II

of pixels used in training

10^7 **NIST**

2012

Krizhevsky et al.



of transistors



10^9

GPUs



of pixels used in training

10^{14} **IMAGENET**



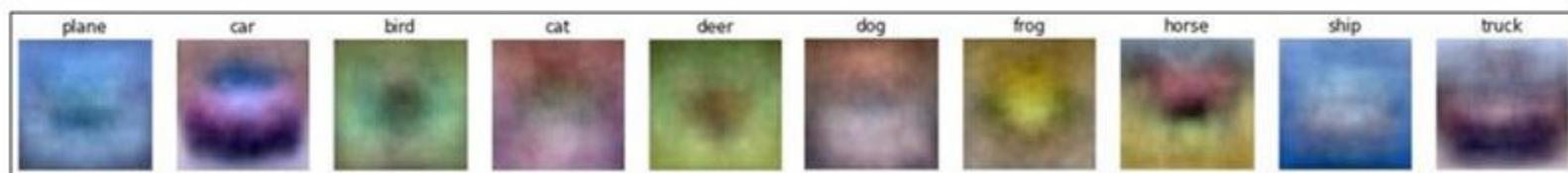
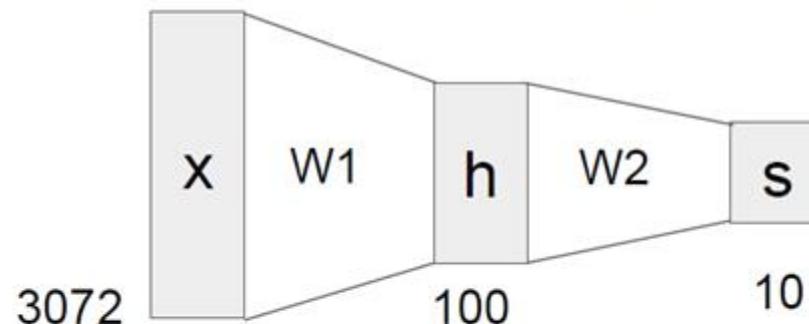
This image is copyright-free United States government work

Example credit: Andrej Karpathy

Neural networks: without the brain stuff

(Before) Linear score function: $f = Wx$

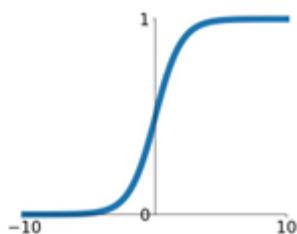
(Now) 2-layer Neural Network $f = W_2 \max(0, W_1 x)$



Activation functions

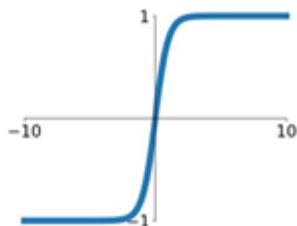
Sigmoid

$$\sigma(x) = \frac{1}{1+e^{-x}}$$



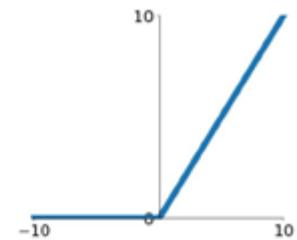
tanh

$$\tanh(x)$$



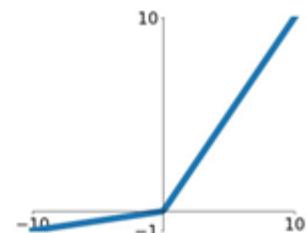
ReLU

$$\max(0, x)$$



Leaky ReLU

$$\max(0.1x, x)$$

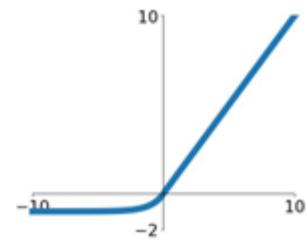


Maxout

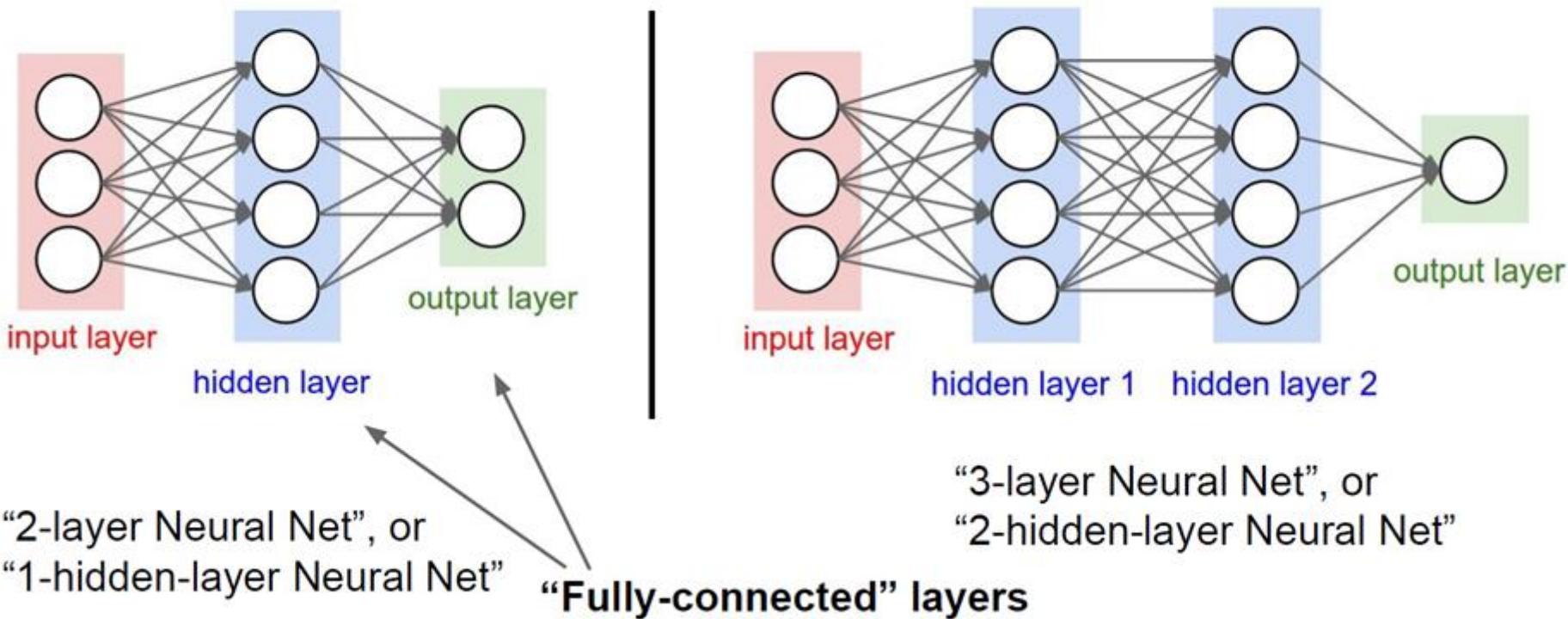
$$\max(w_1^T x + b_1, w_2^T x + b_2)$$

ELU

$$\begin{cases} x & x \geq 0 \\ \alpha(e^x - 1) & x < 0 \end{cases}$$



Neural networks: Architectures



Now:
Convolutional Neural
Networks (CNN)

A bit of history:

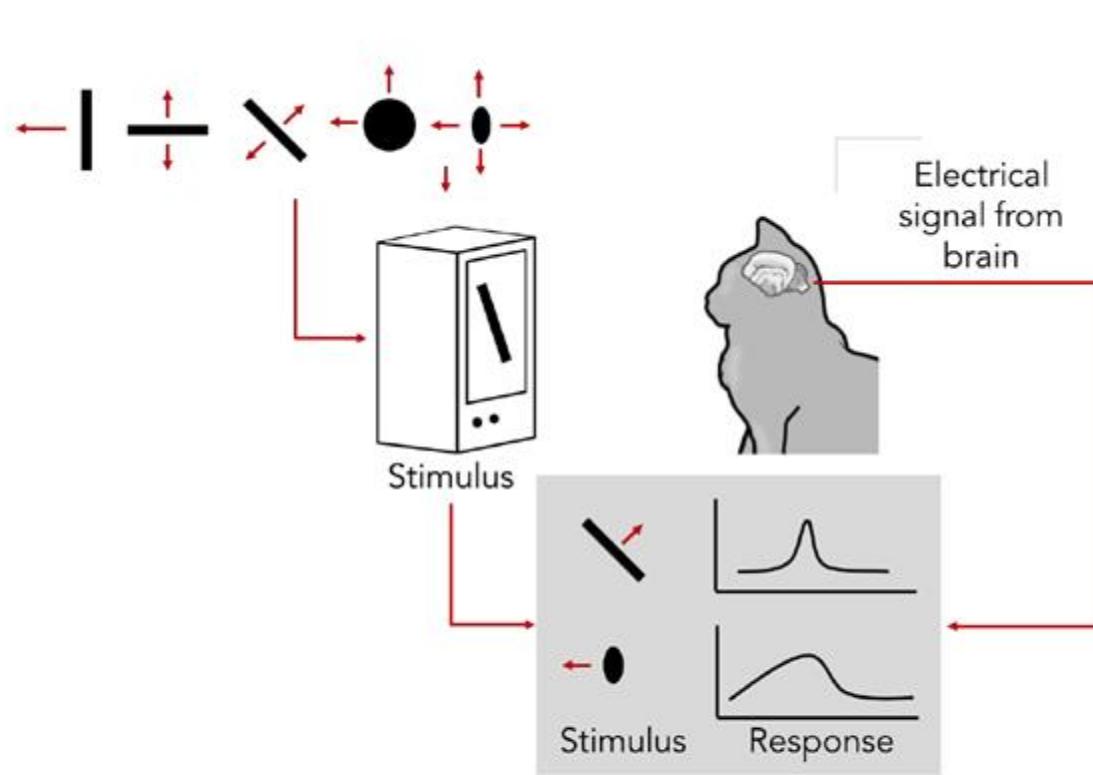
**Hubel & Wiesel,
1959**

RECEPTIVE FIELDS OF SINGLE
NEURONES IN
THE CAT'S STRIATE CORTEX

1962

RECEPTIVE FIELDS, BINOCULAR
INTERACTION
AND FUNCTIONAL ARCHITECTURE IN
THE CAT'S VISUAL CORTEX

1968...



[Cat image](#) by CNX OpenStax is licensed under CC BY 4.0; changes made

Hierarchical organization

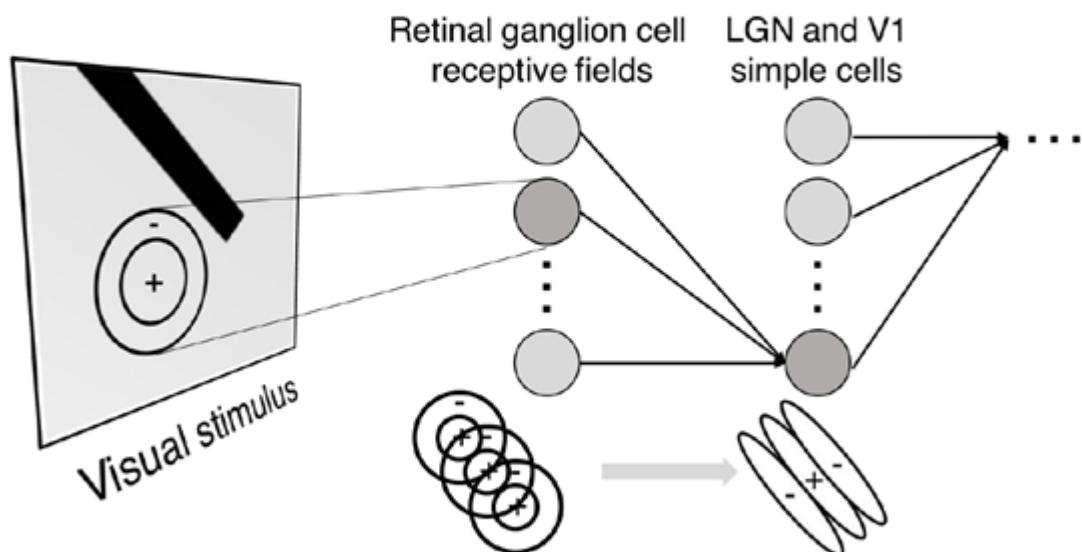
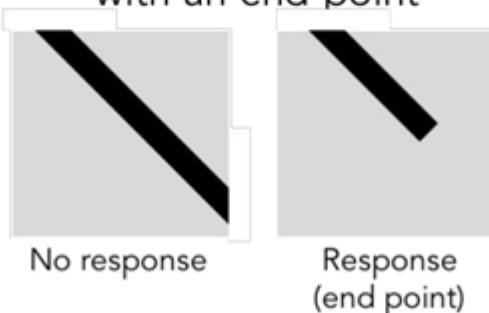


Illustration of hierarchical organization in early visual pathways by Lane McIntosh, copyright CS231n 2017

Simple cells:
Response to light orientation

Complex cells:
Response to light orientation and movement

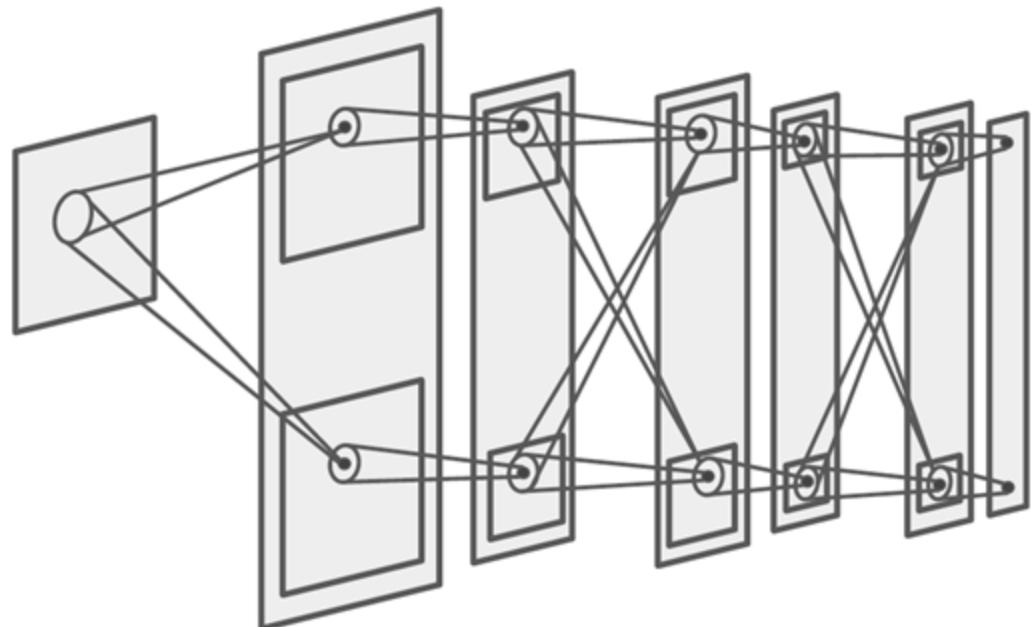
Hypercomplex cells:
response to movement with an end point



A bit of history:

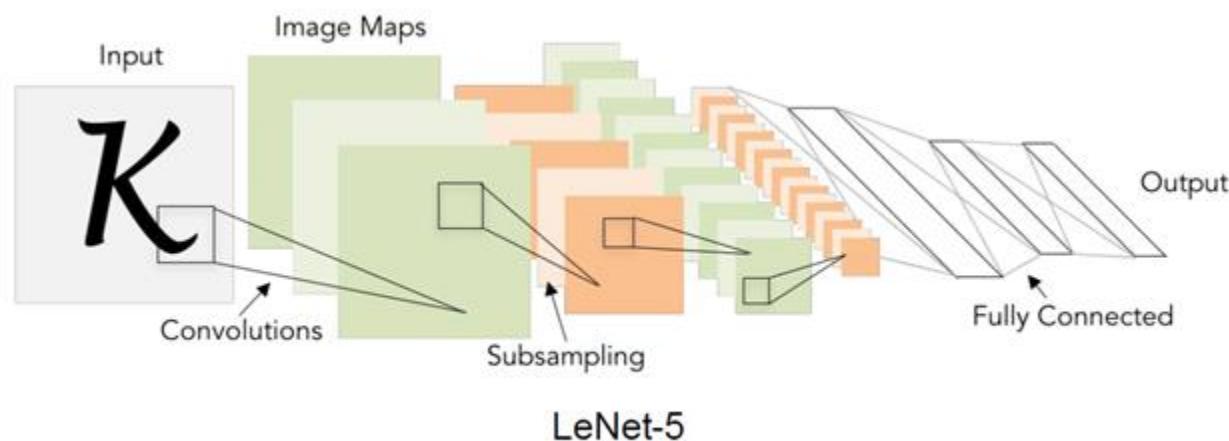
Neocognitron [Fukushima 1980]

“sandwich” architecture (SCSCSC...)
simple cells: modifiable parameters
complex cells: perform pooling



A bit of history: Gradient-based learning applied to document recognition

[LeCun, Bottou, Bengio, Haffner 1998]



A bit of history: **ImageNet Classification with Deep Convolutional Neural Networks** [Krizhevsky, Sutskever, Hinton, 2012]

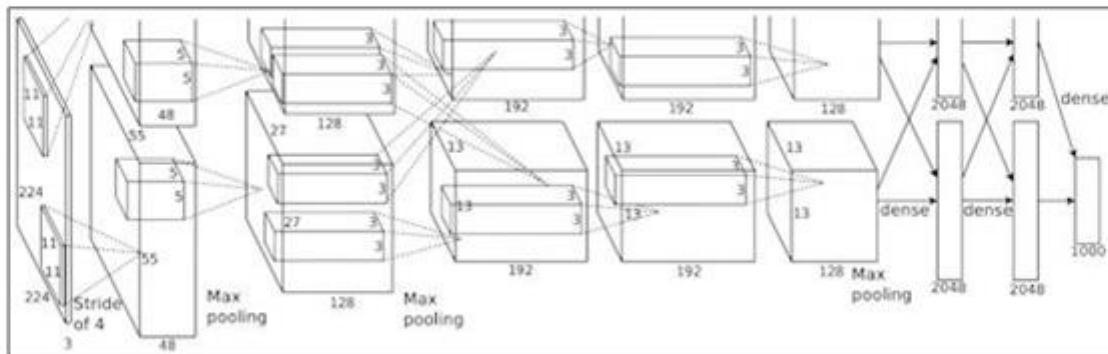


Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

“AlexNet”

ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners

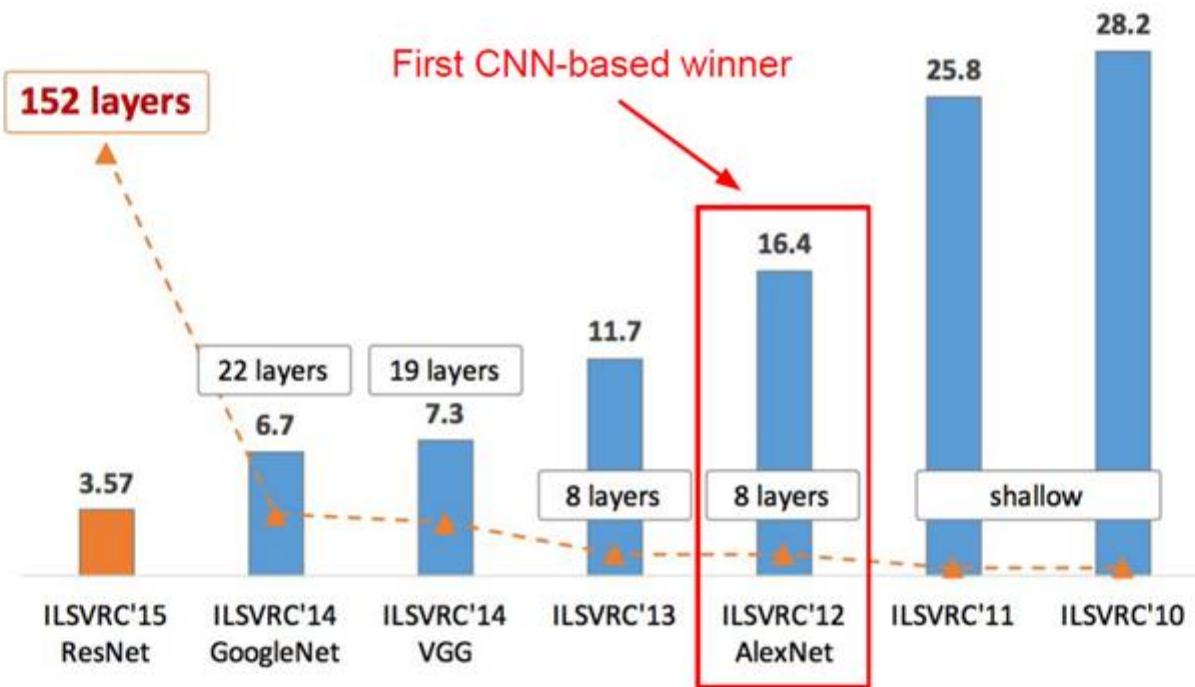
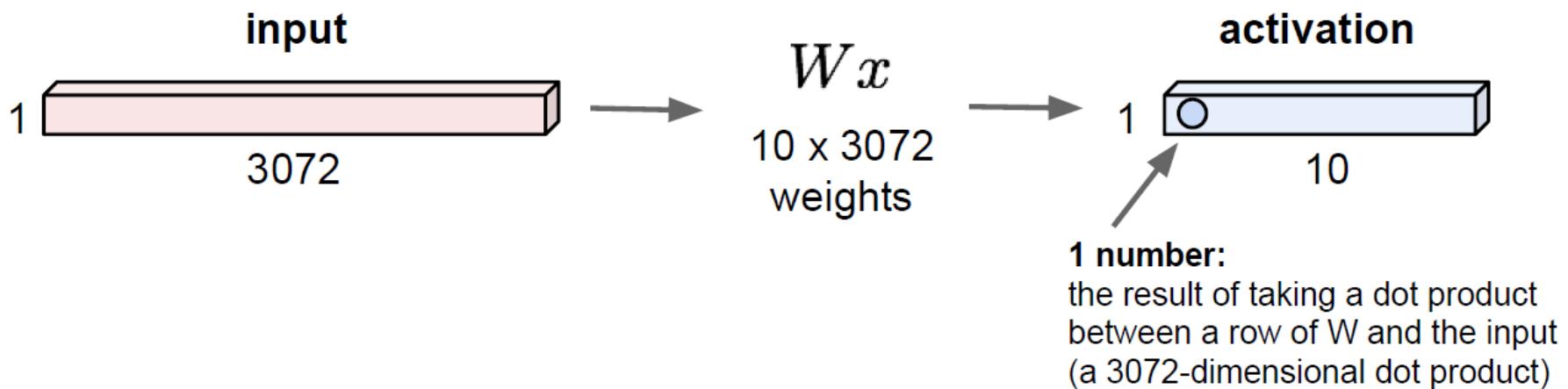


Figure copyright Kaiming He, 2016. Reproduced with permission.

Basic Convolutional Neural Networks (CNN)

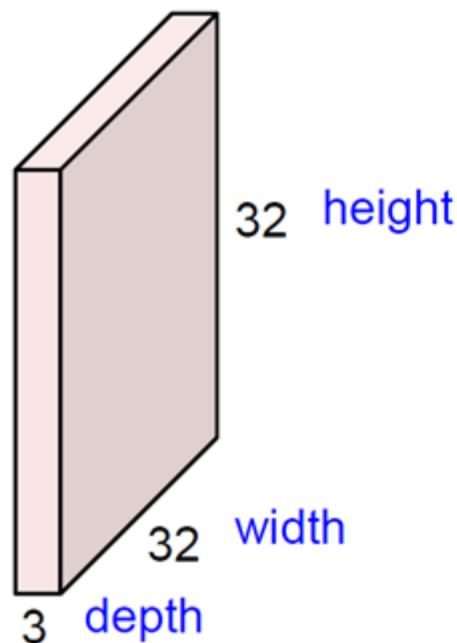
Fully Connected Layer

32x32x3 image -> stretch to 3072 x 1



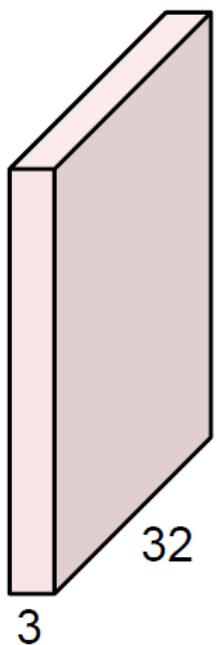
Convolution Layer

32x32x3 image -> preserve spatial structure



Convolution Layer

32x32x3 image



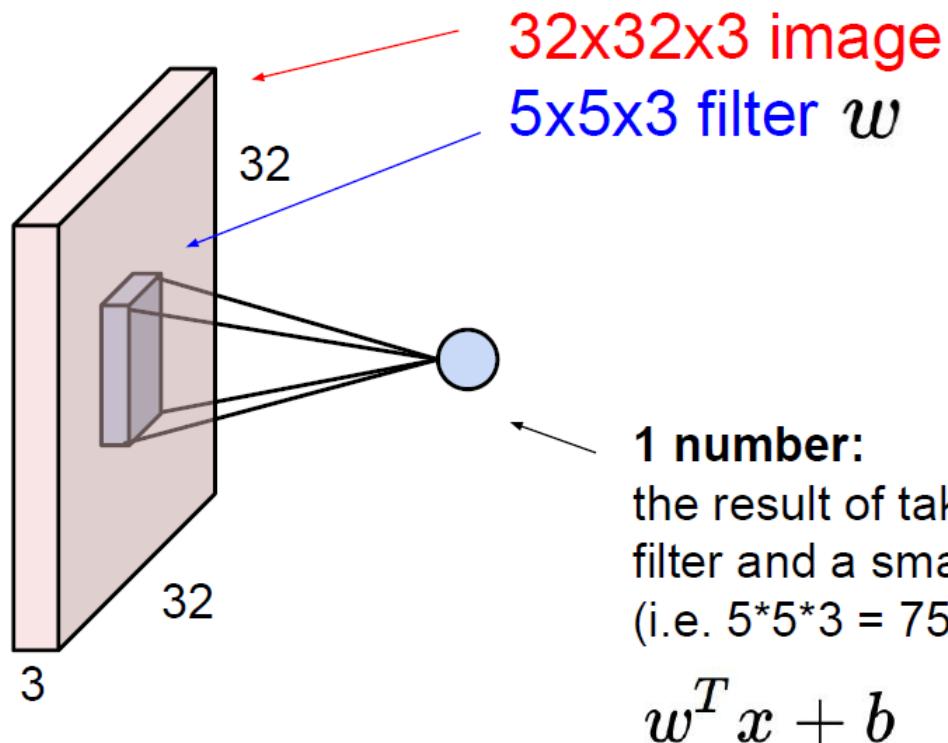
5x5x3 filter



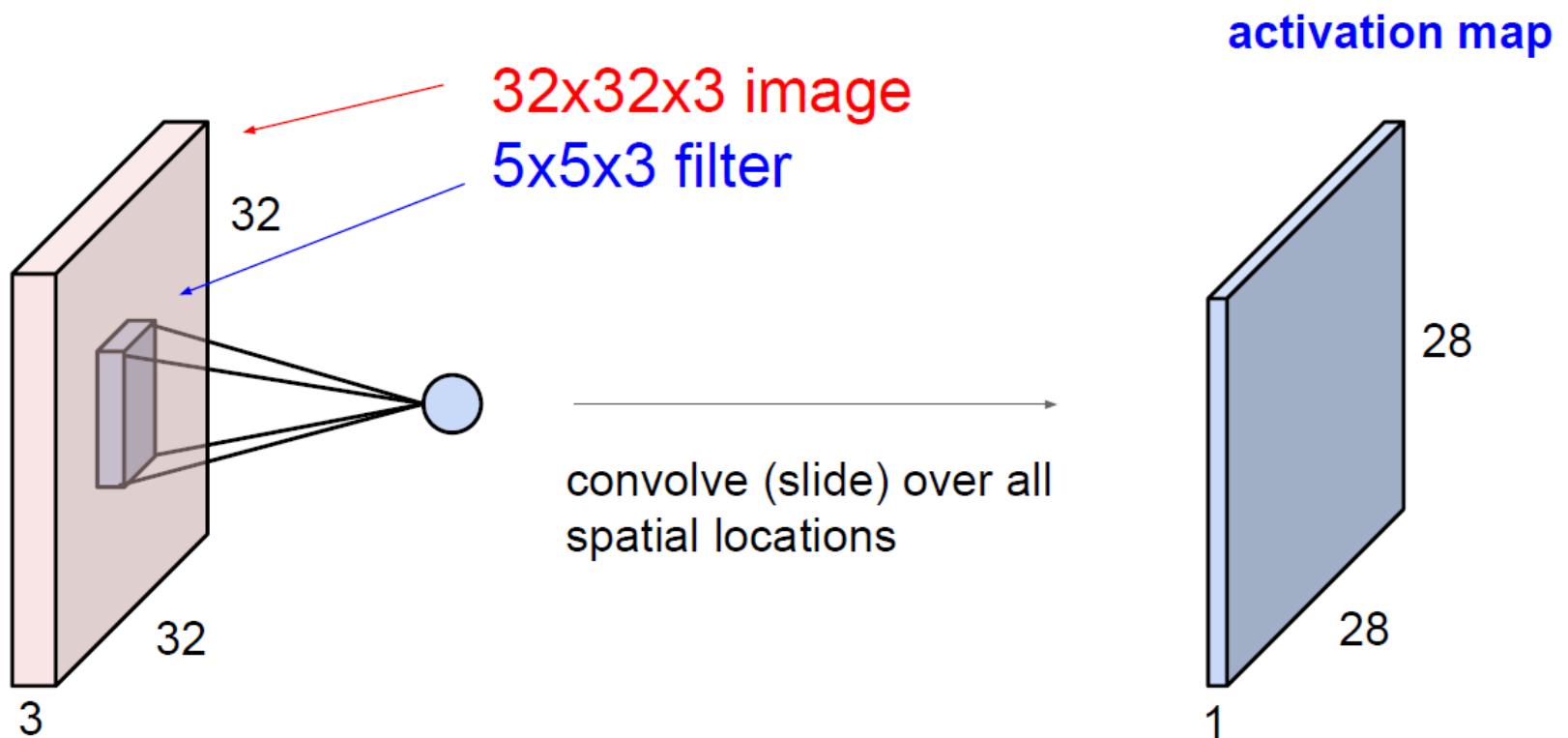
Filters always extend the full depth of the input volume

Convolve the filter with the image
i.e. “slide over the image spatially,
computing dot products”

Convolution Layer

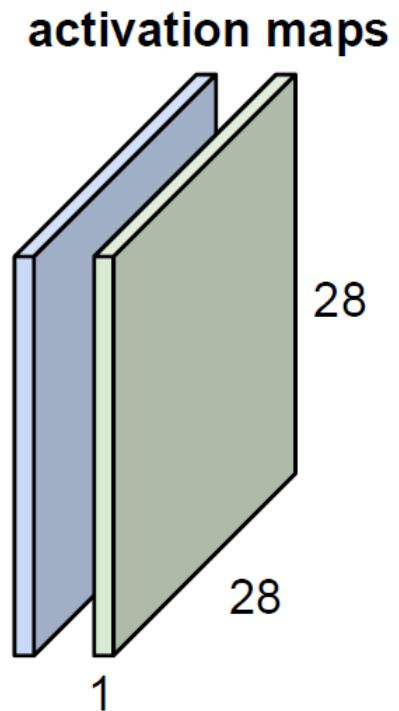
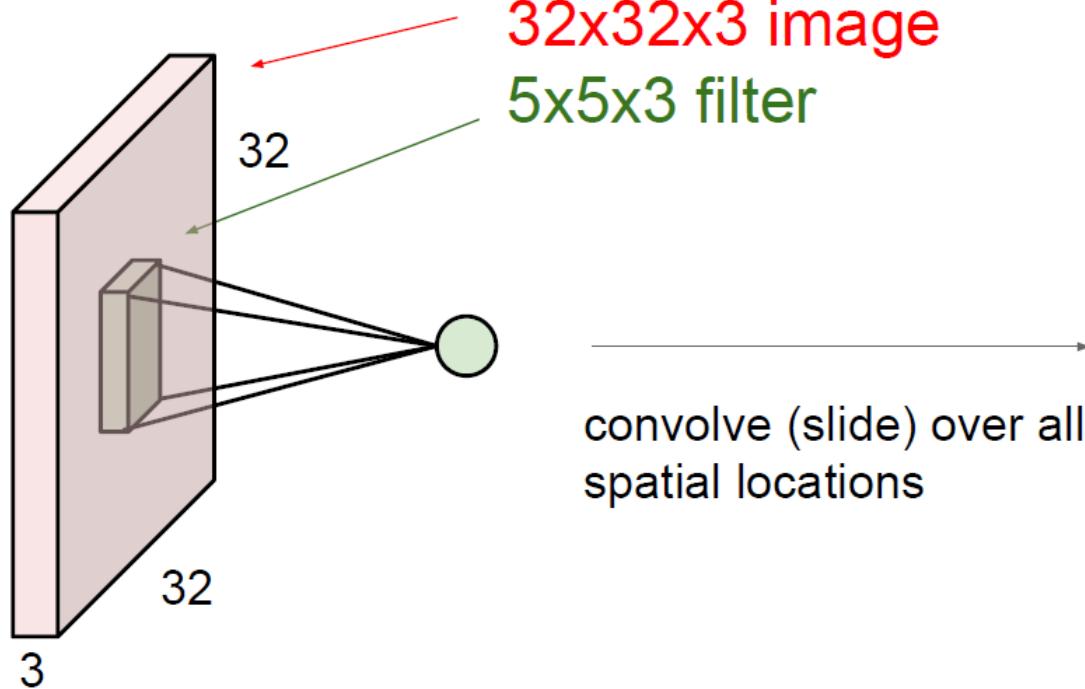


Convolution Layer

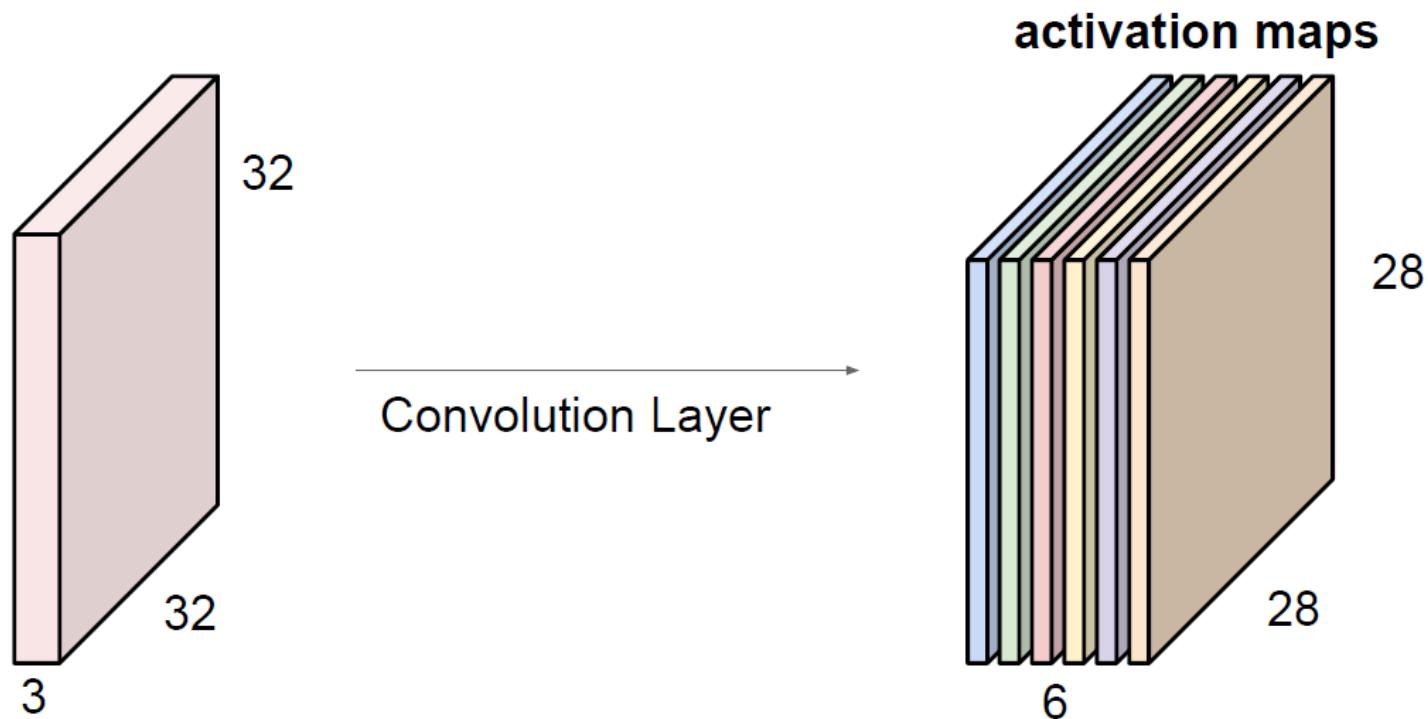


Convolution Layer

consider a second, green filter

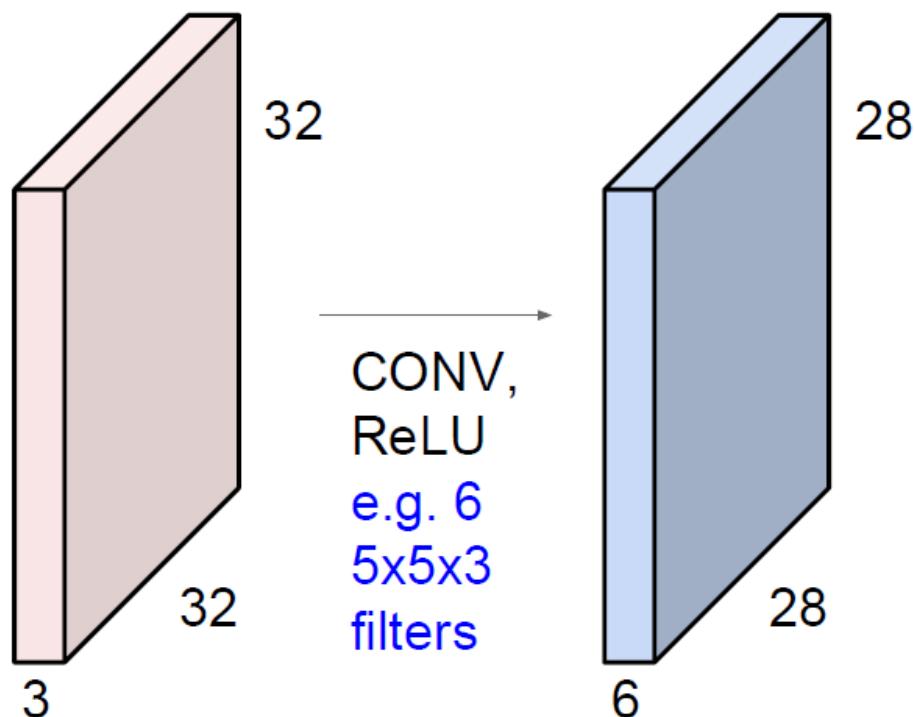


For example, if we had 6 5x5 filters, we'll get 6 separate activation maps:

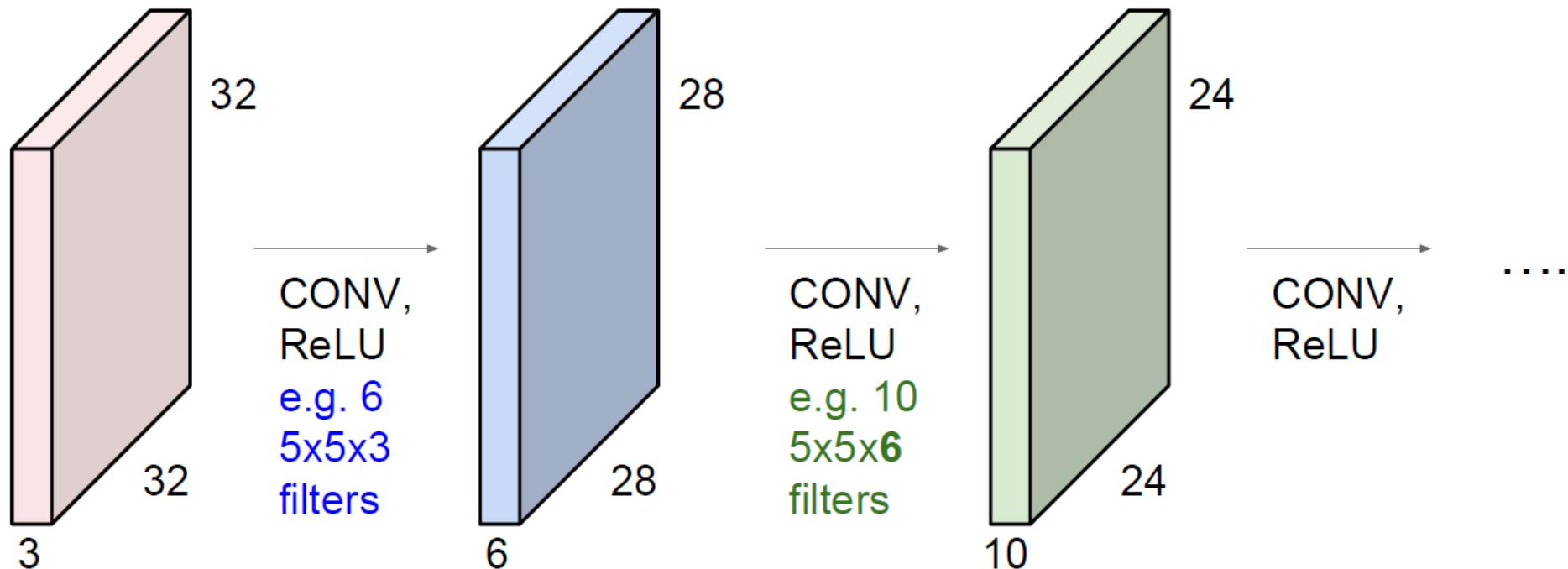


We stack these up to get a “new image” of size 28x28x6!

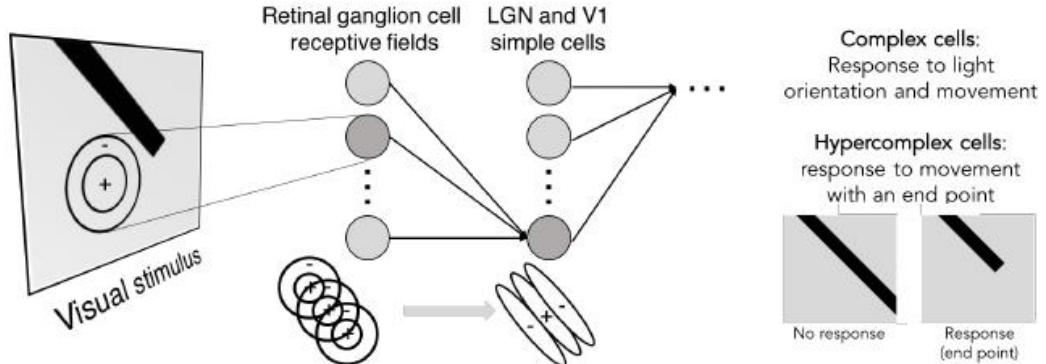
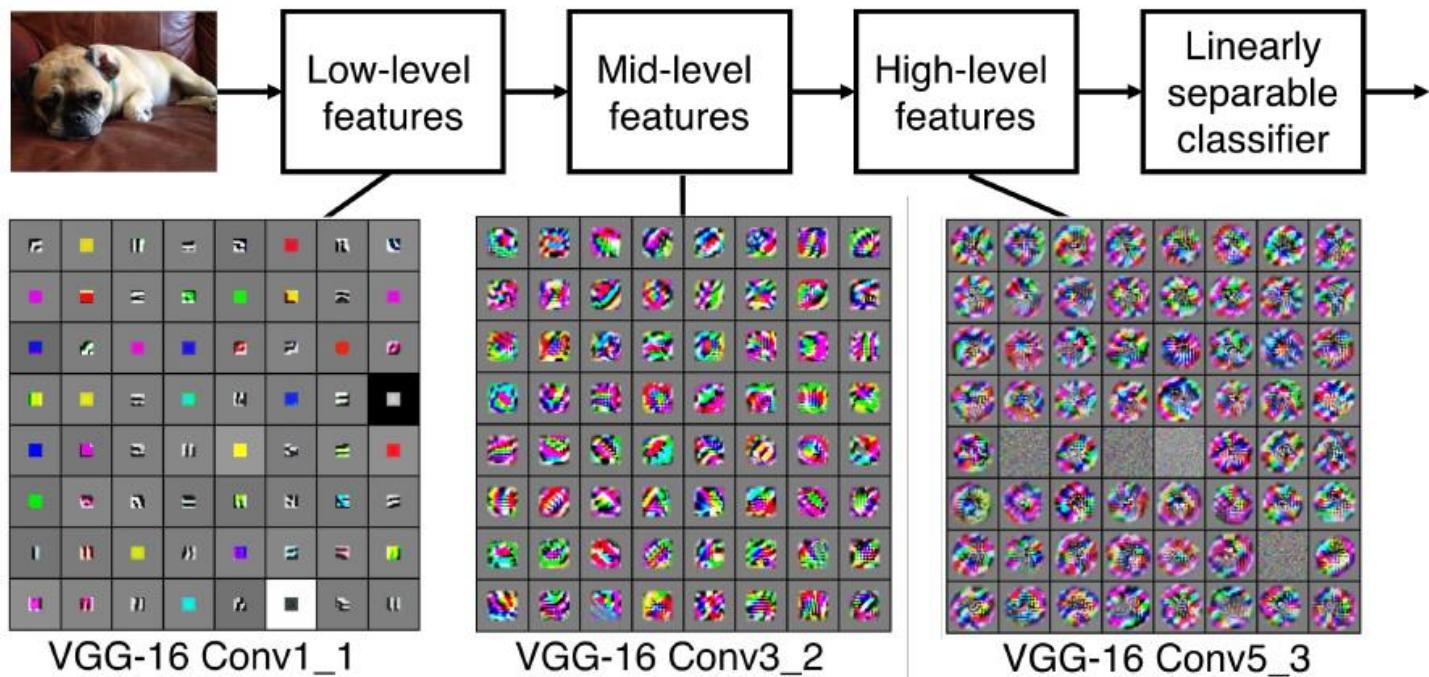
Preview: ConvNet is a sequence of Convolution Layers, interspersed with activation functions



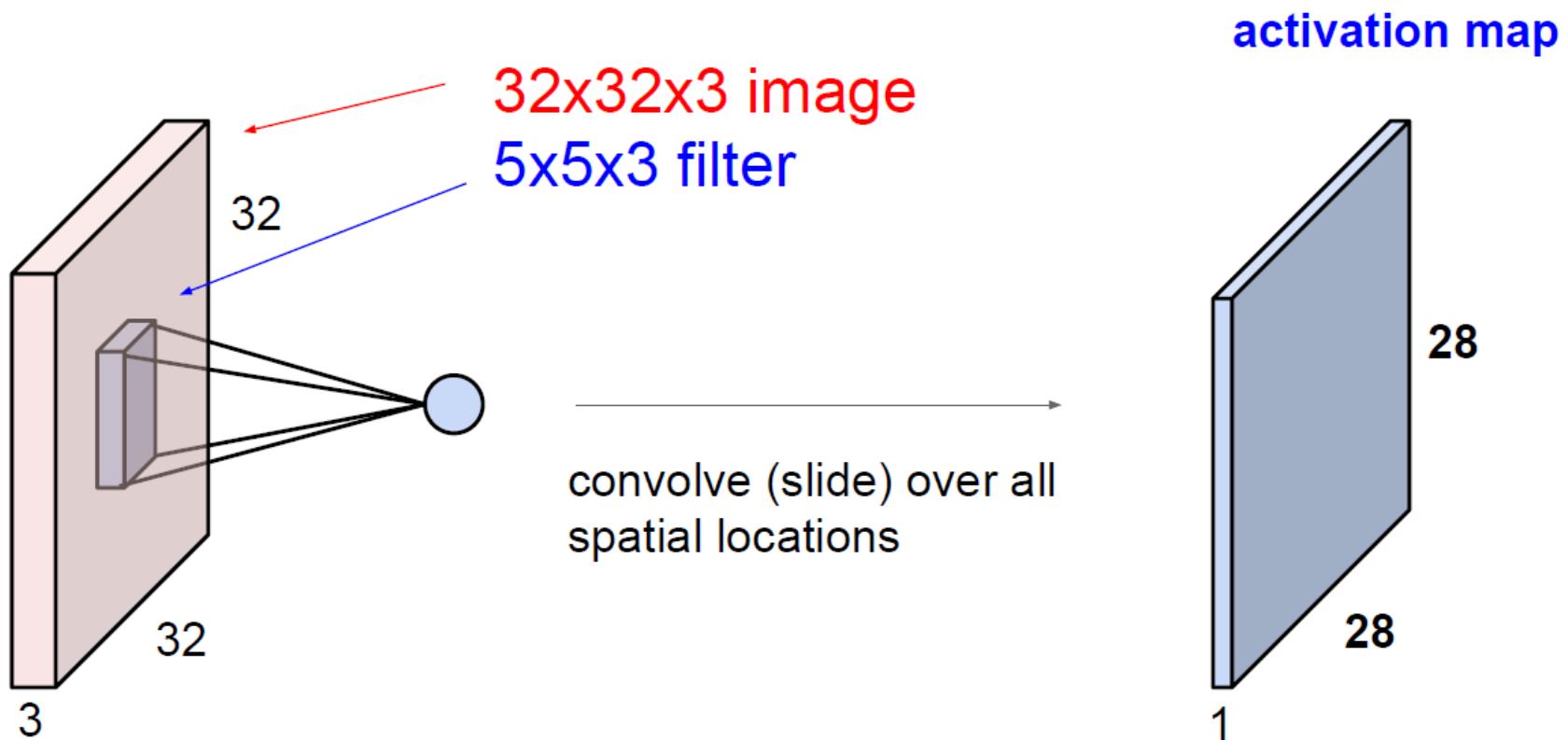
Preview: ConvNet is a sequence of Convolutional Layers, interspersed with activation functions



Preview

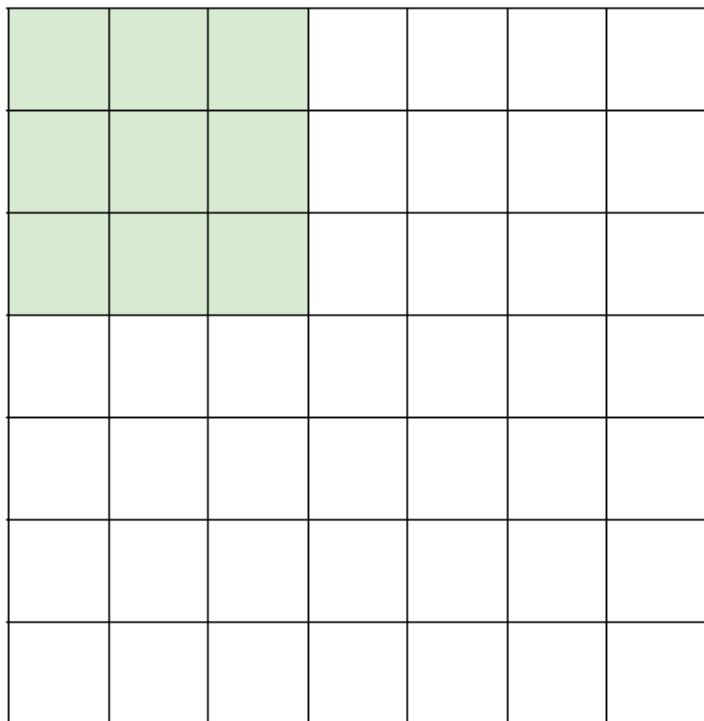


A closer look at spatial dimensions:



A closer look at spatial dimensions:

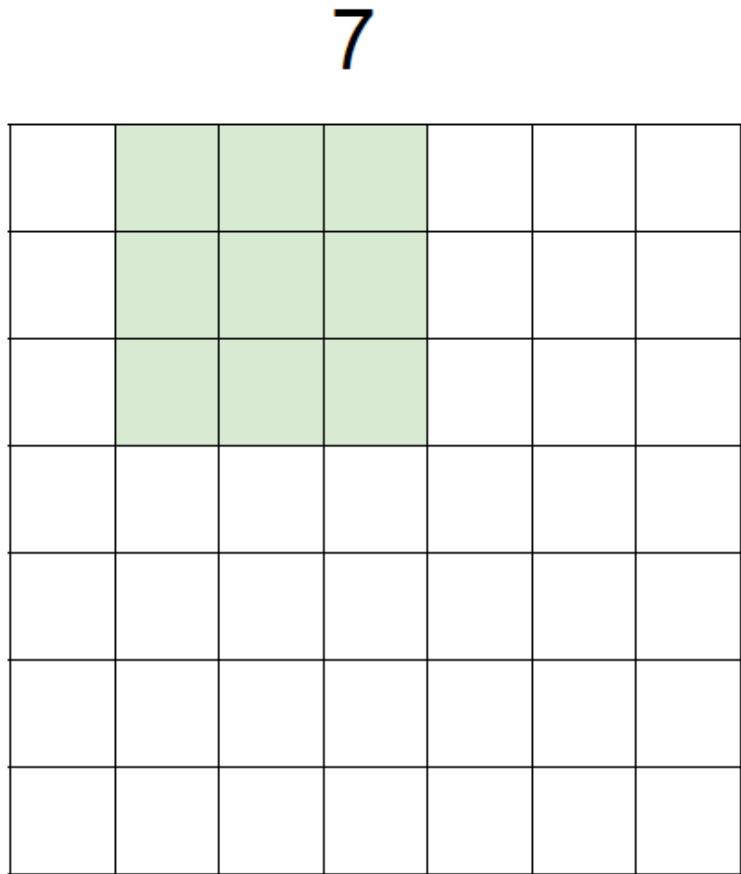
7



7x7 input (spatially)
assume 3x3 filter

7

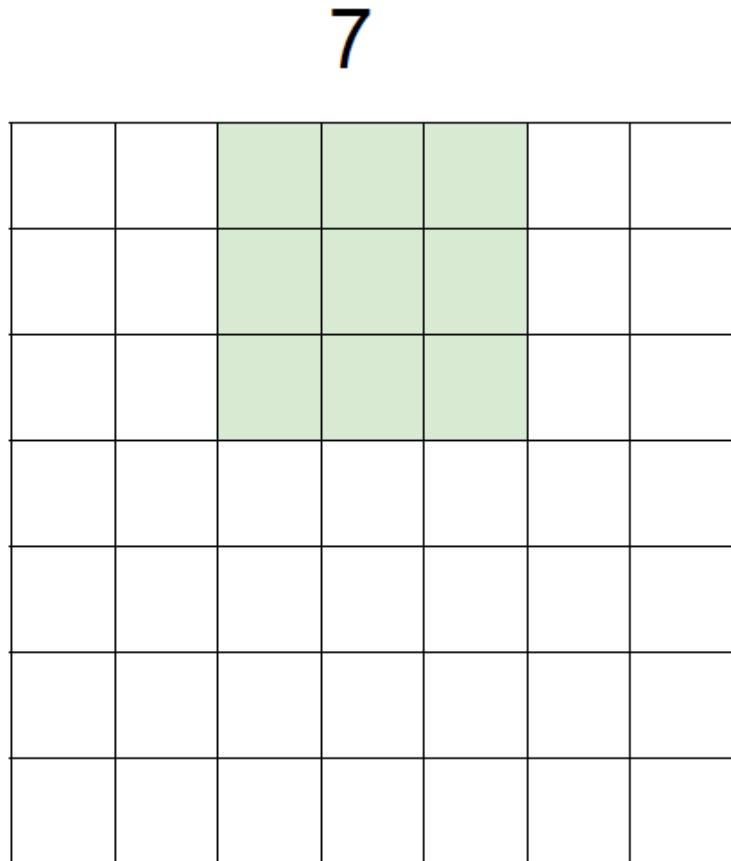
A closer look at spatial dimensions:



7x7 input (spatially)
assume 3x3 filter

7

A closer look at spatial dimensions:



7x7 input (spatially)
assume 3x3 filter

7

A closer look at spatial dimensions:

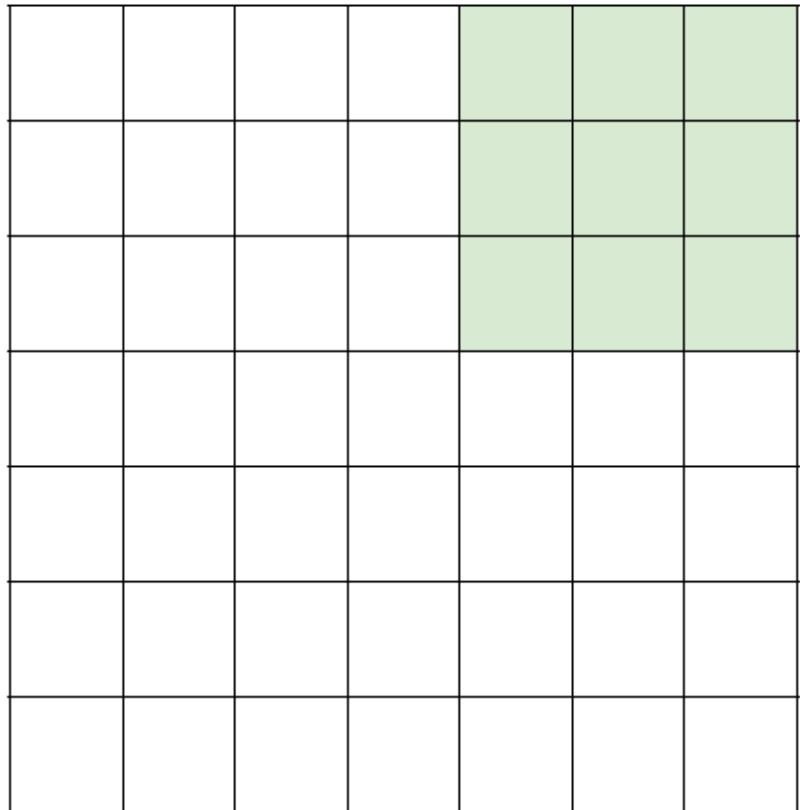
7

7x7 input (spatially)
assume 3x3 filter

7

A closer look at spatial dimensions:

7



7x7 input (spatially)
assume 3x3 filter

7

=> 5x5 output

A closer look at spatial dimensions:

7

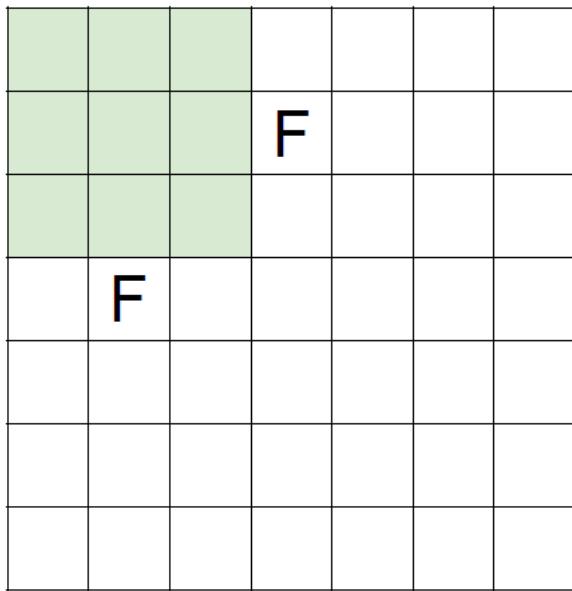
7

7x7 input (spatially)
assume 3x3 filter
applied **with stride 3?**

doesn't fit!

cannot apply 3x3 filter on
7x7 input with stride 3.

N



N

Output size:
 $(N - F) / \text{stride} + 1$

e.g. $N = 7, F = 3$:
stride 1 => $(7 - 3)/1 + 1 = 5$
stride 2 => $(7 - 3)/2 + 1 = 3$
stride 3 => $(7 - 3)/3 + 1 = 2.33$:\

In practice: Common to zero pad the border

0	0	0	0	0	0		
0							
0							
0							
0							

e.g. input 7x7

3x3 filter, applied with stride 1

pad with 1 pixel border => what is the output?

(recall:)

$$(N - F) / \text{stride} + 1$$

In practice: Common to zero pad the border

0	0	0	0	0	0			
0								
0								
0								
0								

e.g. input 7x7

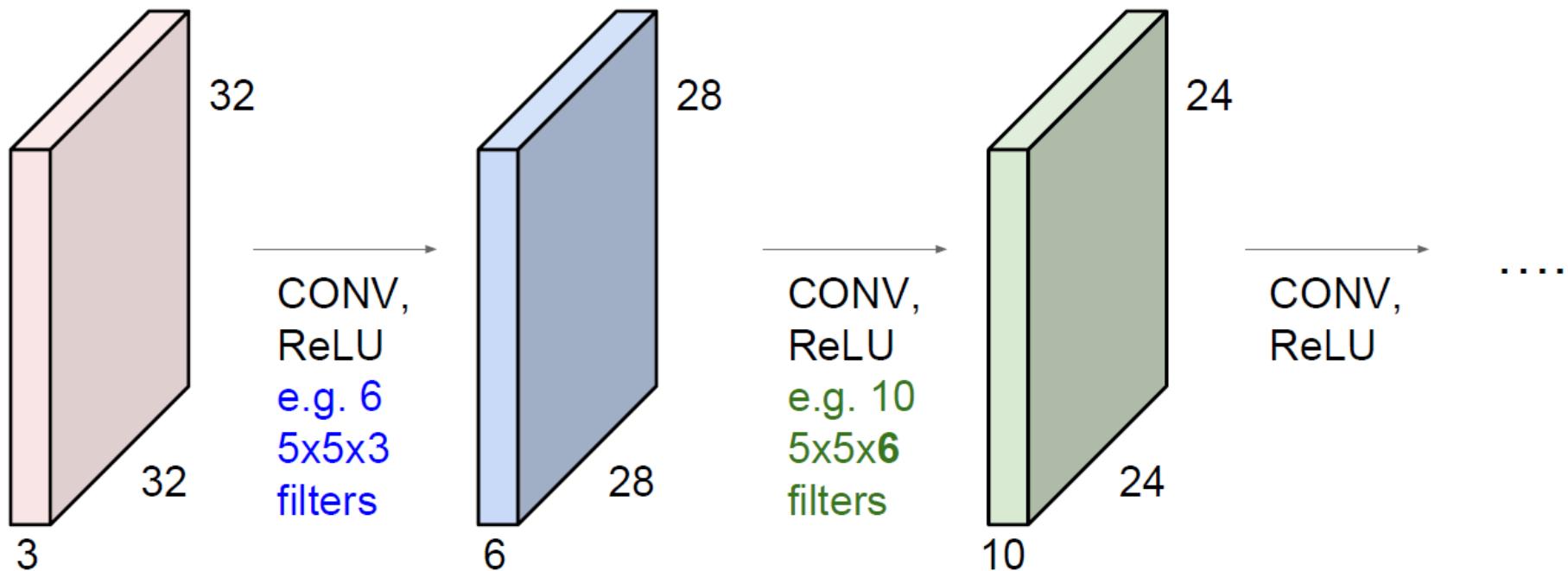
3x3 filter, applied with stride 1

pad with 1 pixel border => what is the output?

7x7 output!

Remember back to...

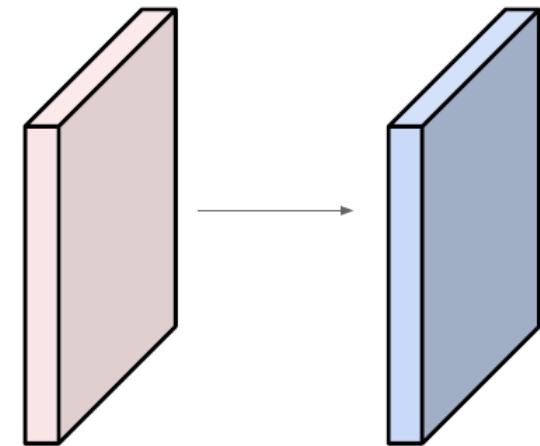
E.g. 32x32 input convolved repeatedly with 5x5 filters shrinks volumes spatially!
(32 -> 28 -> 24 ...). Shrinking too fast is not good, doesn't work well.



Examples time:

Input volume: **32x32x3**

10 5x5 filters with stride 1, pad 2



Output volume size:

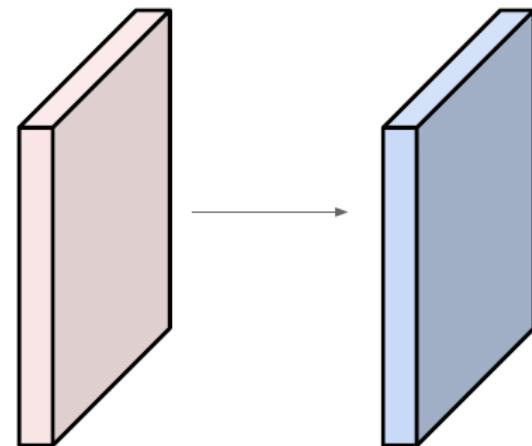
$(32+2*2-5)/1+1 = 32$ spatially, so

32x32x10

Examples time:

Input volume: **32x32x3**

10 5x5 filters with stride 1, pad 2



Number of parameters in this layer?

each filter has **5*5*3 + 1 = 76** params (+1 for bias)

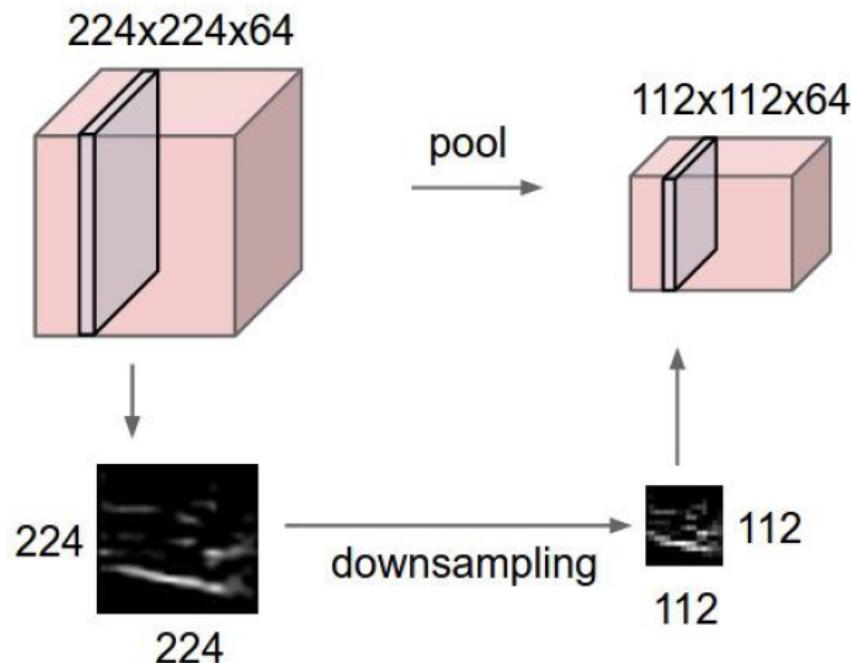
$$\Rightarrow \text{76} * \text{10} = \text{760}$$

two more layers to go: POOL/FC

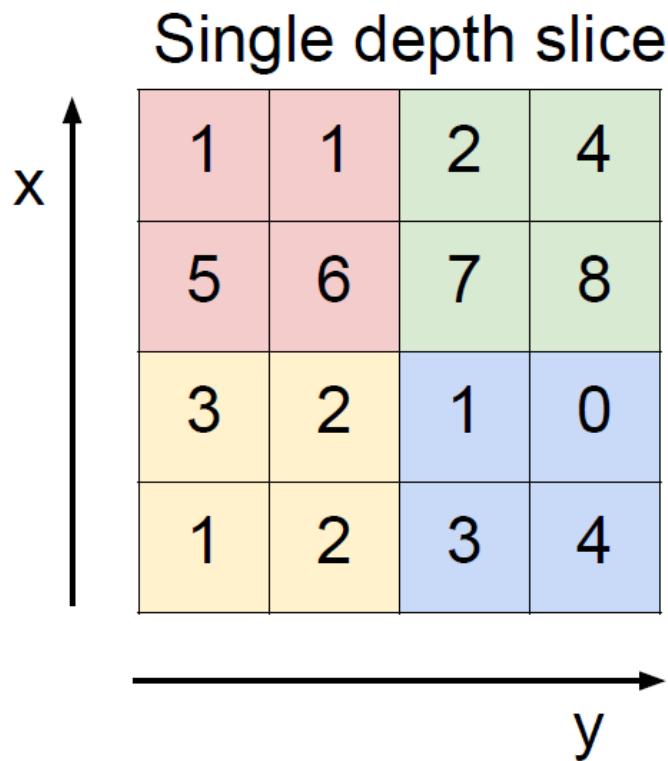


Pooling layer

- makes the representations smaller and more manageable
- operates over each activation map independently:



MAX POOLING



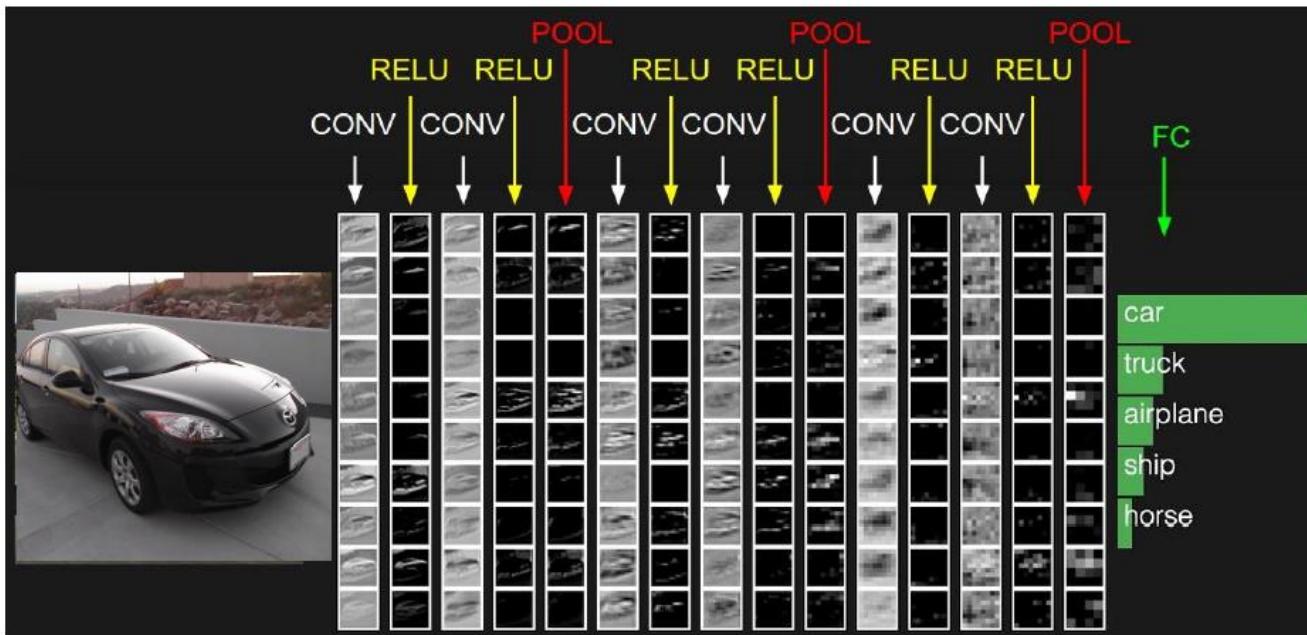
max pool with 2x2 filters
and stride 2

A 2x2 output matrix resulting from max pooling:

6	8
3	4

Fully Connected Layer (FC layer)

- Contains neurons that connect to the entire input volume, as in ordinary Neural Networks



Today: CNN Architectures

Case Studies

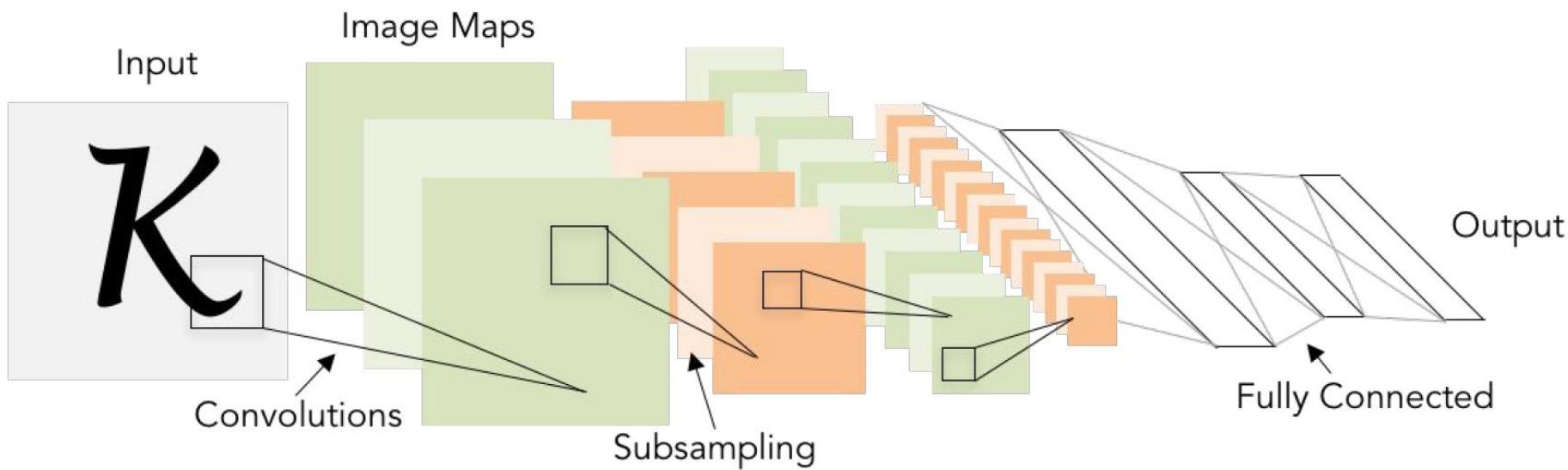
- AlexNet
- VGG
- GoogLeNet
- ResNet

Also....

- NiN (Network in Network)
- Wide ResNet
- ResNeXT
- Stochastic Depth
- DenseNet
- FractalNet
- SqueezeNet

Review: LeNet-5

[LeCun et al., 1998]



Conv filters were 5x5, applied at stride 1

Subsampling (Pooling) layers were 2x2 applied at stride 2
i.e. architecture is [CONV-POOL-CONV-POOL-FC-FC]

ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners

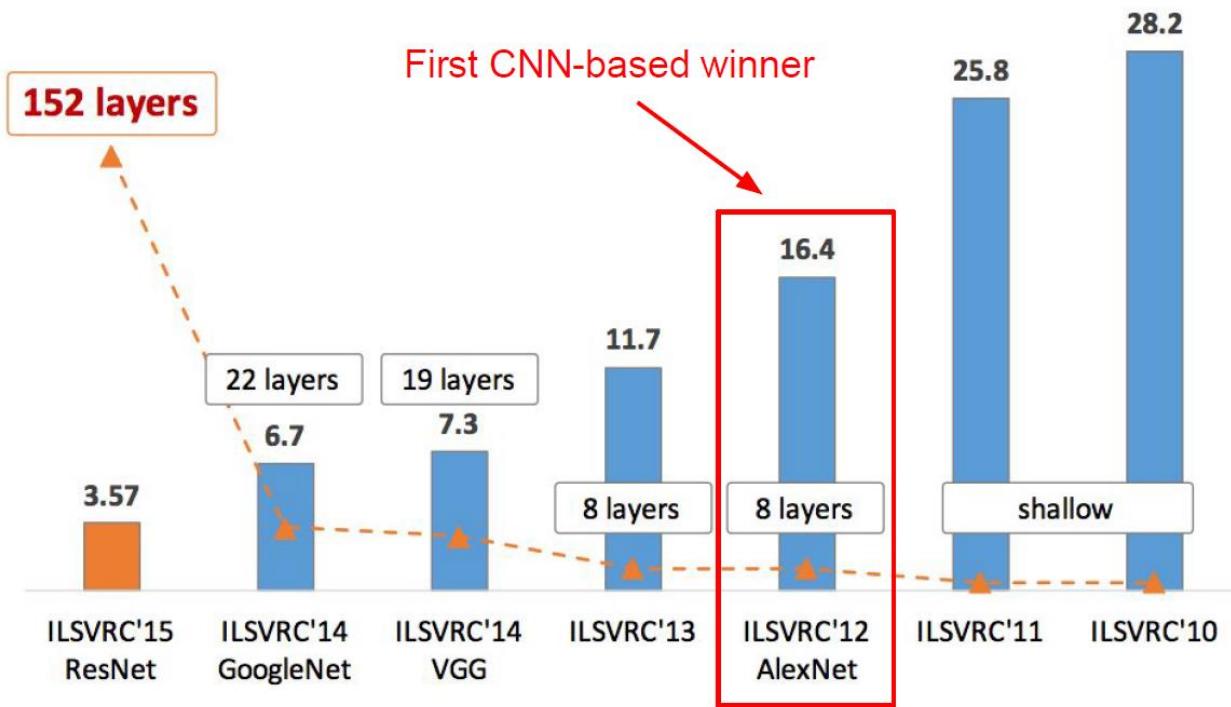


Figure copyright Kaiming He, 2016. Reproduced with permission.

Case Study: AlexNet

[Krizhevsky et al. 2012]

Architecture:

CONV1
MAX POOL1
NORM1
CONV2
MAX POOL2
NORM2
CONV3
CONV4
CONV5
Max POOL3
FC6
FC7
FC8

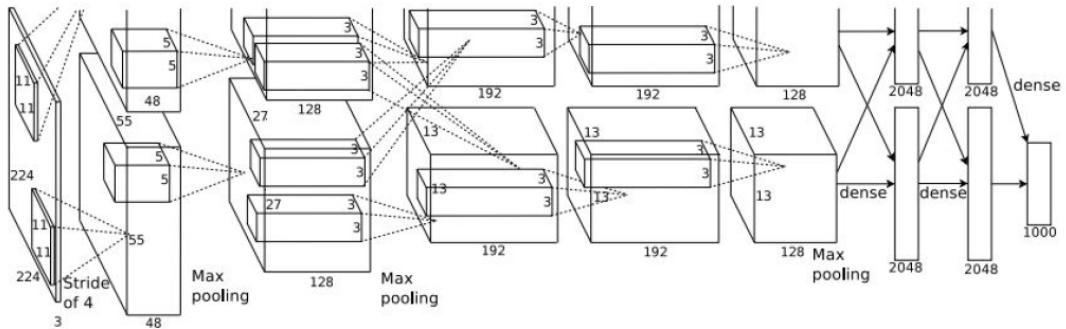
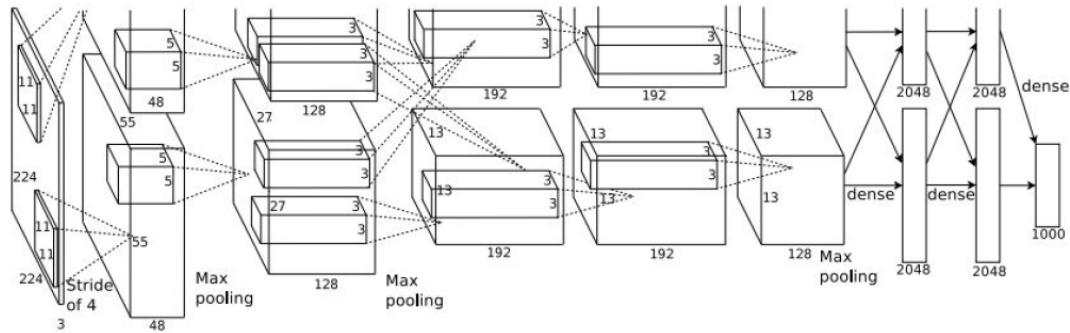


Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

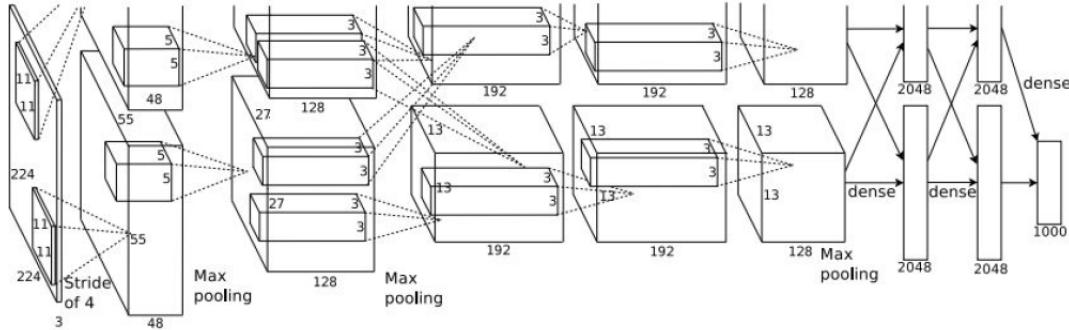
First layer (CONV1): 96 11x11 filters applied at stride 4

=>

Q: what is the output volume size? Hint: $(227-11)/4+1 = 55$

Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

First layer (CONV1): 96 11x11 filters applied at stride 4

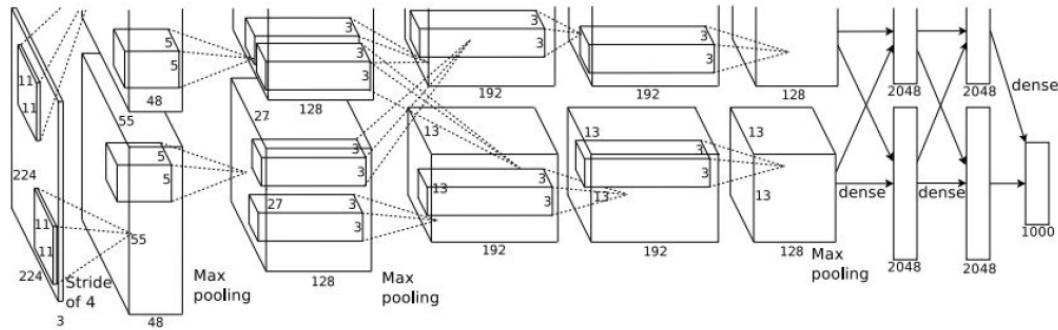
=>

Output volume **[55x55x96]**

Q: What is the total number of parameters in this layer?

Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

First layer (CONV1): 96 11x11 filters applied at stride 4

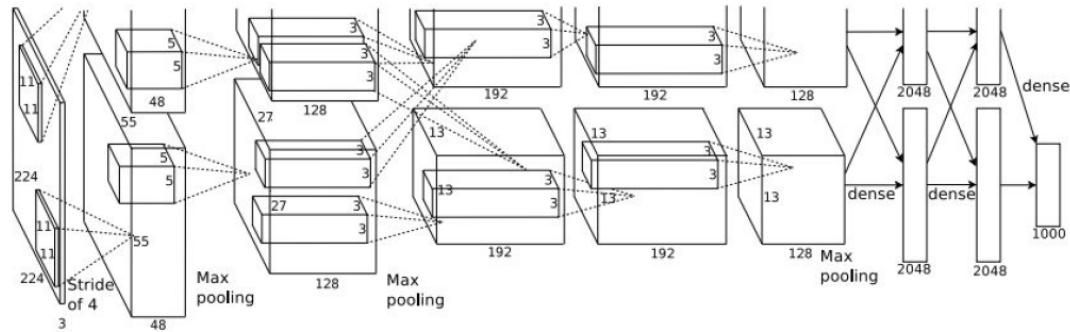
=>

Output volume **[55x55x96]**

Parameters: $(11 \times 11 \times 3) \times 96 = 35K$

Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

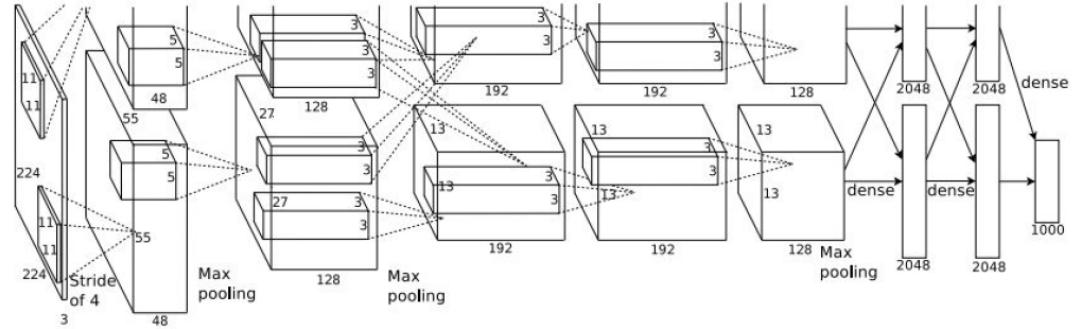
After CONV1: 55x55x96

Second layer (POOL1): 3x3 filters applied at stride 2

Q: what is the output volume size? Hint: $(55-3)/2+1 = 27$

Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

After CONV1: 55x55x96

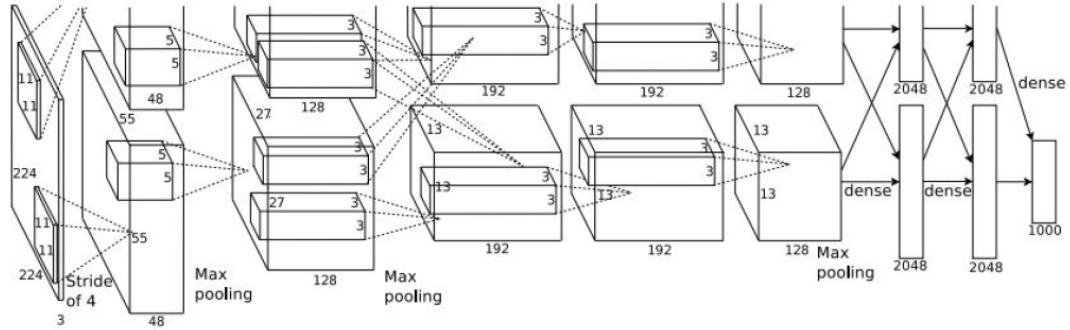
Second layer (POOL1): 3x3 filters applied at stride 2

Output volume: 27x27x96

Q: what is the number of parameters in this layer?

Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

After CONV1: 55x55x96

Second layer (POOL1): 3x3 filters applied at stride 2

Output volume: 27x27x96

Parameters: 0!

Case Study: AlexNet

[Krizhevsky et al. 2012]

Full (simplified) AlexNet architecture:

[227x227x3] INPUT

[55x55x96] CONV1: 96 11x11 filters at stride 4, pad 0

[27x27x96] MAX POOL1: 3x3 filters at stride 2

[27x27x96] NORM1: Normalization layer

[27x27x256] CONV2: 256 5x5 filters at stride 1, pad 2

[13x13x256] MAX POOL2: 3x3 filters at stride 2

[13x13x256] NORM2: Normalization layer

[13x13x384] CONV3: 384 3x3 filters at stride 1, pad 1

[13x13x384] CONV4: 384 3x3 filters at stride 1, pad 1

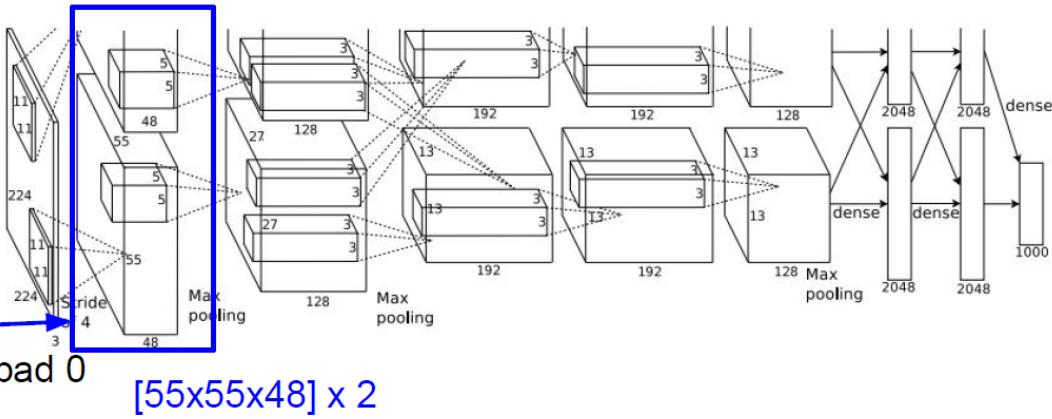
[13x13x256] CONV5: 256 3x3 filters at stride 1, pad 1

[6x6x256] MAX POOL3: 3x3 filters at stride 2

[4096] FC6: 4096 neurons

[4096] FC7: 4096 neurons

[1000] FC8: 1000 neurons (class scores)



Historical note: Trained on GTX 580 GPU with only 3 GB of memory.
Network spread across 2 GPUs, half the neurons (feature maps) on each GPU.

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners

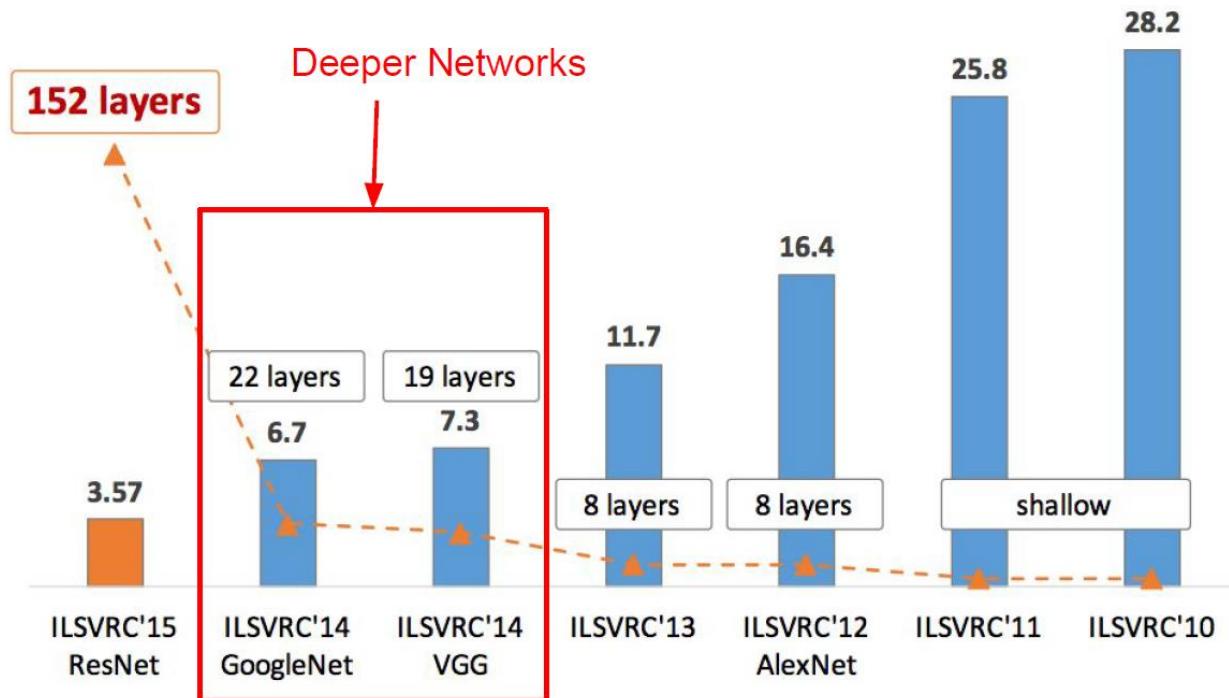


Figure copyright Kaiming He, 2016. Reproduced with permission.

Case Study: VGGNet

[Simonyan and Zisserman, 2014]

Small filters, Deeper networks

8 layers (AlexNet)

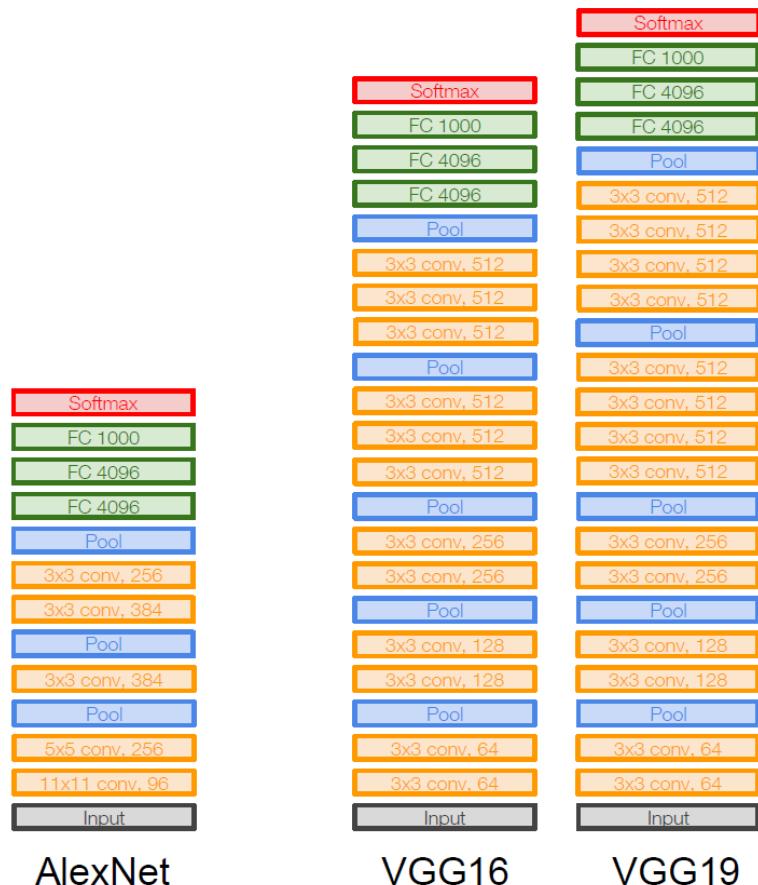
-> 16 - 19 layers (VGG16Net)

Only 3x3 CONV stride 1, pad 1
and 2x2 MAX POOL stride 2

11.7% top 5 error in ILSVRC'13

(ZFNet)

-> 7.3% top 5 error in ILSVRC'14



Case Study: VGGNet

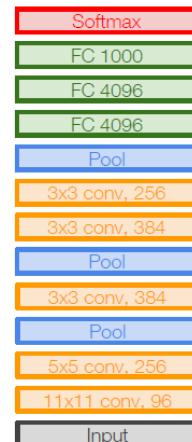
[Simonyan and Zisserman, 2014]

Q: Why use smaller filters? (3x3 conv)

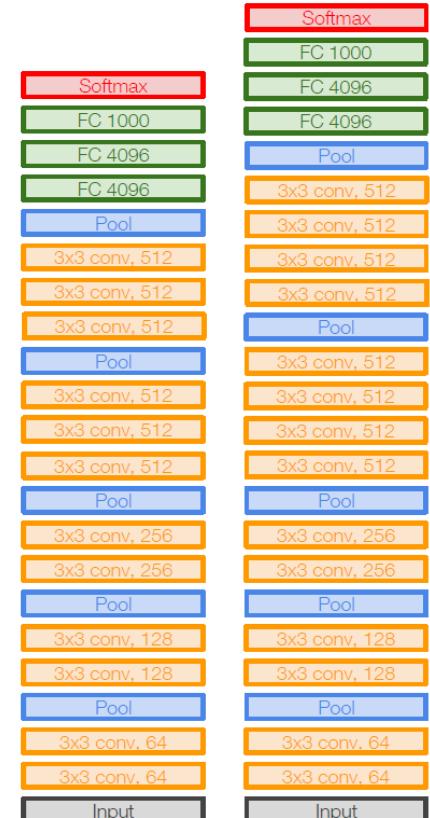
Stack of three 3x3 conv (stride 1) layers
has same **effective receptive field** as
one 7x7 conv layer

But deeper, more non-linearities

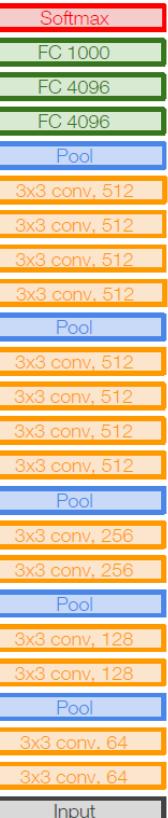
And fewer parameters: $3 * (3^2C^2)$ vs. 7^2C^2 for C channels per layer



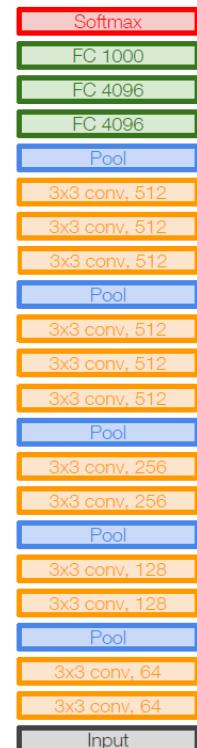
AlexNet



VGG16



VGG19



VGG16

INPUT: [224x224x3] memory: 224*224*3=150K params: 0 (not counting biases)
 CONV3-64: [224x224x64] memory: 224*224*64=3.2M params: (3*3*3)*64 = 1,728
 CONV3-64: [224x224x64] memory: 224*224*64=3.2M params: (3*3*64)*64 = 36,864
 POOL2: [112x112x64] memory: 112*112*64=800K params: 0
 CONV3-128: [112x112x128] memory: 112*112*128=1.6M params: (3*3*64)*128 = 73,728
 CONV3-128: [112x112x128] memory: 112*112*128=1.6M params: (3*3*128)*128 = 147,456
 POOL2: [56x56x128] memory: 56*56*128=400K params: 0
 CONV3-256: [56x56x256] memory: 56*56*256=800K params: (3*3*128)*256 = 294,912
 CONV3-256: [56x56x256] memory: 56*56*256=800K params: (3*3*256)*256 = 589,824
 CONV3-256: [56x56x256] memory: 56*56*256=800K params: (3*3*256)*256 = 589,824
 POOL2: [28x28x256] memory: 28*28*256=200K params: 0
 CONV3-512: [28x28x512] memory: 28*28*512=400K params: (3*3*256)*512 = 1,179,648
 CONV3-512: [28x28x512] memory: 28*28*512=400K params: (3*3*512)*512 = 2,359,296
 CONV3-512: [28x28x512] memory: 28*28*512=400K params: (3*3*512)*512 = 2,359,296
 POOL2: [14x14x512] memory: 14*14*512=100K params: 0
 CONV3-512: [14x14x512] memory: 14*14*512=100K params: (3*3*512)*512 = 2,359,296
 CONV3-512: [14x14x512] memory: 14*14*512=100K params: (3*3*512)*512 = 2,359,296
 CONV3-512: [14x14x512] memory: 14*14*512=100K params: (3*3*512)*512 = 2,359,296
 POOL2: [7x7x512] memory: 7*7*512=25K params: 0
 FC: [1x1x4096] memory: 4096 params: 7*7*512*4096 = 102,760,448
 FC: [1x1x4096] memory: 4096 params: 4096*4096 = 16,777,216
 FC: [1x1x1000] memory: 1000 params: 4096*1000 = 4,096,000

TOTAL memory: 24M * 4 bytes ~= 96MB / image (only forward! ~*2 for bwd)

TOTAL params: 138M parameters

INPUT: [224x224x3] memory: $224 \times 224 \times 3 = 150K$ params: 0 (not counting biases)

CONV3-64: [224x224x64] memory: $224 \times 224 \times 64 = 3.2M$ params: $(3 \times 3 \times 3) \times 64 = 1,728$

CONV3-64: [224x224x64] memory: $224 \times 224 \times 64 = 3.2M$ params: $(3 \times 3 \times 64) \times 64 = 36,864$

POOL2: [112x112x64] memory: $112 \times 112 \times 64 = 800K$ params: 0

CONV3-128: [112x112x128] memory: $112 \times 112 \times 128 = 1.6M$ params: $(3 \times 3 \times 64) \times 128 = 73,728$

CONV3-128: [112x112x128] memory: $112 \times 112 \times 128 = 1.6M$ params: $(3 \times 3 \times 128) \times 128 = 147,456$

POOL2: [56x56x128] memory: $56 \times 56 \times 128 = 400K$ params: 0

CONV3-256: [56x56x256] memory: $56 \times 56 \times 256 = 800K$ params: $(3 \times 3 \times 128) \times 256 = 294,912$

CONV3-256: [56x56x256] memory: $56 \times 56 \times 256 = 800K$ params: $(3 \times 3 \times 256) \times 256 = 589,824$

CONV3-256: [56x56x256] memory: $56 \times 56 \times 256 = 800K$ params: $(3 \times 3 \times 256) \times 256 = 589,824$

POOL2: [28x28x256] memory: $28 \times 28 \times 256 = 200K$ params: 0

CONV3-512: [28x28x512] memory: $28 \times 28 \times 512 = 400K$ params: $(3 \times 3 \times 256) \times 512 = 1,179,648$

CONV3-512: [28x28x512] memory: $28 \times 28 \times 512 = 400K$ params: $(3 \times 3 \times 512) \times 512 = 2,359,296$

CONV3-512: [28x28x512] memory: $28 \times 28 \times 512 = 400K$ params: $(3 \times 3 \times 512) \times 512 = 2,359,296$

POOL2: [14x14x512] memory: $14 \times 14 \times 512 = 100K$ params: 0

CONV3-512: [14x14x512] memory: $14 \times 14 \times 512 = 100K$ params: $(3 \times 3 \times 512) \times 512 = 2,359,296$

CONV3-512: [14x14x512] memory: $14 \times 14 \times 512 = 100K$ params: $(3 \times 3 \times 512) \times 512 = 2,359,296$

CONV3-512: [14x14x512] memory: $14 \times 14 \times 512 = 100K$ params: $(3 \times 3 \times 512) \times 512 = 2,359,296$

POOL2: [7x7x512] memory: $7 \times 7 \times 512 = 25K$ params: 0

FC: [1x1x4096] memory: 4096 params: $7 \times 7 \times 512 \times 4096 = 102,760,448$

FC: [1x1x4096] memory: 4096 params: $4096 \times 4096 = 16,777,216$

FC: [1x1x1000] memory: 1000 params: $4096 \times 1000 = 4,096,000$

TOTAL memory: $24M \times 4 \text{ bytes} \approx 96\text{MB} / \text{image}$ (only forward! ~ 2 for bwd)

TOTAL params: 138M parameters

Note:

Most memory is in early CONV

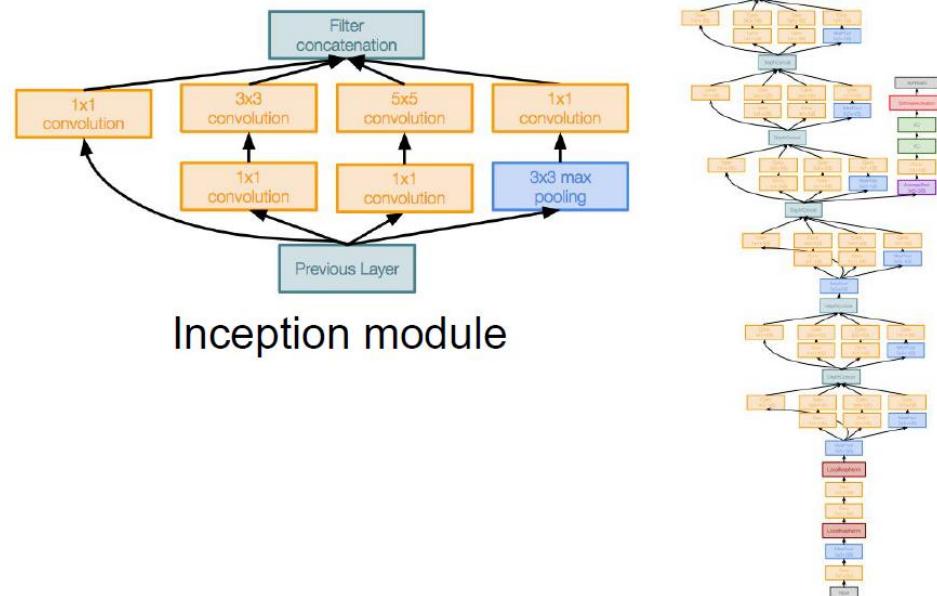
Most params are in late FC

Case Study: GoogLeNet

[Szegedy et al., 2014]

Deeper networks, with computational efficiency

- 22 layers
- Efficient “Inception” module
- No FC layers
- Only 5 million parameters!
12x less than AlexNet
- ILSVRC’14 classification winner
(6.7% top 5 error)



ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners

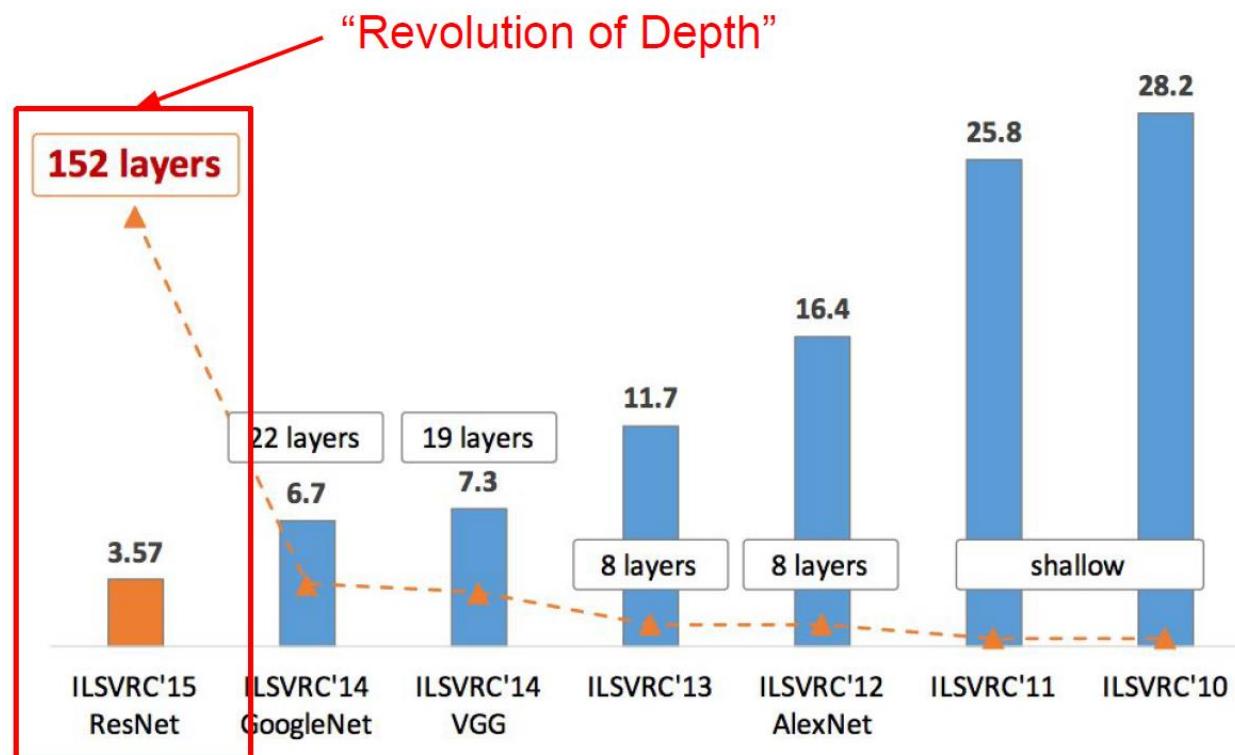


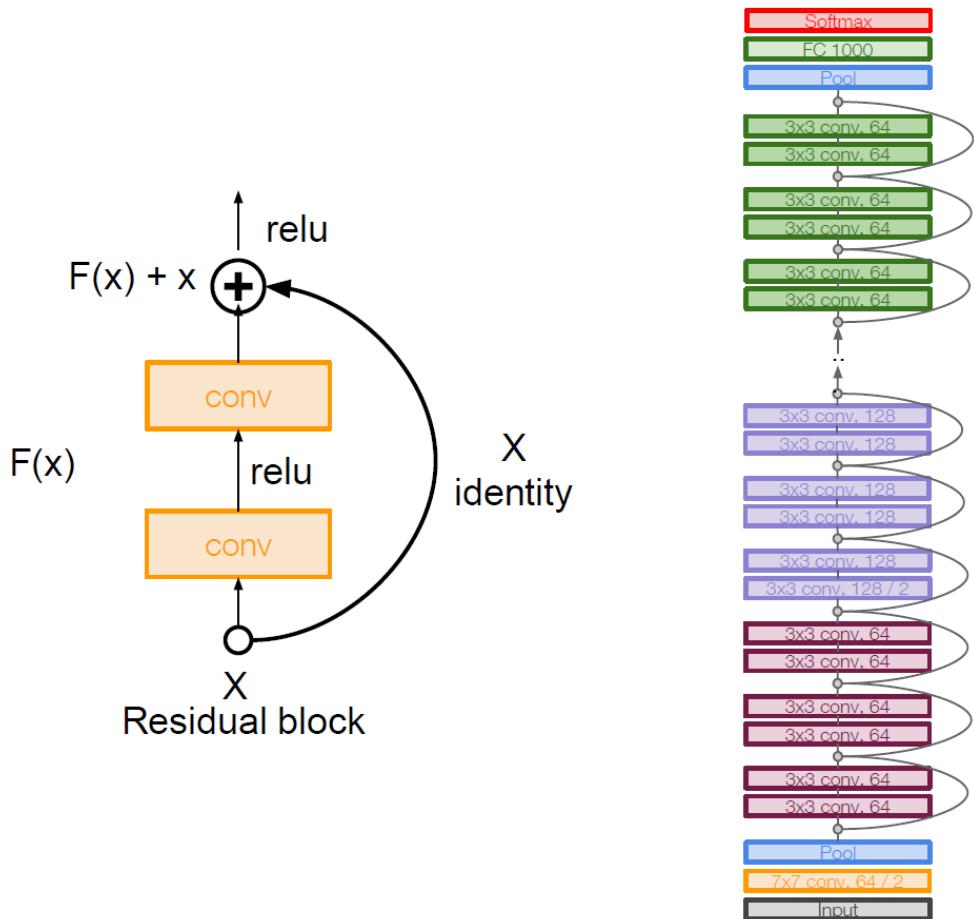
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Case Study: ResNet

[He et al., 2015]

Very deep networks using residual connections

- 152-layer model for ImageNet
- ILSVRC'15 classification winner (3.57% top 5 error)
- Swept all classification and detection competitions in ILSVRC'15 and COCO'15!



Summary

- From vanilla fully connected Neural Networks to Convolutional Networks
- Hierarchical feature learning
- Trend towards extremely Deep Networks
- Next: Recurrent Neural Networks