# AutoName: A Corpus-Based Set Naming Framework

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### **ABSTRACT**

We propose AutoName, an unsupervised framework that extracts a name for a set of query entities from a large-scale text corpus. Entity-set naming is useful in many tasks related to natural language processing and information retrieval such as session-based and conversational information seeking. Previous studies mainly extract set names from knowledge bases which provide highly reliable entity relations, but suffer from limited coverage of entities and set names that represent broad semantic classes. To address these problems, AutoName generates hypernym-anchored candidate phrases via probing a pre-trained language model and the entities' context in documents. Phrases are then clustered to identify ones that describe common concepts among query entities. Finally, AutoName ranks refined phrases based on the co-occurrences of their words with query entities and the conceptual integrity of their respective clusters. We built a new benchmark dataset for this task, consisting of 130 entity sets with name labels. Experimental results show that AutoName generates coherent and meaningful set names and significantly outperforms all baselines.

## **CCS CONCEPTS**

• Information systems  $\rightarrow$  Data mining; Information retrieval.

## **KEYWORDS**

Entity Set Naming; Language Model Probing; Conceptual Clustering

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# 1 INTRODUCTION

Entity set naming refers to the task of inferring a name, i.e., a short, multi-word phrase, for a set of semantically-coherent entities. We propose AutoName, an unsupervised framework that extracts a name for a set of query entities from a large-scale text corpus.

Entities play an important role in understanding queries and documents to provide users with precise and relevant information.

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. Copyrights for components of this work owned by others than ACM must be honored. Abstracting with credit is permitted. To copy otherwise, or republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee. Request permissions from permissions@acm.org.

SIGIR '21, July 11–15, 2021, Virtual Event, Canada © 2021 Association for Computing Machinery. ACM ISBN 978-1-4503-8037-9/21/07...\$15.00 https://doi.org/10.1145/3404835.3463100 This is particularly important in session-based [5] and conversational information seeking [27]. Consider an ongoing information-seeking session between a user and search system, where a set of entities have been used in previous queries and responses. Being able to name that set of entities allows the search system to generate clarifying questions or to lead the session based on the underlying concept of entities. In addition, entity set naming benefits other tasks in natural language processing and information retrieval such as automatic captioning of tables [12, 42], topic model labeling [16, 20, 23, 34], or entity set expansion [31, 32, 40].

Previous studies [18, 33] mostly focus on identifying set names from knowledge bases such as Probase [37] and Freebase [3]. The natural incompleteness of knowledge bases makes it impossible to name all sets of entities. In addition, entity sets to be named in practical settings are usually small, which makes names from knowledge bases not ideal as they more often describe broad semantic classes of entities which do not provide the most specific relation between query entities. To address these problems, we explore generating entity-set names from massive text corpora.

The abundance of text corpora provides high coverage of entities, but it comes with a large number of occurrences for many entities. Selecting which contexts of query entities are useful for the set naming task, and which contexts among those are about common properties of all query entities are challenging. This is even more challenging for queries with multi-sense entities.

An ideal set name should describe the most specific concept common to all query entities. We hypothesize that a set name consists of two parts: (1) a hypernym of the entities describing their broad semantic class and (2) one or more modifiers that narrow down the semantic class to the smallest that include the query entities. Accordingly, we first generate general hypernyms via probing a pre-trained neural language model [9] using Hearst patterns [13] and query entities. These hypernyms then become anchors to extract specified candidate names from entities' contexts in large text corpora. The obtained candidates are then clustered to filter out noise and to find out ones that express the same concept across all query entities. Finally, the refined candidate names will be scored based on the integrity score of their respective clusters and their mutual co-occurrence with all query entities. In addition to extracting a name for an entity set, AutoName also justifies the set name with its most similar contextual sentences that have query entities. This allows users to readily judge the accuracy of provided names.

As AutoName is the first model for naming small sets of query entities using a corpus, to the best of our knowledge, we build an evaluation dataset of 130 entity sets with their set names. Entity sets are automatically obtained and sampled to build user queries of lengths 3 to 5. Sampled queries with the name of their respective sets are then judged by human annotators to make sure that the reference name for the larger set of entities is still appropriate

for the queries in terms of specificity and accuracy. The evaluation dataset contains 1,767 queries of entities with their reference names.

We conduct extensive experiments, comparing AutoName against both the state-of-the-art knowledge-base approach [33] and the powerful text generation model T5 [28] that needs training data to be fine-tuned for entity-set naming. The results demonstrate that AutoName outperforms the baselines by 45% in ROUGE [19]. Since automatic evaluation models may not always correlate with human judgments, we asked people to judge the quality of extracted set names by AutoName and baselines. AutoName achieves both the highest average accuracy and Kappa agreements among annotators. Finally, evaluation results show that AutoName, as a successful and completely unsupervised model, can be used to generate a large amount of training data for training of language generation models, such as T5, to generate set names. This is because we demonstrated that 1,125 labeled samples are not sufficient to fully fine-tune T5. The evaluation dataset and implementation of AutoName are available at https://github.com/zhiqihuang/autoname.

### 2 RELATED WORK

We review related research topics and their differences with entity set naming.

Table captioning is supported by web tables that provide abundant training data. Leveraging table headers and page structures, Hancock et al. [12] train a sequence-to-sequence model to generate titles. When the table contains only a single column of entities, the table captioning task degenerates to entity set naming task. We thus prepare our benchmark dataset by choosing an appropriate subset of the web tables in Wikipedia. Our problem is more challenging because of the unstructured text data with unsupervised setting.

**Topic modeling** [2] is to discover latent topics in a collection of documents. Treeratpituk and Callan [34] assign labels to topic via hierarchical clustering. Neural model with different structures and loss functions are also designed for this task [11, 15]. In general, topic labeling is focusing on document-level inputs to generate keywords to represent the latent topic. On the other hand, our task focuses on entity-level inputs and extract specific set name from large textual corpus.

**Extracting keyphrases** that are salient to a document's meaning is an essential step to semantic document understanding [38]. The input for a keyphrase extraction task is usually documents and the goal is to extract or generate phrases which cover the topic of the input documents. Recent approaches based on neural networks have shown promising results [6, 24, 39, 44]. Rather than finding a description of a document's content, the set naming task is to find descriptive concept for a group of semantically coherent entities.

The **entity set expansion** problem identifies entities that belong to the same semantic class given a few seed entities [7, 8, 31, 32, 35, 36, 40, 41]. In set expansion, the user would like to see more semantically similar entities; in set naming, the user has a group of entities and seeks to understand why they can be grouped together.

**Conceptual labeling**, proposed by Sun et al. [33], a task we believe is the most similar to entity set naming. The method extracts labels from a knowledge graph to summarize a concept towards a bag of words. We use it as a strong baseline to evaluate the performance of our framework.

#### 3 THE AUTONAME FRAMEWORK

Given a set of semantically similar entities as query  $Q = \{e_1, e_2, \dots e_q\}$  where |Q| is small, the goal of set naming is to identify a name for Q from a text corpus. AutoName addresses the problem by extracting and ranking phrases from the contexts of the query entities.

## 3.1 Candidate Name Generation

A set name typically contains two components: a hypernym for the broad semantic class and one or more modifiers that narrow the semantic class of the hypernym. Therefore, candidate set names for an entity set are generated as a two step process: hypernym generation and then phrase enrichment.

Hypernym generation identifies general hypernyms for the given query entities. We probe a pre-trained LM with query entities to obtain the hypernym component of the set name. The probing queries are constructed based on six Hearst patterns [14] filling the hyponym slots by query entities and leave the hypernym slot as a masked token to predict. For example, the query {Toronto, Ottawa, Waterloo} and pattern "NP such as NP, NP and NP", the probing query is "[MASK] such as Toronto, Ottawa and Waterloo". The LM predicts a probability distribution over vocabulary for the masked token. To achieve high recall, we collect the top 5 predicted tokens from each Hearst pattern as hypernym candidates. The ranking step (Section 3.3) controls the accuracy of candidates as set names. Given query entities and a set of likely hypernyms, we retrieve all sentences in the corpus that have at least one occurrence of a query entity and a hypernym, providing contexts of query entities. Restricting the query contexts to sentences that contain a hypernym greatly reduces the search space of candidate phrases.

**Phrase enrichment.** Each hypernym is used to extract a set of candidate noun phrases from the contexts of query entities in the text corpus. First, tokens around the hypernym in obtained contexts are labeled with part-of-speech (POS) tags. We then extract text spans that match the phrase grammar pattern defined on a coarse tag set of adjectives (A), nouns (N), prepositions (P), and determiners (T) as (A|N) \* N(PT \* (A|N) \* N)\*. We apply a finite-state transducer algorithm [30] on the POS sequence to efficiently extract overlapping and nested spans which can cover different levels of semantic classes for each hypernym. Considering both generality and specificity, we extract phrases of length 2 to 8. For each obtained phrase, we keep its corresponding sentence. Thus, the output is a set of phrase-sentence pairs, denoted **PS** = {(p, s) $_1$ } $_{1>0}$ .

### 3.2 Density-based Phrase Clustering

Candidate phrase-sentence pairs were generated from contexts of query entities. However, the phrases can refer to different concepts related to query entities where some concepts are not common properties of all query entities. To identify similar concepts of entities, we cluster the candidate phrases using Hierarchical Density-based Spatial Clustering of Applications with Noise (HDBSCAN) [4, 21], chosen primarily because HDBSCAN does not require the number of clusters as a parameter. This is useful as clusters should represent different concepts of query entities, where the number of concepts differs between queries. To represent noun phrases for clustering, we use Sentence-BERT (SBERT) [29]. Mapping candidate phrases to a vector space, we measure phrase similarity using cosine distance.

We cluster the candidate phrases in **PS** using HDBSCAN to find clusters that represent common concepts across all query entities. For this purpose, we say a cluster is valid only if its constituent phrases cover all query entities. We use the parameter k of HDBSCAN that defines how conservative clustering should be [22] to get valid clusters. Starting from k=1, the algorithm generates the largest number of clusters with minimum cluster size. Then, we check the validity of each obtained cluster. If there is no valid cluster, HDBSCAN is re-run with an increased value of k which leads to clusters of larger sizes. We stop the algorithm if either of two conditions is satisfied: (1) there exists at least one valid cluster or (2) k exceeds a pre-defined large value. When the second condition is satisfied, we assume there is no common concept in the corpus for the given query entities and do not continue. After clustering, only phrases in valid clusters are kept for further evaluation.

## 3.3 Set Name Ranking

We rank refined candidate phrases for set names. Ranking models mostly rely on exact or semantic term matching, but in this task, query entities do not occur in candidate names. Thus, we design a scoring function considering two factors: *cluster* and *phrase* scores.

**Cluster score.** To measure how well candidates within a cluster represent the same concept, we compute a score for each cluster based on the semantic similarity of sentences from its constituent (p,s) pairs. As we only consider valid clusters, these sentences as a group contain all query entities, and are encoded using SBERT. We denote by  $s_i^c$  a sentence in cluster c that contains query entity  $e_i$ . For each pair of query entities  $e_i$  and  $e_j$ , we then compute the cosine similarity matrix  $\Delta_{ij}$  between all sentence pairs  $(s_i^c, s_j^c)$  in the cluster. The score of cluster c is then defined as

$$score(c) = \frac{1}{\binom{q}{2}} \sum_{1 \le i < j \le q} \max (\Delta_{ij}).$$

This score reflects the semantic coherence of the candidate phrases in a cluster as the name of the entity set.

**Phrase score.** To rank the candidate phrases within the cluster, we score each phrase as well. For each term  $\omega$  in a candidate phrase p, we compute an importance weight based on its co-occurrences with query entities. We only count the co-occurrence of  $\omega$  and a query entity in a sentence if their shortest dependency path (SDP) is smaller than a pre-defined value. The importance of  $\omega$  for query entity  $e_i$  is calculated by the Mutual Information (MI) as

$$\mathrm{MI}(\omega,e_i) = \sum_{X_i = 0,1} \sum_{X_\omega = 0,1} P(X_i,X_\omega) \log \frac{P(X_i,X_\omega)}{P(X_i)P(X_\omega)},$$

where  $X_i$  and  $X_\omega$  are binary variables representing whether  $e_i$  or  $\omega$  is present in a sentence. The importance of  $\omega$  across all query entities are computed as the average of MI,  $I_\omega = \frac{1}{q} \sum_{i=1}^q \mathrm{MI}(\omega, e_i)$ . A phrase p is then scored based on its constituent terms as

$$score(p) = \frac{\sum_{\omega \in p} I_{\omega}}{(1 + \log_2 L^*)}$$
 (1)

where  $L^*$  is the number of non-stop tokens in p to penalize long phrases but provide stopword tolerance.

**Score combination.** The final ranking score of phrase p is the sum of its phrase score(p) and its respective cluster score(c).

## 4 EXPERIMENTS

## 4.1 Dataset and Experimental Setup

We prepare the **benchmark dataset for entity-set naming** based on the Wikipedia *Lists of lists* of *lists* page [10]. Following past works [1, 17], we restrict content extraction to *wikitable* class and fetch set entities from the subject column of tables and use the page title as their set names. The obtained collection consists of 130 semantic sets among various domains. From each set, we sample 15 queries, 5 each of length 3, 4, and 5. Sampled queries with the name of their respective sets are then judged by three human annotators to make sure that the reference name for the larger set of entities is still appropriate for the queries in terms of specificity and accuracy. Only queries where all annotators agree about the suitability of the set names are kept. The resulting dataset contains 1,767 queries.

We experiment on two text corpora: the news reports published by Associated Press in 1989 (AP89) and the English Wikipedia data dump from June 2019 (Wiki). For AP89, we use the full dataset with 242,819 documents. For Wiki, we exclude all list-type pages and focus on article pages with structured templates removed. Finally, we have a large text corpus with 981,923 documents.

**Experimental setup.** For LM probing, we use pre-trained BERT-base-uncased. We set  $k_{max}$  to be twice the query length as the stopping criteria for clustering. To find co-occurrences, we consider terms whose distance in the dependency tree is not greater than 3.

**Evaluation Metrics.** We use BLEU [26] and ROUGE [19] metrics for text generation evaluation. To accommodate paraphrasing, we use ROUGE with word embeddings (ROUGE-WE) [25] and BERTScore [43] to compare semantic similarity between reference and generated set names. We also report human evaluations of generated set names.

We compare AutoName against three **baseline** models. **CLBoW** labels a bag of words using *IsA* and *IsPropertyOf* relationships in Probase [33]. Their algorithm finds label with the minimum description length that cover the query. The **LMProbing** baseline is inspired by hypernym detection using LM probing [45]. The generated hypernym is fed to an auto-regressive LM to predict the next three tokens. The last baseline is **T5Gen** which fine-tunes the generative model T5 [28] for entity-set naming. All sentences including query entities are first scored using Eq.(1). The top 3 sentences are then selected as the T5 input. The generation target is the corresponding set name. Using 75 sets with their 1,125 queries as training data and 55 sets as test data, T5 is fine-tuned for 5 epochs.

We also study two ablations of AutoName. First, **AutoName-T** excludes the conceptual clustering and ranks candidate phrases only using the phrase score. The second ablation **AutoName-C** consider all possible phrases in the query-related documents as candidate names, instead of probing a LM to generate candidates.

### 4.2 Experimental Results

**Overall performance.** Table 1 reports the comparison results. AutoName and its ablated variants perform significantly better than baseline methods in terms of BLEU-1, BLEU-2, ROUGE-1, ROUGE-L and ROUGE-WE-1. AutoName improves performance over baseline models by a larger percentage in the Wiki than in the AP89. This behavior is expected because a larger text corpus provides more contextual information for the model to infer semantic classes.

Table 1: Comparison results on AP89 and Wiki dataset. BL-k and RG-k and refer to BLEU-k and ROUGE-k respectively. RGWE-k stands for ROUGE-k with word embeddings. This table is based on queries of length 3. ( $\Delta$ ) indicates statistical significance comparing to baseline methods and bold in AutoName indicates statistical significance comparing to its ablations (p = 0.05).

Model	AP89								Wiki							
	BL-1	BL-2	RG-1	RG-2	RG-L	RGWE-1	RGWE-2	BERTScore	BL-1	BL-2	RG-1	RG-2	RG-L	RGWE-1	RGWE-2	BERTScore
LMProbing CLBoW	0.2102 0.1833	0.1465 0.1452	0.3107 0.2980	0.0372 0.0335	0.2951 0.2805	0.7406 0.7396	0.6385 0.6357	0.2043 0.3451	0.2018 0.1761	0.1542 0.1436	0.3000 0.2859	0.0636 0.0532	0.2966 0.2846	0.7621 0.7420	0.6777 0.6602	0.2127 0.3958
AutoName AutoName-T AutoName-C	0.2818 <sup>4</sup> 0.2740 <sup>4</sup> 0.2709 <sup>4</sup>	0.2162 <sup>A</sup> 0.2068 <sup>A</sup> 0.2044 <sup>A</sup>	0.3735 <sup>4</sup> 0.3655 <sup>4</sup> 0.3613 <sup>4</sup>	0.0696 0.0633 0.0709	0.3606 0.3538 0.3498	0.7687▲ 0.7776▲ 0.7742▲	0.6314 0.6324 0.6331	0.2689 0.2664 0.2631	0.4754 <sup>4</sup> 0.4382 <sup>4</sup> 0.4194 <sup>4</sup>	0.3693 <sup>4</sup> 0.3311 <sup>4</sup> 0.3147 <sup>4</sup>	0.5528 <sup>4</sup> 0.5313 <sup>4</sup> 0.5103 <sup>4</sup>	0.2418 <sup>4</sup> 0.2229 <sup>4</sup> 0.2122 <sup>4</sup>	0.5249 <sup>A</sup> 0.4976 <sup>A</sup> 0.4789 <sup>A</sup>	0.8285▲ 0.8339▲ 0.8260▲	0.7327▲ 0.7409▲ 0.7380▲	<b>0.4474</b> <sup>▲</sup> 0.4129 <sup>▲</sup> 0.3879

Table 2: Performance of AutoName and T5 models. ( $\blacktriangle$ ) indicates statistical significance difference (p = 0.05).

Model		AP89								Wiki							
	BL-1	BL-2	RG-1	RG-2	RG-L	RGWE-1	RGWE-2	BERTScore	BL-1	BL-2	RG-1	RG-2	RG-L	RGWE-1	RGWE-2	BERTScore	
T5Gen AutoName	0.1639 0.2177▲	0.1348 0.1602	0.1876 0.3003▲	0.0503 0.0516	0.1876 0.2845▲	0.6678 0.7239▲	0.5913 0.6141▲	0.1764 0.1974▲	0.3413 0.4083	0.2718 0.3078▲	0.4280 0.4886▲	0.1502 0.1971▲	0.4226 0.4713▲	0.8039 0.8172	0.7293 0.7301	0.3424 0.3804▲	

Table 3: Human evaluation of generated set names.

Model	Fleiss' kappa	Average accuracy
CLBoW	0.3604	23%
AutoName	0.5866	53%
T5Gen	0.4883	48%

LMProbing has nearly the same performance as CLBoW based on a knowledge base. The pre-trained LM tries to recover the masked token with the highest probable token based on its training data, and the Hearst patterns used for probing are lexico-syntactic patterns capturing the hypernym relation between query entities. Therefore, this model successfully generates the hypernym part of most set names, and provides a strong baseline. Comparing with CLBoW, the AutoName framework shows superior performance, especially achieving significant improvements over all metrics on the Wiki dataset. This is because AutoName not only generates hypernyms for query entities, but also narrows the concept by searching for a more fine-grained phrase from the text corpus. In AP89, two variants of our model reach the same performance as the combined approach. Yet, in Wiki, AutoName outperforms its ablated methods. Because of more occurrences and context of query entities, both probing and clustering are necessary to achieve optimal results in a larger and context-rich corpus.

In Table 2, we compare the results of our model to the supervised generative model on the same test set. With a limited amount of data available for fine-tuning, our model performs better than the T5 model. In Table 3, we compare AutoName and baseline methods based on human evaluation of 50 randomly sampled queries over the Wiki corpus. Pairs of target set names and outputs of a setnaming model are shuffled and anonymized for the annotation task where annotators judge which set name is more suitable for the query entities. Judged by 3 annotators, AutoName achieves the best average accuracy and Kappa agreement between annotators.

**Performance analysis.** We first study the impact of query length on the performance of different models. Figure 1a shows the performance of different models with respect to queries of length 3, 4, and 5 based on the ROUGE-1 metric. AutoName outperforms

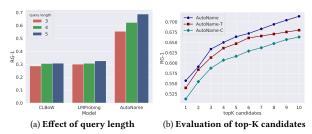


Figure 1: Analysis of query length and top-K candidates.

the baseline models for all query lengths, and shows a larger performance gain with length increment. This is because more input entities provide richer contextual information for the model to infer the semantic classes, thus, leading to better performance.

Since AutoName and its ablations generate a ranked list of candidate phrases, we also consider the performance of top-K candidates. Figure 1b shows the best ROUGE-1 of top-K candidates where K varies from 1 to 10. AutoName shows consistently better performance than its ablations.

# 5 CONCLUSION

To address the entity set naming task, we propose AutoName, a corpus-based unsupervised framework that considers both the semantic concept of query entities and the structural features of candidate names to consolidate and rank hypernym-based phrases as the desirable set names. We collect a benchmark dataset with 130 entity sets and their set names from Wikipedia list pages. Our experiments show that the names generated by AutoName are of higher quality than all baseline models. In the future, we plan to combine the ranking model with the neural language generative model and improve it to extract multiple names learned from contexts.

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