# lau\_2017\_topically\_driven\_neural\_language\_model Year 2017 Author(s) Lau, Jey Han and Baldwin, Timothy and Cohn, Trevor **Title** Topically Driven Neural Language Model Venue **ACL** Topic labeling Fully automated **Focus** Secondary Type of contribution Novel approach

# Underlying technique

Result of supervised topic modeling (supervised tdlm), LSTM-based

# Topic labeling parameters

LSTM size = "large"

# Label generation

### **Approach 1**

#### Approach 2

Topics generated by topic models are typically in- terpreted by way of their top-N highest probabil- ity words. In tdlm, we can additionally generate sentences related to the topic, providing another way to understand the topics. To do this, we can constrain the topic vector for the language model to be the topic output vector of a particular topic

$$\mathbf{z}_{t} = \sigma(\mathbf{W}_{z}\mathbf{s} + \mathbf{U}_{z}\mathbf{h}_{t} + \mathbf{b}_{z})$$

$$\mathbf{r}_{t} = \sigma(\mathbf{W}_{r}\mathbf{s} + \mathbf{U}_{r}\mathbf{h}_{t} + \mathbf{b}_{r})$$

$$\hat{\mathbf{h}}_{t} = \tanh(\mathbf{W}_{h}\mathbf{s} + \mathbf{U}_{h}(\mathbf{r}_{t} \odot \mathbf{h}_{t}) + \mathbf{b}_{h})$$

$$\mathbf{h}'_{t} = (1 - \mathbf{z}_{t}) \odot \mathbf{h}_{t} + \mathbf{z}_{t} \odot \hat{\mathbf{h}}_{t}$$
(3)

where  $\mathbf{z}_t$  and  $\mathbf{r}_t$  are the update and reset gate activations respectively at timestep t. The new hidden state  $\mathbf{h}_t'$  is connected to a dense layer with linear transformation and softmax output to predict the next word, and the model is optimised using standard categorical cross-entropy loss.

We present 4 topics from a APNEWS model (k = 100) and 3 randomly generated sentences conditioned on each topic in Table 8.

| <b>Topic</b>  | Generated Sentences  |  |  |  |
|---|--|--|--|--|
| protesters suspect gunman   | • police say a suspect in the shooting was shot in the chest and later shot and killed by a police officer .   |  |  |  |
| officers occupy gun arrests   | <ul> <li>a police officer shot her in the chest and the man was killed.</li> </ul>                             |  |  |  |
| suspects shooting officer   | <ul> <li>police have said four men have been killed in a shooting in suburban london.</li> </ul>               |  |  |  |
| film awards actress comedy<br>music actor album show<br>nominations movie | • it 's like it 's not fair to keep a star in a light," he says.   |  |  |  |
|   | <ul> <li>but james, a four-time star, is just a (unk).</li> </ul>  |  |  |  |
|   | • a (unk) adaptation of the movie "the dark knight rises" won best picture and he was nominated for best       |  |  |  |
|   | drama for best director of " $\langle unk \rangle$ ," which will be presented sunday night .                   |  |  |  |
| storm snow weather inches   | • temperatures are forecast to remain above freezing enough to reach a tropical storm or heaviest temperatures |  |  |  |
| flooding rain service   | <ul> <li>snowfall totals were one of the busiest in the country.</li> </ul>                                    |  |  |  |
| winds tornado forecasters   | • forecasters say tornado irene 's strong winds could ease visibility and funnel clouds of snow from snow      |  |  |  |
|   | monday to the mountains .  |  |  |  |
| virus nile flu vaccine  | <ul> <li>he says the disease was transmitted by an infected person.</li> </ul>                                 |  |  |  |
| disease outbreak infected   | <ul> <li>\(\lambda\) says the man 's symptoms are spread away from the heat.</li> </ul>                        |  |  |  |
| symptoms cough tested   | <ul> <li>meanwhile in the (unk), the virus has been common in the mojave desert.</li> </ul>                    |  |  |  |

#### Motivation

The generated sentences highlight the content of the topics, providing another interpretable aspect for the topics. These results also reinforce that the language model is driven by topics.

## Topic modeling

(Supervised) Neural topic model (associated with LSTM-based language model)

Baselines: (Supervised) nmt Cao et al., 2015, sLDA (McAuliffe and Blei, 2008)

The architecture of the proposed topically-driven language model (henceforth "tdlm") is illustrated in Figure 1.

There are two components in tdlm:

- The language model is designed to capture word relations in sentences, while the topic model learns topical information in documents. The language model is a standard LSTM language model.
- The topic model works like an auto-encoder, where it is given the document words as
  input and optimised to predict them. The topic model takes in word embeddings of a
  document and generates a document vector us- ing a convolutional network. Given
  the document vector, we associate it with the topics via an attention scheme to
  compute a weighted mean of topic vectors, which is then used to predict a word in
  the document.

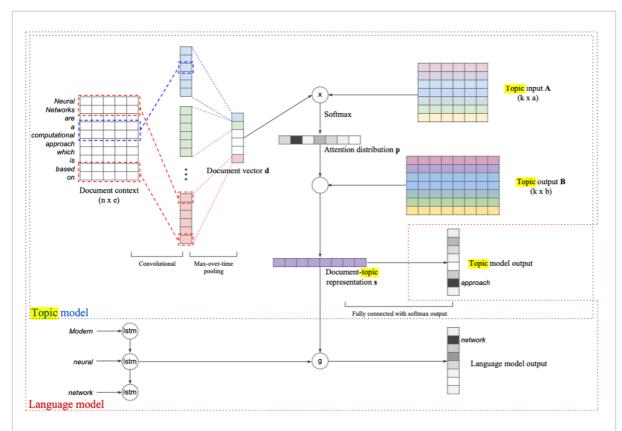


Figure 1: Architecture of tdlm. Scope of the models are denoted by dotted lines: blue line denotes the scope of the topic model, red the language model.

In datasets where document labels are known, supervised topic model extensions are designed to leverage the additional information to improve modelling quality. The supervised setting also has an additional advantage in that model evaluation is simpler, since models can be quantitatively assessed via classification accuracy.

To incorporate supervised document labels, we treat document classification as another sub-task in tdlm. Given a document and its label, we feed the document through the topic model network to generate the document-topic representation s, and connect it to another dense layer with softmax out- put to generate the probability distribution over classes.

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# Topic modeling parameters

Most hyper-parameter values for tdlm are similar to those used in the language and topic model experiments;

| Hyper-<br>parameter | Value       | Description  |
|---------------------|-------------|--|
| $m_1$               | 3           | Output sequence length for topic model                                 |
| $m_2$               | 30          | Sequence length for language model                                     |
| $m_3$               | 300,150,500 | Maximum document length  |
| $n_{batch}$         | 64          | Minibatch size   |
| $n_{layer}$         | 1,2         | Number of LSTM layers  |
| $n_{hidden}$        | 600,900     | LSTM hidden size   |
| $n_{epoch}$         | 10          | Number of training epochs  |
| k                   | 100,150,200 | Number of topics   |
| e                   | 300         | Word embedding size  |
| h                   | 2           | Convolutional filter width   |
| a                   | 20          | Topic input vector size or number of features for convolutional filter |
| b                   | 50          | Topic output vector size   |
| l                   | 0.001       | Learning rate of optimiser   |
| $p_1$               | 0.4         | Topic model dropout keep probability                                   |
| $p_2$               | 0.6         | Language model dropout keep probability                                |

Table 1: tdlm hyper-parameters; we experiment with 2 LSTM settings and 3 topic numbers, and  $m_3$  varies across the three domains (APNEWS, IMDB, and BNC).

the only exceptions are:

• a: 80

• b: 100

• nepoch: 20

• m3:150

• LSTM: 1 layer + 600 hidden

# Nr. of topics

50, 100, 150

## Label

#### **Approach 1**

One of 20 gold standard labels from the 20Newsgroup dataset

#### Approach 2

A descriptive sentence generated by the LSTM language model

## Label selection

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# Label quality evaluation

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#### **Assessors**

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#### **Domain**

Paper:

Dataset: News

#### Problem statement

We present a neural language model that incorporates document context in the form of a topic model-like architecture, thus providing a succinct representation of the broader document context outside of the current sentence.

## Corpus

Origin: Various news sources (20News dataset)

Nr. of documents: 18.846

Details:

| Partition   | #Docs | #Tokens      |
|-------------|-------|--------------|
| Training    | 9314  | 2.6M         |
| Development | 2000  | 0.5M         |
| Test        | 7532  | 1.7 <b>M</b> |

Table 5: 20NEWS preprocessed statistics.

Origin: Various news sources (APNews dataset)

Nr. of documents: 54.000

Details:

| Collection | Training #Docs #Tokens |     | #Docs #Tokens |      | Test #Docs #Tokens |      |
|------------|------------------------|-----|---------------|------|--------------------|------|
|            |                        |     |               |      |                    |      |
| APNEWS     | 50K                    | 15M | 2K            | 0.6M | 2K                 | 0.6M |

#### **Document**

#### **APNews**

news article contains additional document metadata, including subject classification tags, such as "General News", "Accidents and Disasters", and "Military and Defense".

## Pre-processing

- tokenise words and sentence
- lowercase all words
- filter low/high frequency word types and stopwords.

```
@inproceedings{lau_2017_topically_driven_neural_language_model,
    title = "Topically Driven Neural Language Model",
    author = "Lau, Jey Han and
      Baldwin, Timothy and
      Cohn, Trevor",
    booktitle = "Proceedings of the 55th Annual Meeting of the Association for
Computational Linguistics (Volume 1: Long Papers)",
   month = jul,
   year = "2017",
    address = "Vancouver, Canada",
    publisher = "Association for Computational Linguistics",
   url = "https://aclanthology.org/P17-1033",
    doi = "10.18653/v1/P17-1033",
    pages = "355--365",
    abstract = "Language models are typically applied at the sentence level,
without access to the broader document context. We present a neural language
model that incorporates document context in the form of a topic model-like
architecture, thus providing a succinct representation of the broader document
context outside of the current sentence. Experiments over a range of datasets
```

demonstrate that our model outperforms a pure sentence—based model in terms of language model perplexity, and leads to topics that are potentially more coherent than those produced by a standard LDA topic model. Our model also has the ability to generate related sentences for a topic, providing another way to interpret topics.",
}

#Thesis/Papers/Initial