

Journal homepage: www.iberoamjmed.com

### Review

## Sodium-Glucose Co-Transporter Type 2 Inhibitors and Heart Failure: A Review of the State of the Art

Yilmar Alexader Meza-González <sup>a,\*</sup> , Natalia Alfonso-Arrieta <sup>a</sup>, Stephany Salas-Solorzano <sup>a</sup>, Victor Florez-Garcia <sup>b</sup>

<sup>a</sup> Department of Internal Medicine. Universidad del Norte. Barranguilla, Colombia

#### ARTICLE INFO

# Article history: Received 21 November 2022 Received in revised form 26 January 2023 Accepted 10 February 2023

Keywords: Heart failure SGLT2 inhibitors Nephroprotection Type 2 diabetes mellitus

#### **ABSTRACT**

Heart Failure (HF) is a cardiovascular condition with high morbidity and mortality that conditions one of the most critical problems in public health. Despite advances in recent decades, patients continue to have major cardiovascular events and marked reduction in their quality of life. Sodium-Glucose Cotransporter Type 2 Inhibitors (SGLT2 Inhibitors) initially entered the market to treat hyperglycemia in patients with type 2 diabetes mellitus (T2DM), however the discovery of the cardiovascular benefits in patients with HF, regardless of the presence or absence of T2DM positioned it as a new pillar in clinical management.

In this state-of-the-art review resulting from a comprehensive literature search (Medline, Cochrane and EMBASE), we describe the impact of SGLT2 Inhibitors on mortality and rehospitalizations in patients with HF and we propose a therapeutic plan for patients with HF to maximizes the benefits.

© 2023 The Authors. Published by Iberoamerican Journal of Medicine. This is an open access article under the CC BY license (http://creativecommons.org/licenses/by/4.0/).

<sup>&</sup>lt;sup>B</sup> Joseph J. Zilber School of Public Health, University of Wisconsin, Milwaukee, United States of America

<sup>\*</sup> Corresponding author.

### Inhibidores del Cotransportador de Sodio-Glucosa Tipo 2 e Insuficiencia Cardíaca: Una Revisión del Estado del Arte

### INFO. ARTÍCULO

Historia del artículo: Recibido 21 Noviembre 2022 Recibido en forma revisada 26 Enero 2023 Aceptado 10 Febrero 2023

Palabras clave: Insuficiencia cardiaca Inhibidores SGLT2 Nefroprotección Diabetes mellitus tipo 2

#### RESUMEN

La Insuficiencia Cardíaca (IC) es una condición cardiovascular con alta morbilidad y mortalidad que condiciona uno de los problemas más críticos en salud pública. A pesar de los avances en las últimas décadas, los pacientes continúan presentando eventos cardiovasculares importantes y una marcada reducción de su calidad de vida. Los inhibidores del cotransportador de sodio-glucosa tipo 2 (SGLT2) ingresaron inicialmente al mercado para tratar la hiperglucemia en pacientes con diabetes mellitus tipo 2 (DMT2), sin embargo, el descubrimiento de los beneficios cardiovasculares en pacientes con IC, independientemente de la presencia o ausencia de DMT2, lo posicionó como un nuevo pilar en manejo clínico. Esta revisión de la literatura es resultante de una búsqueda bibliográfica exhaustiva (Medline, Cochrane y EMBASE), y describimos el impacto de los iSGLT2 en la mortalidad y rehospitalizaciones en pacientes con IC y proponemos un plan terapéutico para pacientes con IC para maximizar los beneficios.

© 2023 Los Autores. Publicado por Iberoamerican Journal of Medicine. Éste es un artículo en acceso abierto bajo licencia CC BY (http://creativecommons.org/licenses/by/4.0/).

HOW TO CITE THIS ARTICLE: Meza-González YA, Alfonso-Arrieta N, Salas-Solorzano S, Florez-Garcia V. Sodium-Glucose Co-Transporter Type 2 Inhibitors and Heart Failure: A Review of the State of the Art. Iberoam J Med. 2023(2):68-77. doi: 10.53986/ibjm.2023.0009.

### 1. INTRODUCTION

Heart failure (HF) is a cardiovascular condition with high morbidity and mortality that conditions one of the most critical problems in public health with a high-cost burden on the health system, mainly about long-term drug treatments and frequent hospitalizations [1, 2].

Despite advances in recent decades in health promotion and prevention policies, the global incidence of cardiovascular disease remains on the rise. In addition, the lifetime risk of HF varies between racial and ethnic groups between 20% and 45% after 45 years, with an estimated 8 million cases in the United States by 2030, representing a 46% increase in prevalence [3, 4].

Among the most critical risk factors associated with HF development are coronary heart disease, hypertension, obesity, diabetes, valvular heart disease, and cigarette smoking, which are currently highly prevalent entities [5]. Heart failure has been divided into distinct phenotypes based on the presence of signs and symptoms and the measurement of left ventricular ejection fraction (LVEF); defining HF with reduced ejection fraction (HFrEF) as patients with LVEF  $\leq$  40%; mildly reduced (HFmrEF) (LVEF 41-49%); and preserved (HFpEF) in LVEF  $\geq$  50% with evidence of structural and functional cardiac abnormalities and elevation of natriuretic peptides. Among these phenotypes, reduced LVEF is the most prevalent and is currently the group with the most effective evidence-based recommendations [6, 7].

Although the prognosis of patients with HF has improved considerably with new drug therapies and devices, patients continue to have a marked reduction in their quality of life [8].

The discovery of the cardiovascular benefits of Inhibitors of the Sodium-Glucose Cotransporter Type 2 in patients with HF is a new pillar in clinical management regardless of the established phenotype. SGLT2 inhibitors have significantly influenced mortality, hospitalizations for acute decompensation, symptom improvement, and quality of life. However, no significant number of clinical studies still synthesize its use. Therefore, this review aims to describe the impact of SGLT2 inhibitors on mortality and rehospitalizations in patients with HF.

### 2. EPIDEMIOLOGY OF HEART FAILURE

HF correlates positively with age, being more frequent in people over 60 years of age. The prevalence of HF in the general population oscillates between 1 and 3%, while in people older than 65 years, it is between 5 and 9% [9].

HF mortality rates evidenced in observational studies are high, with records of up to 20% a year after diagnosis and 67% at five years [10, 11], with better survival among women than men [12].

Patients with HF are hospitalized on average at least once a year [13], and the risk of hospitalization for acute HF decompensation is 1.5 times higher in patients with

pathologies such as diabetes mellitus with poor metabolic control, obesity, atrial fibrillation, and chronic kidney disease, considering these as strong predictors for HF hospitalizations [14].

### 3. PHARMACOLOGICAL THERAPIES IN HEART FAILURE

Pharmacotherapy, together with lifestyle change interventions, are the cornerstone of HF treatment, so these must be optimized before considering more invasive therapies with devices [15].

Patients with HFrEF have multiple evidence-based therapeutic options, affecting strong outcomes such as mortality, rehospitalizations, improvement in functional capacity, clinical status, and quality of life. Among therapeutic options stand out angiotensin-converting enzyme inhibitors (ACE Inhibitors), angiotensin receptor blockers with or without association with neprilysin inhibitors (ARB/ARNi), beta-blockers and mineralocorticoid receptor antagonists (MRA). These drugs are classified as disease modifiers, and their use is recommended in all patients with HFrEF unless there is a contraindication or there is no tolerance for them [6].

The evidence in patients with HFmrEF and HFpEF phenotypes are limited; however, the advent of SGLT2 inhibitors provides a new pharmacological strategy for managing HF, regardless of its phenotype.

### 4. SODIUM-GLUCOSE CO-TRANSPORTER TYPE 2 INHIBITORS

SGLT2 inhibitors are not new drugs; they initially entered the market to treat hyperglycemia in patients with type 2 diabetes mellitus. However, their beneficial effects at the cardiovascular level opened the door for new clinicians to optimize patient management with HF.

The SGLT2 cotransporter is found in the apical membrane of the S1 and S2 of the proximal convoluted tubule of the nephron, which fulfills the function of reabsorption of 90% of glucose filtered at the glomerular level. However, when its activity is inhibited, it leads to a process of glycosuria and natriuresis, which are proposed as the main properties for cardiovascular protection [16].

#### 4.1. CARDIOVASCULAR BENEFIT

The cardiovascular benefits are summarized in (Figure 1), where glycosuria will lead to a negative caloric balance,

reducing body and epicardial fat, inflammation, and glucotoxicity, and collaborating with improving cardiac contractility and mitigation of the atherosclerosis process. Additionally, natriuresis decreases plasma volume and blood pressure, leading to less arteriolar stiffness and myocardial stretching, favoring cardiovascular protection [16].

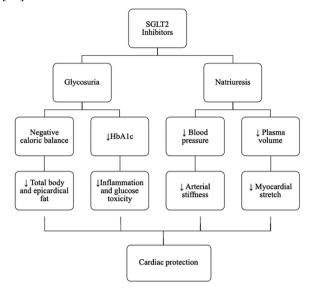


Figure 1: SGLT2 Inhibitors protective cardiovascular mechanisms.

Another proposed theory about SGLT2 inhibitors is the apparent inhibition of the sodium hydrogen exchanger (NHE) at the cardiomyocyte, which activity in experimental models increases in patients with HF. NHE raises cytoplasmic concentrations of sodium and consequently calcium, which would lead to a growth in cardiomyocyte injury and the development of cardiomyopathy [17, 18]. Although more studies are needed to clarify with certainty

Although more studies are needed to clarify with certainty the mechanisms of action of these drugs; some of the cardiovascular benefits are the improved control of glucose, lipids, and hypertension, decreased body mass index (BMI), reduced cardiorenal remodeling, inhibition of hormonal dysregulation, more efficient use of metabolic substrates, and inhibition of ion channels, anti-inflammatory, and antioxidant effects [19].

### 4.2. SGLT2 INHIBITORS IN TYPE 2 DIABETES MELLITUS

The cardiovascular benefits of SGLT2 inhibitors were discovered in response to guidance from the US Food and Drug Administration (FDA) in 2008, which required that all new hypoglycemic therapies demonstrate cardiovascular safety before being approved for the market [20].

The EMPA-REG clinical trial showed that empagliflozin

Table 1: SGLT2 Inhibitors clinical trials in type 2 Diabetes Mellitus						
Variable	EMPAREG (n=7020) [21]	CANVAS (n=10142) [22]	DECLARE TIMI 58 (n=17160) [24]			
Primary outcome – MACE (Death from cardiovascular causes, nonfatal myocardial infarction, or nonfatal stroke)	HR 0.86; 95% CI: 0.74-0.99; p<0.001 for noninferiority and p=0.04 for superiority	HR 0.86; 95% CI: 0.75-0.97; p<0.001 for noninferiority and p=0.02 for superiority	HR 0.93; 95% CI: 0.84–1.03; p=0.17 for superiority (Cardiovascular Death or Hospitalization for Heart Failure): HR 0.83; 95% CI: 0.73-0.95; p=0.005 for superiority			
Secondary outcome	(Death from cardiovascular causes, nonfatal myocardial infarction, nonfatal stroke, or hospitalization for unstable angina): HR 0.89; 95% CI: 0.78-1.01; p<0.001 for noninferiority and p=0.08 for superiority	All cause and cardiovascular mortality: ↓	Renal Composite (40% decrease in eGFR rate to <60 mL/min/1.73 m2, new ESRD, or death from renal or Cardiovascular causes: HR 0.76; 95% CI: 0.67–0.87. Death from any cause: ↓			
Cardiovascular death	HR 0.62; 95% CI: 0.49-0.77; p<0.001	HR 0.87; 95% CI:0.72-1.06	HR 0.98; 95% CI: 0.82-1.17			
Myocardial infarction	HR 0.87; 95% CI: 0.70-1.09	HR 0.85; 95% CI: 0.69-1.05	HR 0.89; 95% CI:0.77-1.01			
Stroke	HR 1.18; 95%CI: 0.89-1.56	HR 0.90; 95% CI: 0.71-1.15	HR 1.01; 95% CI: 0.84- 1.21)			
Heart faliure hospitalization	HR 0.65; 95% CI: 0.50-0.85; p=0.002	HR 0.67; 95% CI: 0.52-0.87	HR 0.73; 95% CI: 0.61-0.88)			
Hospitalization for unstable angina	HR 0.99; 95% CI: 0.74-1.34	-	-			
Death from any cause	HR 0.68; 95% CI 0.57-0.82) p<0.001	HR 0.87; 95% CI: 0.74-1.01	HR 0.93; 95% CI: 0.82-1.04			

compared to placebo in patients with type 2 diabetes mellitus and cardiovascular disease reduced the primary outcome of major adverse cardiovascular events (MACE). It was defined by myocardial infarction, stroke and cardiovascular death in 14% [Hazard ratio (HR) 0.86; 95% confidence interval (CI), 0.74 to 0.99; p<0.001 for noninferiority and p=0.04 for superiority]. It was also oserved a lower risk of cardiovascular death by 38% (HR 0.62; 95% CI: 0.49-0.77; p<0.001) and hospitalizations for heart failure in 35% (HR= 0.65; 95% CI: 0.50-0.85; p=0.002) [21].

The CANVAS clinical trial showed that canagliflozin versus placebo in patients with type 2 diabetes mellitus and cardiovascular disease reduced the primary outcome of MACE by 14% (HR 0.86; 95% CI: 0.75-0.97; p<0.001 for non-inferiority and p=0.02 for superiority) [22]. In CANVAS, there was an increased risk of amputation of lower limbs; however this result was not evidenced in the CREDENCE trial, in which the same molecule was evaluated in renal outcomes in patients with type 2 diabetes mellitus and nephropathy, and once again was demonstrated its beneficial impact in major cardiovascular events and hospitalizations for HF [23].

The DECLARE-TIMI 58 clinical trial showed that dapagliflozin versus placebo in patients with type 2 diabetes mellitus and pre-existing or at risk of atherosclerotic cardiovascular disease (ASCVD) reduced the primary outcome of cardiovascular death and hospitalizations for HF

by 17% (HR 0.83; 95% CI: 0.73-0.95; p=0.005 for superiority). It also observed a decreased HF hospitalizations by 27% (HR 0.73; 95% CI: 0.61-0.88) [24] (Table 1).

A systematic review and meta-analysis of the cardiovascular effects of SGLT2 inhibitors in patients with type 2 diabetes mellitus included pivotal studies of empagliflozin, canagliflozin, and dapagliflozin with a population of 34,222 patients. This study showed a reduction in hospitalization due to HF and cardiovascular death by 23% (HR 0.77; 95% CI: 0.71-0.84; p<0.0001) and 31% reduction in HF hospitalizations (HR 0.69; 95% CI: 0.61-0.79; p<0.0001) [25].

### 4.3. SGLT2 INHIBITORS IN HEART FAILURE WITH REDUCED LVEF PHENOTYPE

The SGLT2 Inhibitors cardiovascular results in patients with type 2 diabetes mellitus opened the possibility to evaluate the benefit of these drugs in patients with HF with the presence or absence of type 2 diabetes mellitus; provided by date two trials have changed the clinical practices in patients with HFrEF, which are DAPA-HF and EMPEROR Reduced [26, 27] (Table 2).

The DAPA-HF study was a placebo-controlled trial with a population of 4744 patients with HF, of whom 45% (1983) patients had type 2 diabetes mellitus as comorbidity and the inclusion was based on having a reduced LVEF phenotype

with functional class II, III, or IV according to the New York Heart Association (NYHA) classification and elevated natriuretic peptides. The dapagliflozin intervention group received a 10-mg dose with a mean follow-up of 18.2 months. The impact of therapy in reducing the primary outcome of cardiovascular death or worsening of HF was 26% (HR 0,74; 95% CI: 0.65-0.85; p<0.001) and also reduced hospitalizations for HF by 30% (HR 0.70; 95% CI: 0.59-0.83), cardiovascular death in18% (HR 0.82; 95% CI: 0.69-0.98) and death of any cause in 17% (HR 0.83; 95% CI: 0.71-0.97) [26].

The EMPEROR-Reduced study was a placebo-controlled trial with a population of 3730 HF patients, of whom 49.8% (1856) patients had type 2 diabetes mellitus, and the inclusion was based on having a reduced LVEF phenotype with functional class II, III, or IV according to the NYHA. The intervention group received empagliflozin 10 mg with a mean follow-up of 16 months; with evidence of a 25% reduction in the primary outcome of cardiovascular death and hospitalizations for HF (HR 0.75; 95% CI: 0.65-0.86; p<0.001), and as a Secondary outcome reduced hospitalizations for HF by 30% (HR 0.70; 95% CI: 0.58-0.85; p<0.001) [27].

decrease in cardiovascular mortality [28]. In addition, both therapies were associated with improvement in the physical capacity and quality of life of these patients [29, 30].

Therefore, empagliflozin and dapagliflozin reduced the risk of cardiovascular death or hospitalization for HF in patients with reduced phenotype in the presence or absence of type 2 diabetes mellitus [26, 27].

### 4.4. SGLT2 INHIBITORS IN HEART FAILURE WITH MIDLY REDUCED AND PRESERVED LVEF PHENOTYPE

The evidence in HF with mildly reduced and preserved LVEF phenotype has always been limited, regard to multiple clinical trials have not shown an impact in reducing morbidity and mortality. So the behaviors have been aimed at controlling comorbidities and risk factors; however, the EMPEROR Preserved and DELIVER trial recently demonstrated a new therapeutic target with solid evidence in this subgroup of patients [31, 32] (Table 2).

The EMPEROR Preserved trial was a placebo-controlled study with a population of 5,988 HF patients, 49% (2938) of patients had type 2 diabetes mellitus and the inclusion was based on mildly reduced or preserved LVEF phenotype, which 2/3 of the population had LVEF >50%. In addition,

Table 2: SGLT2 Inhibitors clinical trials in Heart Failure (HF)					
Variable	DAPA HF (n=4744) [26]	EMPEROR REDUCED (n=10142) [27]	EMPEROR PRESERVED (n=171160) [31]	DELIVER TRIAL (n=6263) [32]	
Primary outcome	Worsening HF (Hospitalization or an urgent visit resulting in intravenous therapy for heart failure) or cardiovascular death HR 0.74; 95% CI: 0.65-0.85; p<0.001	Cardiovascular death or HF hospitalization HR 0.75; 95% CI:0.65- 0.86; p<0.001	Cardiovascular death or HF hospitalization HR 0.79; 95% CI:0.69- 0.90; p<0.001	Worsening HF (Hospitalization or an urgent visit resulting in intravenous therapy for heart failure) or cardiovascular death HR 0.82; 95% CI:0.73-0.92; p<0.001	
Secondary outcome	Cardiovascular death or HF hospitalization HR 0.75; 95% CI: 0.65- 0.85; p<0.001	Total HF hospitalizations HR 0.70; 95% CI: 0.58- 0.85); p<0.001 Mean slope of change in eGFR per year HR 1.73; 95% CI: 1.10- 2.37; p<0.001	Total HF hospitalizations HR 0.73; 95% CI: 0.61- 0.88); p<0.001 Mean slope of change in eGFR per year HR 1.36; 95% CI: 1.06- 1.66; p<0.001	Total of worsening heart failure events and cardiovascular deaths HR 0.77; 95% CI: 0.67– 0.89; p<0.001	
Cardiovascular death	HR 0.82; 95% CI: 0.69- 0.98	HR 0.92; 95% CI: 0.75- 1.12	HR 0.91; 95% CI: 0.76- 1.09	HR 0.88; 95% CI: 0.74– 1.05	
HF hospitalization	HR 0.70; 95% CI: 0.59- 0.83	HR 0.69; 95% CI: 0.59- 0.81	HR 0.71; 95% CI: 0.60- 0.83	HR 0.77; 95% CI 0.67– 0.89	
Death from any cause	HR 0.83; 95% CI: 0.71- 0.97	HR 0.92; 95% CI: 0.77- 1.10	HR 1.00; 95% CI: 0.87- 1.15	0.94; 95% CI: 0.83– 1.07	
Worsening renal function	HR 0.71; 95% CI: 0.44- 1.16	HR 0.50; 95% CI: 0.32- 0.77	HR 0.95; 95% CI: 0.73- 1.24	-	

Although EMPEROR-Reduced did not show a statistically significant reduction in cardiovascular mortality, a metaanalysis of the DAPA-HF and EMPEROR-Reduced trials did show a statistically significant association in the the patients must have functional class II, III, or IV according to the NYHA and elevated natriuretic peptides [31]. The intervention group in this study received empagliflozin 10 mg with a mean follow-up of 26.2 months;

where the impact of therapy in reducing cardiovascular death and worsening of HF was 21% (HR 0.79; 95% CI: 0.69-0.90; p<0.001) and hospitalizations for HF were reduced by 29% (HR 0.71; 95% CI: 0.60-0.83) [31].

The DELIVER trial was a placebo-controlled study with a population of 6,263 HF patients, 55% (3457) of patients had type 2 diabetes mellitus and the inclusion was based on mildly reduced or preserved LVEF phenotype. In addition, the patients must have functional class II, III, or IV according to the NYHA and elevated natriuretic peptides [32]. The intervention group in this study received dapagliflozin 10 mg with a mean follow-up of 2.3 years; where the impact of therapy in reducing cardiovascular death and worsening of HF was 18% (HR 0.82; 95% CI: 0.73-0.92; p<0.001) and HF hospitalizations were reduced by 23% (HR 0.77; 95% CI: 0.67-0.89) [32].

#### 4.5. SGLT2 INHIBITORS ACUTE HEART FAILURE

Acute HF can be the clinical presentation of a new onset of HF or, more frequently, worsening (Acutely decompensated chronic HF); both entities are severe with high mortality and rehospitalization rates [33].

In the SOLOIST-WHF trial, Sotagliflozin (a non-selective sodium-glucose cotransporter inhibitor) was evaluated in 1222 patients with type 2 diabetes mellitus and recent worsening of HF regardless of phenotype, where the intervention population received sotagliflozin 200 mg with a plan to increase to 400 mg according to tolerance with a mean follow-up of 9.2 months. The administration of sotagliflozin was before or maximum of three days after hospital discharge with evidence of a 33% reduction in the primary outcome of cardiovascular death, hospitalizations for HF, or visits to the emergency room that required intravenous therapies for HF management (HR 0.67; 95% CI: 0.52-0.85; p<0.001). No statistically significant differences in serious adverse events with adequate renal safety [34].

Other studies such as the EMPA-RESPONSE-AHF; was a randomized, double-blind, placebo-controlled trial that evaluated the effects of empagliflozin in 80 patients with acute decompensated chronic heart failure, defined by the presence of dyspnea with NYHA functional class III-IV, associated with clinical signs of congestion, the elevation of natriuretic peptides and under intravenous diuretic therapy. The intervention group received empagliflozin 10 mg during the first 24 hours of admission, with daily clinical evaluation from the fourth day.

Although results did not show improvement in dyspnea, NT-proBNP, response to diuretic, or length of hospital stay; this was associated with a decrease in the worsening of in-

hospital HF and a reduction in the outcomes of cardiovascular death and rehospitalizations for HF at 60 days, and it was also a safe and well-tolerated drug [35]. The results of this study should be interpreted with caution, considering the limitations in the number of patients.

The recently published EMPULSE trial, which was a randomized, double-blind, placebo-controlled study that evaluated the effects of empagliflozin in 530 patients with new-onset of HF or worsening (Acutely decompensated chronic HF), regardless of LVEF. The intervention group received empagliflozin 10 mg at a mean time of 3 days from hospital admission to a follow up of 90 days; where the results showed 36% reduction in the primary outcome of cardiovascular mortality, hospitalizations for HF, and improvement in quality of life (HR 1.36; 95% CI: 1.09-1.68; p=0.0054) [36].

Empagliflozin was well tolerated, and the benefits are robust in the presence or absence of type 2 diabetes mellitus; this indicates that the initiation of empagliflozin in patients with acute HF produces a significant clinical benefit within 90 days after the start of treatment [36].

#### 4.6. RENAL SAFETY OF SGLT2 INHIBITORS

Chronic kidney disease is another condition that limits the treatment of patients with HF, leading to a higher number of hospitalizations, mortality, and drug toxicity.

The coexistence of these two entities ranges between 40-50% [38]; however, the results in clinical trials of SGLT2 Inhibitors on renal protection confer safety in initiating this therapy.

A meta-analysis of the cardiovascular effects of empagliflozin, canagliflozin, and dapagliflozin in patients with type 2 diabetes mellitus showed a 45% decrease in progression in the renal outcome, defined as worsening renal function, end-stage renal disease (ESRD), or death due to renal cause (HR 0.55; 95% CI: 0.48-0.64; p<0.0001) [25]. Results later strengthened in two clinical trials such as CREDENCE with canagliflozin and DAPA CKD with dapagliflozin [23, 37].

In the CREDENCE trial, all patients had type 2 diabetes mellitus with glomerular filtration rates (GFR) greater than or equal to 30ml/min, while in the DAPA CKD trial, regardless of the presence or absence of type 2 diabetes mellitus, included even patients with GFR of 25ml/min.

The CREDENCE results showed a 30% decrease in the primary outcome, defined by the presence of ESRD (Need for dialysis, kidney transplant, or sustained decline in GFR below 15 mL/min), doubling of creatinine levels serum, or death due to renal or cardiovascular causes (HR 0.70; CI 95%: 0.59-0.82; p:0.00001) [23].

Subsequent DAPA CKD trial evinced a 39% reduction in the primary outcome of sustained decline in GFR  $\geq$ 50%, end-stage renal disease, or death due to renal or cardiovascular causes (HR=0.61; 95% CI: 0.51-0.72; p<0.001) [37].

Trials such as EMPEROR REDUCED and EMPEROR PRESERVED included patients with GFR as low as 20 mL/min with evidence of a slower rate of GFR decline [27, 31].

Although an initial drop in glomerular filtration rate is expected in the first weeks of starting therapy with SGLT2 inhibitors, there is evidence of an upcoming stabilization. Finally, improvement over time compared to placebo [38, 39].

### 4.7. SECONDARY EFFECTS AND MONITORING PARAMETERS OF SGLT2 INHIBITORS

The risk of infection has been the most commonly found side effect in the aforementioned clinical trials [21, 22, 24]; mainly genital mycotic infections, however, these are usually mild with rapid resolution and a low rate of recurrence, so the measures are aimed at genital hygiene. However, in patients with severe or recurrent fungal infections, the use of SGLT2 Inhibitors should be closely monitored [40].

Urinary tract infections have also been documented with the use of SGLT2 Inhibitors, but the risk has not been increased compared to placebo in clinical trials [40].

Among the hemodynamic effects of SGLT2 inhibitors is the reduction of systolic and diastolic blood pressure (4 to 6 and 1 to 2 mmHg), respectively. In addition, an increase of urinary volume with an average of 300 ml/day is observed, which can lead to a decrease in GFR between (3–5 ml/min) during the first weeks with a subsequent stabilization, which monitoring of GFR is suggested, signs and symptoms of hypotension and volume depletion [41-43].

SGLT2 inhibitors about glucose control do not induce hypoglycemia, and considering safe drugs, however, the risk increases mainly in combination with insulins. Additionally, the risk of normoglycemic diabetic ketoacidosis is extremely rare; nevertheless, advice should be given on the signs and symptoms of ketoacidosis, and if it occurs, the medication should be discontinued and find immediate medical attention [43].

Lower extremity amputation risk was a side effect seen only with canagliflozin in the CANVAS trial [22], which FDA made a warning in 2017, and then it was rescinded in 2020 [44]. Although the association between SGLT2 Inhibitors and the risk of amputation is doubtful, Caution is suggested for those who may be at increased risk (History of prior

amputation, peripheral vascular disease, severe peripheral neuropathy, diabetic foot ulcers, or infections) [45].

# 5. RECOMMENDATIONS IN CLINICAL PRACTICE GUIDELINOES FOR SGLT2 INHIBITORS

SGLT2 inhibitors (Empagliflozin, Canagliflozin, and Dapagliflozin) have indications approved by the FDA to reduce the risk of cardiovascular complications in patients with type 2 diabetes mellitus [46-50]. However, in the context of HF, the 2021 European guidelines Society of Cardiology (ESC) for the diagnosis and treatment of chronic and acute HF only recommend dapagliflozin and empagliflozin for the reduction of cardiovascular death and HF hospitalizations in patients with reduced phenotype regardless of the presence or not type 2 diabetes mellitus [6]. The recently published 2022 American College of Cardiology (ACC) heart failure guidelines positioned empagliflozin as a fundamental therapy in patients with mildly reduced and preserved HF phenotype [51].

These suggestions are based on the fact that at the time of the ESC guidelines publications there was no evidence from the EMPEROR PRESERVED and at the time of ACC guidelines there was no evidence from the DELIVER trial [31, 32].

### 6. DISCUSSION

Some of the benefits of SGLT2 inhibitors in heart failure are the reduction in the risk of cardiovascular mortality and hospitalizations due to acute decompensation HF despite LVEF phenotype, evinced in the DAPA HF and DELIVER trial with dapagliflozin and EMPEROR REDUCED and PRESERVED with empagliflozin [26, 27, 31, 32]. These findings remained consistent in diabetic and non-diabetic patients, showing an encouraging trend that reinforces the benefit of SGLT2 Inhibitors in all patients with HF, regardless of type 2 diabetes mellitus status [49].

These drugs during episodes of acute HF decompensation are not supported by current clinical management guidelines due to the lack of evidence supporting this therapy.

Though, the recent results of the EMPULSE clinical trial with empagliflozin in patients with acute decompensation regardless of LVEF and who were clinically stable. This term was defined as the absence of inotropic support in the last 24 hours, no increase in diuretic therapy, and absence of vasodilators in the previous 6 hours with systolic blood pressure greater than 100 mmHg in the absence of

hypotension data. This study showed 36% relative risk reduction in cardiovascular mortality, hospitalizations for HF, and improvement in quality of life [36].

The findings of the EMPULSE trial align with the results of the SOLOIST-WHF trial with sotagliflozin. However, the last-mentioned was suspended early due to sponsorship conflicts, so the planned sample size was not obtained [34]. Anyhow, the EMPULSE results open the door to new clinical trials that support these findings and evaluate the possibility of starting this therapy earlier in the hospital setting.

These new medications initially introduced to the market as a treatment for type 2 diabetes mellitus, have shown a high renal safety profile [25], with low rates of hypoglycemia, and are rarely associated with normoglycemic diabetic ketoacidosis [43].

Among the most frequent adverse effects are recurrent urinary tract and genital mycotic infections [21, 22, 24]; we must choose patients according to their individual risk factors.

About the monitoring parameters, there is a reduction in systolic and diastolic blood pressure with a low risk of hypotension. Additionally, it is considered a beneficial effect in hypertensive patients [41].

The association between SGLT2 Inhibitors and the risk of amputation is doubtful; however, caution is advised in those who may be at increased risk [45].

All these findings have changed the established guidelines for the management of heart failure. Previously, the prominent representatives were ACE Inhibitors, ARB and beta-blockers, and in patients with a reduced phenotype, the addition of MRA. However, now we can see that the perspective is aimed to SGLT2 Inhibitors as a first-line drug, being cataloged as one of the "Fantastic Four" in this pathology [50]; with recommendations IA in HFrEF and IIA in HFmrEF and HFpEF according to the 2022 HF Guidelines of the ACC [51].

Some of the notable strengths of this review are the compilation of the most significant number of multicenter randomized studies of SGLT2 Inhibitors currently available on this pathology. It allows us a better understanding of the population evaluated and also stands out the adequate sample inclusion of the American population in the trials, which makes the results extrapolated to our patient population.

One of the limitations is the cost. However, that must not be a contraindication to prescribe them.

In the future, the trend with SGLT2 Inhibitors is aimed at investigating other factors that impact the quality of life of patients with HF, such as obesity, dyslipidemia, and exercise

capacity, where studies are already being advanced.

### 7. CONCLUSION AND RECOMMENDATIONS

Up to 50% of patients with HF have T2DM and some degree of renal involvement, and the coexistence of these three entities contributes to increased morbidity and mortality.

The advent of SGLT2 Inhibitors provides a new therapeutic target with solid evidence results, mainly in decreased cardiovascular mortality and HF hospitalizations with an adequate renal safety profile, and this cardiovascular benefit has been reproduced in clinical trials regardless of the presence or absence of T2DM and the HF phenotype based on LVEF.

Despite its cardiovascular benefits, health professionals, mainly in patients with chronic kidney disease, limit its use in clinical practice. Therefore, it is suggested to create multidisciplinary teams at institutions that provide health services, and this groups must be compounded by cardiologists, nephrologists, and endocrinologists for the monitoring and evaluation of the pharmacological adherence of patients after the start of SGLT2 Inhibitors, and this way maximizes the benefits.

SGLT2 Inhibitors have been positioned as a fundamental pillar in the management of HF, indicating its onset increasingly earlier, avoiding systematic algorithms, not delaying cardiovascular protective effects, and improving patient prognosis. SGLT2 inhibitors, as a disease-modifying drug, should be prescribed in all heart failure diagnosed patients unless there is a contraindication.

### 8. CONFLICT OF INTERESTS

The authors have no conflict of interest to declare. The authors declared that this study has received no financial support.

### 9. REFERENCES

- 1. Ho KK, Pinsky JL, Kannel WB, Levy D. The epidemiology of heart failure: the Framingham Study. J Am Coll Cardiol. 1993;22(4 Suppl A):6A-13A. doi: 10.1016/0735-1097(93)90455-a.
- 2. Cowie MR, Mosterd A, Wood DA, Deckers JW, Poole-Wilson PA, Sutton GC, et al. The epidemiology of heart failure. Eur Heart J. 1997;18(2):208-25. doi: 10.1093/oxfordjournals.eurheartj.a015223.
- 3. Savarese G, Lund LH. Global Public Health Burden of Heart Failure. Card Fail Rev. 2017;3(1):7-11. doi: 10.15420/cfr.2016:25:2.
- 4. Tsao CW, Aday AW, Almarzooq ZI, Alonso A, Beaton AZ, Bittencourt MS, et al. Heart Disease and Stroke Statistics-2022 Update: A Report From the

- American Heart Association. Circulation. 2022;145(8):e153-e639. doi: 10.1161/CIR.00000000001052.
- 5. GBD 2017 Disease and Injury Incidence and Prevalence Collaborators. Global, regional, and national incidence, prevalence, and years lived with disability for 354 diseases and injuries for 195 countries and territories, 1990-2017: a systematic analysis for the Global Burden of Disease Study 2017. Lancet. 2018;392(10159):1789-858. doi: 10.1016/S0140-6736(18)32279-7.
- 6. McDonagh TA, Metra M, Adamo M, Gardner RS, Baumbach A, Böhm M, et al. 2021 ESC Guidelines for the diagnosis and treatment of acute and chronic heart failure. Eur Heart J. 2021;42(36):3599-726. doi: 10.1093/eurheartj/ehab368.
- 7. Chioncel O, Lainscak M, Seferovic PM, Anker SD, Crespo-Leiro MG, Harjola VP, et al. Epidemiology and one-year outcomes in patients with chronic heart failure and preserved, mid-range and reduced ejection fraction: an analysis of the ESC Heart Failure Long-Term Registry. Eur J Heart Fail. 2017;19(12):1574-85. doi: 10.1002/ejhf.813.
- 8. Coelho R, Ramos S, Prata J, Bettencourt P, Ferreira A, Cerqueira-Gomes M. Heart failure and health related quality of life. Clin Pract Epidemiol Ment Health. 2005;1:19. doi: 10.1186/1745-0179-1-19.
- 9. van Riet EE, Hoes AW, Wagenaar KP, Limburg A, Landman MA, Rutten FH. Epidemiology of heart failure: the prevalence of heart failure and ventricular dysfunction in older adults over time. A systematic review. Eur J Heart Fail. 2016;18(3):242-52. doi: 10.1002/ejhf.483.
- 10. Gerber Y, Weston SA, Redfield MM, Chamberlain AM, Manemann SM, Jiang R, et al. A contemporary appraisal of the heart failure epidemic in Olmsted County, Minnesota, 2000 to 2010. JAMA Intern Med. 2015;175(6):996-1004. doi: 10.1001/jamainternmed.2015.0924.
- 11. Tsao CW, Lyass A, Enserro D, Larson MG, Ho JE, Kizer JR, et al. Temporal Trends in the Incidence of and Mortality Associated With Heart Failure With Preserved and Reduced Ejection Fraction. JACC Heart Fail. 2018;6(8):678-85. doi: 10.1016/j.jchf.2018.03.006.
- 12. Motiejūnaitė J, Akiyama E, Cohen-Solal A, Maggioni AP, Mueller C, Choi DJ, et al. The association of long-term outcome and biological sex in patients with acute heart failure from different geographic regions. Eur Heart J. 2020;41(13):1357-64. doi: 10.1093/eurheartj/ehaa071.
- 13. Barasa A, Schaufelberger M, Lappas G, Swedberg K, Dellborg M, Rosengren A. Heart failure in young adults: 20-year trends in hospitalization, aetiology, and case fatality in Sweden. Eur Heart J. 2014;35(1):25-32. doi: 10.1093/eurheartj/eht278.
- 14. Mosterd A, Hoes AW. Clinical epidemiology of heart failure. Heart. 2007;93(9):1137-46. doi: 10.1136/hrt.2003.025270.
- 15. Writing Committee; Maddox TM, Januzzi JL Jr, Allen LA, Breathett K, Butler J, et al. 2021 Update to the 2017 ACC Expert Consensus Decision Pathway for Optimization of Heart Failure Treatment: Answers to 10 Pivotal Issues About Heart Failure With Reduced Ejection Fraction: A Report of the American College of Cardiology Solution Set Oversight Committee. J Am Coll Cardiol. 2021;77(6):772-810. doi: 10.1016/j.jacc.2020.11.022.
- 16. Rajasekeran H, Lytvyn Y, Cherney DZ. Sodium-glucose cotransporter 2 inhibition and cardiovascular risk reduction in patients with type 2 diabetes: the emerging role of natriuresis. Kidney Int. 2016;89(3):524-6. doi: 10.1016/j.kint.2015.12.038.
- 17. Packer M, Anker SD, Butler J, Filippatos G, Zannad F. Effects of Sodium-Glucose Cotransporter 2 Inhibitors for the Treatment of Patients With Heart Failure: Proposal of a Novel Mechanism of Action. JAMA Cardiol. 2017;2(9):1025-9. doi: 10.1001/jamacardio.2017.2275.
- 18. Uthman L, Baartscheer A, Bleijlevens B, Schumacher CA, Fiolet JWT, Koeman A, et al. Class effects of SGLT2 inhibitors in mouse cardiomyocytes and hearts: inhibition of Na+/H+ exchanger, lowering of cytosolic Na+ and vasodilation. Diabetologia. 2018;61(3):722-6. doi: 10.1007/s00125-017-4509-7
- 19. Wojcik C, Warden BA. Mechanisms and Evidence for Heart Failure Benefits from SGLT2 Inhibitors. Curr Cardiol Rep. 2019;21(10):130. doi: 10.1007/s11886-019-1219-4.
- 20. U.S. Food and Drug Administration. Guidance for industry: diabetes mellitus evaluating cardiovascular risk in new antidiabetic therapies to treat

- type 2 diabetes. Available from https://www.fda.gov/media/135936/download (accessed March 2022).
- 21. Zinman B, Wanner C, Lachin JM, Fitchett D, Bluhmki E, Hantel S, et al. Empagliflozin, Cardiovascular Outcomes, and Mortality in Type 2 Diabetes. N Engl J Med. 2015;373(22):2117-28. doi: 10.1056/NEJMoa1504720.
- 22. Neal B, Perkovic V, Matthews DR. Canagliflozin and Cardiovascular and Renal Events in Type 2 Diabetes. N Engl J Med. 2017;377(21):2099. doi: 10.1056/NEJMc1712572.
- 23. Perkovic V, Jardine MJ, Neal B, Bompoint S, Heerspink HJL, Charytan DM, et al. Canagliflozin and Renal Outcomes in Type 2 Diabetes and Nephropathy. N Engl J Med. 2019;380(24):2295-306. doi: 10.1056/NEJMoa1811744.
- 24. Wiviott SD, Raz I, Bonaca MP, Mosenzon O, Kato ET, Cahn A, et al. Dapagliflozin and Cardiovascular Outcomes in Type 2 Diabetes. N Engl J Med. 2019;380(4):347-57. doi: 10.1056/NEJMoa1812389.
- 25. Zelniker TA, Wiviott SD, Raz I, Im K, Goodrich EL, Bonaca MP, et al. SGLT2 inhibitors for primary and secondary prevention of cardiovascular and renal outcomes in type 2 diabetes: a systematic review and meta-analysis of cardiovascular outcome trials. Lancet. 2019;393(10166):31-9. doi: 10.1016/S0140-6736(18)32590-X.
- 26. McMurray JJV, Solomon SD, Inzucchi SE, Køber L, Kosiborod MN, Martinez FA, et al. Dapagliflozin in Patients with Heart Failure and Reduced Ejection Fraction. N Engl J Med. 2019;381(21):1995-2008. doi: 10.1056/NEJMoa1911303.
- 27. Packer M, Anker SD, Butler J, Filippatos G, Pocock SJ, Carson P, et al. Cardiovascular and Renal Outcomes with Empagliflozin in Heart Failure. N Engl J Med. 2020;383(15):1413-24. doi: 10.1056/NEJMoa2022190.
- 28. Zannad F, Ferreira JP, Pocock SJ, Anker SD, Butler J, Filippatos G, et al. SGLT2 inhibitors in patients with heart failure with reduced ejection fraction: a meta-analysis of the EMPEROR-Reduced and DAPA-HF trials. Lancet. 2020;396(10254):819-29. doi: 10.1016/S0140-6736(20)31824-9.
- 29. Kosiborod MN, Jhund PS, Docherty KF, Diez M, Petrie MC, Verma S, et al. Effects of Dapagliflozin on Symptoms, Function, and Quality of Life in Patients With Heart Failure and Reduced Ejection Fraction: Results From the DAPA-HF Trial. Circulation. 2020;141(2):90-9. doi: 10.1161/CIRCULATIONAHA.119.044138.
- 30. Butler J, Anker SD, Filippatos G, Khan MS, Ferreira JP, Pocock SJ, et al. Empagliflozin and health-related quality of life outcomes in patients with heart failure with reduced ejection fraction: the EMPEROR-Reduced trial. Eur Heart J. 2021;42(13):1203-12. doi: 10.1093/eurheartj/ehaa1007.
- 31. Anker SD, Butler J, Filippatos G, Ferreira JP, Bocchi E, Böhm M, et al. Empagliflozin in Heart Failure with a Preserved Ejection Fraction. N Engl J Med. 2021;385(16):1451-61. doi: 10.1056/NEJMoa2107038.
- 32. Solomon SD, McMurray JJV, Claggett B, de Boer RA, DeMets D, Hernandez AF, et al. Dapagliflozin in Heart Failure with Mildly Reduced or Preserved Ejection Fraction. N Engl J Med. 2022;387(12):1089-98. doi: 10.1036/NEJMoa2206286
- 33. Nieminen MS, Brutsaert D, Dickstein K, Drexler H, Follath F, Harjola VP, et al. EuroHeart Failure Survey II (EHFS II): a survey on hospitalized acute heart failure patients: description of population. Eur Heart J. 2006;27(22):2725-36. doi: 10.1093/eurheartj/ehl193.
- 34. Bhatt DL, Szarek M, Steg PG, Cannon CP, Leiter LA, McGuire DK, et al. Sotagliflozin in Patients with Diabetes and Recent Worsening Heart Failure. N Engl J Med. 2021;384(2):117-28. doi: 10.1056/NEJMoa2030183.
- 35. Damman K, Beusekamp JC, Boorsma EM, Swart HP, Smilde TDJ, Elvan A, et al. Randomized, double-blind, placebo-controlled, multicentre pilot study on the effects of empagliflozin on clinical outcomes in patients with acute decompensated heart failure (EMPA-RESPONSE-AHF). Eur J Heart Fail. 2020;22(4):713-22. doi: 10.1002/ejhf.1713.
- 36. Voors AA, Angermann CE, Teerlink JR, Collins SP, Kosiborod M, Biegus J, et al. The SGLT2 inhibitor empagliflozin in patients hospitalized for acute

- heart failure: a multinational randomized trial. Nat Med. 2022;28(3):568-74. doi: 10.1038/s41591-021-01659-1.
- 37. Heerspink HJL, Stefánsson BV, Correa-Rotter R, Chertow GM, Greene T, Hou FF, et al. Dapagliflozin in Patients with Chronic Kidney Disease. N Engl J Med. 2020;383(15):1436-46. doi: 10.1056/NEJMoa2024816.
- 38. Aguilar D. Heart Failure, Diabetes Mellitus, and Chronic Kidney Disease: A Clinical Conundrum. Circ Heart Fail. 2016;9(7):e003316. doi: 10.1161/CIRCHEARTFAILURE.116.003316.
- 39. Neuen BL, Young T, Heerspink HJL, Neal B, Perkovic V, Billot L, et al. SGLT2 inhibitors for the prevention of kidney failure in patients with type 2 diabetes: a systematic review and meta-analysis. Lancet Diabetes Endocrinol. 2019;7(11):845-54. doi: 10.1016/S2213-8587(19)30256-6.
- 40. McGovern AP, Hogg M, Shields BM, Sattar NA, Holman RR, Pearson ER, et al. Risk factors for genital infections in people initiating SGLT2 inhibitors and their impact on discontinuation. BMJ Open Diabetes Res Care. 2020;8(1):e001238. doi: 10.1136/bmjdrc-2020-001238.
- 41. Heerspink HJ, Perkins BA, Fitchett DH, Husain M, Cherney DZ. Sodium Glucose Cotransporter 2 Inhibitors in the Treatment of Diabetes Mellitus: Cardiovascular and Kidney Effects, Potential Mechanisms, and Clinical Applications. Circulation. 2016;134(10):752-72. doi: 10.1161/CIRCULATIONAHA.116.021887.
- 42. Rangaswami J, Bhalla V, de Boer IH, Staruschenko A, Sharp JA, Singh RR, et al. Cardiorenal Protection With the Newer Antidiabetic Agents in Patients With Diabetes and Chronic Kidney Disease: A Scientific Statement From the American Heart Association. Circulation. 2020;142(17):e265-e286. doi: 10.1161/CIR.0000000000000920.
- 43. Vardeny O, Vaduganathan M. Practical Guide to Prescribing Sodium-Glucose Cotransporter 2 Inhibitors for Cardiologists. JACC Heart Fail. 2019;7(2):169-72. doi: 10.1016/j.jchf.2018.11.013.
- 44. U.S. Food and Drug Administration. FDA removes Boxed Warning about risk of leg and foot amputations for the diabetes medicine canagliflozin (Invokana, Invokamet, Invokamet XR). Available from https://www.fda.gov/drugs/drug-safety-and-availability/fda-removes-boxed-

- warning-about-risk-leg-and-footamputations-diabetes-medicine-canagliflozin (accessed March 2022).
- 45. Das SR, Everett BM, Birtcher KK, Brown JM, Januzzi JL Jr, Kalyani RR, et al. 2020 Expert Consensus Decision Pathway on Novel Therapies for Cardiovascular Risk Reduction in Patients With Type 2 Diabetes: A Report of the American College of Cardiology Solution Set Oversight Committee. J Am Coll Cardiol. 2020;76(9):1117-45. doi: 10.1016/j.jacc.2020.05.037.
- 46. Boehringer Ingelheim Pharmaceuticals. Prescribing Information Jardiance (Empagliflozin). Available from https://content.boehringer-ingelheim.com/DAM/7d9c411c-ec33-4f82-886f-af1e011f35bb/jardiance-us-pi.pdf (accessed March 2022).
- 47. Janssen Pharmaceuticals. Prescribing Information Invokana (Canagliflozin). Avalibale from: https://www.janssenlabels.com/package-insert/product-monograph/prescribing-information/INVOKANA-pi.pdf (accessed March 2022).
- 48. AstraZeneca Pharmaceuticals. Prescribing Information Farxiga (Dapagliflozin). Available from: https://www.accessdata.fda.gov/drugsatfda\_docs/label/2020/202293s020lbl.pd f(accessed March 2022).
- 49. Butler J, Usman MS, Khan MS, Greene SJ, Friede T, Vaduganathan M, et al. Efficacy and safety of SGLT2 inhibitors in heart failure: systematic review and meta-analysis. ESC Heart Fail. 2020;7(6):3298-3309. doi: 10.1002/ehf2.13169.
- 50. Bauersachs J. Heart failure drug treatment: the fantastic four. Eur Heart J. 2021;42(6):681-3. doi: 10.1093/eurheartj/ehaa1012.
- 51. Heidenreich PA, Bozkurt B, Aguilar D, Allen LA, Byun JJ, Colvin MM, et al. 2022 AHA/ACC/HFSA Guideline for the Management of Heart Failure: Executive Summary: A Report of the American College of Cardiology/American Heart Association Joint Committee on Clinical Practice Guidelines. Circulation. 2022;145(18):e876-e894. doi: 10.1161/CIR.0000000000001062.