# REFLECTIONS ON REFLECTION FOR INTUITIONISTIC SET THEORIES

ABSTRACT. We study the well-known reflection principle of Zermelo–Fraenkel set theory in the context of intuitionistic Zermelo–Fraenkel set theory IZF. We show that the reflection principle is equivalent to RRS2, a strengthened version of Aczel's relation reflection scheme. As applications, we give a new proof that relativized dependent choice is equivalent to the conjunction of the relation reflection scheme and dependent choice and we present an intuitionistic version of Feferman's ZFC/S, a conservative extension of ZFC which is useful as a foundation for category theory.

#### 1. Introduction

The basic form of the reflection principle for Zermelo–Fraenkel set theory ZF is the following.

**Theorem 1.1.** Let  $\varphi(x_1, \ldots, x_n)$  be a formula in the language of set theory with free variables as indicated. Then ZF proves

$$\forall M. \exists S \supseteq M. \forall x_1, \dots, x_n \in S. \varphi(x_1, \dots, x_n) \Leftrightarrow \varphi^S(x_1, \dots, x_n),$$

where  $\varphi^S$  is the S-relativization of  $\varphi$ , obtained by substituting any occurrence of " $\forall x$ " and " $\exists x$ " by " $\forall x \in S$ " and " $\exists x \in S$ ". Furthermore, the resulting set S may be supposed to be transitive, to be closed under subsets or even to be a stage  $V_{\alpha}$  of the cumulative hierarchy; and given not a single formula  $\varphi$  but a finite list  $\varphi_1, \ldots, \varphi_s$  of formulas, we may suppose that S reflects all of them.

The reflection principle is important both for philosophical and for practical reasons: Philosophically, it tells us that truth of any formula can already be checked in an initial segment of the universe. Practically, it allows to transfer results obtained for a restricted class of objects to all such objects. For instance, if we manage to verify (the S-relativization of) some group-theoretic statement for all groups contained in an arbitrary set X, then we may deduce by the reflection principle that the statement holds for all groups in the universe.

This observation has been used by Feferman to construct ZFC/s ("ZFC with smallness"), a conservative extension of ZFC which provides a useful foundation of category theory [5]. This system extends ZFC by the addition of a new constant symbol S together with axioms stating that S is transitive, closed under subsets and reflective with respect to every formula  $\varphi(x_1, \ldots, x_n)$  of the original language:

$$\forall x_1, \dots, x_n \in \mathbb{S}. \ \varphi(x_1, \dots, x_n) \Leftrightarrow \varphi^{\mathbb{S}}(x_1, \dots, x_n).$$
 (\*)

The system zFC/s is conservative over zFC because any given proof in zFC/s uses only a finite number of instances of the axioms  $(\star)$ , whereby the reflection principle can be used to yield an honest set S which validates just the same equivalences and can hence be used in place of  $\mathbb{S}$ .

Elements of S are deemed "small", so that S is the set of all small sets. The system ZFC/S is useful as a foundation for category theory because it supports, without

requiring new set-theoretical commitments such as the existence of Grothendieck universes, a native treatment of large structures. For instance, the category of all small sets can be formed entirely within ZFC/s, without resorting to classes. We invite the reader wanting to learn more about the merits of ZFC/s to study the survey by Shulman [9, Section 11].

To our naive eyes, the passage from ZFC to ZFC/s looked sufficiently innocent so that we set out to create an intuitionistic version of ZFC/s: To our mind, size issues were entirely separate from issues of constructivity, and we hence opined that they should be dealt with separately. Such a separation would not only lead to improved mental hygiene and better understanding, but would also allow us to use the benefits of ZFC/s in situations where the law of excluded middle and the axiom of choice are not available, such as in realizability semantics, sheaf semantics or quite generally topos semantics.

We expected this modification to be entirely straightforward. However, the situation turned out to be more subtle and we failed in our original goal of verifying the reflection principle for intuitionistic Zermelo–Fraenkel set theory IZF. The situation for CZF, the predicative subsystem of IZF commonly heralded as the largest common denominator of all flavors of constructive set theory, is even more mysterious.

However, we succeeded in verifying the reflection principle for a slight extension of IZF:

**Theorem 1.2.** The reflection principle is equivalent, over IZF, to the strong relation reflection scheme RRS<sub>2</sub>.

We also give a weaker version of the reflection principle which is equivalent to Aczel's original reflection scheme RRS. Both RRS and RRS $_2$  will be reviewed below. They are validated not only by ZFC, but also by ZF, and furthermore by all known models of IZF, hence might be regarded as not entirely unconstructive, even though they are conjectured to be independent of IZF. As a result, the question whether the reflection principle holds for IZF remains open (though conjectured to be false), and for the stronger system IZF + RRS $_2$  we can give a variant "with smallness" which can serve as a set-theoretic foundation for category theory.

Conjecture 1.3. The system IZF does not prove the reflection principle.

**Definition 1.4.** The system  $(IZF + RRS_2)/S$  is obtained from  $IZF + RRS_2$  by adding a constant symbol S together with axioms stating that S is transitive, closed under subsets and reflective for all formulas of the original language (that is, adding one instance of  $(\star)$  for each formula of IZF).

Corollary 1.5. The system  $(IZF + RRS_2)/S$  is conservative over  $IZF + RRS_2$ .

This note is organized as follows. Section 2 reviews the classical proof of the reflection principle in the context of ZF set theory. Section 3 reviews Aczel's relation reflection scheme and its variants. Our main result is presented in Section 4. We conclude in Section 5 and Section 6 with two short applications.

## Acknowledgments. XXX

# 2. REVIEW OF THE CLASSICAL REFLECTION PRINCIPLE

A basic proof of the reflection principle in ZF runs as follows. Our proof of the reflection principle in  $IZF + RRS_2$  will follow the same outline.

**Lemma 2.1.** Let  $\varphi(u_1, \ldots, u_n, x)$  be a formula in the language of set theory with free variables as indicated. Then ZF proves

$$\forall M. \ \exists S \supseteq M. \ \forall u_1, \dots, u_n \in S.$$
$$(\exists x. \ \varphi(u_1, \dots, u_n, x)) \Longrightarrow (\exists x \in S. \ \varphi(u_1, \dots, u_n, x)).$$

Furthermore: (1) We may suppose that S is transitive. (2) We may suppose that S is closed under subsets. (3) Given a finite list  $\varphi_1, \ldots, \varphi_s$  of formulas instead of the single formula  $\varphi$ , we may suppose that S bounds all of the formulas  $\varphi_i$ .

*Proof.* Given a class X (as commonly understood as the comprehension of a formula), we denote by  $X^{\sim}$  its subclass  $\{x \in X \mid \forall y \in X. \ \mathrm{rank}(x) \leq \mathrm{rank}(y)\}$ , where the rank function refers to the stage in the cumulative hierarchy. The two fundamental properties of this construction are: This subclass is equal to a set, and it is inhabited if and only if X is.

Starting with  $S_0 := M$ , we construct  $S_{k+1}$  from  $S_k$  as the union

$$S_{k+1} := S_k \cup \bigcup_{u_1, \dots, u_n \in S_k} \{x \mid \varphi(u_1, \dots, u_n, x)\}^{\sim}.$$

It is then easy to check that  $S := \bigcup_{k \in \mathbb{N}} S_k$  is a set with the required property.

For addendum (1), we change the definition of  $S_{k+1}$  to be the transitive closure of what is was before. To further accommodate addendum (2), we change this definition again, to be  $P^{\omega}$  of what is was before, where  $P^{\omega}(X) := \bigcup_{\ell \in \mathbb{N}} P^{(\ell)}(X)$  is the union of iterated powersets. For addendum (3), we change the definition of  $S_{k+1}$  to include one summand for each formula  $\varphi_i$ .

The proof of Lemma 2.1 is mostly constructive; however, there is one issue with nontrivial ramifications: While IZF does show that the subclass  $X^{\sim}$  of a given class X is a set, it does not verify that  $X^{\sim}$  is inhabited if X is. This would amount to the constructive taboo that any inhabited set contains a rank-minimal element; and in fact, by a result of Friedman and Scedrov [XXX], no definable substitute for  $X^{\sim}$  exists. The remedy presented in Section 4 will construct  $X^{\sim}$  in a non-unique fashion and then deal with the resulting fallout that taking the union requires additional care.

**Theorem 2.2.** Let  $\varphi(x_1, \ldots, x_n)$  be a formula in the language of set theory with free variables as indicated. Then ZF proves

$$\forall M. \exists S \supseteq M. \forall x_1, \dots, x_n \in S. \varphi(x_1, \dots, x_n) \Leftrightarrow \varphi^S(x_1, \dots, x_n),$$

where  $\varphi^S$  is the S-relativization of  $\varphi$ . Furthermore, the resulting set S may be supposed to be transitive and to be closed under subsets; and given not a single formula  $\varphi$  but a finite list  $\varphi_1, \ldots, \varphi_s$  of formulas, we may suppose that S reflects all of them.

*Proof.* Let a set M be given. We obtain S by applying Lemma 2.1 to the list of all subformulas of  $\varphi$ . That the resulting set S has the required property can be checked by an induction on the structure of  $\varphi$ . The cases "=", " $\in$ ", " $\top$ ", " $\bot$ ", " $\bot$ ", " $\lor$ ", " $\to$ " follow trivially from the induction hypothesis. The case " $\forall$ " does not need to be

<sup>&</sup>lt;sup>1</sup>If X is empty, then this claim is trivial; if X is inhabited by some element  $x_0$ , then  $X^{\sim}$  can be obtained using separation from  $V_{\text{rank}(x_0)+1}$ ; and in fact, by an argument using the set of truth values and unbounded separation, the claim can also be proven in IZF.

treated since we may assume without loss of generality that all universal quantifiers in  $\varphi$  have been rewritten as "¬ $\exists$ ¬".

The remaining case is where  $\varphi$  is of the form  $\varphi \equiv (\exists x. \ \psi(u_1, \dots, u_m, x))$ . In this case, the claim is that

$$\forall u_1, \dots, u_m \in S. (\exists x. \ \psi(u_1, \dots, u_m, x)) \iff (\exists x \in S. \ \psi^S(u_1, \dots, u_m, x)).$$

This claim follows by the property of S guaranteed by Lemma 2.1 and by the induction hypothesis concerning the subformula  $\psi^S(u_1,\ldots,u_m,x)$ .

The proof of Theorem 2.2 is constructive with the sole exception of treating the case of universal quantifiers by appealing to the law of excluded middle. For the constructive proof in Section 4, we will instead appeal to a strengthened version of Lemma 2.1.

3. ACZEL'S RELATION REFLECTION AXIOM SCHEME AND ITS VARIANTS

We write " $R: X \rightrightarrows Y$ " to mean " $\forall x \in X. \exists y \in Y. \langle x, y \rangle \in R$ ".

**Definition 3.1.** Let X and  $R \subseteq X \times X$  be classes. Let  $x_0 \in X$ .

DC dependent choice

If X and R are sets and if  $R: X \rightrightarrows X$ , then there is a function  $f: \mathbb{N} \to X$  such that  $f(0) = x_0$  and  $\langle f(k), f(k+1) \rangle \in R$  for all numbers k.

RDC relativized dependent choice

If  $R: X \rightrightarrows X$ , then there is a function  $f: \mathbb{N} \to X$  such that  $f(0) = x_0$  and  $\langle f(k), f(k+1) \rangle \in R$  for all numbers k.

RRS Aczel's relation reflection scheme

If  $R: X \rightrightarrows X$ , then there is a set B such that  $x_0 \in B \subseteq X$  and  $R: B \rightrightarrows B$ .

MDC Palmgren's multivalued dependent choice

If  $R: X \rightrightarrows X$ , then there is a function  $f: \mathbb{N} \to P(X)$  (the class of all subsets of X) such that  $x_0 \in f(0)$  and such that  $\forall x \in f(k)$ .  $\exists y \in f(k+1)$ .  $\langle x, y \rangle \in R$  for every number k.

**Definition 3.2.** Let X and  $R \subseteq X \times X \times X$  be classes. Let  $x_0 \in X$ .

RRS<sub>2</sub> If  $R: X \times X \rightrightarrows X$ , then there is a set B such that  $x_0 \in B \subseteq X$  and  $R: B \times B \rightrightarrows B$ .

MDC<sub>2</sub> If  $R: X \times X \rightrightarrows X$ , then there is a function  $f: \mathbb{N} \to P(X)$  such that  $x_0 \in f(0)$  and such that  $\forall x \in f(k)$ .  $\forall x' \in f(k')$ .  $\exists y \in f(\max\{k, k'\} + 1)$ .  $\langle x, x', y \rangle \in R$  for any numbers k, k'.

Aczel's relation reflection scheme RRS first surfaced in the theory of coinductive definitions of classes and enjoys substantial stability properties, as it passes from the meta theory to XXX[all kinds of] models. Background on RRS can be found in Aczel's original article introducing it [1]. RRS is equivalent, over CZF and a fortiori over IZF, to Palmgren's multivalued dependent choice [8]; this observation makes the relationship to dependent choice more visible.

Remark 3.3. Most published renderings of RRS and MDC do not refer to an initial element  $x_0 \in X$ , but to a set  $A \subseteq X$  and then require instead of that  $x_0 \in B$ , that  $A \subseteq B$ . This difference is immaterial, thanks in one direction to the existence of singleton sets and in the other to strong collection. The same is true for RRS<sub>2</sub> and MDC<sub>2</sub>, though the proof is not as easy.

Aczel's RRS should not in itself be regarded as a choice principle; however in its presence, ordinary DC entails RDC. This result is due to Aczel [1, Theorem 2.4], who proved the equivalence RDC = RRS + DC over CZF $^-$ , and we give a new proof of this equivalence over the stronger theory IZF, in Section 5.

In the presence of DC, RRS is equivalent to RRS<sub>2</sub>:

**Proposition 3.4.** Over CZF<sup>-</sup>, RDC is equivalent to RRS<sub>2</sub> + DC.

*Proof.* Trivially, RDC entails DC, and  $RRS_2 + DC$  entails RDC by Aczel's result since  $RRS_2$  entails RRS.

To verify that RDC entails RRS<sub>2</sub>, let classes X and  $R \subseteq X \times X \times X$  be given, let  $x_0 \in X$  be an element and assume  $R: X \times X \rightrightarrows X$ . Let List(X) be the class of finite lists with entries in X. We declare a class  $\widehat{X}$  by

$$\widehat{X} := \{ \langle i, j, v \rangle \, | \, i, j \in \mathbb{N}, v \in \mathrm{List}(X), i, j < \mathrm{length}(v) \}$$

and a relation  $\widehat{R} \subseteq \widehat{X} \times \widehat{X}$  by defining  $\langle \langle i, j, v \rangle, \langle i', j', v' \rangle \rangle \in \widehat{R}$  to be equivalent to there exists an element  $y \in X$  such that

- (1)  $\langle v!i, v!j, y \rangle \in R$  (where v!k is the element of the list v at position k),
- (2) v' is obtained from v by adding the single element y at the end and
- (3)  $\langle i', j' \rangle$  is the next point after  $\langle i, j \rangle$  in some fixed enumeration of  $\mathbb{N}^2$  which, for each number n, first visits all points  $\langle k, l \rangle$  with k, l < n before it visits any of the other points.

Then  $\widehat{R}: \widehat{X} \rightrightarrows \widehat{X}$ . Applying RDC with initial value  $\langle 0,0,[x_0] \rangle \in \widehat{X}$  yields a function  $f: \mathbb{N} \to \widehat{X}$ . Let B be the set of all entries of the lists contained in the tuples f(k). Then  $x_0 \in B \subseteq X$  and  $R: B \times B \rightrightarrows B$ .

A consequence of Proposition 3.4 is that RRS<sub>2</sub> holds in Aczel's type-theoretic "sets as trees" model of CZF [2], since that model validates RDC.

**Proposition 3.5.** Over CZF<sup>-</sup>, RRS<sub>2</sub> is equivalent to MDC<sub>2</sub>.

*Proof.* The proof in [8] carries over.

## 4. Constructivizing the reflection principle

Our constructive rendition of the reflection principle will require the axiom scheme RRS<sub>2</sub> displayed in Definition 3.2. This result is the best possible, as we verify in Theorem 4.5 that conversely the reflection principle entails RRS<sub>2</sub>.

Even though superficially similar, the following lemma is *not* yet a constructivization of Lemma 2.1; these two lemmas differ in the set from which  $u_1, \ldots, u_n$  are drawn.

**Lemma 4.1.** Let  $\varphi(u_1, \ldots, u_n, x)$  be a formula in the language of set theory with free variables as indicated. Then IZF proves

$$\forall H. \ \exists H' \supseteq H. \ \forall u_1, \dots, u_n \in H.$$

$$(\exists x. \ \varphi(u_1, \dots, u_n, x)) \implies (\exists x \in H'. \ \varphi(u_1, \dots, u_n, x)) \land$$

$$(\forall x. \ \varphi(u_1, \dots, u_n, x)) \longleftarrow (\forall x \in H'. \ \varphi(u_1, \dots, u_n, x)).$$

Furthermore: (1) We may suppose that H' is transitive. (2) We may suppose that H' is closed under subsets. (3) Given a finite list  $\varphi_1, \ldots, \varphi_s$  of formulas instead of the single formula  $\varphi$ , we may suppose that H' has the displayed property for each of the formulas  $\varphi_i$ .

*Proof.* Let  $\Omega := P(\{0\})$  be the set of truth values. For given elements  $u_1, \ldots, u_n \in H$ , we have

$$\forall a \in \{a \in \{0\} \mid \exists x. \ \varphi(u_1, \dots, u_n, x)\}. \qquad \exists x. \ \varphi(u_1, \dots, u_n, x) \quad \text{and} \\ \forall p \in \{p \in \Omega \mid \exists x. \ (0 \in p \Leftrightarrow \varphi(u_1, \dots, u_n, x))\}. \ \exists x. \ (0 \in p \Leftrightarrow \varphi(u_1, \dots, u_n, x)).$$

Hence, by collection, there are sets C and D such that

$$(\exists x. \ \varphi(u_1, \dots, u_n, x)) \implies (\exists x \in C. \ \varphi(u_1, \dots, u_n, x)) \text{ and } (\forall x. \ \varphi(u_1, \dots, u_n, x)) \iff (\forall x \in D. \ \varphi(u_1, \dots, u_n, x)).$$

The union  $C \cup D$  satisfies both of these conditions at once.

Applying collection again, there is a set X such that for any  $u_1, \ldots, u_n \in H$  there exists a set  $E \in X$  such that

$$(\exists x. \ \varphi(u_1, \dots, u_n, x)) \implies (\exists x \in E. \ \varphi(u_1, \dots, u_n, x)) \text{ and } (\forall x. \ \varphi(u_1, \dots, u_n, x)) \iff (\forall x \in E. \ \varphi(u_1, \dots, u_n, x)).$$

Hence the set  $H' := M \cup \bigcup X$  has the required property.

To ensure that H' is transitive and closed under subsets, we pass first to its transitive closure and then compute the union of all its finitely-iterated powersets.

In order to accommodate more than a single formula  $\varphi$ , we add one summand in the definition of H' for each formula  $\varphi_i$ .

**Lemma 4.2.** Let  $\varphi(u_1, \ldots, u_n, x)$  be a formula in the language of set theory with free variables as indicated. Then IZF + RRS<sub>2</sub> proves

$$\forall M. \ \exists S \supseteq M. \ \forall u_1, \dots, u_n \in S.$$

$$(\exists x. \ \varphi(u_1, \dots, u_n, x)) \implies (\exists x \in S. \ \varphi(u_1, \dots, u_n, x)) \land (\forall x. \ \varphi(u_1, \dots, u_n, x)) \iff (\forall x \in S. \ \varphi(u_1, \dots, u_n, x)).$$

Furthermore: (1) We may suppose that S is transitive. (2) We may suppose that S is closed under subsets. (3) Given a finite list  $\varphi_1, \ldots, \varphi_s$  of formulas instead of the single formula  $\varphi$ , we may suppose that S bounds all of the formulas  $\varphi_i$ .

*Proof.* By RRS<sub>2</sub>, there is a set B such that  $M \in B$  and such that for any  $H_1, H_2 \in B$ , there is a set  $H' \in B$  such that  $H := H_1 \cup H_2$  and H' are related as in the conclusion of Lemma 4.1.

We set  $S := \bigcup B$ . This set has the required property. To verify this, it is useful to observe that given  $u_1, \ldots, u_n \in S$ , there is a common set  $H \in B$  such that  $u_1, \ldots, u_n \in H$ .

In order to ensure addendum (1) and (2), we apply RRS<sub>2</sub> in a slightly different way to guarantee that for any sets  $H_1, H_2 \in B$ , there is a set  $H' \in B$  such that  $H := H_1 \cup H_2$  and H' are related as in the conclusion of Lemma 4.1 and such that furthermore H' is transitive and closed under subsets. Even though it cannot be expected that any particular set  $H \in B$  will be transitive and closed under subsets, the union S will. Addendum (3) can be ensured because of addendum (3) of Lemma 4.1.

Remark 4.3. In the special case n = 1, the proof of Lemma 4.2 can be simplified to only use RRS instead of RRS<sub>2</sub>.

**Theorem 4.4.** Let  $\varphi(x_1, \ldots, x_n)$  be a formula in the language of set theory with free variables as indicated. Then IZF + RRS<sub>2</sub> proves

$$\forall M. \exists S \supseteq M. \forall x_1, \dots, x_n \in S. \varphi(x_1, \dots, x_n) \Leftrightarrow \varphi^S(x_1, \dots, x_n),$$

where  $\varphi^S$  is the S-relativization of  $\varphi$ . Furthermore, the resulting set S may be supposed to be transitive and to be closed under subsets; and given not a single formula  $\varphi$  but a finite list  $\varphi_1, \ldots, \varphi_s$  of formulas, we may suppose that S reflects all of them.

*Proof.* The proof of Theorem 2.2 carries over. The only difference is that instead of Lemma 2.1, Lemma 4.2 has to be used, and that the case for the universal quantifier has to be treated just as the case for the existential quantifier has to.  $\Box$ 

**Theorem 4.5.** Over IZF, each instance of Aczel's relation reflection scheme RRS<sub>2</sub> can be deduced from suitable instances of the assumption that, given a finite list of formulas, for every set M there is a set  $S \supseteq M$  reflecting the given formulas.

*Proof.* Let X and  $R \subseteq X \times X \times X$  be classes. Let  $x_0 \in X$  be an element and suppose  $R: X \times X \rightrightarrows X$ .

By assumption, there is a set  $S \ni x_0$  which reflects the three formulas " $x \in X$ ", " $\langle x, x', y \rangle \in R$ " and " $\exists y \in X$ .  $\langle x, x', y \rangle \in R$ ".

The class  $B := X \cap S$  is a set by separation and contains  $x_0$ . Given  $x, x' \in B$ , there is a set y such that  $y \in X$  and  $\langle x, y, y' \rangle \in R$ . By the reflecting property of S, we can assume that such an element y exists in S.

Hence  $R: B \times B \Rightarrow B$ .

### 5. A NEW PROOF OF RDC = RRS + DC

When he introduced RRS, Aczel proved that over CZF without subset collection, relative dependent choice RDC is equivalent to the conjunction of RRS and dependent choice DC [1, Theorem 2.4]. Using reflection, we can provide a new proof of this fact, although over the much stronger base theory IZF instead of CZF<sup>-</sup>. The idea is that reflection allows to reduce RDC to DC.

**Proposition 5.1.** Over IZF, RDC is equivalent to RRS + DC.

*Proof.* Trivially, RDC implies DC, and RDC implies RRS by a similar, though much simpler, argument as in the proof of Proposition 3.4.

Conversely, assume RRS and DC. In order to verify RDC, let classes X and  $R \subseteq X \times X$  be given. Let  $x_0 \in X$  and assume  $\forall x \in X$ .  $\exists y \in X$ .  $\langle x, y \rangle \in R$ .

**XXX:** Use mini-reflection theorem instead. By Theorem 4.4, reflection is available; hence there is a set  $S \supseteq \{x_0\}$  which reflects the three formulas " $x \in X$ ", " $\langle x, y \rangle \in R$ " and " $\forall x. \ x \in X \Rightarrow \exists y. \ (y \in X \land \langle x, y \rangle \in R)$ ".

Hence  $\forall x \in X \cap S$ .  $\exists y \in X \cap S$ .  $\langle x, y \rangle \in R$ . By DC, there is a choice function  $f : \mathbb{N} \to X \cap S$  such that  $f(0) = x_0$  and  $\langle f(k), f(k+1) \rangle \in R$  for all numbers k. This is a function of the kind required by RDC.

# 6. An intuitionistic version of Feferman's zfc/s

XXX: repeat definition and conservativity statement?

The system (IZF + RRS<sub>2</sub>)/s is also interesting from the point of view of topos theory. Any topos supports an internal language which can be used to reason about the objects and morphisms of the topos in a naive element-based language, allowing us to pretend that the objects are plain sets (or types) and that the morphisms are plain maps between those sets ([3, Chapter 6], [4, Section 1.3], [6, Chapter 14], [7, Chapter VI]).

REFERENCES 8

Given a topos  $\mathcal{E}$  and a formula  $\varphi$  in its internal language, we write " $\mathcal{E} \models \varphi$ " to mean that  $\varphi$  holds in  $\mathcal{E}$ . As a special case, truth in the topos Set, the category of all sets, coincides with truth in the background theory; symbolically: Set  $\models \varphi$  iff  $\varphi$ .

However, in the context of (IZF or) ZFC, it is difficult to make this claim precise. Because in ZFC the category Set of all sets can not be coded as a set, ZFC cannot define truth in Set. We must therefore resort to a meta theory in order to express this claim, for instance by stating that primitive recursive arithmetic PRA proves that for any formula  $\varphi$  of ZFC, ZFC proves "(Set  $\models \varphi$ )  $\Leftrightarrow \varphi$ ", where "Set  $\models \varphi$ " is to be unrolled by PRA.

An alternative is offered by ZFC+I, ZFC plus the existence of a strongly inaccessible cardinal. In this system, there is a Grothendieck universe U; we can form the category  $\operatorname{Set}_U$  of all sets of U as an honest set; can define truth in  $\operatorname{Set}_U$ ; and prove, within the system, that for any formula  $\varphi$ ,  $(\operatorname{Set}_U \models \varphi) \Leftrightarrow (U \models \varphi)$ . This even holds for formulas of the full infinitary language of toposes, which allows infinite disjunctions and infinite conjunctions; this extended language could not be treated by resorting to PRA as indicated above.

However, truth in a Grothendieck universe U need not be related to actual truth. A solution is provided by ZFC/s and by (IZF+RRS<sub>2</sub>)/s. In these systems, we can form the topos Set<sub>S</sub>, define truth in it, prove for all formulas  $\varphi$  that (Set<sub>S</sub>  $\models \varphi$ )  $\Leftrightarrow$  (S  $\models \varphi$ ); and reflection for S ensures that for each (external, standard) formula  $\varphi$ , the system proves "(Set<sub>S</sub>  $\models \varphi$ )  $\Leftrightarrow \varphi$ ".

#### References

- [1] P. Aczel. "The relation reflection scheme". In: MLQ Math. Log. Q. 54.1 (2008), pp. 5–11.
- [2] P. Aczel. "The type theoretic interpretation of constructive set theory". In: Stud. Logic Found. Math. 96 (1978), pp. 55–66.
- [3] F. Borceux. *Handbook of Categorical Algebra: Volume 3, Sheaf Theory*. Encyclopedia Math. Appl. Cambridge University Press, 1994.
- [4] O. Caramello. Theories, Sites, Toposes: Relating and studying mathematical theories through topos-theoretic 'bridges'. Oxford University Press, 2018.
- [5] S. Feferman. "Set-theoretical foundations of category theory". In: *Reports of the Midwest Category Seminar III*. Vol. 106. Lecture Notes in Math. Springer, 1969, pp. 201–247.
- [6] R. Goldblatt. *Topoi: The Categorical Analysis of Logic*. Vol. 98. Stud. Logic Found. Math. Elsevier, 1984.
- [7] S. Mac Lane and I. Moerdijk. Sheaves in Geometry and Logic: a First Introduction to Topos Theory. Universitext. Springer, 1992.
- [8] E. Palmgren. "A small variation of Aczel's relation reflection scheme". 2007. URL: http://www2.math.uu.se/~palmgren/MVDC.pdf.
- [9] M. Shulman. "Set theory for category theory". 2008. URL: https://arxiv. org/abs/0810.1279.