

Synthetic algebraic geometry

a case study in applied topos theory

&

the phenomenon of nongeometric sequents

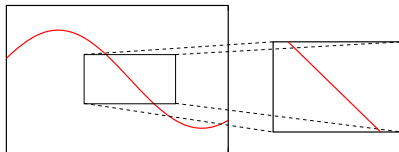
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Synthetic differential geometry

The axiom of microaffinity

Let $\Delta = \{\varepsilon \in \mathbb{R} \mid \varepsilon^2 = 0\}$. For any function $f : \Delta \rightarrow \mathbb{R}$, there are unique numbers $a, b \in \mathbb{R}$ such that $f(\varepsilon) = a + b\varepsilon$ for all $\varepsilon \in \Delta$.



- The **derivative** of f as above at zero is b .
- Manifolds are **just sets**.
- A **tangent vector** to M is a map $\Delta \rightarrow M$.

Toposes provide models for this theory.

The internal universe of a topos

A **topos** is a category which has finite limits, is cartesian closed and has a subobject classifier, for instance

- **Set**, the category of sets;
- **Sh(X)**, the category of set-valued sheaves over a space X ;
- **Eff**, the effective topos (roughly: a category of data types).

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no $\varphi \vee \neg\varphi$, no $\neg\neg\varphi \Rightarrow \varphi$, no axiom of choice

Curious universes

- $\text{Eff} \models$ “There are infinitely many prime numbers.” ✓
 External meaning: There is a Turing **machine** producing arbitrarily many prime numbers.

- $\text{Eff} \not\models$ “Any Turing machine halts or doesn’t halt.” ✗
 External meaning: There is a **halting oracle** which determines whether any given machine halts or doesn’t halt.

- $\text{Sh}(X) \not\models$ “Any cont. function with opposite signs has a zero.” ✗
 External meaning: Zeros can be picked **locally continuously** in continuous families of continuous functions.

Approaches to algebraic geometry

Usual approach to algebraic geometry: **layer schemes above ordinary set theory** using either

- locally ringed spaces

set of prime ideals of $\mathbb{Z}[X, Y, Z]/(X^n + Y^n - Z^n) +$

Zariski topology + structure sheaf

- or Grothendieck's functor-of-points account, where a scheme is a functor $\text{Ring} \rightarrow \text{Set}$.

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Synthetic approach: model schemes **directly as sets** in a certain nonclassical set theory.

$$\{(x, y, z) : (\underline{\mathbb{A}}^1)^3 \mid x^n + y^n - z^n = 0\}$$

The big Zariski topos

Let S be a fixed base scheme.

Definition

The **big Zariski topos** $\text{Zar}(S)$ is the category $\text{Sh}(\text{Sch}/S)$. It consists of functors $(\text{Sch}/S)^{\text{op}} \rightarrow \text{Set}$ satisfying the gluing condition that

$$F(U) \rightarrow \prod_i F(U_i) \rightrightarrows \prod_{j,k} F(U_j \cap U_k)$$

is a limit diagram for any scheme $U = \bigcup_i U_i$ over S .

- For an S -scheme X , its functor of points $\underline{X} = \text{Hom}_S(\cdot, X)$ is an object of $\text{Zar}(S)$. It feels like **the set of points** of X .
- In particular, there is the ring object $\underline{\mathbb{A}}^1$.
- $\text{Zar}(S)$ classifies local \mathcal{O}_S -algebras which are local over \mathcal{O}_S .

Properties of the affine line

- $\underline{\mathbb{A}}^1$ is a local ring:

$$1 \neq 0 \qquad x + y \text{ inv.} \implies x \text{ inv.} \vee y \text{ inv.}$$

- $\underline{\mathbb{A}}^1$ is a field:

$$\neg(x = 0) \iff x \text{ inv.}$$

$$\neg(x \text{ inv.}) \iff x \text{ nilpotent}$$

- $\underline{\mathbb{A}}^1$ satisfies the axiom of microaffinity.
- $\underline{\mathbb{A}}^1$ is anonymously algebraically closed: Any monic polynomial does *not not* have a zero.
- $\underline{\mathbb{A}}^1$ is of unbounded Krull dimension.
- Any function $\underline{\mathbb{A}}^1 \rightarrow \underline{\mathbb{A}}^1$ is a polynomial.

Synthetic constructions

$$\mathbb{A}^n = (\mathbb{A}^1)^n = \mathbb{A}^1 \times \cdots \times \mathbb{A}^1$$

$$\begin{aligned} \mathbb{P}^n &= \{(x_0, \dots, x_n) : (\mathbb{A}^1)^{n+1} \mid x_0 \neq 0 \vee \cdots \vee x_n \neq 0\} / (\mathbb{A}^1)^\times \\ &\cong \text{set of one-dimensional subspaces of } (\mathbb{A}^1)^{n+1} \\ &\quad (\text{with } \mathcal{O}(-1) = (\ell)_{\ell: \mathbb{P}^n}, \mathcal{O}(1) = (\ell^\vee)_{\ell: \mathbb{P}^n}) \end{aligned}$$

$$\text{Spec}(R) = \text{Hom}_{\text{Alg}(\mathbb{A}^1)}(R, \mathbb{A}^1) = \text{set of } \mathbb{A}^1\text{-valued points of } R$$

$$TX = \text{Hom}(\Delta, X), \text{ where } \Delta = \{\varepsilon : \mathbb{A}^1 \mid \varepsilon^2 = 0\}$$

A subset $U \subseteq X$ is **qc-open** if and only if for any $x : X$ there exist $f_1, \dots, f_n : \mathbb{A}^1$ such that $x \in U \iff \exists i. f_i \neq 0$.

A **synthetic affine scheme** is a set which is in bijection with $\text{Spec}(R)$ for some finitely presented algebra R .

A **synthetic scheme** is a set which can be covered by finitely many qc-open synthetic affine schemes U_i such that the intersections $U_i \cap U_j$ can be covered by finitely many qc-open synthetic affine schemes.

Synthetic quasicoherence

Recall $\mathrm{Spec}(R) = \mathrm{Hom}_{\mathrm{Alg}(\underline{\mathbb{A}}^1)}(R, \underline{\mathbb{A}}^1)$ and consider the statement

“the canonical map $R \longrightarrow \mathrm{Hom}(\mathrm{Spec}(R), \underline{\mathbb{A}}^1)$ is bijective”.

$$f \longmapsto (\alpha \mapsto \alpha(f))$$

- True for $R = \underline{\mathbb{A}}^1[X]/(X^2)$ (microaffinity).
- True for $R = \underline{\mathbb{A}}^1[X]$ (every function is a polynomial).
- True for **any** finitely presented $\underline{\mathbb{A}}^1$ -algebra R .

Any known property of $\underline{\mathbb{A}}^1$ follows from this **synthetic quasicoherence**.

Example. Let $f : \underline{\mathbb{A}}^1$ such that $f \neq 0$. Set $R = \underline{\mathbb{A}}^1/(f)$. Then $\mathrm{Spec}(R) = \emptyset$. Thus $\mathrm{Hom}(\mathrm{Spec}(R), \underline{\mathbb{A}}^1)$ is a singleton. Hence $R = 0$. Therefore f is invertible.

Nongeometric sequents

Let \mathbb{T} be a **geometric theory** (rings, intervals, ...).

For a **geometric sequent** $\forall \vec{x}. (\varphi \Rightarrow \psi)$, the following are equivalent:

- 1 It is **provable** by \mathbb{T} .
 - 2 It holds **for all models** of \mathbb{T} in all toposes.
 - 3 It holds for the **generic model** of \mathbb{T} in its **classifying topos**.
- Additional **nongeometric sequents** may hold in a classifying topos, for instance “ $\underline{\mathbb{A}}^1$ is synthetically quasicoherent” in $\text{Zar}(S)$.
 - These are **\mathbb{T} -redundant**, but the converse is false.
 - Are they precisely the consequences of synthetic quasicoherence?
 - Applications: synthetic algebraic geometry, generic freeness, ...