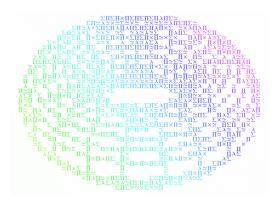
Homotopy type theory



Ingo Blechschmidt November 25th, 2014

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What are foundations?

- Foundations set the logical context for doing maths.
- Their details don't matter in everyday work (mostly).
- But their main concepts do.



http://collabcubed.com/2012/10/24/high-trestle-trail-bridge-rdg/

What are foundations?

- Foundations set the logical context for doing maths.
- Their details don't matter in everyday work (mostly).
- But their main concepts do.
- Classical foundations are set-based (ZF, ZFC, ...): Everything is a set.
- $0 := \emptyset, \quad 1 := \{0\}, \quad 2 := \{0,1\}, \quad \dots$
- $(x,y) := \{ \{x\}, \{x,y\} \}$ (Kuratowski pairing)
- (x,y,z) := (x,(y,z))
- maps: (X, Y, R) with $R \subseteq X \times Y$ such that ...

What's wrong with set-based foundations?

Set-based foundations ...

- do not reflect typed mathematical practice,
- do not respect equivalence of structures,
- require complex encoding of "higher-level" subjects, complicating interactive proof environments.

What is homotopy type theory?

- Homotopy type theory is a new foundational theory.
- Basic notions have a homotopy-theoretic flavour.
- One can start doing "real mathematics" right away, without complex encodings.
- Initiated by Voevodsky in 2005.



Some participants of the IAS special year

What is homotopy type theory?

Homotopy type theory ...

- is elegant,
- reflects mathematical practice,
- contains wondrous new concepts,
- ensures that everything respects equivalences,
- simplifies the plumbing of homotopy theory,
- allows for accessible computer formalization.

What are values and types?

- In type theory, there are **values** and **types**.
- Every value is of exactly one type.
- Types may depend on values.

 $7: \mathbb{N}$

 $(3,5): \mathbb{N} \times \mathbb{N}$

 $\mathsf{succ}: \mathbb{N} \to \mathbb{N}$

zero vector : \mathbb{R}^n $(n : \mathbb{N})$



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Let B(x) be a type family depending on x : A.

- $\prod_{x:A} B(x) = "\{f : A \to ?? | f(a) : B(a) \text{ for all } a : A\}"$

What is the dependent equality type?

In set theory, for a set X and elements $x, y \in X$:

- "x = y" is a proposition.
- Set theory is **layered above** predicate logic.

In type theory, for a type X and values x, y : X:

- There is the **equality type** $Id_X(x,y)$ or $(x =_X y)$.
- To verify that "x = y", exhibit a value of (x = y).
- Have $refl_x : (x = x)$.
- Identity types may contain zero or many values!

Intuition: (x = y) is the type of **proofs** that "x = y".

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Intuition: (x = y) is the type of paths $x \rightsquigarrow y$.

How are types like spaces?

homotopy theory	type theory
space X point $x \in X$ path $x \rightsquigarrow y$ (continuous) map	type X value $x : X$ value of $(x = y)$ value of $X \to Y$

■ A **homotopy** between maps $f, g : X \rightarrow Y$ is a value of

$$(f \simeq g) :\equiv \prod_{x \in X} (f(x) = g(x)).$$

■ A space *X* is **contractible** iff

$$\mathsf{IsContr}(X) :\equiv \sum_{x:X} \prod_{y:X} (x = y).$$

How are types like spaces?

■ "The type *X* is **contractible**":

$$\mathsf{IsContr}(X) :\equiv \sum_{x:X} \prod_{y:X} (x = y).$$

■ "The type *X* is a **mere proposition**":

$$\mathsf{IsMereProp}(X) :\equiv \prod_{x,y:X} (x = y)$$

■ "The type *X* is a **set** or **discrete space**":

$$\mathsf{IsSet}(X) :\equiv \prod_{x,y:X} \mathsf{IsMereProp}(x = y)$$

■ For instance, N is a set.

How are types like spaces?

■ Functions are automatically **continuous/functorial**:

$$(x = y) \longrightarrow (f(x) = f(y)).$$

■ Type families $P: X \to \mathcal{U}$ automatically behave like **fibrations**, in that fibers over connected points are equivalent:

$$(x = y) \longrightarrow (P(x) \simeq P(y)).$$

How are constructions encoded?

■ The **fiber** of a map $f: X \to Y$ over a point y: Y is

$$\operatorname{fib}_f(y) :\equiv \sum_{x \in X} (f(x) = y).$$

 \blacksquare The path space of X is

$$X^I :\equiv \sum_{x,y:X} (x = y).$$

 \blacksquare The **based loop space** of *X* at *x* is

$$\Omega^1(X, x) :\equiv (x = x).$$

■ The path fibration of (X, x) is the map

$$\mathsf{fst}: \sum_{y:X} (x=y) \to X.$$

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What are higher inductive definitions?

The type \mathbb{N} of natural numbers is **freely generated** by

- \blacksquare a point $0: \mathbb{N}$ and
- **a** function succ : $\mathbb{N} \to \mathbb{N}$.

This definition gives rise to an induction principle

$$\prod_{A:\mathbb{N}\to\mathcal{U}} \Bigl(A(0)\times\Bigl(\prod_{n:\mathbb{N}} A(n)\to A(\mathrm{succ}(n))\Bigr) \longrightarrow \prod_{n:\mathbb{N}} A(n)\Bigr),$$

and a recursion principle

$$\prod_{X:\mathcal{U}} \Big(X \times \Big(\mathbb{N} \to (X \to X) \Big) \longrightarrow (\mathbb{N} \to X) \Big).$$

How to present famous spaces?

The **circle** S^1 is generated by

- \blacksquare a point base : S^1 and
- \blacksquare a path loop : (base = base).

The **sphere** S^2 is generated by

- \blacksquare a point base : S^2 and
- \blacksquare a path surf : (refl_{base} = refl_{base}).

The **torus** T^2 is generated by

- \blacksquare a point $b: T^2$,
- a path p : (b = b),
- \blacksquare a path q:(b=b), and
- a 2-path t : (p q = q p).

How to present famous spaces?

The **suspension** ΣX of X is generated by

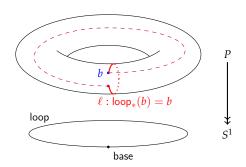
- a point $N : \Sigma X$ and
- \blacksquare a point S : ΣX and
- a function merid : $X \rightarrow (N = S)$.

The **cylinder** Cyl(X) of X is generated by

- a function bot : $X \to \text{Cyl}(X)$ and
- a function top : $X \to \text{Cyl}(X)$ and
- a function seg : $\prod_{x:X} (bot(x) = top(x))$.

Of course, we can show $Cyl(X) \simeq X \times I \simeq X$.

What is circle induction?



The **induction principle** of S^1 states: Given $P: S^1 \to \mathcal{U}$,

■ a point $b : P(\mathsf{base})$, and ■ a path $\ell : \mathsf{loop}_*(b) = b$

there is a function $f: \prod_{x:S^1} P(x)$ such that

$$f(base) \equiv b$$
 and

$$f(loop) = \ell.$$

What is path induction?

(Based) path induction states: Given

- \blacksquare a value *a* of a type *A*,
- a type family $C: \prod_{x:A} ((a = x) \to \mathcal{U})$, and
- a value $c : C(a, refl_a)$,

there is a function

$$f: \prod_{x:A} \prod_{p:(a=x)} C(x,p)$$

such that $f(a, refl_a) \equiv c$.

What is type truncation?

Let *X* be a type.

The **propositional truncation** $||X||_{-1}$ is generated by

- a function $X \rightarrow ||X||_{-1}$ and
- for any $x, y : ||X||_{-1}$, a path x = y.

The **0-truncation** $||X||_0$ is generated by

- a function $X \rightarrow ||X||_0$ and
- for any $x, y : ||X||_0$, p, q : (x = y), a path p = q.

The **fundamental group** of (X, x_0) is

$$\pi_1(X, x_0) := \|\Omega^1(X, x_0)\|_0 := \|(x_0 = x_0)\|_0.$$

What is the univalence axiom?

An **equivalence** is a function $f: X \to Y$ such that

$$\mathsf{IsEquiv}(f) :\equiv \prod_{y:Y} \mathsf{IsContr}(\mathsf{fib}_f(y)).$$

Types *X* and *Y* are **equivalent** iff

$$(X \simeq Y) := \sum_{f:X \to Y} \mathsf{lsEquiv}(f).$$

The univalence axiom states: The canonical function

$$(X = Y) \longrightarrow (X \simeq Y)$$

is an equivalence, for all types X and Y.

What's the status of the axiom of choice?

■ The following proposition is **just true**, but is not a faithful rendition of the axiom of choice:

$$\left(\prod_{x:A}\sum_{y:B}R(x,y)\right)\longrightarrow\sum_{f:A\to B}\prod_{x:A}R(x,f(x)).$$

■ The real axiom of choice,

$$\left(\prod_{x:A}\left\|\sum_{y:B}R(x,y)\right\|_{-1}\right)\longrightarrow\left\|\sum_{f:A\to B}\prod_{x:A}R(x,f(x))\right\|_{-1},$$

can be added as an axiom, but is rarely needed.

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■ The law of excluded middle is too rarely needed.

$$\mathsf{LEM} :\equiv \prod_{A:\mathcal{U}} \Big(\mathsf{IsMereProp}(A) \to A + \neg A \Big).$$

What are models of HoTT?

Conjecturally, HoTT can be interpreted in any $(\infty, 1)$ -topos. Verified models include

- ∞Grpd, i. e. a model in simplicial sets, and
- $(\infty, 1)$ -presheaf toposes over elegant Reedy categories.

Thus, any theorem proven in HoTT holds in the context of classical homotopy theory and in more general contexts.

What are applications?

Homotopy type theory **subsumes all of classical mathematics**, by using the fragment of discrete types.

The following subjects have received special treatment:

- Homotopy theory (duh)
- Category theory
- Data type theory
- Your subject here!

Also, HoTT can be used as the **internal language** of $(\infty, 1)$ -toposes.

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References

The textbook

http://homotopytypetheory.org/book/

■ Voevodsky on his motivations

http://www.math.ias.edu/~vladimir/Site3/Univalent_Foundations_files/2014_IAS.pdf

Seminar slides

http://www.math.ias.edu/~mshulman/hottseminar2012/01intro.pdf http://www.math.ias.edu/~mshulman/hottminicourse2012/04induction.pdf https://coq.inria.fr/files/coq5-slides-spitters.pdf https://www.andrew.cmu.edu/user/awodey/hott/CMUslides.pdf http://home.sandiego.edu/~shulman/papers/hott-grothendieck.pdf

An application unrelated to homotopy theory

http://www.cs.nott.ac.uk/~txa/talks/lyon14.pdf

■ hott-amateurs mailing list
https://groups.google.com/d/forum/hott-amateurs

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Type Theory
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