

Machine extraction

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Göteborg, August 2011

1 Overview

- Formalise process of traces to QSM state machine
- Formalise processes from QSM state machine to QuickCheck FSM.

2 Traces to QSM state machine

2.1 Basic QSM

Definition of concrete traces:

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item ::= {module, function, arguments, result}
trace ::= {pos, item*} | {neg, item*}
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If T is a set of traces then t is a positive trace if $\{\text{pos}, t\} \in T$; similarly for negative traces.

An abstraction function is a function

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 $\alpha :: \{\text{module}, \text{function}, \text{arguments}, \text{result}\} \rightarrow \mathcal{A}$ 
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for some type \mathcal{A} . The *abstract traces* are given by $\{\text{pos}, \text{map}(\text{abs}, t)\}$ and $\{\text{neg}, \text{map}(\text{abs}, t)\}$.

A set T of traces is *consistent* iff for all $\{\text{neg}, t\} \in T$ and for all $\{\text{pos}, t'\} \in T$, t is not an initial segment of t' .

The QSM algorithm builds a deterministic state machine from a consistent set of traces. The construction fails if applied to an inconsistent set. The machine built, $\mathcal{M}_T = \langle \mathcal{S}, s_0, \mathcal{F}, \mathcal{T} \rangle$, has the form

- \mathcal{S} is a non-empty finite set of states,
- $s_0 \in \mathcal{S}$ is the initial state of the system,
- $\mathcal{F} \subseteq \mathcal{S}$ is a set of failing states, and
- \mathcal{T} is a set of transitions of the form (s_1, a, s_2) where $s_i \in \mathcal{S}$ and $a \in \mathcal{A}$.

For states s_1 and s_{k+1} and a trace $\mathfrak{t} = a_1 a_2 \dots a_k$ we write $s_1 \xrightarrow{\mathfrak{t}} s_{k+1}$ if for each $i = 1 \dots k$ there is some a_i so that $(s_i, a_i, s_{i+1}) \in \mathcal{T}$.

We say that the machine \mathcal{M}_T *accepts* \mathfrak{t} if $s_0 \xrightarrow{\mathfrak{t}} s$ with $s \notin \mathcal{F}$; the machine *rejects* \mathfrak{t} if $s_0 \xrightarrow{\mathfrak{t}} s$ with $s \in \mathcal{F}$, or there is no path through the machine \mathcal{M}_T labelled by \mathfrak{t} . Since the machine is deterministic, each trace will either be accepted or rejected by the machine, but not both.

The crucial property of the machine \mathcal{M}_T is that for all positive traces \mathfrak{t} in T , \mathcal{M}_T accepts \mathfrak{t} , and for all negative traces \mathfrak{t} in T , \mathcal{M}_T rejects \mathfrak{t} . This is true by construction.

2.2 Abstractions and QSM

We have written \mathcal{M}_T for the machine inferred from a set of traces T , which might be concrete or might arise from an abstraction of a concrete set. In this section we'll work with a fixed set of concrete traces, and use T_α for the set of traces after abstraction and \mathcal{M}_α for the machine generated from T_α .

It would be nice to relate machines produced for related abstractions, e.g. in the case where an abstraction is a composition of two others, with $\alpha = \beta \circ \gamma$. However, even in the case of relating machines for concrete and abstract sets of traces, \mathcal{M}_{id} and \mathcal{M}_α , this is not possible in general since the machines generated by the QSM algorithm are not canonical: depending on the order in which states are handled by the algorithm, different machines result.

2.3 SM: state machines

A *state machine* of type \mathcal{D} has the form $\mathcal{M} = \langle \mathcal{S}, s_0, \mathcal{T}, d_0, \eta, \phi, \psi \rangle$

- \mathcal{S} is a non-empty finite set of states,
- $s_0 \in \mathcal{S}$ is the initial state of the system,

- \mathcal{T} is a set of transitions of the form (s_1, a, s_2) where $s_i \in \mathcal{S}$ and $a \in \mathcal{A}$.
- $d_0 \in \mathcal{D}$ is the initial value of the state data,
- η is a partial function

$$\eta :: \{\mathcal{S}, \mathcal{A}, \mathcal{D}\} \rightarrow \mathcal{D}$$

taking a state, an action and the value of the state data before the transition to the value after,

- ϕ, ψ are partial functions

$$\phi, \psi :: \{\mathcal{S}, \mathcal{A}, \mathcal{D}\} \rightarrow \mathcal{B}$$

where \mathcal{B} is the Boolean type. These are the pre- and post-conditions of the transition from the given state, action and value of the state data.